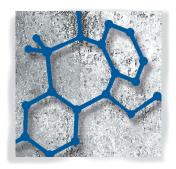
### DNA methylation and demethylation as targets for antipsychotic therapy Alessandro Guidotti, MD; Dennis R. Grayson, PhD



Schizophrenia (SZ) and bipolar disorder (BPD) patients show a downregulation of GAD67, reelin (RELN), brainderived neurotrophic factor (BDNF), and other genes expressed in telencephalic GABAergic and glutamatergic neurons. This downregulation is associated with the enrichment of 5-methylcytosine and 5-hydroxymethylcytosine proximally at gene regulatory domains at the respective genes. A pharmacological strategy to reduce promoter hypermethylation and to induce a more permissive chromatin conformation is to administer drugs, such as the histone deacetylase (HDAC) inhibitor valproate (VPA), that facilitate chromatin remodeling. Studies in mouse models of SZ indicate that clozapine induces DNA demethylation at relevant promoters, and that this action is potentiated by VPA. By activating DNA demethylation, clozapine or its derivatives with VPA or other more potent and selective HDAC inhibitors may be a promising treatment strategy to correct the gene expression deficits detected in postmortem brain of SZ and BPD patients.

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### Introduction

rogress in developing new, more effective, and less toxic antipsychotic drugs has been hampered by the lack of objective diagnostic tools to assess prodromes, progression severity, and therapeutic responses in schizophrenia (SZ) and bipolar disorder (BPD) patients. Additional fundamental barriers to the identification of new drugs to effectively treat SZ and BPD include the incomplete understanding of the etiopathogenetic mechanisms underlying the symptomatology of these diseases and the inability to reproduce the complex nature of these disorders in laboratory animals.

It is well established that SZ and BPD have a strong hereditary component. However, epigenetic studies indicate that altered DNA methylation may have an important role in the pathogenesis of these diseases and as a target mechanism for drug discoveries.<sup>1</sup> The following topics will be addressed in this article: (i) altered expression of candidate genes in brain and blood cells of SZ and BPD patients treated with typical or atypical antipsychotics; (ii) dysregulated DNA methylation/demethylation processes as targets for antipsychotic drug

**Keywords:** bipolar; chromatin remodeling; clozapine epigenetics; histone deacetylase inhibitor; neuroleptic; psychosis; schizophrenia

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### Selected abbreviations and acronyms

APOBEC	activation induced deaminase/apolipoproptein B
	RNA editing catalytic component
BPD	bipolar disorder
DNMT	DNA methyltransferase
GABA	γ-aminobutyric acid
GAD	glutamic acid decarboxylase
RELN	reelin
SZ	schizophrenia
TET	ten-eleven translocation protein
VPA	valproate

action; (iii) effects of antipsychotics on epigenetic animal models of SZ. A greater understanding regarding the action of antipsychotic drugs on neuroepigenetic mechanisms will not only accelerate the development of novel pharmacological agents to treat SZ and BPD, but should also provide insights into their underlying neurobiological causes.

### GABAergic and glutamatergic gene expression profiles altered in cortex and hippocampus in SZ or BPD: relation to antipsychotic treatment

In the last 20 years molecular biological studies have consistently detected a  $\gamma$ -aminobutyric acid (GABA) ergic and glutamatergic neuropathology in the hippocampus and cortex of SZ and BPD patients. (*Table I*).<sup>2-15</sup> The GABAergic neuropathology is characterized by a decrease in the expression of glutamic acid decarbox-

Component changes in psychosis			
DNMT1, DNMT3A	Increased <sup>1,10-12,32,33</sup>		
TET 1, 2, 3	Increased <sup>26,42</sup>		
APOBEC 3A, 3C	Decreased <sup>26</sup>		
BDNF, Reelin promoters	Enrichment of 5-mC mark <sup>1,15,21,37,40</sup>		
BDNF, GAD67 promoters	Enrichment of 5-hmC mark <sup>21,26</sup>		
BDNF, Reelin, GAD67 expression	Downregulation <sup>2,6,7,9,13,14</sup>		

 Table I. Epigenetic signature in the prefrontal cortex of psychotic patients. APOBEC, apolipoprotein B mRNA-editing enzyme complex; BDNF, brain-derived neurotrophic factor; DNMT, DNA methyltransferase; GAD, glutamic acid decarboxylase; TET, tet methylcystosine dioxygenase

 ylase 67 (GAD67, symbol=GAD1). GABAergic pathology is also characterized by decreased expression of nicotine acetylcholine receptor  $\alpha 4$  (CHRNA4) and  $\alpha$ 7 (CHRNA7) subunits<sup>16</sup> and by a decrease in other receptors abundantly expressed in GABAergic neurons, such as the N-methyl-D-aspartate (NMDA) receptor subunit NR2A (GRIN2A) and the kainate receptor subunit GluR5 (GRIK1).<sup>17-19</sup> Further, there are decreases in somatostatin, tyrosine kinase B (TRKB) receptors, cholecystokinin (CCK), GABA transporter-1 (GAT1), and parvalbumin (PVALB).<sup>17-19,20</sup> Glutamatergic neuropathology is characterized by a decreased expression of brain-derived neurotrophic factor (BDNF), which potentially mediates a reduction in the neuropil detected in the prefrontal cortex (PFC) of SZ and BPD patients.<sup>9,13-15,21-24</sup> It is important to mention that in SZ patients, reduced BDNF expression in pyramidal neurons is accompanied by a significant decrease in TRKB mRNA levels in GABAergic interneurons.<sup>9</sup> Therefore, reduced levels of BDNF and TRKB as well as reelin (RELN) may be responsible for producing the decrease in spine density observed in the brains of SZ patients.

Patients with SZ or BPD often receive antipsychotic medications. Since the control subjects never receive these medications, the question is whether the altered GABAergic and glutamatergic gene expression changes observed in the brains of these patients is the consequence of protracted antipsychotic treatment rather than the etiopathogenetic signature of SZ or BPD. Although, as shown in several postmortem studies, there is no correlation between the levels of GAD67, RELN, or BDNF mRNA and proteins and lifetime dosage of antipsychotic medications,<sup>7,21,25-26</sup> the low statistical power of these postmortem studies may not be sufficient to draw reproducible conclusions.

Protracted haloperidol treatment of rats fails to change RELN mRNA content in cortex and cerebellum.<sup>7</sup> In another study, it was shown that protracted haloperidol treatment fails to change the expression of GAD67 mRNA in the PFC of nonhuman primates.<sup>25</sup> However, it was also reported<sup>27</sup> that chronic (27 days) haloperidol and clozapine treatment increase, rather than decrease, the expression of GAD67 in cortico-limbic structures. Fatemi et al<sup>28</sup> report that chronic olanzapine facilitates the expression of genes involved in signal transduction, cell communication, metabolism, immune responses, and an upregulation of RELN expression in frontal cortex of rats. Costa and his group reported previously that in rats the turnover rate of GABA fails to change with haloperidol but increases with clozapine treatment.<sup>29</sup> Collectively, these data suggest that the downregulation of RELN and GAD67 in brains of SZ and BPD patients must be, at least in part, independent of haloperidol or clozapine treatment, although a more extensive study including additional typical or atypical antipsychotic treatment is needed.

### Is an alteration in DNA methylation the molecular mechanism that mediates the GABAergic and glutamatergic dysfunction in SZ and BPD patients?

There are several epidemiological, clinical, and molecular peculiarities associated with SZ or BPD that are difficult to reconcile with Mendelian genetic disorders and, in contrast, correspond to features of an altered epigenetic homeostasis.<sup>30</sup> Such features include: (i) incomplete phenotypic concordance between monozygotic twins; (ii) peaks of susceptibility to disease coinciding with major hormonal changes; and (iii) parent-of-origin effects. These observations have led to speculation regarding the importance of epigenetic factors in mediating changes in gene expression and psychosis susceptibility.

We previously reported that the downregulation of GAD67 or RELN expression in GABAergic neurons of SZ and BPD patients is associated with an overexpression of DNA methyltransferase 1 (DNMT1) and DNA methyltransferase 3a (DNMT3a, Table I).<sup>10-12,31-33</sup> DNMTs belong to a family of enzymes that catalyze the transfer of a methyl group from S-adenosylmethionine (SAM) to the 5 carbon of cytosines of many gene regulatory domains.<sup>1,34</sup> Although increased DNA methylation induced by the overexpression of DNMTs in SZ and BPD patients may be the cause of GABAergic gene suppression, DNMTs also exert a negative action on gene expression through the formation of repressor complexes. Repressive chromatin complexes contain other specific proteins (eg, methyl CpG binding domain proteins, SIN3A, and histone deacetylases) that act to repress transcription via modifications of chromatin structure, shifting chromatin from a permissive open conformation to a repressive closed conformation.<sup>31,34-38</sup>

Support for the concept that an epigenetic pathology may be responsible for the GABAergic or glutamatergic gene transcriptional downregulation in SZ and BPD patients comes from the following experimental observations: (i) increased S-adenosyl-methionine (SAM) in the PFC<sup>39</sup>; (ii) hypermethylation or hyperhydroxymethylation of cytosines in CpG islands proximal to the *RELN*, *GAD67*, and *BDNF* promoters associated with reduced gene expression in the PFC<sup>21,26,37,40,41</sup>; and (iii) evidence of epigenetic dysregulation of several other GABAergic <sup>42</sup>and glutamatergic genes in major psychosis (*Table I*).<sup>41</sup>

These data are consistent with the epigenetic theory of major psychosis<sup>31</sup> and suggest that methylation/ hydroxymethylation of GABAergic and glutamatergic gene promoters are important causal events in the pathogenesis of SZ and BPD. Furthermore, support for the hypothesis that an epigenetic chromatin remodeling pathology contributes to the downregulation of GABAergic or glutamatergic genes in psychotic patients comes from clinical studies conducted in the early 1970s (for review see ref 43). Methionine, the precursor of SAM (the methyl donor utilized by DNMTs to methylate cytosine in DNA), administered in large doses (10 to 20 g/day) for periods of 3 to 4 weeks to SZ patients, exacerbates psychotic symptomatology. In both mouse frontal cortex (FC) in vivo and rat neuronal cultures in vitro, the administration of large doses of methionine induces an increase in SAM and the hypermethylation of selective GABAergic promoters, including Gad67 and Reln, and facilitates the downregulation of their expression.<sup>35,44-47</sup> Importantly, brain levels of GAD65 and various housekeeping genes were not affected by the treatment.46

### DNA demethylation network process is altered in SZ and BPD patients

Inconsistent results with studies of *RELN*, *GAD67*, and *BDNF* promoter methylation in relation to mental illness<sup>41,48-50</sup> suggest that the DNA methylation status of specific DNA regions in post-mitotic neurons is not stable but, in contrast, is rapidly fluid, maintained by the equilibrium between DNA methylation and demethylation.<sup>51-53</sup> This theory is supported by several independent and particularly interesting findings showing that the 5-methylcytosine (5-mC) mark on promoter regions of specific genes can be oxidized to form 5-hydroxymethylcytosine (5-hmC) by members of the ten-eleven translocation (TET) protein family in mammalian brain.<sup>54-58</sup> Further, it has been proposed that 5-hmC undergoes two subsequent processing steps: (i) a deamination step

catalyzed by the activation induced deaminase (AID)/ apolipoproptein B RNA editing catalytic component (APOBEC) family of cytosine deaminases, that convert 5-hmC to 5-hydroxylmethyluracil (5-hmµ); and (ii) the base excision repair (BER) pathway, in which 5-hmµ is removed and replaced by cytosine by DNA glycosylases such as MBD4 and TDG (*Figure 1*).<sup>21,26,59</sup> Growth Arrest and DNA Damage-inducible 45 (Gadd45)<sup>60,62</sup> proteins are thought to coordinate this process in response to neuronal activity by recruiting deaminases and glycosylases to DNA enriched in 5-mC and 5-hmC.<sup>59,63</sup>

Although numerous studies of DNA methylation have been carried out in postmortem brains of psychotic patients,<sup>21,26,37,40,41</sup> components of the DNA demethylation pathway, with the exceptions of Gadd45 and MBD4, remain largely unknown. Hence, we initiated an investigation into the expression of the TET gene family and AID/APOBEC deaminases in the inferior parietal lobule (IPL) and the cerebellum of a cohort of psychotic patients, which includes a group of BPD patients with psychosis and SZ patients. This cohort also includes a group of major depression (DEP) patients, and nonpsychiatric (control) subjects obtained from the Stanley Foundation Neuropathology Consortium Medical Research Institute (SFNC) (Bethesda, MD).

Our studies<sup>21,26</sup> show that TET1 (mRNA and protein) is markedly increased (2- to 3-fold) in parietal cor-

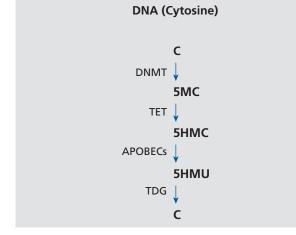


Figure 1. Schematic representation of putative DNA methylation/demethylation. DNMT, DNA methyltransferase; TET, ten-eleven translocation protein; APOBECs, activation induced deaminase/apolipoprotein B RNA editing catalytic components; TDG, thymine DNA glycosylase; C, cytosine; 5MC, 5 methylcytosine; 5HMC, 5-hydroxymethylcytosine; 5HMU, 5-hydroxymethyluridine tex of psychotic patients and this increase is associated with an increase of 5-hmC level in genomic DNA containing the *GAD67* and *BDNF-IX* promoters in proximity of their transcriptional start sites (TSSs, *Table I*). The increase may be specific to the cortex because the cerebellum of the same patients fails to show significant TET1 changes, even though the levels of TET1 are 3 to 4 times higher in cerebellum than in the cortex. The increase of TET1 in cortex of psychotic patients cannot be attributed to confounding demographic variables, nor to the type and dose of antipsychotic used during treatment. Furthermore, the increase of TET1 and of 5-hmC may not generalize to all major psychiatric disorders because it is absent in the depressed patient group.<sup>26</sup>

The role of TET1 may be to facilitate the removal of 5-mC from gene regions via formation of the intermediate 5-hmC so as to favor deamination catalyzed by the AID/APOBEC family of cytidine deaminases and the removal of 5-hmµ by the BER pathway (Figure 1). TET1-dependent active DNA demethylation and the concomitant increase in gene expression may occur in brain under physiological conditions.<sup>56</sup> However, in the cortex of our psychotic patients we found an increase of TET1 that positively correlates with an increase of 5-hmC at genomic DNA containing promoters such as GAD67 and BDNF, which have been consistently associated with reduction in the expression of these target genes.14,21,26,42,64,65 A possible explanation for the unexpected finding is that increased TET1 is associated with a downregulation of some of the main APOBEC deaminating enzymes.<sup>26</sup> An alternative explanation for the role of TET1 in transcriptional repression is that the repressive function of TET1 is independent of its catalytic activity. In fact it has been shown that TET1, which contains a CXXC domain,66-68 recruits polycomb repressive complex 2 and SIN3A corepressor proteins to target genes, suggesting that this repressive protein and SIN3A may play an important role in TET1-mediated gene silencing.<sup>67</sup>Although our statistical analysis with medications as a covariant failed to show an influence of drug treatment on TET and 5-hmC expression, a role for medication in regulating gene expression in postmortem studies cannot be excluded. In fact, the medication history in the demographic records lacks detail and precision. In addition, there is evidence that different antipsychotics have different effects on epigenetic mechanisms.<sup>69</sup>

### DNA methylation/demethylation as targets for antipsychotic medications

A limitation of postmortem studies on DNA methvlation/demethylation in brain is the possibility that changes in the levels of the methylation/demethylation network proteins detected in SZ and BPD patients are induced, at least in part, by antipsychotic treatment and do not represent the pathophysiology of SZ and BPD. To investigate associations between epigenomics, SZ or BPD, and antipsychotic treatment in blood cells, Melas et al<sup>70</sup> examined genomewide DNA methylation levels in the leukocytes of SZ patients. They report a global DNA hypomethylation in SZ patients that was in part rescued by haloperidol treatment. This study, however, has a major limitation: the majority of patients showing global DNA hypomethylation were treated with antipsychotics different from haloperidol. Since several antipsychotic drugs, with the exception of haloperidol, display demethylating properties,<sup>69</sup> the DNA hypomethylation observed in leukocytes of SZ patients treated with antipsychotics may be the consequence of chronic antipsychotic treatment that affects the transcription of genes by altering their epigenetic profiles. Aoyama et al<sup>71</sup> reported that clozapine but not haloperidol ameliorates epigenetic and behavioral abnormalities induced by phencyclidine through activation of DA D1 receptors in mice. Melka et al<sup>72</sup> examined the effect of olanzapine, an analog of clozapine, on DNA methylation status of genes of DA neurotransmission in rats. The results show that olanzapine causes methylation changes in genes associated with DA neurotransmission, not only in the hippocampus and cerebellum, but also in the liver. Bonsch et al<sup>73</sup> studied methylation of genomic DNA and promoter methvlation of RELN and SOX10 in peripheral blood of twins suffering from SZ. Global DNA methylation was significantly reduced in SZ twins but this reduction was more pronounced in nonmedicated rather than medicated SZ twins. In contrast, in discordant twins there was a relative hypermethylation of the SOX10 promoter.<sup>73</sup> Taken together, these data underscore the need of further investigations into the action of antipsychotics on transcription of monoaminergic and other neurotransmitter genes in altering DNA methylation profiles in brain.

### DNA promoter methylation patterns in animal models of SZ

To test whether antipsychotic drugs alter DNA methylation profiles in brain, we developed two animal models. A first model in which epigenetic modifications of GABAergic genes are induced by administering large doses of methionine,<sup>46,47</sup> and a second model in which epigenetic modifications of GABAergic and glutamatergic genes were induced by prenatal stress.<sup>74,75</sup>

In the first model, mice were treated<sup>46-47,76</sup> for protracted periods with methionine to induce Reln and Gad67 promoter hypermethylation as reflected by their reduced expression. The ratio of 5-mC to unmethylated cytosine (C) of the murine Reln promoter from -340 to + 160 bp or the murine Gad67 promoter from -760 to  $-311^{77}$  was quantified by measuring the fraction of Reln or Gad67 promoter immunoprecipitated by specific anti-5-mC, 5-hmC, or anti-methylcytosine binding protein-2 (MeCP2) antibodies with competitive RT-PCR.<sup>21,26,78</sup> Methionine administration induces: (i) an increase of SAM levels in brain; (ii) RELN and GAD67 promoter hypermethylation<sup>78,79</sup>; (iii) downregulation of RELN and GAD67 mRNA and protein expression<sup>46,47</sup>; and (iv) reprogramming of RELN and glucorticoid receptors (NR3C1) in hippocampal pyramidal neurons.<sup>80</sup>

*GAD67* and *RELN* were not the only promoters hypermethylated by methionine treatment. ChIP-on-chip assays showed that in mice receiving methionine, about 5% of the promoters were hypermethylated in the FC of mice.<sup>79</sup> Interestingly, the *GAD65*, *neuron specific enolase* (*NSE*), and *glyceraldehyde-3-phosphate dehydrogenase* (*G3PDH*) promoters are not hypermethylated by the administration of methionine, suggesting that promoter hypermethylation is cell- and gene-specific.

Studies in cultured cortical neurons<sup>38,44</sup> not only show that DNA hypermethylation induced by methionine is blocked by siRNA-mediated DNMT specific knockdown or by DNMT antagonists, but also that this blockade induces the overexpression of RELN, GAD67, or BDNF. Dong et al<sup>79</sup> reported that if methionine is withdrawn from mice after 7 days of treatment, *RELN* hypermethylation decreases by ~50% after the seventh day and returns to control levels after 12 to 14 days of withdrawal.

In the second mouse model of SZ, we based our studies on the suggestion that a variety of prenatal stressors are related to high risk for cognitive and be-

havioral abnormalities associated with psychiatric illness.<sup>81</sup> Using offspring of prenatal restraint stressed pregnant mice (PRS mice), we explored the long-term effect of PRS on behavior and on the expression of key chromatin remodeling factors including DNMT1 and TET and of GABAergic (GAD67, RELN) and glutamatergic (BDNF I-IX) target genes. Adult offspring of PRS-treated mothers demonstrate abnormalities in prepulse inhibition (PPI), locomotor activity, and social interaction. We found that there is a significant increase of DNMT1 and TET1 both in the FC and hippocampus of PRS offspring. Furthermore, there is a significant decrease in GAD67, RELN, and BDNF mRNA and protein levels.74-75 The results from methyl/ hydroxymethyl-DNA immunoprecipitation (MeDIP/ hMeDIP) studies show that 5-mC and 5-hmC levels are enriched at the GAD67, RELN, BDNF promoter regions. Thus, epigenetic changes in PRS mice are similar to changes observed in postmortem brains of psychiatric patients<sup>21,26,42</sup> and represent a reasonable model where the effect of drugs on altered DNA methylation may be studied.

### DNA methylation/demethylation processes can be targets of antipsychotic drugs

Recent work demonstrates that methylation of a CpG island located ~30kb upstream of the gene encoding mitogen-activated protein kinase I (MEK1) is significantly correlated with lifetime antipsychotic use in postmortem BPD samples, with greater lifetime antipsychotic use associated with reduced levels of DNA methylation.<sup>41</sup> This finding is interesting given the involvement of MAPK1 signaling pathways in mediating intra-neuronal signaling and the observation that clozapine activates this pathway by interacting with MEK1.82 As already discussed, leukocytes obtained from SZ patients receiving typical or atypical antipsychotics including clozapine but not haloperidol show global DNA hypomethylation.<sup>70</sup> To address preclinically the issue of whether antipsychotic drugs acting on epigenetic mechanisms reduce methylation of hypermethylated DNA, correct the consequent downregulation of these genes in brain of SZ patients, and ameliorate SZ-like behavioral abnormalities, experiments were carried out in mice treated with methionine or in prenatally restraint mice. We found that clozapine and not haloperidol corrects the hyperlocomotor activity, the social interaction, and PPI deficit in methionine-treated and PRS mice. At the dose that corrects these abnormal behaviors, clozapine also induces chromatin remodeling (increase in the acetylation of histone 3 lysine 9) and facilitates demethylation of GABAergic promoters in the FC and striatum of methionine-treated mice. The effect of clozapine on GABAergic promoter methylation is shared by other dibenzepines including olanzapine and quetiapine, and by the benzamide sulpiride but not by haloperidol and risperidone (*Table II*).<sup>42,69,79</sup>

Drug	mg/kgª	DNA demethylation <sup>b</sup>
Clozapine	1.25	+++
Olanzapine	10	+
Quetiapine	10	+
Haloperidol	1.5	-
Risperidone	10	-
Sulpiride	20	++

Table II. Action of typical and atypical antipsychotics on DNA demethylation. <sup>a</sup> mg/kg : drugs were given s.c. twice a day for 3 days.
 <sup>b</sup> DNA demethylation refers to reelin and GAD67 promoter demethylation. Under basal conditions, 10% of methionine-induced hypermethylated reelin or GAD67 promoters is demethylated in 3 days after methionine withdrawal. (+) = 30-35% increase DNA demethylation over basal activity.(+++) = 75-90% increase DNA demethylation over basal activity. Data from ref 79

We found that the *RELN* and *GAD67* promoters can be significantly demethylated in the FC of mice receiving 3 days of treatment with clinically relevant doses of clozapine and relatively high doses of quetiapine and olanzapine in the presence or absence of a threshold HDAC inhibitory dose of valproate (VPA).<sup>42,79</sup> Because, in the same mice, *RELN* promoter methylation in the liver fails to change, we infer that clozapine and congeners modify methylation in the CNS and specifically in GABAergic and glutamatergic neurons, thereby increasing, for example, the expression of RELN, GAD67, and BDNF.

### Effect of clozapine on DNA demethylation

The precise mechanism whereby clozapine and its congeners or VPA and other HDAC inhibitors induce DNA demethylation of select promoters remains to be elucidated. Recently, it was reported that electro-convulsive treatment (i) induces Gadd45  $\beta$  expression; (ii) increases Gadd 45  $\beta$  binding to cytosine deaminase

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or G/T mismatch glycosylase; and (iii) induces DNA demethylation at specific promoters (ie, Bdnf, Fgf-1). The observed DNA demethylation is abolished in Gadd45 KO mice.<sup>63</sup> Hence, it is thought that Gadd45 proteins exert a regulatory role on DNA-methylation/ demethylation processes. We examined the possibility that antipsychotic drugs elicit functionally relevant DNA-demethylation changes altering the expression or activity of Gadd45  $\alpha$ ,  $\beta$ , or  $\gamma$ . Clozapine, in doses that induce promoter demethylation, increases Gadd45  $\beta$ expression.<sup>42</sup> Taken together, the data suggest that in addition to DNMTs, neuronal promoter methylation can be regulated by the activity of additional proteins that participate in removing the methyl group operating under constraints imposed by Gadd45. Hence, evidence suggests that in neurons, promoter methylation is a dynamic process that can be altered in response to environmental factors, such as stress, drugs, and various psychopathologies.

### Histone deacethylase inhibitors and DNA demethylation

VPA (a mood stabilizer and anticonvulsant drug) has been coadministered for over a decade with atypical antipsychotics to medicate BPD and in some cases SZ patients.83-84 VPA or other histone deacethylase (HDAC) inhibitors (ie, the benzamide MS275) induce promoter demethylation by activating DNA-demethylation mechanisms.<sup>42,79,85</sup> VPA and MS275, like clozapine, correct the RELN and GAD67 promoter hypermethvlation, the decrease in GAD67 and RELN expression and the PPI and social interaction deficits induced by protracted methionine treatment.47,79 Furthermore, VPA corrects the hyperactivity, social interaction, and PPI deficits in offspring of prenatally stressed mothers at doses that have no major effect in control mice.<sup>74</sup> The coadministration of VPA and clozapine but not that of VPA and haloperidol, in parallel with a synergistic increase of DNA demethylation, induces histone 3 (H3) hyperacetylation at RELN or GAD67 promoters in the mouse FC.47,79 It is possible that VPA elicits functionally relevant DNA demethylation changes by altering the expression or activity of Gadd45  $\alpha$  or  $\beta$ . In fact, we found that in FC of mice that had been given 70 mg/kg of VPA (three days/twice a day), there is an increase of Gadd45 ß mRNA compared to vehicle-treated controls.42

The data presented in this review strongly support the provocative concept that the coadministration of VPA with clozapine, by activating DNA demethylation, reverses a repressed nuclear epigenetic function expressed in postmitotic cortical GABAergic neurons of SZ or BPD patients. Although VPA per se fails to elicit antipsychotic activity, when administered in combination with antipsychotics such as haloperidol, it restores the capacity of aged mice to respond to haloperidol in the condition avoidance test.<sup>86</sup>

### **Concluding remarks**

Recent breakthroughs in the study of aberrant molecular mechanisms operative in SZ and BPD point to a decreased expression of several genes in GABAergic interneurons, most likely caused by promoter hypermethylation mediated by overexpression of DNMT and TET in these cells.<sup>1,10-12,26,33,38</sup>

An epigenetic modulation of telencephalic GAB-Aergic function may be responsible for disinhibiting pyramidal neurons that provide an excitatory input to dopamine cells in the ventral tegmental area (VTA) or serotonin cells in the raphe nucleus. The resulting hyper-dopaminergic or -serotoninergic state further increases pyramidal neuron excitability and presumably induces psychotic symptoms in SZ and BPD patients.<sup>87,88</sup> Taken together, these data suggest that to produce a significant symptomatic improvement of SZ or BPD morbidity, it may be desirable to pharmacologically reverse promoter hypermethylation in vulnerable GABAergic neurons. We have attempted to establish a preclinical strategy for evaluating drugs that facilitate DNA demethylation by either (i) reducing DNMT activity (ie, administering DNMT inhibitors); or (ii) promoting recruitment of DNA demethylation complexes to facilitate changes in chromatin remodeling.

The ability of clozapine, in clinically relevant doses on the one hand, and the inability of haloperidol or risperidone on the other, to influence chromatin remodeling and induce DNA demethylation in experimental animals may explain why clozapine is considered the "gold standard" among medications used for the treatment of cognitive and negative symptoms in antipsychotic-resistant SZ patients.<sup>89</sup> In fact, in addition to its well-known effect on monoamine receptors, clozapine is unique in that it also has an effect on chromatin remodeling in clinically relevant doses. An analysis of the

data of *Table II* suggests that the action of clozapine and its derivatives on chromatin remodeling is independent of its action on catecholamine or serotonin receptors. To validate this concept, the effect of clozapine and congeners on chromatin remodeling should be studied in mice with a genetic ablation of dopamine or 5-HT receptor subtypes. It must be stressed that the current preclinical work in experimental animals has limitations because the animal models fail to reach the complex pathophysiology underpinning of SZ morbidity.

In postmortem brain studies, we and others reported that in the cortex of psychotic patients the DNA promoter methylation/hydroxymethylation of GABAergic and glutamatergic genes is increased while the expression of the respective mRNAs is decreased (Table I). These changes appear to be independent of antipsychotic or VPA treatment. Hence, we cannot exclude the possibility that antipsychotic drugs only partially correct the epigenetic alterations of GABAergic and glutamatergic genes, because the cause-effect relationship between GABAergic or glutamatergic promoter methylation and gene expression may be altered in psychotic patients. For example, methylation of specific promoters can be increased in the absence of changes in the levels of DNA-methylating enzymes by increasing brain SAM levels, possibly by administering methionine.<sup>46,47</sup> An example of a nonlinear cause-effect relationship between BER (base excision repair) protein levels and promoter methylation is that increased expression of Gadd45  $\beta$  in psychosis is not followed by a decrease of *BDNF* promoter methylation.<sup>21</sup> One explanation for these unexpected results is suggested by chromatin immune precipitation experiments. In these experiments, despite high levels of GADD45  $\beta$ , psychotic patients show reduced Gadd45  $\beta$  binding

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to *BDNF-IX* compared with nonpsychiatric controls. It has been suggested that a restrictive chromatin state (ie, histone tail methylation) in psychosis<sup>90</sup> could obstruct or fail to recruit Gadd 45 $\beta$  binding to specific promoters.<sup>21</sup> Furthermore, the relationship between TET 1 expression and enrichment of 5-hmC at specific promoters may not be linear. In fact, a bidirectional biological role of TET1 has been proposed: one in which TET1 removes aberrant DNA methylation and another that proposes a nonenzymatic role of TET 1 in transcriptional repression.

Considering the complex nature of the epigenetic mechanisms underlying the symptomatology of SZ and BPDs, our ability to correct altered promoter methylation with typical or atypical antipsychotic drugs appears inadequate. However, interestingly, we have shown that dibenzepine derivatives (clozapine, quetiapine, and olanzapine), and not the butyrophenone derivative haloperidol and the piperidyl-benzisoxazole derivative risperidone, induce chromatin remodeling changes and activate DNA-demethylation of GABAergic and glutamatergic promoters (Table II). This observation suggests that dibenzepines by reducing promoter hypermethylation may contribute to correcting the dysregulation of GABAergic and glutamatergic transmission present in the brain of animal models of SZ and perhaps in the brain of SZ and BPD patients. In the future, the identification of factors contributing to DNA demethylation and a better understanding of how drugs activate or inhibit DNA demethylation pathways in brain may pave the way towards a better understanding of the disease and improve pharmacotherapeutic strategies.  $\Box$ 

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### La metilación y la desmetilación del ADN como blancos para la terapia antipsicótica

Los pacientes con esquizofrenia (EQZ) y trastorno bipolar (TAB) muestran una regulación hacia abajo de GAD67, reelina (RELN), factor neurotrófico derivado del cerebro (BDNF) y otros genes expresados en neuronas glutamatérgicas y gabaérgicas del telencefálo. Esta regulación hacia abajo está asociada con un aumento de 5-metilcitosina y 5-hidroximetilcitosina en la zona proximal de las regiones reguladoras de genes en los respectivos genes. Una estrategia farmacológica para reducir la hipermetilación del promotor e inducir una conformación de cromatina más permisiva es la administración de fármacos como el valproato (VPA), inhibidor de la histona deacetilasa (HDAC), que facilita la remodelación de cromatina. Estudios en modelos de ratones con EQZ indican que la clozapina induce desmetilación de ADN en promotores relevantes y que esta acción es potenciada por VPA. Al activar la desmetilación de ADN, la clozapina o sus derivados con VPA u otros inhibidores más potentes y selectivos de la HDAC pueden constituir una prometedora estrategia terapéutica para corregir los déficits en la expresión génica detectada en cerebros postmortem de pacientes con EQZ y con TAB.

#### La méthylation et la déméthylation de l'ADN comme cible pour le traitement antipsychotique

Les patients souffrant de schizophrénie (SZ) et de troubles bipolaires (BPD) présentent une régulation négative du GAD67, de la reelin (RELN), du facteur neurotrophique dérivé du cerveau (BDNF) et d'autres gènes exprimés dans les neurones télencéphaliques GABAergiques et glutamatergiques. Cette régulation négative est associée à l'enrichissement de la 5-méthylcytosine et de la 5-hydroxyméthylcytosine en position proximale au niveau des domaines régulateurs du gène dans les gènes respectifs. L'administration de médicaments, comme l'acide valproïque (VPA), inhibiteur de l'histone désacétylase (HDAC), qui facilite le remodelage de la chromatine est un moyen pharmacologique pour diminuer l'hyperméthylation du promoteur et pour induire une conformation plus souple de la chromatine. Des études sur des modèles murins de SZ indiquent que la clozapine induit la déméthylation de l'ADN au niveau des promoteurs essentiels, cette action étant potentialisée par le VPA. En activant la déméthylation de l'ADN, la clozapine ou ses dérivés avec le VPA ou d'autres inhibiteurs HDAC plus puissants et plus sélectifs peuvent être une stratégie thérapeutique prometteuse pour corriger les déficits de l'expression de gènes détectés dans les cerveaux postmortem des patients atteints de SZ et BPD.

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