



## Epidemiological Characteristics and Transmissibility for SARS-CoV-2 of Population Level and Cluster Level in a Chinese City

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Yu S, Cui S, Rui J, Zhao Z, Deng B, Liu C, Li K, Wang Y, Yang Z, Li Q, Chen T and Wang S (2022) Epidemiological Characteristics and Transmissibility for SARS-CoV-2 of Population Level and Cluster Level in a Chinese City. Front. Public Health 9:799536. doi: 10.3389/fpubh.2021.799536 **Background:** To date, there is a lack of sufficient evidence on the type of clusters in which severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is most likely to spread. Notably, the differences between cluster-level and population-level outbreaks in epidemiological characteristics and transmissibility remain unclear. Identifying the characteristics of these two levels, including epidemiology and transmission dynamics, allows us to develop better surveillance and control strategies following the current removal of suppression measures in China.

**Methods:** We described the epidemiological characteristics of SARS-CoV-2 and calculated its transmissibility by taking a Chinese city as an example. We used descriptive analysis to characterize epidemiological features for coronavirus disease 2019 (COVID-19) incidence database from 1 Jan 2020 to 2 March 2020 in Chaoyang District, Beijing City, China. The susceptible-exposed-infected-asymptomatic-recovered (SEIAR) model was fitted with the dataset, and the effective reproduction number ( $R_{eff}$ ) was calculated as the transmissibility of a single population. Also, the basic reproduction number ( $R_0$ ) was calculated by definition for three clusters, such as household, factory and community, as the transmissibility of subgroups.

**Results:** The epidemic curve in Chaoyang District was divided into three stages. We included nine clusters (subgroups), which comprised of seven household-level and one factory-level and one community-level cluster, with sizes ranging from 2 to 17 cases. For the nine clusters, the median incubation period was 17.0 days [Interquartile range (IQR): 8.4–24.0 days (d)], and the average interval between date of onset (report date) and diagnosis date was 1.9 d (IQR: 1.7 to 6.4 d). At the population level, the transmissibility of the virus was high in the early stage of the epidemic ( $R_{eff} = 4.81$ ). The transmissibility was higher in factory-level clusters ( $R_0 = 16$ ) than in community-level clusters ( $R_0 = 3$ ), and household-level clusters ( $R_0 = 1$ ).

**Conclusions:** In Chaoyang District, the epidemiological features of SARS-CoV-2 showed multi-stage pattern. Many clusters were reported to occur indoors, mostly from households and factories, and few from the community. The risk of transmission varies

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by setting, with indoor settings being more severe than outdoor settings. Reported household clusters were the predominant type, but the population size of the different types of clusters limited transmission. The transmissibility of SARS-CoV-2 was different between a single population and its subgroups, with cluster-level transmissibility higher than population-level transmissibility.

Keywords: COVID-19, transmissibility, population-level, cluster-level, mathematical model

### INTRODUCTION

The global pandemic of the coronavirus disease 2019 (COVID-19) has had a serious impact on public health systems. COVID-19 differs significantly from infectious diseases such as SARS and influenza in terms of epidemiological characteristics (1). As for the transmissibility, COVID-19 is more infectious than influenza, but weaker than measles (2).

Of those people who presented with symptoms significant enough to be classed as patients, the majority (81%) presented with mild to moderate symptoms, while 14% presented with severe symptoms, and 5% suffer critical symptoms (3). Older people are at a higher risk of developing severe symptoms (4). It is necessary to estimate the transmissibility of COVID-19 to determine the severity and size of the pandemic, and to design appropriate interventions and responses to protect the population and control the spread of the disease (5). A systematic review found that the population-level transmissibility of SARS-CoV-2 was 3.32 (95% CI, 2.81 to 3.82) (6), with WHO estimates ranging from 1.4 to 2.5 (7). The transmissibility of the Delta variant, is substantially higher. Among five studies cataloged in October 2021, the mean estimated basic reproduction number  $(R_0)$  was 5.08 for Delta (8).

Most studies for cluster-level epidemic focused on the epidemiological characteristics of transmission chains and case profiles across generations (9–11). Researchers have found many examples of SARS-CoV-2 clusters associated with indoor settings, with many reports from households, few from schools, and increasing reports from hospitals and elderly care settings across Europe (12). Limited studies have focused on the transmissibility of the virus in cluster-level outbreaks. A SEIR (susceptible-exposed-infectious-removed) based modeling study calculated an  $R_0$  of 3.06 (95% CI: 2.64–3.51) for 15 clusters (13). Another cluster-based study found a mean transmission rate of 1.86 per case among family members (14).

To amplify the reasons for the reported heterogeneity in transmission: the number of people infected by one infected person generally varies (15); as only 10 to 20% of the population are responsible for the disease's spread (16). It often spreads in clusters, where infections can be traced back to an index case or geographical location (17). In these instances, superspreading events often occur, where many people are infected by one person (15). Thus, we need to know in which types of clusters the virus is most likely to be transmitted. Notably, the differences in the epidemiological characteristics and transmissibility of cluster-level and population-level outbreaks remain unclear. Following the current removal of suppression measures in China, identifying the characteristics of both levels including epidemiology and transmission dynamics allows us to develop better surveillance and control strategies.

In this study, we first compared and analyzed the differences in epidemiological characteristics of COVID-19, including the population distribution, incubation period, and time interval. We then proposed the SEIAR (Susceptible-Exposed-Infectious-Asymptomatic-Removed) model (18–20) for calculation of population-level transmissibility (PLT). After a rigorous investigation to clarify the relationship between primary and secondary cases, we calculated the cluster-level transmissibility (CLT) by definition (21). CLT is defined as the expected number of cases infected by a single case during the entire infectious period.

### METHODS

### **Study Design**

This study was divided into four sections (**Figure 1**). The first section briefly described the epidemiological characteristics of reported COVID-19 cases, including temporal, geographical, age and gender distributions, source of infection, clinical severity as well as incubation period and time interval. In the second section, the SEIAR model was established for calculating  $R_{eff}$  as PLT (i.e., population-level transmissibility). The calculation of CLT (i.e., cluster-level transmissibility) by definition of  $R_0$  were presented in the third section. The final section compared cluster-level and population-level transmissibility.

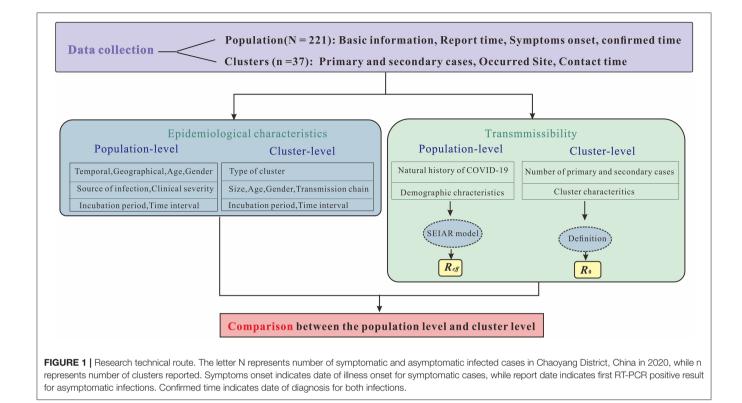
### **Data Sources**

In this study, we collected daily reported COVID-19 cases in Chaoyang District (**Figure 2**) from 1 January 1 2020 to 31 December 2020 from the Chinese Center for Disease Control and Prevention through the National Notifiable Disease Surveillance System (22). The variables included in the COVID-19 dataset mainly comprised gender, age, occupation, the site of residence, symptomatic infections, asymptomatic infections, date of onset, date of diagnosis, date of discharge or death, and severity of disease. Demographic data were obtained from the Chinese Statistical Yearbook. For definitions of symptomatic and asymptomatic infected cases and clinical types, we refer to the Prevention and Control Program for COVID-19 (23) published by the National Health Council.

### Definitions Used for Each of Our Transmission Setting Types

In our study, types of clusters were defined according to where they occurred (**Table 1**). There are basically three types of places where a person stays: one leaves his or her home and

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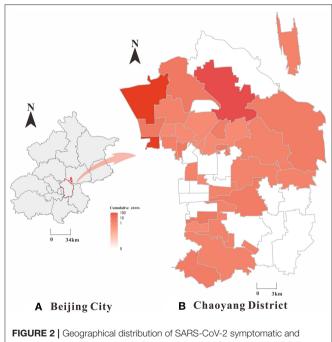


FIGURE 2 | Geographical distribution of SARS-CoV-2 symptomatic and asymptomatic infected cases in Chaoyang District, China (2020). (A) Beijing City; (B) Chaoyang District; The map depicted in this figure was taken from Wikimedia Commons (http://commons.wikimedia.org/wiki/Main\_Page).

go to work, and all the places he or she passes through are called communities. Clusters at the household level imply that these outbreaks occurred at home. Factory-level clusters refer TABLE 1 | Definitions used for each of our transmission setting types.

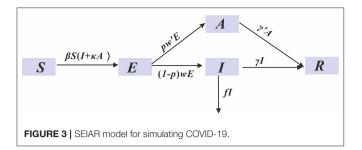
Transmission setting	Definition
Household	Transmission between individuals in a shared living space
Factory	In the workplace, typically an office.
Community	Where transmission occurs on public property and does not fall into any of the above two settings e.g. supermarkets, buses, hotel, park, etc.

to places like offices or schools, which consist of various places where people work. Community-level clusters mean that these outbreaks occur anywhere outside the home and workplace, such as supermarkets, buses, etc.

### Estimation of PLT Based on SEIAR Model

We take the actual data from 1 January 1 2020 to 2 March 2020 to fit the SEIAR model. Our model (**Figure 3**) was built based on the approach described in our previous paper (18) (See **Supplementary Materials** for detailed information of this model). The estimation of the parameters and the initial values of the variables were shown in **Table 2**. We calculated the PLT using this SEIAR model.

At population-level epidemics, the population was not completely susceptible or the intervention measures were taken, the effective reproductive number ( $R_{eff}$  or  $R_t$ ) was used to express the transmissibility of infectious diseases.  $R_{eff}$  is defined as the expected number of secondary cases arising from a single infected individual at time t, with a given level of immunity in



**TABLE 2** | The definition and values of parameters in SEIAR model of COVID-19 in Chaoyang District, China (2020).

Parameter	Definition	Value	Range	Source
β	Transmission relative rate	-	≥ 0	Curve fitting
κ	Relative transmissibility rate of asymptomatic to symptomatic individuals	0.5000	0–1	(24)
р	Proportion of the asymptomatic	0.0357	0–1	Actual data
1/ω	Incubation of symptomatic	7	0–1	(23)
1/ω'	Incubation of asymptomatic	5	0–1	(23)
1/γ	Infectious period of symptomatic	25	0–1	Actual data
1/γ'	Infectious period of asymptomatic	6	0–1	(24)
f	Fatality of the disease	0.0000	0–1	Actual data

-, Represents the result to be fitted.

the population. In this study,  $R_{eff}$  is calculated by the second-generation matrix method:

$$\lim_{dr \to \infty} R_{eff} = \beta S(\frac{1-p}{\gamma+f} + \frac{\kappa p}{\gamma})$$
(1)

where  $\beta$  denotes the infection rate coefficient, which is the average number of contacts per person per time multiplied by the probability of disease transmission in a contact between susceptible and an infectious subjects; *S* is the susceptible population; *p* denotes the proportion of the asymptomatic infectious;  $\gamma$  denotes the probability of an infectious individual recovering within the average infectious time period, and  $1/\gamma$  means the infectious period of symptomatic infections; *f* means the fatality of the disease;  $\kappa$  indicates the relative transmission rate of asymptomatic infections.

### **Estimation of CLT Based on Definition**

At cluster-level epidemics, the basic reproduction number  $(R_0)$  was suitable for evaluating the transmissibility quantitatively. Based on the nine clusters according to the inclusion and exclusion criteria (See **Supplementary Figure S1** for detailed information), we aimed to calculate the CLT based on the definition of  $R_0$  (See **Supplementary Materials** for definition of  $R_0$ ). This calculation method required a detailed investigation to clarify the relationship between the cases which was available in studies of clusters. We directly divided the number of cases in the (n + 1) generation by the number of cases in the (n) generation. For example, Ebola has an  $R_0$  of two, so on average each person with Ebola passes it on to the two other people (See **Supplementary Figure S2**). The formula is as follows,

$$R_0 = \frac{N^{(n+1)th}}{N^{nth}} \tag{2}$$

where N denotes the number of cases,  $N^{(n+1)th}$  means the number of cases in the (n+1) generation,  $N^{nth}$  means the number of cases in the (n) generation. Cases mean symptomatic or asymptomatic infections.

### **Statistical Analysis**

Microsoft Excel 2019 software (Microsoft Corp, USA) was used for the entry and management of the relevant data. We performed all statistical analysis with IBM SPSS Statistics for Windows, version 26.0 (IBM Corp., Armonk, N.Y., USA), and p < 0.05 (typically  $\leq 0.05$ ) was statistically significant. R 3.6.3 software and Data Map 6.2 software (Microsoft Corp, USA) were used for spatial map analysis. The software used in the model simulations was Berkeley Madonna 8.3.18 (developed by Robert Macey and George Oster at the University of California, Berkeley. Copyright©1993-2001 Robert I. Macey and George F. Oster). The differential equations were solved by the fourth-order Runge-Kutta method, and model convergence was based on the least root mean square (LRMS). The coefficient of determination ( $R^2$ ) was used to assess the goodness of fit (24, 25).

### RESULTS

## Population-Level Epidemiological Characteristics

In 2020, the COVID-19 outbreak in Chaoyang District was divided into three different stages of prevention and control (**Figure 4**). The first stage (January 1 2020 to February 29 2020) was the control of cases from Chinese cities including Wuhan City. The second stage (March 1 2020 to May 31 2020) was to control cases from abroad. The third stage (June 1 2020 to December 31 2020) was to control local clusters caused by imported cases.

We found a balanced gender distribution (sex ratio = 104:107) among COVID-19 cases (**Table 3**). The majority of patients were between 20 and 59 years of age, with a median age of 33 years. Critical and severe cases (21/211, 10%) were rare. The rate of asymptomatic infection was 0.004 per 1,000 people.

For the epidemiological parameters (**Table 4**), we set a fixed incubation period of 5.0 to 7.0 days [d] for symptomatic and asymptomatic cases of infection, respectively, based on previous study (23). The shortest time interval was 0.1 d, the longest was 33.2 d, and the median interval was 3.4 d [interquartile range (IQR), 1.6–7.0 d]. The time interval is longer for symptomatic infections [Median (M), 3.4 d] than for asymptomatic infections (M, 0.9 d).

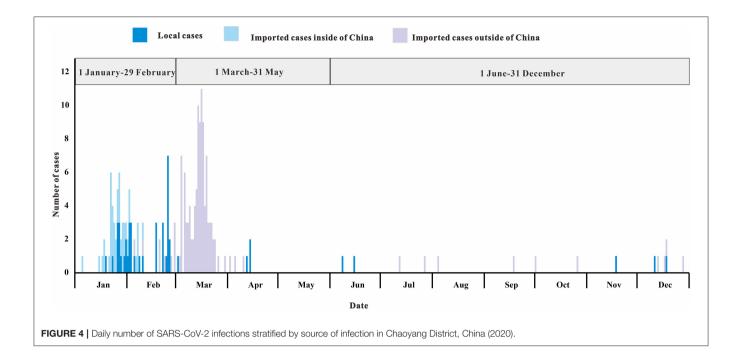


TABLE 3 | Characteristics of cases<sup>a</sup> in three stages in Chaoyang District, China (2020).

Characteristics	1 January-29 February	1 March-31 May	1 June-31 December	In total
	<i>n</i> = 87	<i>n</i> = 109	<i>n</i> = 15	<i>n</i> = 211
Source of infection				
Local cases	38(43.7)	4(3.7)	5(33.3)	47(22.3)
Imported cases inside of China	40(46.0)	O(0)	O(0)	40(19.0)
Imported cases outside of China	9(10.3)	105(96.3)	10(66.7)	124(58.7)
Sex				
Male	49(56.3)	46(42.2)	9(60.0)	104(49.3)
Female	38(43.7)	63(57.8)	6(40.0)	107(50.7)
Age, years				
Median(IQR)	42 (31–54)	24 (20–39)	36 (23–48)	33 (22–47)
0–19	7 (8)	20(18.3)	2(13.3)	29(13.7)
20–39	29(33.3)	62(56.9)	7(46.7)	98(46.4)
40–59	38(43.7)	24 (22)	4(26.7)	66(31.3)
60–79	11(12.6)	3(2.8)	2(13.3)	16(7.6)
≥80	2(2.3)	O(0)	O(0)	2(1.0)
Clinical severity				
Symptomatic patients				
Mild patients	36(41.4)	56(51.4)	8(53.3)	100(47.4)
Moderate patients	29(33.3)	47(43.1)	6 (40)	82(38.9)
Severe patients	7(8.0)	O(0)	O(0)	7(3.3)
Critical patients	8(9.2)	6(5.5)	O(0)	14(6.6)
Asymptomatic patients				
	7(8.0)	O(0)	1(6.7)	8(3.8)

Data are presented as no. (%) of cases unless otherwise indicated.

a: Cases are comprised of symptomatic and asymptomatic infected cases.

b: IQR is the abbreviation of Interquartile range.

### Cluster-Level Epidemiological Characteristics

Four clusters (44.4%) reported only one secondary case and another five clusters (55.6%) reported more than two secondary cases. The size of each cluster ranged from 2 to 17 cases (M = 2). In 2020, clusters occurred from the initial household to the factory to the community (**Figure 5**).

For nine clusters, the difference between the shortest (3.0 d) and longest (30.0 d) incubation period was 10 times. The median incubation period is 17.0 d (IQR, 8.4–24.0 d). The shortest time interval was 0 d, while the longest was 32.0 d, and the average time interval was 1.8 d (IQR, 1.7–6.4 d). The factory-level cluster had the longest incubation period (M, 24.0 d). The shortest time interval was 0 d (IQR, 3.2–9.6d) for the household-level clusters (**Table 5**).

# Population-Level Transmissibility for SARS-CoV-2

The modeling results showed (Figure 6) that  $R_{eff}$  reached 4.81 before February 1, that is, an infected person can infect

TABLE 4   Population-level epidemiological parameters.											
		Symptomatic patients	Asymptomatic patients	In total							
Incubation period, days	(2) <sup>a</sup>	5.0	7.0	-							
Time interval <sup>b</sup> , days	Min-Max	0.3–33.2	0.1-1.4	0.1–33.2							
	Median, IQR	3.4(1.7–7.1)	0.9(0.7–1.0)	3.4(1.6–7.0)							

<sup>a</sup>: The population-level incubation period is fixed based on previous studies.

b: The dataset we built includes the information on diagnosis date and date of onset as well as report date. For symptomatic infections, time interval is equals to diagnosis date minus date of onset. While for asymptomatic infections, time interval is equals to diagnosis date minus report date.

an average of 4.81 susceptible persons during the average incubation period. After February 1,  $R_{eff}$  decreased to 0.81, with a reduction of almost 100%, indicating that the outbreak was gradually controlled.

## Cluster-Level Transmissibility for SARS-CoV-2

As for the household-level cluster, the median  $R_0$  was one (**Figure** 7). Sixteen individuals were diagnosed with COVID-19 in this factory-level cluster, with  $R_0$  of 16(**Figure** 8). Three individuals in this community-level cluster were diagnosed with COVID-19, with  $R_0$  of three (**Figure** 9). The factory-level cluster ( $R_0 = 16$ ) had higher transmissibility than the community-level cluster ( $R_0 = 3$ ) and the household-level cluster ( $R_0 = 1$ ).

### DISCUSSION

### Analysis of Population-Level Epidemiological Characteristics

We found that the population-level epidemic was characterized by three stages with different primary prevention and control populations, which was similar to previous studies (26). In our study, we set 5-7 d (23) as the population-level incubation period, which was consistent with previous work. The results of Lauer

Type of clust	ter	Household	Factory	Community	In total
Incubation period, days	Min-Max	3.0–17.0	11.0–30.0	4.0-6.0	3.0–30.0
	Median, IQR	9.5(4.5– 15.0)	24.0(23.0– 24.0)	5.0(4.5–5.5)	17.0(8.4- 24.0)
Time interval, days	Min-Max	0.0–32.0	1.0-6.2	0.6–2.0	0.0–32.0
	Median, IQR	0.0(3.2–9.6)	2.0(1.5-2.1)	1.9(1.6–2.0)	1.8(1.7–6.

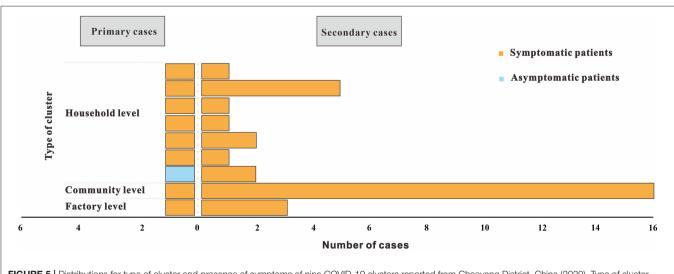
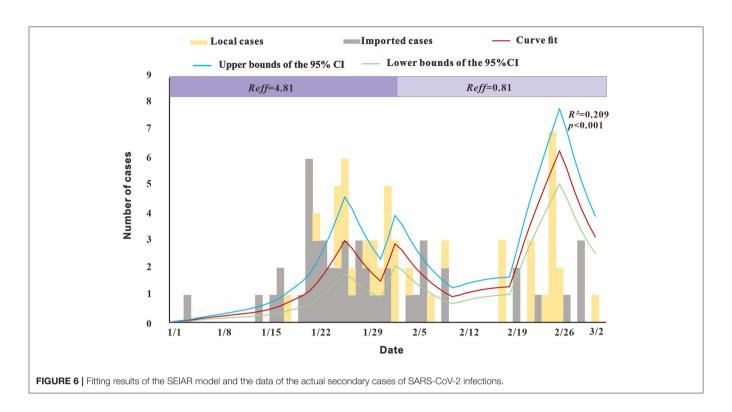


FIGURE 5 | Distributions for type of cluster and presence of symptoms of nine COVID-19 clusters reported from Chaoyang District, China (2020). Type of cluster depends on the setting where exposure took place, with primary cases considered as first generation. Presence of symptoms include symptomatic and asymptomatic infections.



et al. (27) showed a mean incubation period of 5.2 d (95% CI: 4.4– 6.0 d), a Japanese study showed a mean incubation period of 5 d (95% CI: 2–14 d) (28), a Dutch study estimated mean incubation period of 6.4 d (95% CI: 5.6–7.7 d) (29), and one study evaluated a median incubation period of 7 d (30).

## Analysis of Cluster-Level Epidemiologic Characteristics

The setting where clusters occurred also changed, from household to factory and to community. In the early stage, cold weather and isolation measures contributed to the survival of the virus and the increased risk of intra-household transmission (31, 32). The long chain of transmission at factorylevel cluster could be the failure to effectively disinfect and maintain social distances once people started returning to the workplace. Outbreaks occur in different workplaces when it is difficult to maintain the recommended distance of at least 2 meters (33, 34). Shared facilities (e.g., canteen and dressing rooms), transportation and accommodations may also lead to transmission (35). Coronavirus infections are characterized by intermittent shedding, long incubation periods, and sampling locations can affect detection results, therefore there were cases undetected (36), causing a community-level transmission after removal of isolation at the end of 2020.

For household clusters, the length of the incubation period was similar to previous studies (4, 37). The longer incubation period for this factory-level cluster may be related to the increase in asymptomatic infections, individual heterogeneity, and virus mutation. This community-level cluster occurred at a late stage. At this time, the intensity and efficiency of nucleic acid detection has improved considerably compared to the previous stage, so that the time to detect cases is shorter than that in the earlier household-level clusters. In addition, the discrepancy may be due to lack of accuracy in the investigation of the time of contact with the infectious agent and lack of large sample size.

### Analysis of Population-Level Transmissibility of SARS-CoV-2

Our  $R_{eff}$  value was higher than those estimated by the SAPHIRE (Susceptible–unascertained-presymptomatic-hospitalized-infectiousness–infectious–recovered-exposed) model for early outbreaks in Wuhan City (38), and by World Health Organization ( $R_{eff} = 1.4-2.5$ ) and by other studies for Beijing City ( $R_{eff} < 3$ ) (7, 39, 40). While our estimation was similar to the values estimated for the SARS (severe acute respiratory syndrome) epidemic in Beijing, China ( $R_0 = 4.91$ ) (41), and for MERS (Middle East respiratory syndrome) in Jeddah, Saudi Arabia ( $R_0 = 3.5$  to 6.7) (42).

There are several possible reasons for this. First, this high  $R_{eff}$  was calculated for the early stages, where preventive measures were inadequate due to the small sample size of cases and the lack of awareness about the disease. Additionally, the estimates depend on social and demographic variables, the estimation method used, the validity of the underlying assumptions, and the biology of the infectious agent. Third, modeling methods also contribute to the difference (43). Finally, estimates of  $R_{eff}$  may be wrong due to insufficient data, thus precisely estimating  $R_{eff}$  is rather difficult.

	Symptomatic infections	Asymptomatic infections
	Primary cases Secondary cases	First contact time
Cluster 1	January 2020 Symptoms onset Confirmed time	February 2020
Case 1.1 (Male 50 years)	15         24           Symptoms onset         Confirmed time	
Case 1.2 ((Female 55 years )		
Cluster 2	Symptoms onset Confirmed time	
Case 2.1 (Male 33 years)	Symptoms onset	
Case 2.2 (Female 32 years	Id     <	Confirmed time Confirmed time Confirmed time
Case 2.3 (Female 5 years)		Symptoms onset Confirmed time
Case 2.4 (Female 3 years )		Confirmed time
Case 2.5 (Female56 years)	Symptoms onset	Confirmed time
Case 2.6 (Male 59 years)		
Cluster 3	Symptoms onset	
Case 3.1 (Female 57 years)		2         1 <th1< th=""> <th1< th=""> <th1< th=""> <th1< th=""></th1<></th1<></th1<></th1<>
Case 3.2 (Female 30 years)	Symptoms onset	Confirmed time
Cluster 4	Symptoms onset	Confirmed from
Case 4.1 (Male 52 years)		Confirmed time
Case 4.2 (Female 2 years)		
Cluster 5	Symptoms onset	Confirmed time
Case 5.1 (Male 42 years)		Report date
Case 5.2 (Male 7 years)	Symptoms onset	Confirmed time
Case 5.3 (Female 62 years)		
Cluster 6	ymptoms onset	Confirmed time
Case 6.1 (Male 57 years)	5	Symptoms onset Confirmed time
Case 6.2 (Female 84 years)		
Cluster 7		Report date
Case 1.1 (Female 61 years)	Symptoms onset	Confirmed time
Case 1.2 (Male 82 years)	Symptoms onset	
Case 1.3 (Female 53 years)		

FIGURE 7 | Timing of transmission events in seven household-level clusters. Square symbols indicate symptomatic infections and circular symbols indicate asymptomatic infections. Blue grids indicate primary case and purple grids indicate secondary cases. Age, sex, and generation in a cluster are shown for each SARS-CoV-2 infected individuals, with information of date of illness onset (symptoms onset) for symptomatic cases and date of the first RT-PCR positive result (report date) for asymptomatic infections and date of diagnosis (confirmed time) for both infections. Timeline of transmission also includes the first and last contact time between the primary case and secondary cases. Except for community-level cluster where there is no contact time due to contacts *via* public objects, all other types of clusters have first contact time and last contact time between secondary cases. The illustrations of Figure 7 and Figure 8 are the same as here.

### **Transmission Risks in Different Clusters**

The setting with the highset number of reported clusters of SARS-CoV-2 transmission was households, but household-level transmissibility ( $R_0 = 1$ ) was not the highest. The basic reproduction number may be limited by the number of people in each cluster. For example, the number of family members determines the upper limit of secondary cases in household transmission. Several outbreak investigation reports suggest that SARS-CoV-2 transmission can be particularly effective in crowded, confined indoor spaces (12).

Patients involved at the factory-level were more effective in contacting susceptible populations than at the household-level, leading to high transmissibility. Multiple outbreaks of COVID-19 have been observed in several occupational settings, including slaughterhouses, meat processing plants, mines and building sites (12, 44). Possible factors contributing to clusters in occupational settings are listed below. Studies have shown that in Europe, more than 80% of working time is spent indoors, and changes in socioeconomic and demographic conditions have led to different

work-day patterns indoors (45). Participating in meetings and sharing the same office space has been reported in literature as a risk factor for contracting COVID-19 (33, 46).

### Comparison of Epidemiological Characteristics and Transmissibility Between Population-Level and Cluster-Level

Both population-level and cluster-level epidemic were characterized by multiple stages. The incubation period and time interval were similar to previous studies. Population-level transmissibility ( $R_{eff} = 4.81$ ) was intermediate to cluster level ( $R_0 = 1 \sim 16$ ). Frequent close contacts more or less create opportunities for increased transmission risk, such as indirect contact transmission and respiratory droplet transmission.  $R_0$  values differed in different subgroups of a single population. In fact, the total value of  $R_{eff}$  in a population is the average of the  $R_0$  subtypes in that population (47). We

Primary cases		S	econ	dar	y cas	ies																		First	cont	ict tin	ie		Last c	ontact tin	ne
											Fe	ebr	ua	rv	202	20													יז	March	202
luster 8														v			5	Symp	otom	s ons	et		Confirm	ned tii	me				-		
se 8.1 (Female 58 years)																			18				24								
se 8.2 (Male 50 years)	-	_						_		_												_	Symptoms or	ıset	_	firmed	l time	1			_
se o.2 (Wate 50 years)																							23 24 Symptoms or	iset	26 Con	firmed	l time				
se 8.3 (Female 51 years)	1																						23	25	_						
se 8.4 (Male 51 years)	-							_										1	1	1	1	-	Symptoms or				med	time			_
se o.4 (Male 51 years)																							Symptoms or	25 1set	Con	2 firmed	s l time				
se 8.5 (Male 57 years)	11																						23 Symptoms o	25	26	c					
se 8.6 (Male 53 years					1													1	_			<b>—</b>	23	25	26	nrmed	l time	7			
	-					-				_													Symptoms or	ıset	Con	firmed	l time	_			
se 8.7 (Male 30 years)	1																						23 Symptoms or	25 1set	26 Con	firmed	l time				
se 8.8 (Male 33 years)	(-1)																						23	25	26						
se 8.9 (Male 21 years)		_			1	-	-					_			1	-	-	_	-			-	Symptoms o	nset 25	Con 26	firmeo	l time	1			
(intel 11 years)							_												_			_	Symptoms or			ort da	te				
se 8.10 (Male 19 years)	1.1																						23	25							
ase 8.11 (Male 25 years)		_					Т									1					Τ	Т	Symptoms or 23	1Set 25	кер	ort da	te	7			
	$\equiv$			_	_												_	_				_	Symptoms or	_	_	firmed	l time				_
ase 8.12 (Female 48 years)	1																						23 Symptoms of	25 nset	26 Con	firmer	l time				
se 8.13 (Male 51 years)															- 14								23	25	26						
se 8.14 (Female 51 years)		_			_	_	-	_	_	_	_	_				_		-	1	-	-	_	Symptoms o	nset 25	Rep	ort da	te				
ise out (i child of years)															14			<u> </u>					Sympton		et						
se 8.15 (Female 40 years)	1																						23		26						
ase 8.16 (Male 32 years)					1	_	_								1		_	_							Syn	-	s onse 8	t		Confirm	ned tin
											10											- 2.				-	•			Symptor	ns ons
ase 8.17 (Male 35 years)	11																						23								2

	Symptomatic infections Primary cases	Secondary cases
	December 2020 Symptoms onset	Confirmed time
Case 37.1 (Female 27years)	13 14	
	Symptoms onset	
Case 37.2 (Female 48years)	18	
Case 37.3 (Female 46years)	Symptoms onset	
		Symptoms onset
Case 37.4 (Male 4years)		28 29
FIGURE 9   Timing of transmission event in one comm	nunity-level cluster.	

need to note that even if the total value of  $R_0$  in a population is moderate ( $R_{eff} = 4.81$ ), the transmission potential of some subgroups in that population may still be high, for instance, the factory-level  $R_0$  is equal to 16. Besides, the basic reproduction number is affected by several factors, including the duration of infection of the affected person, the infectiousness of the microorganism, and the number of susceptible individuals in the population with which the infected people is in contact (48).

In the early stage of the disease outbreak,  $R_{eff}$  was estimated based on a differential equation model of the overall epidemic data. However, it only represents the average epidemic pattern, and it ignores the heterogeneity of infectivity within populations due to structural differences in different settings such as households, factories and communities. Based on detailed data obtained from epidemiological investigation,  $R_0$  can be calculated by definition. This indicator excludes the possibility of close contacts contacting other infected persons and therefore has some advantages in analyzing the transmissibility of clusters.

## Implications for Prevention and Control Measures

Following the current lifting of suppression measures in China, the key population is mainly imported cases, and asymptomatic infections. Active screening and expanded nucleic acid testing for the population and high-risk infection subgroups are needed, especially indoor settings. And epidemiological investigation and traceability efforts should be conducted to prevent and reduce the occurrence of indoor and outdoor clusters.

In addition, implementing the joint screening strategy is urgent in the next step of control. Some regions (49) in China have begun to adopt a combined screening strategy of 14-day centralized isolation, 7-day centralized or home isolation and multiple nucleic acid and serum total antibody screening (50, 51) for inbound personnel, which can effectively improve the detection rate and reduce the transmission risk.

### **Limitations and Future Directions**

This study has limitations. First, we only used a limited number of samples. A larger sample might have led to a higher generalization of our results. Second, our research focused on natural history of disease, and it might be important to include other factors as well. Studies (38, 52–54) have suggested factors that would have an impact on the epidemic situation, such as climate, use of mask, inflow and outflow of population, clinical classification of confirmed cases, reinfection, virus mutation, presymptomatic infection, undiagnosed infections, hospitalization or home isolation measures for confirmed cases.

In subsequent studies, consideration of the above factors can be added to make the dynamic model more consistent with the actual situation. In addition, a household-community-factorybased COVID-19 transmission scenario could be constructed based on our calculated transmissibility of the three scenarios.

### CONCLUSIONS

In Chaoyang District, the epidemiological features of SARS-CoV-2 showed multi-stage pattern. Many clusters were reported to occur indoors, mostly from households and factories, and few

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from the community. The risk of transmission varies by setting, with indoor settings being more severe than outdoor settings. Reported household clusters were the predominant type, but the population size of the different types of clusters limited transmission. The transmissibility of SARS-CoV-2 was different between a single population and its subgroups, with cluster-level transmissibility higher than population-level transmissibility.

### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors without undue reservation.

### **AUTHOR CONTRIBUTIONS**

SY, SC, JR, QL, TC, SW, ZZ, BD, CL, KL, YW, and ZY had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. SW, TC, and QL were responsible for its conception and design, made critical revision of the manuscript for important intellectual content, and contributed equally to the supervisions of this work. SC and SW collected the data. SY, SC, JR, ZZ, BD, CL, KL, YW, and ZY were responsible for the analysis, or interpretation of data. SY, SC, and JR drafted the manuscript. All authors contributed to the article and approved the submitted version.

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### SUPPLEMENTARY MATERIAL

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