

1 **Altered Amygdala Volumes and Microstructure in Focal Epilepsy** 2 **Patients with Tonic-Clonic Seizures, Ictal and Post-Ictal Central** 3 **Apnea**

4
5 Claudia Zeicu M.D. ^{1*}, Antoine Legouhy PhD. ², Catherine A. Scott ^{1,3}, Joana F. A.
6 Oliveira ^{1,3}, Gavin Winston M.D. PhD. BSc. ^{1, 4, 5}, John S Duncan FRCP FMedSci ¹,
7 Sjoerd B. Vos PhD. ^{2,6,7}, Maria Thom M.D. ¹, Samden Lhatoo M.D. ⁸, Hui Zhang PhD.
8 ², Ronald M. Harper PhD. ^{9,10}, Beate Diehl M.D. PhD ^{1,3}
9

10 ¹Department of Clinical and Experimental Epilepsy, Queen Square Institute of
11 Neurology, University College London, London, United Kingdom;

12 ² Centre for Medical Image Computing and Department of Computer Science,
13 University College London, London, United Kingdom;

14 ³Department of Clinical Neurophysiology, University College London Hospitals NHS
15 Foundation Trust National Hospital for Neurology and Neurosurgery, London, United
16 Kingdom;

17 ⁴ Epilepsy Society MRI Unit, Chalfont St Peter, United Kingdom;

18 ⁵ Division of Neurology, Department of Medicine, Queen's University, Kingston,
19 Ontario, Canada;

20 ⁶ Neuroradiological Academic Unit, UCL Queen Square Institute of Neurology,
21 University College London, London, United Kingdom;

22 ⁷ Centre for Microscopy, Characterisation, and Analysis, The University of Western
23 Australia, Nedlands, Australia;

24 ⁸ Department of Neurology, University of Texas Health Sciences Center at Houston,
25 Houston, Texas, USA;

26 ⁹ Brain Research Institute, University of California at Los Angeles, California, USA;

27 ¹⁰Department of Neurobiology, David Geffen School of Medicine, University of
28 California at Los Angeles, California, USA;

29

30 *Corresponding author at: ¹Department of Clinical and Experimental Epilepsy,
31 Queen Square Institute of Neurology, University College London, London, United
32 Kingdom.

33 Email: claudia.zeicu@nhs.net
34

35 Key Words: SUDEP, amygdala, apnea, tonic-clonic seizures, diffusion MRI, NODDI

36 Number of text pages: 22

37 Number of words: 3669

38 Number of figures: 2

39 Number of tables: 1
40
41

42 **NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.**

43 **Abstract and key words:**

44 Objectives:

45 Sudden unexpected death in epilepsy (SUDEP) is a leading cause of death for
46 patients with epilepsy; however, the pathophysiology remains unclear. Focal-to-
47 bilateral tonic-clonic seizures (FBTCS) are a major risk factor, and centrally-
48 mediated respiratory depression may increase the risk further. Here, we determined
49 volume and microstructure of the amygdala, a key structure that can trigger apnea in
50 people with focal epilepsy, stratified by presence or absence of FBTCS, ictal central
51 apnea (ICA) and post-ictal central apnea (PICA).

52 Methods:

53 73 patients with only-focal seizures and 30 with FBTCS recorded during video EEG
54 (VEEG) with respiratory monitoring were recruited prospectively during presurgical
55 investigations. We acquired high-resolution T1-weighted anatomical and multi-shell
56 diffusion images, and computed neurite orientation dispersion and density imaging
57 (NODDI) metrics in all epilepsy patients and 69 healthy controls. Amygdala
58 volumetric and microstructure alterations were compared between healthy subjects,
59 and patients with only-focal seizures or FBTCS The FBTCS group was further
60 subdivided by presence of ICA and PICA, verified by VEEG.

61 Results:

62 Bilateral amygdala volumes were significantly increased in the FBTCS cohort
63 compared to healthy controls and the focal cohort. Patients with recorded PICA had
64 the highest increase in bilateral amygdala volume of the FBTCS cohort.

65 Amygdala neurite density index (NDI) values were significantly decreased in both the
66 focal and FBTCS groups relative to healthy controls, with values in the FBTCS group
67 being the lowest of the two. The presence of PICA was associated with significantly
68 lower NDI values vs the non-apnea FBTCS group ($p=0.004$).

69 Significance:

70 Individuals with FBTCS and PICA show significantly increased amygdala volumes
71 and disrupted architecture bilaterally, with greater changes on the left side. The
72 structural alterations reflected by NODDI and volume differences may be associated
73 with inappropriate cardiorespiratory patterns mediated by the amygdala, particularly
74 after FBTCS. Determination of amygdala volumetric and architectural changes may
75 assist identification of individuals at risk.

76 Key words: SUDEP, amygdala, apnea, tonic-clonic seizures, diffusion MRI, NODDI

77

78

79

80

81 Introduction

82 Sudden unexpected death in epilepsy (SUDEP) is a leading cause of premature
83 death in people with epilepsy; however, the pathophysiology behind the fatal events
84 remains unclear (1). The presence of frequent tonic-clonic seizures is a major risk
85 factor (2).

86 Several mechanisms have been proposed to precipitate SUDEP, including interictal
87 or postictal hypoxemia triggered by apnea, or profound loss of blood pressure
88 elicited by arrhythmia or asystole followed by terminal cardiac arrest (2,3). The
89 incidence and mechanisms of cardiorespiratory arrests in epilepsy monitoring units
90 (MORTEMUS) study (2) highlighted peri-ictal and post-ictal respiratory dysfunction
91 as an initiating abnormality which eventually leads to cardiac arrhythmia, asystole and
92 death. A few studies evaluating the incidence of seizures associated with respiratory
93 dysfunction suggested that post-ictal central apnea (PICA) may be a clinical
94 biomarker of SUDEP(3,4).

95 Patients with epilepsy who succumbed to SUDEP, or those who are in the high-risk
96 category for a fatal outcome, showed significant brain structural alterations in grey
97 matter that serve major roles in maintaining breathing and blood pressure, and in
98 recovery from failure in those systems (5–7). The microstructure of those sites, and
99 how alterations in structure might contribute to ictal central apnea (ICA) or PICA
100 remain to be described.

101 Temporal lobe epilepsy is the most common form of focal epilepsy, and the
102 hippocampus accounts for the majority of seizure onsets (8). The amygdala, a
103 temporal lobe structure with pronounced hippocampal and other temporal lobe
104 projections, often participates in temporal lobe seizures. Amygdala structures receive
105 widespread projections from additional cortical and subcortical sites. A principal
106 concern in seizures involving the medial temporal structures is the pronounced
107 downstream projections to cardiovascular regulatory sites and respiratory timing and
108 drive areas of the brain stem. Normally, these amygdala influences trigger breathing
109 and cardiovascular responses to affective stimuli, particularly fear and anxiety, but a
110 role in non-emotional breathing and cardiovascular control has been recognized (9–
111 13).

112 Stimulation of the human amygdala triggers apnea, sometimes without subject
113 awareness of breathing cessation (14–16), leading to the hypothesis that amygdala
114 influences may affect respiratory recovery following seizures. Those influences may
115 be enhanced or disrupted if the amygdala is damaged by recurrent seizures and
116 epileptogenesis.

117 The aim was to assess the volume and microstructure alterations of subregions of
118 the amygdala in conjunction with breathing parameters, including ICA and PICA
119 across three groups: healthy controls, participants with focal unaware seizures, and
120 participants with focal to bilateral tonic-clonic seizures (FBTCS). We also examined
121 evidence that inappropriate cardiorespiratory patterns mediated by the amygdala
122 may be used to identify individuals at risk.

123

124 **2. Material and Methods**

125 **2.1 Study design**

126 Participants were recruited prospectively at the National Hospital for Neurology and
127 Neurosurgery, London, as part of investigation to determine autonomic and imaging
128 biomarkers of SUDEP (Center for SUDEP Research; CSR). All subjects gave written
129 informed consent, and the study was approved by the Research Ethics Committee
130 (19/SW/00071). All enrolled participants with epilepsy had video-EEG (VEEG) and
131 respiratory pattern monitoring using respiratory belts and SpO₂.

132 All patients had focal epilepsy and were stratified into those with only focal seizures
133 occurring without generalisation (focal-unaware seizure cohort), and those who had
134 recurrent FBTCS.

135 Further, included subjects had at least one recorded focal-unaware seizure with
136 adequate respiratory monitoring during video telemetry, or at least one FBTCS. All
137 participants underwent a standardized MRI protocol, and all patients had ongoing
138 seizures at the time of the imaging. Historical seizure type data were evaluated for
139 the full cohort of patients irrespective of seizure type. Patients with lesions on MRI
140 were excluded.

141 **2.2. MRI: acquisition and image processing**

142 Images from the study participants were acquired from the same 3T GE MR750
143 scanner. Participants underwent high-resolution (1mm × 1mm × 1mm) 3D T1-
144 weighted anatomical acquisitions and advanced multi-shell diffusion-weighted
145 imaging (DWI) optimized for NODDI: 11 b=0 and diffusion-weighted images with
146 b=300, 700, 2500 with respectively 8, 32, 64 directions; voxel size: 2mm × 2mm ×
147 2mm.

148 Amygdala segmentation was performed on the T1-weighted images using Freesurfer
149 (Version 7.0.0, Martinos Center for Biomedical Imaging, Charlestown, MA, USA)
150 (17,18).

151 Diffusion weighted images were corrected for tissue magnetic susceptibility-induced
152 distortion, subject motion, and eddy current-induced distortions using FSL TOPUP
153 (19) and FSL EDDY (20). The DTI model was fitted through FSL DTIFIT (only using
154 b=0, 300 and 700) from which we extracted mean diffusivity (MD) and fractional
155 anisotropy (FA) maps. The NODDI model was fitted using the NODDI Matlab toolbox
156 (21) to extract orientation dispersion index (ODI) and the neurite density index (NDI)
157 (22). Taking advantage of the FWF computed when fitting NODDI, tissue weighted
158 mean (23) was used as ROI-wise statistic to cope with free water contamination.
159 NODDI aims to provide further information regarding tissue specific indices such as
160 NDI which looks at the density of axons or dendrites and ODI which assesses the
161 extent of axons or dendritic projections being incoherently oriented (non-parallel)
162 (22).

163 **2.3. Population**

164 Overall, 154 epilepsy patients completed the full imaging sequencing, and had
165 associated respiratory parameters available. After imaging review, 25 patients were
166 removed due to hippocampal sclerosis. A further 11 patients were excluded from the

167 study due to historical seizure type data (patients with focal-unaware seizures had
168 ongoing FBTCS at the time of imaging). Finally, 8 patients were excluded, as they
169 had no recorded seizure activity on VEEG.

170 A total of 103 patients with epilepsy and 69 healthy controls were eligible for the
171 study. The cohort was initially divided into 3 groups: healthy controls, focal-unaware
172 seizure cohort (never, or historical FBTCS, but not for several years), and FBTCS
173 cohort with ongoing FBTCS seizures. Subsequently, both focal-unaware seizure
174 cohort and the FBTCS cohort were each divided, depending on the presence or
175 absence of ICA. In a separate analysis, the FBTCS cohort was subdivided
176 depending on the presence or absence of PICA. ICA and PICA presence throughout
177 the cohort was verified by two independent Telemetry Unit neurophysiologists.
178 Apnea was defined as one or more missed breaths, as in previous studies (4).

179 **2.4 Statistical methods**

180 Amygdala volume and microstructure differences between groups were assessed
181 using a multivariate analysis of covariance (MANCOVA), controlling for age and sex.
182 The dependent variables were the diffusion metrics (FA, MD, NDI, ODI) and volume
183 values for each individual participant. The null hypothesis (H0) was that the means
184 across groups were equal for each diffusion metrics and volume. If the MANCOVA
185 values were significant, an analysis of covariance (ANCOVA) was conducted for
186 each diffusion metric or volume individually, controlling for age and sex. Bonferroni
187 correction was used post-test to counteract for multiple comparisons with a family-
188 wise error rate (FWER) of 5%.

189 The statistical analysis used IBM SPSS Statistics Data Editor (Version 28.0, IBM
190 Corporation, Armonk, NY, USA). The statistical figures were designed using Prism 9
191 (GraphPad, San Diego, CA, USA).

192 **3. Results**

193 **3.1 Participant characteristics**

194 The participants' demographics and epileptogenic zones are summarized in Table 1.
195 A one-way ANOVA was performed to compare the participants age across main
196 three cohorts, and showed that the mean ages between at least two groups
197 significantly differed ($F(2,170) = [7.584]$, $p = 0.0001$). The mean age between
198 groups statistically differed, as demonstrated by an unpaired T-test with Welch's
199 corrections. NODDI, DTI and volume statistical data used a statistical analysis that
200 corrects for age of the cohort.

201

202

203

204

205

206 **Table 1. Demographics and epilepsy characteristics.**

Characteristics	FBTCS Seizure Cohort (n=30)	Focal Seizure Cohort (n=73)	Healthy Controls (n=69)
Age, mean (SD), years	30.84 ± 6.38	34.58 ± 11.98	40.82 ± 12.95
Sex, n			
Male	22	36	25
Female	8	38	43
Epileptogenic hemisphere, n (%)			
Left hemisphere	15 (50)	26 (35.61)	-
Right hemisphere	7 (23.33)	35 (47.95)	-
Multifocal	5 (16.67)	10 (13.70)	-
Unknown	3 (10)	2 (2.74)	-
Epileptogenic zone, n (% of each group)			
Temporal onset	12 (40.01)	26 (35.61)	-
Temporo-occipital	0	2 (2.74)	-
Fronto-temporal	1 (3.33)	3 (4.11)	-
Insula	0	1 (1.37)	-
Frontal	4 (13.33)	13 (17.81)	-
Parieto-occipital	0	1 (1.37)	-
Parietal	1 (3.33)	0	-
Multifocal	5 (16.67)	10 (13.70)	-
Hemispheric	4 (13.33)	15 (20.55)	-
Unknown	3 (10)	2 (2.74)	-
Seizures recorded on VEEG, n	150	451	-
Participants with ICA, n (% of each group)	17 (56.67)	36 (49.32)	-
Seizures with ICA, n (% of each group)	26 (17.33)	103 (22.84)	-
Duration of ICA, median (range), seconds	12.5 (4-38)	10.5 (4-40)	-
Participants with PICA, n (% of each group)	5 (16.67)	-	-
Seizures with PICA, n (% of each group)	11 (8.53)	-	-
Duration of PICA, median (range), seconds	5 (2-16)	-	-

207 Abbreviations: ICA, ictal central apnea; PICA, post-ictal central apnea.

208

209 **3.2 Respiratory data**

210 Data from respiratory bands were available in at least one focal-unaware seizure in
 211 the focal seizure cohort, and at least one FBTCS in the FBTCS cohort, overall in 291
 212 of 601 recorded seizures. Pulse oximetry with sufficient quality was recorded in 176

213 of a total of 601 seizures, from 39 patients. Thirty-six patients with ictal central apnea
214 had ictal oxygen saturation (SpO₂) available for analysis. Baseline SaO₂ (M=95.43,
215 SD=1.72) and ICA SaO₂ (M=88.20, SD=13.90) significantly differed; paired-sample t-
216 test, $t(86) = 3.424$, $p = 0.0009$.

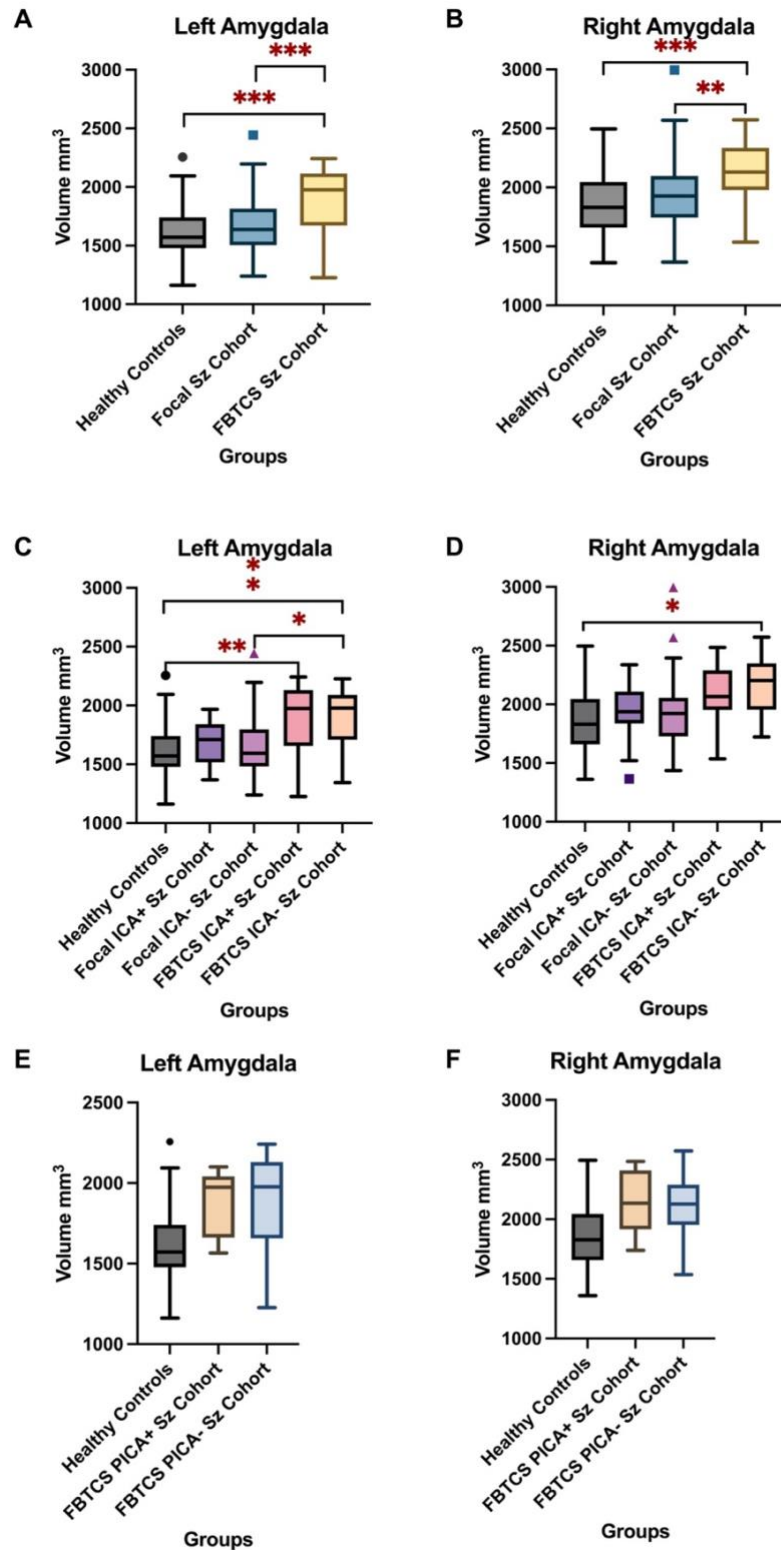
217 **3.3 Amygdala Volume**

218 Left and right amygdala volumes were initially examined, stratified into three groups:
219 healthy controls, focal-unaware seizure cohort, and FBTCS cohort.

220
221 The pairwise comparison post-hoc test with Bonferroni correction showed that the left
222 amygdala volumes were significantly increased in the FBTCS cohort compared to
223 healthy controls ($p < 0.001$) and the focal cohort ($p < 0.001$) (Figure 1. A). Right
224 amygdala volumes in the FBTCS group showed a significant volume increase
225 compared to healthy controls ($p < 0.001$) and the focal-only group ($p = 0.008$) (Figure 1.
226 B). Graphical representations of the mean volume distribution of the left and right
227 amygdala are in Figure 1, while the detailed results are outlined in Supplementary
228 Tables which can be requested from the corresponding author.

229
230 Amygdala volumes were further assessed relative to breathing parameters, and the
231 groups were subdivided according to the presence or absence of ICA. Left amygdala
232 volumes were lowest in the healthy controls, followed by the focal cohort without ICA
233 (Figure 1. C), but not significantly different from healthy controls ($p = 1.000$). The
234 FBTCS cohort without ICA showed a significant volume increase compared to
235 controls ($p = 0.006$), and the focal cohort without ICA ($p = 0.010$). The right amygdala
236 volumes showed a similar distribution, with the FBTCS cohort without ICA showing a
237 significant volume increase compared to healthy controls ($p = 0.013$) (Figure 1. D).
238 The FBTCS cohort with or without ICA involvement during seizures showed an
239 overall mean volume increase when compared to controls.

240 The left and right amygdala volumes were examined in association with the PICA
241 identified in the FBTCS cohort (Figure 1. E, F). Comparisons between healthy
242 controls and FBTCS participants were subdivided according to the presence or
243 absence of PICA. In the left amygdala, both the PICA-FBTCS cohort and PICA +
244 FBTCS group showed increased volumes compared to healthy controls (Figure 1. E,
245 F), with only statistically significant volume differences found between healthy
246 controls and the FBTCS group without PICA ($p = 0.002$). Of note, in the right
247 amygdala, both FBTCS groups showed statistically significant volume increases
248 compared to healthy controls.



249

250 **Figure 1.** Amygdala Volume Tukey Box Plots. Abbreviations: FBTCS, focal-to-
 251 bilateral tonic-clonic seizure; ICA, ictal central apnea; PICA, post-ictal central apnea.
 252 (*<0.05, **<0.01, ***<0.001) **(A)** left amygdala volume mm³ in 172 participants
 253 subdivided into healthy controls, focal-unaware seizure cohort and FBTCS. **(B)** right
 254 amygdala volume mm³ in 172 participants subdivided into healthy controls, focal-
 255 unaware seizure cohort and FBTCS. **(C)** left amygdala volume groups further divided

256 in conjunction with the presence or absence of ICA. **(D)** right amygdala volume
257 groups further divided in conjunction with the presence or absence of ICA. **(E)** left
258 amygdala volume groups further divided in conjunction with the presence or absence
259 of PICA. **(F)** left amygdala volume groups further divided in conjunction with the
260 presence or absence of PICA.

261 **3.4. DTI metrics**

262 DTI showed similar MD and FA values in the left and right amygdala between focal,
263 FBTCS cohorts and healthy controls.

264 Once the groups were subdivided according to presence of ICA, no statistically
265 significant differences between groups in the left amygdala emerged. However, the
266 right amygdala MD trend was significantly higher in the FBTCS ICA-seizure cohort
267 compared to healthy controls ($p=0.014$) when adjusted for multiple comparisons
268 using the Bonferroni correction. A similar pattern was found between the focal ICA-
269 cohort and controls ($p=0.044$).

270 Split by the presence or absence of PICA, the ANCOVA showed significant
271 differences between groups in the left amygdala in both the MD and FA metrics. The
272 FBTCS PICA+ seizure cohort showed a significantly higher FA than in the FBTCS
273 group ($p=0.037$). There was no statistical difference between FBTCS PICA+ seizure
274 cohort and healthy controls; however, the FBTCS PICA-seizure cohort had a
275 significantly lower FA when compared to FBTCS PICA+ group ($p=0.037$).
276 Significantly lower FA values were found in the FBTCS PICA-seizure cohort when
277 compared to healthy controls ($p=0.008$). No statistically significant differences
278 emerged between FBTCS PICA+ group and healthy controls in the MD metrics
279 ($p=1.000$); however, statistically higher MD values appeared in the left amygdala
280 FBTCS PICA-group compared to controls. In the right amygdala, a similar significant
281 increase in MD was found between the FBTCS PICA-group and healthy controls
282 ($p=0.022$).

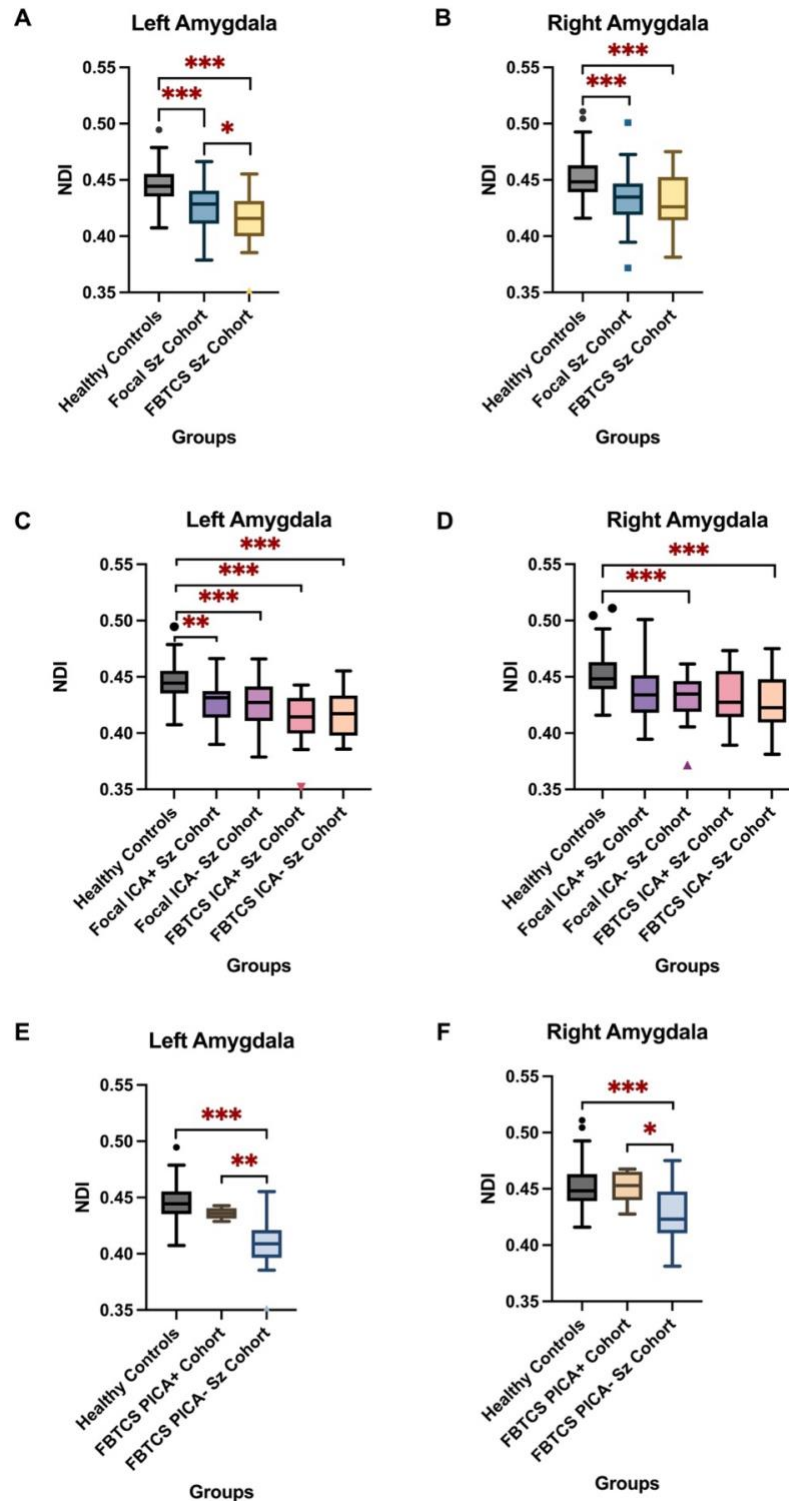
283 **3.5 NODDI metrics**

284 The left and right amygdala neurite density index (NDI) values were significantly
285 lower in both the focal and FBTCS groups than healthy controls ($p<0.001$), with the
286 FBTCS group being the lowest of the two (Figure 2. A, B).

287 A one-way ANCOVA revealed that statistically significant differences in NDI
288 appeared between the four groups and healthy controls once the patient cohort was
289 divided according to ICA (Figure 2. C, D). The most pronounced declines were found
290 between healthy controls vs focal ICA-Seizure Cohort ($p<0.001$), controls vs FBTCS
291 ICA+Seizure Cohort ($p<0.001$), and controls vs FBTCS ICA-Seizure Cohort
292 ($p<0.001$). There were no significant differences between focal ICA+ Seizure Cohort
293 vs FBTCS ICA+Seizure Cohort or focal ICA-Seizure Cohort vs FBTCS ICA-Seizure
294 Cohort. A significant decline was found in NDI in the PICA subgroup vs the TCS
295 group (left amygdala: $p=0.004$; right amygdala: $p=0.042$) (Figure 2. E, F).

296 The orientation dispersion index (ODI) was decreased in both focal and FBTCS
297 groups relative to healthy controls, with the only statistically significant decline found

298 in the left amygdala between the focal seizure cohort and healthy controls ($p=0.013$).
 299 Of note, patients with recorded PICA had the highest decrease in bilateral amygdala
 300 ODI values of the population.



301

302 **Figure 2.** NDI Tukey Box Plots. Abbreviations: NDI, neurite dispersion index;
 303 FBTCS, focal to bilateral tonic-clonic seizure; ICA, ictal central apnea; PICA, post-
 304 ictal central apnea. (* < 0.05, ** < 0.01, *** < 0.001). (A) left amygdala NDI in 172

305 participants subdivided into healthy controls, focal-unaware seizure cohort and
306 FBTCS. **(B)** right amygdala NDI in 172 participants subdivided into healthy controls,
307 focal-unaware seizure cohort and FBTCS. **(C)** left amygdala NDI groups further
308 divided in conjunction with the presence or absence of ICA. **(D)** right amygdala NDI
309 groups further divided in conjunction with the presence or absence of ICA. **(E)** left
310 amygdala NDI groups further divided in conjunction with the presence or absence of
311 PICA. **(F)** left amygdala volume groups further divided in conjunction with the
312 presence or absence of PICA.

313 **Discussion**

314 **Overview**

315 Nearly a quarter of seizures recorded in this study were accompanied by impaired
316 central breathing control associated with seizure activity, with ICA found in more than
317 half of all cases, a prevalence similar to previous reports (24,25).

318 The amygdala volume findings show a strong association between the volume of
319 grey matter changes and the presence or absence of FBTCS in conjunction with ICA
320 and PICA, an association not previously reported. A key finding was that patients in
321 the FBTCS PICA group experienced the highest volume gain relative to healthy
322 controls.

323 Amygdala ODI and NDI were decreased in focal and FBTCS groups relative to
324 healthy controls, most prominently in the FBTCS group.

325 Detailed demographics of the participants revealed that the mean age statistically
326 differed across the epilepsy groups and the healthy controls. Female-to-male ratios
327 were comparable between the focal-only seizure cohort and healthy controls, with
328 the FBTCS groups comprised of a majority of males. However, as the data analyses
329 were controlled for age and sex, these factors should not contribute to major result
330 differences.

331 The majority of the focal epilepsy patient cohort had seizure onsets in the temporal
332 lobes; however, a large proportion of the patients with epilepsy had an indeterminate
333 onset which could only be described as hemispheric or multi-focal. Despite the
334 inhomogeneous seizure onset distribution, the amygdala is likely to have been
335 involved throughout the seizure electrical activities *via* the multiple anatomical
336 interactions with other temporal lobe structures.

337 ICA often accompanies seizure activity in the amygdala (16,26), with direct electrical
338 stimulation inducing apnea (14,16). The findings in functional pathology add to
339 experimental animal evidence that subnuclei within the amygdala can pace
340 inspiratory efforts (27).

341 **Amygdala Volume and Breathing Disturbances**

342 Significant volume increases in the amygdala appeared in epilepsy patients, with
343 subjects having PICA showing the most extensive increase in volume bilaterally;
344 whereas, patients with only focal seizures had the lowest volume increases. The
345 amygdala volume alterations are relevant, because of its marked role integrating

346 signals provided from a wide range of afferent receptors and projecting signals to
347 lower brain. The central nucleus of the amygdala, for example, receives a wide
348 range of inputs and then projects to the periaqueductal grey and parabrachial pons
349 (28), can influence both overall drive and timing of breathing (27).

350 Grey matter volume changes also appear within the hippocampus in SUDEP cases
351 compared to low risk participants and healthy controls (5,7). Although the
352 pathological changes related to the volume increases have yet to be described, a
353 variety of processes may underly the changes, including gliosis (29), inflammation
354 causing neuronal architecture disruption (30) or excitotoxic injury (31). The neural
355 processes accompanying the altered volumes may directly influence the amygdala's
356 influence on both respiratory action and cardiovascular instability as shown here and
357 in (32). Determining the nature of grey matter microstructure changes from tissue
358 samples following surgical resections may further understanding of volume
359 alterations in different epilepsy cohorts.

360 Patients with epilepsy who respond favourably to antiseizure medications (ASM)
361 have a reduction in temporal lobe volume, including the amygdala, compared to
362 initial brains scans (33). Volume measurements thus may provide insights into the
363 high-risk population for SUDEP, and also may provide valuable information in
364 predicting overall seizure freedom.

365 **Amygdala Microstructure and Breathing Disturbances**

366 The processes that may underpin the amygdala volume alterations may also be
367 better understood using imaging techniques which can detect neuronal architecture
368 disruption (34) and vascular changes (35).

369 Diffusion tensor imaging (DTI) showed decreased fractional anisotropy (FA) in the
370 left amygdala, while those FA values were increased on the right, a finding that was
371 more pronounced for the FBTCS cohort with post ictal central apnea. A decline in FA
372 may be caused by Wallerian degeneration (36), CSF contamination or a change in
373 brain tissue organization as a compensatory mechanism (37). Of note, FA may not
374 be able to reliably distinguish dendritic projections and unmyelinated axons (38).

375 Using NODDI, we were able to disentangle the various factors that may cause an FA
376 reduction noted above. We demonstrated additional microstructure differences
377 across the cohorts in NDI and orientation dispersion index, ODI. The lowest ODI
378 appeared in the focal cohort, an outcome which may be correlated to a reduction in
379 orientation dispersion of the grey matter which may result from reduced dendritic
380 projections (22). The application of NODDI in clinical research has been validated in
381 other conditions (39) showing lower ODI values in multiple sclerosis demyelinating
382 spinal cord histologically (40) and *in vivo* (41).

383 The ODI results are also associated with decreased NDI which translates to a
384 reduction in neurite density volume particularly found bilaterally in the FBTCS
385 groups. A low NDI may represent severe loss of dendritic and axonal projections,
386 which in turn, can also interfere with ODI values, as the orientation dispersion is
387 correlated with the tissue sampled (41). NODDI offers an excellent opportunity to

388 further understand the neurite architecture in epilepsy without solely relying on
389 pathological studies.

390 Dendritic projections loss and reduction in orientation dispersion may partially
391 explain some of the ictal and peri-ictal dysfunctions. The slight asymmetry in values,
392 in both volume and microstructure, between the left and right amygdala may pose
393 challenges for autonomic and respiratory control. Contributions to autonomic control
394 are asymmetric in the limbic system; stimulation of the right insula (with direct
395 projections to the right amygdala) leads to hypersympathetic activation (42), while
396 parasympathetic upregulation is largely influenced by the left insula (43). Specifically,
397 the right amygdala grey matter volume increase may contribute to hyper-sympathetic
398 activation, as previous studies observed direct sympathetic upregulation when
399 stimulation is applied to the right insula. One study in particular (44) highlighted that
400 asymmetrical sympathetic activation may contribute to particularly dangerous cardiac
401 arrhythmias. However, as opposed to the insula, in the amygdala, the
402 cardiorespiratory consequences do not appear to show laterality (14).

403 The asymmetry noted in the amygdala volume and microstructure may also result
404 from differential input from other-than-cortical sites, such as the thalamus,
405 parabrachial nucleus (PBN), periaqueductal grey (PAG), and the nucleus of the
406 solitary tract (45). The asymmetrical input may underlie some of the observed
407 differences in laterality of function. While both left and right amygdala are activated
408 to fear responses, the right amygdala appears to play a role in memory retention
409 (46), and the right amygdala is more involved in nociception signaling than the left.
410 Furthermore, an fMRI study examining sex influences on amygdala function revealed
411 more involvement of the left amygdala in arousing memory consolidation in women
412 over men (47). Two impressions arise from these findings. First, the differential
413 laterality of function likely derives from separate inputs to the left vs right amygdala,
414 with those influences having the potential to separately modify the extent of injury in
415 an asymmetric fashion to the amygdala, depending on the origin of the influences.
416 Second, the impact of damage to the left or right amygdala may be expressed
417 differently in behavior or physiological action, depending on laterality of injury.

418 The amygdala exerts profound influences on the cardiovascular system through
419 projections to structures that regulate blood pressure (48,49). The insula/amygdala
420 control of the baroreflex adjusts heart rate with blood pressure, thus determining
421 cardiac output in response to stressful stimuli (49–51). The amygdala influences are
422 mediated through multiple brainstem structures, including the nucleus of the solitary
423 tract (NTS) and parabrachial pons, and both sympathetic and parasympathetic
424 systems are targets. Projections from the central nucleus of the amygdala to the
425 periaqueductal gray (PAG) and parabrachial pons (52) influence both respiratory
426 drive and patterning, and also modify cardiovascular action (53). The amygdala can
427 influence triggering/not triggering apnea; pulse stimulation of the central nucleus that
428 projects to the parabrachial pons can elicit inspiratory efforts (27), and, through the
429 projections to the periaqueductal gray, support breathing). Those influences place
430 the amygdala volume and microstructure alterations in an environment to influence
431 vital factors that may contribute to increasing the risk of sudden death in epilepsy.
432 Further studies are required to correlate the changes observed in the amygdala to

433 individual nuclei, particularly the central nucleus, but also subnuclei which influence
434 the central nucleus and can offer more insights concerning cardiac and respiratory
435 regulation.

436 **Limitations:** Since pulse oximetry and respiratory belt signals were not always of
437 adequate quality for many patients undertaking VEEG monitoring, the true
438 prevalence of inappropriate breathing may be higher. The number of participants
439 satisfying criteria for FBTCS associated with post-ictal central apnea was small.
440 Future studies examining seizures and breathing disturbances would likely need to
441 expand the sample size via multi-center collaborations.

442 **In conclusion,** increased bilateral amygdala volumes, accompanied by a decline in
443 ODI and NDI in patients with epilepsy, particularly the FBTCS group, may reflect
444 processes having a direct effect on cardiac and breathing patterns which may
445 increase the risk of SUDEP. The volume and microstructure changes may be
446 mediated by multiple mechanisms, including local inflammation leading to dendritic
447 projections loss or gliosis, or excitotoxicity elicited by excessive external influences
448 to the amygdala. Recognition of amygdala microstructure alterations may assist in
449 identifying individuals with epilepsy who are at a higher risk for SUDEP.

450

451 **Acknowledgements**

452 This work has been supported by the National Institute of Neurological Disorders and
453 Stroke, Grant/Award Number: U01-NS090407 (SL, BD, RH, AL), NINDS –
454 NS090405 (SL). GPW was supported by the Medical Research Council (G0802012,
455 MR/M00841X/1). Support was also provided by the National Institute for Health
456 Research and University College London Hospitals Biomedical Research Centre.
457 The authors acknowledge the facilities and scientific and technical assistance of the
458 National Imaging Facility, a National Collaborative Research Infrastructure Strategy
459 (NCRIS) capability, at the Centre for Microscopy, Characterisation, and Analysis, the
460 University of Western Australia.

461 **Disclosure of Conflicts of Interest**

462 None of the authors has any conflict of interest to disclose. We confirm that we have
463 read the Journal's position on issues involved in ethical publication and affirm that
464 this report is consistent with those guidelines.

465 **References:**

- 466 1. Surges R, Thijs RD, Tan HL, Sander JW. Sudden unexpected death in
467 epilepsy: risk factors and potential pathomechanisms. *Nat Rev Neurol*. 2009 Sep
468 11;5(9):492–504.
- 469 2. Ryvlin P, Nashef L, Lhatoo SD, Bateman LM, Bird J, Bleasel A, et al.
470 Incidence and mechanisms of cardiorespiratory arrests in epilepsy monitoring units
471 (MORTEMUS): a retrospective study. *Lancet Neurol*. 2013 Oct;12(10):966–77.

- 472 3. Vilella L, Lacuey N, Hampson JP, Rani MRS, Loparo K, Sainju RK, et al.
473 Incidence, Recurrence, and Risk Factors for Peri-ictal Central Apnea and Sudden
474 Unexpected Death in Epilepsy. *Front Neurol*. 2019 Mar 1;10.
- 475 4. Vilella L, Lacuey N, Hampson JP, Rani MRS, Sainju RK, Friedman D, et al.
476 Postconvulsive central apnea as a biomarker for sudden unexpected death in
477 epilepsy (SUDEP). *Neurology*. 2019 Jan 15;92(3):e171–82.
- 478 5. Allen LA, Vos SB, Kumar R, Ogren JA, Harper RK, Winston GP, et al.
479 Cerebellar, limbic, and midbrain volume alterations in sudden unexpected death in
480 epilepsy. *Epilepsia*. 2019 Apr 14;60(4):718–29.
- 481 6. Allen LA, Harper RM, Vos SB, Scott CA, Lacuey N, Vilella L, et al. Peri-ictal
482 hypoxia is related to extent of regional brain volume loss accompanying generalized
483 tonic-clonic seizures. *Epilepsia*. 2020 Aug 19;61(8):1570–80.
- 484 7. Wandschneider B, Koepp M, Scott C, Micallef C, Balestrini S, Sisodiya SM, et
485 al. Structural imaging biomarkers of sudden unexpected death in epilepsy. *Brain*.
486 2015 Oct;138(10):2907–19.
- 487 8. Tatum WO. Mesial Temporal Lobe Epilepsy. *Journal of Clinical*
488 *Neurophysiology*. 2012 Oct;29(5):356–65.
- 489 9. Harper RM, Gozal D, Bandler R, Spriggs D, Lee J, Alger J. Regional brain
490 activation in humans during respiratory and blood pressure challenges. *Clin Exp*
491 *Pharmacol Physiol*. 1998 Jun;25(6):483–6.
- 492 10. Harper RM, Bandler R, Spriggs D, Alger JR. Lateralized and widespread brain
493 activation during transient blood pressure elevation revealed by magnetic resonance
494 imaging. *J Comp Neurol*. 2000 Feb 7;417(2):195–204.
- 495 11. Aroniadou-Anderjaska V, Fritsch B, Qashu F, Braga MFM. Pathology and
496 pathophysiology of the amygdala in epileptogenesis and epilepsy. *Epilepsy Res*.
497 2008 Feb;78(2–3):102–16.
- 498 12. Critchley HD, Rotshtein P, Nagai Y, O’Doherty J, Mathias CJ, Dolan RJ.
499 Activity in the human brain predicting differential heart rate responses to emotional
500 facial expressions. *Neuroimage*. 2005 Feb;24(3):751–62.
- 501 13. Spencer WG. The effect produced upon respiration by faradic excitation of the
502 cerebrum in the monkey, dog, cat, and rabbit. *Phil Trans R Soc Lond B*. 1894 Jan:
503 185:609–657.
- 504 14. Dlouhy BJ, Gehlbach BK, Kreple CJ, Kawasaki H, Oya H, Buzza C, et al.
505 Breathing Inhibited When Seizures Spread to the Amygdala and upon Amygdala
506 Stimulation. *Journal of Neuroscience*. 2015 Jul 15;35(28):10281–9.
- 507 15. Lacuey N, Zonjy B, Londono L, Lhatoo SD. Amygdala and hippocampus are
508 symptomatogenic zones for central apneic seizures. *Neurology*. 2017 Feb
509 14;88(7):701–5.

- 510 16. Nobis WP, Schuele S, Templer JW, Zhou G, Lane G, Rosenow JM, et al.
511 Amygdala-stimulation-induced apnea is attention and nasal-breathing dependent.
512 *Ann Neurol*. 2018 Mar;83(3):460–71.
- 513 17. Fischl B, Salat DH, Busa E, Albert M, Dieterich M, Haselgrove C, et al. Whole
514 Brain Segmentation. *Neuron*. 2002 Jan;33(3):341–55.
- 515 18. Fischl B, Salat DH, van der Kouwe AJW, Makris N, Ségonne F, Quinn BT, et
516 al. Sequence-independent segmentation of magnetic resonance images.
517 *Neuroimage*. 2004 Jan;23:S69–84.
- 518 19. Andersson JLR, Skare S, Ashburner J. How to correct susceptibility
519 distortions in spin-echo echo-planar images: application to diffusion tensor imaging.
520 *Neuroimage*. 2003 Oct;20(2):870–88.
- 521 20. Andersson JLR, Sotiropoulos SN. An integrated approach to correction for off-
522 resonance effects and subject movement in diffusion MR imaging. *Neuroimage*.
523 2016 Jan;125:1063–78.
- 524 21. Microstructure Imaging Group - University College London. NODDI Matlab
525 Toolbox. 2021.
- 526 22. Zhang H, Schneider T, Wheeler-Kingshott CA, Alexander DC. NODDI:
527 Practical in vivo neurite orientation dispersion and density imaging of the human
528 brain. *Neuroimage*. 2012 Jul;61(4):1000–16.
- 529 23. Parker CS, Veale T, Bocchetta M, Slattery CF, Malone IB, Thomas DL, et al.
530 Not all voxels are created equal: Reducing estimation bias in regional NODDI
531 metrics using tissue-weighted means. *Neuroimage*. 2021 Dec;245:118749.
- 532 24. Lacuey N, Zonjy B, Hampson JP, Rani MRS, Zaremba A, Sainju RK, et al.
533 The incidence and significance of periictal apnea in epileptic seizures. *Epilepsia*.
534 2018 Mar 1;59(3):573–82.
- 535 25. Lacuey N, Hupp NJ, Hampson J, Lhatoo S. Ictal Central Apnea (ICA) may be
536 a useful semiological sign in invasive epilepsy surgery evaluations. *Epilepsy Res*.
537 2019 Oct 1;156.
- 538 26. Park K, Kanth K, Bajwa S, Girgis F, Shahlaie K, Seyal M. Seizure-related
539 apneas have an inconsistent linkage to amygdala seizure spread. *Epilepsia*. 2020
540 Jun 1;61(6):1253–60.
- 541 27. Harper RM, Frysinger RC, Trelease RB, Marks JD. State-dependent alteration
542 of respiratory cycle timing by stimulation of the central nucleus of the amygdala.
543 *Brain Res*. 1984 Jul;306(1–2):1–8.
- 544 28. Hopkins DA, Holstege G. Amygdaloid projections to the mesencephalon, pons
545 and medulla oblongata in the cat. *Exp Brain Res*. 1978 Aug;32(4).
- 546 29. Zhuo L, Zhang Y, Zielke HR, Levine B, Zhang X, Chang L, et al. Sudden
547 unexpected death in epilepsy: Evaluation of forensic autopsy cases. *Forensic Sci Int*.
548 2012 Nov;223(1–3):171–5.

- 549 30. Vezzani A, Granata T. Brain Inflammation in Epilepsy: Experimental and
550 Clinical Evidence. *Epilepsia*. 2005 Nov;46(11):1724–43.
- 551 31. Sharp BM. Basolateral amygdala and stress-induced hyperexcitability affect
552 motivated behaviors and addiction. *Transl Psychiatry*. 2017 Aug 8;7(8):e1194–
553 e1194.
- 554 32. Lacuey N, Hampson JP, Theeranaew W, Zonjy B, Vithala A, Hupp NJ, et al.
555 Cortical Structures Associated With Human Blood Pressure Control. *JAMA Neurol*.
556 2018 Feb 1;75(2):194.
- 557 33. Na HK, Lee H, Hong S, Lee DH, Kim KM, Lee HW, et al. Volume change in
558 amygdala enlargement as a prognostic factor in patients with temporal lobe epilepsy:
559 A longitudinal study. *Epilepsia*. 2020 Jan 11;61(1):70–80.
- 560 34. Allen LA, Harper RM, Guye M, Kumar R, Ogren JA, Vos SB, et al. Altered
561 brain connectivity in sudden unexpected death in epilepsy (SUDEP) revealed using
562 resting-state fMRI. *Neuroimage Clin*. 2019;24:102060.
- 563 35. Zatorre RJ, Fields RD, Johansen-Berg H. Plasticity in gray and white:
564 neuroimaging changes in brain structure during learning. *Nat Neurosci*. 2012 Apr
565 18;15(4):528–36.
- 566 36. Chang Y, Jung TD, Yoo DS, Hyun JK. Diffusion Tensor Imaging and Fiber
567 Tractography of Patients with Cervical Spinal Cord Injury. *J Neurotrauma*. 2010
568 Nov;27(11):2033–40.
- 569 37. Cunningham EE, Noble JW, Krassioukov A, Boyd LA, Eng JJ. Decreased
570 white matter fractional anisotropy is associated with poorer functional motor skills
571 following spinal cord injury: a pilot study. *Spinal Cord*. 2019 Mar 5;57(3):206–13.
- 572 38. Boretius S, Escher A, Dallenga T, Wrzos C, Tammer R, Brück W, et al.
573 Assessment of lesion pathology in a new animal model of MS by multiparametric
574 MRI and DTI. *Neuroimage*. 2012 Feb;59(3):2678–88.
- 575 39. Kamiya K, Hori M, Aoki S. NODDI in clinical research. *J Neurosci Methods*.
576 2020 Dec;346:108908.
- 577 40. Grussu F, Schneider T, Yates RL, Tachrount M, Newcombe J, Zhang H, et al.
578 Histological metrics confirm microstructural characteristics of NODDI indices in
579 multiple sclerosis spinal cord. In: *Proc Intl Soc Mag Reson Med*. 2015.
- 580 41. Schneider T. Sensitivity of multi-shell NODDI to multiple sclerosis white matter
581 changes: a pilot study. *Funct Neurol*. 2017;32(2):97.
- 582 42. Oppenheimer S. Cerebrogenic cardiac arrhythmias: Clinical Autonomic
583 Research. 2006 Feb;16(1):6–11.
- 584 43. Oppenheimer SM, Gelb A, Girvin JP, Hachinski VC. Cardiovascular effects of
585 human insular cortex stimulation. *Neurology*. 1992 Sep 1;42(9):1727–1727.
- 586 44. Schwartz PJ. The autonomic nervous system and sudden death. *Eur Heart J*.
587 1998 Jun 19;72–80.

- 588 45. Veinante P, Yalcin I, Barrot M. The amygdala between sensation and affect: a
589 role in pain. *J Mol Psychiatry*. 2013;1(1):9.
- 590 46. Allen HN, Bobnar HJ, Kolber BJ. Left and right hemispheric lateralization of
591 the amygdala in pain. *Prog Neurobiol*. 2021 Jan;196:101891.
- 592 47. Cahill L, Uncapher M, Kilpatrick L, Alkire MT, Turner J. Sex-Related
593 Hemispheric Lateralization of Amygdala Function in Emotionally Influenced Memory:
594 An fMRI Investigation. *Learning & Memory*. 2004 May;11(3):261–6.
- 595 48. Reis DJ, Ledoux JE. Some central neural mechanisms governing resting and
596 behaviorally coupled control of blood pressure. *Circulation*. 1987 Jul;76(1 Pt 2):I2-9.
- 597 49. Saha S. Role of the central nucleus of the amygdala in the control of blood
598 pressure: descending pathways to medullary cardiovascular nuclei. *Clin Exp*
599 *Pharmacol Physiol*. 2005 May;32(5–6):450–6.
- 600 50. Dampney RA. Functional organization of central pathways regulating the
601 cardiovascular system. *Physiol Rev*. 1994 Apr 1;74(2):323–64.
- 602 51. Zald DH. The human amygdala and the emotional evaluation of sensory
603 stimuli. *Brain Res Rev*. 2003 Jan;41(1):88–123.
- 604 52. Hopkins DA, Holstege G. Amygdaloid projections to the mesencephalon, pons
605 and medulla oblongata in the cat. *Exp Brain Res*. 1978 Aug;32(4).
- 606 53. Berntson GG, Sarter M, Cacioppo JT. Anxiety and cardiovascular reactivity:
607 the basal forebrain cholinergic link. *Behavioural Brain Research*. 1998
608 Aug;94(2):225–48.