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# Erlotinib-Associated Rash in Advanced Non-Small Cell Lung Cancer: Relation to Clinicopathological Characteristics, Treatment Response, and Survival

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Systematic treatment of advanced non-small cell lung cancer (NSCLC) includes targeted treatment with epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs). The development of skin rash and its intensity have been associated with EGFR TKI's efficacy. The main purpose of this study was to further investigate the potential value of erlotinib-associated rash as a predictor of prognosis and treatment response in a real-world cohort of patients with advanced NSCLC. The medical records of all NSCLC patients treated with erlotinib at the Oncology Unit of GPP, Sotiria Athens General Hospital between January 1, 2014 and August 31, 2016 were retrospectively reviewed. Seventy-nine patient medical records fulfilled the criteria and were included in the study. Development of erlotinib-associated rash was correlated with clinicopathological characteristics of patients, treatment response, and overall survival (OS) using univariate and multivariate Cox regression analysis. The number of patients with rash was greater in the responders group (90% vs. 46.4%, p=0.015). In univariate analysis, there was a statistically significant association between rash development and time to progression (TTP) [HR: 0.32 (0.17-0.57), p < 0.001]. With multivariate Cox regression analysis, it was found that PS  $\geq 2$  (HR: 2.01, 95% CI: 1.12–3.60, p = 0.018) and rash (HR: 0.34, 95% CI: 0.18–0.63, p = 0.001) were independently associated with TTP and also that the duration of treatment with erlotinib (HR: 0.58, 95% CI: 0.42–0.80, p = 0.001) and rash (HR: 0.10, 95% CI: 0.20–0.48, p = 0.004) was an independent predictor of survival. Our results suggest that erlotinib-associated rash may represent a clinically valuable biomarker for the prediction of treatment response and OS in patients with advanced NSCLC.

Key words: Erlotinib; Rash; Non-small cell lung cancer (NSCLC); Response

## **INTRODUCTION**

Systematic treatment of advanced non-small cell lung cancer (NSCLC) includes targeted treatment with epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs). Approximately 15% of NSCLC patients have tumors that harbor EGFR-sensitizing mutations (i.e., the exon 19 deletion and the L858R point mutation in exon 21). Erlotinib is a member of the EGFR TKI family. It has been approved since 2004 for the treatment of patients with locally advanced or metastatic NSCLC after progression on at least one prior chemotherapy regimen<sup>1</sup> and as first-line treatment in patients with sensitizing EGFR mutations<sup>2</sup>. Erlotinib is generally well tolerated. The most common side effects are diarrhea and dermatological toxicity, mostly papulopustular rash<sup>3</sup>. The mechanism of rash development is not yet clearly understood. One theory states that erlotinib-induced rash results from direct EGFR inhibition in the skin<sup>4</sup> and another that rash is the result of a systemic immunological reaction<sup>5</sup>. The inhibition of EGFR signaling pathways causes the arrest of keratinocyte growth and apoptosis, decreased migration, increased cell attachment, and premature differentiation and also stimulates inflammation<sup>6-8</sup>. EGFR polymorphisms and/ or polymorphisms in drug transporters and metabolizing enzymes may be of important significance in the development of rash in patients receiving EGFR TKIs<sup>9-11</sup>.

Besides sensitizing EGFR mutations and also EGFR gene amplification<sup>12</sup>, the development of skin rash and its intensity have been associated with EGFR TKI's efficacy<sup>13</sup>. This association was first noted in patients with colorectal cancer treated with cetuximab<sup>14</sup>. Patients who develop rash seem to have a better response to EGFR

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TKIs, and also the greater the intensity of the cutaneous toxicity the better the response<sup>15</sup>. Since then, the predictive value of erlotinib-induced rash has been confirmed in a number of trials enrolling patients with various solid tumors, including NSCLC<sup>13,16–22</sup>. However, real-world data on this issue are sparse.

The aim of this study was the retrospective correlation of rash development in patients receiving erlotinib for NSCLC with clinicopathological characteristics, response to treatment, and prognosis. The abovementioned data from existing literature suggest that the appearance and grade of rash during treatment with erlotinib may be markers of response to treatment and prognosis.

# MATERIALS AND METHODS

## Patients

Five hundred medical records of patients with NSCLC who were treated at the Oncology Unit GPP, Sotiria Athens General Hospital, between January 1, 2014 and August 31, 2016 were retrospectively reviewed. Inclusion criteria were age>18 years, histologically or cytologically confirmed NSCLC stage IIIB/IV (IASCL 7th edition), and treatment with erlotinib in the first-, second-, third-, or fourth-line setting. OS was measured from initiation of treatment with erlotinib until death or loss to follow-up. Erlotinib (150 mg) was administered once daily (q1-28) until disease progression or unacceptable toxicity. Patients were excluded if they had received a TKI other than erlotinib or if they had a preexisting dermatological condition. Seventy-nine patient medical records fulfilled the criteria and were included in the study. Of these, 34 patients were tested for EGFR mutation, and 18 had sensitizing mutations. EGFR mutation testing was performed by the kit Cobas® EGFR mutation Test v2 by Roche. Demographics, comorbidities, treatment data, and skin-related toxicities were documented. Response was defined per the Response Evaluation Criteria in Solid Tumors (RECIST 1.1). Grade of toxicity evaluation of the cutaneous lesions was performed according to International EGFR Inhibitors Dermatological Toxicity Forum Grading System.

## Statistical Analysis

Quantitative variables are expressed as mean values (SD) or as median values [interquartile range (IQR)]. Qualitative variables are expressed as absolute and relative frequencies. For the comparisons of proportions, Fisher's exact tests were used. Mann–Whitney test was used to compare duration of treatment between those with and without complete or partial response. Life table analyses were used to calculate cumulative survival rate (standard errors) for specific time intervals. The association of each study variable with time to progression (TTP) and survival was first assessed by univariate Cox regression analysis. Variables that showed significant association with the outcome were included in the multivariate Cox proportional hazard model in a forward–backward stepwise method in order to determine the independent predictors for TTP and survival. The assumption of proportional hazards was evaluated by testing for interaction with a continuous time variable. Kaplan–Meier survival estimates were graphed over the follow-up period. All reported *p* values are two tailed. Statistical significance was set at p < 0.05, and analyses were conducted using STATA statistical software (version 6.0).

Table 1. Demographics and Clinical Characteristics

	n
Age [mean (SD)]	67.6 (10.9%)
Gender	
Men	56 (70.9%)
Women	23 (29.1%)
Smoking	
No	19 (24.1%)
Yes	60 (75.9%)
Pack/years [mean (SD)]	75.3 (42.1)
Comorbidity	
No	17 (21.5%)
Yes	62 (78.5%)
Histological type	
NOS	5 (6.3%)
Adenocarcinoma	58 (73.4%)
Squamous cell carcinoma	16 (20.3%)
Differentiation $(n=44)$	
Low	24 (54.5%)
Moderate	20 (45.5%)
EGFR $(n=34)$	
Negative	16 (47.1%)
Positive	18 (52.9%)
Performance status	
0–1	37 (46.8%)
≥2	42 (53.2%)
Stage	
IIIB	9 (11.4%)
IV	70 (88.6%)
Duration of treatment with erlotinib (months),	3 (2–8)
median (IQR)	- ()
Treatment line	
First	25 (31.6%)
Second	34 (43.0%)
Third	18 (22.8%)
Fourth	2 (2.5%)
Rash	2 (2.5 %)
No	38 (48.1%)
Yes	41 (51.9%)
Rash grade	11 (51.970)
I	24 (58.5%)
II	14 (34.1%)
	3 (7.3%)
Time to rash (days) [median (IQR)]	23 (18–44.9)
	23 (10-77.9)

# RESULTS

#### Patient Characteristics

A total of 79 patients with a mean age of 67.6 years (SD=10.9 years), 56 men and 23 women, were included in the study. Demographics, clinicopathological characteristics, and treatment data of patients are presented in Table 1. Sixty percent of the patients were current or former smokers. Prevailing histology was adenocarcinoma (73.4%), followed by squamous cell carcinoma (20.3%). EGFR mutation testing was performed on 34 of the 79 patients; 18 had sensitizing mutations, and 16 had wild-type EGFR. The majority of patients were stage IV (88.6%) and had an ECOG performance status (PS)  $\geq 2$  (53.2%). Twenty-five patients received erlotinib as the first-line, 34 as the second-line, 18 as the third-line, and 2 as the fourth-line treatment.

## Treatment Administration and Toxicity

The median duration of treatment with erlotinib was 3 months (IQR: 2–8); 31.6% of the patients received erlotinib as the first line of chemotherapy, 43% at the second line, 22.8% at the third line, and 2.5% at the fourth line. Papulopustular rash presented in 51.9% of the participants and was, in most cases (58.5%), grade I; 12.7% of

the patients developed torso rash, 22.8% facial rash, 8.9% torso and facial rash, 3.8% facial and extremities rash, and 3.8% torso, facial, and extremities rash. The median time to rash development after treatment initiation was 23 days (IQR: 18–44.9).

## Response to Treatment

There was 12.6% of the patients who had an objective response, namely, 2.5% complete response (CR) and 10.1% partial response (PR). All patients with CR/PR had adenocarcinoma except for one that had squamous cell carcinoma. Patients that achieved CR/PR were lighter smokers (p=0.010), had activating EGFR mutations, had a greater duration of treatment with erlotinib (p<0.001), and mainly received erlotinib as the first-line treatment (70%). The number of patients with rash was greater in the responders group (90% vs. 46.4%, p=0.015).

#### Time to Disease Progression

Three-month progression-free survival (PFS) was 68%, 6-month PFS was 40%, and 1-year PFS was 36%. The median TTP was 3 months (IQR: 9–16 months) (Fig. 1). Univariate Cox regression analyses results for disease progression are shown in Table 2. A heavier smoking history

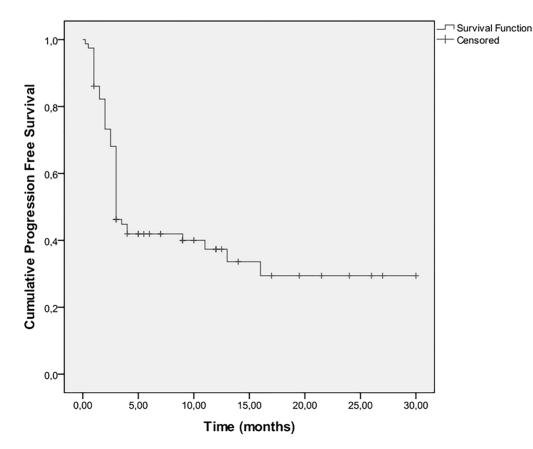


Figure 1. Kaplan–Meier estimates for disease progression-free survival.

and PS  $\geq$  2 were associated with greater risk for progression. On the other hand, activating EGFR mutations, female sex, increased duration of treatment with erlotinib, and skin rash (Fig. 2) were associated with a lower risk for disease progression. When multiple Cox regression analysis was conducted in a stepwise method, it was found that PS  $\geq$  2 [hazard ratio (HR): 2.01, 95% CI: 1.12–3.60, *p*=0.018] and the presence of rash (HR: 0.34, 95% CI: 0.18–0.63, *p*=0.001) were independently associated with TTP.

### **Overall Survival**

Twenty-six patients died during the follow-up period. OS at 6 months was 87%, at 1 year was 74%, at 2 years was 70%, and at 3 years was 53%. Median overall survival was 13 months (IQR: 10–26 months) (Fig. 3). Univariate analyses for survival (Table 3) showed that an increased smoking history and a PS  $\geq$ 2 were associated with a greater hazard, whereas sensitizing EGFR mutations, increased duration of treatment with erlotinib, and rash (Fig. 4) were associated with a lower hazard.

Table 2. Univariate Cox I	VEBIESSIOII AIIA	117969 18690119 101	

	Hazard Ratio (HR) (95% CI)	p Value
Age	0.98 (0.96-1.00)	0.099
Gender		
Men	1.00*	
Women	0.48 (0.24-0.96)	0.039
Smoking		
No	1.00*	
Yes	1.18 (0.60-2.31)	0.627
Pack/years	1.01 (1.00-1.02)	0.023
Comorbidity		
No	1.00*	
Yes	1.44 (0.67-3.07)	0.347
Histological type		
NOS	1.00*	
Adenocarcinoma	0.72 (0.23-2.30)	0.580
Squamous cell carcinoma	0.69 (0.24–1.94)	0.477
Differentiation $(n=44)$		
Low	1.00*	
Moderate	0.70 (0.31-1.61)	0.402
EGFR $(n=34)$		
Negative	1.00*	
Positive	0.22 (0.08-0.61)	0.004
Performance status		
0–1	1.00*	
≥2	2.09 (1.17-3.74)	0.013
Stage		
IIIB	1.00*	
IV	2.51 (0.78-8.09)	0.124
Duration of treatment with erlotinib (days)	0.76 (0.67–0.86)	< 0.001
Treatment line		
First	1.00*	
Second	1.96 (0.98-3.94)	0.059
Third-fourth	1.50 (0.68–3.29)	0.314
Rash		
No	1.00*	
Yes	0.32 (0.17-0.57)	< 0.001
Rash grade	~ /	
I	1.00*	
II/III	1.05 (0.4–2.76)	0.923
Time to rash (days)	1.00 (0.99–1.01)	0.583

\*Reference category.

Multiple Cox regression analysis indicated that duration of treatment with erlotinib and rash were independent predictors of survival. Specifically, the hazard decreases as the duration of treatment increases (HR: 0.58, 95% CI: 0.42–0.80, p=0.001), whereas patients that developed rash had a 90% lower death hazard (HR: 0.10, 95% CI: 0.20–0.48, p=0.004).

# DISCUSSION

Our study found that the development of rash in patients with NSCLC receiving erlotinib is independently associated with longer TTP and OS. Patients that developed skin toxicity had a TTP of 5 months versus 2.25 months for the patients who did not, and an OS of 15 months versus 12.3 months, respectively. These results concur with the existing literature. A meta-analysis included 24 publications (17 prospective trials and 7 retrospective case series) and found that the presence of skin rash was an independent predictive factor for survival (HR: 0.30, p < 0.00001) and disease progression (HR: 0.50, p < 0.00001). Also, patients who developed National Cancer Institute Common Terminology Criteria

for Adverse Events (NCI-CTCAE) grades 2-4 rash were more likely to respond to treatment than patients with no rash (42% vs. 7%)<sup>23</sup>. A retrospective analysis of patients who took erlotinib in the pivotal phase III trial of erlotinib in locally advanced or metastatic NSCLC, BR.21, also supports this fact. In this subanalysis, 75% of patients that took erlotinib developed a rash at any point in time during treatment, while 17% of the placebo group patients also developed a rash. Ninety-five percent of rash cases in the erlotinib group were reported within 10 weeks of treatment, and the median OS of patients with rash was 37.4 weeks versus 11.1 weeks in patients with no rash (HR: 0.51, 95% CI: 0.38–0.68, p<0.0001). These correlations increased with rash severity grade: grade 1 versus no rash (HR: 0.41, p < 0.001) and grade  $\geq 2$  versus no rash (HR: 0.29, p < 0.001)<sup>24</sup>. However, the development of a rash does not necessarily mean clinical activity. as was shown in a trial of high-dose erlotinib in patients with NSCLC<sup>25</sup>.

Interestingly, EGFR mutation status was not found to correlate with rash development, while the rash grade was not associated with treatment response or OS in our

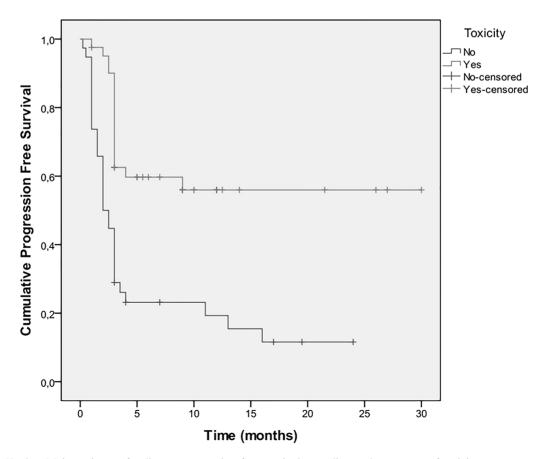


Figure 2. Kaplan-Meier estimates for disease progression-free survival according to the presence of toxicity.

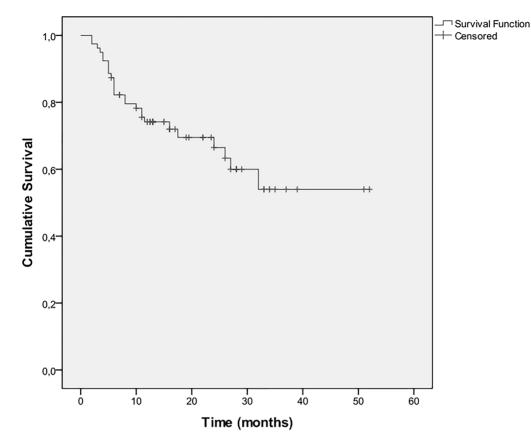


Figure 3. Kaplan–Meier estimates for survival.

patient population. The latter is in discordance with some previous studies that have shown a positive correlation between rash severity and EGFR TKI efficacy<sup>13,24,26-29</sup>. However, it must be noted that methods of rash grade evaluation vary significantly among different observers and may thus be subject to interpretational bias. EGFR mutation status, although it is the principal determinant of erlotinib's efficacy, has not been shown to relate to rash development or any other erlotinib-related toxicity<sup>30</sup>.

The NCI-CTCAE is used in most erlotinib trials to grade cutaneous toxicity. However, there are limitations to their use for EGFR TKIs. In these criteria, body surface area coverage is incorporated in the evaluation of rash grade. Erlotinib-associated rash presents mostly in the face and upper torso, and while it may remain confined to those areas, it can be of significant severity. This is why a simplified system has been proposed to grade EGFR TKI-associated rash by the International EGFR Inhibitors Dermatological Toxicity Forum Grading System. It consists of three categories (mild, moderate, and severe toxicity), which do not include the extent of affected skin but the intensity of the cutaneous reaction and the presence of superinfection<sup>31,32</sup>.

It has been proposed that the correlation of rash with treatment efficacy may be the reflection of sufficient plasma and, consequently, tumor drug concentrations. Until now, the "dose to achieve rash" approach has not shown favorable results in NSCLC. In a study of gefitinib 250 mg versus 500 mg, no relation was shown between plasma concentrations and rash severity<sup>33</sup>. Regarding erlotinib, a dose escalation study was conducted where the dose was escalated up from 150 mg by 25 mg until patients presented a grade 2 (CTCAE) rash or the maximum dose of 250 mg of erlotinib was reached. The study showed that while a grade 2 rash was achieved in 59% of patients, the response rate was only  $7\%^{34}$ . However, it was shown recently that the assessment of drug-metabolizing activity might be of use in these cases. Indeed, drug-metabolizing activity assessed by the erlotinib/O-desmethyl-erlotinib metabolic ratio has been correlated with the severity of skin rash (i.e., a high metabolic activity lowers the occurrence of skin rash). The erlotinib/O-desmethyl-erlotinib metabolic ratio was also highly associated with PFS and OS in NSCLC and pancreatic adenocarcinoma patients. The individual metabolic activity of erlotinib determined in the serum

	Hazard Ratio (95% CI)*	p Value
Age	0.98 (0.95-1.01)	0.258
Gender		
Men	1.00*	
Women	0.56 (0.21–1.48)	0.240
Smoking		
No	1.00*	
Yes	0.83 (0.33-2.08)	0.696
Pack/years	1.01 (1.00–1.03)	0.012
Comorbidity		
No	1.00*	
Yes	2.36 (0.71-7.89)	0.162
Histological type		
NOS	1.00*	
Adenocarcinoma	0.99 (0.11-8.98)	0.996
Squamous cell carcinoma	1.46 (0.19–10.91)	0.715
Differentiation $(n=44)$		
Low	1.00*	
Moderate	0.50 (0.15-1.67)	0.262
EGFR $(n=34)$		
No	1.00*	
Yes	0.11 (0.01-1.00)	0.049
Performance status		
0–1	1.00*	
≥2	5.97 (2.05-17.35)	0.001
Stage		
IIIB	1.00*	
IV	1.90 (0.45-8.07)	0.382
Duration of treatment with erlotinib (days)	0.48 (0.33-0.69)	< 0.001
Treatment line		
First	1.00*	
Second	0.98 (0.41-2.37)	0.967
Third–fourth	0.54 (0.18–1.65)	0.279
Rash		
No	1.00*	
Yes	0.06 (0.01-0.24)	< 0.001
Rash grade		
I	1.00*	
II/III	1.36 (0.09–21.81)	0.826
Time to rash (days)	0.97 (0.87–1.09)	0.639

Table 3. Univariate Cox Regression Analyses Results for Survival

\*Reference category.

may be helpful for therapeutic monitoring and individual "dosing to rash" in rash-negative cancer patients<sup>35</sup>.

A recent trial showed that genetic polymorphisms in drug transporters and metabolizing enzymes are important factors in the variability of efficacy and toxicity of erlotinib between patients and are significantly correlated with the appearance of skin rash<sup>10</sup>. The study indicates that a single nucleotide polymorphism (SNP) in the *CYP27B1* gene is significantly correlated with erlotinibinduced skin rash in NSCLC patients, probably through a mechanism mediated by vitamin D<sub>3</sub> and inflammation at the skin level. A study of EGFR polymorphisms in patients with NSCLC that had received gefitinib showed that patients homozygous for the shorter length alleles of the intron 1 dinucleotide CA repeat polymorphism or patients carrying at least one *T* allele of the -216G/T promoter polymorphism had an improved PFS and OS and also that there was a correlation between the *T* allele of the -216G/T polymorphism and the development of any grade of treatment-related rash or diarrhea<sup>9</sup>. A previous study on EGFR polymorphisms also demonstrated that shorter repeat lengths of the intron 1 dinucleotide CA repeat correlate with improved response to gefitinib in head and neck cancer cell lines and with skin toxicity

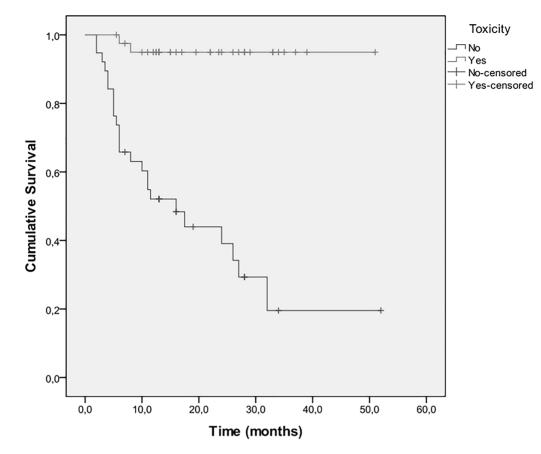


Figure 4. Kaplan-Meier estimates for survival according to the presence of toxicity.

in head and neck cancer patients treated with an EGFR TKI<sup>11</sup>. The relationships between EGFR mutation, amplification, and genetic polymorphism, as well as transporting and metabolizing enzymes polymorphisms, still require further study. These genetic alterations may provide an explanation for the association of skin rash with EGFR TKI efficacy.

Erlotinib skin-related toxicity is seen in over 50% of patients<sup>36</sup>, as was also the case in our study. Rash usually presents in the face and upper torso<sup>37,38</sup> and is in most cases mild to moderate. Erlotinib is a generally welltolerated drug, but rash is often the cause for dose reduction or discontinuation<sup>39,40</sup>. Approximately 10%-12% of patients will discontinue treatment due to this cutaneous toxicity or will require a dose reduction<sup>41,42</sup>, leading to a less effective treatment. Rash usually presents 1-2 weeks after the start of treatment and may improve or resolve spontaneously while erlotinib is continued and seems to be dose related<sup>43</sup>. The spontaneous improvement that has been seen in some patients, and also the fact that it can be managed with immunosuppressants (i.e., corticosteroids), is the basis of the immunological theory of erlotinib-associated rash development<sup>31</sup>.

Dermatological toxicity can have a huge effect on the patients' quality of life and may interfere with adherence to erlotinib. Patients may experience skin sensitivity, causalgia, and even pain<sup>44</sup>. Skin rash changes one's image of oneself and so impacts the patients' physical, emotional, and social well-being. This leads to frustration and depression and a consequent withdrawal from social activities. Symptom reframing, for example, stating that the presence of rash means that the treatment is efficient, may help patients deal with their altered image and physical discomfort<sup>44,32</sup>. As has been mentioned above, approximately 10%-12% of patients will experience moderate to severe rash, resulting in dose reduction or even treatment discontinuation<sup>41,42</sup>. This highlights the need for the effective management, and a proactive approach is very important in minimizing or alleviating cutaneous toxicity<sup>45</sup>.

While rash development is a strong indicator of EGFR TKI efficacy, the absence of rash is not synonymous to treatment failure. Albeit small, a percentage of patients that do not develop rash have a clinical benefit from erlotinib treatment<sup>29</sup>. The limitations of our study are its retrospective design, potential bias introduced during evaluation, grading of rash from different observers, the

heterogeneity of patient population with regard to treatment history, and small sample size; nonetheless, the results contribute to existing literature to highlight the prognostic significance of rash development in patients receiving treatment with EGFR TKIs. The mechanism behind this intriguing observation is still ambiguous and remains to be clarified in future studies.

Our study shows that patients with NSCLC that develop rash while on erlotinib have a better response to this agent (90% vs. 46.4%, p=0.015). In univariate and multivariate analysis, there is a statistically significant association between rash development and TTP [HR: 0.32 (0.17–0.57), *p*<0.001] and (HR: 0.34, 95% CI: 0.18–0.63, p=0.001), respectively]. In multivariate analysis, PS  $\geq 2$ also correlates with TTP (HR: 2.01, 95% CI: 1.12-3.60, p = 0.018). Duration of treatment with erlotinib (HR: 0.58, 95% CI: 0.42–0.80, p=0.001) and rash (HR: 0.10, 95% CI: 0.20–0.48, p=0.004) are independent predictors of survival. These results suggest that erlotinib-associated rash may represent a clinically valuable biomarker for the prediction of treatment response and OS in patients with advanced NSCLC. There is a need for larger, prospective real-world studies in order to validate these results.

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