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ORIGINAL RESEARCH Transforming the Information System for Research in Primary Care (SIDIAP) in Catalonia to the OMOP Common Data Model and Its Use for COVID-19 Research

Berta Raventós (1,2,*, Sergio Fernández-Bertolín¹,*, María Aragón (1, Erica A Voss (1)³⁻⁵, Clair Blacketer³⁻⁵, Leonardo Méndez-Boo 6, Martina Recalde¹, Elena Roel^{1,2}, Andrea Pistillo ^{1,7} Carlen Reyes¹, Sebastiaan van Sandijk⁸, Lars Halvorsen ⁹, Peter R Rijnbeek^{4,5}, Edward Burn ^{1,10}, Talita Duarte-Salles^{1,4}

¹Fundació Institut Universitari per a la recerca a l'Atenció Primària de Salut Jordi Gol i Gurina (IDIAPJGol), Barcelona, Spain; ²Universitat Autònoma de Barcelona, Bellaterra (Cerdanyola del Vallès), Barcelona, Spain; ³Janssen Pharmaceutical Research and Development, Titusville, NJ, USA; ⁴Department of Medical Informatics, Erasmus University Medical Center, Rotterdam, the Netherlands; ⁵OHDSI Collaborators, Observational Health Data Sciences and Informatics (OHDSI), New York, NY, USA; ⁶Sistemes d'Informació dels Serveis d'Atenció Primària (SISAP), Institut Català de la Salut, Barcelona, Spain; ⁷Universitat Pompeu Fabra, Barcelona, Spain; ⁸Odysseus Data Services s.r.o., Prague, Czech Republic; ⁹edenceHealth NV, Kontich, Belgium; ¹⁰Centre for Statistics in Medicine, University of Oxford, Oxford, UK

*These authors contributed equally to this work

Correspondence: Talita Duarte-Salles, Fundació Institut Universitari per a la recerca a l'Atenció Primària de Salut Jordi Gol i Gurina (IDIAPJGol), Gran Via Corts Catalanes, 587 àtic, Barcelona, 08007, Spain, Tel +34935824342, Email tduarte@idiapjgol.org

Purpose: The primary aim of this work was to convert the Information System for Research in Primary Care (SIDIAP) from Catalonia, Spain, to the Observational Medical Outcomes Partnership (OMOP) Common Data Model (CDM). Our second aim was to provide a descriptive analysis of COVID-19-related outcomes among the general population.

Patients and Methods: We mapped patient-level data from SIDIAP to the OMOP CDM and we performed more than 3,400 data quality checks to assess its readiness for research. We established a general population cohort as of the 1st March 2020 and identified outpatient COVID-19 diagnoses or tested positive for, hospitalised with, admitted to intensive care units (ICU) with, died with, or vaccinated against COVID-19 up to 30th June 2022.

Results: After verifying the high quality of the transformed dataset, we included 5,870,274 individuals in the general population cohort. Of those, 604,472 had either an outpatient COVID-19 diagnosis or positive test result, 58,991 had a hospitalisation, 5,642 had an ICU admission, and 11,233 died with COVID-19. A total of 4,584,515 received a COVID-19 vaccine. People who were hospitalised or died were more commonly older, male, and with more comorbidities. Those admitted to ICU with COVID-19 were generally younger and more often male than those hospitalised and those who died.

Conclusion: We successfully transformed SIDIAP to the OMOP CDM. From this dataset, a general population cohort of 5.9 million individuals was identified and their COVID-19-related outcomes over time were described. The transformed SIDIAP database is a valuable resource that can enable distributed network research in COVID-19 and beyond.

Keywords: electronic health records, medical ontologies, secondary data use, common data model, OMOP

Introduction

Spain has been one of the European countries hit hardest by the ongoing Coronavirus disease 2019 (COVID-19) pandemic. The first COVID-19 cases in Spain were identified in late February 2020, and by the 1st June of that year there had been more than 32,000 COVID-19 deaths in the country.¹ Further waves of infections have since followed and,

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969

although the advent of effective vaccines has dramatically improved the outlook, COVID-19 cases continue to accrue and the effects of the disease for many of the people previously infected are likely to be long-lasting.

Similar to many European countries, Spain has a universal coverage healthcare system with general practitioners (GPs) acting as the gatekeepers to care.² This role has largely been maintained during the COVID-19 pandemic. Indeed, GPs have played a pivotal role throughout the pandemic as the first point of contact for COVID-19 confirmed and probable cases and for providing short- and long-term follow-up care to the majority of COVID-19 patients. With regard to the identification of potential cases, clinical diagnoses by primary care professionals played an important role during the first of the pandemic in Spain, with the use of SARS-CoV-2 testing initially restricted to the most severe cases, such as those hospitalised and groups considered to be at particularly high-risk, such as care home residents.³ Once vaccines became available, primary care professionals were also involved in delivering the COVID-19 immunisation campaign, which achieved one of the highest vaccination rates in the European Union.⁴

In such a context, primary care records can provide an important foundation for COVID-19 research, particularly when linkage to testing, hospitalisation, and vaccination data is available. The Information System for Research in Primary Care (SIDIAP; <u>www.sidiap.org</u>) is a primary care records database covering approximately 75% of the population of Catalonia, Spain. The provenance of the data has been well documented, and the population captured has been found to be representative in terms of age, sex, and geographic distribution.⁵ Data from SIDIAP has previously been used in a wide range of epidemiological research studies, including COVID-19 related research.^{6–9} Individual-level linkage of hospital data has previously been established for SIDIAP, and further linkage to SARS-CoV-2 test results and COVID-19 vaccine records is also possible. However, the usability of SIDIAP in federated analyses is limited due to issues surrounding interoperability, as the schemas and clinical terminologies often differ across healthcare systems and datasets. In order to standardize both the language and structure of health data, the Observational Health Data Sciences and Informatics (OHDSI) developed the Observational Medical Outcomes Partnership (OMOP) Common Data Model (CDM),¹⁰ which has been adapted by numerous health care databases.¹¹⁻¹⁴

Our first aim was to convert data from SIDIAP to the OMOP CDM to facilitate distributed network research related to the pandemic. Our second aim was to summarise the occurrence of COVID-19-related outcomes observed and describe the characteristics of those affected and vaccinated against this disease.

Materials and Methods

Overview

Primary care data collected in SIDIAP between 1st January 2006 (when the computerization of records was complete) and 30th June 2022 (the last available date of data collection) was linked, at a patient-level, to COVID-19 testing, hospitalisation, and vaccination data. The data were mapped to the OMOP CDM following an extract, transform, and load (ETL) process which we first describe below. Using these mapped data, a cohort of the general population was followed up from 1st March 2020, with COVID-19 outcomes (outpatient COVID-19 diagnoses and positive tests, hospitalisations with COVID-19, ICU admissions with COVID-19, and COVID-19 deaths) and first dose vaccination against COVID-19 observed over follow-up until the 30th June 2022.

Mapping to the OMOP CDM: Extract, Transform, and Load

The ETL process was based on the approach put forward by the OHDSI community which involves four distinct steps: 1) designing the ETL, 2) creating the code mappings, 3) implementing the ETL, and 4) quality control to assess whether the database was fit for use. Any issues identified during quality control are addressed by updating the ETL where possible.¹⁵

Designing the ETL

The OHDSI White Rabbit tool was used to scan and characterize the source data.¹⁵ Based on this, a design was created using the Rabbit-in-a-Hat tool in which source data tables were mapped to the OMOP CDM person, observation period, visit occurrence, condition occurrence, procedure occurrence, drug exposure, measurement, observation, provider, location and death tables (see <u>Supplementary Figure 1</u>). The derived drug and condition era tables were also created.

Creating the Code Mappings

Mapping to the OMOP CDM requires mapping terminology to standard vocabularies in the OMOP Vocabularies.¹⁵ Examples of code mappings are given in Table 1. The Systematized Nomenclature of Medicine (SNOMED), for example, is a standard vocabulary for conditions, while RxNorm codes are a standard vocabulary for drug exposures.

COVID-19 diagnoses and patient comorbidities could first be identified from the source table *Problemes* (health problems, from Catalan), containing International Statistical Classification of Diseases and Related Health Problems, Tenth Revision, Clinical Modification (ICD-10-CM) codes recorded during primary care interactions. An

Source Data			OMOP CDM			
Table	Concept (Vocabulary)	Description	Table	Concept ID (Vocabulary)	Description	
COVID-19 Diagno	ses				•	
Problemes (health problems)	"B34.2" (ICD-10 -CM)	Coronavirus infection, unspecified site	Condition occurrence	439676 (SNOMED)	Coronavirus infection	
Problemes (health problems)	"B97.29" (ICD-10- CM)	Other coronavirus as the cause of diseases classified elsewhere	Condition occurrence	4100065 (SNOMED)	Disease due to Coronaviridae	
SARS-CoV-2 test r	esults					
Covid_tests	"Positiu" (N/A)	Positive COVID19 PCR Result	Measurement	37310255 (SNOMED) -45884084 (LOINC)	Detection of 2019 novel coronavirus using polymerase chain reaction technique - Positive	
Symptoms						
Problemes (health problems)	"R06.02" (ICD-10- CM)	Shortness of breath	Condition occurrence	312437 (SNOMED)	Dyspnea	
Comorbidities	•	·				
Problemes (health problems)	"E11.9" (ICD-10- CM)	Type 2 diabetes mellitus without complications	Condition occurrence	4193704 (SNOMED)	Type 2 diabetes mellitus without complication	
Medications						
Prescripcio (prescriptions)	"656509" (AEMPS national code)	BRUFEN, 600 MG 40 COMPRIMIDOS, COMPRIMIDOS	Drug exposure	19019073 (RxNorm)	lbuprofen 600 MG Oral Tablet	
Facturacio (dispensing)	"694729" (AEMPS national code)	AMOXICILLIN 1000 MG TABLET	Drug exposure	1713412 (RxNorm)	Amoxicillin 1000 mg Oral tablet	
Vaccines						
Vacunes_covid (COVID-19 vaccines)	"BioNTech / Pfizer" (local code)	COMIRNATY 30 MICROGRAMOS/DOSIS CONCENTRADO PARA DISPERSIÓN INYECTABLE, 195 viales (multidosis)	Drug exposure	37003436 (RxNorm)	SARS-CoV-2 (COVID- 19) vaccine, mRNA- BNT162b2 0.1 MG/ML Injectable Suspension	

Table I Example of Mappings Implemented in the Information System for Research in Primary Care to the OMOP CDM

Notes: Mapping from source data to the OMOP CDM requires source data to be mapped to standard concepts. For example, SNOMED provides standard concepts for the condition occurrence table. Here we provide some examples of the mappings used.

Abbreviations: AEMPS, Agencia Española de Medicamentos y Productos Sanitarios; CDM, Common Data Model; ICD-10-CM, International Statistical Classification of Diseases and Related Health Problems, Tenth Revision, Clinical Modification; LOINC, Logical Observation Identifiers Names and Codes; MG, miligrams; ML, millilitres; OMOP, Observational Medical Outcomes Partnership; SNOMED, Systematized Nomenclature of Medicine. example of a COVID-19 diagnosis code mapping for this table was from the ICD-10-CM code B34.2 ("Coronavirus infection, unspecified site") to the OMOP concept id 439676 ("Coronavirus infection"), while an example of a COVID-19 symptom code is the mapping of the ICD-10-CM code R06.02 ("Shortness of breath") to the concept id 312437 ("Dyspnea"). ICD-10-CM codes of health problems causing sick leaves were also identified from the source table *Baixes* (sick leaves), and were mapped to the condition_occurrence table of the OMOP CDM.

Prescriptions and dispensations of medications were identified from the source table *Prescripcio* (prescription) and *Facturacio* (dispensing), respectively, with this information mapped to the drug exposure table of the OMOP CDM. To map each drug national code from the *Agencia Española de Medicamentos y Productos Sanitarios* (AEMPS) in the source table to the best corresponding standard concept id in the OMOP CDM drug exposure table an intermediate source_to_concept_map table was used, as defined by the OMOP CDM data model. COVID-19 and non-COVID-19 vaccines were identified from two different source tables (*Vacunes_covid* and *Vacunes_orig*, respectively), and were mapped to the drug exposure table in a similar manner.

SARS-CoV-2 test results were identified from a source table including all COVID-19 tests (*Covid_tests*). This source table was created following the advent of the COVID-19 pandemic and was linked to SIDIAP patient data at the individual-level. These results were mapped to the measurement table in the OMOP CDM. Each polymerase chain reaction (PCR) test record in this source table was mapped to a measurement concept id of 586310 ("Measurement of Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) Genetic material using Molecular method"), while antigen tests were mapped to 37310257 ("Measurement of Severe acute respiratory syndrome coronavirus 2 antigen") and antibody tests to 37310258 ("Measurement of Severe acute respiratory syndrome coronavirus 2 antibody"). If the result was coded as *Positiu* (positive) in the source table, the value as concept id was then set as the concept id of 45884084 ("Positive").

Additional information specific to the SIDIAP database was identified from the source table *Variable_geo_sanitaries* (geographic health data) and was mapped to the observation table. Such information included variables on socioeconomic deprivation indexes or Basic Health Areas, both based on the primary care center assigned to each patient. Information on sick leave occurrences was identified from the source table *Baixes* (sick leaves) and was also mapped to the observation table. This information had no equivalency in the OMOP CDM vocabulary, but was included in the CDM so it could be used in further research.

Lastly, hospitalisation data, from the *Conjunt Minim Bàsic de Dades d'Alta Hospitalària* (CMBD, minimum basic set of hospital discharge data) collated by the Data Analysis Program for Health Research and Innovation (PADRIS) in Catalonia, was also linked at the individual-level. This dataset included both diagnosis and procedures registered during hospital admissions for all public and private hospitals in Catalonia. ICD-10-CM codes used to register diagnoses at hospitals were mapped to the OMOP CDM, as was done with the *Problemes* table, with the procedure occurrence table in the CDM also populated. Lastly, hospital and ICU inpatient admission and discharge dates were mapped to the visit occurrence table.

The linkage of data to public sources was carried out by the *Institut Català de la Salut* (ICS, Catalan Health Institute) and PADRIS, with PADRIS specifically handling hospitalisation data. A unique personal identifier was used for the linkage process. Both institutions were responsible for executing the linkage and delivering the new dataset already pseudo-anonymised.⁵

Implementing the ETL

The SIDIAP tables are stored in MariaDB database, and the OMOP CDM v5.3.1 tables are accessible through PostgreSQL. Docker containers were used to host and to deploy the full system. Python code was used for implementing the mapping in the original SIDIAP database, with Bitbucket used for version control. After completing the transformations, CDM tables were migrated to the final PostgreSQL environment. A schematic of the ETL framework used is provided in Supplementary Figure 2.

Quality Control

A range of database constraints defined by OHDSI were created in the Postgres database to prevent errors such as duplicate rows or unmatched ids across interrelated tables. Data quality was also considered systematically using the Data Quality Dashboard (DQD) R package.^{15,16} This tool was run on the data after conversion to the OMOP CDM to test how well the resulting CDM instance complied with OHDSI standards. DQD runs over 3,300 data quality checks paired with prespecified failure thresholds to address conformance, completeness and plausibility of the data. Conformance checks measure database's conformance to the model specifications. Completeness checks address the quality of the mapping by looking at the frequency of values in the dataset, including checks to evaluate the proportion of source values that were not mapped to standard concepts. Lastly, plausibility checks evaluate the credibility of the values in the dataset.¹⁶ The results of DQD were used to evaluate whether the database was fit for use. Failed checks were reviewed, and the ETL was updated to address them where necessary.

Summarising the Occurrence of COVID-19-Related Outcomes and Describing the Characteristics of Those Affected

Study Population and Follow-Up

Individuals present in SIDIAP as of 1st March 2020 were identified as the study population. Any individuals who had a clinical diagnosis or positive test result for SARS-CoV-2 between the 1st January and 29th February 2020 were excluded, as were any individuals in hospital on 1st March 2020. These two exclusions were to ensure that the cohort identified from SIDIAP was representative of the general population at risk of subsequent incident COVID-19. Follow-up began on 1st March 2020 (the index date for all individuals) and ended on 30th June 2022 (the last available date of data collection).

COVID-19-Related Outcome Cohorts

Five COVID-19-related outcomes were considered: outpatient COVID-19, hospitalised with COVID-19, ICU admission with COVID-19, died with COVID-19, and vaccinated against COVID-19. Outcome cohorts only considered the first occurrence of infection and the first-dose vaccination in the patients' history and were not mutually exclusive. Cohort entry was cohort-specific and was based on the date of occurrence of the entry event of each cohort (date of COVID-19 diagnosis, hospitalisation, ICU admission, death, or vaccination).

An outpatient diagnosis of COVID-19 was identified on the basis of a compatible clinical code or positive SARS-CoV-2 test (antigen or PCR), whichever came first, with no hospital admission with COVID-19 observed prior to or on the same day as this diagnosis. Hospitalisation with COVID-19 was identified as a hospital admission where the individual had a compatible COVID-19 clinical code or positive SARS-CoV-2 test over the 21 days prior to their admission up to three days after admission. We used the same temporal criteria to identify ICU admission with COVID-19. A COVID-19 death was defined as a death where an individual had a compatible COVID-19 clinical code or positive SARS-CoV-2 test recorded in the 28 days preceding their death, with deaths identified at the individual-level. First-dose vaccination against COVID-19 was identified on the basis of standard concept ids compatible with any of the vaccines administered in the immunisation campaign in Spain (BNT162b2, ChAdOx1, mRNA-1273, and Ad26.COV2.S).

To assess the impact of using alternative definitions for outpatient diagnosis of COVID-19, we explored three further definitions: PCR positive test, PCR or antigen positive test, and COVID-19 diagnosis (narrow definition). While the initial definition for diagnoses (referred in this paper as broad definition) allowed for broader included codes such as "Coronavirus infection" and "Suspected COVID-19", the narrow definition only included codes specific to COVID-19, such as "Disease caused by 2019 novel coronavirus". <u>Supplementary Tables 1</u> and <u>2</u> present the full specifications of narrow and broad definitions for COVID-19 diagnosis using standard concepts within the OMOP CDM.

Variables

The age, as of 1st March 2020 (the index date for all individuals), and sex of study participants were extracted. Using their most recent observation, individuals' body mass index (BMI) and smoking status (classified as never smoker, former smoker, or current smoker) were also obtained. Individuals' comorbidities and medication use were also summarised relative to the 1st March 2020. The comorbidities included were: autoimmune diseases, asthma, malignant neoplastic disease, diabetes mellitus, heart disease, hypertensive disorder, renal impairment, chronic obstructive lung disease (COPD), and dementia. These health conditions were based on an individual's entire observed history prior to the

index date. In addition, for those individuals in the outpatient diagnosis of COVID-19 cohort, symptoms recorded within two days prior to two days after index date were also identified. The following symptoms were considered: cough, dyspnea, diarrhea, headache, fever, one of anosmia, hyposmia, or dysgeusia, either malaise or fatigue, and pain.

Descriptive Analysis

The characteristics of the study population as a whole and each of the COVID-19-related outcome cohorts were summarised, with counts and percentages for categorical variables and median and interquartile ranges (IQR) for continuous variables. Cohort entry over time is plotted for the study cohorts. The proportion of persons in the outpatient COVID-19 cohort with a symptom of interest is summarised and stratified by calendar month.

All analytical code and detailed definitions of algorithms for identifying events (including COVID-19 and comorbidities) have been made publicly available at: https://github.com/SIDIAP/CovidCdmSummary

Results

Mapping to the OMOP CDM

The SIDIAP CDM contained information on 8,265,343 unique individuals. These people had 252,201,881 records in the condition occurrence table, 1,623,418,192 records in the drug exposure table, and 1,575,796,906 records in the measurement table. We mapped a total of 244,070,592 (96.8%) records on the condition domain, 1,537,021,869 (94.7%) records in the drug domain, and 1,575,431,674 (100%) records in the measurements domain. Details on the source codes and records mapped can be found in Table 2. Of the 3,484 data quality checks run against the database, 3,440 passed (98.7%). The remaining 44 checks that failed were considered not to require immediate action. Most of those stemmed from the source data due to potential data entry errors (eg, male-specific conditions recorded for females) or to the nature of our data (eg, prescription end date after the last day of data availability). Minor errors on unit measurements were detected and require further exploration for future use. Each of these checks is summarised in Supplementary Table 3.

COVID-19 Outcomes

We identified 5,932,342 individuals present in the database as of 1st March 2020. We excluded 8,580 individuals who were hospitalised on the study start date. None of the individuals had a history of COVID-19 between the 1st January and 29th February 2020. A total of 5,923,762 individuals were included in the general population cohort of people alive and not hospitalised in the database as of 1st March 2020. Over follow-up, 2,270,939 had either an outpatient COVID-19 diagnosis or positive test result, 95,018 were hospitalised with COVID-19, 12,340 had an ICU admission with COVID-19,17,678 had a COVID-19 death, and 4,585,515 received at least one dose of a COVID-19 vaccine.

Domain	Source Terms	Mapped Terms (%)	Source Registries	Mapped Registries (%)
Condition	55,787	49,631 (89.0)	252,201,881	244,070,592 (96.8)
Drug*	-	-	1,623,418,192	1,537,021,869 (94.7)
Measurement	141	136 (96.5)	1,575,796,906	1,575,431,674 (100)
Observation**	1883	1,879 (99.8)	166,948,926	133,887,554 (80.2)
Procedure	36,060	35,472 (98.4)	45,632,837	45,402,273 (99.5)
Visit	347	347 (100)	802,837,844	802,837,844 (100)

Table 2 Mapping Coverage for Terms and Registries in the Main OMOP CDM Domains

Notes: Mapping coverage for most frequently used OMOP CDM domains. Domains as condition status, death cause, device, and specimen were not included as they were not captured in the source tables. *Source terms for drugs correspond to drug national codes from the Agencia Española de Medicamentos y Productos Sanitarios (AEMPS). These terms are used for the mapping but are blinded in the CDM, as they contain sensitive information on the product manufacturer: **Source registries in the observation domain contain additional information specific to the SIDIAP database that cannot be mapped to the OMOP CDM (information on socioeconomic deprivation index or Basic Health Areas). Abbreviations: CDM, Common Data Model; OMOP, Observational Medical Outcomes Partnership.

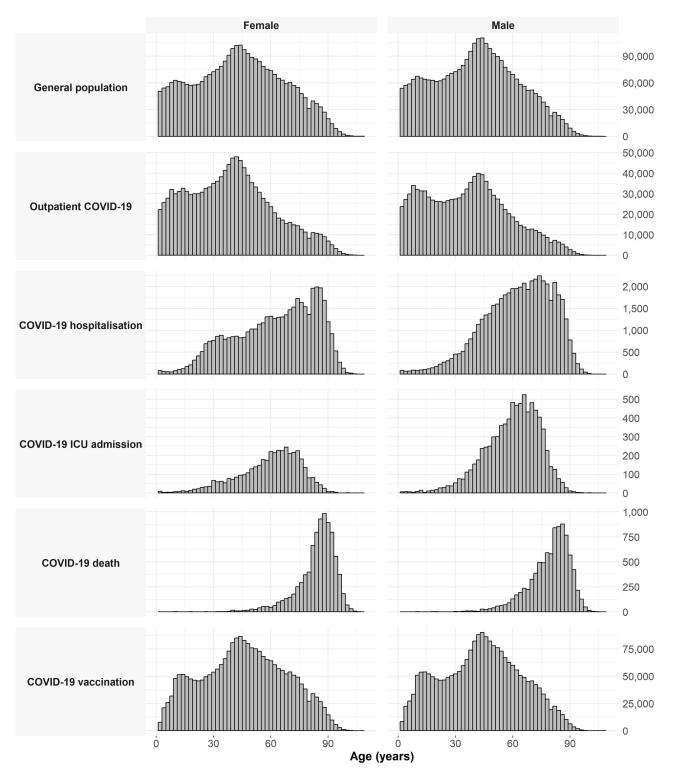


Figure 1 Histogram of age, stratified by sex, for the general population and each COVID-19 outcome cohort.

The distribution of age for each study cohort, stratified by sex, is shown in Figure 1. The average age of the general population study cohort was 43 years (IQR: 25 to 59), and 50.7% were female. Median age was higher among outcome cohorts, most notably among those with a COVID-19 death who had an average age of 85 (78 to 90). Patients admitted to ICU, however, were younger than those admitted to hospital (63 [53 to 71] compared to 65 [51 to 78]). While the

outpatient COVID-19 cohort was majority female (53.7%), those hospitalised and admitted to ICU were more typically male (54.5% and 67.2%, respectively). Patients with a COVID-19 death were close to equally distributed by sex (49.1% were female). Vaccine recipients were typically younger than those admitted to hospital or ICU and with a COVID-19 death. Comorbidities were generally more common among those with a COVID-19 outcome compared to the general population, except for the outpatient COVID-19 cohort, see Table 3. For example, the prevalence of diabetes and hypertension were 23.1% and 44.5%, respectively, among those hospitalised with COVID-19 compared to 7.3% and 16.7% in the general population.

Cohort entry over calendar time, stratified by age, is shown in Figure 2. The figure illustrates the various waves of COVID-19, along with the much greater number of cases of COVID-19 hospitalisations, ICU admission, and deaths among the older age groups. The highest number of outpatient COVID-19 cases did though occur among the younger age group.

Capture of COVID-19 symptoms over calendar time is shown in Figure 3 and stratified by age group in <u>Supplementary Figures 3</u> and <u>4</u>, respectively. Cough and fever were the most common symptoms identified, but all symptoms had a prevalence of less than 6% with substantial changes over time.

The impact of different definitions for outpatient COVID-19 is shown in Figure 4, where cohort entry over calendar time is depicted. While from September 2020 definitions were generally in accordance, the first wave of COVID-19 in Catalonia was only identified when including the broad COVID-19 diagnosis definition.

First-dose vaccinations over time overall and by vaccine product are shown in Figure 5, and stratified by age group in <u>Supplementary Figure 5</u>. Plots over time mirror the order in which age groups were prioritised for vaccination (starting with the elderly), and are in accordance with the different nationwide guidelines for the provision of the different COVID-19 vaccine products in Spain. For instance, the majority of ChAdOx1 recipients were aged 60 to 69 years.

Discussion

We have extracted and transformed the SIDIAP, a database of population-wide primary care electronic health records (EHR) with more than eight million individuals in Catalonia, to the OMOP CDM. With more than 3,400 data quality checks performed to assess data quality, the resulting database can be considered fit for use to inform appropriate research questions. Demonstrating the breadth of data captured, a descriptive analysis of various COVID-19-related outcomes among the general population has been performed, providing a broad overview of COVID-19 in Catalonia and the characteristics of the individuals affected and vaccinated with a first vaccine dose against this disease.

While the initial implementation of OMOP CDM posed challenges, the resources available through the OHDSI community and the European Health Data & Evidence Network's (EHDEN) Innovative Medicines Initiative (IMI) 2 consortium facilitated the mapping of the SIDIAP data to the OMOP CDM. The SIDIAP mapping workflow is now automated, simplifying the procedure and enabling the transformation of each data update (every six months). The adoption of the OMOP CDM will enable the use of the growing body of open-source tools available for OMOP formatted-data, and will facilitate its use for both single database studies and distributed network research. Indeed, the mapping of SIDIAP data to the OMOP CDM has already facilitated its use in several international network studies on COVID-19. Examples include characterization studies of individuals tested for SARS-CoV-2,¹⁷ and patients with COVID-19, including hospitalised adults,¹⁸ children and adolescents,¹⁹ and patients with comorbidities.^{20–23} Other network studies have explored the susceptibility to COVID-19 among patients using renin-angiotensin system and alpha-1 blockers,^{24,25} and have validated models for predicting COVID-19 outcomes.^{26,27} In addition, several studies have been developed to characterize the background incidence rates of adverse events of special interest for COVID-19 vaccines,^{28,29} and to estimate the risk of some of these events following COVID-19 vaccination and infection.^{30–33} Other studies based solely on SIDIAP data mapped to the OMOP CDM have focused on different aspects of the COVID-19 pandemic in Catalonia, including COVID-19 patient trajectories in Catalonia,³⁴ and the impact of cancer and obesity on these trajectories.^{35,36} Additionally, other studies have described the impact of the pandemic on trends of mental health diagnoses,^{37,38} and have assessed inequalities in COVID-19 vaccination and infection.³⁹

In our descriptive analysis, we found that individuals with a COVID-19 outcome were typically older and had more comorbidities than the general population. This was particularly pronounced for the most severe outcomes studied. This

	General Population	Outpatient COVID-19 Diagnosis or Positive Test	Hospitalised with COVID-19	ICU Admission with COVID-19	Died with COVID-	Vaccinated Against COVID-19 (First Dose)
Ν	5,923,762	604,472	58,991	5642	11,233	4,584,515
Age (median [IQR])	43 [25 to 59]	41 [25 to 55]	65 [51 to 78]	63 [53 to 71]	85 [78 to 90]	46 [29 to 61]
Age group (n (%))						
Under 20	1,158,540 (19.7%)	111,037 (18.4%)	644 (1.1%)	39 (0.7%)	<5	685,944 (15.0%)
20 to 29	629,785 (10.7%)	80,548 (13.3%)	1888 (3.2%)	89 (1.6%)	6 (0.1%)	478,271 (10.4%)
30 to 39	798,397 (13.6%)	91,377 (15.1%)	3654 (6.2%)	277 (4.9%)	22 (0.2%)	615,383 (13.4%)
40 to 49	1,010,564 (17.2%)	113,666 (18.8%)	7023 (11.9%)	651 (11.5%)	76 (0.7%)	843,637 (18.4%)
50 to 59	824,798 (14.1%)	87,726 (14.5%)	10,053 (17.0%)	1204 (21.3%)	270 (2.4%)	714,234 (15.6%)
60 to 69	626,418 (10.7%)	50,865 (8.4%)	10,655 (18.1%)	1691 (30.0%)	781 (7.0%)	548,995 (12.0%)
70 to 79	477,436 (8.1%)	33,403 (5.5%)	11,822 (20.0%)	1416 (25.1%)	2287 (20.4%)	424,955 (9.3%)
80 or older	344,336 (5.9%)	35,850 (5.9%)	13,252 (22.5%)	275 (4.9%)	7788 (69.3%)	273,096 (6.0%)
Sex: Male (n (%))	2,896,281 (49.3%)	280,092 (46.3%)	32,174 (54.5%)	3790 (67.2%)	5712 (50.9%)	2,230,598 (48.7%)
Years of prior observation time (median [IQR])	14.2 [11.9 to 14.2]	14.2 [12.7 to 14.2]	14.2 [14.2 to 14.2]	14.2 [14.2 to 14.2]	14.2 [14.2 to 14.2]	14.2 [14.2 to 14.2]
Smoking status (n (%))						
Current smoker	739,900 (12.6%)	64,469 (10.7%)	3595 (6.1%)	320 (5.7%)	492 (4.4%)	628,274 (13.7%)
Ex-smoker	789,023 (13.4%)	87,556 (14.5%)	16,547 (28.1%)	1904 (33.7%)	3601 (32.1%)	689,209 (15.0%)
Non-smoker	2,111,283 (36.0%)	252,115 (41.7%)	24,906 (42.2%)	2246 (39.8%)	4158 (37.0%)	1,768,375 (38.6%)
Missing	2,230,068 (38.0%)	200,332 (33.1%)	13,943 (23.6%)	1172 (20.8%)	2982 (26.5%)	1,498,657 (32.7%)
Years since smoking status observation (median [IQR])	7.4 [3.6 to 11.0]	7.3 [3.6 to 10.8]	8.5 [4.4 to 11.8]	8.0 [4.2 to 11.4]	9.6 [5.5 to 12.4]	7.5 [3.7 to 11.3]
BMI (n (%))	26 [22 to 29]	26 [22 to 29]	29 [26 to 32]	30 [27 to 34]	28 [25 to 31]	25 [22 to 29]
Years since BMI observation (median [IQR])	1.8 [0.6 to 4.4]	1.9 [0.6 to 4.6]	1.0 [0.3 to 2.6]	0.9 [0.3 to 2.3]	1.0 [0.3 to 2.4]	1.8 [0.6 to 4.4]

(Continued)

Table 3 (Continued).

	General Population	Outpatient COVID-19 Diagnosis or Positive Test	Hospitalised with COVID-19	ICU Admission with COVID-19	Died with COVID-	Vaccinated Against COVID-19 (First Dose)
Comorbidities (n (%))						
Autoimmune disease	86,516 (1.5%)	9386 (1.6%)	1890 (3.2%)	168 (3.0%)	478 (4.3%)	70,264 (1.5%)
Asthma	306,554 (5.2%)	37,323 (6.2%)	4054 (6.9%)	327 (5.8%)	661 (5.9%)	240,753 (5.3%)
Malignant neoplastic disease	350,077 (6.0%)	32,408 (5.4%)	9810 (16.6%)	764 (13.5%)	3255 (29.0%)	285,327 (6.2%)
Diabetes mellitus	430,519 (7.3%)	44,746 (7.4%)	13,649 (23.1%)	1406 (24.9%)	3793 (33.8%)	351,603 (7.7%)
Heart disease	615,536 (10.5%)	61,661 (10.2%)	18,569 (31.5%)	1491 (26.4%)	6482 (57.7%)	496,657 (10.8%)
Hypertensive disorder	982,299 (16.7%)	96,618 (16.0%)	26,234 (44.5%)	2482 (44.0%)	7449 (66.3%)	803,986 (17.5%)
Renal impairment	259,620 (4.4%)	26,289 (4.3%)	11,032 (18.7%)	800 (14.2%)	4792 (42.7%)	205,680 (4.5%)
COPD	165,181 (2.8%)	15,578 (2.6%)	6,328 (10.7%)	536 (9.5%)	2076 (18.5%)	132,598 (2.9%)
Dementia	67,172 (1.1%)	13,431 (2.2%)	3388 (5.7%)	47 (0.8%)	3173 (28.2%)	47,830 (1.0%)

Abbreviations: IQR, interquartile range; BMI, body mass index; COPD, chronic obstructive lung disease; ICU, intensive care unit.

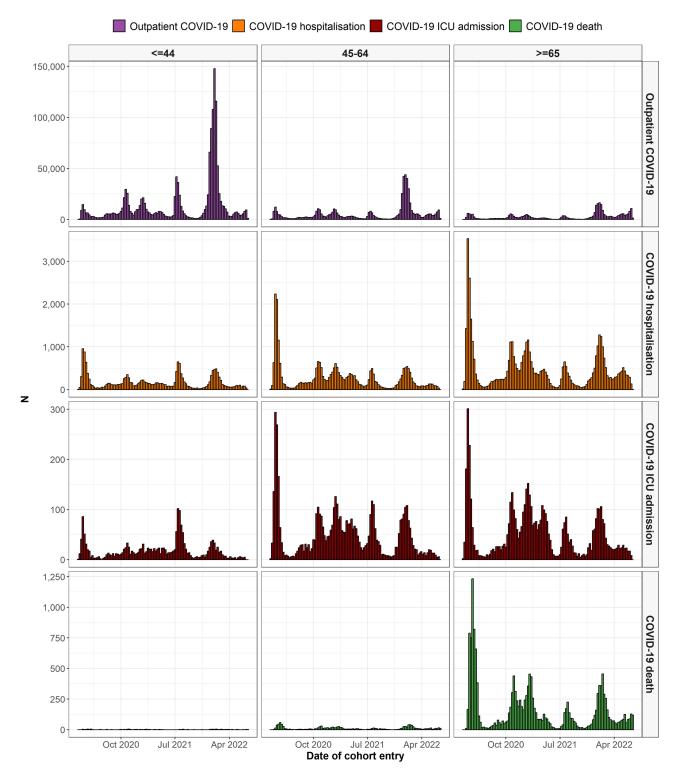


Figure 2 COVID-19 outcome cohort entry over calendar time, stratified by age group.

is in concordance with research to date, with numerous studies finding older age to be associated with worse outcomes in COVID-19.^{40–43} While those with an outpatient COVID-19 diagnosis or positive test were more often female in our data, those hospitalised were majority male, as were 67.2% of those admitted to ICU. People who died with COVID-19 were almost equally distributed by sex. Previous research studies have reported mixed results for diagnoses and positive tests, for example two studies from the UK which reported a higher risk of testing positive for SARS-CoV-2 among men,^{44,45}

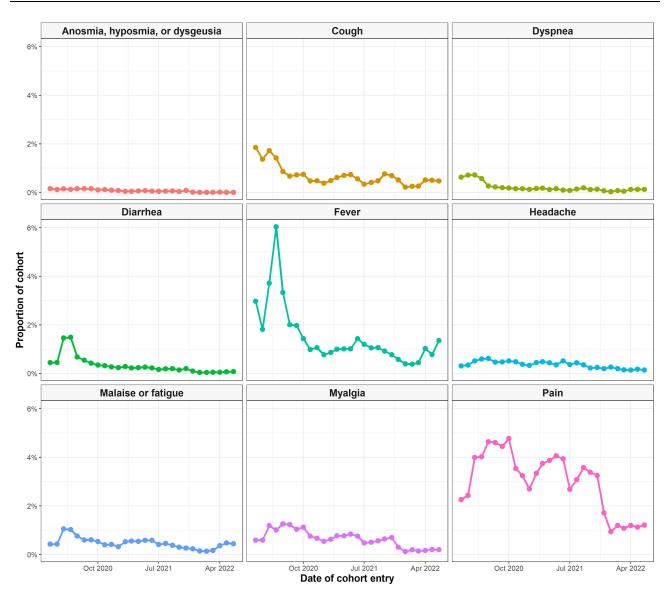


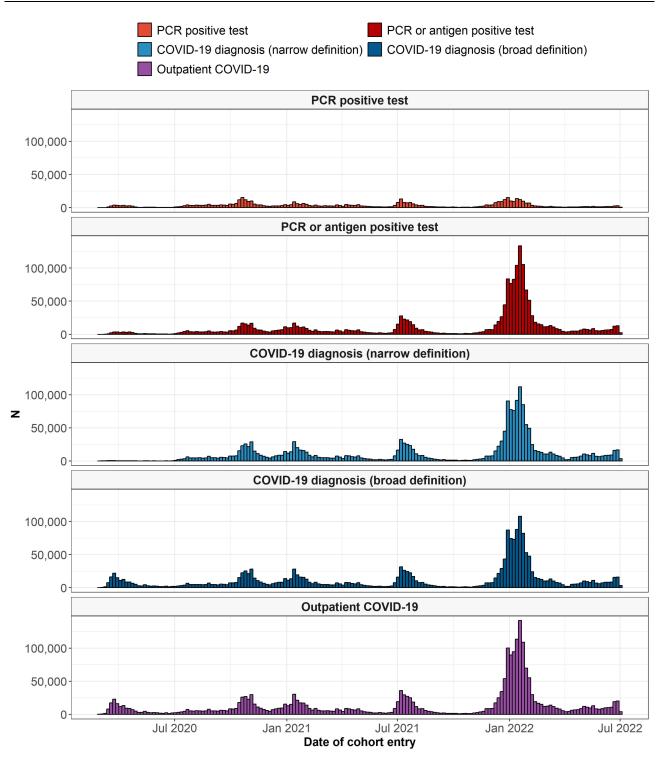
Figure 3 Symptoms recorded at time of outpatient COVID-19 diagnosis or positive test.

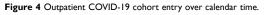
while a study from China found there to have been a higher attack rate among women.⁴⁶ A range of studies have though previously found males to be at an increased risk of severest outcomes.^{41,42,47} Regarding COVID-19 vaccines, first dose vaccine recipients were almost equally distributed by sex and were slightly older than those in the general population, which was in concordance with official statistics.⁴⁸

The importance of appropriate phenotyping when using routinely collected data is also demonstrated when comparing alternative definitions of an outpatient COVID-19 case in our data. A definition that relied solely on testing for SARS-CoV-2 or using a narrow set of diagnosis codes would have missed many of the COVID-19 cases from the first wave, a time when testing was not widely available and medical vocabularies had not yet introduced COVID-19 specific codes.

Strengths and Limitations

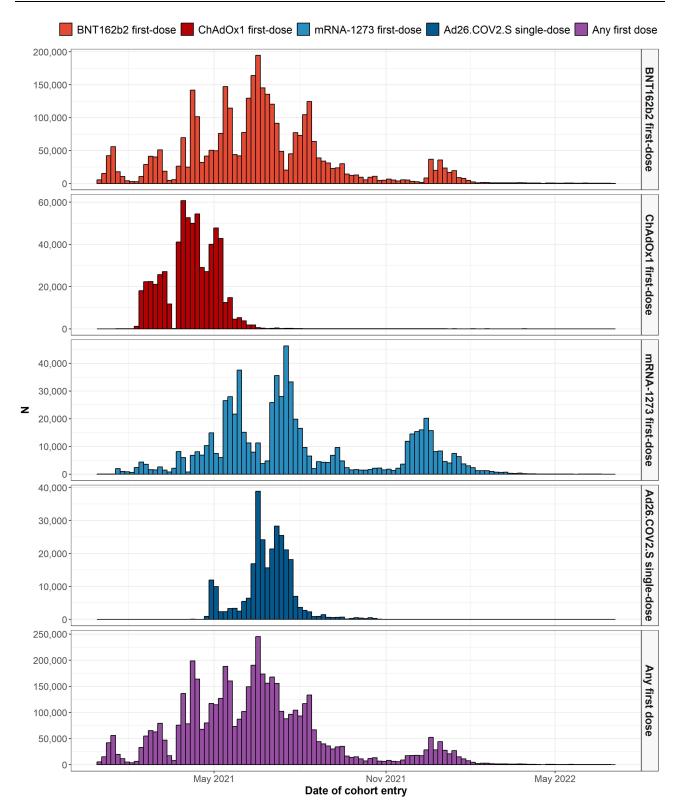
Much of the COVID-19 literature is based on studies where study populations have been drawn from people hospitalised with COVID-19, tested for infection, or who volunteered to participate in a study. Such studies can be subject to a number of biases, in particular collider bias which can lead to the reporting associations that do not exist in the general population or by attenuating, inflating or reversing the sign of true associations.⁴⁹ This underscores the importance of





developing comprehensive datasets to generate the reliable evidence required to inform decision-making related to the pandemic. With more than four million first dose vaccine recipients, two million outpatient cases of COVID-19 captured, and a breadth of data capture that allows for comparisons with the general population and subsequent hospital care to be described, the mapped SIDIAP database described here is one such resource.

Raventós et al





While EHR data bring numerous opportunities, with the data collected for non-research purposes careful curation is required. Using a well-established common data model meant that existing open-source tools could be used to evaluate data quality and that research studies can be run in a distributed manner. This has allowed the database to already have

been used in a number of international network research studies, with standardised analytic packages and only aggregated results sets shared.

One limitation of the dataset has been seen with the likely underreporting of COVID-19 cases and symptoms, which reflects the nature of routinely collected health care data not designed for specific research questions. The underreporting of COVID-19 cases also reflects how COVID-19 testing strategies and the subsequent recording of cases in EHRs have evolved throughout the pandemic due to varying public health strategies, and it will unavoidably miss undetected asymptomatic cases or detected cases not reported to the Catalan public health system. Since 28 March 2022, free testing in primary care has been restricted to specific groups, including the elderly, people who are pregnant or immunocompromised, and those working with vulnerable populations. In addition, people who test positive with a self-test are no longer advised to notify these results to their GP or to seek medical advice unless symptoms worsen or if medical leave is required. Therefore, future COVID-19 studies, and particularly those using data beyond this date, will require careful consideration to minimize and adjust for potential misclassification bias.⁵⁰ The estimates drawn from this database in terms of COVID-19 symptoms are much lower than reported in studies informed by self-reported patient data.^{51,52} The underreporting of COVID-19 symptoms has already been described in another network study based on routinely collected real-world data,⁵³ and would likely be reduced if free text data recorded during primary care visits was also mapped to the OMOP CDM. Other limitations include lack of cause of death, hospital prescribing of medicines and lab data, while SARS-CoV-2 variants and contact tracing are also not captured. Lastly, it is important to note that our analysis of COVID-19-related outcomes was limited to individuals present in our database as of March 2020. Consequently, data on individuals who moved into the catchment area of SIDIAP or were born after the start of the study were not included.

Conclusion

We successfully harmonised SIDIAP to the OMOP CDM, and we illustrated its potential to perform COVID-19 distributed network research, as it captures COVID-19 diagnoses, SARS-CoV-2 test results, hospitalisations, deaths, and vaccinations in Catalonia, Spain. In this study, we have summarised the mapping of this dataset and described observed COVID-19-related outcomes and the characteristics of those individuals affected and vaccinated against this disease. In addition, we have provided insights regarding important considerations for future research in our setting, including the impact of different outpatient COVID-19 definitions and significant testing-related information. The transformed SIDIAP database is a valuable resource that can enable distributed network research in COVID-19 and beyond.

Data Sharing Statement

In accordance with current European and national law, the data used in this study are only available for the researchers participating in this study. Thus, we are not allowed to distribute or make publicly available the data to other parties. However, researchers from public institutions can request data from SIDIAP if they comply with certain requirements. Further information is available online (<u>https://www.sidiap.org/index.php/menu-solicitudesen/application-proccedure</u>) or by contacting SIDIAP (sidiap@idiapjgol.org). Details on the code used for the ETL can be obtained through direct contact with the corresponding author.

Ethics Approval and Informed Consent

This study was approved by the Clinical Research Ethics Committee of the IDIAPJGol (project code: 21/052-PCV).

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Disclosure

EAV and CB are employees of Janssen Research and Development LLC and shareholders of Johnson & Johnson (J&J) stock. LH reports edenceHealth, as a certified EHDEN SME, received payment for certifying the earlier OMOP CDM transformation work done by SIDIAP in their function as an EHDEN Data Partner, during the conduct of the study. TD-S reports grants from Innovative Medicines Initiative 2, during the conduct of the study. The authors report no other conflicts of interest in this work.

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