

1 **Engineering affinity-matured variants of an anti-polysialic acid monoclonal**  
2 **antibody with superior cytotoxicity-mediating potency**

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4 Weiyao Wang<sup>1</sup>, Mehman Bunyatov<sup>1</sup>, Natalia Lopez-Barbosa<sup>1</sup> and Matthew P. DeLisa<sup>1,2\*</sup>

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6 <sup>1</sup>Robert F. Smith School of Chemical and Biomolecular Engineering, Cornell University,  
7 Olin Hall, Ithaca, NY 14853 USA

8 <sup>2</sup>Cornell Institute of Biotechnology, Cornell University, 130 Biotechnology Building, Ithaca,  
9 NY 14853 USA

10

11 \*Address correspondence to: Matthew P. DeLisa, Robert Frederick Smith School of  
12 Chemical and Biomolecular Engineering, Cornell University, Ithaca, NY 14853 USA. Tel:  
13 607-254-8560; Email: [md255@cornell.edu](mailto:md255@cornell.edu)

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1 **ABSTRACT**

2 Monoclonal antibodies (mAbs) that specifically recognize cell surface glycans associated  
3 with cancer and infectious disease hold tremendous value for both basic research and  
4 clinical applications. However, high-quality anti-glycan mAbs, especially those with  
5 sufficiently high affinity and specificity, remain scarce, highlighting the need for protein  
6 engineering approaches based on rational design or directed evolution that enable  
7 optimization of antigen-binding properties. To this end, we sought to enhance the affinity  
8 of a polysialic acid (polySia)-specific antibody called mAb735, which was raised by animal  
9 immunization and possesses only modest affinity, using a combination of rational design  
10 and directed evolution. The application of these approaches led to the discovery of  
11 affinity-matured IgG variants with up to ~7-fold stronger affinity for polySia relative to the  
12 parental antibody. The higher affinity IgG variants were observed to opsonize polySia-  
13 positive cancer cells more avidly, which in turn resulted in significantly greater cytotoxicity  
14 as determined by both antibody-dependent cell-mediated cytotoxicity (ADCC) and  
15 complement-dependent cytotoxicity (CDC) assays. Collectively, these results  
16 demonstrate the effective application of both rational and random molecular evolution  
17 techniques to an important anti-glycan antibody, providing insights into its carbohydrate  
18 recognition while at the same time uncovering variants with greater therapeutic promise  
19 due to their enhanced affinity and potency.

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21 **KEYWORDS**

22 affinity maturation, cancer, capsular polysaccharides, carbohydrate, directed evolution,  
23 glycoprotein, glycosylation, monoclonal antibodies, tumor-associated carbohydrate  
24 antigen (TACA), yeast surface display.

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## 1 INTRODUCTION

2 Monoclonal antibodies (mAbs) are the fastest-growing class of biological therapeutics and  
3 have revolutionized the treatment of various hematologic and solid malignancies <sup>1-4</sup> as  
4 well as infectious diseases <sup>5-8</sup>. While most clinically approved mAbs are directed against  
5 proteins, in recent years carbohydrate chains known as glycans have gained increasing  
6 attention as therapeutic targets <sup>9-11</sup>. The promise of glycans as anti-cancer targets derives  
7 from the observation that cell-surface glycosylation patterns change during malignant  
8 transformation, leading to abnormal tumor-associated carbohydrate antigens (TACAs)  
9 that are abundantly and selectively expressed on cancer cells <sup>12-15</sup>. In the context of  
10 infectious disease, the glycans present on the surfaces of bacterial, viral, and fungal  
11 pathogens are attractive targets because they are often distinct from those produced by  
12 healthy human cells <sup>16, 17</sup>. Accordingly, mAbs that specifically recognize these cancer-  
13 and infectious disease-associated glycans hold enormous clinical value. For example,  
14 dinutuximab (Unituxin) and naxitamab (Danyelza), both of which target the ganglioside  
15 GD2, have been approved by the FDA for treatment of high-risk pediatric neuroblastoma  
16 and are the first anti-TACA mAbs to be successfully translated to the clinic.

17 Despite the uptick in the number of anti-carbohydrate mAbs undergoing clinical  
18 evaluation <sup>10</sup>, their binding properties are often suboptimal compared to antibodies  
19 targeting proteins. In general, anti-glycan mAbs exhibit affinities that are 1,000 to 100,000  
20 times lower than the affinities of anti-protein or anti-peptide antibodies for their antigens  
21 <sup>9, 11</sup> and suffer from widespread specificity problems as judged from the high number of  
22 existing anti-glycan mAbs that cross-react with other glycans <sup>18</sup>. There are several  
23 reasons for the relatively low affinity and high non-specific binding of anti-glycan mAbs  
24 derived from an immunized host. For one, unlike protein antigens, most carbohydrates  
25 are T cell-independent antigens, which trigger B-cell responses that lack affinity  
26 maturation and are biased toward the production of IgM <sup>19-21</sup>. Furthermore, anti-  
27 carbohydrate immune responses generate antibodies from a limited repertoire of variable  
28 (V) region genes with restricted gene pairing <sup>22-26</sup>. Collectively, these phenomena lead to  
29 the expression of essentially germline antibody sequences characterized by low affinity  
30 and broad specificity <sup>11, 27</sup>.

1 To overcome these binding liabilities, it is necessary to generate mutants of pre-  
2 existing anti-glycan antibody scaffolds with enhanced affinity, selectivity, and specificity.  
3 A variety of protein engineering approaches based on rational design or directed evolution  
4 have proven useful for optimizing the antigen-binding properties of antibodies. A common  
5 workflow involves screening combinatorial libraries of recombinant antibody genes—  
6 typically in the single-chain fragment variable (scFv) or fragment antigen-binding (Fab)  
7 format—using display technologies such as yeast surface display and filamentous phage  
8 display<sup>28, 29</sup>. However, while these strategies have met widespread success in the context  
9 of anti-protein and anti-peptide antibodies, their implementation for anti-glycan antibodies  
10 has significantly lagged and yielded mixed outcomes<sup>27, 30-37</sup>. For example, Brummel et  
11 al. constructed 90 mutants of a Fab antibody specific for *Salmonella* serogroup B O-  
12 polysaccharide (O-PS) by site-directed mutagenesis of the heavy chain complementarity  
13 determining region 3 (CDR H3); however, none of the tested mutants showed improved  
14 binding affinity for the O-PS antigen<sup>37</sup>. Even in cases where binding affinity was  
15 improved, maintenance of antigen specificity has proven challenging, as exemplified by  
16 the phage display-based isolation of an affinity-matured scFv antibody against GD2,  
17 which exhibited 19-fold higher affinity for the target ganglioside but also evolved strong  
18 cross-reactivity to other related ganglioside structures that was not observed with the  
19 parental scFv antibody<sup>36</sup>. Several other studies also reported that affinity maturation of  
20 anti-glycan antibodies was accompanied by altered specificity<sup>31, 34</sup>.

21 Collectively, these issues provide a rationale for the wider application of protein  
22 engineering tools to pre-existing anti-glycan antibodies. To this end, we focused on an  
23 existing anti-glycan IgG2a antibody named mAb735 that was developed in an  
24 autoimmune mouse strain and specifically recognizes a homopolymer of  $\alpha$ 2,8-linked *N*-  
25 acetylneuraminic acid (Neu5Ac) sialic acid residues called polysialic acid (polySia)<sup>38</sup>.  
26 PolySia occurs as a terminating structure on *N*-linked glycans associated with the neural  
27 cell adhesion molecule (NCAM) in vertebrates and as a capsular polysaccharide structure  
28 on the surface of bacterial pathogens that cause meningitis and sepsis<sup>39</sup>. In vertebrates,  
29 polySia is an oncofetal antigen that has significantly reduced expression in healthy adults  
30 but is aberrantly re-expressed during progression of several malignant human tumors,  
31 including small-cell lung cancer (SCLC), non-small cell lung cancer (NSCLC),

1 neuroblastoma, and pancreatic cancer, among others <sup>40-43</sup>. Notably, among high priority  
2 cancer antigens, polySia was the second ranked TACA (after GD2) in a National Cancer  
3 Institute pilot project <sup>44</sup>.

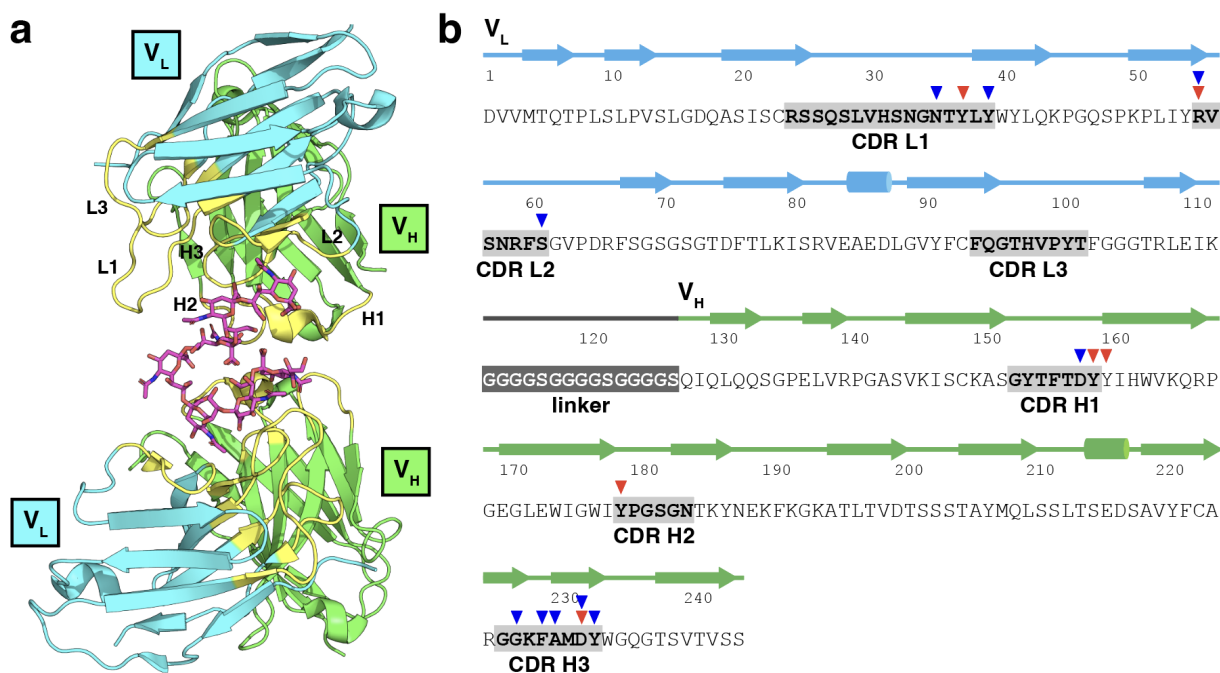
4 Here, we performed a combination of rational design and directed evolution of an  
5 anti-polySia scFv derived from mAb735 (scFv735). Specifically, we used structure-guided  
6 site-directed mutagenesis (SDM) to exhaustively probe the binding contributions of all  
7 CDR residues that are observed to interact with polySia in the solved crystal structure of  
8 the antibody-glycan complex <sup>45</sup>. In parallel, we used yeast surface display to screen  
9 combinatorial libraries of scFv735 variants in which random mutations were introduced  
10 either throughout the entire gene or within the CDRs only. These protein engineering  
11 approaches enabled identification of residues both within and outside the paratope that  
12 are essential for polySia recognition and that increased affinity for polySia by up to ~4-  
13 and ~7-fold in the scFv and IgG formats, respectively. The higher affinity IgG variants  
14 were found to bind polySia-positive tumor cells more avidly and exhibited significantly  
15 greater tumor cell killing as determined by both antibody-dependent cell-mediated  
16 cytotoxicity (ADCC) and complement-dependent cytotoxicity (CDC) assays. Taken  
17 together, these results demonstrate the successful application of protein engineering  
18 approaches to an important anti-glycan antibody, resulting in detailed molecular insights  
19 into carbohydrate recognition and discovery of several variants that hold therapeutic  
20 potential due to their enhanced affinity and potency.

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## 22 **RESULTS**

23 **Structure-guided identification of residues that contact polySia antigen.** Akin to  
24 other anti-glycan mAbs derived from animal immunization, mAb735 is not substantially  
25 different from germline gene segments and exhibits a modest affinity ( $K_D \approx 4 \mu\text{M}$  for scFv  
26 and Fab derivatives) for long-chain polySia having a degree of polymerization (DP) >40  
27 <sup>43, 46</sup>. The determination of contact residues from high-resolution antibody-antigen  
28 complex structures provides information that can be used for paratope mapping as well  
29 as guiding the affinity maturation process <sup>47</sup>. Accordingly, we took advantage of the  
30 published crystal structure of scFv735 in complex with octasialic acid <sup>45</sup>, which revealed  
31 extensive interactions across all CDRs in the variable heavy ( $V_H$ ) and variable light ( $V_L$ )

1 domains except for CDR L3 (**Fig. 1a**). A total of six residues were observed to directly  
 2 interact with the octasialic acid ligand. These direct interactions were formed between the  
 3 hydroxyl groups of the sialic acids and either the hydroxyl groups in the side chains of  
 4 Tyr-37 (in CDR L1), Tyr-159 and Tyr-160 (both in CDR H1), and Tyr-179 (in CDR H2) or  
 5 the polar side chains of Arg-55 (in CDR L2) and Asp-232 (in CDR H3). In addition to direct  
 6 contacts, we also observed a water-mediated hydrogen bond network that involved all  
 7 CDRs except for CDR L3 and stabilized octasialic acid binding (**Fig. 1b**). This network  
 8 was composed of ten residues that indirectly interacted with octasialic acid including Arg-  
 9 55 and Asp-232, which also directly contacted the bound ligand. Altogether, 14 residues  
 10 comprising the paratope were identified by this analysis, with the majority occurring within  
 11 CDRs of the V<sub>H</sub> domain.



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13 **Figure 1. Structural basis for polySia binding by scFv735.** (a) Crystal structure of an anti-polySia scFv  
 14 derived from mAb735 (scFv735) in complex with octasialic acid as reported by Nagae et al.<sup>45</sup> Protein and  
 15 carbohydrate are shown as ribbon and rod models, respectively. The variable heavy (V<sub>H</sub>) domain is colored  
 16 in green, variable light (V<sub>L</sub>) is colored in cyan, CDRs H1-H3 and L1-L3 are colored in yellow, and  
 17 carbohydrate is colored in purple. (b) Amino acid sequence of scFv735 depicted in (a). The secondary  
 18 structural elements of the V<sub>L</sub> (cyan) and V<sub>H</sub> (green) domains, as well as the 15-residue (Gly<sub>4</sub>Ser)<sub>3</sub> flexible  
 19 linker (dark grey) that connects the V<sub>L</sub> and V<sub>H</sub> domains are indicated above the sequence, with α-helices  
 20 and β-strands denoted by cylinders and arrows, respectively. The CDRs (bold font and gray shaded boxes)  
 21 were determined using an immunoinformatic analysis tool called antibody region-specific alignment  
 22 (AbRSA) (<http://cao.labshare.cn/AbRSA>).<sup>48</sup> Red and blue triangles above the sequence denote the  
 23 residues that make direct and water-mediated indirect interactions, respectively, with the polySia antigen  
 24 based on the solved crystal structure.<sup>45</sup>

1 **Comprehensive mutational scanning of direct and indirect contact sites.** In the  
2 context of protein antigens, antibody paratopes often exhibit a considerable degree of  
3 plasticity in that multiple amino acid substitutions can be tolerated in these regions and  
4 occasionally improve affinity<sup>49-51</sup>. Therefore, to enable a comprehensive analysis of the  
5 plasticity of the paratopic amino acids and potentially uncover substitutions that enhance  
6 affinity, we subjected all direct and indirect contact residues in scFv735 to site-saturation  
7 mutagenesis (SSM). SSM involves replacing a codon of a gene with codons for all the  
8 other 19 amino acids and is commonly implemented using PCR amplification with  
9 degenerate synthetic oligonucleotides as primers to generate an SSM library<sup>52</sup>.  
10 Importantly, SSM has been successfully applied to affinity maturation of antibodies, which  
11 are often screened in the scFv format because the presence of a single polypeptide chain  
12 eliminates difficulties with chain association that can occur with other antibody formats<sup>53</sup>.

13 Here, we generated a set of 266 site-directed variants of scFv735 in which all 19  
14 amino acid substitutions were introduced at each of the 14 positions that contact polySia  
15 either directly or indirectly. Each SSM variant was individually expressed from plasmid  
16 pMAB in *E. coli* SHuffle T7 Express cells, collected in cell-free lysates, and screened for  
17 polySia-specific binding by enzyme-linked immunosorbent assay (ELISA) using chicken  
18 brain-derived polysialylated neural cell adhesion molecule (polySia-NCAM) as  
19 immobilized antigen. To confirm binding specificity, each SSM variant was also subjected  
20 to ELISA analysis using polySia-NCAM treated with endoneuraminidase N (endoN) which  
21 selectively cleaves linear polymers of sialic acid with  $\alpha$ -2,8-linkage having a minimum  
22 length of 7-9 residues<sup>54</sup>.

23 In the case of direct contact residues, Tyr-37, Arg-55, and Asp-232 were found to  
24 be intolerant to mutation, with substitutions to any other amino acid reducing binding to  
25 the polySia-NCAM antigen by  $\geq 85\%$  (**Fig. 2a** and **Supplementary Fig. 1**). Tyr-159 was  
26 nearly as intolerant to substitution, with binding activity reduced by  $\geq 75\%$  for all mutants  
27 except for the Y159R variant (scFv735<sup>Y159R</sup>), which preserved 75% of the binding  
28 measured for wild-type (wt) scFv735. The remaining two sites, Tyr-160 and Tyr-179, were  
29 relatively more tolerant. That is, while many substitutions at these positions abolished or  
30 dramatically reduced binding activity, several others exhibited binding that retained  $\geq 50\%$   
31 of the binding measured for wt scFv735 and two – Y179W and Y179R – exhibited binding

1 that exceeded that of wt scFv735. It should be noted that none of the variants exhibited  
2 any meaningful binding to an endoN-treated NCAM control antigen, confirming the  
3 polySia-specificity of all productive binders (**Supplementary Fig. 1**). Collectively, these  
4 SSM results confirm that all six direct contact residues are important for polySia binding,  
5 with four proving to be indispensable for this activity and two others exhibiting some  
6 degree of plasticity.

7 In the case of indirect contact residues, all eight newly tested sites were able to  
8 tolerate one or more substitutions without compromising binding whereas Arg-55, and  
9 Asp-232, which also doubled as direct contact sites, were completely intolerant to  
10 substitution (**Fig. 2a** and **Supplementary Fig. 2**). Asn-35, Ser-61, and Asp-158 exhibited  
11 the greatest plasticity, with nearly all substitutions (53 out of 57) conferring binding activity  
12 that was 50–125% of that measured for parental scFv735. In fact, every Asp-158  
13 substitution showed binding activity equal to or greater than wt scFv735. In contrast, Tyr-  
14 39 and a cluster of CDR H3 residues (Gly-227, Phe-229, Ala-230 and Tyr-233) were much  
15 less tolerant to mutation, with only a few substitutions at each of these sites leading to  
16 any significant activity. Interestingly, the A230G substitution conferred dramatically higher  
17 polySia-NCAM-specific binding relative to wt scFv735 even though all other Ala-230  
18 substitutions except one (A230H) were completely inactive. Taken together, these results  
19 serve to highlight the important contribution that specific residues in CDR H3 make to  
20 antigen recognition.

#### 21 **Identification of paratopic substitutions that confer enhanced binding affinity.**

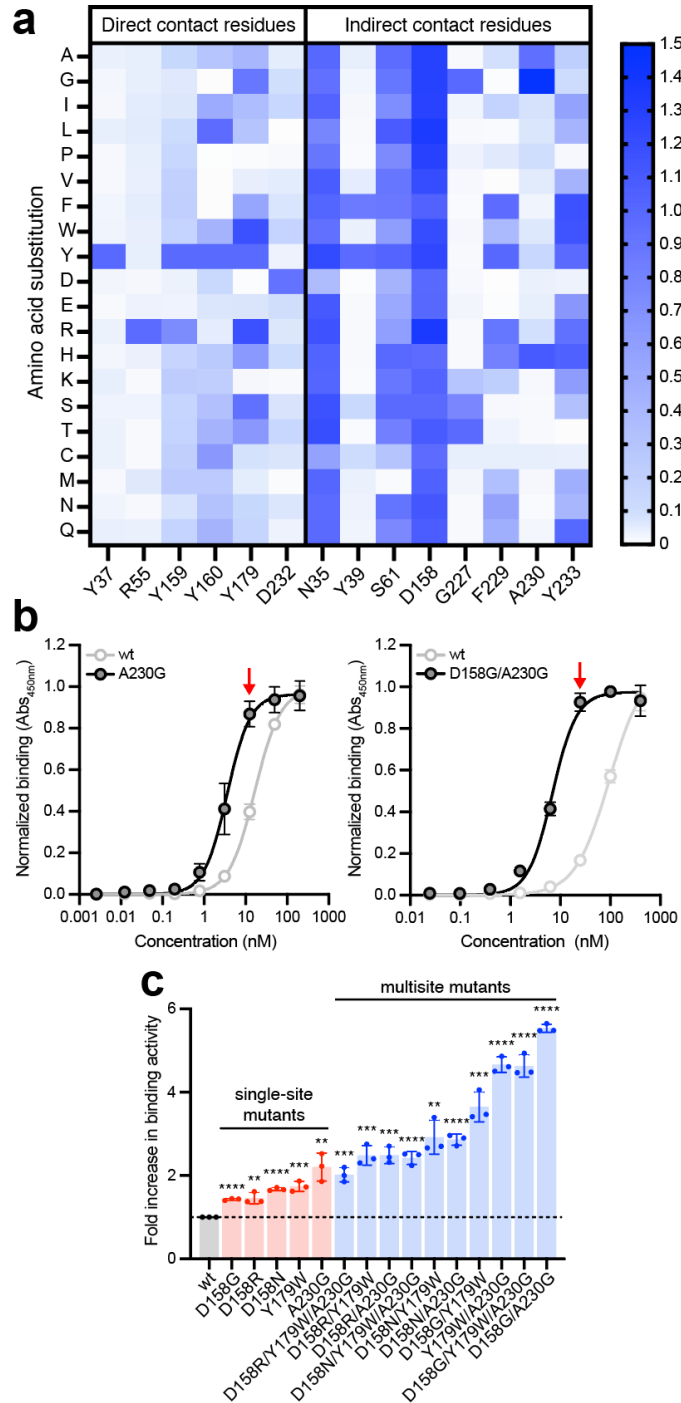
22 Because a higher ELISA signal in cell-free extracts can result either from improved  
23 binding affinity or more efficient expression/folding, it was not possible to distinguish from  
24 the ELISA alone whether any SSM mutants exhibited higher affinity for polySia.  
25 Therefore, to more carefully quantify polySia binding, a total of 25 of the most active  
26 variants (marked by red asterisks in **Supplementary Figs. 1** and **2**) were purified from  
27 cell-free lysates and subjected to ELISA analysis with polySia-NCAM or endoN-treated  
28 NCAM to evaluate binding activity and specificity. Following this more rigorous sample  
29 preparation and normalization, the binding activity for many of the most active single-site  
30 mutants was found to be indistinguishable from wt scFv735, including several that initially  
31 showed enhanced activity compared to wt scFv735 in the non-purified, cell-free extracts



1 **(Supplementary Fig. 3a)**. At the same time, this analysis uncovered five variants all with  
2 substitutions in the  $V_H$  domain – scFv735<sup>D158G</sup>, scFv735<sup>D158N</sup>, scFv735<sup>D158R</sup>,  
3 scFv735<sup>Y179W</sup>, and scFv735<sup>A230G</sup> – that exhibited stronger polySia-NCAM binding than wt  
4 scFv735, with binding activity of the mutants increasing by as much as ~2.5-fold relative  
5 to wt scFv735 (**Fig. 2b-c** and **Supplementary Fig. 3b**). To determine if the elevated  
6 ELISA signals corresponded to enhanced binding affinity, we determined the intrinsic  
7 equilibrium dissociation constants ( $K_D$ ) for each of the single-site variants using biolayer  
8 interferometry (BLI). The measured  $K_D$  values for the five variants ranged from 23.2–44.0  
9 nM while the  $K_D$  value for wt scFv735 was 72.7 nM (**Table 1** and **Supplementary Fig.**  
10 **4a**). The lower  $K_D$  values for the variants represented a ~2–3-fold affinity enhancement,  
11 which was in good agreement with the ELISA results.

12 **Creation of higher affinity binders from simple combinatorial mutagenesis.** When  
13 several affinity enhancing mutations are discovered as separate hits in a single round of  
14 mutagenesis and screening, combining these mutations can be beneficial. Therefore, to  
15 determine whether combination of the five single-site mutations could yield even higher  
16 affinity variants, we constructed a small combinatorial beneficial mutation (CBM) library  
17 containing all two- and three-site permutations of the individual beneficial mutations. The  
18 resulting ten variants were individually expressed and purified from *E. coli* SHuffle T7  
19 Express cells and subsequently screened by ELISA. Whereas CBM variants containing  
20 either the D158N or D158R substitution showed only modest improvement relative to their  
21 single-site mutant counterparts, those involving D158G were greatly improved, with  
22 increases of ~2–3 fold above the corresponding single-site variants and ~4–6 fold above  
23 wt scFv735 (**Fig. 2b-c**). In line with these improvements, BLI analysis of the most active  
24 CBM variant, scFv735<sup>D158G/A230G</sup>, revealed significant affinity enhancement with a  
25 measured  $K_D$  value of 20.4 nM (**Table 1** and **Supplementary Fig. 4a**).

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2 **Figure 2. PolySia-specific binding of single- and multisite variants of scFv735.** (a) Binding analysis of  
3 six direct contact residues (L:Tyr-37, L:Arg-55, H:Tyr-159, H:Tyr-160, H:Tyr-179, and H:Asp-232) and eight  
4 indirect contact residues (L:Asn-35, L:Tyr-39, L:Ser-61, H:Asp-158, H:Gly-227, H:Phe-229, H:Ala-230, and  
5 H:Tyr-233) in scFv735. Each contact residue was subjected to SSM, resulting in a set of 266 site-directed  
6 variants of scFv735 in which all 19 amino acid substitutions were introduced at each of the six positions.  
7 All 226 scFv735 variants and wt scFv735 were expressed from plasmid pMAB in *E. coli* SHuffle T7 Express  
8 cells and collected as cell lysates. Binding activity in cell-free lysates was quantified by ELISA using polySia-  
9 NCAM as immobilized antigen. An equivalent amount of total protein was loaded in each well. Heatmap  
10 data correspond to normalized ELISA signal for each variant relative to wt scFv735 (see **Supplementary**

1 **Figs. 1 and 2** for complete ELISA datasets). (b) Comparison of polySia binding activity for purified scFv735  
2 variants harboring A230G and D158G/A230G substitutions (gray circles) versus wt scFv735 (white circles)  
3 as determined by ELISA with polySia-NCAM as immobilized antigen. Each variant was expressed from  
4 plasmid pMAB in *E. coli* strain SHuffle T7 Express cells and purified from cell-free lysate by Ni-NTA affinity  
5 chromatography. (b) Relative binding activity for select single-site and multisite variants as determined by  
6 ELISA with polySia-NCAM as immobilized antigen. Fold increase was calculated by normalizing the ELISA  
7 signal for each variant by the signal for wt scFv735 at an scFv concentration of 12.5 nM as denoted by the  
8 red arrows in (a). Data are average of biological replicates ( $n = 3$ )  $\pm$  SD. Statistical significance was  
9 determined by unpaired two-tailed Student's *t*-test. Calculated *p* values are represented as follows: \*\*,  $p <$   
10 0.01; \*\*\*,  $p <$  0.001; \*\*\*\*,  $p <$  0.0001.

### 11 **Isolation of affinity-matured scFv735 variants from CDR-focused 'NNK' libraries.**

12 Although rationally introducing mutations into contact residues has theoretical  
13 advantages, we considered that mutations to other CDR residues not in contact with the  
14 antigen could lead to improved affinity. Indeed, mutagenesis studies often yield  
15 substituted CDR residues that are not in contact with the antigen<sup>55</sup>. Therefore, to identify  
16 additional residues within the CDRs that might enhance affinity, we pursued a semi-  
17 rational approach whereby the V<sub>H</sub>-domain CDRs in scFv735 were subjected to saturation  
18 mutagenesis using degenerate NNK primers<sup>56</sup> and the resulting CDR-focused NNK  
19 libraries were screened by yeast surface display. We focused exclusively on the V<sub>H</sub> CDRs  
20 because CDR-L3 makes no direct contacts with polySia and because all mutations  
21 identified in our SSM/CBM screen that conferred improved binding were in the V<sub>H</sub> domain.  
22 Accordingly, we constructed six independent, six-codon NNK libraries that collectively  
23 spanned the three V<sub>H</sub> CDRs and flanking residues (**Fig. 3a**), which were each fused in-  
24 frame to the gene encoding the yeast Aga2p protein in the yeast surface display plasmid  
25 pCT-CON<sup>57</sup> using homologous recombination. We restricted the complexity of each NNK  
26 library to six residues because the number of sequences in a library of more than six  
27 mutated residues would exceed the practical limits of yeast display. The integrity and  
28 diversity of each library was assessed by sequencing ~25 random clones. In general, the  
29 libraries were highly diverse with each containing ~10<sup>8</sup> unique clones and an extremely  
30 low number of non-mutated wt clones.

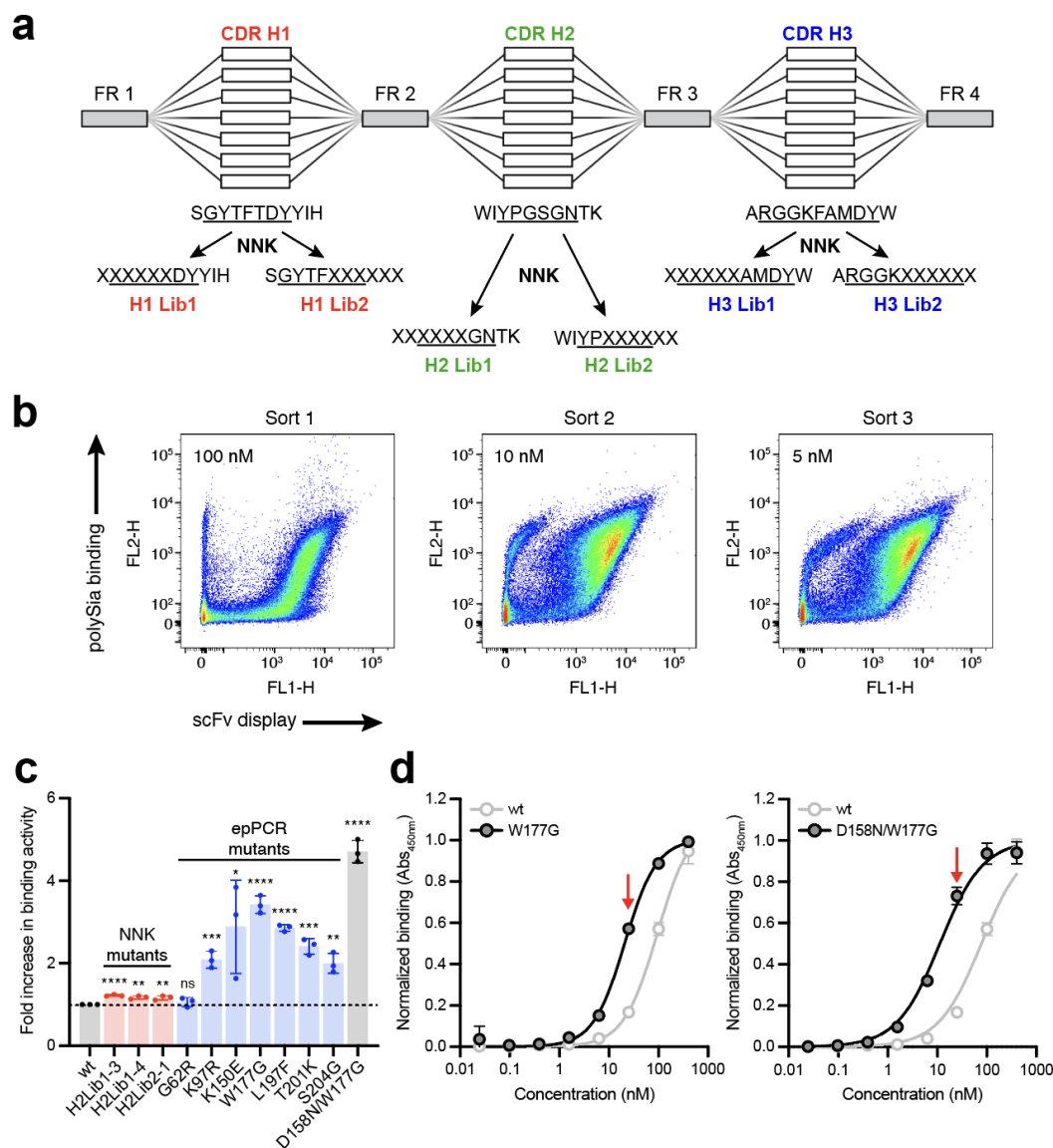
31 The resulting plasmid libraries were used to transform *Saccharomyces cerevisiae*  
32 strain EBY100, which enables cell-surface expression of recombinant scFv antibody  
33 libraries<sup>58</sup>. Following several rounds of negative selection using magnetic-activated cell  
34 sorting (MACS) to deplete the libraries of binders to undesired targets (e.g., endoN-  
35 treated NCAM), an additional round of MACS was performed to positively select binders

1 to polySia-NCAM. Next, four rounds of fluorescence-activated cell sorting (FACS) were  
2 performed with polySia-NCAM at successively decreasing concentrations (100 nM, 10  
3 nM, and 5 nM) (**Fig. 3b**). During the final round of FACS sorting, individual yeast cells  
4 representing putative polySia-positive clones were sorted into 96-well plates, after which  
5 plasmid DNA was isolated and sequenced. All unique sequences were subcloned into  
6 plasmid pMAB to enable *E. coli*-based expression and purification of scFv735 variants,  
7 which were subsequently analyzed by ELISA. From the six NNK libraries, we isolated 3  
8 unique clones, all from CDR H2-focused libraries, that displayed only modestly improved  
9 polySia-NCAM binding activity relative to wt scFv735 (**Fig. 3c** and **Supplementary Fig.**  
10 **5**). Among these, scFv735<sup>H2Lib1-3</sup> was the most significantly improved clone with a 1.2-  
11 fold increase in binding activity (**Fig. 3c**) that translated to a similarly modest affinity  
12 enhancement (**Table 1**). This clone carried a total of four mutations, two within CDR H2  
13 (Y179F, G181K) and two just upstream (W177R, I178V), with the Trp-177 and Gly-181  
14 residues being mutated in all three NNK hits (**Supplementary Fig. 5**).

15 **Isolation of affinity-matured scFv735 variants from error-prone PCR library.** Having  
16 explored rational and semi-rational mutagenesis strategies, we next investigated random  
17 mutagenesis of the entire variable region of scFv735 using error-prone PCR (epPCR)<sup>59</sup>.  
18 This decision was motivated in part by our isolation of mutations outside the CDR that  
19 appeared to contribute to enhanced affinity. We were further motivated by earlier  
20 observations that framework region (FR) mutations can indirectly impact antibody-antigen  
21 interactions by affecting the antibody conformation<sup>60</sup> or by the positioning of contact  
22 residue side chains<sup>61</sup>. FR mutations have even been found to alter the electrostatic  
23 surface potential of the antigen binding site of anti-glycan antibody, increasing its positive  
24 charge in a manner that enhances long-range interactions with its negatively charged  
25 carbohydrate antigen<sup>35</sup>. During the epPCR mutagenesis process, we targeted a  
26 mutational frequency of ~0.5% (<4 random substitutions per 735-bp scFv735 gene) so  
27 that library members would harbor a similar number of mutations as our SSM/CBM- and  
28 NNK-derived variants. We screened the epPCR library following an identical yeast  
29 surface display procedure as above and identified 7 unique clones, all of which were  
30 single-site substitutions that displayed improved polySia-NCAM binding activity relative  
31 to wt scFv735 (**Fig. 3c** and **Supplementary Fig. 6**). To our surprise, all isolated mutations

1 occurred in FRs outside the CDRs, although several (G62R, K79R, K150E, and W177G)  
2 were located near CDRs and/or contact residues. For example, affinity-matured  
3 scFv735<sup>W177G</sup> harbored a W177G substitution, which is just upstream of an important  
4 direct contact residue, Tyr-179, in CDR H2. This variant was the most improved epPCR-  
5 derived clone with a nearly 3.5-fold increase in both polySia-NCAM binding activity (**Fig.**  
6 **3c-d**) and affinity (**Table 1**).

7         The fact that Trp-177 was also mutated independently in the three NNK-derived  
8 variants suggested a critical role for this residue in high-affinity polySia binding. Therefore,  
9 we performed combinatorial mutagenesis to combine the W177G mutation with the best  
10 single-site substitutions from the SSM analysis, namely D158G/N/R, Y179W, and A230G.  
11 After screening all pairwise combinations, one clone in particular, scFv735<sup>D158N/W177G</sup>,  
12 exhibited greatly improved polySia-NCAM binding activity along with substantially  
13 enhanced affinity (**Fig. 3c-d** and **Table 1**). In fact, the improvement seen for  
14 scFv735<sup>D158N/W177G</sup> rivaled that of scFv735<sup>D158G/A230G</sup>, with measured  $K_D$  values of 19.6  
15 and 20.4 nM, respectively, that were 3-fold improved over wt.



1  
2 **Figure 3. Discovery of affinity-matured scFv735 variants from combinatorial library screening.** (a)  
3 Schematic of NNK library construction leading to six independent, six-codon NNK libraries that collectively  
4 spanned the three V<sub>H</sub> CDRs (underlined text) and flanking residues of scFv735. (b) Representative scatter  
5 plots corresponding to FACS-based screening of yeast display libraries. Yeast libraries were labeled with  
6 rabbit anti-Myc tag antibody followed by Alexa Fluor 647-conjugated goat anti-rabbit IgG antibody for scFv  
7 display and biotinylated polySia-NCAM followed by streptavidin-Alexa Fluor 488 conjugate for polySia  
8 binding. Yeast cells were stained with decreasing concentrations of biotinylated polySia-NCAM (100, 10,  
9 and 5 nM) over three rounds of FACS. The top 0.1-0.3% cells were selected from the sort gates (see  
10 **Supplementary Fig. 7** for gating strategy). (c) Relative binding activity for NNK- and epPCR-derived hits  
11 as determined by ELISA using polySia-NCAM as immobilized antigen. Fold increase was calculated by  
12 normalizing the ELISA signal for each variant by the signal for wt scFv735 at an scFv concentration of 25  
13 nM as denoted by red arrows in (d). Statistical significance was determined by unpaired two-tailed Student's  
14 *t*-test. Calculated *p* values are represented as follows: \*, *p* < 0.05; \*\*, *p* < 0.01; \*\*\*, *p* < 0.001; \*\*\*\*, *p*  
15 < 0.0001; ns, not significant. (d) Comparison of polySia binding activity for purified scFv735 variants  
16 harboring W177G and D158N/W177G substitutions (gray circles) versus wt scFv735 (white circles) as  
17 determined by ELISA using polySia-NCAM as immobilized antigen. Each variant was expressed from  
18 plasmid pMAB in *E. coli* strain SHuffle T7 Express cells and purified from lysate by Ni-NTA affinity  
19 chromatography. Data are the average of biological replicates (*n* = 3) ± SD.

1 **Reformatting scFvs into full-length IgGs yields low nanomolar polySia binders.**  
2 Because full-length monoclonal antibodies (mAbs) are often the preferable format for  
3 many follow-on applications, we proceeded to convert a subset of our best affinity-  
4 matured scFv735 variants into full-length chimeric antibody (chAb) variants. The  
5 reformatting process involved cloning the  $V_H$  and  $V_L$  genes of each scFv into the plasmid  
6 pVITRO1, which contains the constant region (Fc) of human IgG1<sup>62</sup>. The pVITRO1-  
7 encoded IgG1 variants were produced as secreted IgGs from stably transfected Freestyle  
8 293-F cells in 125-mL spinner flasks. After protein A affinity column purification, all  
9 chAb735 variants were over 95% pure as judged by reduced SDS-PAGE, with yields in  
10 the ~5-10 mg/L range (**Supplementary Fig. 8a**).

11 Each of the purified chAb735 variants exhibited improved polySia-NCAM binding  
12 activity relative to parental chAb735 (**Fig. 4a** and **Supplementary Fig. 8b**), confirming  
13 that the binding improvements were generally retained following reformatting. Likewise,  
14 all chAb735 variants exhibited higher affinity than parental chAb735, with  $K_D$  values for  
15 the three best affinity-matured variants – chAb735<sup>D158G</sup>, chAb735<sup>D158G/A230G</sup>, and  
16 chAb735<sup>D158G/W177G</sup> – reaching 2.62, 2.28, and 2.82 nM, respectively, compared with 15.7  
17 nM for parental chAb735 (**Table 1** and **Supplementary Fig. 4b**). The 5-7-fold increases  
18 in affinity observed for these three chAb735 variants were even greater than the  
19 enhancement conferred by the same substitutions in the scFv format. It should be noted  
20 that the  $K_D$  measured for wt chAb735, 15.7 nM, was in good agreement with the affinity  
21 values reported previously for mAbs composed of the same variable regions<sup>63, 64</sup>. It is  
22 also worth noting that the  $K_D$  value for wt chAb735 was nearly 5-fold stronger than that  
23 measured for wt scFv735, consistent with the frequently observed phenomenon of mAbs  
24 having higher affinities than their scFv counterparts<sup>65</sup> including in the context of  
25 antibodies that bind carbohydrate antigens<sup>35</sup>.

26 **Affinity-matured chAb735 variants efficiently opsonize polySia-expressing cells.**

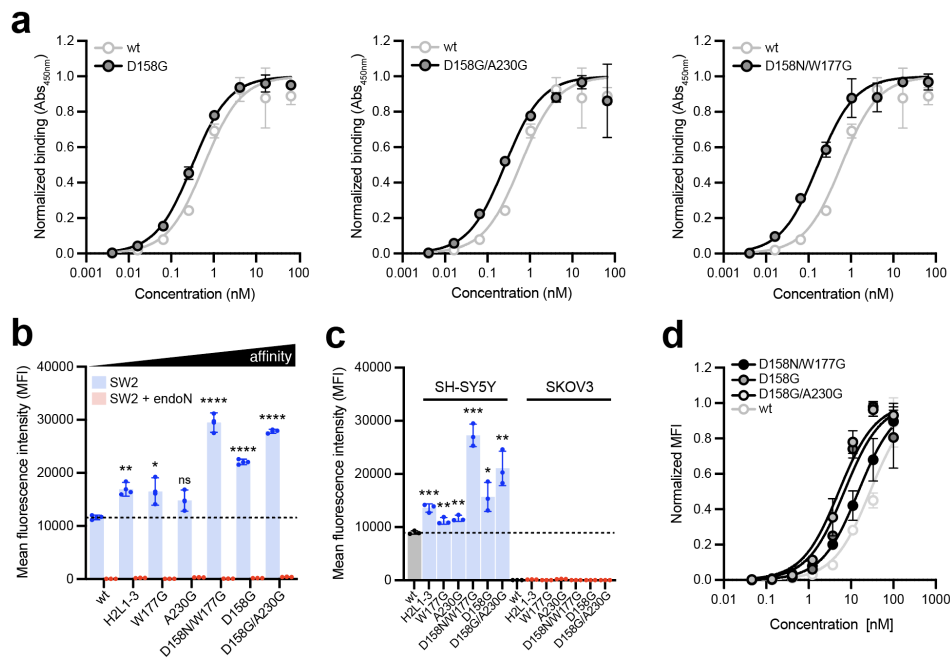
27 To further evaluate the functional consequences of affinity maturation, we next  
28 investigated the ability of the chAb735 variants to bind polySia in its native context. To  
29 this end, we assessed binding specificity using a flow cytometric binding assay with the  
30 human small-cell lung carcinoma (SCLC) cell line SW2, which is highly polySia-positive  
31<sup>63</sup>. Following incubation with SW2 cells, clear cell surface staining was detected for all

1 chAbs, with the highest affinity variants binding most strongly as evidenced by the 2-3-  
2 fold increase in staining intensity (**Fig. 4b**). Enhanced cell staining by higher affinity  
3 antibodies is a phenomenon that has been observed previously, for example, with affinity-  
4 modulated anti-EGFR antibodies<sup>66, 67</sup>. In contrast, no cell-surface staining was detected  
5 for any of the antibodies when the SW2 cells were pretreated with endoN, which  
6 selectively removes polySia from the cell surface<sup>63</sup>. Given the diversity of glycans found  
7 on SCLC tumor cells<sup>68, 69</sup>, including monosialylated and disialylated structures with  
8 linkages other than  $\alpha$ 2,8, the lack of binding to endoN-treated SW2 cells provided strong  
9 evidence that the exquisite polySia specificity seen previously<sup>63</sup> was retained by the  
10 affinity-matured variants. This polySia-specific binding was significant because affinity  
11 maturation of anti-glycan antibodies is usually accompanied with epitope spread, resulting  
12 in loss of specificity<sup>33, 36</sup>. To further confirm polySia specificity, we investigated cell  
13 surface binding using two additional human cell lines, the polySia-positive neuroblastoma  
14 cell line SH-SY5Y and the polySia-negative ovarian cancer cell line SKOV3. In support of  
15 the strict polySia specificity of these antibodies, we observed robust staining of SH-SY5Y  
16 but not SKOV3 cells following incubation with each of the chAbs (**Fig. 4c**). As with SW2  
17 cells, the most intense staining of SH-SY5Y cells was observed for the highest affinity  
18 chAb735 variants, with relative staining levels across these two cell lines nearly  
19 indistinguishable.

20 To better quantify the differences in cell binding, we measured the apparent affinity  
21 of each chAb using our on-cell binding assay as described<sup>70, 71</sup>. Apparent affinity is an  
22 important determinant of IgG binding to polySia-expressing tumor cells. When the  
23 chAb735 variants were tested for binding to SW2 cells at a variety of concentrations, the  
24 three highest affinity clones exhibited roughly equivalent tumor cell retention that were  
25 greater than that achieved using parental chAb735 (**Fig. 4d**), with apparent  $K_D$  values in  
26 the 6.1-16.7 nM range for the chAb735 variants compared to 55.7 nM for wt chAb735  
27 (**Table 1**). Although these apparent affinities were generally 2-3-fold lower than the  
28 intrinsic affinities calculated from BLI analysis, the fold improvements for each variant  
29 relative to parental chAb735 were similar, providing further evidence of affinity maturation.

30  
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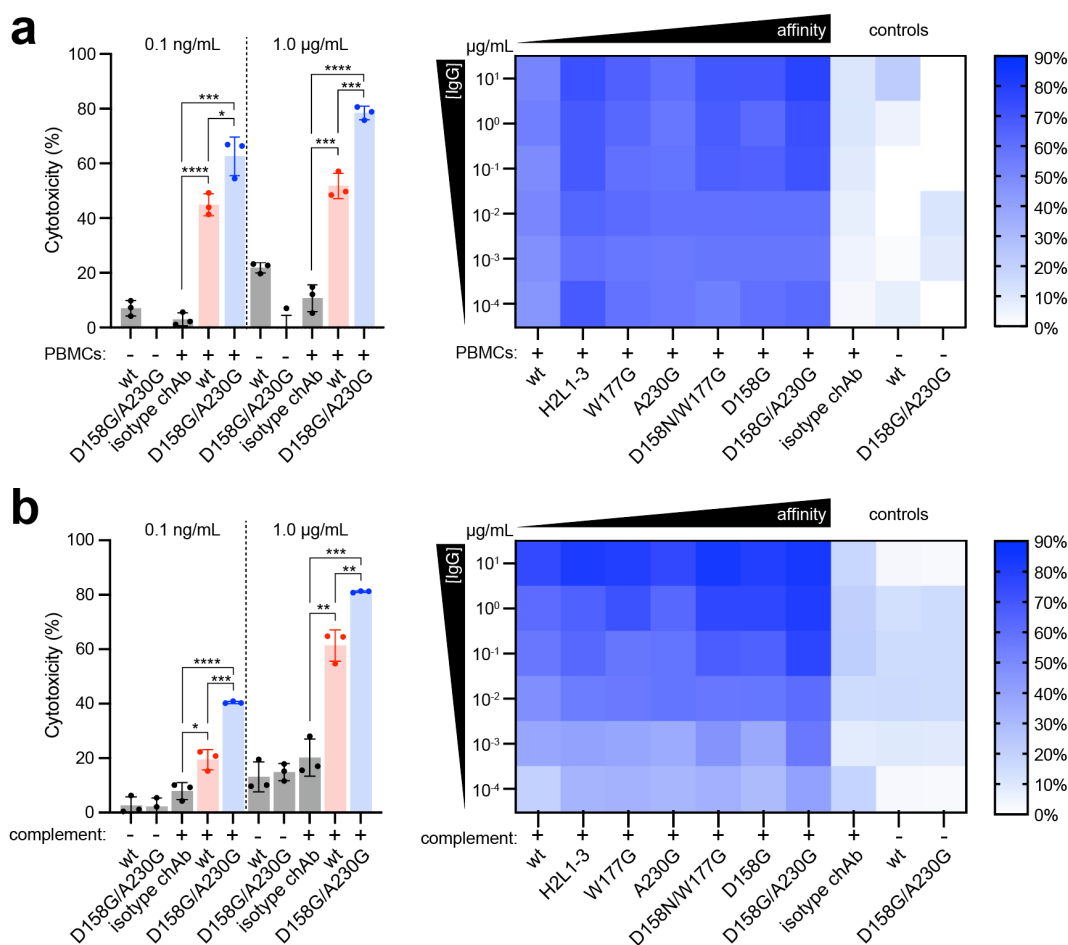




1  
2 **Figure 4. PolySia-specific binding analysis of reformatted chAb735 variants.** (a) Comparison of  
3 polySia binding activity for purified chAb735 variants harboring D158G, D158G/A230G and D158N/W177G  
4 substitutions (gray circles) versus wt chAb735 (white circles) as determined by ELISA. Each variant was  
5 expressed from plasmid pVITRO1 in Freestyle 293-F cells and purified from culture supernatants by protein  
6 A affinity chromatography. PolySia-NCAM was used as the immobilized antigen and data are the average  
7 of biological replicates ( $n = 3$ )  $\pm$  SD. (b) Binding of chAb735 constructs to SW2 tumor cells with high levels  
8 of polySia expression (blue) or without polySia expression due to endoN treatment (red). A total of  $10^6$  SW2  
9 cells were stained with 33.3 nM of indicated chAb735 variant, followed by staining with Alexa Fluor 488-  
10 conjugated goat anti-human IgG secondary Ab. Mean fluorescence intensity (MFI) data are the average of  
11 biological replicates ( $n = 4$ )  $\pm$  SD. Statistical significance was determined by unpaired two-tailed Student's  
12 *t*-test. Calculated *p* values are represented as follows: \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; \*\*\*\*,  $p$   
13  $< 0.0001$ ; ns, not significant. (c) Same as in (b) but with SH-SY5Y (blue) or SKOV3 (red) cells. (d) Retention  
14 of chAb735 constructs on SW2 cells. Cells were incubated with serially diluted chAb constructs (1:3 starting  
15 from 100 nM) at room temperature for 30 min after which chAbs retained on cell surface after washing were  
16 detected by Alexa Fluor 488-conjugated goat anti-human IgG Ab. MFI data represent the amount of IgGs  
17 on the cell surface and are the average of biological replicates ( $n = 3$ )  $\pm$  SD.

18  
19 **Higher affinity chAb735 variants exhibit superior tumor cell killing.** Having confirmed  
20 the cellular binding properties of the chAb735 variants by flow cytometry, we next  
21 evaluated their ADCC and CDC activity, which together provide a comprehensive  
22 understanding of immune-mediated cytotoxic mechanisms. We speculated that affinity-  
23 optimized chAb735 variants would trigger greater ADCC and CDC owing to their more  
24 pronounced opsonization of polySia-positive tumor cells. Indeed, the affinity-matured  
25 chAb735 variants mediated superior ADCC relative to parental chAb735 as determined  
26 using human SCLC SW2 cells as target in the presence of human peripheral blood  
27 mononuclear cells (PBMCs) as effectors (**Fig. 5a**). These same chAb735 variants also

1 promoted superior CDC relative to parental chAb735 as determined using target SW2  
 2 cells in the presence of baby rabbit complement (Fig. 5b). As expected, little to no  
 3 cytotoxicity was observed in assays performed using an isotype control chAb or using  
 4 anti-polySia chAbs but in the absence of effector PBMCs or complement. The strongest  
 5 ADCC and CDC activities were observed for the highest affinity variant,  
 6 chAb735<sup>D158G/A230G</sup> ( $K_D \approx 2.28$  nM), which promoted significantly greater cytotoxicity  
 7 against SW2 cells relative to wt chAb735 ( $K_D \approx 15.7$  nM) at all antibody concentrations  
 8 tested (Fig. 5a-b).



9  
 10 **Figure 5. Cytotoxicity of chAb735 variants against polySia-expressing tumor cells.** (a) ADCC and (b)  
 11 CDC activity of chAb735 variants (blue bars) and wt chAb735 (red bars) against SW2 tumor cells. For  
 12 ADCC assays, effector PBMCs to target SW2 cell ratios of 20:1 were used. For CDC assays, baby rabbit  
 13 complement was used at a final concentration of 10% (v/v). Cytotoxicity was assayed over a range of IgG  
 14 concentrations in the presence (+) or absence (-) of PBMCs or complement, with representative results  
 15 depicted for 0.1 ng/mL and 1 µg/mL in bar graphs at left. Isotype chAb in the presence of  
 16 PBMCs/complement or chAb735 and chAb735<sup>D158G/A230G</sup> in the absence of PBMCs/complement served as  
 17 negative controls (gray bars). Data are average of biological replicates ( $n = 3$ )  $\pm$  SD. Statistical significance  
 18 was determined by unpaired two-tailed Student's *t*-test. Calculated *p* values are represented as follows: \*,  
 19  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; \*\*\*\*,  $p < 0.0001$ ; ns, not significant.

1 **Table 1.** Affinity of polySia-specific scFv and IgG variants  
2

| Antibody clone                                       | $K_D$ (nM)  | $k_{on}$ ( $10^4 \cdot M^{-1} \cdot s^{-1}$ ) | $k_{off}$ ( $10^{-4} \cdot s^{-1}$ ) | $R^2$ value | Fold improved |
|--|-------------|---|--------------------------------------|-------------|---------------|
| <i>scFv735 variants*</i>                             |             |   |                                      |             |               |
| wt   | 72.7 ± 0.3  | 1.09 ± 0.004                                  | 7.91 ± 0.02                          | 0.990       | -             |
| D158G  | 23.2 ± 0.1  | 4.87 ± 0.024                                  | 11.3 ± 0.03                          | 0.938       | 3.1           |
| D158N  | 34.5 ± 0.1  | 2.33 ± 0.006                                  | 8.05 ± 0.02                          | 0.986       | 2.1           |
| D158R  | 44.0 ± 0.2  | 2.58 ± 0.010                                  | 11.3 ± 0.03                          | 0.960       | 1.7           |
| Y179W  | 39.4 ± 0.2  | 1.85 ± 0.006                                  | 7.30 ± 0.02                          | 0.978       | 1.9           |
| A230G  | 26.0 ± 0.1  | 1.90 ± 0.004                                  | 4.94 ± 0.01                          | 0.992       | 2.8           |
| D158G/A230G  | 20.4 ± 0.1  | 1.43 ± 0.002                                  | 2.93 ± 0.01                          | 0.997       | 3.6           |
| H2Lib1-3   | 56.6 ± 0.2  | 1.81 ± 0.003                                  | 6.69 ± 0.02                          | 0.984       | 1.3           |
| W177G  | 41.5 ± 0.2  | 2.09 ± 0.007                                  | 8.69 ± 0.02                          | 0.992       | 1.8           |
| D158N/W177G  | 19.6 ± 0.1  | 7.22 ± 0.039                                  | 14.2 ± 0.04                          | 0.995       | 3.7           |
| <i>chAb735 variants*</i>                             |             |   |                                      |             |               |
| wt   | 15.7 ± 0.1  | 6.65 ± 0.025                                  | 10.4 ± 0.02                          | 0.976       | -             |
| D158G  | 2.62 ± 0.01 | 15.1 ± 0.042                                  | 3.96 ± 0.01                          | 0.976       | 6.0           |
| A230G  | 5.43 ± 0.02 | 8.54 ± 0.022                                  | 4.64 ± 0.01                          | 0.987       | 2.9           |
| D158G/A230G  | 2.28 ± 0.01 | 9.02 ± 0.015                                  | 2.05 ± 0.01                          | 0.994       | 6.8           |
| H2Lib1-3   | 10.9 ± 0.06 | 9.77 ± 0.046                                  | 10.7 ± 0.03                          | 0.965       | 1.4           |
| W177G  | 9.09 ± 0.04 | 7.56 ± 0.025                                  | 6.87 ± 0.02                          | 0.986       | 1.7           |
| D158N/W177G  | 2.82 ± 0.01 | 9.00 ± 0.015                                  | 2.53 ± 0.01                          | 0.995       | 5.6           |
| <i>chAb735 variants (on-cell <math>K_D</math>)**</i> |             |   |                                      |             |               |
| wt   | 55.7        | -   | -                                    | 0.972       | -             |
| D158G  | 6.80        | -   | -                                    | 0.918       | 8.2           |
| A230G  | 16.2        | -   | -                                    | 0.967       | 3.4           |
| D158G/A230G  | 6.10        | -   | -                                    | 0.971       | 9.1           |
| H2Lib1-3   | 24.4        | -   | -                                    | 0.987       | 2.3           |
| W177G  | 16.7        | -   | -                                    | 0.989       | 3.3           |
| D158N/W177G  | 16.3        | -   | -                                    | 0.974       | 3.4           |

3 \*Binding parameters were fitted according to the Langmuir model in Octet Analysis Studio.

4 \*\*On-cell apparent affinity was determined by non-linear regression analysis in Prism 10.

5 Note: the H2Lib1-3 variants carried four CDR H2 mutations: W177R, I178V, Y179F, and G181K.

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## 1 DISCUSSION

2 In light of the important role that carbohydrate antigens play in cancer biology and  
3 infectious disease, antibodies targeting such antigens have enormous potential for both  
4 basic research and clinical applications <sup>10</sup>. However, carbohydrates remain an  
5 underexplored target space for mAb development. A major impediment to forward  
6 progress is the fact that carbohydrate molecules are typically T cell-independent antigens,  
7 leading to weaker and less robust immune responses and the elicitation of primarily IgM  
8 antibodies that are low affinity and broadly specific <sup>19-21</sup>. Indeed, early efforts to induce  
9 antibodies to polySia using tetanus toxoid (TT) or keyhole limpet hemocyanin (KLH)  
10 conjugates failed to elicit significant immune responses in immunized mice, rabbits, and  
11 humans <sup>72, 73</sup>. Likewise, immunization of wildtype BALB/c mice with *Neisseria meningitidis*  
12 group B, which express cell surface polySia, only triggered IgM but not IgG antibodies  
13 specific for polySia <sup>38</sup>. The poor immunogenicity of polySia has been attributed to  
14 immunologic tolerance that arises due to its resemblance with structures present in  
15 mammalian hosts (i.e., self-antigens). Yet even after chemical alteration of the polySia  
16 structure to render it more immunogenic, conjugates bearing this carbohydrate elicited  
17 IgM but not IgG antibodies against polySia <sup>73</sup>. To date, the only successful efforts to elicit  
18 class-switched IgGs with polySia specificity required systems capable of immunological  
19 hyperreactivity, i.e., immunizing autoimmune NZB mice with *N. meningitidis* group B <sup>38</sup> or  
20 immunizing wildtype BALB/c mice with strongly immunostimulatory outer membrane  
21 vesicles (OMVs) engineered to display polySia antigens on their exterior <sup>74</sup>. Of relevance  
22 to the studies herein, the NZB system was used to generate an IgG2a monoclonal  
23 antibody against polySia, namely mAb735. However, like other anti-glycan mAbs that  
24 have been derived from animal immunization, mAb735 is essentially the germline  
25 sequence ( $V_L$  differing from IGKV1-110\*02 by just one FR2 mutation and  $V_H$  differing from  
26 IGHV1-84\*01 by just 3 FR mutations, as inferred from germline genes identified via  
27 IgBlast <sup>75</sup>) and exhibits modest affinity ( $K_D \approx 15.7$  nM for the chimeric version of mAb735  
28 as measured here) <sup>43, 46</sup>.

29 While affinity improvement via protein engineering is an attractive approach to  
30 overcome the suboptimal binding properties of anti-glycan mAbs and potentially increase  
31 their therapeutic index, only a handful of efforts have been reported so far <sup>27, 30-37</sup>.

1 Therefore, to expand the target space, in the current study we applied both rational and  
2 random molecular evolution techniques to identify mutations in the variable regions of  
3 mAb735 that increased its polySia-binding activity. For the rationally designed variants,  
4 we explored structure-guided SSM of key residues that were identified based on an  
5 available crystal structure of scFv735 in complex with octasialic acid <sup>45</sup>. Our success in  
6 isolating higher affinity variants using SSM illuminates how the maturation process can  
7 be accelerated by deducing the contact residues from antibody-antigen complex  
8 structures when available. In parallel, we also exploited semi-random (i.e., CDR  
9 mutagenesis via NNK libraries) and entirely random (i.e., epPCR of the entire Fv)  
10 mutagenesis strategies, in which stepwise decreases in polySia antigen concentration  
11 during FACS resulted in the enrichment of high-affinity binders. Although mutating sites  
12 within CDR loops, either by rational SSM or semi-random NNK mutagenesis, has  
13 theoretical advantages over mutagenesis of the entire variable regions, it is not  
14 uncommon for FR mutations to exert beneficial effects on antigen binding, for example,  
15 by affecting the antibody conformation <sup>60</sup>, re-positioning contact residue side chains <sup>61</sup>, or  
16 altering electrostatic surface potential <sup>35</sup>. Indeed, all higher affinity variants that we  
17 isolated from the epPCR library carried just a single FR mutation. That said, the greatest  
18 affinity improvements were associated with CDR mutations. Among these, the combined  
19 D158G/A230G substitutions increased polySia-specific affinity of chAb735—a chimeric  
20 version of mAb735—by nearly 7-fold ( $K_D \approx 2.28$  nM). This enhanced affinity translated  
21 into a ~2-fold improvement in both ADCC and CDC, in line with other studies showing  
22 that higher affinity antibodies promote more effective *in vitro* tumor cytotoxicity against  
23 both protein <sup>76</sup> and glycan antigens <sup>30, 35</sup>.

24 In summary, by using a combination of rational design and directed evolution, we  
25 were able to engineer affinity-matured antibodies with single or double mutations that  
26 conferred significant improvements in polySia-binding affinity and *in vitro* tumor cell  
27 opsonization and cytotoxicity, while maintaining restricted polySia-positive tumor cell  
28 cross-reactivity. The identification of important affinity enhancing residues in both the  
29 CDRs and FRs of mAb735 not only provides greater insight into the molecular  
30 mechanisms by which polySia antigen is specifically recognized by antibodies but also  
31 furnishes improved clinical candidates against an important carbohydrate tumor antigen

1 <sup>44</sup>. Moreover, the effective affinity maturation approaches described here offer a roadmap  
2 for empowering the functional enhancement of other anti-glycan mAbs in the future, with  
3 an eye towards creating next-generation molecules with higher therapeutic potential.  
4

## 5 **MATERIALS AND METHODS**

6 **Strains and plasmids.** *E. coli* strain DH5 $\alpha$  was used for all plasmid construction. *E. coli*  
7 strain SHuffle T7 Express (New England Biolabs) <sup>77</sup> was used for scFv expression and  
8 purification as well as library selections. *S. cerevisiae* strain EBY100 was used for yeast  
9 surface display. Plasmid pMAB was used for *E. coli*-based expression and purification of  
10 all scFvs. Plasmid pCT-CON <sup>57</sup> was used for expression of all scFv libraries in yeast and  
11 was kindly provided by Dr. Dane Wittrup (MIT). SDM of select scFv735 residues, either  
12 individually or in combination, was performed by amplifying the entire pMAB-scFv735-  
13 6xHis plasmid by inverse PCR using primers carrying specific mutations or degenerate  
14 NNK primers followed by transformation of chemically competent DH5 $\alpha$  cells with the  
15 resulting linear PCR products. Plasmid pVITRO-735-IgG1/ $\kappa$  <sup>63</sup>, which was constructed  
16 previously from pVITRO1-Trastuzumab-IgG1/ $\kappa$  (Addgene plasmid #61883) <sup>62</sup>, was used  
17 for expression of wt chAb735. All chAb735 derivatives were constructed by subjecting  
18 plasmid pVITRO-735-IgG1/ $\kappa$  to SDM according to standard protocols. All plasmid DNA  
19 was isolated from overnight cultures using a QIAprep Spin Miniprep Kit (QIAGEN) and  
20 subsequently confirmed by Sanger sequencing at the Genomics Core Facility of the  
21 Cornell Biotechnology Resource Center (BRC).

22 **Mammalian cell culture.** Freestyle 293-F cells (ThermoFisher) were used for the  
23 expression of all full-length chAbs from pVITRO1-based plasmids. Freestyle 293-F cells  
24 were maintained in FreeStyle 293 expression medium (ThermoFisher). SH-SY5Y (cat #  
25 CRL-2266) and SKOV3 (cat # HTB-77) cell lines were obtained from ATCC and SW2  
26 cells were kindly provided by Dr. Karen Colley (University of Illinois at Chicago). SH-SY5Y  
27 cells were maintained in high-glucose DMEM/F12 medium supplemented with 10% (v/v)  
28 fetal bovine serum (FBS) (VWR), 1% (v/v) MEM nonessential amino acids solution  
29 (ThermoFisher), penicillin (100 U/mL), and streptomycin (100  $\mu$ g/mL)  
30 (ThermoFisher). SKOV3 and SW2 cells were cultured in high-glucose DMEM  
31 supplemented with 10% (v/v) FBS, penicillin (100 U/mL) and streptomycin (100  $\mu$ g/mL).

1 All cell lines are maintained at 37 °C in a 5% CO<sub>2</sub>-humidified incubator.

2 **Library construction.** Overlap extension PCR was used for the construction of scFv  
3 sequences harboring CDR-focused 'NNK' libraries. Overlapping forward and reverse  
4 primers containing NNK degenerate codons were designed to specifically mutate six  
5 consecutive amino acids within each mutated CDR region while a second pair of  
6 overlapping primers was designed to bind elsewhere in the pCT-CON backbone. PCR  
7 amplification using these primers and plasmid pCT-CON-scFv735 as template was  
8 performed, yielding two fragments that were subsequently assembled by overlap PCR to  
9 create a complete scFv product. For the construction of the epPCR library, the entire  
10 scFv735 sequence was randomly mutated by PCR with a GeneMorph II random  
11 mutagenesis kit (Stratagene) according to the manufacturer's instructions. The mutation  
12 frequency was designed to introduce 0-9 base pair mutations per kb. The final scFv  
13 products from these reactions, which represented NNK and epPCR libraries, were  
14 isolated using a QIAquick PCR Purification Kit (Qiagen). All scFv libraries and linearized  
15 pCT-CON were introduced into EBY100 cells by electroporation, resulting in yeast cell  
16 libraries consisting of ~10<sup>8</sup> members.

17 **Selection of scFv735 mutants from yeast surface display libraries.** Yeast libraries  
18 were grown in 200 mL SD-CAA media (20 g/L D-glucose, 6.7 g/L yeast nitrogen base, 5  
19 g/L casamino acids, 5.4 g/L Na<sub>2</sub>HPO<sub>4</sub>, and 8.6 g/L NaH<sub>2</sub>PO<sub>4</sub>) overnight at 30 °C. The day  
20 before each sort, an amount of cells totaling 30x the diversity of the library was induced  
21 for scFv expression and surface display by switching the media to SG-CAA (18 g/L  
22 galactose, 2 g/L D-glucose, 6.7 g/L yeast nitrogen base, 5 g/L casamino acids, 5.4 g/L  
23 Na<sub>2</sub>HPO<sub>4</sub>, and 8.6 g/L NaH<sub>2</sub>PO<sub>4</sub>) and incubating overnight at 20 °C. The target antigen,  
24 polysia-NCAM (Sigma-Aldrich) was biotinylated using the EZ-Link-Sulfo-NHS-LC-  
25 Biotinylation kit (ThermoFisher). Biotinylated polysia-NCAM (33 pM) was incubated with  
26 4 x 10<sup>6</sup> streptavidin beads (ThermoFisher) in 100 µL PBSA (PBS with 0.1% (w/v) BSA)  
27 for 2 h at 4 °C. Before FACS selection, induced yeast library was incubated with the beads  
28 coated with biotinylated polysia-NCAM for 2 h at 4 °C, followed by the separation with a  
29 magnetic stand. The isolated beads were washed three times with PBSA, added to 5 mL  
30 SD-CAA media, and grown overnight in a shaking incubator at 30 °C. The recovered  
31 yeast cells were induced in SG-CAA media overnight at 20 °C. Approximately 5×10<sup>7</sup> yeast

1 cells were pelleted, washed twice with PBSA, and resuspended first in 100 nM  
2 biotinylated polysia-NCAM and a 1:100 dilution of rabbit anti-Myc tag antibody  
3 (ThermoFisher). After incubation, yeast cells were washed three times and then  
4 resuspended in 200  $\mu$ L of PBSA buffer. 1:100 dilutions of both Alexa Fluor 647-  
5 conjugated goat anti-rabbit IgG antibody (ThermoFisher) and Streptavidin Alexa Fluor  
6 488 Conjugate (ThermoFisher) were added, incubated at 4 °C for 30 min, and washed  
7 three times with PBSA buffer prior to FACS-based selection. Cells were sorted using a  
8 BD FACSMelody Cell Sorter (BD Biosciences). Sorting gates were set to acquire 0.1% of  
9 the population with the highest binding signal. Cells were sorted into 1 mL SD-CAA and  
10 were grown overnight at 30 °C. Cells were then induced in SG-CAA for the next round of  
11 sorting. For the next two selections,  $\sim 1 \times 10^7$  yeast cells were used for staining with  
12 biotinylated polysia-NCAM, and the antigen concentration was decreased to 10 nM and  
13 5 nM in the consecutive rounds. Plasmids were isolated from yeast using the Zymoprep  
14 Yeast Plasmid Miniprep II Kit (Zymo Research) according to the manufacturer's  
15 instructions. Following transformation of chemically competent DH5 $\alpha$  by heat shock, all  
16 yeast-derived plasmids were isolated from overnight cultures using a QIAprep Spin  
17 Miniprep Kit (QIAGEN), after which sequences were confirmed by Sanger sequencing at  
18 the Genomics Core Facility of the Cornell BRC.

19 **Expression and purification of scFv antibodies.** For scFv expression, sequenced  
20 plasmids were used to transform chemically competent SHuffle T7 Express cells, after  
21 which a single colony was used to inoculate 5 mL LB supplemented with 100  $\mu$ g/mL  
22 carbenicillin or ampicillin, and grown overnight at 37 °C. The next day, 5 mL fresh LB  
23 supplemented with 100  $\mu$ g/mL carbenicillin or ampicillin was inoculated 1/100 with the  
24 overnight culture and cells were grown at 37 °C until reaching a density corresponding to  
25 an absorbance measured at 600 nm ( $Abs_{600}$ )  $\approx$  0.5–0.8. At this point, scFv expression  
26 was induced by addition of 0.1 mM IPTG, after which cells were incubated an additional  
27 16 h at 30 °C. Cells were harvested by centrifugation (16,000  $\times$ g, 4 °C) and resuspended  
28 in 1 mL BugBuster 10x Protein Extraction Reagent (Millipore). To prevent protein  
29 degradation, 10  $\mu$ L of Halt Protease Inhibitor Cocktail (100x) (ThermFisher) was added.  
30 After incubation for 30 min, cell lysate was collected by centrifugation at 16,000  $\times$ g for 20  
31 min at 4 °C. Total protein in cell lysates was quantified by Bradford assay.



1 For scFv purification, cells were grown and induced identically as above, after  
2 which harvested cells were resuspended in PBS and lysed using an EmulsiFlex-C5  
3 homogenizer (Avestin). The cell lysate was clarified by centrifugation at 15,000 ×g at 4  
4 °C for 25 min and then mixed with HisPur Ni-NTA Resin (ThermoFisher). The resin-lysate  
5 mixture was incubated at room temperature with end-over-end mixing for 30 min. The  
6 mixture was then applied to a polypropylene gravity column and the lysate was allowed  
7 to completely pass through the column. The resin was then washed with 3x column  
8 volumes of wash buffer containing PBS supplemented with 25 mM imidazole and the  
9 protein was eluted with PBS supplemented with 250 mM imidazole. Purified fractions  
10 were applied to protein concentrators (10K MWCO; ThermoFisher) to change the buffer  
11 to PBS.

12 **Expression and purification of full-length IgG antibodies.** IgGs were expressed in  
13 Freestyle 293-F suspension cells as described previously<sup>62</sup>. Briefly, FreeStyle 293-F cells  
14 were grown in FreeStyle 293 Expression Medium (ThermoFisher). pVITRO1 plasmids  
15 encoding chAb735 and its variants were isolated from DH5α using QIAprep Spin Midiprep  
16 Kit (QIAGEN) followed by transfection into FreeStyle 293-F cells using FreeStyle MAX  
17 transfection reagent (ThermoFisher) according to the manufacturer's instructions and  
18 selected with hygromycin B. Culture media was initially collected every 72 h, followed by  
19 every 48 h after selection. Collected culture media was centrifuged at 1,000 ×g for 15  
20 min, passed over 0.2-µm filters (VWR), and stored at 4 °C until use. Protein A agarose  
21 resin (Mabselect SuRe) was used to purify antibodies from the supernatant according to  
22 the manufacturer's instructions. The resin was equilibrated with PBS in a polypropylene  
23 gravity column. The supernatant was allowed to completely pass through the column. The  
24 resin was then washed with PBS and IgGs were eluted from the column with 0.1 M  
25 glycine-HCl (pH 3) and neutralized with 1 M Tris (pH 9) at a 1:5 ratio. Purified fractions  
26 were applied to protein concentrators (100 K MWCO; ThermoFisher) to change the buffer  
27 to PBS.

28 **ELISA.** To quantify binding activity and specificity of the clones, scFv and IgG antibodies  
29 in lysates or purified fractions were analyzed by ELISA according to standard protocols.  
30 Briefly, Costar 96-well ELISA plates (Corning) were coated overnight at 4 °C with 50 µL  
31 of 1 µg/mL polysia-NCAM in PBS. After blocking in PBST (1% (v/v) Tween-20 in PBS)

1 with 3% (w/v) milk for 2 h at room temperature, the plates were washed three times with  
2 PBST followed by incubation at room temperature for 1 h with serially diluted cell lysates  
3 or purified proteins. After washing three times with PBST, 100  $\mu$ L of 1:1000-diluted anti-  
4 His (HRP) (Abcam) was added to each well and incubated for 1 h in the dark. The plates  
5 were then washed three times with PBST followed by the addition of 100  $\mu$ L per well of  
6 1-Step Ultra TMB (ThermoFisher). The reaction was quenched with 100  $\mu$ L per well of 2  
7 M  $H_2SO_4$  and the  $Abs_{450}$  was measured in a multi-well plate reader (Tecan Spark).

8 **BLI analysis.** The dissociation constants for selected scFvs and full-length IgGs were  
9 determined by BLI analysis. Briefly, 96-well plates containing samples diluted in kinetic  
10 buffer (1x PBS containing 0.2- $\mu$ m filtered 0.1% (w/v) BSA and 0.02% (v/v) Tween 20)  
11 were analyzed using an Octet RH16 instrument (Sartorius) at 30 °C with shaking at  
12 1,000 rpm. Kinetic analysis for scFv antibodies was performed using streptavidin  
13 biosensor tips (Sartorius 18–5020) as follows: (1) baseline: 30 s immersion in kinetic  
14 buffer; (2) loading: 500 s immersion in kinetic buffer supplemented with 0.2  $\mu$ g/mL  
15 biotinylated polysia-NCAM; (3) baseline: 60 s immersion in kinetic buffer; (4) association:  
16 600 s immersion in kinetic buffer solutions with varying scFv concentrations ranging from  
17 35 to 500 nM; and (5) dissociation: 600 s immersion in kinetic buffer. Kinetic analysis of  
18 IgG antibodies was performed similarly except that biotinylated IgGs were immobilized  
19 on SA biosensor tips and immersed in kinetic buffer solutions with varying polysia-NCAM  
20 concentrations ranging from 2 to 134 nM. Kinetic data was analyzed using the Octet  
21 Analysis Studio software v12.2.2.26 (Sartorius).

22 **Cell binding assays.** To assess binding specificity, we performed flow cytometric binding  
23 assays with human cancer cell lines, including SW2 and SH-SY5Y, that are highly  
24 polySia-positive<sup>63</sup>. Briefly, cells were passaged at least three times before flow cytometry  
25 binding assays. On the day of the experiment, cells were trypsinized and collected with  
26 media. The cells were centrifuged at 1,000  $\times$ g and resuspended to  $1 \times 10^6$  cells/100  $\mu$ L  
27 using PBSA (0.5% (w/v) BSA in PBS) and pipetted into round-bottom 96-well plates. Cells  
28 were washed three times with PBSA, then pelleted and resuspended in PBSA containing  
29 IgGs serially diluted from 15  $\mu$ g/mL and incubated for 30 min at room temperature with  
30 constant agitation. Cells were washed three times with PBSA and resuspended in goat  
31 anti-human IgG-AF488 secondary antibody (ThermoFisher) at a 1:200 dilution for 30 min

1 at room temperature in the dark with constant agitation. Cells were washed three times,  
2 resuspended in 200  $\mu$ L of PBSA, and analyzed on a BD FACSMelody Cell Sorter (BD  
3 Biosciences). To remove polySia, SW2 cells were treated with 3  $\mu$ g/mL endoN by adding  
4 the enzyme directly to culture media and incubating overnight.

5 **ADCC assay.** Cryopreserved PBMCs (Cytologics, cat # 1105-C100) and SW2 cells were  
6 used to evaluate ADCC activity of all chAb735 constructs. PBMCs effector cells were  
7 thawed and rested in RPMI, supplemented with L-Glutamine and 10% FBS according to  
8 the manufacturer's protocol. SW2 target cells were grown and maintained in high-glucose  
9 DMEM without phenol red, supplemented with 10% (v/v) FBS, penicillin (100 U/mL) and  
10 streptomycin (100  $\mu$ g/mL). On the day of the experiment, SW2 cells were labeled with 25  
11  $\mu$ M calcein AM (Invitrogen) at a concentration of  $10^5$  cells/mL culture medium at 37 °C  
12 and 5% CO<sub>2</sub> for 1 h. Excess calcein was removed by washing with PBS and cells were  
13 resuspended in RPMI medium without phenol red, supplemented with 10% (v/v) FBS.  
14 Labeled SW2 cells were aliquoted in v-bottom 96-well plate (50  $\mu$ L,  $1 \times 10^4$  cells/well). Ten-  
15 fold serial dilutions of polySia-specific chAbs were added to each well for the detection of  
16 experimental lysis. After antibody opsonization,  $2 \times 10^5$  PBMCs were added to each well  
17 at an effector-to-target cell ratio of 20:1 and incubated at 37 °C and 5% CO<sub>2</sub> for 6 h. SW2  
18 cells treated with wt chAb735 and chAb735<sup>D158G/A230G</sup> in the absence of PBMCs or with  
19 an isotype chAb in the presence of PBMCs served as negative controls. The cell mixtures  
20 were centrifuged at 300  $\times$ g for 5 min and the supernatant was transferred to flat-bottom  
21 96-well plate. The released fluorescence was measured at 490/530 excitation/emission  
22 wavelengths using a multi-well plate reader (Tecan Spark). The specific ADCC was  
23 calculated using the following formula: ADCC (%) = (E-S)/(L-S)\*100, where E is  
24 fluorescence with experimental antibody, and S is spontaneous fluorescence without  
25 antibody, and L is fluorescence obtained from the addition of a lysis buffer containing 1%  
26 (v/v) Triton-X 100.

27 **CDC assay.** SW2 cells and baby rabbit complement were used to evaluate CDC activity  
28 of all chAb735 constructs. Briefly, cells were passed five times to ensure maximum  
29 population of viable cells before seeding in 96-well plates (Corning) at a cell density of  
30  $1 \times 10^4$  cells/well. Cells were left incubating overnight at 37 °C and 5% CO<sub>2</sub> for complete  
31 adhesion. Ten-fold serial dilutions of IgGs starting at 10  $\mu$ g/ml were added in the presence

1 of 10% (v/v) baby rabbit complement (MP Biomedicals) and incubated for 3 h. Cells  
2 treated with wt chAb735 and chAb735<sup>D158G/A230G</sup> in the absence of complement or with an  
3 isotype chAb in the presence of complement served as negative controls. To measure  
4 cell viability, the CellTiter 96<sup>®</sup> AQueous One Solution Cell Proliferation Assay (Promega)  
5 was used according to manufacturer's instructions. Briefly, 20  $\mu$ L of CellTiter 96<sup>®</sup>  
6 AQueous One Solution Reagent was added to each well and further incubated for 3 h at 37  
7  $^{\circ}$ C and 5% CO<sub>2</sub>, after which Abs<sub>490</sub> was measured. The specific cytotoxicity in each well  
8 was calculated using the following formula: cytotoxicity (%) = 100 x (B - E)/(B - L), where  
9 E is the absorbance with experimental antibody, and B is the absorbance without antibody  
10 but with the same concentration of serum, and L is the absorbance obtained from the  
11 cells lysed with lysis buffer.

12 **Statistical analysis.** Statistical significance between groups was determined with  
13 unpaired two-tailed Student's *t*-test using GraphPad Prism software for MacOS (version  
14 9.4.1). Statistical parameters including the definitions of *n*, *p* values, and SDs are reported  
15 in the figures and corresponding figure legends.

16  
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