SCIENTIFIC REPORTS

Received: 29 January 2016 Accepted: 24 June 2016 Published: 19 August 2016

OPEN Toxicology Study of Single-walled **Carbon Nanotubes and Reduced Graphene Oxide in Human Sperm**

Waseem Asghar^{1,2}, Hadi Shafiee³, Vanessa Velasco^{3,4}, Vasu R. Sah³, Shirui Guo⁵, Rami El Assal¹, Fatih Inci¹, Adhithi Rajagopalan³, Muntasir Jahangir³, Raymond M. Anchan⁶, George L. Mutter⁷, Mihrimah Ozkan⁵, Cengiz S. Ozkan⁸ & Utkan Demirci^{1,3}

Carbon-based nanomaterials such as single-walled carbon nanotubes and reduced graphene oxide are currently being evaluated for biomedical applications including in vivo drug delivery and tumor imaging. Several reports have studied the toxicity of carbon nanomaterials, but their effects on human male reproduction have not been fully examined. Additionally, it is not clear whether the nanomaterial exposure has any effect on sperm sorting procedures used in clinical settings. Here, we show that the presence of functionalized single walled carbon nanotubes (SWCNT-COOH) and reduced graphene oxide at concentrations of 1–25 μ g/mL do not affect sperm viability. However, SWCNT-COOH generate significant reactive superoxide species at a higher concentration (25µg/mL), while reduced graphene oxide does not initiate reactive species in human sperm. Further, we demonstrate that exposure to these nanomaterials does not hinder the sperm sorting process, and microfluidic sorting systems can select the sperm that show low oxidative stress post-exposure.

Carbon-based nanomaterials (CNMs) are currently under evaluation for various applications in medicine¹, specifically as delivery vehicles for drugs, proteins, and peptides²⁻⁶, contrast imaging agents^{7,8}, anti-neoplastic treatment⁴, and in implantable tissues⁹. Despite the numerous advances and potential for new applications, the possible toxic effects of these materials need to be explored to weigh the associated benefits with potential risks. Recent CNM toxicity experiments in animal models demonstrate that injected carbon nanotubes (CNTs)¹⁰ and graphene oxide (GO)^{11,12} migrate through the body and accumulate at different organ sites resulting in damage to cells^{13,14} and organs^{15,16}. Inflammation and formation of small nodules in the lungs^{17,18}, and induction of atherosclerotic lesions in the artery of animal heart have been reported¹⁶.

Both GO^{19,20} and CNTs²¹ can trigger production of oxidative stress radicals under certain conditions and exposure, leading to cell death and eventual organ injury. These investigations specifically concern the male reproduction, which has been shown to be sensitive to exogenous factors²²⁻²⁴, and suffer from ongoing deterioration^{25,26}. The causes of this deterioration of male reproduction are complex and not well understood, but reactive oxidative stress is believed to be one of the main factors^{27,28}. In sperm, oxidative stress has been linked to DNA and cell membrane damage resulting in reduced motility²⁹. It was shown¹⁰ that injected multi-walled carbon nanotube (MCNT) suspensions cause reversible testis damage in mice, though, with no measureable adverse effects on mice fertility¹⁰. Although significant efforts in the past try to understand the toxicity of nanomaterials on various cell types and physiological systems, including reproduction, the effect of CNM on human sperm velocity and oxidative stress generation remain unexplored. Moreover, the effect of nanomaterial

¹Demirci BAMM Labs, Department of Radiology, Canary Center at Stanford for Cancer Early Detection, School of Medicine, Stanford University, Palo Alto 94304, CA. ²Department of Computer Engineering & Electrical Engineering and Computer Science, Florida Atlantic University, Boca Raton 33432, FL. ³Demirci BAMM Labs, Division of Biomedical Engineering, Renal Division, Department of Medicine, Brigham and Women's Hospital, Harvard Medical School, Cambridge 02139, MA. ⁴Mechanical Engineering Department, University of Louisville, Louisville 40292, KY. ⁵Department of Electrical Engineering, University of California, Riverside 92521, CA. ⁶Center for Infertility and Reproductive Surgery, Department of Obstetrics Gynecology and Reproductive Biology, Brigham and Women's Hospital, Harvard Medical School, Boston 02115, MA. ⁷Department of Pathology, Brigham and Women's Hospital, Harvard Medical School, Boston 02115, MA. ⁸Department of Mechanical Engineering, University of California, Riverside 92521, CA. Correspondence and requests for materials should be addressed to U.D. (email: utkan@ stanford.edu)



Figure 1. SWCNT-COOH/RGO concentration and time dependent sperm toxicity study. (a) 1 mL semen vials were obtained from a cryobank. (b) Semen sample was thawed in a water bath at 37 °C for 15 min. (c) Sperm concentration and motility were quantified using a Makler hemocytometer. (d) Semen samples were mixed with HTF and 1% BSA and (e) centrifuged. (f) Sperm samples were placed in an incubator and motile sperm were allowed to swim up to the top media layer. (g) Supernatant containing motile sperm was collected and distributed in 8 wells. (h) Sorted semen were incubated with SWCNT-COOH and RGO at various concentrations; 1, 5, $25 \mu g/mL$, and for 30 minutes or 3 hour time points. (i) Viability, sperm kinetic parameters, ROS, and NO were measured. (j) Sperm incubated with nanomaterials were processed using a microfluidic device to investigate the effects of nanomaterials on sperm sorting process.

exposure on sperm sorting procedures widely used in advanced reproductive technologies (ART) has not been investigated^{30,31}. Therefore, it is very important to investigate whether the sperm exposed to toxic materials can be sorted with current sperm sorting methods including microfluidic based sperm sorting^{30–32}.

SWCNTs, graphene, and their functional forms are representative CNMs, which are frequently investigated for their toxicological effects on different organs and cells^{10,33,34}. Carbon nanotubes and graphene are intrinsically not soluble in water, therefore, these materials are generally modified by chemical functionalization to enhance their solubility and potential to attach various drug molecules^{35,36}. Functionalized materials are generally more miscible in water, hence, mostly used for cell toxicity studies. Therefore, for this study, we chose functionalized carbon based material (SWCNT and RGO) as representative materials. Here, we evaluate the *in vitro* influence of carboxylate-functionalized single-walled carbon nanotubes (SWCNT-COOH) and reduced graphene oxide (RGO) on human sperm at various concentrations (1–25 μ g/mL) and incubation times (0.5–3 hours). To understand the material-sperm interactions, we analyzed sperm viability, velocity, and generation of superoxide and nitric oxide stresses as a function of nanoparticle (NP) concentration and incubation period (Fig. 1a–j). Further, we investigated the feasibility of microfluidic-based sperm sorting method for isolating healthy sperm from semen samples exposed to nanomaterials.

Results

Particle Size Distribution. To demonstrate the aggregation and size distribution of SWCNT-COOH and RGO in HTF-HEPES + 1% BSA buffer over a period of time (0 min, 30 min, and 3 hour), particle size was analyzed (Supporting Figure S1). In the case of SWCNT-COOH, we observed that nanotubes showed good dispersion at t = 0 minute with nanoparticle diameters of less than 10 nm. We also observed few nanotubes aggregates with larger sizes (~1 μ m) in the samples (Supporting Figure S1a, t = 0 plots). At 30 minute and 3 hour time-points, we observed particles aggregation with sizes of 1–5 μ m, especially in the case of higher nanotube concentrations (25 μ g/mL). We observed similar trend in the case of RGO suspensions, where 25 μ g/mL RGO suspensions had higher aggregation with effective particle sizes of 1–5 μ m (Supporting Figure S1b).

Sperm viability and kinematics. Viability of human sperm was quantified after a 30 minutes or 3 hour incubation period with SWCNT-COOH or RGO at various concentrations (Fig. 2a–c). We observed no significant changes in sperm viability due to SWCNT-COOH or RGO exposure (*p value* > 0.05). We also analyzed the sperm for kinematics; straight linear velocity (VSL) and curvilinear velocity (VCL). We observed no significant changes in VCL and VSL of sperm incubated with SWCNT-COOH at different time points; 30 minutes and 3 hours (*p value* > 0.05) (Fig. 3a,b). This indicated that the sperm exposure to SWCNT-COOH did not alter the sperm kinematic performance. In the case of RGO exposure, we observed no significant differences in sperm velocity



Figure 2. Sperm viability analysis after incubation with nanomaterials. Viable sperm population (control, 1, 5, and 25μ g/mL) after incubating with (a) SWCNT-COOH and (b) RGO for 30 minutes and 3 hours. (c) Fluorescent image of viable (green) and dead (red) sperm were used for viability quantification.



Figure 3. Sperm kinematic analysis at various SWCNT-COOH/RGO concentration and exposure time. Linear (VSL) and curvilinear (VCL) sperm velocity for sperm samples (control, 1, 5, and $25 \mu g/mL$) incubated with SWCNT-COOH for (a) 30 min and (b) 3 hours, as well as, incubated with RGO for (c) 30 minutes and (d) 3 hours. *p value < 0.05 between VSL of sperm incubated with RGO and control samples, N=3. *p value < 0.05 between VCL of sperm incubated with RGO and control samples, N=3. Error bars represent a standard error of the mean.







Figure 5. Nitric oxide species generation due to SWCNT-COOH/RGO concentration and exposure time. Sperm population generating nitric oxide species (NOS) for sperm samples incubated with (a) SWCNT-COOH and (b) RGO at concentrations of 1, 5, and $25 \mu g/mL$ for two incubation periods of 30 minutes and 3 hours.

after 30 minutes of incubation (*p value* > 0.05) (Fig. 3c). On the other hand, sperm velocity decreased after RGO exposure at higher concentrations (5, $25 \mu g/mL$) and longer incubation time (3 hours) (Fig. 3d).

Reactive oxygen species (ROS) generation. Nanoparticle cytotoxicity investigations have attributed oxidative stress as a catalyst to pulmonary inflammatory pathology³⁷. Superoxide ROS generated by sperm were measured and quantified after incubation with either SWCNT-COOH or RGO (Fig. 4a,b). RGO exposure did not produce significant ROS compared to control sperm samples (*p value* > 0.05) (Fig. 4b). In the case of SWCNT-COOH, we observed significant superoxide ROS generation after nanoparticle exposure at a higher concentration (25 µg/mL) and longer incubation time (3 hours) (*p value* < 0.05) (Fig. 4a).

Nitric oxide generation. Nitric oxide (NO) reactive species is another marker for sperm damage, often linked to impaired sperm movement³⁸. We did not observe any significant NO production in sperm after incubation with SWCNT-COOH or RGO at various concentrations $(1-25 \mu g/mL)$ and time points (0.5-3 hours) (*p value* > 0.05) (Fig. 5a,b). These results suggest that RGO did not induce substantial NO to be able to trigger apoptosis during the time frames and concentrations specified in this study.

Multivariate evaluation of nanotoxicologic effect. In the experiments, by changing incubation time and concentration of either SWCNT-COOH or RGO, we evaluated their nanotoxicologic effects in terms of viability, VCL, VSL, ROS, and NOS levels of sperm. To better understand their effect, we first classified these assays into two groups: (i) viability assays and (ii) functionality assays (*i.e.*, VCL, VSL, ROS, and NOS). As demonstrated in Fig. 2, the individual groups were evaluated using an ANOVA test, and we did not observe any statistical significant change in sperm viability when we applied SWCNT-COOH and RGO to sperm in different incubation time and concentrations (*p value* > 0.05). (ii) To evaluate the effect of SWCNT-COOH and RGO exposure over

sperm functionality (VCL, VSL, ROS, and NOS), we used a multivariate analysis (MANOVA), where we designed three different statistical assessments (p value is set to 0.05): (a) As the first analysis, we compared the multivariate effect of SWCNT-COOH and RGO with their repeated measurements including various concentrations (1, 5, and 25 µg/mL) and different time domains (30 min and 3 hour). Here, independent parameters were defined as SWCNT-COOH and RGO, whereas dependent parameters were determined as VCL, VSL, RGO and NOS levels. As a result, we observed a significant multivariate effect between SWCNT-COOH and RGO experiments, F (44, 82) = 1.748, p = 0.015; Wilks' Lambda = 0.08010. (b) As an internal comparison, we also evaluated the multivariate effect of SWCNT-COOH and its controls in different time domains (30 min and 3 hour) and various concentrations (1, 5, and $25 \mu g/mL$) with repeated measurements. In the second analysis, independent parameters were determined as SWCNT-COOH and control, whereas dependent parameters were stated as VCL, VSL, RGO and NOS levels. There was no multivariate effect observed in SWCNT-COOH experiments when we compared the results with control samples, F (28, 48) = 1.705, p = 0.051; Wilks' Lambda = 0.08388. (c) Similarly, we employed another internal comparison for RGO and its controls in different time domains (30 min and 3 hour) and concentrations (1, 5, and $25 \,\mu g/mL$) using a multivariate analysis with repeated measurements. In this analysis, independent parameters were defined as RGO and its controls, whereas dependent parameters were determined as VCL, VSL, RGO and NOS levels. Here, we did not observe any multivariate difference between RGO and the control groups, F (28, 48) = 1.432, p = 0.135; Wilks' Lambda = 0.11307. According to MANOVA tests with repeated measurements, we had two outcomes: (i) SWCNT-COOH and RGO affected differently over sperm functionality, (ii) whereas these did not have any significant change in sperm functionality when we compared them with their controls at different time points and various concentrations.

Sorting sperm post-nanomaterial exposure. In nature, the sperm are tested for their fitness in the vaginal tract, which sorts out the sperm that is most likely to create a successful zygote and pregnancy. Today, during in vitro fertilization procedures, we lack tools to sort sperm that are exposed to potential nanotoxic effects. To addresses this challenge, we tested our recently developed microfluidic device to sort sperm. We previously reported³¹ that sperm sorted using microfluidic device showed significantly lower ROS generation compared to whole semen suspensions³¹. In this study, we have used this microfluidic device to sort sperm samples treated with SWCNT-COOH or RGO. We tried to mimic vaginal tract channels in a microfluidic-chip system³¹ by using a micron sized porous membrane and evaluated its potential utility in selecting the sperm that are the most motile and the least affected post-exposure to nanoparticles (Fig. 6a-d). To evaluate our hypothesis, we incubated sperm populations with SWCNT-COOH and RGO for two and half hours. We injected the NP treated sperm samples and allowed sperm to randomly swim up for 30 minutes. Sorted sperm were recovered from the top compartment and measured for the ROS generating sperm population (Fig. 6a-d). Sorted sperm showed a significant decrease in ROS generating population by ~1.3 fold for untreated sample, ~3 fold for SWCNT-COOH at 1 and 5µg/mL and by ~6 fold for SWCNT-COOH at $25 \mu g/mL$ (p value < 0.05) (Fig. 6a,b). For RGO-sperm groups, the chip sorted sperm also showed a significant decrease in ROS production by ~4 fold for untreated, 1 and 25µg/mL samples and by ~3 fold for $5\mu g/mL$ sample (p value < 0.05) (Fig. 6c,d).

Discussion

Toxicity of CNMs including SWCNT-COOH and RGO depends on various factors, such as dosage, time of exposure, cell types, and aggregation degree. For example, in the literature, carbon nanotubes are reported to have no significant toxic effects on peritoneal macrophage³⁹, clonal pheochromocytoma cells⁴⁰, HeLa cells, and Jurkat cells even at concentration higher than used in this study $(25\,\mu g/mL)^{41}$. On the other hand, multiple studies reported that carbon nanotubes showed toxic effects for Human MSTO-211H cells⁴², A549 human pneumocytes⁴³, Human lung epithelial H460 cells³³, and HeLa cell lines⁴¹. These reports demonstrate that CNMs may or may not have toxicological effect on various cell types based on their dosage, surface functionalization, and exposure time. The effects of carbon based materials on human sperm *in vitro* have not been thoroughly investigated. A study by Bai *et al.* reported an *in vivo* evaluation of mouse sperm after repeated administration of various doses of carbon nanotubes¹⁰. They reported that the nanomaterial exposure had no significant effect on sperm viability, which was consistent with our viability results for the *in vitro* toxicity evaluation on human sperm.

In previous *in vivo* studies, dosages of CNTs administered intravenously to mice range between a single dosage of 5 mg/kg or five dosages of 5 mg/kg administered every 3 days, where nanoparticle solution concentration was fixed to 1 mg/mL¹⁰. They reported that after a single dosage of 5 mg/kg of CNT-COOH, approximately 151 ng of nanotubes were found in every gram of testes tissue 24 hour after injection administration. Bai *et al.* also reported an additional dosage scheme consisting of 5 mg/kg dosages administered every 3 days. However, due to the short lifetime of radiolabels used, CNT accumulation could not be analyzed. Bai *et al.* expected a higher accumulation of CNTs in the testes with this higher dosage scheme. This was due to the increasing trend of nanotubes in the testes found within 24 hours of administering a single dosage. However, during *in vivo* studies, the exact amount of nanomaterials at which sperm were exposed was unknown. In the case of previous *in vitro* carbon nanotube toxicology studies on various different cell types such as lung cells, HeLa cells, macrophages, typical CNT doses tested ranged 0.25–1000 μ g/mL⁴⁴⁻⁴⁶. Based on these previous *in vitro* and *in vivo* reports, we have selected the CNM concentrations in the lower range (1–25 μ g/mL of sperm sample for this study) of previously reported concentrations.

Sperm motility parameters are used as indicators of potential reproductive abnormalities. Sperm mobility parameters have been known to decline as a result of exposure to environmental^{47,48}, industrial^{49,50}, and pharmaceutical agents⁵¹. In such cases, VSL and VCL were reported to decrease. In our experiments, we observed no significant change in sperm motility when sperm were incubated with SWCNT-COOH (p value > 0.05). Whereas, we observed a significant decrease in sperm motility when sperm were incubated for longer period (3 hours) with RGO (p value < 0.05). This decrease in sperm velocity after RGO exposure may





.....

be the result of physical trapping of sperm by RGO sheets (Supporting Figure S7), in agreement with the previous findings, where bacteria were trapped within aggregating reduced graphene sheets in a suspension⁵².

Oxidative stress has been demonstrated to boost cellular damage due to the production of ROS, which are highly reactive free radicals known to have adverse effects on sperm function and morphology. Studies have shown that oxidative stress is one of the main causes of male infertility, showing decreased sperm motility and viability, increased midpiece morphology defects, and lipid peroxidation of sperm membranes⁵³. We observed no significant superoxide ROS or NO generation after nanoparticle exposure under the experimental conditions except when sperm were exposed to higher concentrations ($25 \mu g/mL$) and longer incubation time (3 hours) (Fig. 4a). These findings suggest potential toxic effects on sperm when exposed to SWCNT-COOH (Fig. 4a) under these conditions. The size and functional groups of carbon-based nanomaterials play important role in determining their toxic effects on sperm⁴¹. RGO particles are less miscible in HTF than SWCNT-COOH and are larger in size (Supporting Figures S1 and S2), hence, may not easily penetrate cell membrane as reported previously⁵⁴. Functionalization of SWCNT with –COOH makes them more miscible in HTF and they can be uptaken by cell membrane using endocytosis, phagocytosis⁴¹, or nanopenetration³⁹, which leads to more ROS generation compared to RGO.

It is important to note that the population of sperm generating both superoxide ROS and NO was more significant at the 30 minute time point and decreased by the third hour (Figs 4 and 5). This behavior can be indicative of species generated early on by capacitation. The generation of ROS is one of the initial occurrences among the many series of events that take place in sperm capacitation⁵⁵. Our results are consistent with previous studies, which showed that superoxide production began soon after the sperm were incubated in media. This superoxide production peaked within the first 20 minutes and slowly decreased after incubation for 2 hour and 45 minutes^{56,57}. Further, this behavior can be indicative of an initial burst of oxygen species generated by the initial centrifugation that sperm cells were subjected for swim-up isolation. Measurement of superoxide in sperm

isolated by swim-up had a higher and more sustained peak after 20 minutes of incubation with media, and this oxidative stress subsequently decreased⁵⁷.

We have also employed advanced microfluidic sorting techniques to sort sperm after NP exposure showing that NP exposure does not affect the selection of motile sperm during the procedure. Conventional sperm sorting methods such as swim-up and density gradient based methods may not be as efficient as microfluidics in selecting healthy sperm from sperm population exposed to toxic nanomaterials. These alternate techniques require centrifugation, which have been shown previously to damage sperm and generate higher ROS^{31,58–60}. The microfluidic technique demonstrated here is a passive technique, where semen is injected in the lower compartment and the random motion of the sperm enables sperm separation without centrifugation. As various sperm sorting methods are currently being used during IVF procedures, it is necessary to investigate their efficiency to select healthy sperm for reduced ROS levels.

Conclusion

This study demonstrated the first pilot toxicity evaluation of functionalized SWCNTs and RGO on human sperm. Sperm exposure to nanomaterials did not result in significant changes in sperm viability. However, there were some significant changes in sperm velocity and oxidative stress due to reactive oxygen species. The generation of oxidative stress raises concerns because it is possible that these materials may have adverse effects on male fertility at higher quantities over a longer period. We also concluded that nanoparticle exposure to sperm does not appear to hinder the sperm sorting procedures using an advanced microfluidic method. These results encourage further exploration studies to analyze the effects of exposed sperm on fertilization and zygote development.

Methods

Preparation of Graphene Oxide. Graphene Oxide (GO) was first prepared with modified Hummer's method as previously described⁶¹⁻⁶³. Then GO was filtrated and rinsed several times with deionized (DI) water. 32 mg GO was dispersed in 4mL PBS (phosphate bufferd saline, PBS is mixture of NaCl (137 mmol/L), KCl (2.7 mmol/L), Na₂HPO₄ (10 mmol/L), and KH₂PO₄ (1.8 mmol/L) buffer, followed by 15 minutes of mild sonication (at 150 W power) to prepare 8mg/mL GO stock sample. SEM and AFM images of synthesized GO are shown in Supporting Figure S2.

Reduction of Graphene Oxide to Reduced Graphene Oxide. GO powder was dried at 50 °C in a vacuum oven. GO was reduce to reduced graphene oxide (RGO) by exposing it to hydrazine for two hours at room temperature. Hydrazine is the most common and effective reducing agent used to convert GO to reduced graphene oxide RGO via hydrothermal treatment⁶⁴. The dispersion was sonicated for 30 min (150 W), followed by centrifugation at 1000 rpm/min for 20 min to remove large particles in the solution. Fourier transform infrared spectroscopy (FTIR) spectrum of GO and RGO confirmed the reduction of GO (Supporting Figure S3).

Preparation of SWCNT-COOH. Introduction of -COOH on to the end of SWCNT was conducted according to literature⁶⁵. Briefly, SWCNTs suspended in nitric acid (HNO₃) solution (2M) were sonicated for 30 minutes to obtain homogeneous dispersion of SWCNTs in the acid medium. Oxidation process was carried out by refluxing the mixture at 130 °C for 16 hours. The use of nitric acid reflux oxidized the SWCNTs mildly and introduced carboxylic groups at defect sites (primarily at the tips), while the graphitic sidewalls were chemically inert. Purification and isolation of CNTs from the oxidation mixture was performed carefully before subjecting them to the conjugation process. Filtration of the reaction mixture was carried out with Millipore polycarbonate filter paper with a pore size of 0.2 mm, followed by repeated washings with DI water before suspending the CNTs in DI water. To obtain a pure sample, multiple rinses with DI water were conducted with centrifugation to remove any acid residue. Rinse was not stopped until the pH of top water layer reached 6. The sample was dispersed in water and used for sperm experiments. Raman spectrum of SWCNT showed lower D peak and G' peak that indicated the high quality of SWCNT was synthesized (Supporting Figure S4). FTIR spectrum showed the functionalization of SWCNTs with -COOH (Supporting Figure S5).

Carbon Nanomaterial Suspension Preparation. RGO and SWCNT-COOH were suspended in PBS, pH 7.4 (Gibco Invitrogen, # 10010-023) at 3 different concentrations of 1, 5, and 25 mg/mL. PBS contains Potassium Phosphate monobasic (KH_2PO_4) 144 mg/L, Sodium Chloride (NaCl) 9000 mg/L, Sodium Phosphate dibasic (Na_2HPO_4 -7H₂O) 795 mg/L. Carbon nanomaterial solutions were sonicated (40 kHz, Fisher Scientific, # 15-335-60) for 1 hour at room temperature prior to being mixed with sperm samples.

Characterization of Particle Size and Distribution of SWCNT-COOH and RGO. The particle size and distribution of SWCNT-COOH and RGO in HTF-HEPES + 1% BSA medium were evaluated by using Dynamic Light Scattering (DLS) technique (Zetasizer Nano ZS, Malvern Instruments, UK) as previously reported⁵⁴. For this experiment, SWCNT-COOH and RGO nanoparticle suspensions were prepared in HTF medium to concentrations of 1, 5 and $25 \mu g/mL$. The solutions were analyzed at three time points 0, 30 min, and 3 hours after preparation.

Human Sperm Preparation. Human semen vials (1.0 cc each, Intracervical Insemination specimen vials) were purchased from California CryoBank following IRB 2012P000590 regulations and stored in liquid nitrogen. HTF-HEPES (InVitroCare, Frederick, MD) was supplemented with BSA (Sigma, St. Louis, MO) and used for sperm preparation and sorting. Sperm samples were thawed at 37 °C in the water bath for 15 minutes before use.

Concentration Analysis. Using a Makler counting chamber, a $1\,\mu$ L sperm sample was manually analyzed for concentration according to manufactures' instructions.

Swim-Up Method. Each 1mL semen vial was divided into two fractions of $475 \,\mu\text{L}$ in centrifuge tubes. 4 mL of HTF-HEPES 1% BSA medium was added over the semen and centrifuged at 400 g for 10 minutes. The supernatant was discarded very carefully without disturbing the pellet. Following this, $500 \,\mu\text{L}$ of media was added from sidewalls meticulously while avoiding disruption of the pellet. These tubes are placed in the incubator, inclined at an angle around 45° and incubated at $37 \,^{\circ}\text{C}$ for $45 \,\text{minutes}$. By tilting the tubes at 45° , we increase the surface between the medium and the semen, improving the capability of the sperms to swim out and reach the medium. The supernatant (motile sperm) of each tube is gently removed from each vial, leaving the pellet behind. Swim-up sperm cell population is recorded using a Makler counting chamber and used for kinematic, reactive oxygen and nitric oxide toxicity studies.

Motility Analysis. Sperm motility was assessed as described in WHO laboratory manual for sperm analysis ("WHO laboratory manual for the Examination and processing of human semen," 2010)⁶⁶. Specifically, motile sperm were collected after performing the swim-up method. Motile cell suspensions (~2 million sperm/mL) were mixed with appropriate volumes of SWCNT-COOH and RGO suspensions so that nanoparticle concentration in the sample was 1, 5, or $25 \,\mu$ g/mL. Sperm-carbon nanomaterial samples were placed in the incubator at $37 \,^{\circ}$ C for 0 min, 30 min, and 3 hr. After each time point, approximately $6 \,\mu$ L of sample was placed on a 18 mm × 18 mm coverslip to form a liquid channel of $20 \,\mu$ m in depth as suggested by WHO manual⁶⁶. Motile sperm were imaged using brightfield light microscopy (Carl Zeiss) with a 20x objective under ambient conditions as previously reported^{30,31,67}. Videos were recorded for 5 seconds at three different locations of the coverslip. Videos were converted to jpeg images and kinematic parameters, straight linear velocity (VSL) and curvilinear velocity (VCL) were determined using a sperm tracking MATLAB code. At least 200 motile spermatozoa were tracked and analyzed for each sample.

Viability Analysis. A LIVE/DEAD sperm viability kit (L-7011, Molecular Probes) was used for analyzing sperm samples. Propidium iodide (PI) was used to stain dead sperm and SYBR 14 Dye was used to stain live cells, according to the manufacturer's protocol. SYBR 14 dye was added to the sperm samples for a final concentration of 100 nM followed by an incubation for 5 minutes at 37 °C. For the staining of dead sperm, PI dye was added to the samples to a final concentration of 10μ M and incubated for 5 more minutes. Fluorescence microscope was used to image the smeared sperm samples on a glass cover slip using green and red emission filters. At least 200 sperm were counted for each sample.

Reactive Oxygen Species (ROS) Detection. Flow cytometry was used to assess the generation of ROS produced by the nanomaterials using two different fluorescent dyes, dihydroethidium (DHE) and SYTOX green. DHE reacts with superoxide anion producing two flourochromes binding to the sperm DNA and imparting red fluorescence. On the other hand, Sytox Green, an indicator for cell viability, produces green fluorescence when cells are dead. All compensation control samples were first prepared by adding $20 \,\mu$ L of hydrogen peroxide to $200 \,\mu$ L of sperm suspension, followed by incubation at 37 °C for 1 hour. Then, the compensation control samples were divided into four groups and treated with dyes as follows. For the negative control, no dye was added. For the second sample, DHE at $5 \,\mu$ M and $50 \,n$ M, respectively, were added. Finally, the samples were incubated at room temperature for 20 minutes, followed by flow cytometric analysis.

For experimental samples, swim-up sperm suspensions were respectively mixed with Single Walled Carbon Nanotube–COOH and Reduced Graphene Oxide suspensions at three concentrations $(1 \mu g/mL, 5 \mu g/mL)$ or $25 \mu g/mL$). These samples were then placed in a cell culture incubator at 37 °C for 30 minutes or 3 hours. Samples were then incubated with DHE ($5 \mu M$) and Sytox (50 nM) for 20 minutes at room temperature, followed by flow cytometric analysis (Becton Dickinson) for measuring ROS at each time point. Experiments were repeated six times (N = 6). Emission measurements were done using 530/30 band pass (green) and 585/42 band pass (red) filters for FL1 and FL2, respectively coupled with Argon laser excitation at 488 nm. At-least 10000 sperm cells were examined and the non-sperm events were gated out.

Nitric Oxide Species (NOS) Detection. The generation of NOS by nano-materials was assessed using a fluorescent dye DAF-2-DA (4,5-diaminofluorescein-2/diacetate). L-NAME (NG-nitro-L-arginine methyl ester) (in DMSO) an analogue of L-arginine was used as a competitive inhibitor of NOS while Sodium Nitroprosside (SNP) was used as a NO donor. All compensation control samples were first prepared by adding 10 μ M of DAF-2-DA dye to 200 μ L sperm suspensions. Then, the compensation control samples were divided into four groups and treated with dyes as follows. For the negative control, no dye was added. For second sample, DAF-2-DA dye was added. For the third sample L-NAME at 3 mM with DAF-2-DA dye was added. For the fourth sample, SNP at 400 μ M with DAF-2-DA dye was added. All control samples were incubated for 2 hours at 37 °C followed by dye incubation for 30 minutes at room temperature.

For experimental samples, swim-up sperm suspensions were respectively mixed with Single Walled Carbon Nanotube–COOH and Reduced Graphene Oxide suspensions at three concentrations $(1 \mu g/mL, 5 \mu g/mL)$ or $25 \mu g/mL$). These samples were then placed in a cell culture incubator at 37 °C for 30 minutes and 3 hours. Samples were then incubated with DAF-2-DA $(10 \mu M)$ for 30 minutes at room temperature, followed by flow cytometric analysis (Becton Dickinson) for measuring NOS at each time point. Experiments were repeated three times (N = 3). Fluorescence was measured using excitation wavelength of 488 nm and emission wavelength of 530 nm using FL1. At-least 10000 sperm cells were examined and the non-sperm events were gated out.

Filter device fabrication and experimentation. *Chip Assembly.* The PMMA (3 mm thick; McMaster Carr, Atlanta, GA) and DSA (120 μ m thick, St. Paul, MN) were cut using a laser cutter (Versa Laser, Scottsdale, AZ). The design for the chip was generated on Coral Draw4 and implemented onto USLE Engrave software for cutting. Primary components of the MSS included one 3 mm PMMA cut to an area of 50mm \times 30 mm (bottom chamber) and another cut to an area of 30 mm \times 30 mm (top chamber). A 0.6-mm injection point was also cut into the bottom PMMA sheet at a 5-mm distance from the chambers. Cylinders of 20 mm diameter were cut into both PMMA components. The bottom PMMA chamber was first attached to glass slide using DSA. Top PMMA chamber was aligned and attached with bottom chamber using DSA. The Nuclepore track-etched polycarbonate membrane filter (Whatman Ltd, 25 mm diameter, 8 μ m pore size) were sandwiched between two PMMA chambers during chip assembly³¹ (Supporting Figure S6). The components were sterilized by washing thoroughly with 70% ethanol followed by air-drying before assembling inside the cell culture hood.

Experimentation. The swim-up sperm suspensions were respectively mixed with SWCNT-COOH and RGO suspensions at three concentrations $(1 \mu g/mL, 5 \mu g/mL \text{ or } 25 \mu g/mL)$ and incubated at 37 °C for 2 hours and 30 minutes. Following the incubation, the filtered sperms from the top of the chip were carefully collected, without tampering the polycarbonate membrane. The unfiltered or leftover sperm suspension inside the chip was then extracted by creating a small incision on the membrane filter. Both sperm suspensions were subject to flow cytometric analysis for measurement of ROS and NOS following the procedures as described above. Experiments were repeated six times (N = 6).

Statistical analysis. Each experiment was repeated at least three times, and the results of sperm parameters were expressed as mean \pm SEM (standard error of the mean). To understand the statistical changes in the experimental groups, we performed two types of statistical analyses: (i) one-way analysis of variance (ANOVA), which we compared the individual groups, and (ii) multivariate ANOVA (MANOVA), which we evaluated two independents (SWCNT and RGO) and dependent parameters (*e.g.*, VCL, VSL, ROS, and NOS) with repeated measurements. In MANOVA analysis, we employed Wilks' Lambda according to equal distribution of sample size as indicated in the literature⁶⁸. MANOVA statistical analyses were performed using Minitab (Release 17, Minitab Inc., State College, PA). A value of p < 0.05 was considered statistically significant.

References

- Lacerda, L., Bianco, A., Prato, M. & Kostarelos, K. Carbon nanotubes as nanomedicines: from toxicology to pharmacology. Adv Drug Deliv Rev 58, 1460–1470 (2006).
- Bianco, A., Kostarelos, K. & Prato, M. Applications of carbon nanotubes in drug delivery. *Curr Opin Chem Biol* 9, 674–679 (2005).
 Sun, X. *et al.* Nano-Graphene Oxide for Cellular Imaging and Drug Delivery. *Nano Res* 1, 203–212 (2008).
- Kam, N. W. S., O'Connell, M., Wisdom, J. A. & Dai, H. Carbon nanotubes as multifunctional biological transporters and nearinfrared agents for selective cancer cell destruction. Proc Nat Acad Sci US 102, 11600–11605 (2005).
- 5. Kam, N. W. S., Liu, Z. & Dai, H. Carbon nanotubes as intracellular transporters for proteins and DNA: an investigation of the uptake mechanism and pathway. *Angewandte Chemie* **118**, 591–595 (2006).
- Pantarotto, D., Briand, J.-P., Prato, M. & Bianco, A. Translocation of bioactive peptides across cell membranes by carbon nanotubes. Chem Comm. 16–17 (2004).
- Cong, H. P., He, J. J., Lu, Y. & Yu, S. H. Water-soluble magnetic-functionalized reduced graphene oxide sheets: *in situ* synthesis and magnetic resonance imaging applications. *Small* 6, 169–173 (2010).
- Kostarelos, K., Bianco, A. & Prato, M. Promises, facts and challenges for carbon nanotubes in imaging and therapeutics. Nat Nanotechnol 4, 627–633 (2009).
- 9. Harrison, B. S. & Atala, A. Carbon nanotube applications for tissue engineering. Biomaterials 28, 344-353 (2007).
- Bai, Y. H. et al. Repeated administrations of carbon nanotubes in male mice cause reversible testis damage without affecting fertility. Nat Nanotechnol 5, 683–689 (2010).
- 11. Wang, K. et al. Biocompatibility of graphene oxide. Nanoscale Research Letters (2010).
- 12. Zhang, X. *et al.* Distribution and biocompatibility studies of graphene oxide in mice after intravenous administration. *Carbon* **49**, 986–995 (2011).
- Ravichandran, P. et al. Induction of apoptosis in rat lung epithelial cells by multiwalled carbon nanotubes. J Biochem Mol Toxicol 23, 333–344 (2009).
- 14. Bottini, M. et al. Multi-walled carbon nanotubes induce T lymphocyte apoptosis. Toxicol Letters 160, 121-126 (2006).
- 15. Warheit, D. B. *et al.* Comparative pulmonary toxicity assessment of single-wall carbon nanotubes in rats. *Toxicol sci* **77**, 117–125 (2004).
- Li, Z. et al. Cardiovascular effects of pulmonary exposure to single-wall carbon nanotubes. Environment Health Perspect, 377–382 (2007).
- Shvedova, A. A. *et al.* Unusual inflammatory and fibrogenic pulmonary responses to single-walled carbon nanotubes in mice. *American J Physiol-Lung Cellular Molecul Physiol* 289, L698–L708 (2005).
- Lam, C.-W., James, J. T., McCluskey, R. & Hunter, R. L. Pulmonary toxicity of single-wall carbon nanotubes in mice 7 and 90 days after intratracheal instillation. *Toxicol Sci* 77, 126–134 (2004).
- Duch, M. C. et al. Minimizing oxidation and stable nanoscale dispersion improves the biocompatibility of graphene in the lung. Nano Lett 11, 5201–5207 (2011).
- Jastrzebska, A. M., Kurtycz, P. & Olszyna, A. R. Recent advances in graphene family materials toxicity investigations. J Nanopart Res 14, 1320 (2012).
- Shvedova, A. A., Pietroiusti, A., Fadeel, B. & Kagan, V. E. Mechanisms of carbon nanotube-induced toxicity: focus on oxidative stress. *Toxicol Appl Pharmacol* 261, 121–133 (2012).
- 22. Benoff, S., Jacob, A. & Hurley, I. R. Male infertility and environmental exposure to lead and cadmium. *Hum Reprod Update* 6, 107–121 (2000).
- 23. Howards, S. S. Treatment of male infertility. N Engl J Med 332, 312-317 (1995).
- Tas, S., Lauwerys, R. & Lison, D. Occupational hazards for the male reproductive system. *CRC Crit Rev Toxicol* 26, 261–307 (1996).
 Purvis, K. & Christiansen, E. Male infertility: current concepts. *Annals med* 24, 259–272 (1992).
- 26. Check, J. Treatment of male infertility. Clin exp obst & gynecol 34, 201-206 (2006).
- Saleh, R. A. & HCLD, A. A. Oxidative stress and male infertility: from research bench to clinical practice. J Androl 23, 737–752 (2002).
- 28. Tremellen, K. Oxidative stress and male infertility—a clinical perspective. Hum reprod update 14, 243–258 (2008).

- 29. Tremellen, K. Oxidative stress and male infertility-a clinical perspective. Hum Reprod Update 14, 243-258 (2008).
- Tasoglu, S. et al. Exhaustion of Racing Sperm in Nature—Mimicking Microfluidic Channels During Sorting. Small 9, 3374–3384 (2013).
- Asghar, W. et al. Selection of functional human sperm with higher DNA integrity and fewer reactive oxygen species. Adv Healthc Mater 3, 1671–1679 (2014).
- 32. Rappa, K. L. *et al.* Sperm processing for advanced reproductive technologies: Where are we today? *Biotechnol adv*, doi: 10.1016/j. biotechadv.2016.01.007 (2016).
- 33. Zhao, X. & Liu, R. Recent progress and perspectives on the toxicity of carbon nanotubes at organism, organ, cell, and biomacromolecule levels. *Environ international* **40**, 244–255 (2012).
- 34. Wojtoniszak, M. *et al.* Synthesis, dispersion, and cytocompatibility of graphene oxide and reduced graphene oxide. *Col Surf B: Biointerf* **89**, 79–85 (2012).
- Klumpp, C., Kostarelos, K., Prato, M. & Bianco, A. Functionalized carbon nanotubes as emerging nanovectors for the delivery of therapeutics. *Biochi Biophy Acta (BBA)-Biomembranes* 1758, 404–412 (2006).
- 36. Jastrzębska, A. M., Kurtycz, P. & Olszyna, A. R. Recent advances in graphene family materials toxicity investigations. *J Nanopart Res* 14, 1–21 (2012).
- Li, N., Xia, T. & Nel, A. E. The role of oxidative stress in ambient particulate matter-induced lung diseases and its implications in the toxicity of engineered nanoparticles. Free Radic Biol Med 44, 1689–1699 (2008).
- 38. Balercia, G. et al. Role of nitric oxide concentrations on human sperm motility. J Androl 25, 245-249 (2004).
- Cherukuri, P., Bachilo, S. M., Litovsky, S. H. & Weisman, R. B. Near-infrared fluorescence microscopy of single-walled carbon nanotubes in phagocytic cells. J American Chem Soc 126, 15638–15639 (2004).
- Zhang, T. *et al.* Synthesis, characterization and cytotoxicity of phosphoryl choline-grafted water-soluble carbon nanotubes. *Carbon* 46, 1782–1791 (2008).
- 41. Firme, C. P. & Bandaru, P. R. Toxicity issues in the application of carbon nanotubes to biological systems. *Nanomed: Nanotechnol, Bio Med* **6**, 245–256 (2010).
- 42. Wick, P. et al. The degree and kind of agglomeration affect carbon nanotube cytotoxicity. Toxicol let 168, 121-131 (2007).
- Simon-Deckers, A. et al. In vitro investigation of oxide nanoparticle and carbon nanotube toxicity and intracellular accumulation in A549 human pneumocytes. Toxicol 253, 137–146 (2008).
- 44. Du, J., Wang, S., You, H. & Zhao, X. Understanding the toxicity of carbon nanotubes in the environment is crucial to the control of nanomaterials in producing and processing and the assessment of health risk for human: a review. *Environ toxicol pharmacol* **36**, 451–462 (2013).
- Kaiser, J.-P., Buerki-Thurnherr, T. & Wick, P. Influence of single walled carbon nanotubes at subtoxical concentrations on cell adhesion and other cell parameters of human epithelial cells. J King Saud Uni-Sci 25, 15–27 (2013).
- Liu, K.-K., Cheng, C.-L., Chang, C.-C. & Chao, J.-I. Biocompatible and detectable carboxylated nanodiamond on human cell. Nanotechnol 18, 325102 (2007).
- Erogul, O. *et al.* Effects of electromagnetic radiation from a cellular phone on human sperm motility: an *in vitro* study. Arch Med Res 37, 840–843 (2006).
- Jurewicz, J., Hanke, W., Radwan, M. & Bonde, J. P. Environmental factors and semen quality. Int J Occup Med Environ Health 22, 305–329 (2009).
- 49. Duty, S. M. et al. The relationship between environmental exposure to phthalates and computer-aided sperm analysis motion parameters. J Androl 25, 293–302 (2004).
- Hernandez-Ochoa, I. et al. Low lead environmental exposure alters semen quality and sperm chromatin condensation in northern Mexico. Reprod Toxicol 20, 221–228 (2005).
- 51. Kato, M. *et al.* Sperm motion analysis in rats treated with adriamycin and its applicability to male reproductive toxicity studies. *J Toxicol Sci* **26**, 51–59 (2001).
- 52. Akhavan, O., Ghaderi, E. & Esfandiar, A. Wrapping bacteria by graphene nanosheets for isolation from environment, reactivation by sonication, and inactivation by near-infrared irradiation. *J Phys Chem B* **115**, 6279–6288 (2011).
- Sikka, S. C., Rajasekaran, M. & Hellstrom, W. J. Role of oxidative stress and antioxidants in male infertility. J Androl 16, 464–468 (1995).
- 54. Chang, Y. et al. In vitro toxicity evaluation of graphene oxide on A549 cells. Toxicol let 200, 201–210 (2011).
- 55. O'Flaherty, C. Redox regulation of mammalian sperm capacitation. *Asian J androl* (2015).
- de Lamirande, E. & Gagnon, C. Capacitation-associated production of superoxide anion by human spermatozoa. Free Rad Bio Med 18, 487–495 (1995).
- 57. Miesel, R., Drzejczak, P. J. & Kurpisz, M. Oxidative stress during the interaction of gametes. Bio reprod 49, 918-923 (1993).
- Aitken, R. & Clarkson, J. S. Significance of reactive oxygen species and antioxidants in defining the efficacy of sperm preparation techniques. J androl 9, 367–376 (1988).
- Zini, A., Finelli, A., Phang, D. & Jarvi, K. Influence of semen processing technique on human sperm DNA integrity. Urology 56, 1081–1084 (2000).
- Rappa, K. L. et al. Sperm processing for advanced reproductive technologies: Where are we today? Biotech adv doi:10.1016/j. biotechadv.2016.01.007 (2016).
- Guo, S., Wang, W., Ozkan, C. S. & Ozkan, M. Assembled graphene oxide and single-walled carbon nanotube ink for stable supercapacitors. J Mat Res 28, 918–926 (2013).
- 62. Guo, S. et al. In MRS Proceedings. mrss11-1326-f1309-1304 (Cambridge Univ Press).
- 63. Hummers, W. S. Jr & Offeman, R. E. Preparation of graphitic oxide. J American Chem Soc 80, 1339-1339 (1958).
- 64. Stankovich, S. *et al.* Synthesis of graphene-based nanosheets via chemical reduction of exfoliated graphite oxide. *Carbon* **45**, 1558–1565 (2007).
- 65. Wang, X. et al. Carbon nanotube–DNA nanoarchitectures and electronic functionality. Small 2, 1356–1365 (2006).
- Lu, J., Huang, Y. & Lu, N. WHO Laboratory Manual for the Examination and Processing of Human Semen: its applicability to andrology laboratories in China. *Nat J androl* 16, 867–871 (2010).
- 67. Esfandiari, N. *et al.* Effects of temperature on sperm motion characteristics and reactive oxygen species. *Int J fertil women med* **47**, 227–235 (2002).
- 68. Bray, J. H. & Maxwell, S. E. Multivariate analysis of variance. (Sage, 1985).
- 69. Iglewicz, B. & Hoaglin, D. C. How to detect and handle outliers. Vol. 16 (Asq Press, 1993).

Acknowledgements

The authors acknowledge research support from NSF CBET 1309933 and NIH R01EB015776. The authors would like to thank Thiruppathiraja Chinnasamy for his technical support in measuring size of nanoparticles.

Author Contributions

W.A., H.S., V.V. and U.D. designed the study and the experiments. W.A., V.V., V.R.S., A.R. and M.J. performed the experiments related to sperm viability, motility, ROS generation, and microfluidic sperm sorting. R.E.A.

performed the experiments related to nanoparticle size characterization. H.S., G.L.M. and R.M.A. helped designing and optimizing experimental protocols. F.I. helped in statistical analysis of results. S.G., M.O. and C.S.O. prepared and provided the carbon nanomaterials. All authors contributed in writing the paper, and U.D. supervised the research.

Additional Information

Supplementary information accompanies this paper at http://www.nature.com/srep

Competing financial interests: Yes there is potential competing interest. Dr. Utkan Demirci is a founder of, and has an equity interest in: (i) DxNow Inc., a company that is developing microfluidic and imaging technologies for point-of-care diagnostic solutions, and (ii) Koek Biotech, a company that is developing microfluidic IVF technologies for clinical solutions. U.D.'s interests were viewed and managed in accordance with the conflict of interest policies.

How to cite this article: Asghar, W. *et al.* Toxicology Study of Single-walled Carbon Nanotubes and Reduced Graphene Oxide in Human Sperm. *Sci. Rep.* **6**, 30270; doi: 10.1038/srep30270 (2016).

This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/

© The Author(s) 2016