# Pain modulation effect on motor cortex after optogenetic stimulation in shPKC $\gamma$ knockdown dorsal root ganglion-compressed Sprague-Dawley rat model

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Jaisan Islam<sup>1</sup>, Elina Kc<sup>1</sup>, Byeong Ho Oh<sup>2</sup>, Hyeong Cheol Moon<sup>1,3</sup>, and Young Seok Park<sup>1,2,3</sup>

# Abstract

Neuropathic pain can be generated by chronic compression of dorsal root ganglion (CCD). Stimulation of primary motor cortex can disrupt the nociceptive sensory signal at dorsal root ganglion level and reduce pain behaviors. But the mechanism behind it is still implicit. Protein kinase C gamma is known as an essential enzyme for the development of neuropathic pain, and specific inhibitor of protein kinase C gamma can disrupt the sensory signal and reduce pain behaviors. Optogenetic stimulation has been emerged as a new and promising conducive method for refractory neuropathic pain. The aim of this study was to provide evidence whether optical stimulation of primary motor cortex can modulate chronic neuropathic pain in CCD rat model. Animals were randomly divided into CCD group, sham group, and control group. Dorsal root ganglion-compressed neuropathic pain model was established in animals, and knocking down of protein kinase C gamma was also accomplished. Pain behavioral scores were significantly improved in the short hairpin Protein Kinase C gamma knockdown CCD animals during optic stimulation. Ventral posterolateral thalamic firing inhibition was also observed during light stimulation on motor cortex in CCD animal. We assessed alteration of pain behaviors in pre-light off, stimulation-light on, and post-light off state. In vivo extracellular recording of the ventral posterolateral thalamus, viral expression in the primary motor cortex, and protein kinase C gamma expression in dorsal root ganglion were investigated. So, optical cortico-thalamic inhibition by motor cortex stimulation can improve neuropathic pain behaviors in CCD animal, and knocking down of protein kinase C gamma plays a conducive role in the process. This study provides feasibility for in vivo optogenetic stimulation on primary motor cortex of dorsal root ganglion-initiated neuropathic pain.

## **Keywords**

Optogenetics, neuropathic pain, dorsal root ganglia, protein kinase C gamma, thalamus, motor cortex

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# Introduction

Chronic lower back pain with sciatica, hyperalgesia, and other tormenting conditions are common symptoms associated with many diseases of the lumbosacral spine and can result in functional disability along with chronic neuropathic pain.<sup>1–4</sup> The pharmacological intervention has been unsuccessful in improving neuropathic pain cases; moreover, sometimes it is accompanied by adverse <sup>1</sup>Department of Neuroscience, College of Medicine, Chungbuk National University, Republic of Korea

<sup>2</sup>Department of Neurosurgery, College of Medicine, Chungbuk National University, Chungbuk National University Hospital, Republic of Korea <sup>3</sup>Department of Neurosurgery, Gamma Knife Icon Center, Chungbuk National University Hospital, Republic of Korea

#### **Corresponding Author:**

Young Seok Park, Chungbuk National University Hospital, 776, I Sunhwanro, Seowon-gu, Cheongju-si, Chungbuk 28644, Korea. Email: youngseokparkmd@gmail.com

Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/enus/nam/open-access-at-sage). effects, whereupon understanding the implicit neuronal mechanisms have become a burning question.

The primary motor cortex (M1) plays a key role in the modulation of pain in various chronic pain syndromes. The use of chronic motor cortex stimulation (MCS) for treating medically intractable chronic pain disorders, including trigeminal neuropathic pain, central deafferentation pain, phantom limb pain, and pain caused by multiple sclerosis, spinal cord injury, and peripheral nerve injury, has been increased over the past couple of decades.<sup>5</sup> M1 stimulation is thought to beget alterations in other systems by the activation of cortico-striatal-thalamo-cortical loops, as well as influences both descending and ascending pain processing pathway.<sup>6,7</sup> However, it remains argumentative because few published articles exhibited negative results on modulation of cortico-thalamic pathway by MCS.<sup>8–11</sup>

Previous studies revealed that activation of protein kinase C gamma (PKC $\gamma$ ) in dorsal horn neurons occurs in a number of pain models, and the administration of PKC $\gamma$  inhibitors alleviates neuropathic pain which makes it a striking therapeutic target for many human ailments.<sup>12–16</sup> In the present study, we used short hairpin Protein Kinase C gamma (shPKC $\gamma$ ), which is a selective inhibitor of PKC $\gamma$ , to observe whether M1 stimulation in PKC $\gamma$  knockdown condition provides momentous pain reduction or not rather than PKC $\gamma$  active condition.

The advancement of optogenetic science strategies enables the precise temporal and spatial control of specific neural populations via the delivery of specific wavelengths of light following the introduction of genes encoding for light-sensitive transmembrane channels.<sup>17–19</sup> Multiple brain areas of different animal models have been studied using optogenetics to understand underlying interactions with chronic pain and its therapeutic options. Different studies have already proved that the optogenetic stimulation of brain structures impacts both physical and emotional aspects of pain which ensures the optogenetic approach in the control of pain perception as it provides higher temporal specificity compared to pharmacologic and electrical intervention.<sup>20–22</sup>

Therefore, we schemed our study to determine the effects of the M1 optogenetic stimulation in chronic compression of dorsal root ganglion (CCD) neuropathic pain rat model with PKC $\gamma$  knockdown and active state. We conducted behavior tests and electrophysiological methods to observe any alterations in the cortico-thalamic pathway resulted by optical stimulation of channelrhodopsin in motor cortex. Here, we hypothesized that MCS by optogenetic technique could exhibit better antinociceptive effect with PKC $\gamma$  knockdown state.

# Materials and methods

## Experimental animal and ethical review

A total of 36 adult female Sprague-Dawley rats (aged 8 weeks; Koatech, Pyeongtaek, Korea) weighing 200 to 250 g on arrival were housed having free access to ad libitum fresh food and water and maintained in a room with 12 hr of light and 12 hr of darkness per day, 50% to 60% humidity. We used female rats because among diverse traits of neuroscience, female rats are not more variable than male rats in neuroscience research. Some types of neuroscience tests may yield more precise, or less variable, data values, but this does not differ by sex. Females exhibited higher variability in the category of nonbrain measures. In addition, male rats often show dominating behavior to other cage mates and results in fighting.<sup>23</sup> Animal tests were conducted by randomized, double-blind, controlled animal trial. The animals were randomly divided into animal with CCD group (n = 16), sham group (n = 16), and control group (n = 4). The timeline of experimental protocol is shown in Figure 1(A).

We conducted all experiments in accordance with ethical review permitted by the Institutional Animal Care Committee and Animal Use of Chungbuk National University, Republic of Korea. We performed all animal experiments at Laboratory Animal Research Center, Chungbuk National University during light period.

## Behavior confirmation of hypersensitivity

Mechanical threshold and latency. The rats were accustomed to the behavior test room for a minimum of 30-min period. Baseline data test was performed one day before surgery, and then comparing behavior change data tests were done on every three days interval after surgery. The behavior test was performed based on a prior study relatto mechanical and thermal hypersensitivity. ed Mechanical hypersensitivity was assessed by measuring paw withdrawal threshold and latency to an increasing pressure stimulus placed onto the plantar area of the hind paw using a Dynamic Plantar Aesthesiometer (Ugo Basile, Varese, Italy). For mechanical threshold and latency measurement, the rats were placed individually in a transparent plexiglass chamber  $(20 \times 20 \times 14 \text{ cm})$ with a metal mesh floor. Calibration was performed by applying a metal filament (0.5 mm diameter) to the hind paw with increasing force from 0 to 50 g. When rats responded to the stimulation, they withdrew their hind paw from the mesh floor. The force intensity and latency appeared on the device's monitor.<sup>24</sup>

Thermal latency. The thermal latency (TL) was measured by conventional hot plate test. Rats were placed on a



**Figure I.** Experimental animal model and timeline: (A) experimental timeline, (B) schematic diagram of experimental animal model and optogenetic virus injection site, and (C) stainless steel rod insertion at L4 and L5 intervertebral foramen to induce DRG compression. DRG: dorsal root ganglion; CCD: chronic compression of DRG; PKCy: protein kinase C gamma.

temperature-controlled Peltier plate (Hot/Cold Plate, Ugo Basile, Varese, Italy) set at 50°C after 10 min of adaptation at 25°C, and the time taken to observe a nociceptive response (hind paw lick, flinch or jump) was recorded.<sup>24</sup>

All analyses were surveyed three times for each rat, and the mean value was taken for evaluation.

# Chronic compression of dorsal root ganglions

The CCD model making was performed by following previously instructed methods which has been used generally for neuropathic pain treatment and drug development studies.<sup>25–27</sup> Under general anesthesia induced by intraperitoneal (i.p.) injection of a mixture of 15 mg/kg Zoletil and 9 mg/kg Rompun in saline, the rats were mounted onto the surgical field in a prone position. The back skin was incised, and paraspinal muscles were separated from the mamillary process at the area of left lumber 4 to lumber 6 vertebrae to expose the L4 and L5 intervertebral foramens. To dispense chronic compression on the L4 and L5 dorsal root ganglions (DRGs) and nerve root, at first, an L-shaped needle was thrusted into the foramen and observed to detect a

slight, transient twitch of the ipsilateral hind leg which is the proof of reaching needle tip to DRG. After witnessing the twitch, needle was revoked from the foramen, and a sterilized stainless steel rod (0.7 mm diameter and 4 mm length) was inserted along the path of needle in the space of the fourth and fifth intervertebral foramen (Figure 1(C)). After completion of implanting rod, which was meant to generate a resolute compression, the musculature and skin were sutured with silk (3-0). A sham operation was also done in the same way just the stainless steel rods were not inserted. After surgery, the rats were given fresh pelleted food and water ad libitum and checked for the survival every day for at least one week.

# Optogenetic viral vector injection

Animals of the CCD group were randomly divided into two groups. Eight rats were subjected to optogenetic viral vector AAV-CaMKII-hChR2-EYFP (titer  $1 \times 10^{13}$  GC/ml, Korea Institute of Science and Technology, Seoul, South Korea), while the remaining eight rats were subjected to null virus AAV-CaMKII-EYFP (titer  $1 \times 10^{13}$  GC/ml, Korea Institute of Science and Technology, Seoul, South Korea) into M1 layer 5 region (anteroposterior (AP): 1 mm, mediolateral (ML): 1.5 mm, dorsoventral (DV): 1.5 mm),<sup>28-30</sup> by intracranial injection under general anesthesia. Animals of the sham group were also divided into two groups for injection of either the optogenetic virus or null virus. We injected adeno-associated virus carrying the ChR2-EYFP fusion protein under the control of an excitatory neuron-specific calcium/calmodulin-dependent protein kinase II (CaMKII) promoter in our study. Before injecting virus, the animals were anesthesized by an i.p. injection of a mixture of 15 mg/kg Zoletil (Zoletil50<sup>®</sup>, Virbac Laboratories, Carros, France) and 9 mg/kg Rompun (Rompun<sup>®</sup>, Bayer, Seoul, South Korea). Then,  $2\mu l$  of virus was injected at a rate of  $0.3\mu l/min$ using a Hamilton syringe and an automatic microsyringe pump. After injection, the needle was kept in the same place for 5 min to have the virus absorbed and then retracted in a very slow manner.

## shPKC $\gamma$ injection in L4 and L5 DRG

Three weeks after generation of the CCD model and virus injection, animals in each group (CCD-Opto, CCD-Null, Sham-Opto, and Sham-Null) were again divided into two sub-groups of four animals each. Animals in one group received an injection of shPKC $\gamma$  (1µg/µl, pFBAAVmu6-shPKCg1CMVeGFP, VVC, University of Iowa, USA) as a PKC $\gamma$  antagonist directly into ipsilateral L4 and L5 DRG by performing laminectomy procedure as described previously,<sup>31</sup> while animals in the other group were injected with shPKCsafe where PKC $\gamma$  remained in active state. Animals were laid down

in ventral recumbency under general anesthesia induced by i.p. injection of a mixture of 15 mg/kg Zoletil and 9 mg/kg Rompun in saline. Then, after exposing the L4 and L5 intervertebral foramens by cleaning the lateral aspect of the vertebrae with the help of blunt dissection, the transverse process of L4 and L5 along with the marginal laminar rim caudal to the L4 and L5 ganglion were removed using a small rongeur to expose DRG. Then,  $1 \,\mu$ l of shPKC $\gamma$  was injected in each DRG taking  $3 \,\mu$ min for each site. After giving the injection, the syringe should not be withdrawn for additional 3 min to allow pressure within the ganglion to equalize and minimize backflow. The musculature and skin were sutured after that. A sham operation was executed by following the same procedures but without injecting anything in DRGs.

# Optic fiber implantation

Two weeks after optogenetic virus inoculation, each rat was positioned in a stereotactic frame after anesthesized. An optic fiber was implanted into the skull at AP: 1 mm, ML: 1.5 mm to send a laser pulse to the hindlimb motor cortex contralateral to lesioned side. Optic fibers (200  $\mu$ m core, 230  $\mu$ m outer diameter, numerical aperture of 0.48, hard polymer cladding type, Doric Lenses; Québec City, Québec, Canada) were cut to a length of 1.4 mm to optimize M1 depth. Dental cement was used to fix the fiber firmly in place (Ortho-Jet Pound Package, Lang Dental, USA).



Figure 2. Optogenetic stimulation procedure: (A) complete setup of optic stimulation, (B) optic fiber implantation position, and (C) stimulation giving by blue laser on primary motor cortex area.

## Optical stimulation

We used a laser power supply with a wavelength of 473 nm (ADR-700D, Shanghai, China) and a waveform generator (Keysight 33511 b-CFG001, Keysight, Santa Rosa, CA, USA) to regulate the waveform and pulse width of the laser (Figure 2). The laser's intensity was set at 10 mW, the pulse width was set at 4 ms, the pulses were set at 20 Hz, and the duration of stimulation was 5 min. Mechanical and thermal test differences were observed on optogenetic virus-inoculated animals under optic stimulation (pre, stim, post) to determine the optical neuromodulation effects.

## In vivo extracellular recording

After 4 weeks of DRG compression, rats were anesthetized with 15 mg/kg tiletamine/zolazepam and 9 mg/kg xylazine to prepare for extracellular recordings. Extracellular recordings were obtained from the ventral posterolateral (VPL) (AP: 2.5 mm, ML: 3 mm, DV: 6.5 mm) using a single electrode. We recorded thalamic neuronal spikes and firing rate during the prestimulation, optical stimulation (blue-473 nm), and post-stimulation states after a 20-min resting state in vivo. A glass-insulated carbon fiber microelectrode (Cat. No.: E1011-20, Carbostar-1, Kation Scientific, LLC, MN 55414 USA) was used for recording in the thalamus. Duration of each stage was 5 min, and between two stages, there were 5 min of gap. We chose well-isolated clusters and recorded neuronal signals using a Digital Lynx SX (Neuralynx, Bozeman, USA) data-acquisition system along with Cheetah software. We digitized and bandpass filtered at 40 kHz and 1 Hz to 5 kHz, respectively. We sorted offline using Spike Sorter 3D (Neuralynx Inc., MT, USA). We observed neuronal discharge in the VPL thalamus of lesioned and sham animals. We analyzed the rate histograms (spikes/s) of the lesioned models under different optical condition with NeuroExplorer (Neuralynx Inc.).

#### Histological examinations

The rats were deeply anesthetized and transcardially perfused with phosphate buffered saline (PBS), followed by 4% paraformaldehyde. The brains and L4-L5 DRGs were extracted and fixed overnight in the same postfixed solution, followed by dehydration in 30% sucrose solution.

We embedded brains and DRGs in optimal cutting temperature compound (Tissue Tek<sup>®</sup> - Sakura, USA) and cryopreserved with liquid nitrogen and isopentane at  $-79^{\circ}$ C. Coronal sections of the brains and DRGs were cut by cryostat (Thermo Scientific, Waltham, MA, USA) with 20 µm and 10 µm thickness, respectively, and mounted on slides. The brain sections were incubated with 4',6-

diamidino-2-phenylindole (DAPI) and mounted with coverslips to examine under fluorescence microscope. Immunostaining of the DRG sections were done following procedure described before. The DRGs sections were incubated serum block solution for 1 hr and with anti-PKC gamma antibody (1:200, ab4145, Abcam) overnight. The corresponding secondary antibody was applied before the sections were stained with DAB (Vector Laboratory, CA, USA). Nuclei were counterstained with hematoxylin. Finally, the sections were dehydrated and mounted with coverslips and examined under microscope.

## Analysis of the bursting and firing rates

The activity in thalamic neurons was divided into bursts rates (bursts/s) and overall firing rates (spikes/s), according to the type of optical stimulation, using NeuroExplorer software (Neuralynx Inc.). Activity was assessed for 5 min in each state: pre, laser-on, and post states. We defined burst rates as a group of spikes with a maximum 4-ms interval between spikes and a minimum of three spikes, with a 100-ms interval between bursts. We selected similar interspike interval histograms and compared in all groups.

# Statistical analysis

Data were analyzed using GraphPad Prism 7 (GraphPad Software, Inc., San Diego, CA, USA), and data from the behavior test and the in vivo recordings were shown as mean  $\pm$  standard deviation (SD). We performed either an unpaired *t*-test, two-way analysis of variance (ANOVA) with Tukey's post hoc test, or a repeated measures test, depending on the conditions of the experiment. Behavioral tests were assessed based on the mean values for each of the three optical states. Unpaired *t*-tests were used to compare firing rate between trigeminal neuralgia model animals and sham-operated animals.

# Results

#### Pain behavior tests

Behavioral responses after CCD of the rat. Mechanical withdrawal threshold, latency, and TL were used to confirm changing of responses due to CCD by stainless steel rod. In all tests after surgery, a gradual reduction in threshold and latency indicator scores was exhibited by the CCD group over time in comparison with the sham group. Mechanical latency of ipsilateral hind paw of CCD group decreased from  $13.73 \pm 3.94$  to  $8.36 \pm 2.32$ , with a two-way ANOVA showing a significant difference after surgery, F(1, 180) = 78.58, p < 0.0001. Mechanical threshold of ipsilateral hind paw of CCD group decreased from  $27.61 \pm 7.86$  to  $16.62 \pm 4.59$ , with a two-way ANOVA showing a significant difference after surgery, F(1, 180) = 163.2, p < 0.0001. TL of DRGcompressed group also abated from  $22.15 \pm 5.65$  to  $10 \pm 3.29$ , with a two-way ANOVA also showing a significant difference after surgery, F(1, 180) = 55.06, p < 0.0001. There were significant successive differences between the ipsilateral and contralateral hind paw of CCD-grouped animals as well. An ANOVA with Tukey's post hoc test was used to determine whether there were differences between different groups and days (Figure 3(A) to (E)).

Alleviation of hyperalgesia by optic stimulation in PKCy knockdown animal. The optogenetic neuromodulation on motor cortex of PKCy knockdown and active animals was observed in mechanical and thermal test in three optical states (pre-stimulation, during stimulation, and post-stimulation) (Figure 4). CCD-Opto-shPKCygrouped animals with blue light stimulation on M1 resulted in significant alterations of behavior test scores. Mechanical latency of ipsilateral hind paw was increased during optic stimulation  $(15.67 \pm 1.1 \text{ at pre})$ state, 23.67  $\pm$  1.89 at blue laser "on" state, and 16.51  $\pm$ 1.93 at post state (mean  $\pm$  SD)). A two-way ANOVA showed significant effects of optic stimulation, F(2,24) = 91.91, p < 0.001 (Figure 4A(a)). Mechanical threshold of ipsilateral hind paw was also increased during optic stimulation  $(29.067 \pm 2.867 \text{ at pre state},$  $46.15 \pm 3.77$  at blue laser-on state, and  $33.317 \pm 2.183$ at post state, F(2, 24) = 104.7, p < 0.001, Figure 4B(a)). TL of CCD group showed increased score during stimulation (16.89  $\pm$  1.92 at pre state, 24.93  $\pm$  2.98 at blue laser "on" state, and  $17.85 \pm 2.12$  at post state, F(2,24) = 50.75, p < 0.01, Figure 4C(a)).

Less significant behavioral test score changes were also seen in animals of CCD-Opto-shPKCsafe group. Mechanical test score was found  $12.17 \pm 1.1$  at pre state,  $17.67 \pm 1.89$  at blue laser "on" state, and  $13.51 \pm$ 1.93 at post state. A two-way ANOVA showed significant effects of optic stimulation, F(2, 24) = 31.89, p < 0.05 (Figure 4A(e)). Mechanical threshold was found  $23.067 \pm 2.75$  at pre state,  $33.45 \pm 3.29$  at blue laser "on" state, and  $25.617 \pm 2.183$  at post state, F(2,24) = 35.43, p < 0.05 (Figure 4B(e)). TL of CCD group also showed test scores of  $11.88 \pm 1.37$  at pre state,  $16.33 \pm 1.9$  at blue laser-on state, and  $12.25 \pm 1.16$  at post state, F(2, 24) = 32.69, p < 0.05 (Figure 4C(e)).

All the other animal groups showed very less or no changes in behavior test results.

Our results here support that primary MCS abates mechanical and thermal hyperalgesia in chronic neuropathic pain condition.

## In vivo extracellular recording data

Changes of neural activity in the VPL of CCD animals during MCS by optogenetic virus. To confirm the neuronal activity, we monitored the firing rates of posterolateral thalamic neurons among CCD, sham, and control animals. Significantly higher firing rates were found in CCDlesioned rats  $(24.47 \pm 5.24 \text{ spikes/s})$  compared with those in sham animals  $(12.73 \pm 1.93 \text{ spikes/s}, \text{ unpaired})$ *t*-test, p < 0.001; Figure 5(A)). After three weeks of optogenetic virus injection, we assessed the effects of optical stimulation on M1 in the CCD-Opto and CCD-Null group by in vivo extracellular recording. Burst rates appeared to decrease during optic stimulation in CCD-Opto group. The thalamic burst rates were  $0.29 \pm 0.08/s$ at the pre state,  $0.11 \pm 0.04$ /s at blue laser "on" state, and  $0.23 \pm 0.10$ /s at post state of CCD-Opto-shPKCygrouped animals. A two-way ANOVA showed significant effects of optic stimulation, F(2, 3588) = 173.2, p < 0.05 (Figure 5(B)). Optical stimulation of CCD-Opto-shPKCsafe-grouped animals also showed slight reduction of burst rate, but it was not significant.

We found significant alterations in the neural action of VPL thalamus also depending on optogenetic stimulation in CCD-Opto group. We recorded the data in three stages: pre-stimulation stage, blue laser-on stage, and post-stimulation stage. Each stage was conducted for 5 min. In pre-stimulation, stimulation-on, and poststimulation condition of CCD-Opto-shPKCy-grouped animals, the spikes/s data were  $17.66 \pm 3.58$ ,  $10.38 \pm$ 3.71, and  $16.92 \pm 3.87$ , respectively. A two-way ANOVA showed significant effects of optic stimulation, F(2, 2691) = 491.1, p < 0.01 (Figure 5(C)). In case of CCD-Opto-shPKCsafe-grouped animals, spikes/s data were  $23.56 \pm 6.12$  at pre-stimulation state,  $18.81 \pm 3.04$ at stimulation-on state, and  $23.23 \pm 6.71$  at poststimulation state. A two-way ANOVA showed significant effects of optic stimulation, F(2, 2691) = 313.4, p < 0.05 (Figure 5(D)). In CCD-Null-shPKCy and CCD-Null-shPKCsafe group, optical stimulation did not show any effect on thalamic firing rate (Figure 5 (E) and (F)). In both CCD-Opto-shPKCy and CCD-Opto-shPKCsafe group, amplitude of average waveform got decreased during optical stimulation "ON" stage (Figure 5(G) and (H)), and responses of thalamic neurons showed inhibition of thalamic output in perievent raster histogram in optic stimulation "ON" state than stimulation "OFF" state.

These results depict that MCS attenuates thalamic discharge of CCD animals with ChR2 group, and as a result, the repetitive firing rate got decreased during stimulation with blue laser, and once the stimulation was withdrawn, thalamic firing rate get increased again. In other two CCD groups, there were no effect of blue laser on motor cortex area, and no distinctive



**Figure 3.** Behavioral pain responses to mechanical and thermal tests of CCD group and sham group. (A) Paw withdrawal latency in response to mechanical pain with a plantar aesthesiometer of ipsilateral and contralateral hind paw in CCD group. (B) Ipsilateral hind paw withdrawal latency between CCD group and sham group. (C) Paw withdrawal threshold of ipsilateral and contralateral hind paw in CCD group. (D) Ipsilateral hind paw withdrawal threshold between CCD group and sham group. (E) Hind paw thermal latency in hot plate test between DRG-compressed group and sham group. \*\*p < 0.01, \*\*\*p < 0.001 significant difference between the indicated values (ANOVA). DRG: dorsal root ganglion.



**Figure 4.** Alterations of hyperalgesia in animal groups with blue laser stimulation. (A) Paw withdrawal latency scores, (B) paw withdrawal threshold scores, and (C) thermal latency scores of all eight animal groups. Only CCD-Opto-shPKC $\gamma$  group (a) and CCD-Opto-shPKCsafe group (e) exhibited significant changes of behavioral scores with blue light "ON" state. In other animal groups, blue laser stimulation "ON" state did not have any significant alterations in behavioral response. \*p < 0.05; \*\*p < 0.01, \*\*\*p < 0.001 significant difference between the indicated values (ANOVA).

CCD: chronic compression of dorsal root ganglion; PKCy: protein kinase C gamma.

changes in the thalamic firing pattern were found among the three stages.

Confirmation of viral expression in the motor cortex and PKC $\gamma$ expression in DRG. The optogenetic target in this study was the layer 5 region of motor cortex, which was stereotaxically located using a rat atlas. We observed expression of opto virus and null virus in the contralateral motor cortex neurons of animals using immunofluorescence. Viral expression in DAPI and enhanced yellow fluorescent protein (EYFP) was obtained using a fluorescence microscope and ImageJ software (Figure 6). In shPKC $\gamma$ - and shPKCsafe-injected animals, absence of anti-PKC $\gamma$  antibody binding and presence of anti-PKC $\gamma$  antibody binding with PKC $\gamma$  within the DRG cells were seen respectively (Figure 7).

# Discussion

We showed that optical stimulation of layer 5 M1 with PKC $\gamma$  inhibition modulates VPL thalamic discharge aptly and has significant role in neuropathic pain behaviors alteration in CCD animal. As PKC $\gamma$  is an important element of CCD-induced pain, we used shPKC $\gamma$  and shPKCsafe along with MCS to inquire whether MCS

alone can provide effective analgesia or with another effective treatment manner it provides better improvement. We found that CCD-Opto-shPKC $\gamma$  group had shown more significant improvement than CCD-Opto-shPKCsafe group.

DRG is a known clinical target for the delivery of anti-inflammatory steroids, surgery, radio-frequency ablation, pulse-radio frequency, and electrical neuromodulation therapies.<sup>32–36</sup> DRG functions as a portal for spinothalamic projections of peripheral signals with or without adding an impulsive context to nociception.<sup>12,37</sup> Many experiments showed similar results that CCD induces chronic hypersensitivity because macrophages along with different small and large molecules can cross the satellite glial cell (SGC) overlay of DRG neuron that makes Schwann cells and SGCs to release proinflammatory mediators which influence nociceptors to lead to neuropathic pain.<sup>25,26,38–44</sup>

For having an ideal anatomical localization to transmit nociceptive stimuli, PKC $\gamma$  is a necessary secondary messenger and plays a key role in providing linkage between peripheral stimuli and ascending transmission neurons and thus induces neuropathic pain by various cellular processes.<sup>12,15,45–47</sup> Findings in PKC $\gamma$  inhibitor and knockout animal studies delineate the importance



**Figure 5.** Electrophysiology results of thalamic output by optic stimulation in motor cortex. (A) Evoked firing rates in the neurons of VPL thalamus of CCD animals compared to sham-operated animals. Unpaired *t*-test was used to compare between sham group and CCD group. (B) Burst firing rates of CCD-grouped animals following optical stimulation. Significant change was seen in only CCD-Opto-shPKC $\gamma$  group. Burst rates decreased in CCD-Opto-shPKCsafe group also, but it was not significant. (C, D) *In vivo* recording of CCD-Opto-shPKC $\gamma$ -grouped animals (C) and CCD-Opto-shPKCsafe-grouped animals (D) from the VPL thalamus. Firing output (spikes/s) declines under blue laser stimulation, which is higher in the pre- and post-light states. (E, F) No changes of firing rates in CCD-Null-shPKC $\gamma$  and CCD-Null-shPKCsafe group, respectively. Two-way ANOVA test was used to compare neuronal activity according to the optical stimulation. \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001 significant difference between the indicated values (ANOVA). (G) Average action potential waveform of VPL neuron of CCD-Opto-shPKC $\gamma$ -grouped animal. (H) Average action potential waveform of CCD-Opto-shPKCsafe-grouped animals (D) Perievent raster histogram of responses of CCD-Opto-shPKC $\gamma$ -grouped animal's VPL neurons. (I, J) Perievent raster histogram of responses of CCD-Opto-shPKC $\gamma$ -grouped animal's VPL neurons. (I, K) Increased firing response when there is no optical stimulation present. (J, L) During optical stimulation, VPL firing response got decreased. Bin size = 50 ms. CCD: chronic compression of dorsal root ganglion; PKC $\gamma$ : protein kinase C gamma.

for spinal PKC $\gamma$  in developing central sensitization, especially in inflammatory and neuropathic pain after nerve injury.<sup>16,46,48,49</sup> These results point out that with selective inhibitor of PKC $\gamma$ , it may be possible to attenuate neuropathic pain conditions that resulted from nerve injury, avoiding the vivid side effects that are imminent with nonselective inhibitors of PKC $\gamma$  which had been used in several preceding studies.<sup>48,50</sup> In our study, we injected shPKC $\gamma$  in the DRG to inhibit PKC $\gamma$  expression, and behavioral test scores were less in the shPKC $\gamma$ -



Figure 5. Continued.

injected animals than the results found in shPKCsafe group.

MCS is comparatively a promising neurosurgical technique because of the low perpetration of complication, the lower propensity to cause seizures, and ability to apply it noninvasively as the stimulation electrodes or fibers for MCS are placed in epidural space.<sup>51–56</sup> Stimulating other structures including the internal capsule, the periaqueductal gray (PAG)-periventricular gray complex, the thalamus, etc. were tried for altering chronic neuropathic nociceptive signals, but MCS has been found simpler and easier to implement than other methods such as direct nerve stimulation, neurectomy, etc. and more effective since its first introduction.<sup>28,57</sup> For several cortical areas including motor cortex, layer-specific patterns of local circuit connectivity are well established. By understanding neural circuitry involving in the modulation of distant neural structures by M1 and its connection with brain behaviors, motor cortex can be used as a potent marker to lead neuromodulatory therapeutic options.<sup>58,59</sup> Motor cortex consists of six layers, and each layer has distinct role in cerebral function. Among them, layer 2/3 axons usually send excitatory inputs to the layer 5 and layer 5 pyramidal neurons of motor cortex project into different regions. Among them, two projection classes which are important for motor control are the cortico-spinal and cortico-striatal neurons, which means corticostriatal fibers originate from layer 5 cells of motor cortex, and these pyramidal neurons project into striatum.<sup>60,61</sup> Hence, after being excited, layer 5 MCS appears to trigger the striatum which in turn causes rapid and phasic alteration in the lateral thalamus by gamma-Aminobutyric acid producing (GABAergic) neurons, which is then followed by a cascade of events of longer time-course in medial thalamus, PAG matter, and anterior cingulate/orbitofrontal



**Figure 6.** Immunofluorescence results confirmed viral expression in primary motor cortex area (A–L). The low-magnification figures (A to C) and higher magnification figures (D to F) showed the optogenetic virus-infected and DAPI-stained neurons in the motor cortex region. (G to I) and (J to L), respectively, showed the low-magnification and higher magnification of histological section of null virus-infected and DAPI-stained neurons in the motor cortex region. (A, D, G, J) EYFP, (B, E, H, K) DAPI, and (C, F, I, L) Merge. (A, B, C, G, H, I) Scale bar = 200 µm. (D, E, F, J, K, L) Scale bar = 100 µm.

cortices.<sup>62,63</sup> This focus on M1 neuromodulation will be helpful for focusing on other fundamental studies in different types of pain.<sup>64–68</sup>

We used optogenetics technology to stimulate M1 for producing circuit-specific neuromodulation to regulate neuronal activities by overexpressing light-sensitive proteins (opsins) in M1 layer 5 cells.<sup>60</sup> Optogenetic stimulation was accomplished by using viral vectors that infect only definite neuron types through cell type-specific promoter CaMKIIa, which will localize optogenetic proteins to excitatory neurons.<sup>22,69</sup> To rein cellular activity with high spatiotemporal resolution, optogenetics is consisted of heterologous expression of photosensitive actuators such as channelrhodopsin2 (ChR2).<sup>70</sup> ChR2 allows proper quantitative coupling between optical excitation and neuronal activation.<sup>71,72</sup> Since ChR2 is genetically targetable, its expression is used as a powerful tool to increase cytoplasmic Ca2+ concentration or to depolarize the cell membrane.<sup>73,74</sup> These channels open when activated by blue light ( $\sim$ 473 nm) and are used to induce neuronal excitation.<sup>4,75</sup> CaMKII is a glutamatergic, neuron-specific promoter to drive ChR2 expression. In this manner, ChR2 expression is specific to the excitatory CaMKII $\alpha$ -expressing cortical neuron population. As a result, the optical neural interface selectively actuates excitatory cortical neurons.

M1 area is the center for planning and executing movement activity with other motor areas, and through the cortico-spinal tract, it projects to internal capsule and spinal cord.<sup>57,76</sup> Present hypotheses point out that MCS may function through two mechanisms: descending pathway and ascending pathway.<sup>28,77</sup> In descending pathway, MCS simultaneously affects the PAG by increasing the activity of zona incerta to cause the PAG to release high amount of serotonin, which is a known descending pathway, glutamate secretion from PAG excites GABAergic interneurons in lamina II of the dorsal horn. It increases the release of GABA on the second-order neurons to hyperpolarize them, thereby



**Figure 7.** Immunohistochemistry results of DRG cells of CCD animals. (A, B) DRG cells of shPKC $\gamma$ -injected animals showing no bindings with anti-PKC $\gamma$  antibody as shPKC $\gamma$  inhibits the activation of PKC $\gamma$  within DRG cells. (C, D) DRG cells of shPKCsafe-injected animals showing bindings (arrow bars) anti-PKC $\gamma$  antibody with activated PKC $\gamma$  within DRG cells. (A, C) Scale bar = 20  $\mu$ m. (B, D) Scale bar = 50  $\mu$ m.

inhibiting them from sending nociceptive stimuli.<sup>12</sup> Behavior test results of our study also showed improvement from hypersensitivity after MCS which remain in accordance with our expectation.

Electrophysiology results showed that CCD-grouped animals produce long periods of repetitive firing in thalamus due to painful responses of CCD similar to that found in peripheral injury models.<sup>83–85</sup> After the dorsal root entrance of nociceptors, central nociceptive terminals amalgam to second-order neurons mainly placed in lamina II (pure nociceptive) and lamina V (mixed nociceptive and mechanosensory). The main neurotransmitters involved in these first relays are glutamate, but also substance p, acting as a co-transmitter in peptidergic nociceptors, is valuable to experience mild to intense pain.<sup>86</sup> The thermal and nociceptive information reach thalamus via spinothalamic tract from the second-order neuron. Therefore, thalamus gets somatosensory input, and as a result, neuronal activity in the VPL drastically increased by painful stimulation.<sup>1,87</sup>

Optical stimulation of ChR2-transfected layer 5 pyramidal neurons in M1 inhibits the sensory inputs in spinothalamic tract and modulates cortico-striatal neural circuitry, hence reduces abnormal thalamic firing. The overall effect of MCS in VPL neurons is inhibitory. Our data corroborate with these findings, and as anatomic connections between motor cortex and ipsilateral thalamus are very robust, thalamic burst rate also get decreased while ChR2 excites cortical neurons of motor cortex on blue light infliction. Several studies found similar result of VPL thalamic firing rate alteration after MCS.<sup>76,88</sup>

In our study, we did not examine the effects of other cortical structure's stimulation. The anesthetic condition could have influenced on spontaneous thalamic discharge in our study. However, we avoided the effect of stimulation with von Frey filaments. We performed electrophysiological study in the brain to monitor VPL thalamic activity in response to pain but not in the DRG. Future studies could utilize the novel technique to determine different dopaminergic neuron's distinct roles in MCS-induced pain modulation. Those studies will provide experimental evidence to demonstrate the role of endogenous dopamine system in MCSproduced analgesia.

Our study suggests optical stimulation of M1 layer 5 cortico-spinal and cortico-striatal neural circuitries can improve neuropathic pain behavior in CCD rat model. Here, behavioral tests and electrophysiological studies were combined to define the role of the PKC $\gamma$ , motor cortex, VPL thalamus, and DRG in pain that goes way

beyond motor functioning. Also, we need to elaborate our knowledge about this specific area and its cortico– cortico and cortico–subcortico interactions, and how it can modulate different bottom-up (such as median nerve stimulation) or top-down (such as TMS or tDCS) interventions or vice versa.

## **Author Contributions**

JI and YSP were responsible for the study concept and design. JI was responsible for the animal experiment and surgery. JI and EK were responsible for the electrophysiology, data analysis, and immunostaining. JI and YSP wrote the manuscript. BHO, HCM, and YSP were responsible for data interpretation and manuscript review. All authors approve the final version of the manuscript.

# **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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### **ORCID** iD

Young Seok Park D https://orcid.org/0000-0001-7685-6292

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