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ATM Damage Response and XLF Repair Factor are Functionally Redundant In Joining DNA Breaks

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Abstract

Classical non-homologous DNA end-joining (C-NHEJ) is a major mammalian DNA double strand break (DSB) repair pathway. Deficiencies for C-NHEJ factors, such as XRCC4, abrogate lymphocyte development, owing to a strict requirement for C-NHEJ to join V(D)J recombination DSB intermediates^{1,2}. The XRCC4-like factor (XLF) is mutated in certain immunodeficient human patients and has been implicated in C-NHEJ^{3,4,5,6}. Yet, XLF-deficient mice have relatively normal lymphocyte development and their lymphocytes support normal V(D)J recombination⁵. The Ataxia Telangiectasia-Mutated protein ("ATM") detects DSBs and activates DSB responses by phosphorylating substrates including histone H2AX⁷. However, ATM-deficiency causes only modest V(D)J recombination and lymphocyte developmental defects, and H2AX-deficiency does not measurably impact these processes^{7,8,9}. Here, we show that XLF, ATM, and H2AX all have fundamental roles in processing and joining ends during V(D)J recombination; but that these roles have been masked by unanticipated functional redundancies. Thus, combined ATM/XLFdeficiency nearly blocks mouse lymphocyte development due inability to process and join chromosomal V(D)J recombination DSB intermediates. Combined XLF and ATM deficiency also severely impairs C-NHEJ, but not alternative end-joining, during IgH class switch recombination. Redundant ATM and XLF functions in C-NHEJ are mediated via ATM kinase activity and are not required for extra-chromosomal V(D)J recombination, suggesting a role for chromatin-associated ATM substrates. Correspondingly, conditional H2AX inactivation in XLF-deficient pro-B lines leads to V(D)J recombination defects associated with marked degradation of unjoined V(D)J ends, revealing that H2AX indeed has a role in this process.

Assembly of immunoglobulin (Ig) and T cell receptor variable region exons is initiated by the RAG1/2 endonuclease ("RAG"), which generates DNA DSBs between a pair of

AUTHOR CONTRIBUTIONS

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participating V, D, or J coding segments and flanking recombination signal sequences $(RSs)^{10}$. V(D)J recombination is completed via joining, respectively, of the two coding segments and two RSs by C-NHEJ². While XLF-deficient (XLF $^{/}$) ES cells and mouse embryonic fibroblasts are impaired for V(D)J recombination of extra-chromosomal substrates⁵, XLF $^{/}$ mice are only modestly impaired for lymphocyte development and XLF $^{/}$ pro-B lines, while IR-sensitive, perform nearly normal V(D)J recombination⁵. Thus, unknown factors may compensate for XLF V(D)J recombination functions in developing lymphocytes⁵. Among the candidates, we considered ATM, which is activated by RAG-generated DSBs^{7,8,11}. To elucidate whether ATM has an overlapping V(D)J joining function with XLF, we bred XLF $^{/}$ mice⁵ with ATM-deficient (ATM^{-/-})¹² mice to generate XLF $^{/}$ ATM^{-/-} mice. XLF $^{/}$ ATM^{-/-} mice were live born but were significantly smaller than control littermates (Sup. Fig. 1).

XLF $^{/}$ and ATM $^{-/-}$ mice had only a modest (2–3 fold) reduction in thymocyte numbers and no gross alterations in thymocyte development as revealed by staining for the CD4 and CD8 differentiation markers (Fig. 1A,B, Sup. Tab. 1). In contrast, XLF / ATM^{-/-} mice had a greater than 20-fold decrease in thymocyte numbers, to levels nearly as low as those of RAG2^{-/-} mice, with an overall developmental pattern reminiscent of that of certain C-NHEJ deficient mice with a "leaky" V(D)J recombination block². B cell development also was relatively unimpaired in XLF- and ATM-deficient mice with both having only modestly reduced (2-3 fold) B220⁺IgM⁺ splenic B cell numbers (Fig. 1A,C, Sup. Tab. 1)⁵, ¹². In contrast, XLF / ATM^{-/-} mice had extremely low splenic B cell numbers (Fig. 1A,C, Sup. Tab. 1). Analyses of bone marrow B cell development in XLF / ATM^{-/-} mice suggested an impairment at the CD43⁺B220⁺ progenitor (pro-) B cell stage in which V(D)J recombination is initiated, as evidenced by the near absence of B220⁺CD43⁻ precursor B cells (Fig. 1A). To further test whether impaired B cell development in XLF / ATM^{-/-} mice involved a V(D)J recombination defect, we bred IgH and IgL loci that contained knock-in mutations of preassembled IgH and IgL variable region exons (referred to as "HL")¹³ into the XLF / ATM^{-/-} background and found a significant rescue of B, but not T, cell development (Fig. 1A,B,C and Sup. Tab. 1). Together, these findings suggest that XLF/ATM doubledeficiency severely impairs T and B cell development by impairing V(D)J recombination.

To unequivocally test for V(D)J recombination end-joining defects, we generated *v-abl* transformed pro-B cell lines from WT, XLF [/], ATM^{-/-}, and XLF [/] ATM^{-/-} mice that carried bcl-2 transgenes⁸. Treatment of *v-abl* transformed pro-B lines with STI571, a *v-abl* kinase inhibitor, arrests cells in G1 and induces RAG, leading to efficient V(D)J recombination of integrated substrates in WT cells⁸. The bcl-2 transgene obviates apoptotic effects of STI571⁸. We generated multiple pro-B lines from each genotype that harbored, respectively, either a V(D)J recombination substrate designed to assay coding joins (CJs) and unjoined coding ends (CEs) (Fig. 2A) or a substrate designed to assay RS joins, (SJs), and unjoined RS ends (SEs) (Fig. 2B). For these experiments, DNA from individual lines was prepared at day 0 (before treatment), day 2, and day 4 of STI571 treatment, digested with restriction endonucleases and assayed for hybridization to indicated probes (Fig. 2C,D). WT and XLF [/] lines generated substantial CJ and SJ levels at day 2 and 4 with little or no obvious free CEs, indicative of a C-NHEJ defect (Fig. 2C,D). ATM^{-/-} lines also generated

substantial levels of CJs and SJs; but, consistent with prior studies⁸, also generated a modest level of unjoined CEs at day 2 that appeared partially resolved by day 4 (Fig. 2C). However, there was no obvious RS joining defect in the $ATM^{-/-}$ lines (Fig. 2D). In contrast, XLF [/] ATM^{-/-} lines had little accumulation of CJs or SJs at either time point and, instead, accumulated unjoined CEs and SEs, respectively (Fig. 2C,D).

We also tested for V(D)J recombination defects with a substrate that activates a GFP gene upon inversional V(D)J recombination and which, via Southern blotting, reveals CJs, hybrid joins (aberrant joins in which an RS is fused to a coding end), and free CEs (Fig 2E,F). We clonally integrated a single copy inversional V(D)J substrate into XLF / pro-B lines that were also homozygous for a conditional KO ATM allele (ATM^{C/C})¹² and then deleted floxed ATM^{C/C} sequences via Cre recombinase to generate XLF / ATM^{-/-} lines with the same substrate integration. Thus, these matched sets of lines allow assay of a given integrated substrate in XLF / pro-B lines before and after elimination of ATM. We treated inversional substrate containing WT, ATM^{-/-}, XLF / ATM^{C/C}, XLF / ATM^{-/-} and XRCC4^{-/-} lines with STI571 and assayed for V(D)J recombination both by GFP expression(Sup. Fig. 2) and Southern blotting (Fig. 2F). Both assays confirmed the severe V(D)J recombination defect in XLF / ATM^{-/-} pro-B lines and Southern blotting confirmed severely defective end-joining, as revealed by a dramatic decrease in CJs and a dramatic increase in unjoined CEs(Fig. 2F). The severity of the inversional V(D)J joining defect in XLF / ATM^{-/-} pro-B lines was similar to that of XRCC4-deficient pro-B lines (Fig. 2F and Sup. Fig. 2). XLF / pro-B lines treated with an ATM kinase inhibitor also showed a severe end-joining defect during V(D)J recombination, indicating that the ATM-mediated V(D)J joining activity revealed in XLF-deficient lines is mediated via ATM kinase activity(Fig. 2F). Finally, STI571-treated XLF / ATM^{-/-} pro-B lines accumulated unrepaired V(D)J recombination-associated breaks within their endogenous Igk locus, similar to those observed in Artemis^{-/-} pro-B lines, confirming that the V(D)J recombination defect associated with combined XLF and ATM deficiency extends to this endogenous Ig locus (Sup. Fig. 3).

To further characterize the V(D)J recombination defect in XLF $^{/}$ ATM^{-/-}, versus WT, XLF $^{/}$, ATM^{-/-} and XRCC4^{-/-} pro-B lines, we assayed for V(D)J recombination on transiently introduced extrachromosomal substrates¹⁴. As this assay is semi-quantitative, within perhaps a 5-fold range, and is best for revealing profound defects, we performed at least 4 independent assays for each genotype (Sup. Table 1). As expected²,⁵, transient coding and RS joining activity for XRCC4-deficient cells was more than 50-fold less than that of WT; while coding and RS joining activity for XLF $^{/}$ and ATM^{-/-} cells approached the WT range (Sup. Table 2). Surprisingly, the range of coding and RS joining activity of XLF $^{/}$ ATM^{-/-} pro-B lines, while potentially modestly decreased, overlapped that of WT and single mutant cells (Sup. Table 2). Thus, in contrast to severe defects in chromosomal V(D)J recombination, XLF/ATM double mutant pro-B lines lack severe defects in extrachromosomal V(D)J recombination.

The chromosomal V(D)J joining defect in XLF $^{/}$ ATM^{-/-} pro-B lines can be attributed to impaired C-NHEJ, as V(D)J recombination exclusively employs this pathway¹⁵. However, the question remains as to whether XLF $^{/}$ ATM^{-/-} cells have more general C-NHEJ defects

and whether they are impaired for other forms of end-joining. IgH class switch recombination (CSR) involves introduction of DSBs into switch (S) region upstream of the Cu constant region exons and their joining to DSBs within a downstream S region resulting in IgH CSR¹⁶. While C-NHEJ is a major CSR joining pathway, CSR occurs at reduced levels in C-NHEJ deficient cells via alternative end-joining (A-EJ)¹³. To assay CSR, we activated WT, XLF / , ATM^{-/-}, and XLF / ATM^{-/-}HL B cells for four days with anti-CD40 and interleukin 4 (IL-4) to stimulate CSR to IgG1. As expected⁵,¹⁷,¹⁸,¹⁹,²⁰, XLF / and ATM^{-/-} B cells switched to IgG1 at about 40% of WT levels⁵, ¹⁷, ¹⁸, ¹⁹, ²⁰. Moreover, XLF / ATM^{-/-}HL B also showed substantial residual IgG1 CSR that was on average about 25% of WT levels (Fig. 3A,B; Sup Fig 4). To gain further insight into involved joining pathways, we sequenced the S μ to S γ 1 junctions. C-NHEJ generates CSR junctions with no microhomology (e.g. direct joins) and junctions with short (1-2bp) microhomologies¹³; whereas A-EJ generates CSR junctions that predominantly contain microhomologies¹³. As expected, about 40% of WT junctions were direct¹³; while only about 22% and 13%, respectively, of ATM^{-/-} and XLF / junctions were direct⁵,²⁰, suggesting some C-NHEJ impairment in these mutant B cells (Fig. 3C, Sup. Fig. 4). However, only about 5% of XLF / ATM^{-/-} CSR joins were direct (Fig. 3C, Sup. Fig. 4), consistent with most of their residual CSR being carried out by A-EJ. These results suggest that combined XLF and ATM deficiency impairs general C-NHEJ during CSR, but does not substantially impair A-EJ.

Our findings that the overlapping ATM function with XLF involves ATM kinase activity and is required for chromosomal versus extra-chromosomal V(D)J joining suggest this function involves ATM substrates. In response to DSBs, ATM phosphorylates H2AX⁷. However, H2AX-deficiency is not known to detectably impact V(D)J recombination⁹,²¹. To test for overlapping H2AX and XLF functions, we inter-crossed XLF [/] mice that were heterozygous for an inactivating mutation of H2AX²² (H2AX^{+/-}). Surprisingly, these crosses yielded no XLF [/] H2AX^{-/-} pups, with embryonic death of double homozygous mutants occurring before embryonic day 13.5 (Table 1). The finding that combined XLFand H2AX-deficiency, but not combined XLF- and ATM-deficiency, is embryonic lethal might have several explanations. One is that the lethality results from ATM-independent S phase functions of H2AX. Another is that impaired checkpoint functions associated with ATM deficiency rescue downstream effects of C-NHEJ deficiency that, otherwise, could result in embryonic death²³.

To determine whether XLF and H2AX have overlapping V(D)J recombination functions, we generated XLF / mice that were homozygous for a LoxP-flanked H2AX allele (H2AX^{F/F})²¹,²². From these mice, we generated *v-abl* transformed XLF / H2AX^{F/F} pro-B lines containing an integrated single copy inversional V(D)J recombination substrate (Fig. 4A.B, Sup. Fig. 5). We then used Cre recombinase to generate matched sets of XLF / H2AX^{F/F} and XLF / H2AX^{-/-} lines, which were treated with STI571 and assayed for V(D)J recombination via GFP expression (Sup. Fig. 5). In six matched sets, each with a different substrate integration, H2AX deletion reduced, but did not eliminate, V(D)J recombination (Fig. 4A.B, Sup. Fig. 5), suggesting that XLF and H2AX also have overlapping V(D)J recombination activities, but not to the same extent as XLF and ATM. We also assayed for CJs, hybrid joins, and unjoined CEs by Southern blotting in 3 matched

sets of XLF $^{/}$ H2AX^{F/F} and XLF $^{/}$ H2AX^{-/-} pro-B lines (Fig. 4A.B, Sup. Fig. 4B). XLF $^{/}$ H2AX^{F/F} lines behaved like WT or XLF $^{/}$ lines, as they robustly generated CJs but not hybrid joins or unjoined CEs (Fig. 4A.B, Sup. Fig. 5). However, in accord with GFP assays, XLF $^{/}$ H2AX^{-/-} pro-B lines had substantially reduced CJs compared to XLF $^{/}$ H2AX^{F/F} parents; but, surprisingly did not show readily detectable unjoined CEs (Fig. 4A, B, Sup. Fig. 5).

Recent studies found that H2AX protects unjoined coding ends from ATM kinase and CtIP dependent resection²⁴. To test whether reduced V(D)J recombination in XLF $^{/}$ H2AX $^{-/-}$ pro-B lines resulted from reduced RAG cleavage or reduced joining of RAG cleaved ends coupled with end-resection, we performed V(D)J joining assays in XLF / H2AX^{F/F} and XLF / H2AX^{-/-} pro-B lines treated with ATM kinase inhibitor (Fig. 4A.B; Sup. Fig. 5). ATM inhibitor treatment of the XLF / H2AX^{F/F} lines reproduced the phenotype of XLF / ATM^{-/-} lines, including severely reduced CJs and the accumulation of unjoined CEs (Fig. 2F; Fig. 4A.B; Sup. Fig. 5). However, the ATM inhibitor-treated XLF / H2AX^{-/-} lines now yielded a clear band of unjoined CEs associated with a CE smear below the band that is characteristic of aberrant end resection (Fig. 4A.B; Sup. Fig. 5)²⁴. To further examine this phenomenon, we used a sensitive TdT end labeling assay²⁴, which indeed revealed unjoined coding ends in STI571 treated XLF / H2AX^{-/-} pro-B lines without ATM inhibitor treatment (Sup. Fig. 6). These results demonstrate that XLF and H2AX have overlapping activities in V(D)J recombinational joining and also indicate that the unioined V(D)J CEs in XLF / H2AX^{-/-} pro-B lines are largely resected in the absence of H2AX. As we did not find complete restoration of the unjoined coding ends in ATM kinase inhibitor treated XLF / H2AX^{-/-} pro-B lines, as was observed in ATM inhibitor-treated C-NHEJ-deficient cells that also are H2AX deficient²⁴, XLF might also have an overlapping function with H2AX in end-protection.

We consistently observed a lower level of TdT-labeled CEs in XLF / versus XLF / H2AX^{-/-} pro-B lines following STI-induction in the presence of ATM inhibitor, even though the latter have substantially higher levels of unjoined CEs (Fig. 4; Sup. Fig. 5 and 6). This finding suggested that unjoined CEs in ATM-inhibitor treated XLF / pro-B lines could be blocked from TdT activity. We employed urea denaturing gel electrophoresis to test for a defect in opening coding-end hair-pins and found ATM inhibitor-treated XLF / pro-B lines, like Artemis^{-/-} but not XRCC4^{-/-} lines, indeed accumulated unopened hair-pin CEs (Sup. Fig. 7). Given the overlapping functions of the ATM kinase and DNA-PK in CSR^{25} , plus the role of DNA-PK in activating Artemis to cleave hair-pin coding ends², it seemed possible that dual deficiency for ATM and XLF leads to a DNA-PK defect. However, measurements of ionizing-radiation induced phosphorylation of H2AX and KAP-1, both ATM and DNA-PK substrates²⁵, in the presence or absence of DNA-PK inhibitors, revealed DNA-PK kinase activity to be as active in XLF / ATM^{-/-} cells as in WT or $ATM^{-/-}$ cells (Sup. Fig. 8). However, we cannot rule out the possibility that XLF / ATM^{-/-} cells have a specific defect in DNA-PK activity in the context of V(D)J recombination joining that overlaps with ATM/XLF functions or that a DNA-PK defect in this context could represent a more general defect, for example in the broader recruitment of C-NHEJ factors. In the latter context, we note that the V(D)J recombination defect in

XLF $^{/}$ ATM $^{-/-}$ pro-B lines is not just in hair-pin opening, since we also observe a severe defect in RS joining in these cells (Fig. 2).

ATM, XLF, and H2AX previously have been found to have, at most, modest roles in V(D)J recombination and, by extension, C-NHEJ ⁵, ⁷, ⁸, ⁹. Surprisingly, we now find that dual deficiency for XLF and ATM impacts V(D)J recombination in progenitor lymphocytes and IgH CSR in mature B cells similarly to deficiency for bona fide C-NHEJ factors. We conclude that XLF-deficient cells require ATM, and that ATM-deficient cells require XLF, to carry out C-NHEJ but not A-EJ. These findings further indicate that XLF-deficient cells provide a novel system for elucidating major, previously unappreciated roles of ATM and ATM substrates in C-NHEJ and vice versa. Indeed, our findings already suggest that XLF and ATM, via phosphorylation of its substrates, share overlapping functions primarily in the context of chromosomal C-NHEJ. We have also shown that the V(D)J recombination defects in XLF/ATM and XLF/H2AX deficient pro-B lines are not identical either in extent or in outcome. Thus, it remains possible that additional ATM substrates, besides H2AX, also may overlap functionally with XLF. XLF might directly influence the same processes as ATM or H2AX, including end processing and end resection, respectively. Alternatively, overlapping functions might be mediated indirectly through distinct processes. For example, XLF may influence reaction kinetics by C-NHEJ factor recruitment²⁶; while ATM and ATM-substrates appear to tether chromosomal ends for joining⁷,⁸, two distinct functions that theoretically could be redundant with respect to effects on overall joining activities.

METHODS

Mice

 $XLF^{+/}$, $ATM^{+/-}$, $ATM^{+/C}$, $H2AX^{+/-}$ and $H2AX^{+/F}$ and "HL" mice have been described ⁵, ¹², ²¹, ²². All HL mice were heterozygous for both *IgH* and *IgL* knockin alleles.

Chromosomal V(D)J recombination assays

V(D)J recombination with an integrated substrate was carried out as described⁸. Briefly, *vabl* transformed pro-B-cell lines were isolated from various mouse lines that harbored an Eµ-Bcl2 transgene. For XRCC4-deficient *v*-*abl* transformants, the Eµ-Bcl2 transgene was introduced after establishment of the line⁵. The pro-B lines were infected with the pMX-INV, pMX-DelCJ or pMX-DelSJ retroviral vector and assayed for V(D)J recombination as described⁵,⁸. ATM inhibitor Ku55933 (Cat.No.118500 from EMD Biosciences) was used as a final concentration of 15µM as described⁸.

Lymphocyte Development and Class Switch Recombination

Lymphocyte populations were analyzed by flow cytometry as described⁵. Isolation and activation of splenic B cells and flow cytometric assays were as described¹³. S μ -S γ 1 junctions were isolated from day 4 anti-CD40 plus IL-4 stimulated B cells, cloned and sequenced as described¹³.

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Figure 1. ATM and XLF have redundant functions in lymphocyte development

(A) Representative flow cytometric analyses of bone marrow, spleen and thymus from WT, XLF $^{/}$, ATM^{-/-}, Rag2^{-/-}, XLF $^{/}$ ATM^{-/-} and XLF $^{/}$ ATM^{-/-}HL mice (see Method for further description of mouse lines). Numbers on the plot are percentage of total cells represented by indicated population. (B) Total thymocyte, DP thymocyte and (C) IgM⁺ splenic B cell numbers. Each value listed represents the average \pm standard deviation from at least three mice between 4 to 12 weeks of age. Details are found in Supp. Tab.1.



Figure 2. ATM and XLF have redundant functions in chromosomal V(D)J recombination Schematic of pMX-DEL-CJ (A), pMX-DEL-SJ (B) and pMX-INV (E) retroviral recombination substrates designed to assay CJ, SJ, and inversional V(D)J recombination, respectively⁸. Diagrams indicate un-rearranged substrate (UR), coding/signal end (CE/SE) intermediates and coding/signal joints (CJ/SJ). The 12-RS (open triangle), GFP coding sequence, 23-RS (filled triangle), IRES-truncated hCD4 cDNA (I-hCD4) and LTRs are indicated. Positions of EcoRV (EV) sites, NcoI (N) sites and C4 probe (black bar) are shown. (C and D) Southern blotting with C4 probe of EcoRV-digested DNA from STI571 treated (2 or 4 days) v-abl pro-B lines containing pMX-DEL-CJ (C) or pMX-DEL-SJ (D) substrates. Results were obtained from cell pools with diverse substrate integrations. Similar results were obtained with single integration clones (not shown). Bands reflecting pMX-DEL-CJ UR, CE, CJ (panel C) and pMX-DEL-SJ UR, SE, SJ (panel D) are indicated. (F) Southern blot with C4 probe of EcoRV-NcoI digested (upper panel) or EcoRV digested (lower panel) DNA from indicated lines containing a single pMX-INV substrate. The XLF / ATM^{C/C} and the XLF / ATM^{-/-} lines have identical integrations. See legend to Supp. Fig. 5 for detailed methods.

Zha et al.



Figure 3. ATM and XLF synergize in C-NHEJ during CSR

(A) Representative flow cytometric analysis of (at least 3 independent experiments for each genotype) purified CD43⁻ splenocytes from of indicated mice stained for surface B220 and surface IgG1 following a four-day stimulation with anti-CD40 and IL-4. Additional experiments are in Supp. Fig. 3. (B) Summary of IgG1 CSR levels of purified CD43⁻ splenocytes after 4 days of anti-CD40 plus IL-4 stimulation. The y-axis shows the average percentage of IgG1⁺ cells determined from multiple experiments with cells from WT (n=5), XLF [/] (n=5), ATM^{-/-}(n=5), and XLF [/] ATM^{-/-}HL(n=8) mice. Error bars show standard deviations; ***, p<0.001, based on student t-test between indicated pairs. (C) Percentage of direct junctions relative to direct plus MH-mediated junctions between Sµ and Sγ1 in anti-CD40 plus IL-4 stimulated B cells. Junctions were obtained from multiple independent experiments with XLF [/] (n=4), ATM^{-/-}(n=3), and XLF [/] ATM^{-/-}HL (n=4) cells. See Sup. Fig. 4 for details.





(A and B) Southern blot analyses of rearrangement of clonally single intergrated pMX-INV inversional V(D)J recombination substrate in XLF $^{/}$ H2AX^{F/F} and derivative H2AX deleted XLF $^{/}$ H2AX^{-/-} lines. Clone 42 in panel A and Clone 29 in panel B. Upper: DNA was digested with EcoRV and NcoI and probed with C4 probe. Lower: DNA was digested with EcoRV and probed (see Fig. 1E and legend for additional details). Analysis of an independent line is presented in Sup. Fig. 5.

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Table 1 H2AX and XLF have redundant functions in murine embryonic development

The Indicated genotypes were obtained by inter-crossing H2AX^{+/-}XLF[/] mice as described⁵, ¹², ²². H2AX-deficiency does not cause embryonic lethality 22,27

	H2AX+/+ XLF /	H2AX+/- XLF /	H2AX-/-XLF /	Absorbed	Total
Birth	14	46	0		60
Birth (exp)	15	30	15		
E13.5	×	28	0	8	4
E13.5(exp)	11	22	11		