

# A Drug Development Tool for Trial Enrichment in Patients With Autosomal Dominant Polycystic Kidney Disease



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**Introduction:** Total kidney volume (TKV) is a promising imaging biomarker for tracking and predicting the natural history of patients with autosomal dominant polycystic kidney disease.

**Methods:** A drug development tool was developed by linking longitudinal TKV measurements to the probability of a 30% decline of estimated glomerular filtration rate (eGFR) or end-stage renal disease. Drug development tools were developed based on observational data collected over multiple decades for an eGFR decline and end-stage renal disease in 641 and 866 patients with autosomal dominant polycystic kidney disease, respectively.

**Results:** The statistical association between predicted TKV at the time of a 30% decline of eGFR and that at the time of end-stage renal disease were both highly significant ( $P < 0.0001$ ). The drug development tool was applied to demonstrate the utility of trial enrichment according to prespecified baseline TKV, age, and eGFR as enrollment criteria in hypothetical clinical trials. Patients with larger TKV ( $\geq 1000$  ml) displayed steeper slopes of hazard, which translated into a higher risk of a 30% decline of eGFR within each baseline age ( $<$  or  $\geq 40$  years) or baseline eGFR ( $<$  or  $\geq 50$  ml/min per  $1.73$  m<sup>2</sup>) subgroups.

**Discussion:** These results suggest that, when eGFR is preserved, patients with larger TKV are more likely to progress to a 30% decline of eGFR within the course of a clinical trial, whereas eGFR and age displayed limited predictive value of disease progression in early disease. Pharmaceutical sponsors and academic investigators are encouraged to prospectively employ the above drug development tool to optimize trial designs in patients with autosomal dominant polycystic kidney disease.

*Kidney Int Rep* (2017) 2, 451–460; <http://dx.doi.org/10.1016/j.ekir.2017.02.011>

KEYWORDS: end-stage renal disease; renal function decline; total kidney volume; trial enrichment

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Autosomal dominant polycystic kidney disease (ADPKD) is the most common hereditary kidney disease. There is an increasing body of evidence demonstrating that the kidneys of patients with ADPKD progressively increase in size from birth throughout life, and the clinical symptoms and signs of ADPKD including hypertension, gross hematuria, flank and abdominal pain, and declining glomerular filtration rate (GFR) are associated with increased kidney

volume.<sup>1,2</sup> The clinical course of ADPKD is marked by a decades-long period of stable kidney function, as measured by GFR, despite the relentless expansion of total kidney volume (TKV) due to growth of cysts. There is evidence in the literature from both animal and human studies to support TKV as a prognostic endpoint for use in clinical trials for ADPKD.<sup>1,3–5</sup>

Medical imaging is gaining an important role in clinical trials. This has been driven by significant improvements in medical imaging technology and quality and the increasing need to leverage these technologies to reduce drug development time. The US Food and Drug Administration's (FDA's) Critical Path Initiative acknowledges the potential value of imaging as a research tool in drug development. In addition, the recent FDA

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Received 4 October 2016; revised 22 January 2017; accepted 15 February 2017; published online 21 February 2017

Guidance for Industry on the Qualification of Drug Development Tools (<http://www.fda.gov/downloads/drugs/guidancecomplianceregulatoryinformation/guidances/ucm230597.pdf>) acknowledges that biomarkers may assess many different types of biological characteristics or parameters including radiographic or other imaging-based measurements. The Polycystic Kidney Disease Outcomes Consortium (PKDOC) has identified TKV as an imaging biomarker that is most relevant for tracking and predicting the natural history of ADPKD. The PKDOC has developed the first-ever Clinical Data Interchange Standards Consortium therapeutic-area-specific data standards for ADPKD to allow for the mapping and integration of observational data from both patient registries and Consortium for Radiologic Imaging Studies of Polycystic Kidney Disease (CRISP) cohort studies into a common dataset.<sup>6</sup> This rich and robust dataset has allowed the PKDOC to develop a statistical model linking longitudinal TKV measurements in concert with age and estimated glomerular filtration rate (eGFR) to the probability of a 30% decline of eGFR or end-stage renal disease (ESRD) and ultimately leverage the model as a drug development tool (DDT) for trial enrichment in patients with ADPKD.

## METHODS

The PKDOC has developed the first-ever Clinical Data Interchange Standards Consortium data standards for ADPKD to allow for the mapping and pooling of available data into a common dataset that has been used to support the regulatory qualification of TKV by the FDA and European Medicines Agency.<sup>6</sup> This common dataset is one of the largest ever datasets of patients with ADPKD, with a total of 2355 patients. The PKDOC dataset includes 1182 subjects who have at least 2 images for the measurement of TKV taken at least 6 months apart. Observational, prospectively obtained data from 5 sources were aggregated into a common database in a standard Clinical Data Interchange Standards Consortium structure: (i) University of Colorado – Denver, (ii) Mayo Clinic, (iii) Emory University, (iv) CRISP1, and (v) CRISP2. The content of these databases is described elsewhere and in [Supplementary Table S1](#).<sup>6</sup>

### Construction of DDT

Joint models linking longitudinal TKV measurements, in combination with other prognostic factors, were constructed for the probability of a 30% decline of eGFR as well as ESRD.<sup>7–9</sup> In a first step, linear mixed-effect models with a random intercept were used to fit ln-transformed TKV values for all datasets.<sup>9</sup> Patients with at least 2 TKV measurements separated by at least 6 months were included in the analysis. Baseline TKV

was defined as the first TKV measurement for a subject, irrespective of modality including computerized tomography (CT), magnetic resonance imaging (MRI), or ultrasound (US), whereas baseline age was the age associated with the first TKV measurement. The population for the assessment of a 30% decline of eGFR included 1182 patients with at least 2 images of TKV.

For the time-to-event model of a 30% decline of eGFR, the association parameter between predicted TKV at the time of a 30% decline of eGFR was modeled using a piecewise linear model (12 knots). Baseline eGFR was calculated from the first valid serum creatinine measurement on or within 365 days of the baseline TKV. Because many of the creatinine measurements were made using older colorimetric methods, eGFR was derived using the original Modification of Diet in Renal Disease equation for creatinine methods that are not calibrated to an isotope dilution mass spectrometry reference method.<sup>10</sup> For creatinine methods calibrated to an isotope dilution mass spectrometry reference method, the isotope dilution mass spectrometry–traceable Modification of Diet in Renal Disease study equation was used to derive eGFR.<sup>11</sup> Because the goal of this project was to determine whether TKV, along with other prognostic factors such as baseline age and eGFR, can accurately predict the risk of a decline of eGFR, only endpoint measurements that occurred after the first baseline TKV measurement were considered. A subsequent (confirmatory) measurement within any timeframe was required to confirm that the original 30% decline was not transient.<sup>12,13</sup> Data rules are summarized in [Supplementary Table S2](#). Of the 1182 patients with at least 2 images of TKV, a total of 541 patients did not have eGFR at baseline or a confirmatory eGFR ([Supplementary Figure S1](#)). As a result, a total of 641 patients were used in the joint analysis of TKV and the probability of a 30% decline of eGFR. Baseline eGFR and baseline age were statistically significant parameters for joint modeling of TKV and the probability of a 30% decline of eGFR.

For the ESRD model, the association parameter between predicted TKV at the time of ESRD was modeled using a Weibull model. ESRD was defined as a patient with either dialysis or transplant. Of the 1182 patients with at least 2 images of TKV, a total of 316 patients did not have eGFR at baseline or a missing date of ESRD. Data rules are summarized in [Supplementary Table S2](#) and data flow is displayed in [Supplementary Figure S1](#). As a result, a total of 866 patients were used in the joint analysis of TKV and the probability of ESRD. Baseline age, baseline eGFR, and interaction terms were found statistically significant for the ESRD model.

Data utilized for analysis came from registries as opposed to clinical trials and patients were seen on an irregular basis. For example, the endpoint of an eGFR decline could only be defined after a minimum of 2 measurements of TKV. There is a slight possibility of bias due to missing data, but this is thought to be unlikely because data missingness was due to the randomness of the available registry data.

### Data Splitting and Interval Validation

Cross-validation was performed using a 5-fold or 10-fold cross-validation approach.<sup>14</sup> Data were split into 5 parts with roughly equal number of subjects. Splitting was stratified to maintain a similar proportion of patients from the CRISP and registry datasets in the reference and test datasets. Each fold served as a test dataset in the following steps, whereas the rest of the data consisted of the training dataset (i.e., the 4 other folds). The joint model (including prognostic factors) was fitted to the training dataset (4/5 of the folds). Prediction of disease outcomes for the test dataset was performed by simulating from the joint model using each individual prognostic factor (longitudinal TKV data, baseline age, and baseline eGFR)

from the test dataset. Model-based predicted probabilities in the test dataset were compared with observed disease outcomes in the test dataset. Predictive performance of the joint model was assessed by computing descriptive statistics of observed versus predicted probability of disease outcomes (precision and accuracy). The above steps were repeated for each fold.

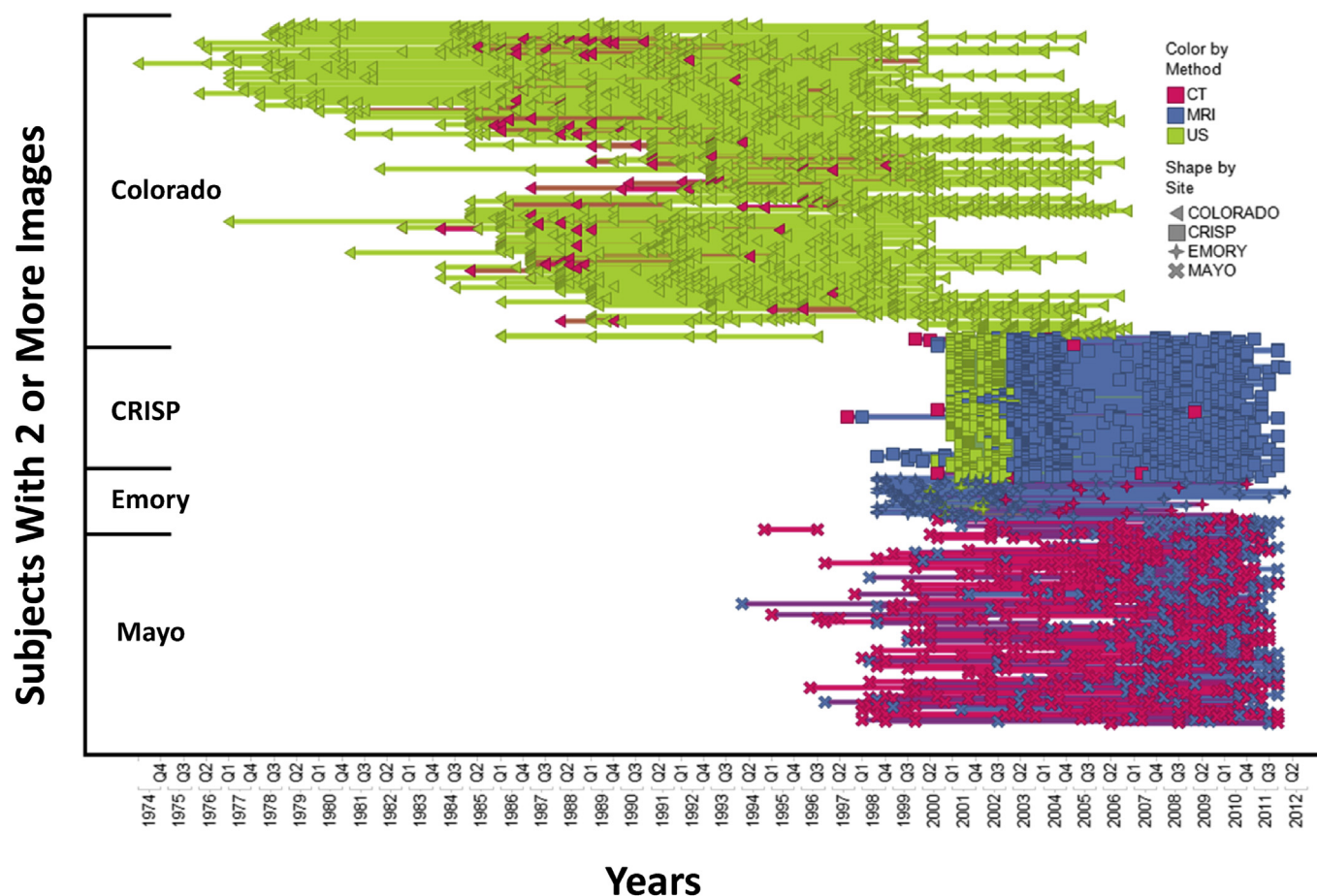
### Software

Joint modeling and cross-validation was performed using the JM package in R 3.0.2 (64-bit).

## RESULTS

### Baseline Characteristics

A total of 1182 patients with ADPKD and at least 2 TKV data collected over 30 years of follow-up were available in the database. **Figure 1** provides a high-level visual perspective of the mix of imaging modalities for subjects with at least 2 TKV measurements from 5 clinical sites. Several imaging modalities have been utilized to determine TKV in patients with ADPKD. These included US as determined using the ellipsoid method, MRI, and CT scan.



**Figure 1.** Time span and modalities of images for subjects with 2 or more images ( $n = 1182$ ). CRISP, Consortium for Radiologic Imaging Studies of Polycystic Kidney Disease; CT, computerized tomography; MRI, magnetic resonance imaging; TKV, total kidney volume; US, ultrasound.

Baseline characteristics of subjects with ADPKD included in the joint model analysis are presented in Table 1. A total of 541 patients were excluded for the 30% decline of eGFR endpoint because of missing baseline eGFR or if the endpoint was observed between the first and second TKV measurement. For the 30% decline of the eGFR model, a total of 641 patients with at least 2 TKV measurements separated by at least 6 months were included in the analysis 192 of whom presented a 30% decline of eGFR (30.0%). The populations used in modeling the probability of a 30% decline of eGFR consisted of 1140 subjects with mean baseline TKV, age, and eGFR of 1141 ml, 33.2 years, and 84.3 ml/min per 1.73 m<sup>2</sup>, respectively. For ESRD, a total of 316 patients were excluded because of missing baseline eGFR or a missing date of ESRD. For the ESRD model, a total of 866 patients with at least 2 TKV measurements separated by at least 6 months were included

**Table 1.** Baseline characteristics of subjects with ADPKD included in the joint model analysis

Baseline characteristics	Population for the analysis of 30% decline of eGFR (N = 641)	Population for the analysis of ESRD (N = 866)
	Mean (SD)	
TKV (ml)	1141 (1186.1)	1214 (1249.4)
Age (yr)	33.2 (15.64)	34.7 (15.91)
Age, N (%)		
0 to <20 yr	142 (22.2%)	175 (20.2%)
20 to <40 yr	272 (42.4%)	351 (40.5%)
40 to <60 yr	193 (30.1%)	289 (33.4%)
60 to <80 yr	33 (5.1%)	49 (5.7%)
80 to 100 yr	1 (0.2%)	2 (0.2%)
eGFR (ml/min per 1.73 m <sup>2</sup> )	84.3 (35.54)	80.9 (36.70)
CKD stages		
1	227 (35.4%)	281 (32.4%)
2	263 (41.0%)	335 (38.7%)
3	127 (19.8%)	203 (23.4%)
4	24 (3.7%)	46 (5.3%)
5	0 (0.0%)	1 (0.1%)
	Count (%)	
Sex		
Male	245 (38.2)	333 (38.5)
Female	396 (61.8)	533 (61.5)
Race		
White	595 (92.8)	798 (92.1)
Black	29 (4.5)	37 (4.3)
Other	17 (2.7)	31 (3.6)
Genotype		
PKD1	386 (60.2)	470 (54.3)
PKD2	45 (7.0)	51 (5.9)
Missing	196 (30.6)	328 (37.9)
No mutation detected	14 (2.2)	17 (2.0)

ADPKD, autosomal dominant polycystic kidney disease; CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease; PKD, polycystic kidney disease; TKV, total kidney volume.

in the analysis 147 of whom developed ESRD (17.0%). Baseline characteristics of subjects used for the joint modeling of TKV and ESRD were consistent with those observed for the 30% eGFR decline analysis. Overall, baseline characteristics were consistent to those reported in randomized controlled clinical trials of ADPKD.<sup>15,16</sup>

### Construction of DDT

Joint models were developed to simultaneously assess longitudinal TKV values and the probability of avoiding a 30% decline of eGFR and ESRD. In a first step, linear mixed-effect models were used to fit ln-transformed TKV values for all datasets. Imaging modalities (US, MRI, and CT) were pooled together. Final parameters derived with the joint model are presented in Table 2. For the time-to-event models of a 30% decline of eGFR, the association parameter between predicted TKV at the time of a 30% decline of eGFR was modeled using a piecewise linear model (12 knots). Baseline eGFR and baseline age were statistically significant parameters for the 30% decline of the eGFR model. For the ESRD model, the association parameter between predicted TKV at the time of ESRD was modeled using a Weibull model. Baseline age, baseline eGFR, and interaction terms were found to be statistically significant for the ESRD model. For a 30% decline of eGFR, the rate of growth of TKV was 0.0516 (corresponding to 5.16% per year). The association between the predicted TKV at the time of a 30% decline of eGFR was highly statistically significant ( $P < 0.0001$ ). Baseline eGFR was statistically significant ( $P = 0.0014$ ), whereas the effect of baseline age was not statistically significant ( $P = 0.9586$ ). Baseline age was retained in the final joint model because of the statistically significant interaction with baseline TKV and baseline eGFR and to allow flexibility in exploring trial

**Table 2.** Final parameters of the joint model for the probability of avoiding a 30% decline of eGFR and ESRD

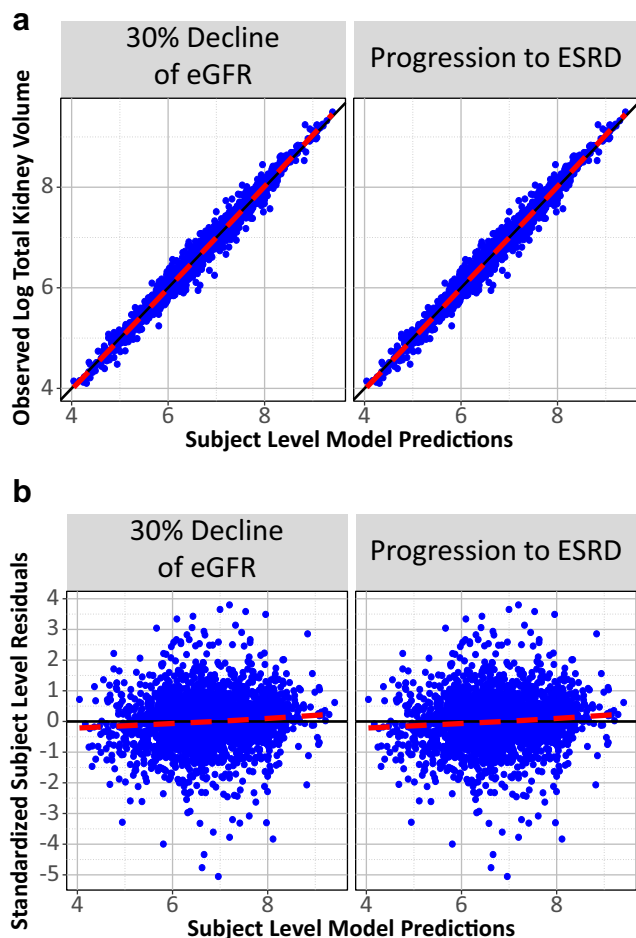
Endpoints	Parameters	Coefficient (SE)	z value	P value
30% decline of eGFR	TKV model Intercept	6.6684 (0.0348)	191.9	<0.0001
	Rate of growth	0.0516 (0.0024)	21.8	<0.0001
	Event model Association (TKV → event)	0.8457 (0.1204)	7.02	<0.0001
	Baseline eGFR	0.0101 (0.0032)	3.19	0.0014
	Baseline age	0.0004 (0.0077)	0.0519	0.9586
	ESRD	TKV model Intercept	6.712 (0.0301)	223.0
Rate of growth		0.0560 (0.0020)	28.4	<0.0001
Event model Association (TKV → event)		1.2365 (0.1631)	7.58	<0.0001
Baseline eGFR		-0.0391 (0.0135)	-2.90	0.0037
Baseline age		0.0355 (0.0169)	2.10	0.0357
eGFR:Age		-0.0012 (0.0003)	-3.65	0.0003

eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease; TKV, total kidney volume.

enrichment strategies according to this baseline characteristic.

For the ESRD model, the rate of growth of TKV was 0.056 (corresponding to 5.60% per year). The association between the predicted TKV value at the time of ESRD (Weibull function) was highly statistically significant ( $P < 0.0001$ ). Baseline eGFR ( $P = 0.0037$ ) and baseline age ( $P = 0.0357$ ) were also statistically significant in addition to a statistically significant interaction between baseline eGFR and age.

Adequacies of TKV models for the 30% decline of eGFR and ESRD endpoints are presented in Figure 2. Overall, subject-level model-predicted versus observed log-transformed TKV and standardized residuals were very well fitted with the model (Figure 2a). This was demonstrated by the locally weighted scatter-plot smoother (LOESS, red dashed line) between subject-level prediction and observed values which were very close to the identity curve (i.e., black line). Furthermore, the distribution of standardized subject-level residuals was homogeneously distributed

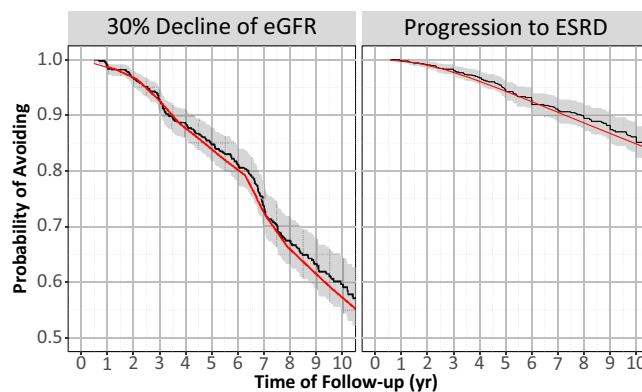


**Figure 2.** Subject-level model-predicted versus (a) observed log transformed TKV and (b) standardized residuals for a 30% decline of eGFR and ESRD endpoints. eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease.

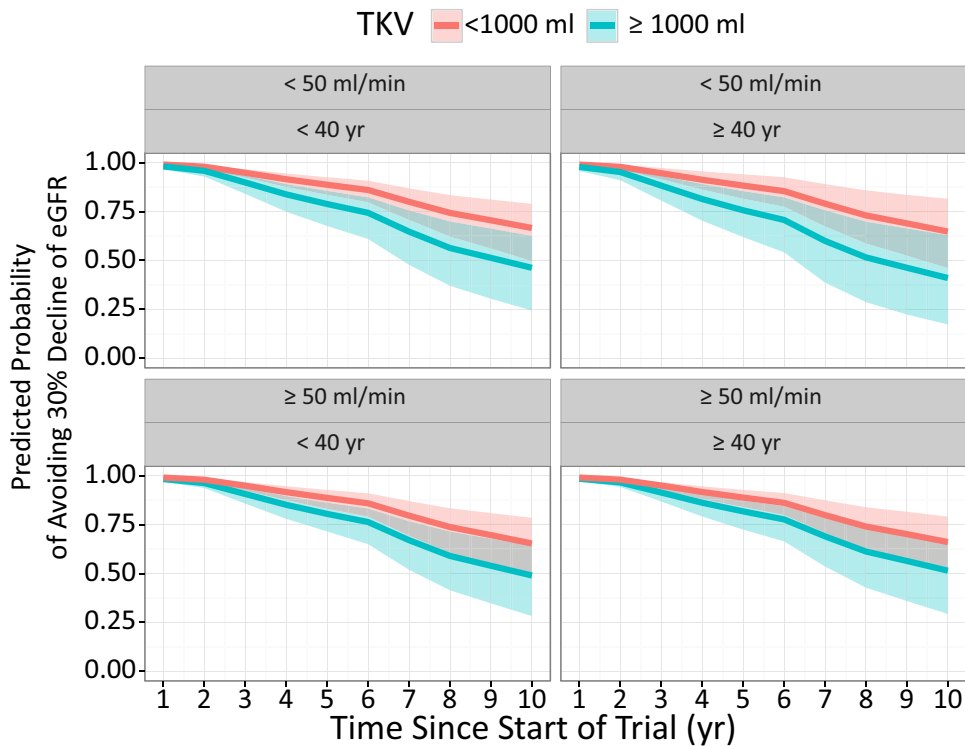
around 0, suggesting no residual effect (Figure 2b). Adequacies of event models, linking individual subject level TKV data to a 30% decline of eGFR and ESRD endpoints over follow-up time, are presented in Figure 3. Time to a 30% decline of eGFR and ESRD endpoints were well fitted with the joint model as demonstrated by the model-predicted value (red line) relative to observed probabilities (black lines) and 95% confidence intervals. A 5-fold data splitting method was used to evaluate the predictive performance of the final joint models. The predictive performance of the model was assessed by deriving mean prediction error and root-mean-square error values of individual observed versus predicted values for a 30% decline of eGFR and ESRD endpoints. Results of the cross-validation are presented in Supplementary Tables S3 and S4. Mean prediction error values for a 30% decline of eGFR over 1, 3, 5, and 10 years of follow-up were 0.0849%, 1.34%, 1.70% and  $-1.35\%$ , respectively. Mean prediction error values for avoiding ESRD over 1, 3, 5, and 10 years of follow-up were 0.228%, 1.62%, 5.24% and 13.5%, respectively.

### Application of DDT for Trial Enrichment

The DDT was applied to demonstrate the utility of trial enrichment according to prespecified baseline TKV, age, and eGFR as enrollment criteria. The joint model was used to perform trial simulations to ultimately derive the predicted probabilities of a 30% decline of eGFR according to specific inclusion criteria of baseline TKV ( $\geq 1000$  ml), baseline age ( $<$  or  $\geq 40$  years), and baseline eGFR ( $<$  or  $\geq 50$  ml/min per  $1.73$  m<sup>2</sup>). The predicted probabilities of avoiding a 30% decline of eGFR according to baseline TKV are presented in Figure 4. Patients with larger TKV ( $\geq 1000$  ml) displayed steeper slopes of hazard, which translated into a higher risk of a 30% decline of eGFR within each



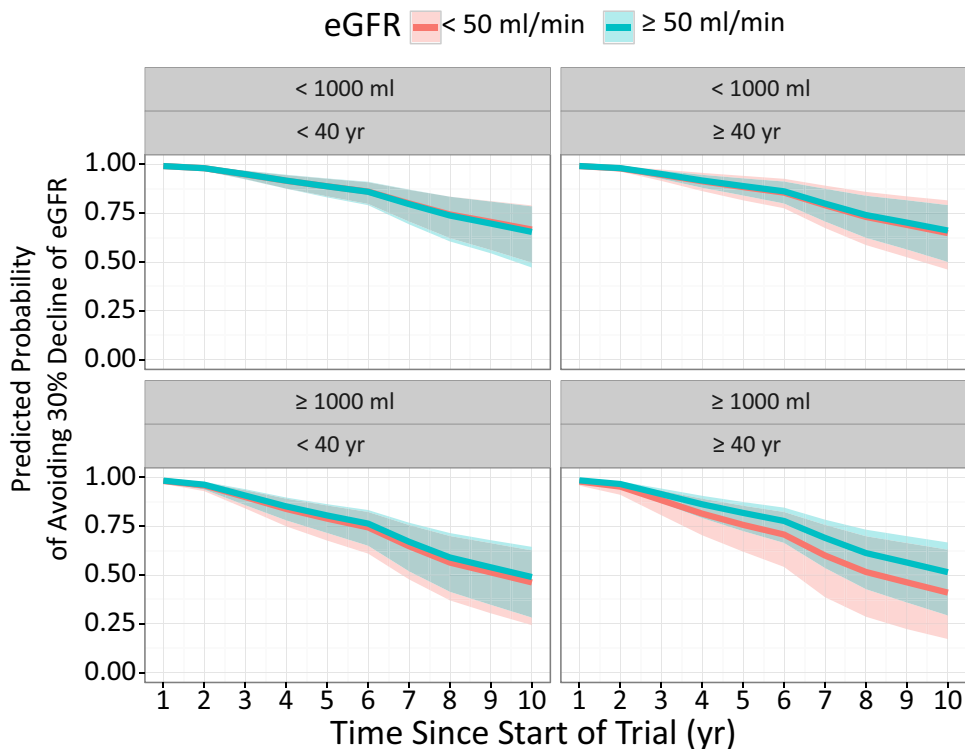
**Figure 3.** Model-predicted versus observed probabilities for avoiding a 30% decline of eGFR and ESRD over time. eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease.



**Figure 4.** Trial enrichment example—mean (95%) predicted probabilities for avoiding a 30% decline of eGFR as a function of baseline TKV categories. eGFR, estimated glomerular filtration rate; TKV, total kidney volume.

baseline age (< or ≥40 years) or baseline eGFR (< or ≥50 ml/min per 1.73 m<sup>2</sup>) subgroups. Patients with TKV ≥ 1000 ml, eGFR <50 ml/min per 1.73 m<sup>2</sup>,

and age ≥ 40 years displayed the highest risk of a 30% decline in eGFR. These results suggest that patients with larger TKV are more likely to progress to a 30%



**Figure 5.** Trial enrichment example—mean (95%) predicted probabilities for avoiding a 30% decline of estimated glomerular filtration rate (eGFR) as a function of baseline eGFR categories.

decline of eGFR, independent of other baseline characteristics.

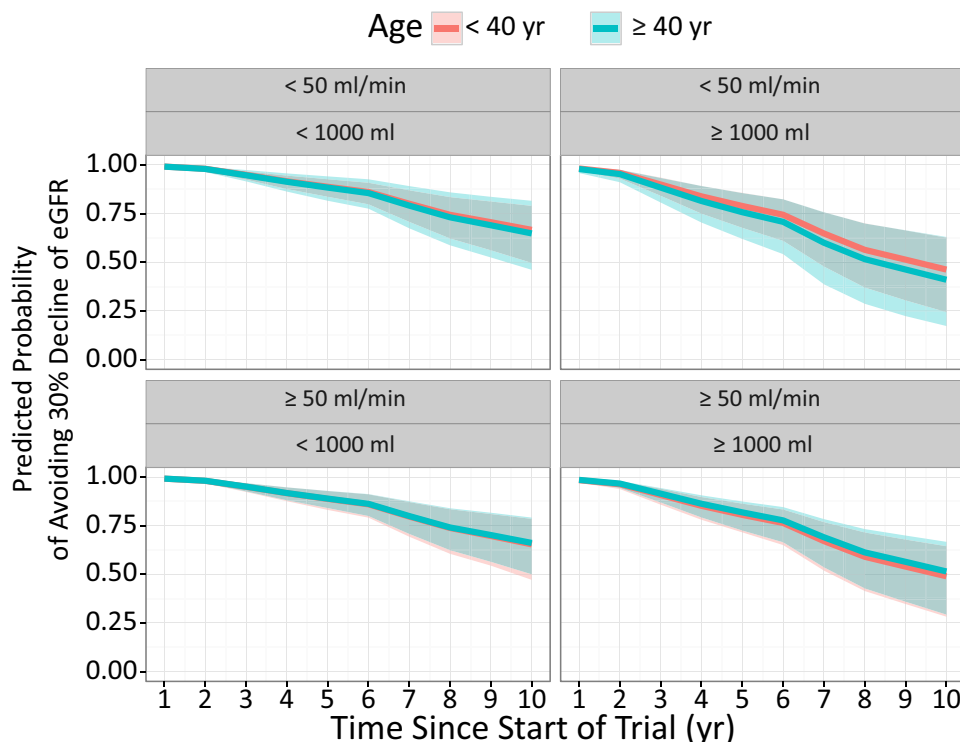
In Figure 5, the predicted probabilities of avoiding a 30% decline of eGFR in patients with baseline eGFR < 50 ml/min per 1.73 m<sup>2</sup> were similar to those in patients with baseline eGFR ≥ 50 ml/min per 1.73 m<sup>2</sup> in all subgroups, with the exception of patients ≥40 years of age and baseline TKV ≥ 1 liter (lower-right panel). The predicted probabilities of avoiding a 30% decline of eGFR according to baseline age are presented in Figure 6. Overall, predicted probabilities of avoiding a 30% decline of eGFR in patients < 40 years were similar to those in patient ≥40 years of age.

Numerical values of predicted probabilities of a 30% decline of eGFR over time as a function of TKV, eGFR, or age are presented in Table 3. At year 3, median predicted probabilities of a 30% decline in eGFR in patients with TKV ≥1000 and <1000 ml were 9.88% and 5.09%, respectively. At year 5, median predicted probabilities of a 30% decline in eGFR in patients with TKV ≥1000 and <1000 ml were 20.8% and 11.3%, respectively. Finally, median predicted probabilities of a 30% decline in eGFR at year 10 in patients with TKV ≥1000 and <1000 ml were 53.1% and 34.3%, respectively. Conversely, baseline eGFR and age did not provide a discrimination in probabilities of a 30% decline in eGFR. Numerical values of

predicted probabilities of a 30% decline of eGFR by TKV within each category of eGFR and age are presented in Table 4. Patients with larger TKV (≥1000 ml) displayed steeper slopes of hazard, which translated into a higher risk of a 30% decline of eGFR within each baseline age (< or ≥40 years) or baseline eGFR (< or ≥50 ml/min per 1.73 m<sup>2</sup>) subgroups. These results suggest that, when eGFR is preserved, patients with larger TKV are more likely to progress to a 30% decline of eGFR within the course of a clinical trial, whereas eGFR and age displayed limited predictive value of disease progression in early disease.

## DISCUSSION

The current work presents evidence that supported the regulatory qualification of TKV as a prognostic biomarker according to its Context of Use, based on definitions set forth by the FDA's Guidance on the Qualification Process for Drug Development Tools (Guidance Compliance Regulatory Information), and the European Medicines Agency's "Qualification of novel methodologies for drug development."<sup>17,18</sup> The DDT consists of a joint model linking the probability of a 30% decline of eGFR and ESRD as a function of longitudinal TKV. Joint modeling is considered as the gold standard method for assessing the effect of longitudinal time-varying covariates (e.g., TKV) in a



**Figure 6.** Trial enrichment example—mean (95%) predicted probabilities for avoiding a 30% decline of estimated glomerular filtration rate (eGFR) as a function of baseline age categories.

**Table 3.** Trial enrichment example—tabulation of predicted probabilities of observing a 30% decline of eGFR as a function of baseline TKV, eGFR, or age

Time (yr)	Predicted probabilities of a 30% decline of eGFR					
	Baseline TKV (ml)		Baseline eGFR (ml/min per 1.73 m <sup>2</sup> )		Baseline age (yr)	
	≥1000	<1000	<50	≥50	≥40	≥40
1	1.75%	0.85%	1.41%	1.20%	1.32%	1.29%
2	3.97%	1.97%	3.20%	2.74%	3.01%	2.93%
3	9.88%	5.09%	8.03%	6.94%	7.61%	7.36%
4	15.8%	8.40%	12.9%	11.3%	12.3%	11.9%
5	20.8%	11.3%	17.1%	15.0%	16.3%	15.8%
6	25.2%	14.1%	20.8%	18.4%	20.0%	19.3%
7	34.8%	20.3%	29.0%	26.1%	28.0%	27.1%
8	42.9%	26.2%	36.2%	33.0%	35.0%	34.1%
9	48.0%	30.1%	40.7%	37.4%	39.5%	38.6%
10	53.1%	34.3%	45.3%	42.0%	44.1%	43.2%

These results suggest that, when eGFR is preserved, patients with larger TKV are more likely to progress to a 30% decline of eGFR within the course of a clinical trial, whereas eGFR and age displayed limited predictive value of disease progression in early disease. eGFR, estimated glomerular filtration rate; TKV, total kidney volume.

time-to-event analysis of clinical endpoint.<sup>7–9</sup> The DDT was applied to demonstrate the utility of trial enrichment according to prespecified baseline TKV, age, and eGFR as enrollment criteria in hypothetical clinical trials.

Several imaging modalities have been utilized to determine TKV in patients with ADPKD. The current joint modeling effort included US as determined using the ellipsoid method, MRI, and CT scan. The accuracy and precision of US in assessing TKV in ADPKD compared with MRI was previously determined as part of the CRISP studies.<sup>19</sup> Overall, results from this study demonstrated a high correlation between US and MRI volumes to US (0.88 and 0.89, respectively). For the ellipsoid method, US TKV was 11% greater than MRI TKV, with an SD of 34%. For

the direct method, the mean difference was 9%, with an SD of 27%. In addition, previous sensitivity using multivariate Cox methods demonstrated that the imaging modality of TKV (US vs. MRI/CT) did not have an impact on the prediction of a 30% decline of eGFR or progression to ESRD.<sup>20</sup>

The measured GFR was not available for the vast majority of patients. Although the measured GFR has frequently been thought of as a “gold standard” for clinical trial outcomes, recent analyses indicate that eGFR is comparable to mGFR for association with adverse outcomes such as ESRD and cardiovascular events.<sup>21</sup>

Data utilized for analysis came from registries as opposed to clinical trials and patients were seen on an irregular basis. There is a slight possibility of bias due to missing data, but this is thought to be unlikely because data missingness was due to the randomness of the available registry data.

Overall, the qualification of TKV as an imaging biomarker for tracking and predicting the natural history of ADPKD represents a significant, innovative step forward to establishing the commitment of health authorities, clinicians, and patients to address the unmet needs for this debilitating condition, thereby encouraging researchers and the pharmaceutical industry to develop promising new therapies for these patients. When used to assess the effect of interventional therapy, TKV will need to be assessed in the context of the investigational agent’s mechanism of action and its potential for nephrotoxicity or other side effects that could impact renal function. The DDT tools (model codes) are available at <https://c-path.org/>. Pharmaceutical sponsors and academic investigators are encouraged to prospectively employ the above joint model to optimize trial designs in patients with ADPKD

**Table 4.** Trial enrichment example—tabulation of mean predicted probabilities of a 30% decline of eGFR as a function of baseline TKV within eGFR and age categories

Time (yr)	Predicted probabilities of a 30% decline of eGFR							
	TKV ≥ 1000 ml				TKV < 1000 ml			
	eGFR < 50 (ml/min per 1.73 m <sup>2</sup> )		eGFR ≥ 50 (ml/min per 1.73 m <sup>2</sup> )		eGFR < 50 (ml/min per 1.73 m <sup>2</sup> )		eGFR ≥ 50 (ml/min per 1.73 m <sup>2</sup> )	
	Age ≥ 40 yr	Age < 40 yr	Age ≥ 40 yr	Age < 40 yr	Age ≥ 40 yr	Age < 40 yr	Age ≥ 40 yr	Age < 40 yr
1	2.10%	1.80%	1.60%	1.50%	0.90%	0.80%	0.83%	0.85%
2	4.70%	4.10%	3.70%	3.40%	2.10%	2.00%	1.91%	1.96%
3	11.6%	10.1%	9.30%	8.50%	5.30%	5.10%	4.93%	5.01%
4	18.5%	16.1%	14.8%	13.7%	8.70%	8.40%	8.24%	8.31%
5	24.3%	21.1%	19.5%	18.2%	11.6%	11.2%	11.1%	11.3%
6	29.2%	25.6%	23.6%	22.3%	14.5%	13.9%	13.8%	14.0%
7	40.0%	35.2%	32.9%	31.0%	20.9%	19.9%	20.1%	20.4%
8	48.4%	43.6%	41.0%	38.7%	26.9%	25.6%	25.9%	26.2%
9	53.7%	48.7%	46.0%	43.6%	31.0%	29.4%	29.8%	30.3%
10	59.0%	53.8%	51.0%	48.5%	35.2%	33.4%	33.9%	34.6%

eGFR, estimated glomerular filtration rate; TKV, total kidney volume.



similar to other models that have been made publicly available by the FDA.<sup>22–24</sup>

## DISCLOSURE

Support for this project was provided by the PKD Foundation. Industry supporters of the PKDF include Otsuka Pharmaceuticals, Amgen, Pfizer Inc., Sanofi-Genzyme, and Novartis. RDP is an investigator and member of the Steering Committee for several Otsuka studies on ADPKD; he has been a consultant to Sanofi-Genzyme, Novartis, Vertex, and Mitsubishi Tanabe Pharmaceuticals. ABC is an investigator and member of the Steering Committee for several Otsuka studies on ADPKD; she has been a consultant to Sanofi-Genzyme. VET is an investigator and Chair of the Steering Committee for several Otsuka studies on ADPKD and is an investigator in a clinical trial for ADPKD sponsored by Novartis Pharmaceuticals. FSC is an employee of Otsuka Pharmaceuticals, which is developing treatments for ADPKD. JFM and M-SM are consultants paid by Pharsight Canada. All the other authors declared no competing interests.

This research was supported by grant numbers MO1RR00051, MO1RR00069 from the National Institutes of Health (NIH) General Research Centers Program, National Center for Research Resources, by grants DK34039 and DK090728 from NIH (National Institute of Diabetes and Digestive and Kidney Diseases), and by the Polycystic Kidney Disease and Zell Family Foundations.

## ACKNOWLEDGMENTS

We acknowledge the participation of members of the PKD Foundation, especially Lorrie Rome; the Food and Drug Administration, especially Drs. Norman Stockbridge and Aliza Thompson; the National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, especially Drs. Robert Star and Michael Flessner. We also acknowledge the participation of other industry participants, including Daniel Levy (Pfizer) and John Neylan (Genzyme-Sanofi), the staff of the Critical Path Institute, especially Gary Lundstrom and Eslye Dennis, and the patients and staff of the University of Colorado Denver, Emory, Mayo Clinic, and CRISP ADPKD centers. In addition, we would like to acknowledge the contribution of Dr. Robert W. Schrier (Principal investigator DK34039) for his guidance and oversight of the ADPKD registry and longitudinal clinical database at the University of Colorado, as well as Michael Manco-Johnson, Maria V. Irazabal, and Amber J. Harmon for image analysis and Xiang-Dong Yan for data extraction and mapping.

## SUPPLEMENTARY MATERIAL

**Table S1.** Description of timeframe of enrollment, number of subjects enrolled, and process of each study/registry.

**Table S2.** Data rules.

**Table S3.** Cross-validation of the joint model—predicted probabilities for avoiding a 30% worsening of eGFR.

**Table S4.** Cross-validation of the joint model—predicted probabilities of avoiding eSRD.

**Figure S1.** Flowchart for data flow.

Supplementary material is linked to the online version of the paper at <http://www.kireports.org/>.

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