

1 **Structure of pre-miR-31 reveals an active role in Dicer processing**

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20

21 **Abstract**

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23 As an essential post-transcriptional regulator of gene expression, microRNA (miR) levels must  
24 be strictly maintained. The biogenesis of many, but not all, miRs is mediated by trans-acting  
25 protein partners through a variety of mechanisms, including remodeling of the RNA structure.  
26 miR-31 functions as an oncogene in numerous cancers and interestingly, its biogenesis is not  
27 known to be regulated by protein binding partners. Therefore, the intrinsic structural properties  
28 of pre-miR-31 can provide a mechanism by which its biogenesis is regulated. We determined the  
29 solution structure of the precursor element of miR-31 (pre-miR-31) to investigate the role of  
30 distinct structural elements in regulating Dicer processing. We found that the presence or  
31 absence of mismatches within the helical stem do not strongly influence Dicer processing of the  
32 pre-miR. However, both the apical loop size and structure at the Dicing site are key elements for  
33 discrimination by Dicer. Interestingly, our NMR-derived structure reveals the presence of a  
34 triplet of base pairs that link the Dicer cleavage site and the apical loop. Mutational analysis in  
35 this region suggests that the stability of the junction region strongly influence both Dicer binding  
36 and processing. Our results enrich our understanding of the active role that RNA structure plays  
37 in regulating Dicer processing which has direct implications for control of gene expression.

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40 **Abbreviations**

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42 microRNA (miR), primary microRNA (pri-miR), precursor microRNA (pre-miR), DiGeorge

43 syndrome critical region 8 (DGCR8), guanosine triphosphate (GTP), nucleotide (nt), Argonaute

44 (Ago), Interleukin enhancer-binding factor 3 (ILF3), RNA binding protein (RBP), terminal

45 uridyltransferase (TUTase), colorectal cancer (CRC), extracellular signal-regulated kinase

46 (MEK5), extracellular-regulated protein kinase 5 (ERK5), mitogen-activated protein kinase

47 (MARK), microRNA-31 (miR-31), nuclear magnetic resonance (NMR), short hairpin RNA

48 (shRNA), wild type (WT), full length (FL), nuclear Overhauser effect spectroscopy (NOESY),

49 correlated spectroscopy (COSY), selective 2' hydroxyl acylation analyzed by primer extension

50 (SHAPE), dimethyl sulfate mutational profiling with sequencing (DMS-MaPseq), nuclear

51 Overhauser effect (NOE), solvent paramagnetic relaxation enhancement (sPRE), residual dipolar

52 coupling (RDC)

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## 55 **Introduction**

56           MicroRNAs (miRs) are a class of small non-coding RNAs that regulate protein gene  
57 expression post-transcriptionally. By base pairing with target mRNAs, miRs trigger mRNA  
58 degradation or translational suppression<sup>[1-4]</sup>. Abnormal miRs levels are associated with cancers,  
59 diabetes, neurological and other diseases<sup>[5-8]</sup>. RNA polymerase II transcribes primary microRNA  
60 (pri-miR) in the nucleus and pri-miRs are subsequently processed by Microprocessor, which is  
61 composed of Drosha and DiGeorge syndrome critical region 8 (DGCR8) proteins, to generate  
62 precursor microRNAs (pre-miRs). Pre-miRs are exported from the nucleus to the cytoplasm in a  
63 GTP-dependent manner by Exportin-5. In the cytoplasm, pre-miRs are further processed by  
64 Dicer to generate 21-22 nucleotide (nt) mature miR duplexes<sup>[4, 9]</sup>. Argonaute (Ago) protein loads  
65 the miR duplex and subsequently displaces one of the strands from the complex to form the miR-  
66 induced silencing complex, which is responsible for mRNA degradation or translational  
67 suppression<sup>[2, 4]</sup>.

68           Distinctive regulatory elements for pri-miRs and pre-miRs have been discovered over  
69 past two decades. These elements include specific sequences within the pri-miRs and pre-miRs  
70 that recruit regulatory proteins<sup>[10-13]</sup> and structural features of pri-miRs and pre-miRs that  
71 mediate enzymatic processing<sup>[14-19]</sup>. Although protein-mediated secondary structure<sup>[10, 20]</sup> or  
72 primary sequence switches<sup>[11]</sup> are largely correlated with the differential expression of mature  
73 miRs<sup>[4]</sup>, the specific secondary structure elements and/or structural plasticity of pri/pre-miR are  
74 both known to be intrinsic regulatory factors<sup>[17, 19, 21]</sup>. For example, Interleukin enhancer-binding  
75 factor 3 (ILF3) is a regulatory protein for pre-miR-144 dicing by reshaping the terminal loop to  
76 form a suboptimal substrate for Dicer processing<sup>[20]</sup>. Meanwhile, the Lin28 RNA binding protein  
77 (RBP) is a classic example of a protein which promotes pre-let-7 turnover by recruiting terminal

78 uridylyltransferase (TUTase) which promotes degradation of the pre-miR.<sup>[12, 22]</sup> While protein-  
79 mediated regulation is indeed important for many pre-miRs, a recent study showed that pre-miR-  
80 21 exists as a pH-dependent two-state ensemble and excited pre-miR-21 is an efficient cleavage  
81 substrate for Dicer protein<sup>[19, 23]</sup>. Therefore, the intrinsic structural properties of a pre-miR may  
82 serve as an alternative mechanism for regulation of its biogenesis, suggesting that the RNA is not  
83 a passive element in miR biogenesis.

84 MicroRNA-31 (miR-31) acts as oncogene in multiple cancers. Upregulation of miR-31 in  
85 cells is associated with cancer proliferation, anti-apoptosis and migration in multiple cancers by  
86 targeting different biogenesis pathways in cells<sup>[24]</sup>. For example, in colorectal cancers (CRC),  
87 overexpression of miR-31 promotes cancer proliferation by targeting MEK5/ERK5<sup>[24, 25]</sup> and  
88 RAS/MARK<sup>[26]</sup> pathways. Similarly, downregulation of miR-31 is also shown to repress ovarian  
89 cancer<sup>[27]</sup>, hepatocellular carcinoma<sup>[28]</sup>, prostate cancer<sup>[29]</sup> and other tumor functions<sup>[24]</sup>. These  
90 observations suggest that miR-31 may be an interesting target for treatment of cancer and other  
91 diseases.<sup>[30-32]</sup> Interestingly, no protein binding partners have been identified for pre-miR-31<sup>[33]</sup>,  
92 suggesting that the mechanisms for regulating biogenesis may be encoded at the RNA level. We  
93 therefore sought to examine the RNA structural features that may contribute to the post-  
94 transcriptional regulation of pre-miR-31.

95 Here, we describe the three-dimensional structure of pre-miR-31 and characterized how  
96 the stability of secondary structure elements throughout the pre-miR-31 structure affect Dicer  
97 processing. The structure presented in this work is the first full-length pre-miR structure  
98 determined and significantly adds to the limited known structures of pre-miRs.<sup>[34, 35]</sup> We  
99 examined how three distinct regions of the pre-miR-31 structure; the dicing site, the apical loop,

100 and a short base paired element (junction region) connecting the apical loop and the dicing site,  
101 influenced Dicer binding and processing.

102 We found that modulating the structure of pre-miR-31 at the dicing site by minimizing  
103 the size of the internal loop promoted Dicer processing, while structures containing larger  
104 internal loops served to inhibit Dicer processing. Furthermore, we demonstrate that the pre-miR-  
105 31 apical loop size serves as another point of regulation. Pre-miR-31 constructs with extended  
106 junction regions, which restricted the apical loop size, displayed both weaker binding to Dicer  
107 and significantly reduced processing. Whereas pre-miR-31 constructs with large apical loops had  
108 wild type (WT)-like levels of binding yet reduced processing. These results suggest that the loop  
109 size must be tightly controlled, as too small or too large of an apical loop can inhibit pre-miR-31  
110 maturation. Finally, we found that the junction region functions exquisitely to maximize both  
111 high affinity binding and efficient processing. We note differences in the secondary structure  
112 models derived from nuclear magnetic resonance (NMR) spectroscopy and chemical probing in  
113 this junction region. Rather than viewing these structures as incompatible, we demonstrate that  
114 both structures likely exist in a dynamic equilibrium where the base paired junction transiently  
115 samples the open conformation. We show that the WT pre-miR-31 structure is optimized to  
116 maximize both high affinity binding and high efficiency processing. Our data are consistent with  
117 a model in which RNAs can self-regulate their processing in the absence of trans-acting RNA-  
118 binding proteins. Recent studies demonstrate the importance of pre-miR structural plasticity in  
119 regulating their enzymatic processing.<sup>[19, 23]</sup> Our research cements the hypothesis that pre-miR  
120 structure regulates its maturation process and further informs on structural features necessary for  
121 effective short hairpin (sh)RNA design.

122

## 123 Results

### 124 The secondary structure of FL-pre-miR-31 contains three mismatches in the helical stem 125 and three base pairs in the apical loop.

126 The lowest free energy secondary structure of the 71-nt long full length (FL) pre-miR-31  
127 predicted by the RNAstructure webserver<sup>[36]</sup> is a hairpin composed of three mismatches (A•A,  
128 G•A and C•A) in the stem region, a 1x2 internal loop, and three base pairs formed in the junction  
129 region between the internal and apical loops. However, recent *in cell* selective 2' hydroxyl  
130 acylation analyzed by primer extension (SHAPE) chemical probing studies<sup>[37]</sup> revealed that the  
131 apical loops of pre-miRs are less structured than predicted in the miRbase.<sup>[38-43]</sup> To evaluate the  
132 secondary structure of FL pre-miR-31, we performed *in vitro* dimethyl sulfate mutational  
133 profiling with sequencing (DMS-MaPseq) on pre-miR-31. The chemical probing derived  
134 topology of the entire stem region including the three mismatches is in complete agreement with  
135 prediction (**Fig. 1a, Fig. S1**). However, our *in vitro* chemical probing data suggests that residues  
136 within and near the predicted apical loop (A33, A34, C35, A40, A41, C42, and C43) are highly  
137 reactive, consistent with these residues being unpaired and forming a large, open apical loop  
138 structure (**Fig. 1a, Fig. S1**). This is strikingly different from the predicted lowest free energy  
139 secondary structure.

140 To better understand the molecular details of the pre-miR-31 hairpin, we determined the  
141 solution structure of pre-miR-31 using NMR spectroscopy. We used a divide-and-conquer  
142 approach to facilitate resonance assignments of full-length (FL) pre-miR-31 (**Fig. S2**). We  
143 previously reported chemical shift assignments for two fragments, BottomA and BottomB.<sup>[44]</sup>  
144 We completed chemical shift assignments for two additional oligo fragments, TopA (**Fig. S3**)  
145 and Top (**Fig. S4**) to guide assignments of the FL pre-miR-31 RNA. However, the large

146 molecular size of FL pre-miR-31 resulted in a severely crowded spectrum, preventing direct  
147 assignments based on the oligo controls. To better resolve the complex 2D  $^1\text{H}$ - $^1\text{H}$  NOESY  
148 spectrum of FL pre-miR-31, we employed a deuterium-edited approach<sup>[45-47]</sup> (**Fig. S5**). The  
149 combination of methods allowed for complete assignment of non-exchangeable aromatic and  
150 anomeric protons (**Fig. S6**).

151 The topology of the NMR-derived secondary structure of FL pre-miR-31 (**Fig. 1b**) is  
152 consistent with the lowest free energy structure. We were particularly interested in the structural  
153 features of the apical loop of FL pre-miR-31. Analysis of the  $^1\text{H}$ - $^1\text{H}$  NOESY spectrum of an  
154  $\text{A}^{2\text{r}}\text{G}^{\text{r}}\text{U}^{\text{r}}$ -labeled (adenosine C2 and ribose of adenosine, guanosine and uridine residues are  
155 protiated, all other sites deuterated) FL pre-miR-31, revealed strong cross-strand NOEs between  
156  $\text{A41.H2-U31.H1}'$  and  $\text{A40.H2-G32.H1}'$  (**Fig. 1 c,d**), consistent with a typical A-helical structure  
157 in this region. To further explore the base pairing within FL pre-miR-31 we acquired a best  
158 selective long-range HNN-COSY<sup>[48]</sup>, which allows for identification of A-U base pairs via  
159 detection on the non-exchangeable adenosine C-2 proton rather than detection of the labile imino  
160 proton (**Fig. S7**). Here, we see clear evidence for 9 of the 10 expected A-U base pairs within the  
161 stem on pre-miR-31 (**Fig. 1e**). The resonance for A53 is broadened beyond detection at  $\text{pH} = 7.5$ ,  
162 likely due to the dynamics of the neighboring C18•A54 mismatch. Furthermore, we observe two  
163 additional A.H2-U.N3 signals, which correspond to A41-U30 and A40-U31 base pairs (**Fig. 1e**).  
164 While A40 and A41 were highly reactive to DMS, and were therefore predicted to be unpaired,  
165 we provide direct evidence of base pairing within the apical loop.

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167



168 Consistent with the NMR-derived secondary structure, pH titration data show that  
169 residues A8, A54, A64 (mismatches in the helical stem), and A34 (apical loop) are unpaired due  
170 to their high sensitivity to the changes in the pH value of the sample (**Fig. S8**). In contrast, the  
171 changes of chemical shifts of A40 and A41 are notably smaller and resemble those measured for  
172 base-paired residues from the stem. Additionally, solvent paramagnetic relaxation enhancement  
173 (sPRE) data, which reports on the solvent accessibility of FL pre-miR-31, revealed that G29 and  
174 A41 do not show large sPRE values (**Fig. S9**) compared to A33, A34, G37 and G38, which are  
175 unpaired in the apical loop. Interestingly, for A40 we observe much higher sPRE value  
176 indicating high solvent accessibility of the U31-A40 base pair. These observations suggest that  
177 U31-A40 may be a nucleation point for opening the loop based on environmental changes. The  
178 sequence of pre-miR-31 is highly conserved in mammals, with mutations or deletions present  
179 only in the apical loop region (**Fig. S10**). Collectively, our results support the presence of a short  
180 base paired element in the junction below the apical loop.

181

### 182 **Tertiary structure of pre-miR-31**

183 To further our structure-based studies, we determined the three-dimensional structure of  
184 FL pre-miR-31 (**Fig. 2, Table S1**). The structure is largely an elongated hairpin structure, with  
185 three base pair mismatches within the helical stem. Nuclear Overhauser effect (NOE) data are  
186 consistent with A-helical stacking of 29-GUU-31 and 40-AAC-42, with strong NOEs between  
187 A41.H2-U31.H1' and A40.H2-G32.H1' (**Fig. 1c**). The HNN-COSY (**Fig. 1e**) further defines the  
188 base pairing within this region, cinching the apical loop structure and limiting the size of the  
189 apical loop to 8 nucleotides. The Dicer processing site resides within a 1x2 internal loop  
190 containing U28, C43, and U44 (**Fig. 2d**). U28 and U44 are co-planar and adopt a *cis* Watson-

191 Crick/Watson-Crick wobble geometry with C43 positioned above U44. We observed a strong  
192 NOE between A54.H2 and U19.H1', which positions A54 stacked in an A-helical geometry (**Fig.**  
193 **2e**). No NOEs were observed linking C18 with neighboring residues, therefore C18 was  
194 unrestrained in structure calculations and can sample many conformations (**Fig. 2b**). No defined  
195 NOEs were observed connecting A13 with G14. However, aromatic-aromatic and aromatic-  
196 anomeric NOEs position G14 stacked under A15. G14 and A58 have the potential to form a cis  
197 Watson-Crick/Watson-Crick base pair (**Fig. 2f**). The A8•A64 mismatch is well-defined with  
198 sequential and cross-strand NOEs (**Fig. 2g**). The structure was refined using global residual  
199 dipolar coupling (RDC) restraints. We observed a strong correlation between experimentally  
200 determined and back-calculated residual dipolar couplings, further validating the overall  
201 structure (**Fig. S11**).

202

### 203 **Mismatches within the helical stem region have no impact on Dicer cleavage**

204 Base pair mismatches are a common feature within the helical stem of precursor  
205 microRNAs<sup>[44]</sup>. Increasing the length of the pre-miR helical stem by including additional base  
206 paired sequences is detrimental for Dicer processing<sup>[14, 49]</sup>. Studies on fly Dicer-1 suggest that  
207 while the length of the pre-miR helical stem is important, the presence of mismatches does not  
208 significantly affect Dicer processing<sup>[15]</sup>. However, because pre-miR-31 biogenesis does not  
209 appear to be regulated by protein binding partners, we wanted to consider all aspects of pre-miR-  
210 31 structure that could be involved in regulating processing. To investigate the role of individual  
211 base pair mismatches in the Dicer processing of WT pre-miR-31, we sought to stabilize the  
212 G14•A58 mismatch. We made a single point mutation (G14U) which converted the mismatch

213 into a canonical U-A base pair (**Fig. S12**). Quantification of Dicer processing revealed WT-  
214 levels of processing of the G14U mutant pre-miR (**Table S2, Fig. S12**).

215 We previously investigated the pH-dependence of the C18•A54 mismatch and found that  
216 A54 is partially protonated at physiological pH, suggesting that these bases can form a C•A<sup>+</sup> base  
217 pair near neutral pH<sup>[44]</sup>. We were therefore interested in testing if mutations that replaced the  
218 mismatch with a canonical U-A or C-G base pair (C18U and A54G, respectively) affected the  
219 processing by Dicer (**Fig. S12**). As with stabilization of the G•A mismatch, stabilization of the  
220 C•A mismatch did not affect the efficiency of Dicer processing (**Table S2, Fig. S12**). We next  
221 examined the Dicer processing efficiency of mutant (G14U/A54G) that stabilized both  
222 mismatches with canonical base pairs. We found that pre-miR-31 G14U/A54G was processed  
223 similarly to WT (**Table S2, Fig. S12**). We next examined the importance of the context of the  
224 C•A mismatch by swapping the bases (18ACsw). Again, we observed no significant change in  
225 Dicer processing efficiency (**Fig. S12**).

226 All pre-miR-31 mutant RNAs we examined were cleaved to approximately 90%.  
227 Maintaining the same stem length, the absence of one (G14U, C18U, A54G) or two  
228 (G14U/A54G) mismatches within the stem of WT pre-miR-31 does not significantly alter the  
229 Dicer cleavage efficiency, consistent with studies on fly Dicer-1.<sup>[15]</sup> However, the measured  
230 binding affinity of G14U/A54G for Dicer decreased 2.5-fold relative to WT (**Table S3**). Binding  
231 of G14U, C18U, A54G mutants to Dicer were similar to WT while the binding affinity of  
232 18ACsw was slightly enhanced (2-fold). These findings suggest that the mismatches in pre-miR-  
233 31 stem are important features for Dicer binding.

234

235

## 236 **Structure at the cleavage site affects Dicer processing**

237           The RNase III and helicase domains of Dicer interact with the upper stem loop region  
238 (which includes the apical loop and the dicing site).<sup>[14, 17, 50]</sup> Studies strongly indicate that the  
239 structure in this region may regulate Dicer processing.<sup>[14, 15, 17, 50]</sup> To distinguish between the  
240 importance of structure at distinct regions within the upper stem loop regions, we employed a  
241 mutational approach which reshaped the apical loop and the dicing site, independently.

242           First, we generated four different Dicer processing site mutants and examined the impact  
243 of structure at this site on Dicer processing. We examined two mutations that either minimized  
244 ( $\Delta 43$ ) or eliminated ( $\Delta 43/U44A$ ) the internal loop at the pre-miR-31 Dicer processing site (**Fig.**  
245 **3a**). The  $\Delta 43$  construct is processed more efficiently than WT. This is particularly noticeable at  
246 timepoints early in the reaction (**Fig. S13**). Interestingly, the  $\Delta 43/U44A$  construct exhibited a  
247 slight processing enhancement relative to WT, but was not processed as efficiently as  $\Delta 43$  (**Fig.**  
248 **3b**). These findings suggest that a small 1x1 internal loop structure serves as a better substrate  
249 for Dicer processing.

250           Conversely, we found that mutations that enlarged the internal loop at the dicing site  
251 resulted in RNAs that were inefficiently processed by Dicer (**Fig. 3c**). The G45C mutant, which  
252 increases the WT 1x2 internal loop to a 2x3 internal loop, has ~50% reduced processing  
253 efficiency while the G45C/C46G mutant (3x4 internal loop) exhibits almost no processing (**Fig.**  
254 **3d**). Furthermore, we found that  $\Delta 43C$  and  $\Delta 43C/U44A$ , which minimized and eliminated the  
255 internal loop, respectively, promoted 5' strand cleavage by Dicer, eliminating the partially  
256 processed intermediate, and generating more mature miR (**Fig. 3e**).

257           Collectively, we found that a 1x1 internal loop at the Dicing site is the best substrate for  
258 Dicer processing, while a fully base paired or the native 1x2 internal loop at cleavage site are

259 suboptimal substrates. Pre-miRs with too large of an internal loop around the cleavage site are  
260 poor substrates for Dicer to cleave. The binding affinity for Dicer was measured and we found  
261 that the  $\Delta 43$  mutant bound Dicer with near WT affinity, while the  $\Delta 43/U44A$  mutant and the  
262 G45C mutant both had a slightly weaker affinity. Introduction of a large internal loop (45/46)  
263 reduced binding by ~6-fold (**Table S3**). Together, our results suggest that Dicer binding affinity  
264 and processing efficiency are not strictly correlated, consistent with previous studies<sup>[49]</sup>.

265

### 266 **Size and relative position of the apical loop regulates Dicer processing efficiency and** 267 **specificity**

268 We next examined the impact of apical loop size on Dicer processing. Apical loop  
269 flexibility serves as a control mechanism in many pre-miR/pri-miR elements<sup>[51, 52]</sup> and the apical  
270 loop has been identified as a target for regulation by small molecules or peptides<sup>[53-55]</sup>. Fly Dicer-  
271 1 binds to pre-let-7 with 4-nt loop six times weaker than pre-let-7 with 14-nt loop,<sup>[15]</sup> and the  
272 weaker binding leads to poorer cleavage efficiency. However, another study shows that human  
273 Dicer binds similarly with different loop sized pre-miR mutants and has uncoupled Dicing  
274 activity<sup>[49]</sup>. To further elucidate these findings, we designed two constructs, G32C and  
275 G32C/A33C, which minimize the apical loop size by forming one or two canonical base pairs  
276 within the otherwise unpaired region (**Fig. 4a**). Dicer binds the G32C RNA (6-nt loop) and the  
277 G32C/A33C (4-nt loop) about four times and six times weaker than WT pre-miR-31 (8-nt loop),  
278 respectively (**Fig. 4b, Table S3**). The reduced binding affinity correlates with reduced cleavage  
279 efficiency (**Fig. 4c**). This result is consistent with observations made with fly Dicer-1<sup>[15]</sup>.

280 Pre-miRs with small apical loops (3-9 nt long) were identified as poor substrates for  
281 human Dicer processing, and RNAs with larger apical loops were preferred by Dicer and

282 Drosha<sup>[49]</sup>. We next examined how increasing the apical loop size impacted Dicer cleavage. We  
283 added non-native nucleotides to the apical loop regions of pre-miR-31 to generate AP+2 (10-nt  
284 loop), AP+5 (13-nt loop) and AP+9 constructs (17-nt loop) (**Fig. 4d**). These larger loop mutants  
285 bound human Dicer ~2-fold weaker than WT (**Fig. 4e, Table S3**). We found that increasing the  
286 apical loop size reduced Dicer processing, but not to the same extent as minimizing the apical  
287 loop size (**Fig. 4f**).

288         The reduction in processing efficiency caused by the presence of a larger apical loop can  
289 be offset by other factors. Previous studies showed that the apical loop or an internal loop 2-nt  
290 from cleavage sites could enhance cleavage efficiency of shRNAs<sup>[17, 21]</sup>. Consistent with previous  
291 studies, we observed WT-level processing for a pre-miR-31 construct which contains an 11-nt  
292 loop positioned 2-nt from the cleavage site (40UUG, **Fig. S14**). Furthermore, the 40UUG  
293 construct generates a U•U mismatch at the dicing site. We demonstrated that dicing site mutants  
294 that have 1x1 internal loops at the dicing site are better substrates for Dicer. The restructuring of  
295 the Dicing site may further compensate the presence of a larger apical loop.

296         In addition to enhanced cleavage efficiency, cleavage accuracy is also affected by the  
297 loop position. Extension of the helical region between the dicing site and the apical loop results  
298 in the generation of mature products of varying lengths. In the G32C/A33C mutant, which shifts  
299 the loop position 2-nt up relative to WT, we detected two mature product bands, while for WT,  
300 only 1 mature product was observed (**Fig. S15**). We conclude that for pre-miRs, loop size can  
301 control Dicer processing efficiency in a bidirectional way. Furthermore, we show that the  
302 position of the loop relative to the dicer processing site is essential for accurate and efficient  
303 cleavage of Dicer, consistent with the previously described loop counting rule<sup>[17]</sup>.

304

## 305 **Junction residues function as critical control elements for Dicer processing**

306 Our NMR-derived structure of FL pre-miR-31 revealed the presence of three base pairs  
307 in a junction region between the apical loop and the dicer cleavage site (**Fig. 1b**). However, *in*  
308 *cell* chemical probing studies revealed that junction residues were highly reactive, suggesting  
309 that these base pairs are absent in the presence of Dicer<sup>[37]</sup>. The high reactivity of these  
310 nucleotides *in cell* is consistent with our *in vitro* chemical probing studies (**Fig. 1a**) which  
311 suggest that pre-miR-31 has a large apical loop region. To resolve these conflicting models, we  
312 designed constructs which stabilized or destabilized the junction residues and examined their  
313 Dicer binding affinity and Dicer cleavage efficiency.

314 To mimic the large open loop structure detected by chemical probing, we mutated  
315 residues G29, U30, and U31 to prevent base pairing in the junction region (29CAA) (**Fig. 5a**).  
316 The processing data for 29CAA reveals that it is a poor substrate for Dicer processing, with only  
317 15% of the 29CAA precursor converted to mature product (**Fig. 5b**). We also designed a  
318 construct to stabilize the junction region, as define by NMR data. Here, the junction A-U base  
319 pairs were replaced with G-C base pairs (GCclamp, **Fig. 5a**). Interestingly, the GCclamp  
320 construct reduced the cleavage efficiency to ~ 60% (**Fig. 5b**). These data suggest that the  
321 stability of the base pairs within the junction region is an important determinant of Dicer  
322 processing.

323 To further elucidate how the junction stability of pre-miR-31 regulates Dicer processing,  
324 we designed two additional junction mutants with different base pairing compositions. The  
325 U30C/A41G construct (1AU base pair and 2 GC base pairs, **Fig. 5a**) is processed as efficiently  
326 as WT (2AU base pairs, 1 GC base pair) at 10 minutes (**Fig 5b**). Whereas the G29A/C42U  
327 mutant (3 AU base pairs, **Fig. 5a**) is processed with ~20% efficiency (**Fig. 5b**). These data

328 suggest that the stability of the junction region is finely tuned to maximize dicer processing  
329 efficiency.

330 To better characterize the junction stability, we performed thermal denaturation  
331 experiments for these constructs. We found that 29CAA, G29A/C42U and WT pre-miR-31 had  
332 similar melting temperatures (**Fig. S16, Table S4**), consistent with a model in which they adopt a  
333 similar open loop structure. The observed melting temperature of U30C/A41G and GCclamp  
334 increased by 1 °C and 1.5 °C, respectively relative to WT pre-miR-31 (**Fig S16, Table S4**). The  
335 observed increase in melting temperature suggests that the base pairs in the junction region of  
336 these RNAs are more stable than WT.

337 We show that 29CAA is poorly processed (**Fig. 5b**), however, this RNA adopts an open  
338 loop structure, consistent with the Dicer-bound structure identified *in cell*<sup>[37]</sup>. Therefore, we  
339 hypothesized that the open loop structure may contribute favorably to dicer binding. We found  
340 that 29CAA and G29A/C42U, which both have destabilized junction regions have similar  
341 binding affinities, which are slightly tighter than WT (**Fig. 5c, Table S3**). However, mutations  
342 that stabilized the junction region (GCclamp, U30C/A41G) exhibited weaker binding relative to  
343 wildtype (**Fig. 5C, Table S3**). Collectively, we observe an inverse relationship between junction  
344 stability ( $T_m$ ) and binding affinity (**Fig. 5d**), consistent with a model in which the binding affinity  
345 between Dicer and the pre-miR substrate is determined by the structural stability at the junction.

346 This delicate balance of structural stability within the junction must be optimized to  
347 maximize both high affinity binding and efficient processing. WT pre-miR-31 is precisely tuned  
348 to maximize both binding affinity and processing efficiency (**Fig. 5e**). While U30C/A41G  
349 maintains high efficiency processing, the increased stabilization of the junction leads to an RNA



350 with reduced binding affinity. Similarly, 29CAA, which has an open loop structure that promotes  
351 high affinity binding is poorly processed (**Fig. 5e**).

352

## 353 **Discussion**

354 miRs play an important role in the post-transcriptional regulation of gene expression in  
355 cells. miRs are themselves subject to post-transcriptional regulation to ensure appropriate levels  
356 of the mature products are produced. Many proteins are known to post-transcriptionally regulate  
357 miR biogenesis at either the Drosha and/or Dicer processing steps <sup>[4, 13, 20]</sup>. While protein-  
358 mediated regulation of miR biogenesis can be an important mechanism of control, the intrinsic  
359 structural features of pri/pre-miRs can also regulate the enzymatic processing of miRs<sup>[4, 19, 23]</sup>. In  
360 fact, in a recent proteomics screen, pre-miR-31 is one of two human miRs (72 miRs examined)  
361 with no identified protein binding partners<sup>[33]</sup>. Therefore, we were interested in uncovering the  
362 RNA-mediated mechanisms regulating miR-31 biogenesis. To better understand the structural  
363 basis for processing, we solved the high-resolution tertiary structure of pre-miR-31. Our  
364 structural and biochemical studies provide a framework for optimized design of shRNAs and  
365 elucidate distinct mechanisms by which RNA structure helps to regulate Dicer-mediated  
366 processing of pre-miR-31 (**Fig. 6**). We found that the presence of mismatches within the pre-  
367 miR-31 stem, while a nearly ubiquitous feature of pre-miRs, did not significantly influence the  
368 processing of pre-miR-31. We also showed that destabilizing the dicing site by introduction of a  
369 larger internal loop inhibited processing of pre-miR-31. Furthermore, we show that apical loop  
370 size controls Dicer processing in a bidirectional manner. Finally, we provide strong evidence that  
371 stability of pre-miR-31 junction region serves as a potent regulatory factor for Dicer binding and  
372 processing.

373 Our structure reveals that pre-miR-31 adopts an elongated A-helical structure with three  
374 mismatches within the stem region. Both the A•A and G•A mismatches are stacked with their  
375 flanking nucleobases. The C•A mismatch is less well-defined. A54 appears to participate in A-  
376 helical stacking while C18 samples many conformations. The dicing site is marked by a highly  
377 ordered 1x2 internal loop and is linked to the 8-nt apical loop by a 3 base pair junction region.

378 We previously showed that A54 has an elevated  $pK_a$  and that a C•A<sup>+</sup> mismatch within  
379 pre-miR-31 can form at near neutral pH<sup>[44]</sup>. A similar pH-regulated conformational switch near  
380 the Dicer cleavage site in pre-miR-21 was shown to regulate Dicer processing<sup>[19]</sup>. However, in  
381 pre-miR-31, we found that formation of a base pair at the mismatch does not regulate Dicer  
382 processing. In fact, our processing assays show that mutations designed to either stabilize or  
383 destabilize the stem mismatches have no effect on Dicer processing. Although the pH-sensitive  
384 mismatch within the stem of pre-miR-31 had no effect on Dicer recognition and processing, this  
385 and other mismatches may help to regulate Drosha processing<sup>[56]</sup>.

386 Previous studies show the importance of secondary structure at the dicing site for Dicer  
387 cleavage of shRNA and some pre-miRs.<sup>[21]</sup> Here, we show that substitution to form a 1x1  
388 internal loop at the Dicing site makes itself a slightly better pre-miR substrate for Dicer  
389 processing than the native 1x2 internal loop or fully base paired structure at dicing site.  
390 However, increasing the internal loop size negatively impacted Dicer processing. Interestingly,  
391 we also found that minimizing or eliminating the internal loop at the dicing site promotes 5'  
392 strand cleavage by Dicer and effectively eliminates the partially processed intermediate,  
393 converting all processed pre-miR to the mature product.

394 Both apical loop size and position contribute to the regulation of Dicer and Drosha  
395 processing<sup>[14, 15, 17, 49]</sup>. Our findings re-emphasized the efficiency control by loop size and

396 efficiency/accuracy control by loop position and provide new insights. Previous studies  
397 demonstrate that the presence of a small apical loop inhibits Dicer cleavage<sup>[15, 49]</sup>. We showed  
398 not only that a small apical loop inhibits Dicer processing, but also that large apical loops  
399 negatively regulate Dicer processing efficiency. We attribute at least a portion of the reduced  
400 processing to the weaker binding to Dicer of pre-miRs with small apical loops. We show that as  
401 the distance between the cleavage site and the apical loop increases, the processing accuracy  
402 decreases. Furthermore, we found that inclusion of a two base pair spacer between the dicing site  
403 and the apical loop compensates for the cleavage inhibition caused by a larger apical loop. These  
404 findings further validated the loop counting rule<sup>[17]</sup> in which Dicer has a higher processing  
405 efficiency and accuracy when the dicing site is positioned two base pairs below the apical or an  
406 internal loop. Our study reveals that loop size is one property that should be optimized when  
407 designing shRNAs where large apical loops can reduce Dicer cleavage.

408         Importantly, we found that the stability of junction region of pre-miR-31 is an inherent  
409 regulatory mechanism. Our NMR-derived secondary structure stands in contrast to one revealed  
410 by both *in cell* chemical probing<sup>[37]</sup> and our own *in vitro* chemical probing studies. Secondary  
411 structures reported based on chemical probing adopt a large apical loop region, where the  
412 junction residues are not engaged in base pairing. We believe that the differences in the NMR  
413 and chemical probing derived structures reflect the likely dynamic nature of the base pairs in the  
414 junction region, information which can be obstructed in the chemical probing studies. Early  
415 chemical probing studies<sup>[57, 58]</sup> suggest that in the cell, RNAs are generally less folded than *in*  
416 *vitro*. Consistent with this hypothesis, recent *in cell* selective 2' hydroxyl acylation analyzed by  
417 primer extension (SHAPE) chemical probing studies revealed that the apical loops of pre-miRs  
418 are less structured than predicted in the miRbase.<sup>[37-43]</sup> Our structural data are consistent with a

419 model in which base pairs in the junction region are very accessible to the solvent and thus more  
420 prone to open, so we believe that both an open and cinched junction region exist in a dynamic  
421 equilibrium.

422 We imagine that these two different pre-miR-31 structures both exist and promote  
423 distinct favorable interactions with Dicer. We therefore sought to determine the different  
424 contributions from the open loop and cinched junction structures. We first examined mutations  
425 designed to stabilize the junction region, favoring a cinched junction, consistent with the NMR-  
426 derived structure. We found that mutations which stabilized the junction region reduced Dicer  
427 binding affinity yet maintained Dicer cleavage. Conversely, we show that mutations which  
428 destabilized the junction region, promoting an open apical loop structure, promote binding to  
429 Dicer yet inhibit processing. The open apical loop structure sequesters the Dicer cleavage sites in  
430 the loop, which may account for the reduced processing efficiency. Collectively, we found that  
431 the stability of the pre-miR-31 junction region is optimized to sample both open and cinched  
432 conformations to promote both high affinity binding and high efficiency processing. These  
433 findings enrich the understanding of how distinct conformations of pre-miR-31 contribute to  
434 Dicer binding and processing.

435 Our newly resolved 3D structure of pre-miR-31 in its processing-competent conformation  
436 and elucidation of its intrinsic regulatory mechanism informs on the important role that pre-miR  
437 apical loop plasticity plays in controlling Dicer processing. Our structural and biochemical  
438 studies are consistent with proposed models of pre-miR processing based on cryo-EM structures  
439 of human Dicer<sup>[59]</sup> and fly Dicer-1<sup>[60]</sup> bound with pre-miRs. The pre-let-7 bound human Dicer  
440 structure revealed that the pre-let-7 RNA adopts multiple conformations<sup>[59]</sup>. In the “pre-dicing  
441 state,” Wang and co-workers posit that the pre-let-7 RNA first binds before the structure is

442 adjusted to form a more stable stem<sup>[59]</sup>. This hypothesis is consistent with our findings that the  
443 pre-miR-31 large apical loop structure is the preferred substrate for Dicer binding, but that the  
444 structure with a cinched junction region is a “dicing-competent” structure. The recent cryo-EM  
445 structures of fly Dicer-1 reveal further details of the Dicer-1-pre-miR structure in the “Dicing”  
446 state<sup>[60]</sup>. In the “Dicing” structure, the dicing activity of Dicer-1 is inhibited by replacing Mg<sup>2+</sup>  
447 with Ca<sup>2+</sup>. The structure reveals that the pre-miR is highly structured in the “Dicing” state, with  
448 the Dicing site sequestered in an A-form helical structure and several base pairs present above  
449 the Dicing site. This “Dicing” structure is consistent with our NMR-derived structure, where the  
450 stabilization of additional base pairs in the apical loop promotes formation of an extended A-  
451 helical structure above the dicing site. Our data suggest that pre-miR-31 is “pre-structured” for  
452 Dicer processing. Further structural studies will be necessary to fully-characterize the structural  
453 changes in both the pre-miR and Dicer throughout the catalytic cycle.

454

## 455 **Methods**

### 456 Preparation of recombinant human Dicer

457 Human Dicer protein was purified as previously described<sup>[61, 62]</sup> with modifications. Sf9 cells  
458 with infected His-tagged Dicer baculovirus is purchased from University of Michigan protein  
459 core. The cell pellet was lysed in ice-cold lysis buffer (50 mM Na<sub>2</sub>HPO<sub>4</sub> pH = 8.0, 300 mM  
460 NaCl, 0.5% Triton X-100, 5% glycerol, 0.5 mM tris(2-carboxyethyl) phosphine (TCEP) and 10  
461 mM imidazole) by sonication. The lysate was pelleted by centrifugation at 30,000 x g for 30 min  
462 and the supernatant was mixed with 5 mL pre-equilibrated Ni-NTA resin (Qiagen) in a 50 mL  
463 falcon tube. After gently rocking for 1 h at 4 °C, the resin was pelleted by centrifugation at 183 x  
464 g for 10 min. The resin was washed with 45 mL wash buffer (50 mM Na<sub>2</sub>HPO<sub>4</sub> pH = 8.0, 300

465 mM NaCl, 5% glycerol, 0.5 mM TCEP and 20 mM imidazole) 5 times and eluted with elution  
466 buffer (50 mM Na<sub>2</sub>HPO<sub>4</sub> pH = 8.0, 300 mM NaCl, 5% glycerol, 0.5 mM TCEP and 300 mM  
467 imidazole). The elutions were dialyzed against dialysis buffer (20 mM Tris pH = 7.5, 100 mM  
468 NaCl, 1 mM MgCl<sub>2</sub>, 0.1% Triton X-100, 50% glycerol). Purified protein was stored at -80 °C  
469 and total protein concentration was determined by Bradford assay (Thermo Fisher Scientific) and  
470 the concentration of Dicer was quantified using ImageJ.

471

#### 472 Preparation of DNA templates

473 DNA templates for oligo RNAs were purchased from Integrated DNA Technologies  
474 (**Table S5**). The DNA templates for *in vitro* transcription were created by annealing the DNA  
475 oligonucleotides with an oligonucleotide corresponding to the T7 promoter sequence (5'-  
476 TAATACGACTCACTATA-3'). Templates were prepared by mixing the desired DNA  
477 oligonucleotide (40 µL, 200 µM) with the complementary oligonucleotide to T7 promoter  
478 sequence (20 µL, 600 µM) together, boiling for 3 min, and then slowly cooling to room  
479 temperature. The annealed template was diluted with water prior to use to produce the partially  
480 double-stranded DNA templates at a final concentration approximately 8 µM.

481

#### 482 Preparation of plasmid templates for *in vitro* transcription

483 The templates for preparation of the extended pre-miR-31 for DMS-MaPseq and FL pre-  
484 miR-31 for NMR studies were generated by overlap-extension (OE) polymerase chain reaction  
485 (PCR) using EconoTaq PLUS 2x Master Mix (Lucigen) with primers listed in **Tables S6 and S7**.  
486 The OE PCR template was digested with EcoRI and BamHI restriction enzymes and inserted  
487 into the pUC-19 plasmid. DNA templates for use in *in vitro* transcription reactions were

488 amplified with EconoTaq PLUS 2x Master Mix (Lucigen) using primers UNIV-pUC19\_E105  
489 and miR\_tail\_3buffer\_REV (DMS) or miR31\_4R (NMR, **Table S8**).

490 To ensure the native pre-miR-31 used for processing contained homogeneous 5'-AG  
491 sequence, of we included a hammerhead (HH) ribozyme 5' of the pre-miR-31 sequence<sup>[63]</sup>. The  
492 native pre-miR-31 template, used to make RNA for processing studies, was generated by OE  
493 PCR using EconoTaq PLUS 2x Master Mix (Lucigen) with primers listed in **Table S9**. The OE  
494 PCR template was digested with EcoRI and BamHI restriction enzymes and inserted into pUC-  
495 19 plasmid. The HH-pre-miR-31-HDV plasmid, which was designed to ensure a homogeneous 3'  
496 end of the transcript, was generated by inserting HDV ribozyme sequence to 3' end of HH-pre-  
497 miR-31 plasmid construct using the Q5 site-directed mutagenesis kit (New England Biolabs) with  
498 primers HH-miR-31-HDV-mut-F and HH-miR-31-HDV-mut-R (**Table S10**). All subsequent  
499 mutations, deletions, and/or insertions were achieved via site-directed mutagenesis (New  
500 England Biolabs Q5 site-directed mutagenesis kit) of the HH-pre-miR-31-HDV plasmid with  
501 primers listed in **Table S10**. Templates prepared from plasmids were amplified with EconoTaq  
502 PLUS 2x Master Mix (Lucigen) using primers UNIV-pUC19\_E105 and HDV-AMP-R (**Table**  
503 **S8**). All primers were purchased from Integrated DNA Technologies. Plasmid identity was  
504 verified by Sanger sequencing (Eurofins Genomics) using the universal M13REV sequencing  
505 primer.

506

#### 507 Preparation of RNA

508 RNAs were prepared by *in vitro* transcription in 1× transcription buffer [40 mM Tris base, 5 mM  
509 dithiothreitol (DTT), 1 mM spermidine and 0.01% Triton-X (pH = 8.5)] with addition of 3–6  
510 mM ribonucleoside triphosphates (NTPs), 10–20 mM magnesium chloride (MgCl<sub>2</sub>), 30–40

511 ng/ $\mu$ L DNA template, 0.2 unit/mL yeast inorganic pyrophosphatase (New England Biolabs)<sup>[64]</sup>,  
512 ~15  $\mu$ M T7 RNA polymerase and 10–20% (v/v) dimethyl sulfoxide (DMSO). Reaction mixtures  
513 were incubated at 37 °C for 3–4 h, with shaking at 70 rpm, and then quenched using a solution of  
514 7 M urea and 500 mM ethylenediaminetetraacetic acid (EDTA), pH = 8.5. Reactions were boiled  
515 for 3 min and then snap cooled in ice water for 3 min. The transcription mixture was loaded onto  
516 preparative-scale 10% denaturing polyacrylamide gels for purification. Target RNAs were  
517 visualized by UV shadowing and gel slices with RNA were excised. Gel slices were placed into  
518 an elutrap electroelution device (The Gel Company) in 1X TBE buffer. RNA was eluted from the  
519 gel at constant voltage (120 V) for ~24 h. The eluted RNA was spin concentrated, washed with 2  
520 M high-purity sodium chloride, and exchanged into water using Amicon-15 Centrifugal Filter  
521 Units (Millipore, Sigma). RNA purity was confirmed on 10% analytical denaturing gels. RNA  
522 concentration was quantified via UV-Vis absorbance. Sequences for all RNAs is provided in  
523 **Table S11.**

524

#### 525 Dimethyl sulfate (DMS) modification of pre-miR-31 RNA

526 3  $\mu$ g of pre-miR-31-tail RNA was denatured at 95 °C for 1 min and incubated on ice for another  
527 3 min. Refolding buffer (300 mM sodium cacodylate and 6 mM MgCl<sub>2</sub>) was added to reach total  
528 volume of 97.5  $\mu$ L (for the 0% control), 97.5  $\mu$ L (for 2.5% modified sample) or 95  $\mu$ L (for 5%  
529 modified sample). The RNA was incubated in refolding buffer at 37 °C for 40 min. The RNA  
530 was treated with either 2.5  $\mu$ L DMSO (0% DMS), 2.5  $\mu$ L DMS (2.5% DMS) or 5  $\mu$ L DMS (5%  
531 DMS) followed by incubation at 37 °C while shaking at 250 rpm for 10 min. 60  $\mu$ L  $\beta$ -  
532 mercaptoethanol was added to each reaction to neutralize the residual DMS. The modified RNA



533 was purified using RNA Clean and Concentrator-5 kit (Zymo) according to manufacturer's  
534 instructions.

535

#### 536 RT-PCR with DMS-modified RNA

537 The methylated RNA was reverse transcribed as follows. 0.2  $\mu$ M DMS-modified RNA, 2  $\mu$ l 5 $\times$   
538 first strand buffer (ThermoFisher Scientific), 1  $\mu$ l 10  $\mu$ M reverse primer (miR\_tail\_RT, **Table**  
539 **S6**), 1  $\mu$ l dNTP, 0.5  $\mu$ l 0.1 M DTT, 0.5  $\mu$ l RNaseOUT and 0.5  $\mu$ l thermostable group II intron  
540 reverse transcriptase, 3<sup>rd</sup> generation (TGIRT-III, Ingex) were mixed. The mixture was incubated  
541 at 57  $^{\circ}$ C for 30 min. After the 30 min incubation, the temperature was increased to 85  $^{\circ}$ C for 5  
542 min. 1  $\mu$ L RNase H (New England Biolabs) was added to the mixture to digest the RNA. The  
543 reverse-transcribed DNA was PCR amplified using Phusion (NEB) for 27 cycles according to  
544 the manufacturer's instruction using primers miR31\_buffer\_F and miR\_tail\_RT (**Table S6**). The  
545 PCR product was purified by GeneJET PCR purification kit (ThermoFisher Scientific).

546

#### 547 DMS-MaPseq of pre-miR-31 RNA

548 Illumina sequencing adapters were added by ligation mediated PCR using the NEBNext UltraII  
549 DNA Library Prep Kit (New England BioLabs). The libraries were Bioanalyzed on a high  
550 sensitivity DNA chip, size selected and sequenced on Illumina Miseq 600 cycles (300x300  
551 paired end). The resulting sequencing reads were adapter trimmed using Trim Galore and aligned  
552 using bowtie2 ("bowtie2 --local --no-unal --no-discordant --no-mixed --phred33 40 -L 12").  
553 Each read was compared to its reference sequence to count how many mutations occurred at  
554 each nucleotide. All sequencing reads were combined together to calculate the average mutations  
555 per base and create a mutational profile.

556

557 Isotopic labeling of RNAs for NMR.

558 Isotopically-labeled RNAs were produced as described above by replacing the rNTP mixture  
559 with rNTPs of appropriate isotope labeling.  $^{15}\text{N}/^{13}\text{C}$  rNTPs were obtained from Cambridge  
560 Isotope Laboratories (CIL, Andover, MA). The partially- and per-deuterated rNTPs used for *in*  
561 *vitro* transcription were obtained from Cambridge Isotope Laboratories (CIL, Andover, MA) or  
562 generated in house, as described below. Protiation at the C8 position of perdeuterated rGTP and  
563 rATP was achieved by incubation with triethylamine (TEA, 5 equiv) in  $\text{H}_2\text{O}$  (60 °C for 24 h and  
564 for 5 days, respectively). Deuteration of the C8 position of fully protiated GTP and ATP was  
565 achieved by analogous treatment with  $\text{D}_2\text{O}$  (99.8% deuteration; CIL). TEA was subsequently  
566 removed by lyophilization.

567

568 NMR experiments.

569 Samples for NMR experiments of Top, TopA, pre-miR-31 and FL pre-miR-31 were  
570 prepared in 300-350  $\mu\text{L}$  100%  $\text{D}_2\text{O}$  (99.8% deuteration; CIL) or 10%  $\text{D}_2\text{O}/90\%$   $\text{H}_2\text{O}$ , 50 mM K-  
571 phosphate buffer (pH 7.5), 1 mM  $\text{MgCl}_2$  of 300-600  $\mu\text{M}$  RNA in Shigemi NMR sample tubes.  
572 NMR spectra were collected on 600 and 800 MHz Bruker AVANCE NEO spectrometers  
573 equipped with a 5 mm three channel inverse (TCI) cryogenic probe (University of Michigan  
574 BioNMR Core). NMR spectra of Top and TopA were recorded at 30°C and of pre-miR-31 and  
575 FL pre-miR-31 at 37 °C. The isotopic labeling scheme of FL pre-miR-31 used in specific NMR  
576 experiment is indicated in the figure legends. NMR data were processed with NMRFX<sup>[65]</sup> and  
577 analyzed with NMRViewJ<sup>[66]</sup>.  $^1\text{H}$  chemical shifts were referenced to water and  $^{13}\text{C}$  chemical  
578 shifts were indirectly referenced from the  $^1\text{H}$  chemical shift<sup>[67]</sup>.

579 The signals of nonexchangeable protons of Top and TopA were assigned based on  
580 analysis of 2D  $^1\text{H}$ - $^1\text{H}$  NOESY ( $\tau_m = 400$  ms), 2D  $^1\text{H}$ - $^1\text{H}$  TOCSY ( $\tau_m = 80$  ms), and  $^1\text{H}$ - $^{13}\text{C}$   
581 HMQC spectra. Additionally, the 2D NOESY spectrum ( $\tau_m = 400$  ms) was recorded for  $\text{A}^{\text{HCH}}$ -  
582 labeled Top RNA (A and C fully protiated, G and U perdeuterated). Non-exchangeable  $^1\text{H}$   
583 assignments of FL pre-miR-31 were obtained from 2D NOESY data ( $\tau_m = 400$  ms) recorded on  
584 fully protiated FL pre-miR-31 and  $\text{A}^{2\text{rG}^{\text{r}}}$ -,  $\text{A}^{2\text{rG}^{\text{r}}\text{U}^{\text{r}}}$ -,  $\text{A}^{\text{HCH}}$ - and  $\text{G}^{\text{HU}^{\text{6r}}}$ -labeled FL pre-miR-31  
585 (superscripts denote sites of protiation on a given nucleoside, all other sites deuterated).  $^1\text{H}$ - $^1\text{H}$   
586 TOCSY and  $^1\text{H}$ - $^{13}\text{C}$  HSQC spectra of  $^{15}\text{N}/^{13}\text{C}$  AG-labeled FL pre-miR-31 were analyzed to  
587 facilitate the assignment. The NMR samples for pH titration were prepared with  $300 \mu\text{M}$   $^{15}\text{N}$   
588 AU-labeled FL pre-miR-31 in 10%  $\text{D}_2\text{O}/90\%$   $\text{H}_2\text{O}$ , 1 mM  $\text{MgCl}_2$  and 10 mM K-phosphate  
589 buffer with pH values 5.8, 6.2, 6.5, 7.0, 7.5 and 8.0.

590 A best-selective long-range HNN-COSY<sup>[48]</sup> was recorded to identify AU base pairing in  
591 FL pre-miR-31. The spectrum was recorded on  $560 \mu\text{M}$   $^{15}\text{N}$  AU-labeled FL pre-miR-31 in 10%  
592  $\text{D}_2\text{O}/90\%$   $\text{H}_2\text{O}$ , 50 mM K-phosphate buffer (pH=7.5) and 1 mM  $\text{MgCl}_2$ . 64 complex points were  
593 recorded with a sweep width of 7.4 kHz for  $^{15}\text{N}$ , and 2048 complex points with a sweep width of  
594 16.6 kHz for  $^1\text{H}$ , 1368 scans per complex increment at 37 °C and 800 MHz.

595 NMR solvent paramagnetic relaxation enhancement (sPRE)<sup>[68]</sup>, data of FL pre-miR-31  
596 were obtained by measuring R1 relaxation rates<sup>[69]</sup> as a function of the concentration of  
597 paramagnetic compound  $\text{Gd}(\text{DTPA-BMA})$ <sup>[70]</sup>. We acquired  $^1\text{H}$ - $^{13}\text{C}$  HSQC-based pseudo-3D  
598 experiments at 0.0, 0.8, 1.6, 2.4, 3.2 and 4.8 mM concentration of the paramagnetic compound.  
599 Data were acquired on sample containing  $480 \mu\text{M}$   $^{15}\text{N}/^{13}\text{C}$  A,G-labeled FL pre-miR-31 in 100%  
600  $\text{D}_2\text{O}$  (99.8% deuteration; CIL), 50 mM K-phosphate buffer (pD=7.5) and 1 mM  $\text{MgCl}_2$  at 800  
601 MHz using nine delays (0.02-2s) with two repetitions at every titration point. The data were

602 processed and analyzed using NMRFX<sup>[65]</sup>. The sPRE values were obtained from the peak  
603 intensities of well-resolved peaks in the <sup>1</sup>H-<sup>13</sup>C HSQC-based pseudo-3D experiments. These  
604 intensities were fitted to an exponential function (equation 1)<sup>[69]</sup>

$$605 \quad I = Ae^{-xR_1} \quad (1)$$

606 where I is the intensity of the peak, A is the amplitude of the relaxation and R<sub>1</sub> is the longitudinal  
607 proton relaxation rate. The sPRE values were obtained from the R<sub>1</sub> rates determined in the  
608 presence of different concentrations of paramagnetic compound Gd(DTPA-BMA) (equation  
609 2)<sup>[68]</sup>

$$610 \quad R_1(c_{Gd}) = m_{sPRE} + R_1^0 \quad (2)$$

611 where R<sub>1</sub>(c<sub>Gd</sub>) is the R<sub>1</sub> measured at the concentration of the paramagnetic compound (c<sub>Gd</sub>), the  
612 slope m<sub>sPRE</sub> corresponds to the sPRE and R<sub>1</sub><sup>0</sup> is the fitted R<sub>1</sub> in the absence of the paramagnetic  
613 compound. The error of the sPRE value Δm<sub>sPRE</sub> were obtained from the linear regression as  
614 described previously<sup>[68]</sup>.

615 We measured <sup>1</sup>H-<sup>13</sup>C RDCs using IPAP-HSQC experiments<sup>[71]</sup> for <sup>15</sup>N/<sup>13</sup>C AG-labeled  
616 FL pre-miR-31. Two samples were prepared, an isotropic sample containing 400 μM RNA in  
617 90% H<sub>2</sub>O/10% D<sub>2</sub>O, 50 mM K-phosphate buffer (pH=7.5) and 1 mM MgCl<sub>2</sub>, and an anisotropic  
618 sample containing 600 μM FL pre-miR-31 in the same solvent but also including 10 mg/mL Pfl  
619 phage, yielding a solvent <sup>2</sup>H quadrupole splitting of 11 Hz. 110 complex points were recorded  
620 with a sweep width of 8 kHz for <sup>13</sup>C, and 32768 complex points with a sweep width of 14.7 kHz  
621 for <sup>1</sup>H, 200 scans per complex increment at 800 MHz. Spectra were processed and analyzed with  
622 Bruker Topspin.

623

624 Structure calculations.

625 CYANA was used to generate 640 initial structures via simulated annealing molecular  
626 dynamics calculations over 128,000 steps. Upper limits for the NOE distance restraints generally  
627 set at 5.0 Å for weak, 3.3 Å for medium, and 2.7 Å for strong signals, based on peak intensity.  
628 Notable exceptions included intraresidue NOEs between H6/H8 and H2' (4.0 Å) and H3' (3.0 Å).  
629 For very weak signals, 6.0 Å upper limit restraints were used, including for sequential H1'-H1'  
630 NOEs and intraresidue H5-H1' NOEs. Standard torsion angle restraints were included for regions  
631 with A-helical geometry, allowing for  $\pm 25^\circ$  deviations from ideality ( $\zeta=-73^\circ$ ,  $\alpha=-62^\circ$ ,  $\beta=180^\circ$ ,  
632  $\gamma=48^\circ$ ,  $\delta=83^\circ$ ,  $\epsilon=-152^\circ$ ). Torsion angles for mismatches were further relaxed to allow for  $\pm 75^\circ$   
633 deviation from ideality. Hydrogen bonding restraints were included for experimentally validated  
634 base pairs as were standard planarity restraints. Cross-strand P-P distance restraints were  
635 employed for A-form helical regions to prevent the generation of structures with collapsed major  
636 grooves.<sup>[72]</sup> A grid search was performed over a broad range of tensor magnitude and rhombicity  
637 with weighting of the experimentally determined  $^1\text{H}$ - $^{13}\text{C}$  residual dipolar couplings (RDCs)  
638 constraints. 40 input structures were further minimized after singular value decomposition fits of  
639 the RDC weights.

640 The top 20 CYANA-derived structures were then subjected to molecular dynamics  
641 simulations and energy minimization with AMBER.<sup>[73]</sup> Only upper limit NOE, hydrogen bond,  
642 and dipolar coupling restraints were used, along with restraints to enforce planarity of aromatic  
643 residues and standard atomic covalent geometries and chiralities.<sup>[72, 74]</sup> Backbone torsion angle  
644 and phosphate-phosphate restraints were excluded during AMBER refinement. Calculations  
645 were performed using the RNA.OL3<sup>[75]</sup> and generalized Born<sup>[76]</sup> force fields. NMR restraints and  
646 structure statistics are presented in **Table S1**.

647

648 <sup>32</sup>P labeling of RNA

649 The 5'-end labeling of RNA was performed using 5 pmol of RNA, 1  $\mu$ L  $\gamma$ -<sup>32</sup>P-ATP  
650 (PerkinElmer) and 10 U T4 polynucleotide kinase (New England Biolabs) in a final volume of  
651 10  $\mu$ L. Before labeling, RNA was boiled for 3 minutes, and snap cooled by placing on ice for  
652 another 3 minutes. The radiolabeled RNA was purified on a G-25 column (Cytiva) according to  
653 the manufacturer's instructions. The radiolabeled RNA concentration was determined based on a  
654 standard curve which was obtained from the counts per minute of the  $\gamma$ -<sup>32</sup>P-ATP source.

655

656 Dicer processing assay

657 Human Dicer protein processing assay was performed as previously described with minimal  
658 modifications<sup>[19]</sup>. Concentrated recombinant human Dicer protein was diluted in 1X Dicing  
659 buffer (24 mM HEPES or 24 mM Bis-Tris, pH 7.5, 100 mM NaCl, 5 mM MgCl<sub>2</sub>, 4  $\mu$ M EDTA).  
660 Dicer enzyme was pre-mixed with 80 U RNaseOUT Recombinant Ribonuclease Inhibitor  
661 (Thermo Fisher Scientific) and 5X dicing buffer (120 mM HEPES or 120 mM Bis-Tris, pH 7.5,  
662 0.5 M NaCl, 25 mM MgCl<sub>2</sub>, 0.02 mM EDTA). The <sup>32</sup>P-labeled RNA was heated to 95°C for 3  
663 min and then placed on ice for another 3 min. The RNA (1  $\mu$ L) was added to pre-mixed solution  
664 (9  $\mu$ L) and incubated at 37°C. The final RNA and enzyme concentration are 2 nM and 20 nM,  
665 respectively. The reaction is quenched by adding 10  $\mu$ L quench buffer (98% Formamide, 20 mM  
666 EDTA, trace bromophenol blue and xylene cyanol) at 20, 40, 60, 120, 180, 240, 300, 420 and  
667 600 sec respectively. After sample was run on a 12% denaturing polyacrylamide gel, the gel was  
668 exposed to a phosphor screen, which was scanned by a Typhoon Phosphor Imager (GE  
669 Healthcare). The gel image was quantified analyzed by ImageJ. The Dicer cleavage ratio was

670 calculated as the sum of the intensity of products and partially digested products divided by the  
671 sum of the intensity of the products, partially digested products, and remaining substrate.  
672 Experiments were performed in triplicate. The average, and standard deviation of the  
673 measurements are reported.

674

#### 675 Electrophoretic mobility shift assay

676 Varied amount of Human recombinant Dicer (5 nM, 10 nM, 20 nM, 50 nM, 75 nM, 100 nM, 250  
677 nM, 525 nM and 1  $\mu$ M) was incubated with  $^{32}$ P-labeled RNA in 24 mM HEPES (pH 7.5), 100  
678 mM NaCl, 5 mM CaCl<sub>2</sub> on ice for 40 minutes. 5  $\mu$ L 50% glycerol with trace bromophenol blue  
679 and xylene cyanol was added to the mixture and samples were run at 6% native polyacrylamide  
680 gel. Then the gel was dried using a gel drying kit (Promega) and exposed to a phosphor screen  
681 (overnight). The screen was scanned on a Typhoon Phosphor Imager (GE Healthcare) and  
682 quantified and analyzed using ImageJ. Binding ratio was calculated as the intensity of the shifted  
683 RNA divided by the intensity of the free RNA and shifted RNA<sup>[49]</sup>. The data were analyzed  
684 using equation 3:

$$685 \quad \textit{fraction bound} = B \times \frac{[Dicer]}{(K_D + [Dicer])} \quad (3)$$

686 where B is the amplitude of the binding curve<sup>[77]</sup>. Experiments were performed in triplicate. The  
687 average, and standard deviation of the measurements are reported.

688

#### 689 CD-thermal denaturation of RNA and data analysis

690 CD-thermal denaturing of RNAs were performed on JASCO J1500CD spectrometer with a  
691 heating rate of 1  $^{\circ}$ C per min from the 5  $^{\circ}$ C to 95  $^{\circ}$ C. Data points were collected every 0.5  $^{\circ}$ C with  
692 absorbance detection at 260 nm. 20  $\mu$ M RNA samples were premixed in potassium phosphate

693 buffer (pH=7.5) with 1 mM MgCl<sub>2</sub>. The single transition unfolding melting profiles were  
694 analyzed using a two-state model using sloping baselines (equation 4)<sup>[78]</sup>.

695

$$f(T) = \frac{(m_u T + b_u) + (m_f T + b_f) e^{\left[\frac{\Delta H}{R}\right]\left[\frac{1}{(T_m + 273.15)} - \frac{1}{(T + 273.15)}\right]}}{1 + e^{\left[\frac{\Delta H}{R}\right]\left[\frac{1}{(T_m + 273.15)} - \frac{1}{(T + 273.15)}\right]}} \quad (4)$$

696 where  $m_u$  and  $m_f$  are the slopes of the lower (unfolded) and upper (folded) baselines,  $b_u$  and  $b_f$   
697 are the y-intercepts of the lower and upper baselines, respectively.  $\Delta H$  (in kcal/mol) is the  
698 enthalpy of folding and  $T_m$  (in °C) is the melting temperature,  $R$  is the gas constant (0.001987  
699 kcal/(Kmol)). Experiments were performed in triplicate. The average, and standard deviation of  
700 the measurements are reported.

701

702



703 **Data availability**

704 Resonance assignments have been deposited in the BMRB (miR-31\_TopA: 51697, miR-31\_Top:  
705 51698, pre-miR-31: 31061). NMR-derived structures have been deposited in the PDB (pre-miR-  
706 31: 8FCS). Fastq files were deposited in Gene Expression Omnibus (GEO), accession number  
707 pending.

708

709 **Conflicts of interest:** The authors declare that they have no conflict of interest.

710

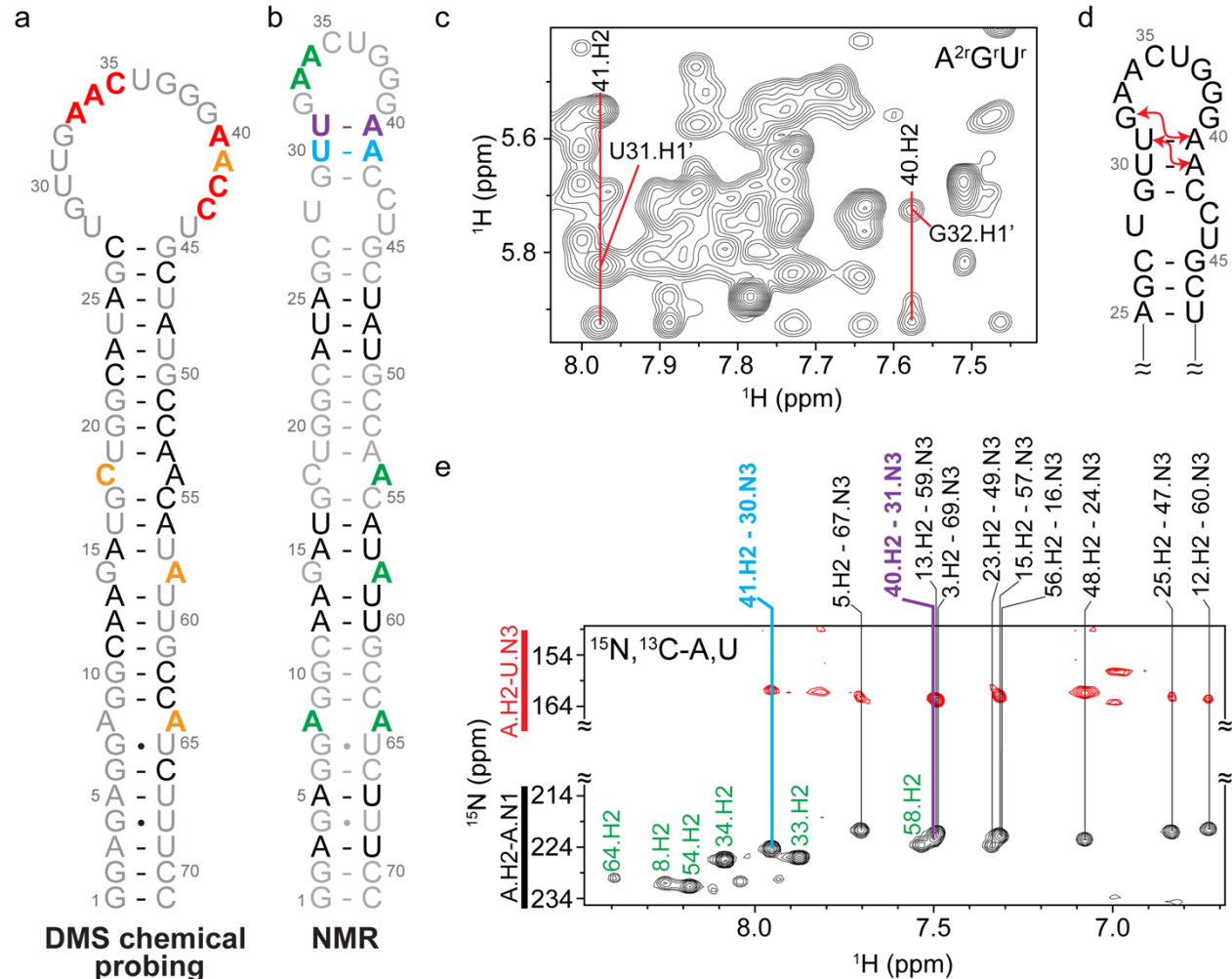
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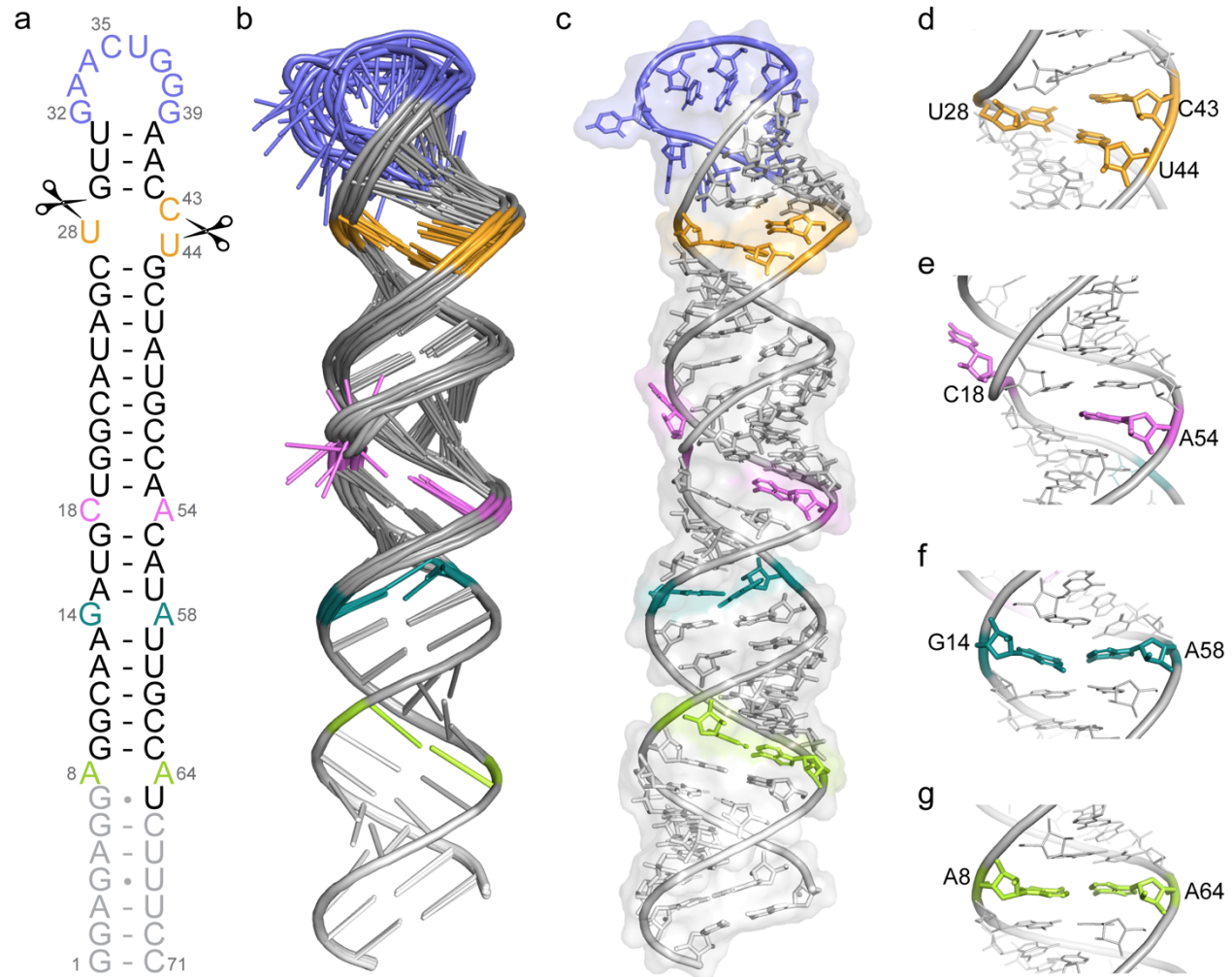
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723

724 **Figure 1. Conflicting secondary structure models for pre-miR-31 apical loop.** **a)** Secondary  
 725 structure derived from *in vitro* DMS-MapSeq where coloring denotes reactivity of given bases.  
 726 Red=high reactivity, orange=medium reactivity, black=low reactivity, gray=no data available. **b)**  
 727 Secondary structure derived from NMR characterization. Coloring is based on identification of  
 728 A-U base pairs (see panel **e**). **c)** Portion of a 2D  $^1\text{H}$ - $^1\text{H}$  NOESY spectrum of an  $\text{A}^{2r}\text{G}^r\text{U}^r$ -labeled  
 729 FL pre-miR-31. Adenosine cross-strand NOEs consistent with helical stacking in the junction  
 730 region are indicated. **d)** Secondary structure of the apical loop region highlighting NOEs noted in  
 731 **c** with red arrows. **e)** Best-selective long-range HNN-COSY spectrum identifying A-U base pairs  
 732 within FL pre-miR-31. Black peaks are adenosine H2-N1 correlations, red peaks are adenosine  
 733 H2-uracil N3 correlations. Vertical lines indicate the detection of A-U base pairs. Unpaired  
 734 adenosines are denoted in green, A-U base pairs in the stem region are denoted in black, junction  
 735 A-U base pairs are denoted in cyan and purple.

736

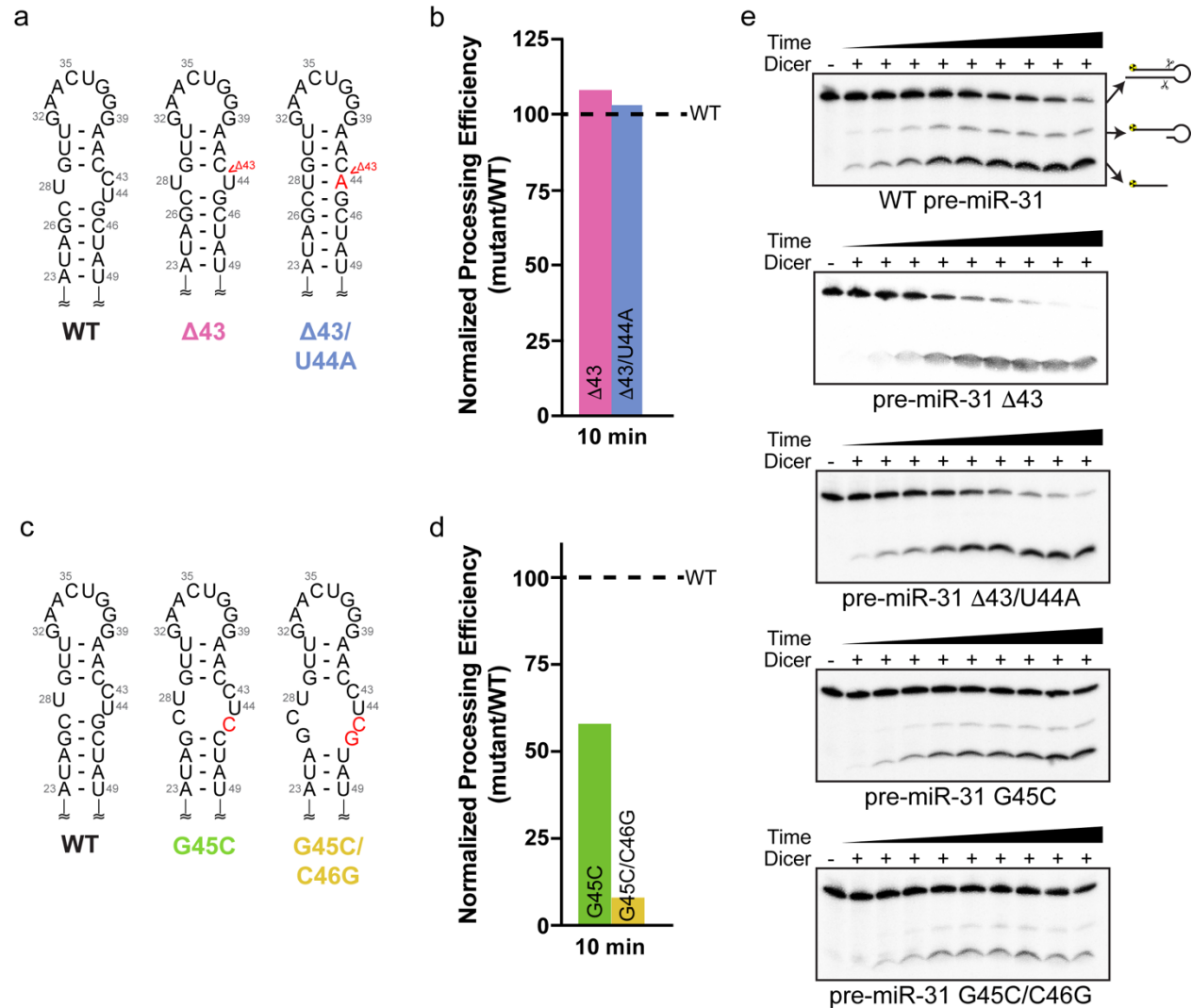
737



738

739 **Figure 2. Tertiary structure of pre-miR-31. a)** NMR-derived secondary structure of FL-pre-  
740 pre-miR-31. Dicer cleavage sites are indicated with scissors. Gray nucleotides were included in  
741 structural studies but are not present in a Dicing-competent WT pre-miR-31. **b)** Ensemble of 10  
742 lowest energy structures after RDC refinement superimposed over residues 1-13 and 59-71. **c)**  
743 Lowest energy structure of pre-miR-31 with a transparent surface rendering. **d)** Enlarged view of  
744 the dicing site, colored orange. **e)** Enlarged view of the C•A mismatch, colored pink. **f)** enlarged  
745 view of the G•A mismatch, colored teal. **g)** Enlarged view of the A•A mismatch, colored green.

746



747

748 **Figure 3. Structure at the dicing site serves as a control element for Dicer processing. a)**

749 Secondary structures of constructs designed to minimize the internal loop at the dicing site.

750 Mutations are indicated with red lettering. **b)** Dicer processing efficiency for  $\Delta 43$  and  $\Delta 43/U44A$

751 mutants normalized to WT pre-miR-31 at 10 min. **c)** Secondary structures of constructs designed

752 to expand the internal loop at the dicing site. Mutations are indicated with red lettering. **d)** Dicer

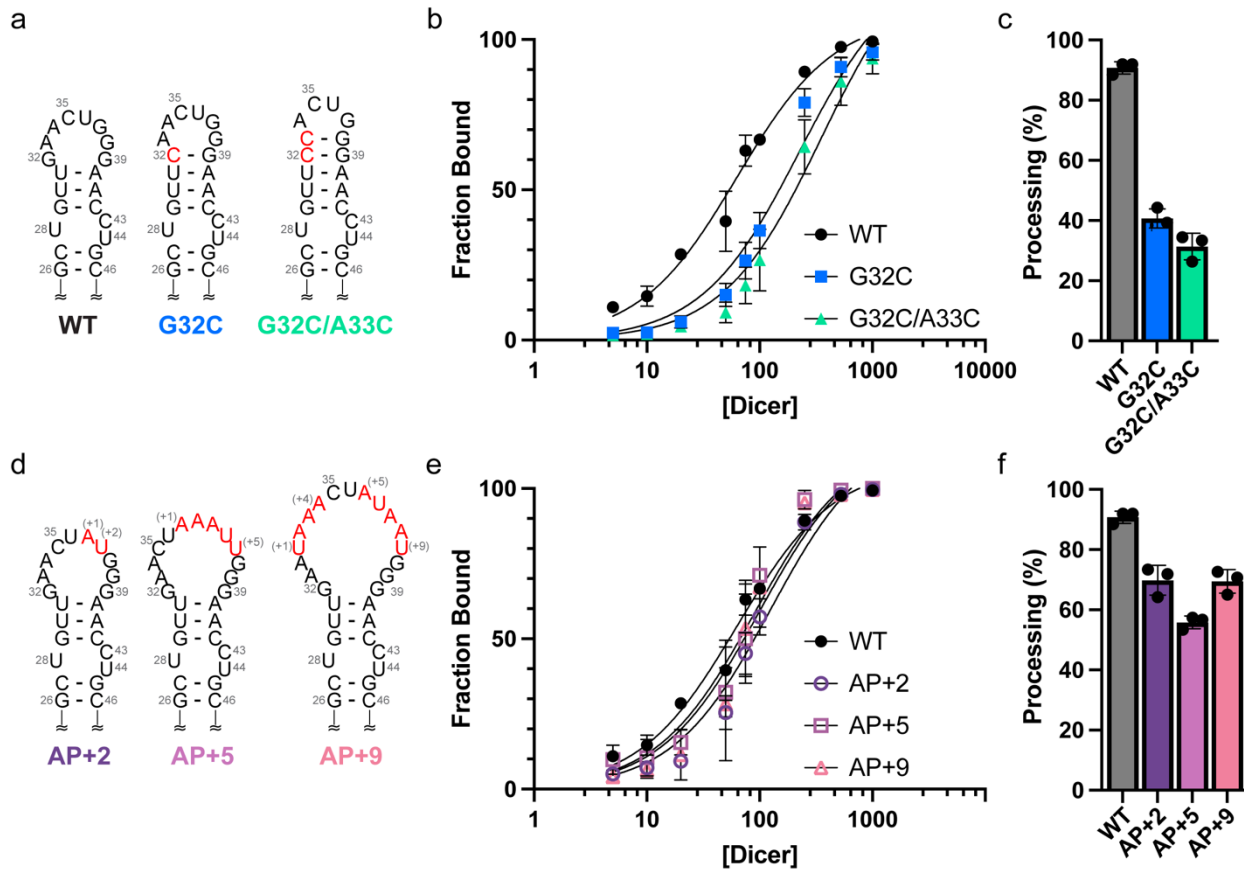
753 processing efficiency for G45C and G45C/C46G mutants normalized to WT pre-miR-31 at 10

754 min. **e)** Processing assay gels of hDicer (20 nM) with WT and dicing site mutant pre-miR-31

755 RNAs (2 nM) at pH = 7.5.

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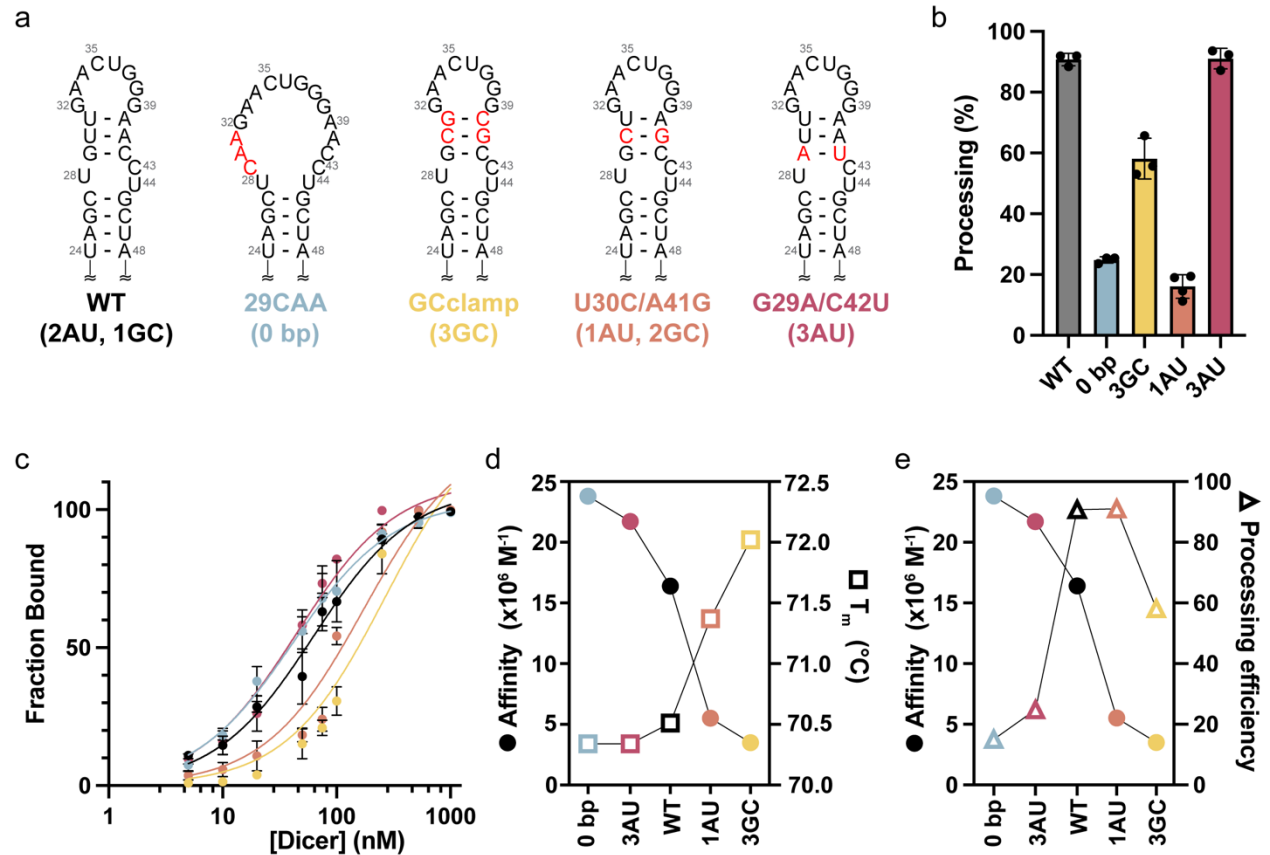


758

759 **Figure 4. Apical loop size is optimized for efficient Dicer binding and processing. a)**  
 760 Secondary structures of constructs designed to minimize the pre-miR-31 apical loop. Mutations  
 761 are indicated with red lettering. **b)** Quantification of the binding affinity of pre-miR-31 RNAs  
 762 with Dicer. Solid lines represent best fits to a one site specific binding equation. **c)** Histogram  
 763 quantifying the Dicer processing efficiencies of pre-miR-31 RNAs at 10 min. **d)** Secondary  
 764 structures of constructs designed to extend the pre-miR-31 apical loop. Insertions are indicated  
 765 with red lettering. **e)** Quantification of the binding affinity of pre-miR-31 RNAs with Dicer.  
 766 Solid lines represent best fits to a one site specific binding equation. **f)** Histogram quantifying the  
 767 Dicer processing efficiencies of pre-miR-31 RNAs at 10 min. For all binding and processing  
 768 assays, average and standard deviation from n=3 independent assays are presented. Individual  
 769 replicates shown with black circles.

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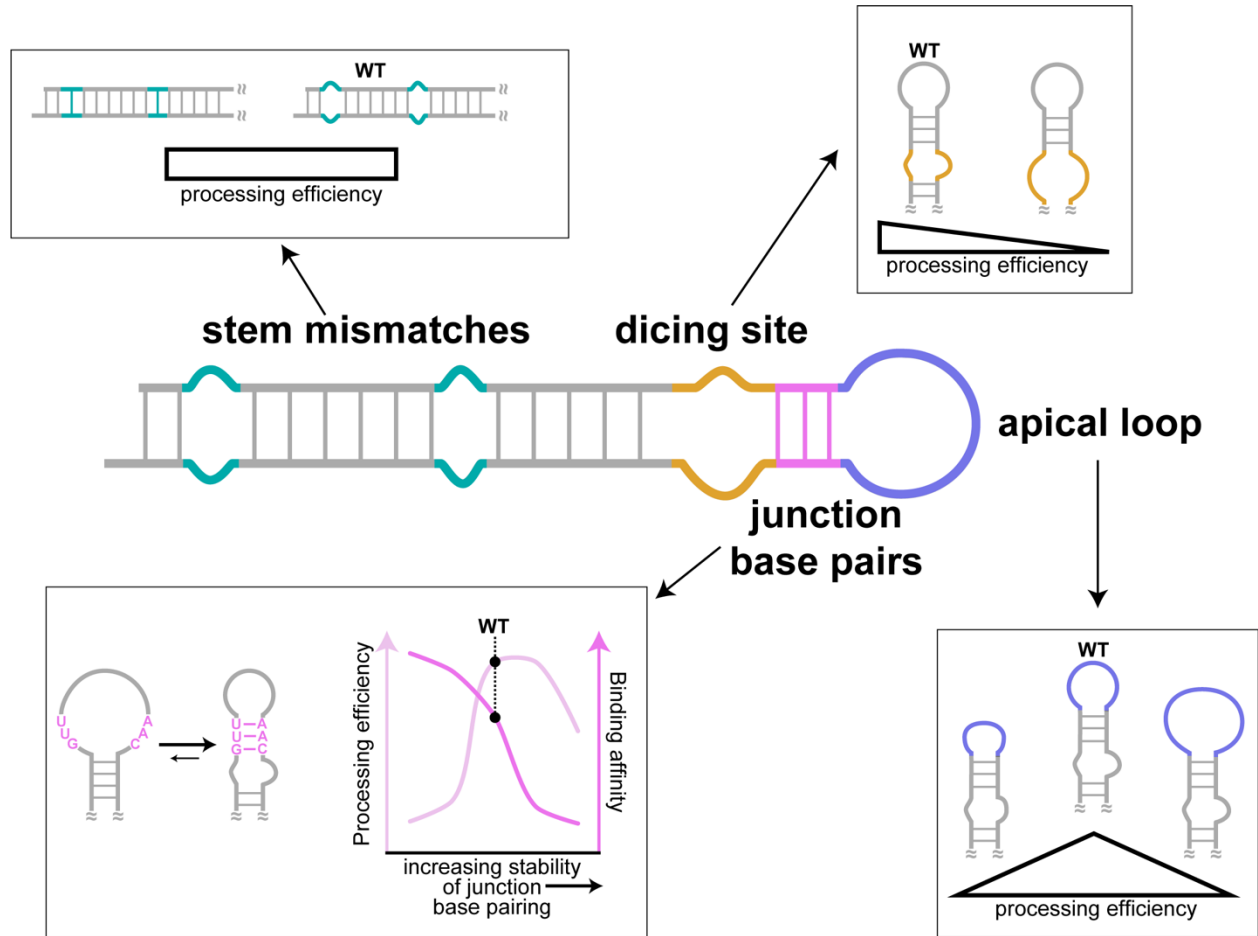
772

773 **Figure 5. The junction region is a regulatory element within pre-miR-31.** a) Secondary  
 774 structures of constructs designed to perturb the stability of the pre-miR-31 junction region.  
 775 Mutations are indicated with red lettering. b) Histogram quantifying the Dicer processing  
 776 efficiencies of pre-miR-31 RNAs at 10 min. c) Quantification of the binding affinity of pre-miR-  
 777 31 RNAs with Dicer. Solid lines represent best fits to a one site specific binding equation. d)  
 778 Inverse correlation between calculated binding affinity and measured thermal stability (melting  
 779 temperature,  $T_m$ ) for WT and junction region mutations. e) Correlation between Dicer binding  
 780 affinity and Dicer processing efficiency for junction region mutations. For all binding and  
 781 processing assays, average and standard deviation from  $n=3$  independent assays are presented.  
 782 Individual replicates shown with black circles.

783

784





785

786 **Figure 6. Secondary structure elements and their contribution to the regulation of pre-**  
 787 **miR-31 processing.** The presence or absence of mismatches within the stem of pre-miR-31 had  
 788 no impact on Dicer processing. More highly stabilized Dicing sites were processed as efficiently  
 789 as the WT sequence, but pre-miRs with larger internal loops were not processed efficiently.  
 790 Similarly, pre-miRs with either too small or too large apical loops were processed less efficiently  
 791 than WT pre-miR-31. Interestingly, the WT pre-miR-31 has an inherently encoded structural  
 792 switch at the junction region. Pre-miR-31 appears to sample both an open loop structure, which  
 793 favors binding, and a closed loop structure, which promotes processing. This allows WT pre-  
 794 miR-31 to maximize both binding with and processing by Dicer.

795

796

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