

Risk factors associated with inpatient cardiac arrest during emergency endotracheal intubation at general wards

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Background: Peri-intubation cardiac arrest (PICA) following emergent endotracheal intubation (ETI) is a rare, however, potentially preventable type of cardiac arrest. Limited published data have described factors associated with inpatient PICA and patient outcomes. The aim of this study was to identify risk factors associated with PICA among hospitalized patients emergently intubated at a general ward as compared to non-PICA inpatients. In addition, we identified a difference of clinical outcomes in patients between PICA and other types of inpatient cardiac arrest (OTICA).

Methods: We conducted a retrospective observational study of patients at two institutions between January 2016 to December 2017. PICA was defined in patients emergently intubated who experienced cardiac arrest within 20 minutes after ETI. The non-PICA group consisted of inpatients emergently intubated without cardiac arrest. Risk factors for PICA were identified through univariate and multivariate logistic regression analysis. Clinical outcomes were compared between PICA and OTICA.

Results: Fifteen episodes of PICA occurred during the study period, accounting for 3.6% of all inpatient arrests. Intubation-related shock index, number of intubation attempts, pre-ETI vasopressor use, and neuromuscular blocking agent (NMBA) use, especially succinylcholine, were independently associated with PICA. Clinical outcomes of intensive care unit and hospital length of stay, survival to discharge, and neurologic outcome at hospital discharge were not significantly different between PICA and OTICA.

Conclusions: We identified four independent risk factors for PICA, and preintubation hemodynamic stabilization and avoidance of NMBA were possibly correlated with a decreased PICA risk. Clinical outcomes of PICA were similar to those of OTICA.

Key Words: endotracheal intubation; heart arrest; neuromuscular blocking agent; risk factor; shock

INTRODUCTION

Airway management, such as tracheal intubation, is a vital component of emergency medicine. As a means of controlling the airway in emergency settings, rapid sequence intubation (RSI) is widely used as the gold standard technique for tracheal intubation [1,2]. Meanwhile, the safety and effectiveness of tracheal intubation have been well established. However, re-

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search on the risks and complications associated with emergency intubation in general ward patients is still obscure [3,4].

Among complications related to intubation including failed intubation, esophageal intubation, pulmonary aspiration, and hypoxia had been commonly reported. However, there have been few studies of peri-intubation cardiac arrest (PICA) [5-7]. The development of postintubation hypotension is increasingly recognized as a complication independently associated with morbidity and mortality [8,9]. Taken together, these facts highlight the need for additional evidence and insights into hemodynamic instability following endotracheal intubation (ETI).

Cardiac arrest is considered one of the most serious complications occurring after intubation. However, very few studies have explored this serious complication. A single-center study reported that PICA occurred in 4% of all patients in the emergency room and that the in-hospital mortality rate of patients was 84% even after return of spontaneous circulation (ROSC) [10]. This study aims to identify the prevalence and risk factors associated with PICA in hospitalized patients. We also investigated the differences between PICA and other types of inpatient cardiac arrest (OTICA) in terms of length of hospital stay, in-hospital mortality, and neurological outcomes at hospital discharge.

MATERIALS AND METHODS

Study Participants

This is a retrospective observational study conducted at a tertiary referral center and secondary medical center in Jeollabuk-do, South Korea from January 2016 to December 2017, and based on chart review of adult inpatients. The Institutional Review Board approved this study (IRB No. 2019-07-007) and waived the requirement for informed consent because of the observational nature of the study. In addition, patients' information was anonymized and deidentified prior to analysis. All ETIs were carried out by residents or fellows in different medical specialties, such as anesthesia and internal medicine, who had completed advanced resuscitation training courses as part of the advanced cardiac life support program.

Inclusion criteria were as follows: patients over 18 years old and those who developed cardiac arrest during intubation procedure or within 20 minutes of intubation among patients hospitalized in general wards during the study period. Patients were excluded if they developed PICA not in the general ward including emergency department, intensive care unit (ICU), and surgical ward, if they developed PICA before intubation,

KEY MESSAGES

- Risk factors of peri-intubation cardiac arrest (PICA) for inpatients were intubation-related shock index, number of intubation attempts, pre-endotracheal tube intubation vasopressor use, and neuromuscular blocking agent use.
- Among the four independent risk factors for PICA, pre-intubation hemodynamic stabilization and avoidance of neuromuscular blocking agent were modifiable to decrease the risk of PICA.
- Clinical outcomes of intensive care unit and hospital length of stay, survival to discharge, and neurologic outcome at hospital discharge were similar between PICA and other types of inpatient cardiac arrest.

or sufficient data was not present before and after intubation.

Tracheal intubation was performed using RSI as the standardized protocol [2,11]. In situations requiring intubation, patients were preoxygenated in the supine position with a head-down tilt. Sedative agents such as benzodiazepine or non-benzodiazepine, and/or analgesics were given, and then subsequently neuromuscular blocking agents were used as part of RSI. ETI was performed by identifying the vocal cords directly or via video laryngoscope. We used succinylcholine as a depolarizing agent (neuromuscular blocker), while cisatracurium, vecuronium, and rocuronium were used during ETI as nondepolarizing agents. If the vocal cord was not visible, manual compression was applied at the cricoid cartilage. In general, 8-mm endotracheal tubes were used for male patients and 7.5-mm endotracheal tubes were used for female patients, otherwise practitioners chose an appropriate tube size at their discretion.

Data Collection and Definitions

For all patients and controls, we collected the following data from medical records: age, sex, body mass index (BMI), medical history, Sequential Organ Failure Assessment (SOFA) scores on ICU admission [12], and indication for tracheal intubation. Data relating to intubation was collected by an independent observer before or during intubation include the number of intubation attempts, duration of intubation, time of intubation (day or night), termination of sedation, use of neuromuscular blocking agents, pre-intubation vital signs, shocking index [10], and use of vasopressors. To compare clinical outcomes between PICA and OTICA, we analyzed the presence of ROSC, ICU, and hospital lengths of stay, survival at hospital discharge, initial cardiac rhythm, and cerebral performance

category (CPC) scores at hospital discharge [13].

Intubation duration was defined as a time period from the start of sedative administration to the end of intubation. The time of intubation was defined as either day or night according to time of day (7 AM to 11 PM) and time of night (11 PM to 7 AM) [2]. Cardiac arrest was defined as the delivery of chest compression, the loss of detectable pulse or the use of defibrillation. Pre-ETI vital signs were defined as the minimum systolic or diastolic blood pressure and the maximum heart rate within six hours on the basis of intubation duration. If the minimum blood pressure did not coincide with the maximum heart rate at different time points, the shock index value was calculated for each time point, and the highest value was used. Shock index was defined as last recorded heart rate divided by systolic blood pressure prior to intubation attempt within 6 hours. Intubation-related shock index was defined as the shock index value multiplied by time of intubation. Neurological outcome was evaluated via CPC scores, where CPC scores of 1 or 2 correspond to good outcome, while CPC scores of 3 to 5 correspond to poor outcome.

Statistical Analysis

In this study, continuous variables were presented as study mean \pm standard deviation or median and interquartile range, and categorical variables were presented as percentages. An unpaired t-test or Mann-Whitney U-test was used to compare continuous variables between the PICA and non-cardiac arrest group patients who underwent intubation. A chi-square test was used to compare categorical variables between the two groups. Logistic regression analysis was performed to obtain independent variables that have an influence on the development of PICA. Based on results from univariate regression, the variables with less than 0.2 as a P-value were included in a multivariate analysis. Finally, we followed the backward elimination method to build a multiple regression model for which the value of $P < 0.05$ was considered statistically significant.

RESULTS

Demographic Characteristics of Individuals

A total of 362 patients were included in this study as the PICA group ($n = 15$) and non-PICA group ($n = 347$) (Table 1). Compared with the PICA group, the non-PICA group showed younger, male dominant, and lower BMI trends, although there were no significant differences between two groups. Stroke was the most common comorbidity in both groups. However,

Table 1. Demographics and baseline characteristics of study patients

Characteristics	PICA ($n = 15$)	Non-PICA ($n = 347$)	P-value
Age (yr)	60.1 \pm 18.9	58.6 \pm 19.1	0.76
Male sex	8 (53.3)	225 (64.8)	0.12
BMI (kg/m ²)	21.6 \pm 4.1	22.0 \pm 4.0	0.83
Comorbidity			
COPD	1 (6.7)	46 (13.3)	0.72
Congestive heart failure	2 (13.3)	26 (7.5)	0.32
MI	3 (20.0)	40 (11.5)	0.40
Stroke	7 (46.7)	172 (49.6)	0.85
CKD	4 (26.7)	37 (10.7)	0.09
Liver cirrhosis	2 (13.3)	20 (5.8)	0.25
SOFA score	8.0 (3.3–12.7)	7.4 (3.6–11.2)	0.24
Reasons for intubation			0.15
Acute respiratory failure	7 (46.7)	275 (79.3)	
Altered mental status	3 (20.0)	59 (17.0)	
Shock	2 (13.3)	10 (2.9)	
Others	3 (20.0)	3 (0.9)	

Values are presented as mean \pm standard deviation, number (%), or median (interquartile range).

PICA: peri-intubation cardiac arrest; BMI: body mass index; COPD: chronic obstructive pulmonary disease; MI: myocardial infarction; CKD: chronic kidney disease; SOFA: Sequential Organ Failure Assessment.

there were no significant differences in baseline comorbidities between groups. Further, the SOFA scores on ICU admission were not significantly different between the groups. The indication for tracheal intubation, acute respiratory distress, was the most common in both groups, followed by other causes such as altered level of consciousness and shock states. However, there was no significant indication differences between the groups.

Comparison of Risk Factors Associated with Tracheal Intubation

Table 2 presents data collected before and during intubation between the two groups. The comparison between the PICA and non-PICA groups revealed statistically significant differences in the number of intubation attempts, duration of intubation, time of intubation, use of neuromuscular blocking agents, use of succinylcholine, shock index, intubation-related shock index, and pre-ETI vasopressor use. The demand for multiple intubation attempts was higher in the PICA group. Intubation time was also longer in the PICA group (3.5 vs. 2.1 minutes, $P < 0.01$). In terms of time of intubation, a higher pro-

Table 2. Characteristics of procedure obtained before and during intubation

Characteristics	PICA (n=15)	Non-PICA (n=347)	P-value
No. of intubation attempts			0.03
1	8 (53.3)	309 (89.0)	
2	5 (33.3)	37 (10.7)	
≥3	2 (13.3)	1 (0.3)	
Intubation time (from induction to tube insertion, min)	3.5 (1.2–5.9)	2.1 (1.1–3.2)	<0.01
Procedure time of day			<0.01
Daytime (7:00 AM to 10:59 PM)	4 (26.7)	289 (83.3)	
Nighttime (11:00 PM to 6:59 AM)	11 (73.3)	58 (16.7)	
Type of sedative agents			0.67
Midazolam	10 (66.7)	302 (87.0)	
Ketamine	3 (20.0)	39 (11.3)	
Others or multiple	2 (13.3)	6 (1.7)	
NMBA use for RSI	9 (60.0)	135 (38.9)	0.02
Use of succinylcholine	6 (40.0)	50 (14.4)	<0.01
Pre-ETI vital sign			
SBP (mm Hg)	118.6±30.5	125.2±27.9	0.41
DBP (mm Hg)	67.2±22.5	68.5±21.3	0.72
HR (/min)	112.4±25.5	102.9±23.9	0.08
Shock index ^a	0.95±0.32	0.80±0.25	0.04
Intubation-related shock index ^b	3.3 (1.1–5.6)	1.7 (0.9–2.6)	<0.01
Pre-ETI vasopressor use	10 (66.7)	31 (8.9)	<0.01

Values are presented as number (%), median (interquartile range), or mean ± standard deviation.

PICA: peri-intubation cardiac arrest; NMBA: neuromuscular blocking agent; RSI: rapid sequence intubation; ETI: endotracheal intubation; SBP: systolic blood pressure; DBP: diastolic blood pressure; HR: heart rate.

^aShock index=HR/SBP; ^bIntubation-related shock index=shock index×intubation time.

portion of intubations were performed at night (73.3% vs. 16.7%, $P<0.01$), along with increased use of neuromuscular blocking agents (60.0% vs. 38.9%, $P=0.02$) and use of succinylcholine (40% vs. 14.4%, $P<0.001$) were seen in the PICA group. The values for shock index and intubation-related shock index were significantly higher in the PICA group (0.95 vs. 0.80 and 3.3 vs. 1.7, respectively). The use of vasopressors prior to intubation was also higher in the PICA group (66.7% vs. 8.9%).

Based on these findings, we performed logistic regression analysis to identify variables associated with PICA (Table 3). The results revealed that the number of intubation attempts (odds ratio [OR], 3.10; 95% confidence interval [CI], 1.12 to 7.86), use of neuromuscular blocking agents (OR, 1.21; 95% CI, 1.08 to 3.15), intubation-related shock index (OR, 4.06; 95% CI, 1.78 to 12.11), use of succinylcholine (OR, 3.45; 95% CI, 1.20 to 5.49), and vasopressor use prior to intubation (OR, 2.69; 95% CI, 1.15 to 7.42) were associated with clinical variables of PICA.

Comparison of Clinical Outcomes between PICA and OTICA

We compared clinical outcomes of PICA and OTICA during the study period (Table 4). A total of 402 inpatients with OTICA were identified. The OTICA group patients were older and demonstrated a greater chance of ROSC and survival to hospital discharge compared to PICA group patients, while there was no significant difference between the two groups. ICU and hospital lengths of stay were longer in the OTICA group. However, once again there was no significant difference between the two groups. Among initial cardiac rhythms, pulseless electrical activity was revealed as the most common rhythm

Table 3. Univariable and multivariable logistic regression analysis for clinical variables associated with PICA

Variable	Univariable		Multivariable	
	OR (95% CI)	P-value	OR (95% CI)	P-value
Age	0.97 (0.94–1.02)	0.579		
SOFA score	0.89 (0.77–1.06)	0.151		
Number of intubation attempts	4.67 (2.35–7.22)	0.014	3.10 (1.12–7.86)	0.026
Nighttime procedure	3.21 (2.97–4.01)	0.033		
NMBA use for RSI	2.34 (1.76–3.22)	0.026	1.21 (1.08–3.15)	0.035
Use of succinylcholine	4.50 (1.45–7.20)	<0.001	3.45 (1.20–5.49)	0.005
Shock index	1.91 (1.00–2.52)	0.043		
Intubation-related shock index	6.39 (2.17–12.44)	<0.001	4.06 (1.78–12.11)	0.002
Pre-ETI vasopressor use	5.67 (3.27–8.12)	<0.001	2.69 (1.15–7.42)	0.012

PICA: peri-intubation cardiac arrest; OR: odds ratio; CI: confidence interval; SOFA: Sequential Organ Failure Assessment; NMBA: neuromuscular blocking agent; RSI: rapid sequential intubation; ETI: endotracheal intubation.

Table 4. Comparison of clinical outcomes between PICA and OTICA

Characteristics	PICA (n=15)	OTICA (n=402)	P-value
Age (yr)	60.1±18.9	64.6±19.1	0.06
ROSC	11 (73.3)	332 (82.6)	0.49
ICU LOS (day)	20.3±6.9	23.9±5.9	0.66
Hospital LOS (day)	43.6±12.2	45.1±13.9	0.72
Survival to hospital discharge	8 (53.3)	284 (70.6)	0.08
Initial rhythm of arrest			0.24
PEA	9 (60.0)	299 (74.4)	
VT/VF	3 (20.0)	66 (16.4)	
Asystole	1 (6.7)	21 (5.2)	
AF	1 (6.7)	11 (2.7)	
Uncertain	1 (6.7)	5 (1.2)	
Neurologic outcome ^a at hospital discharge			0.12
Good (CPC score 1 or 2)	8 (53.3)	270 (67.2)	
Poor (CPC score 3, 4, or 5)	7 (46.7)	14 (3.7)	

Values are presented as mean±standard deviation or number (%).

PICA: peri-intubation cardiac arrest; OTICA: other types of inpatient cardiac arrest; ROSC: return of spontaneous circulation; ICU: intensive care unit; LOS: length of stay; PEA: pulseless electrical activity; VT: ventricular tachycardia; VF: ventricular fibrillation; AF: atrial fibrillation; CPC: cerebral performance category.

^aPatients who died are not included in this category.

between the two groups; however, no significant differences were observed. Neurological outcome at hospital discharge was also similar between the two groups.

DISCUSSION

The present study identified the number of intubation attempts, intubation-related shock index, use of neuromuscular blocking agents, and pre-ETI vasopressor use as factors associated with PICA. Use of neuromuscular blocking agents and hemodynamic instability prior to intubation, measured by intubation-related shock index and pre-ETI vasopressor use required particular attention because these variables were modifiable. The comparison between PICA and OTICA revealed that there were no significant differences in ICU or hospital length of stay, survival at hospital discharge, or clinical and neurological outcomes.

Previous studies have reported several mechanisms involving PICA in emergency department settings [14-17], describing that cardiac arrest patients may not tolerate decreased preload and reduction of venous return, which are triggered by an increase in intrathoracic pressure when positive pressure ventilation is applied in patients with shock after intuba-

tion. It can also result from physiological changes due to severe diseases such as acidosis, which can be exacerbated upon respiratory compensation being compromised when respiratory rate and effective respiratory response are negatively affected during intubation. In addition, the use of sedatives and neuromuscular blocking agents may lead to cardiac arrest due to their inhibitory action against catecholamine secretion stimulated in response to stress. In light of this view, preintubation hemodynamic instability, once confirmed, may reduce cardiac output during intubation and attenuate respiratory compensation in proportion to intubation time, leading to the deterioration of acidosis. Hemodynamic instability is also the main reason why the inhibition of neuromuscular blocking agents upon catecholamine secretion potentially causes cardiac arrest [17-22].

The intubation-related shock index, identified as one of risk factors of PICA in this study, was used as a measure of hemodynamic instability and intubation duration. Several studies have reported shock index as an independent predictor of PICA in emergency department patients and to emphasize the importance of intervention necessary to prevent cardiac arrest [23]. Further studies have been conducted to explore the index in ICU settings and to develop interventions effective in preventing complications related to ETI. As a result, a study reported significantly decreased intubation-related complications, including PICA, after implementing intervention measures in which a checklist was prepared to keep track of all intravenous fluids or conscious intubation [24]. In the event of cardiac arrest in an emergency department or ICU, it is possible to take immediate actions, whereas rapid response to cardiac arrest on general wards becomes difficult due to the lack of monitoring facilities and attending medical personnel, understaffing, or restrictions on medication for intervention [25]. Therefore, the results of this study are expected to be clinically useful to improve procedures for PICA prevention within general wards when emergency intubation is necessary.

This study has several limitations. First, while we followed standard procedures to validate this retrospective study, it still has inherent limitations such as selection bias and presence of confounding factors. The major findings of this study represent associations between variables, not cause-effect relationships. All hemodynamic variables including vital signs, are not standardized. Blood pressure records indicate values measured intermittently using a noninvasive method. Therefore, the values need to be interpreted with caution. Second, as we evaluated a short period of clinical data before intubation procedure, outcomes might be impacted by additional factors or

variables prior to our data review. Finally, the fact that data were collected at the two hospitals limited the analysis of differences between patient characteristics from each hospital and the factors influencing protocols used in emergency situations. Moreover, it remains uncertain whether the results of this study conducted in a provincial city can be generalized to PICA patients in clinical settings in other larger or in more urban areas.

Despite these limitations, this study provides initial insight into the factors associated with the development and prevalence of PICA on general wards and suggests a new concept of intubation-related shock index, which has a greater explanatory power than the current shock index. Further prospective and multi-center studies are needed to identify modifiable risk factors for PICA prevention in a larger patient population with adequately controlled confounding factors.

CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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