

Blood-Based Biomarkers

# Plasma amyloid $\beta$ 42/40 ratios as biomarkers for amyloid $\beta$ cerebral deposition in cognitively normal individuals

Noelia Fandos<sup>a,1</sup>, Virginia Pérez-Grijalba<sup>a,1</sup>, Pedro Pesini<sup>a,\*</sup>, Salvador Olmos<sup>b</sup>, Matías Bossa<sup>b</sup>, Victor L. Villemagne<sup>c</sup>, James Doecke<sup>d</sup>, Christopher Fowler<sup>c</sup>, Colin L. Masters<sup>c</sup>, Manuel Sarasa<sup>a</sup>, and the AIBL Research Group

<sup>a</sup>R&D Department, Araclon Biotech Ltd., Zaragoza, Spain

<sup>b</sup>Aragon Institute of Engineering Research, University of Zaragoza, Zaragoza, Spain

<sup>c</sup>The Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, Victoria, Australia

<sup>d</sup>CSIRO Health and Biosecurity/Australian E-Health Research Centre, Brisbane, Queensland, Australia

## Abstract

**Introduction:** Plasma amyloid  $\beta$  (A $\beta$ ) peptides have been previously studied as candidate biomarkers to increase recruitment efficiency in secondary prevention clinical trials for Alzheimer's disease.

**Methods:** Free and total A $\beta$ 42/40 plasma ratios (FP42/40 and TP42/40, respectively) were determined using ABtest assays in cognitively normal subjects from the Australian Imaging, Biomarker and Lifestyle Flagship Study. This population was followed-up for 72 months and their cortical A $\beta$  burden was assessed with positron emission tomography.

**Results:** Cross-sectional and longitudinal analyses showed an inverse association of A $\beta$ 42/40 plasma ratios and cortical A $\beta$  burden. Optimized as a screening tool, TP42/40 reached 81% positive predictive value of high cortical A $\beta$  burden, which represents 110% increase over the population prevalence of cortical A $\beta$  positivity.

**Discussion:** These findings support the use of plasma A $\beta$ 42/40 ratios as surrogate biomarkers of cortical A $\beta$  deposition and enrichment tools, reducing the number of subjects submitted to invasive tests and, consequently, recruitment costs in clinical trials targeting cognitively normal individuals.

© 2017 The Authors. Published by Elsevier Inc. on behalf of the Alzheimer's Association. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

## Keywords:

Amyloid  $\beta$ ; Plasma amyloid  $\beta$  ratio; Biomarker; Preclinical Alzheimer's disease;  $\beta$ -Amyloid imaging; Positron emission tomography; Clinical trials

## 1. Introduction

Dementia is a major public health problem worldwide, which currently affects 46 million people, a number estimated to increase up to 131.5 million by 2050, entailing an enormous social and financial burden [1]. Alzheimer's

disease (AD) accounts for 60% to 70% of all cases of dementia; thus, the benefits of a successful therapeutic intervention that could stop or, ideally, prevent the development of AD are undeniable. However, therapeutic trials have had limited success so far, partly because of the advanced neurodegenerative stage of individuals typically targeted in clinical trials over the last two decades. Considering that the efficacy of potential AD treatments would likely depend on an early intervention, there is a growing need for accurate identification of asymptomatic (preclinical) individuals with underlying pathology for inclusion in the current and more favored secondary prevention trials [2–4].

Conflicts of interest: The authors have no conflicts of interest related to this work.

<sup>1</sup>These authors contributed equally to this work.

\*Corresponding author. Tel.: +34-976796562; Fax: +34-976217802.

E-mail address: [ppesini@araclon.com](mailto:ppesini@araclon.com)

<http://dx.doi.org/10.1016/j.dadm.2017.07.004>

2352-8729/© 2017 The Authors. Published by Elsevier Inc. on behalf of the Alzheimer's Association. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Consequently, considerable investigational effort has been devoted in recent years to identify biological markers indicative of preclinical and/or prodromal AD before the onset of dementia [5,6]. This new concept of AD has also emphasized the importance of biomarkers as eligibility criteria to enrich clinical trial cohorts with subjects at increased risk of suffering more rapid cognitive decline. Amyloid  $\beta$  ( $A\beta$ ) is the most likely cause of the pathophysiological process leading to AD dementia and, consequently,  $A\beta$ -related biomarkers should be ideal for the identification of the earliest stages of the disease [7,8].

$A\beta$  peptides are most frequently measured in the cerebrospinal fluid (CSF) or through brain imaging of  $A\beta$  deposition with positron emission tomography ( $A\beta$ -PET). Both biomarkers have demonstrated high diagnostic and prognostic value [9–12], and they might start changing decades before the clinical onset of AD [8,13,14]. However, despite the robustness of these biomarkers, they are not suitable for a broad screening of the population, either because of invasiveness or high cost and low availability of the technology in primary care clinical settings. Given the greater accessibility of blood sampling, there is considerable interest in examining whether circulating  $A\beta$  levels correlate with brain  $A\beta$  levels and, therefore, with risk of developing AD dementia. A blood-based biomarker would be a less invasive and cost-effective screening method to identify individuals at-risk who could be subsequently confirmed by neuroimaging or CSF analysis. In this context, several large studies have consistently reported that a lower  $A\beta_{42}/A\beta_{40}$  ratio in plasma is associated with higher risk of dementia [15–19], and greater cognitive decline in healthy control subjects at follow-up [20]. Nevertheless, some studies have reported weak or no association of the  $A\beta_{42}/A\beta_{40}$  plasma ratio with AD [21–23].

Some of these contradictory findings likely reflect the complexity of measuring  $A\beta$  in plasma and the preanalytical and analytical differences between quantitative methods [24,25]. Differences in study populations with regard to factors such as age or disease stage [26] are also confounding factors. Moreover, to date, assessment of the accuracy of blood  $A\beta$  biomarkers relies essentially on the use of clinical diagnosis as the gold standard, despite the fact that it has shown sensitivities ranging from 70.9% to 87.3%, and specificities from 44.3 to 70.8 [27], which could seriously skew the results of any test and is almost certainly a relevant source of variability between studies.

The aim of this study was to examine the potential of the plasma  $A\beta_{42}/A\beta_{40}$  ratio as a marker of cortical  $A\beta$  deposition and its use as a screening tool for clinical trial enrichment of cognitively normal (CN) subjects with high brain  $A\beta$  levels. With this in mind, we focused on the CN group of the Australian Imaging, Biomarker and Lifestyle Flagship (AIBL) Study using  $A\beta$ -PET as the gold standard, supported by previous results showing an association between  $A\beta_{42}/A\beta_{40}$  plasma ratio and brain  $A\beta$  levels [28–31]. Moreover, we have taken a comprehensive approach for the

evaluation of  $A\beta_{42}/40$  plasma ratio, differentiating the peptide fractions that are found free in plasma (FP42/40) from the total  $A\beta$  peptides in plasma (TP42/40) and the amount of  $A\beta$  that is bound to other plasma components (BP42/40), by means of validated enzyme-linked immunosorbent assays (ELISAs) [32]. In this study, the cross-sectional and longitudinal association of these plasma markers with brain  $A\beta$ -PET results was evaluated, together with an assessment of their diagnostic performance and ability to predict brain  $A\beta$  deposition trajectories, evaluating the potential of plasma  $A\beta$  ratios as enrichment tools for secondary prevention clinical trials.

## 2. Methods

### 2.1. Study population

CN subjects from the AIBL cohort included in this study were selected from those who underwent  $A\beta$  imaging with PET. Complete description of the clinical classification procedures in this study was described previously [33]. Subjects were followed-up for 72 months with visits at baseline (bl) and 18-month intervals (visits m18, m36, m54, and m72).

### 2.2. Amyloid PET imaging

At each of these time points, cortical  $A\beta$  burden was assessed using PET with either  $^{11}\text{C}$ -Pittsburgh Compound-B (PiB) or  $^{18}\text{F}$ -flutemetamol. The PET methodology for each tracer has been previously described [34,35] (see [Supplementary material, Imaging Methods](#) for detailed description). To use the results of both PET tracers as a single continuous variable, flutemetamol results were transformed into PiB-like standardized uptake value ratios (SUVR) termed BeCKeT [36]. The SUVR/BeCKeT was then dichotomized into high ( $A\beta+$ ) or low ( $A\beta-$ )  $A\beta$  burden using a cutoff value of 1.5 [36].

Those individuals with both a valid  $A\beta$ -PET measurement and a valid corresponding plasma measurement at visits m18, m36, and/or m54 were considered in the cross-sectional and in the discriminating performance analysis. For longitudinal analysis, subjects with both valid plasma and  $A\beta$ -PET data at bl and at least a valid  $A\beta$ -PET measurement during the whole follow-up were considered.

### 2.3. Plasma $A\beta_{40}$ and $A\beta_{42}$ quantification

Plasma samples were obtained using ethylenediaminetetraacetic acid (EDTA) as anticoagulant and following AIBL procedures [30], and were conserved at  $-70^\circ\text{C}$  until analysis without undergoing any extra freezing/thaw cycles. Only plasma samples from visits m18, m36, and m54 were available for  $A\beta$  plasma analysis in this study.  $A\beta_{40}$  and  $A\beta_{42}$  peptides were quantified using ABtest40 and ABtest42, respectively (Araclon Biotech Ltd. Zaragoza, Spain), being blinded to all participant characteristics at the time of

Table 1  
Descriptive statistics of demography, Aβ-PET data, and plasma biomarkers at each visit and amyloid PET status

	bl		m18		m36		m54		m72	
	PET Aβ−	PET Aβ+	PET Aβ−	PET Aβ+	PET Aβ−	PET Aβ+	PET Aβ−	PET Aβ+	PET Aβ−	PET Aβ+
Participants, n	49	27	49	30	42	30	52	36	44	20
Age (mean ± SD)	72.0 ± 7.3	74.4 ± 7.4	73.7 ± 7.3	74.9 ± 7.4	74.9 ± 7.4	76.4 ± 7.2	76.7 ± 6.8	77.2 ± 7.7	77.3 ± 6.7	78.9 ± 7.1
Female, n (%)	28 (57.1)	11 (40.7)	30 (61.2)	11 (36.7)*	28 (66.7)	12 (40.0)*	33 (63.5)	17 (47.2)	26 (59.1)	9 (45.0)
APOE ε4 carriers, n (%)	15 (30.6)	17 (63.0)*	17 (34.7)	22 (73.3)*	11 (26.2)	20 (66.7)†	15 (28.8)	24 (66.7)†	9 (20.5)	9 (45.0)
Years of education (mean ± SD)	12.5 ± 2.3	12.2 ± 2.7	12.4 ± 2.3	12.1 ± 2.9	12.4 ± 2.4	12.1 ± 2.7	12.6 ± 2.7	12.0 ± 2.5	12.5 ± 2.8	12.0 ± 2.5
TP42/40; median (IQR)	—	—	0.083 (0.028)	0.068 (0.020)†	0.088 (0.024)	0.071 (0.027)*	0.085 (0.034)	0.067 (0.015)†	—	—
BP42/40; median (IQR)	—	—	0.089 (0.046)	0.067 (0.038)*	0.094 (0.042)	0.070 (0.032)†	0.095 (0.041)	0.069 (0.030)†	—	—
FP42/40; median (IQR)	—	—	0.074 (0.040)	0.066 (0.016)*	0.081 (0.029)	0.074 (0.016)	0.077 (0.037)	0.069 (0.015)*	—	—

Abbreviations: APOE, apolipoprotein E; Aβ, amyloid β; Aβ+ or Aβ−, Aβ positive or negative subjects regarding categorical Aβ-PET measurements; bl, baseline; IQR, interquartile range; median, median value of plasma Aβ ratios in individuals classified as Aβ+ or Aβ−; PET, positron emission tomography; SD, standard deviation.

NOTE. Aβ plasma analysis was only performed at visits month 18 (m18), m36, and m54; participants included had both valid plasma and PET measurements at these visits. Visits bl (baseline) and m72 include subjects with a valid Aβ-PET measurement at these visits and a valid plasma measurement at visit m18. Fisher exact test was performed for categorical variables and Mann-Whitney test for quantitative variables.

\*Significant differences between PET amyloid negative/positive groups at the P value level of .05.

†Significant differences between PET amyloid negative/positive groups at the P value level of .001.

analysis. Analytical procedures and performance characteristics of these tests are described elsewhere [32].

Samples were assayed both undiluted and after treatment by a three-fold dilution in sample/standard diluent, specifically formulated to disrupt Aβ interactions with other plasma components. This previously validated approach allows the quantification of Aβ peptides (either Aβ40 or Aβ42) in two plasma fractions: free Aβ in plasma (FP), which is measured in the undiluted sample, and the total Aβ in plasma (TP) fraction measured in the diluted sample [37]. The difference between the concentrations of TP and FP fractions corresponds to the amyloid peptide bound to plasma (BP) components. The Aβ42/Aβ40 ratios in each of these plasma fractions (FP42/40, TP42/40, and BP42/40) were calculated as the plasma markers to be evaluated.

## 2.4. Statistical analysis

As an initial exploratory analysis for assessing the association between plasma Aβ42/40 ratios and PET measurements, a Mann-Whitney U test was performed at visits m18, m36, and m54 to evaluate plasma ratio differences between subject groups defined by the dichotomized SUVR/BeCKeT (Aβ+ and Aβ−).

To explore the effects of covariates (age, apolipoprotein E (APOE) ε4 genotype, and gender) on the association between the SUVR/BeCKeT and plasma ratios, a linear regression model using the SUVR/BeCKeT as a quantitative response variable was performed at each visit from m18 to m54. In each model, a single plasma marker was used in log-units. Log transformation of ratio variables provided better-behaved statistics.

Linear mixed-effects models were used to assess the association between the first plasma measurement (at visit m18) and the trajectories of the SUVR/BeCKeT at follow-up (visits bl-m72). The fixed-effects terms were the contribution of the plasma variable to the intercept and slope of the SUVR/BeCKeT trajectories, as well as the demographic covariates. The random-effects terms included intercept and slope at the individual level.

A Receiver Operating Characteristic (ROC) curve analysis was used to assess the prediction performance of the dichotomic SUVR/BeCKeT from a single plasma marker measurement. In addition to sensitivity/specificity performance measures, positive predictive value (PPV) is of special interest in this study. The criterion for choosing the operating point along the ROC curve was Youden's index maximum. Classification performance was assessed by means of a repeated random subsampling cross-validation (CV) experiment with 10,000 rounds and a data split distribution of about 50% to 50% for training and testing sets, respectively. More details of the CV experiment are given in the [Supplementary material](#).

Statistical analysis was performed with MATLAB (The MathWorks, Inc, Natick, MA, USA).

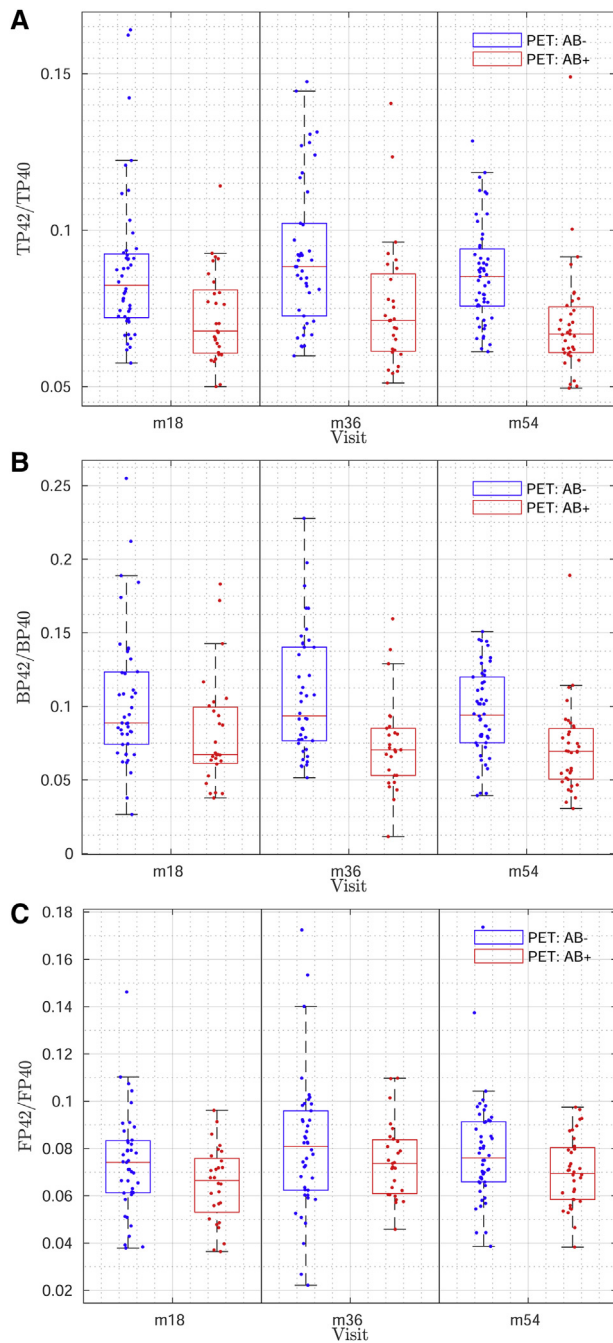


Fig. 1. Box-and-whisker plots of plasma markers with regards to categorical A $\beta$ -PET status (A $\beta$ + or A $\beta$ -) measurements at each visit. (A) TP42/40, for visualization purposes three outliers (of the 236 valid measurements) with TP42/40 values between 0.19 and 0.54 were discarded. (B) BP42/40. (C) FP42/40, for visualization purposes three outliers (of the 217 valid measurements) with FP42/40 values between 0.19 and 0.29 are not shown. See data in Table 1. Abbreviations: BP, amyloid peptide bound to plasma; FP, free in plasma; TP, total amyloid  $\beta$  in plasma.

### 3. Results

The demographic characteristics of individuals included in this study at each visit are presented in the upper rows of Table 1. The bottom rows provide descriptive statistics

of plasma A $\beta$  ratios, which were lower in the group of subjects with positive A $\beta$ -PET scans than in those with negative A $\beta$ -PET scans. This association reached statistical significance in all the three analyzed visits for TP42/40 and BP42/40 ( $P < .001$  in two of the three visits) and only in two visits for FP42/40 (see also Fig. 1).

The cross-sectional linear regression modeling of the SUVR/BeCKeT as a function of each plasma ratio, adjusting for demographic covariates, is summarized in Table 2. The linear association between the SUVR/BeCKeT and plasma ratios (TP42/40, BP42/40, and FP42/40) was consistent with the previous exploratory analysis, although statistical significance was reached at a lower number of visits. The negative sign of the coefficients is in agreement with the previous hypothesis testing: lower plasma A $\beta$  ratios were associated with higher SUVR/BeCKeT values. Regarding covariates, *APOE* genotype effects on the SUVR/BeCKeT were statistically significant at all visits and for all the plasma ratios (see Supplementary Table 1). Gender effects were not significant.

In the longitudinal analysis, linear mixed-effects models showed a significant association between the slope of the SUVR/BeCKeT trajectories and the first available plasma measurement at m18 (Table 3; complete information is provided in Supplementary Table 2). The negative sign of the models' coefficients indicated that the lower the plasma A $\beta$  ratios at visit m18, the steeper the SUVR/BeCKeT trajectory. The inverse association between plasma A $\beta$  ratios and SUVR/BeCKeT was also observed at bl (intercept), although with a weaker or borderline significance, which was in line with the results of the cross-sectional study. Among the three plasma ratios, TP42/40 showed the most significant association with SUVR/BeCKeT slope. This inverse association between the A $\beta$ 42/40 plasma ratio and the SUVR/BeCKeT slope is in correspondence with the fact that in those individuals with low plasma A $\beta$ 42/40 ratio, the change in SUVR/BeCKeT from bl increased along the follow-up, whereas on average it did not change in those subjects with a high A $\beta$ 42/40 plasma ratio (Fig. 2). *APOE* genotype also had significant effects on both the intercept and slope of the SUVR/BeCKeT trajectories, whereas age had only significant effects on the intercept (see Supplementary Table 2). The direction of the association was as expected: *APOE*  $\epsilon$ 4 carriers had SUVR/BeCKeT trajectories with both a larger amplitude and a steeper slope than noncarriers. Regarding age, older subjects had trajectories with larger amplitude.

The plasma marker with the most significant association with the SUVR/BeCKeT in both cross-sectional and longitudinal analyses (TP42/40) was selected for a subsequent ROC curve analysis. The inclusion of TP42/40 in the classifier yielded a statistically significant improvement (DeLong test  $P$  value .0017) in the area under the ROC curve with only demographic covariates (age and *APOE*) from 74% to 79%. Mean difference (95% confidence interval [95% CI]) was 5.4% (2.0% to 8.8%) (see Supplementary Section

Table 2

Association between log-transformed plasma ratio levels and the SUVR/BeCKeT score in the cross-sectional study

Biomarker	Coefficient	m18	m36	m54
log(TP42/40)	Estimate (95% CI)	-0.40 (-0.76, -0.027)	-0.10 (-0.43, 0.22)	-0.59 (-0.94, -0.24)
	P value	.036	.52	.0013
log(BP42/40)	Estimate (95% CI)	-0.086 (-0.32, 0.14)	-0.29 (-0.51, -0.066)	-0.30 (-0.54, -0.065)
	P value	.46	.012	.013
log(FP42/40)	Estimate (95% CI)	-0.35 (-0.63, -0.067)	-0.055 (-0.36, 0.25)	-0.32 (-0.64, 0.0042)
	P value	.016	.72	.053

Abbreviations: APOE, apolipoprotein E; BP, amyloid peptide bound to plasma; 95% CI, 95% confidence interval; FP, free in plasma; m18, month 18; m36, month 36; m54, month 54; TP, total amyloid β in plasma.

NOTE. Estimate: coefficient of the plasma ratio as the explanatory variable in a generalized linear regression model adjusted for relevant demographic variables (age, gender, and APOE genotype) at each visit.

III and Supplementary Fig. 3). Gender was not included in this analysis because of the lack of significant associations found in previous analyses. The average performance for the classifier including TP42/40 at Youden's index maximum (indicative of its best expected performance) was 71% sensitivity with 78% specificity. Interestingly, the distribution of the SUVR/BeCKeT threshold values that maximized Youden's index had a median value of 1.57 with a 95% CI of 1.40 to 1.73. As expected, these threshold values are close to the cutoff selected for the definition of the dichotomic SUVR/BeCKeT variable.

For trial enrichment purposes, a biomarker with a large value of PPV is desired, which can be obtained by selecting a large value of the threshold in the classifier so that the false-positive rate is reduced. Fig. 3 shows the distribution of the PPV measure across CV rounds as a function of the threshold value. The classifier including TP42/40 plus demographic covariates provided a median PPV performance of 81% for a model threshold value of 1.73. In concordance with the improvement in the area under the ROC curve mentioned previously, the inclusion of TP42/40 in the model together with the demographic covariates provided a statistically significant improvement in the classifier

PPV (see also Supplementary Material, Section III). Note that this threshold value is slightly higher than the one that maximized Youden's index. This median PPV performance represented over a 110% increase over the prevalence of Aβ-PET positivity in the study population, which was about 37.5%.

#### 4. Discussion

In this study, we report that in CN individuals, low levels of FP42/40, BP42/40, and TP42/40 plasma ratios are associated with higher levels of cerebral fibrillary Aβ deposition as determined by PET. This inverse association was found to be significant for BP42/40 and TP42/40 at each of the three visits analyzed and in two of them for FP42/40. The association between plasma Aβ42/40 ratios and Aβ-PET SUVR/BeCKeT was still significant in two of the three visits after adjusting the comparisons for the most relevant demographic covariates (age, APOE genotype, and gender) providing robustness to the findings. Furthermore, our results showed that low levels of Aβ42/40 ratios (particularly TP42/40) at bl are associated with faster increase (slope) of Aβ-PET SUVR/BeCKeT over time, establishing the potential of Aβ42/40 plasma ratios as predictors of Aβ-PET individual trajectories.

These results are in concordance with previous studies [28–31,38]. In particular, present results are consistent with the inverse association between plasma Aβ42/40 and cortical Aβ burden determined by PiB-PET previously observed in the AIBL population including CN, mild cognitive impairment (MCI), and AD patients, as shown by Rembach et al. [31]. In this study, we have extended the follow-up from 18 to 72 months and have used Aβ-PET SUVR/BeCKeT scores obtained with either PiB or flutemetamol, confirming this inverse association and its ability to predict faster cortical Aβ deposition over time. Remarkably, this study provides added value because, to the best of our knowledge, it is the first study in which the association between Aβ plasma ratios and cortical Aβ burden is explored within a population of stable CN individuals. Moreover, our results are congruent with the association of low Aβ42/40 plasma ratio with greater cognitive decline in

Table 3

Association between log-transformed plasma ratio levels and the SUVR/BeCKeT score in the longitudinal study

Biomarker	Coefficient	Estimate (95% CI)	P Value
log(TP42/40)	Intercept	-0.34 (-0.62, -0.064)	.016
	Interaction with time	-0.034 (-0.054, -0.015)	.0006
log(BP42/40)	Intercept	-0.13 (-0.31, 0.047)	.15
	Interaction with time	-0.014 (-0.028, -0.00094)	.036
log(FP42/40)	Intercept	-0.22 (-0.43, 0.0045)	.055
	Interaction with time	-0.026 (-0.42, -0.0098)	.0017

Abbreviations: APOE, apolipoprotein E; BP, amyloid peptide bound to plasma; 95% CI, 95% confidence interval; FP, free in plasma; TP, total amyloid β in plasma.

NOTE. Estimate: coefficient of the plasma ratio as the explanatory variable in a generalized linear mixed-effects model adjusted for relevant demographic variables (age, gender, and APOE genotype).

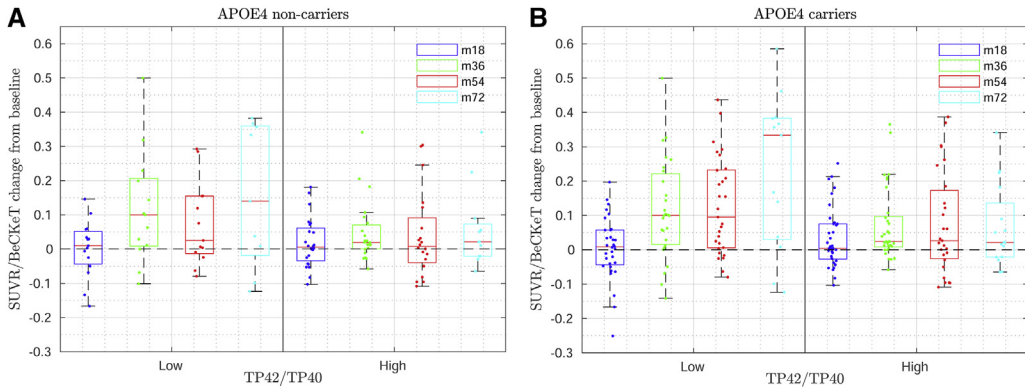


Fig. 2. Box-and-whisker plot of changes in the SUVR/BeCKeT along the follow-up for subjects with low or high baseline TP42/40 plasma ratio. Each point represents the change in each individual in SUVR/BeCKeT at visit month 18 (m18, blue), m36 (green), m54 (red), or m72 (cyan), with respect to the baseline SUVR/BeCKeT in *APOE*  $\epsilon$ 4 noncarriers (A) and *APOE*  $\epsilon$ 4 carriers (B). In (A or B), left panel contains the individuals with low (<the population median) TP42/40 levels at baseline (m18) and right panel the individuals with high ( $\geq$ the population median) TP42/40 levels at baseline (m18). Note that in those individuals with low baseline TP42/40, average change in SUVR/BeCKeT significantly increased over visits, whereas in those with high baseline TP42/40 there was almost no change in the SUVR/BeCKeT during the follow-up. Similar figures are also obtained for the other markers FP42/40 and BP42/40 (see Table 3). Abbreviations: APOE, apolipoprotein E; BP, amyloid peptide bound to plasma; FP, free in plasma; TP, total amyloid  $\beta$  in plasma.

elderly people without dementia [20] and the increased risk of developing AD observed by others [15,19,39]. The robustness of this association has been reinforced over the last 10 years by reports from well-known large population-

based studies such as the Framingham [17], the Rotterdam [18], the Three-City [16], and BioFINDER Studies [38], as well as the findings of a meta-analysis including more than 10,000 subjects [40].

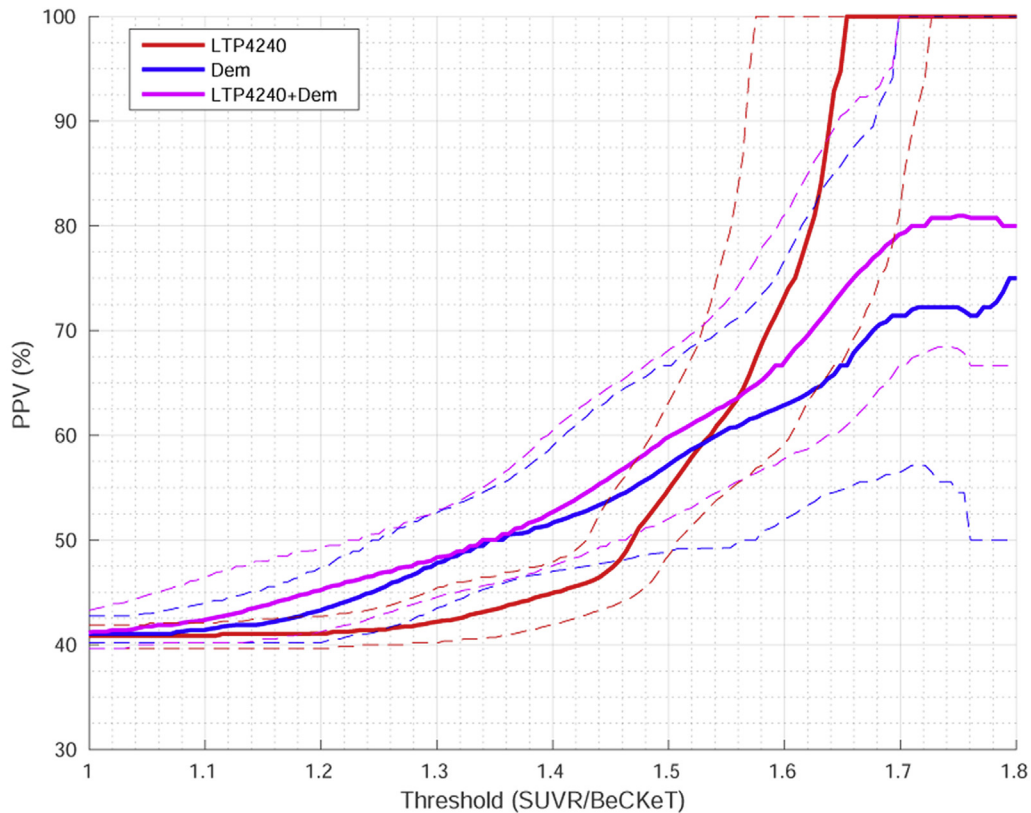


Fig. 3. Comparison of the performance on PPV for three different classifiers as a function of the threshold value. In red, classifier including only the plasma marker  $\log(\text{TP42}/40)$ . In blue, classifier including age + *APOE*  $\epsilon$ 4 variables. In pink, classifier with all previous variables. The thick line represents the median value of the performance, and the thin dashed lines represent the 5th and 95th percentile values. Abbreviations: APOE, apolipoprotein E; PPV, positive predictive value; TP, total A $\beta$  in plasma.

Nevertheless, discrepant results from other studies [21–23] cannot be disregarded and emphasize the need for further substantial investigation on A $\beta$  plasma levels as preclinical biomarkers. In this line, thorough preanalytical and analytical standardization is required to obtain reliable data that facilitate comparison between studies. The variety of assay formats used in different studies may have also contributed to these varying results. The use of A $\beta$ 42/40 ratios instead of single peptide measurements may attenuate possible bias in single A $\beta$  peptide levels caused by inconsistencies in sample handling [41]. In line with this, recent studies in CSF have reported better diagnostic performance for the A $\beta$ 42/40 ratio than for A $\beta$ 42 alone [42]. Furthermore, our approach allows a deeper knowledge of A $\beta$  behavior in blood [32], by the assessment of A $\beta$  bound to plasma components [43–45]. It is becoming increasingly clearer that sensitivity to cortical A $\beta$  burden varied substantially among individuals and that the rate of SUVR change over time could be more relevant to predict disease progression than single cross-sectional measurements [46]. Similarly, change in the relative abundance and distribution of A $\beta$  peptides in plasma could be more effectively reflecting the progression of AD than absolute plasma levels. Having higher proportion of free A $\beta$ , prone to aggregate or re-enter the brain could be more relevant pathologically than the absolute total A $\beta$  levels [47]. In addition, the proportion of bound peptides in plasma might reflect A $\beta$  clearance capacity at the individual's level [48]. Further experiments will be necessary to test these ideas, which in first place require a more comprehensive assessment of the different A $\beta$  species in the different plasma fractions.

Yet, the use of A $\beta$  plasma ratios for diagnostic purposes remains problematic and seriously limited because of the extensive overlapping of individual measurements among CN, MCI, and AD patients, previously discussed in [39]. This situation is reflected in the ROC curve analysis in which our classifier including TP42/40 (together with age and *APOE* genotype) reached 71% sensitivity with 78% specificity to discriminate cortical-A $\beta$  positivity at the maximum of Youden's index, which would not be enough for a stand-alone diagnosis. However, beyond diagnostic purposes, noninvasive and cost-effective plasma biomarkers would be of great utility as a screening tool in secondary prevention clinical trials to preselect a cohort enriched for people with presumptive cortical amyloid pathology. The cortical A $\beta$  burden of these subjects would have to be subsequently confirmed by A $\beta$ -PET scans. In this scenario, the plasma classifier cut-point that maximizes the trade-off between sensitivity and specificity (at the maximum of Youden's index) may not be optimal. Instead, a higher cutoff may be a better choice to increase the PPV of the prescreening test, reducing the number of false positive decisions and, consequently, the number of subjects needed to receive confirmatory A $\beta$ -PET scans. This strategy has already been explored by others in the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort [49].

With this in mind, we have evaluated the distribution of the PPV as a function of the classifier's threshold. At the optimal threshold, an average 81% PPV was obtained, which is more than two times higher than the prevalence of A $\beta$ -PET positivity in this population (37.5%). Therefore, in a recruiting scenario targeting CN cortical-A $\beta$ + people, a prescreening step with our plasma classifier would reduce the number of individuals undergoing A $\beta$ -PET scans by more than half. Remarkably, considering the 5% percentile, the PPV of the classifier including TP42/40 will be more than 68% with 95% certainty, which represents an improvement of 81% over the sample prevalence in the identification of preclinical subjects with a positive A $\beta$ -PET scan (Fig. 3). The magnitude of this improvement can be adequately assessed if compared with the 60% increase over the prevalence of A $\beta$ -PET positivity obtained in the ADNI cohort (including CN, MCI, and AD), with a classifier combining demographics (*APOE*) and cognitive decline [49]. Thus, considering the invasiveness, technical requirements and high costs of A $\beta$ -PET scans (up to \$5000; [49]), in an average phase III clinical trial including hundreds of subjects, the recruitment process could be simplified and the associated costs considerably reduced by preselecting the subjects with a classifier including the TP42/40 ratio. Nevertheless, it should be noted that sensitivity monotonically decreases for larger threshold values of the classifier. Thus, in a practical enrichment scenario the PPV must be "traded-off" with sensitivity and specificity to balance costs, logistical considerations, and general benefit to the clinical trial in question. This will be explored further in future work.

It also deserves to be emphasized that the inclusion of the TP42/40 ratio in the classifier significantly improved the identification of cortical-A $\beta$ + subjects regardless their *APOE* genotype. This would allow a recruitment strategy not restricted to the presence of a risk factor such as *APOE*  $\epsilon$ 4, which could lead to a biased cohort selection and, eventually, to uncertainties when translating clinical trial results (and claims) to the general population.

Our work has a weakness that must be noted in the relatively small sample size available for this study, particularly relevant for the CV approach of the ROC analysis, which required the partition of the sample population in two independent training and testing sets and obviously would have to be replicated in a larger sample population. However, we have assessed the 95% CI for this variability and present results were still relevant from a practical point of view at the 95% certainty.

In conclusion, our results show an inverse association between A $\beta$ 42/40 plasma ratios and fibrillary A $\beta$  deposition in the brain. The population screening application of this blood-based biomarker, which is inexpensive, minimally invasive, and can easily be performed at multiple time points, could be translated into a more efficient use of neuroimaging resources in clinical trials. Present results warrant further research on the development of plasma

A $\beta$ 42/40 ratios as surrogate biomarkers of A $\beta$  deposition in brain.

### Acknowledgments

This work was financially supported by Araclon Biotech Ltd, Spain. N.F., V.P.-G., P.P., and M.S. are employees of Araclon Biotech. This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

### Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.dadm.2017.07.004>.

### RESEARCH IN CONTEXT

1. Systematic review: The literature was reviewed using PubMed database and bibliography included in target articles to identify previous research about the association of plasma amyloid  $\beta$  (A $\beta$ ) levels and Alzheimer's disease (AD). Most studies show that low levels of A $\beta$ 42/40 in plasma are associated with increased risk of AD or cortical fibrillary A $\beta$  burden.
2. Interpretation: Our results are consistent with most of the previous research showing an association of low A $\beta$ 42/40 plasma ratios with high A $\beta$  deposition in brain determined by A $\beta$  imaging with positron emission tomography and considerable potential as an enrichment tool in clinical trials.
3. Future directions: These findings support and encourage further research in the development of plasma A $\beta$ 42/40 ratios as surrogate biomarkers of cortical A $\beta$  deposition.

### References

- [1] 2015 Alzheimer's disease facts and figures. *Alzheimers Dement* 2015; 11:332–84.
- [2] Salloway S, Sperling R, Fox NC, Blennow K, Klunk W, Raskind M, et al. Two phase 3 trials of bapineuzumab in mild-to-moderate Alzheimer's disease. *N Engl J Med* 2014;370:322–33.
- [3] Doody RS, Thomas RG, Farlow M, Iwatsubo T, Vellas B, Joffe S, et al. Phase 3 trials of solanezumab for mild-to-moderate Alzheimer's disease. *N Engl J Med* 2014;370:311–21.
- [4] Sperling RA, Jack CR Jr, Aisen PS. Testing the right target and right drug at the right stage. *Sci Transl Med* 2011;3:111cm33.
- [5] Sperling RA, Aisen PS, Beckett LA, Bennett DA, Craft S, Fagan AM, et al. Toward defining the preclinical stages of Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* 2011;7:280–92.
- [6] Dubois B, Feldman HH, Jacova C, Hampel H, Molinuevo JL, Blennow K, et al. Advancing research diagnostic criteria for Alzheimer's disease: the IWG-2 criteria. *Lancet Neurol* 2014;13:614–29.
- [7] Jack CR Jr, Knopman DS, Jagust WJ, Shaw LM, Aisen PS, Weiner MW, et al. Hypothetical model of dynamic biomarkers of the Alzheimer's pathological cascade. *Lancet Neurol* 2010;9:119–28.
- [8] Villemagne VL, Burnham S, Bourgeat P, Brown B, Ellis KA, Salvado O, et al. Amyloid beta deposition, neurodegeneration, and cognitive decline in sporadic Alzheimer's disease: a prospective cohort study. *Lancet Neurol* 2013;12:357–67.
- [9] Pike KE, Savage G, Villemagne VL, Ng S, Moss SA, Maruff P, et al. Beta-amyloid imaging and memory in non-demented individuals: evidence for preclinical Alzheimer's disease. *Brain* 2007;130:2837–44.
- [10] Rowe CC, Bourgeat P, Ellis KA, Brown B, Lim YY, Mulligan R, et al. Predicting Alzheimer disease with beta-amyloid imaging: results from the Australian imaging, biomarkers, and lifestyle study of ageing. *Ann Neurol* 2013;74:905–13.
- [11] Blennow K, Mattsson N, Scholl M, Hansson O, Zetterberg H. Amyloid biomarkers in Alzheimer's disease. *Trends Pharmacol Sci* 2015; 36:297–309.
- [12] Shaw LM, Vanderstichele H, Knapik-Czajka M, Figurski M, Coart E, Blennow K, et al. Qualification of the analytical and clinical performance of CSF biomarker analyses in ADNI. *Acta Neuropathol* 2011;121:597–609.
- [13] Toledo JB, Shaw LM, Trojanowski JQ. Plasma amyloid beta measurements—a desired but elusive Alzheimer's disease biomarker. *Alzheimers Res Ther* 2013;5:8.
- [14] Jack CR Jr, Holtzman DM. Biomarker modeling of Alzheimer's disease. *Neuron* 2013;80:1347–58.
- [15] Graff-Radford NR, Crook JE, Lucas J, Boeve BF, Knopman DS, Ivnik RJ, et al. Association of low plasma Abeta42/Abeta40 ratios with increased imminent risk for mild cognitive impairment and Alzheimer disease. *Arch Neurol* 2007;64:354–62.
- [16] Lambert JC, Schraen-Maschke S, Richard F, Fievet N, Rouaud O, Berr C, et al. Association of plasma amyloid beta with risk of dementia: the prospective Three-City Study. *Neurology* 2009;73:847–53.
- [17] Chouraki V, Beiser A, Younkin L, Preis SR, Weinstein G, Hansson O, et al. Plasma amyloid-beta and risk of Alzheimer's disease in the Framingham Heart Study. *Alzheimers Dement* 2015;11:249–57.
- [18] van OM, Hofman A, Soares HD, Koudstaal PJ, Breteler MM. Plasma Abeta(1-40) and Abeta(1-42) and the risk of dementia: a prospective case-cohort study. *Lancet Neurol* 2006;5:655–60.
- [19] Abdullah L, Luis C, Paris D, Mouzon B, Ait-Ghezala G, Keegan AP, et al. Serum Abeta levels as predictors of conversion to mild cognitive impairment/Alzheimer disease in an ADAPT subcohort. *Mol Med* 2009;15:432–7.
- [20] Yaffe K, Weston A, Graff-Radford NR, Satterfield S, Simonsick EM, Younkin SG, et al. Association of plasma beta-amyloid level and cognitive reserve with subsequent cognitive decline. *JAMA* 2011; 305:261–6.
- [21] Hansson O, Zetterberg H, Vanmechelen E, Vanderstichele H, Andreasson U, Londo E, et al. Evaluation of plasma Abeta(40) and Abeta(42) as predictors of conversion to Alzheimer's disease in patients with mild cognitive impairment. *Neurobiol Aging* 2010; 31:357–67.
- [22] Lopez OL, Kuller LH, Mehta PD, Becker JT, Gach HM, Sweet RA, et al. Plasma amyloid levels and the risk of AD in normal subjects in the Cardiovascular Health Study. *Neurology* 2008;70:1664–71.
- [23] Lovheim H, Elgh F, Johansson A, Zetterberg H, Blennow K, Hallmans G, et al. Plasma concentrations of free amyloid-beta cannot predict the development of Alzheimer's disease. *Alzheimers Dement* 2017;13:778–82.
- [24] Henriksen K, O'Bryant SE, Hampel H, Trojanowski JQ, Montine TJ, Jeromin A, et al. The future of blood-based biomarkers for Alzheimer's disease. *Alzheimers Dement* 2014;10:115–31.



- [25] Watt AD, Perez KA, Rembach AR, Masters CL, Villemagne VL, Barnham KJ. Variability in blood-based amyloid-beta assays: the need for consensus on pre-analytical processing. *J Alzheimers Dis* 2012;30:323–36.
- [26] Toledo JB, Vanderstichele H, Figurski M, Aisen PS, Petersen RC, Weiner MW, et al. Factors affecting Abeta plasma levels and their utility as biomarkers in ADNI. *Acta Neuropathol* 2011;122:401–13.
- [27] Beach TG, Monsell SE, Phillips LE, Kukull W. Accuracy of the clinical diagnosis of Alzheimer disease at National Institute on Aging Alzheimer Disease Centers, 2005-2010. *J Neuropathol Exp Neurol* 2012;71:266–73.
- [28] Lui JK, Laws SM, Li QX, Villemagne VL, Ames D, Brown B, et al., AIBL Research Group. Plasma amyloid-beta as a biomarker in Alzheimer's disease: the AIBL study of aging. *J Alzheimers Dis* 2010;20:1233–42.
- [29] Devanand DP, Schupf N, Stern Y, Parsey R, Pelton GH, Mehta P, et al. Plasma Abeta and PET PiB binding are inversely related in mild cognitive impairment. *Neurology* 2011;77:125–31.
- [30] Rembach A, Faux NG, Watt AD, Pertile KK, Rumble RL, Trounson BO, et al. Changes in plasma amyloid beta in a longitudinal study of aging and Alzheimer's disease. *Alzheimers Dement* 2014;10:53–61.
- [31] Rembach A, Watt AD, Wilson WJ, Villemagne VL, Burnham SC, Ellis KA, et al. Plasma amyloid-beta levels are significantly associated with a transition toward Alzheimer's disease as measured by cognitive decline and change in neocortical amyloid burden. *J Alzheimers Dis* 2014;40:95–104.
- [32] Perez-Grijalba V, Fandos N, Canudas J, Insua D, Casabona D, Lacosta AM, et al. Validation of Immunoassay-Based Tools for the Comprehensive Quantification of Abeta40 and Abeta42 Peptides in Plasma. *J Alzheimers Dis* 2016;54:751–62.
- [33] Ellis KA, Bush AI, Darby D, De FD, Foster J, Hudson P, et al. The Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging: methodology and baseline characteristics of 1112 individuals recruited for a longitudinal study of Alzheimer's disease. *Int Psychogeriatr* 2009;21:672–87.
- [34] Rowe CC, Ellis KA, Rimajova M, Bourgeat P, Pike KE, Jones G, et al. Amyloid imaging results from the Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging. *Neurobiol Aging* 2010;31:1275–83.
- [35] Vandenberghe R, van LK, Ivanoiu A, Salmon E, Bastin C, Triau E, et al. 18F-flutemetamol amyloid imaging in Alzheimer disease and mild cognitive impairment: a phase 2 trial. *Ann Neurol* 2010;68:319–29.
- [36] Villemagne VL, Doré V, Yates P, Brown B, Mulligan R, Bourgeat P, et al. En attendant centiloid. *Adv Res* 2014;2:723–9.
- [37] Perez-Grijalba V, Pesini P, Monleon I, Boada M, Tarraga L, Ruiz-Laza A, et al. Several direct and calculated biomarkers from the amyloid-beta pool in blood are associated with an increased likelihood of suffering from mild cognitive impairment. *J Alzheimers Dis* 2013; 36:211–9.
- [38] Janelidze S, Stomrud E, Palmqvist S, Zetterberg H, van WD, Jeromin A, et al. Plasma beta-amyloid in Alzheimer's disease and vascular disease. *Sci Rep* 2016;6:26801.
- [39] Pesini P, Sarasa M. Beyond the Controversy on Abeta Blood-Based Biomarkers. *J Prev Alz Dis* 2015;2:51–5.
- [40] Koyama A, Okereke OI, Yang T, Blacker D, Selkoe DJ, Grodstein F. Plasma amyloid-beta as a predictor of dementia and cognitive decline: a systematic review and meta-analysis. *Arch Neurol* 2012;69:824–31.
- [41] Willemsse E, Uffelen K, Brix B, Engelborghs S, Vanderstichele H, Teunissen C. How to handle adsorption of cerebrospinal fluid amyloid-beta(1-42) in laboratory practice? Identifying problematic handlings and resolving the issue by use of the AB42/AB40 ratio. *Alzheimers Dement* 2017;13:885–92.
- [42] Janelidze S, Zetterberg H, Mattsson N, Palmqvist S, Vanderstichele H, Lindberg O, et al. CSF Abeta42/Abeta40 and Abeta42/Abeta38 ratios: better diagnostic markers of Alzheimer disease. *Ann Clin Transl Neurol* 2016;3:154–65.
- [43] Kuo YM, Emmerling MR, Lampert HC, Hempelman SR, Kokjohn TA, Woods AS, et al. High levels of circulating Abeta42 are sequestered by plasma proteins in Alzheimer's disease. *Biochem Biophys Res Commun* 1999;257:787–91.
- [44] Costa M, Ortiz AM, Jorquera JI. Therapeutic albumin binding to remove amyloid-beta. *J Alzheimers Dis* 2012;29:159–70.
- [45] Biere AL, Ostaszewski B, Stimson ER, Hyman BT, Maggio JE, Selkoe DJ. Amyloid beta-peptide is transported on lipoproteins and albumin in human plasma. *J Biol Chem* 1996;271:32916–22.
- [46] Insel PS, Ossenkoppele R, Gessert D, Jagust W, Landau S, Hansson O, et al. Time to amyloid positivity and preclinical changes in brain metabolism, atrophy, and cognition: evidence for emerging amyloid pathology in Alzheimer's disease. *Front Neurosci* 2017;11:281.
- [47] Deane R, Du YS, Subramaryan RK, LaRue B, Jovanovic S, Hogg E, et al. RAGE mediates amyloid-beta peptide transport across the blood-brain barrier and accumulation in brain. *Nat Med* 2003;9:907–13.
- [48] Sagare AP, Deane R, Zetterberg H, Wallin A, Blennow K, Zlokovic BV. Impaired lipoprotein receptor-mediated peripheral binding of plasma amyloid-beta is an early biomarker for mild cognitive impairment preceding Alzheimer's disease. *J Alzheimers Dis* 2011; 24:25–34.
- [49] Insel PS, Palmqvist S, Mackin RS, Nosheny RL, Hansson O, Weiner MW, et al. Assessing risk for preclinical beta-amyloid pathology with APOE, cognitive, and demographic information. *Alzheimers Dement (Amst)* 2016;4:76–84.