

The methods of preoperative biliary drainage for resectable hilar cholangiocarcinoma patients

A protocol for systematic review and meta analysis

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Abstract

Objectives: To compare the clinical outcomes of endoscopic biliary drainage (EBD) with those of percutaneous transhepatic biliary drainage (PTBD) in patients with resectable hilar cholangiocarcinoma (HCCA) and evaluate the effect of EBD and PTBD on tumor prognosis.

Materials and methods: PubMed, EMBASE, and Cochrane Library databases were searched for articles about the comparison between PTBD and EBD. Data were analyzed by Revman 5.3.

Results: PTBD showed a lower risk of drainage-related complications than EBD (OR, 2.73; 95%CI, 1.52–4.91; $P < .05$). PTBD was also associated with lower risk of pancreatitis (OR, 8.47; 95%CI, 2.28–31.45; $P < .05$). The differences in preoperative cholangitis, R0 resection, blood loss and recurrence showed no statistically significance between EBD and PTBD (all $P > .05$). Several literatures have reported the tumor implantation metastasis after PTBD. Since no well-designed prospective randomized controlled studies have explored in this depth, this article is unable to draw conclusions on this aspect.

Conclusion: PTBD is a reasonable choice for PBD, and EBD should only be used as preoperative drainage for HCCA by more experienced physicians. There is a greater need to design prospective randomized controlled studies to obtain high-level evidence-based medicinal proof. It is worth noting that, whether EBD or PTBD, accurate selective biliary drainage should be the trend.

Abbreviations: EBD = endoscopic biliary drainage, EBS = endoscopic biliary stenting, ENBD = endoscopic nasobiliary drainage, FRL = future residual liver, HCCA = hilar cholangiocarcinoma, OR = odds ratio, OS = overall survival, PBD = preoperative biliary drainage, PTBD = percutaneous transhepatic biliary drainage, RCT = randomized clinical trials, SBD = selective biliary drainage, SMD = standardized mean differences, TBD = total biliary drainage.

Keywords: endoscopic biliary drainage, hilar cholangiocarcinoma, Klatskin tumor, percutaneous transhepatic biliary drainage, preoperative biliary drainage

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1. Introduction

Hilar cholangiocarcinoma (HCCA) is a kind of malignant tumor originated from hilar cholangiocytes, which often invades the common hepatic duct and the confluence of left and right hepatic ducts.^[1] Up to now, the biological characteristics and molecular pathology of HCCA are still poorly understood.^[2] Surgical resection is the main method for the treatment of HCCA. Extended liver resection and vascular reconstruction are always needed in order to achieve resection with free margins (R0 resection).^[3–5]

The growth of tumor in porta hepatitis can easily cause obstructive jaundice. Cholestasis may lead to damage of liver function and affect the regeneration of liver tissue.^[6,7] The postoperative mortality of cholestatic patients with extended liver resection can up to 18%, suggesting that the abnormal liver function caused by cholestasis was an important risk factor of surgical prognosis.^[8] Biliary drainage can relieve obstruction, reduce symptoms of cholangitis and correct severe malnutrition. Therefore, it has become a routine method to treat patients with preoperative biliary drainage (PBD) during preoperative period.^[9]

Techniques of PBD include endoscopic biliary drainage (EBD) and percutaneous transhepatic biliary drainage (PTBD). EBD is an internal drainage procedure via endoscopic biliary stenting (EBS) or endoscopic nasobiliary drainage (ENBD) and has the advantage of low trauma, but it seems to induce procedure-related complications more easily. For example, EBS was often associated with stent occlusion and retrograde infection of bile duct.^[10] Bacterial contamination caused by EBD may induce preoperative cholangitis, which is considered to be an indepen-

dent prognostic factor in surgical patients.^[11,12] Therefore, PTBD was used to be the preferred method for PBD in Asia. PTBD has a high success rate in technology. The drainage catheter of PTBD can also be used for selective cholangiography to determine the extent of tumor invasion. Although recent studies have associated PTBD with tumor seeding metastasis, whether it will lead to a poor prognosis remains controversial.^[13,14] So far, no consensus has been reached on the best choice of PBD.

Previous studies have been published to compare EBD and PTBD mainly in drainage-related complications.^[15–17] In this study, we additionally collected data to explore the impact of PTBD and EBD on surgery. In order to analyze whether methods of PBD have influence on the long-term prognosis, we also focus on the data of tumor recurrence and the overall survival (OS) in each study. We hope to provide reference for preoperative drainage of HCCA patients.

2. Materials and methods

2.1. Ethics statement

The meta-analysis we made is a secondary study, and the original researches included have been approved by the ethics committees of the relevant units. Thus, the ethical approval of this meta-analysis was not necessary.

2.2. Search strategy and selection criteria

Literature search was performed through PubMed, EMBASE, and Cochrane Library databases (the latest date was October 10, 2019), the free-text terms and MeSH terms included “Hilar cholangiocarcinoma,” “Klatskin Tumor,” “preoperative biliary drainage,” “endoscopic biliary drainage,” and “percutaneous transhepatic biliary drainage.” We also made a manual search for potential target references, irrespective of the language restrictions.

The selection criteria were as follows:

1. Randomized clinical trials (RCT), cohorts studies or case-control studies that based on EBD and PTBD in HCCA;
2. Details of the drainage related complications and the prognosis should be provided;
3. Reviews, case reports, and comments were excluded;
4. Studies of palliative treatment were excluded.

2.3. Data extraction

The basic data included the author, enrollment period, study regions, study design, and study population. Data associated with surgery included R0 resection, intraoperative blood loss, and postoperative complications. Furthermore, we recorded the Bismuth-Corlette type of patients in each study and the prognosis of patients in each group as far as possible.

2.4. Quality evaluation

According to the selection strategy, two investigators were employed to assess the articles independently. The JADAD score standard table was adopted for the evaluation of RCT. Studies with scores below 2 were considered to be of low quality, while studies with scores above 3 were considered to be of high quality. The Newcastle-Ottawa scale (NOS) was used for the

assessment of non-randomized controlled studies. Studies with a total score of 7 were defined as high quality, 4 to 6 as medium and <4 as low quality.

2.5. Statistical analysis

We used the Revman 5.3 software (Cochrane Collaboration, Oxford, UK) for meta-analysis. If continuous variables were provided in the form of median and range, they would be transformed in mean and standardized mean differences (SMD) as suggested by Wan et al.^[18] The difference between groups were measured by the odds ratio (OR) and 95% confidence intervals (CIs). Heterogeneity was assessed by the chi-square test. A fixed-effects model was used when the heterogeneity is small ($P > .1$ or $I^2 < 50\%$), otherwise we used a random-effects model. We used Z test to calculate the P value for overall effect, and the statistical significant level was set at $P < .05$.

3. Results

Overall, we found 128 potential target references, of which 13 were in line with our research direction. Further by excluding studies that focus on palliation of unresectable HCCA, we identified 8 studies (with data for 1148 participants) for our meta-analysis^[14,19–25] (Fig. 1). These 8 trials included one randomized clinical trial and seven retrospective cohort studies. The years of publication were ranged from 2009 to 2018. None of them were considered low quality (Table 1).

The characteristics of patients in each study were summarized in Table 2. There were no significant difference between the EBD group and PTBD group in sex and age. The preoperative bilirubin levels and the Bismuth-Corlette type were similar between the two groups in most studies.

3.1. The drainage-related complications

Three studies reported the total number of drainage-related complications.^[19,22,23] It was 62.7% (101 of 161) in the EBD group and 35.0% (35 of 100) in the PTBD group, suggesting a lower complications rate in PTBD group (OR, 2.73; 95%CI, 1.52–4.91; $P < .05$; Fig. 2).

The incidence of cholangitis was provided in five studies,^[14,19,21–23] with 23.2% (91 of 392) in the EBD group and 23.9% (61 of 255) in the PTBD group. However, the analysis showed a high heterogeneity ($P < .00001$, $I^2 = 88\%$, OR, 0.77; 95%CI, 0.52–1.15; Fig. 3), and the result was not statistically significant ($P = .20$).

Other drainage-related complications included pancreatitis, tube dislocation and drain disfunction. The pancreatitis rate was reported in four studies.^[19,21–23] It was significantly higher in EBD (OR, 8.47; 95%CI, 2.28–31.45; $P < .05$; Fig. 4). The tube dislocation or drain disfunction were not statistically significant (OR, 0.57; 95%CI, 0.28–1.17; $P > .05$; Fig. 5).

3.2. Conversion

Three studies reported the conversion rate between the two groups.^[19,22,23] It was 29.8% (48 of 161) in EBD and 4.0% (4 of 100) in PTBD, suggesting that the drainage effect of PTBD may be better than that of EBD (OR, 8.68; 95%CI, 3.02–24.96; $P < .05$; Fig. 6).



Figure 1. Flowchart of study inclusion.

3.3. Data about surgery

Rate of R0 resection were reported in four studies.^[20–22,25] The total rate of EBD group and PTBD group from them are 73.8% (211/286) and 71.9% (230/320), respectively. But the results of meta-analysis were not statistically significant (OR, 1.10; 95% CI, 0.76–1.59; $P=.63$; Fig. 7).

The volume of blood loss during surgery was reported in three studies.^[19,24,25] The outcome showed high heterogeneity, using a random-effects model and there was no statistically significance between the two groups ($P=.95$, Fig. 8).

3.4. Postoperative complications

The postoperative complications include cholangitis, haemorrhage, biliary leakage, liver failure, and so on. Five studies provided data on postoperative complications.^[19–22,25] While the analysis showed no statistically significance about the complications (OR, 0.85; 95% CI, 0.62–1.16; $P=.30$; Fig. 9).

3.5. Cancer recurrence

Five studies provided the rate of cancer recurrence.^[14,20–22,25] The total rate of EBD group and PTBD group are 39.5% (175/443).

Table 1

Quality of studies included in this work.

Author	Year of publication	Country	Duration of study	Study design	Quality evaluation score
Kloek et al	2009	The United States of America	2001–2008	NCT	6
Hirano et al	2014	Japan	2000–2008	NCT	6
Kim et al	2015	Republic of Korea	2000–2012	NCT	6
Wiggers et al	2015	The Netherlands	1991–2012	NCT	5
Komaya et al	2016	Japan	2003–2012	NCT	6
Higuchi et al	2017	Japan	2000–2013	NCT	6
Zhang et al	2017	The United States of America	2000–2014	NCT	5
Coelen et al	2018	Netherlands	2013–2016	RCT	5

The quality of NCTs is assessed by the Newcastle-Ottawa Scale, while the evolution of RCT is referred to JADAD score.

NCT=non-randomized controlled trial, RCT=randomized controlled trail.

Table 2**Characteristics of studies included.**

Author	Study group	No. of patients	Mean age (range)	Sex (male/female)	T.Bil (range), mg/dL	Bismuth-Corlette type					Drainage time (range), day	No. of recurrence	5-year overall survival
						I	II	IIIa	IIIb	IV			
Kloek et al	EBD	90	61 (37–77)	64/26	NA	22			68		NA	NA	NA
	PTBD	11	61 (36–75)	6/5	NA	3			8		NA	NA	NA
Hirano et al	EBD	74	68 (44–78)	58/16	5.2 (0.5–29.3)	15	21	12	16	10	NA	33	51.10%
	PTBD	67	68 (42–82)	53/14	8.4 (0.6–25.7)	5	16	13	13	20	NA	38	34.40%
Kim et al	EBD	44	63 (42–79)	30/14	10.3 ± 7.1	2	6		29	7	18 (2–68)	29	NA
	PTBD	62	62 (46–89)	38/24	13.7 ± 6.7	0	10		38	14	20 (3–56)	38	NA
Wiggers et al	EBD	157	65	100/57	NA	41	23	44	28	16	NA	25	NA
	PTBD	88	61	53/35	NA	8	11	30	18	19	NA	14	NA
Komaya et.al	EBD	71	68 (38–84)	48/23	NA		36		35		NA	NA	48.60%
	PTBD	71	66 (37–85)	48/23	NA		36		35		NA	NA	34.00%
Higuchi et al	EBD	76	70	50/26	NA		44		32		NA	46	47.30%
	PTBD	87	67	67/20	NA		50		37		NA	66	27.80%
Zhang et.al	EBD	92	69 (60–73)	47/45	4.0 (1.7–9.8)		30		58		30 (15–47)	42	NA
	PTBD	104	65 (54–73)	66/38	7.5 (2.5–14.6)		19		72		30 (14–49)	44	NA
Coelen et al	EBD	27	66 (60–72)	18/9	NA	1	3	10	4	9	47 (35–62)	NA	NA
	PTBD	27	69 (64–73)	18/9	NA	0	1	12	7	7	65 (51–80)	NA	NA

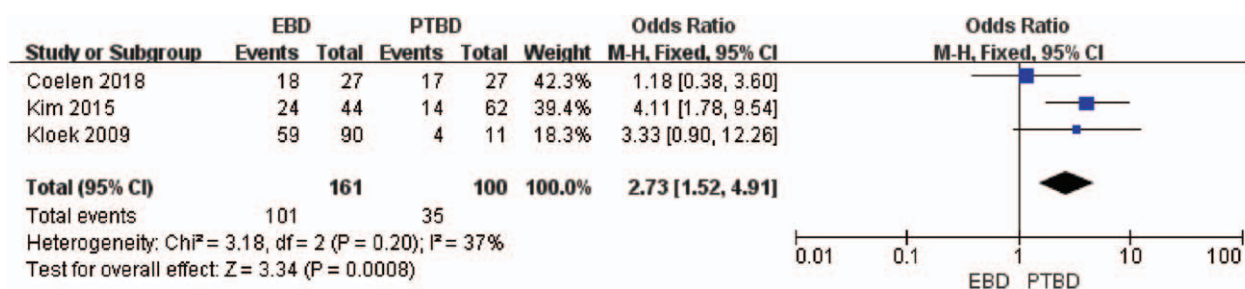
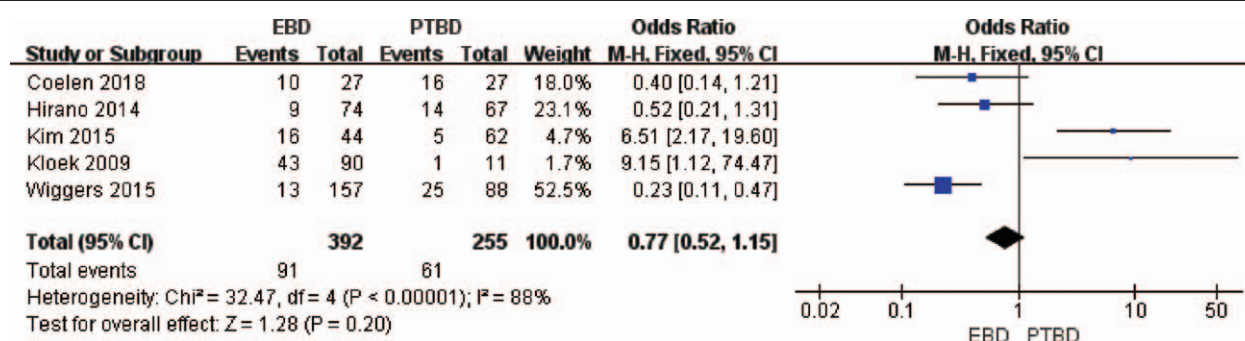
NA = not available.

and 49.0% (200/408), respectively. The analysis showed no statistically significance (OR, 1.10; 95%CI, 0.76–1.59; $P = .63$; Fig. 10).

4. Discussion

Previous studies have showed that PTBD had a lower incidence of PBD-related complications than EBD, which was consistent with our results. Preoperative obstructive jaundice and cholangitis are

considered to be the risk factors for poor prognosis of HCCA. Different from the previous studies, the comparison of cholangitis rate in our analysis has a high heterogeneity, and the final results are not statistically significant. The heterogeneity may be related to technological innovation. For example, PBD group in our analysis include ENBD and EBS, technology of ENBD has been greatly improved in the past decade, while EBS has been gradually out of use. This situation was not reflected in the selected studies. In the randomized clinical trial, the incidence of

**Figure 2.** Forest plot for the total complications after PBD (EBD vs PTBD).**Figure 3.** Forest plot for the incidence of cholangitis (EBD vs PTBD).

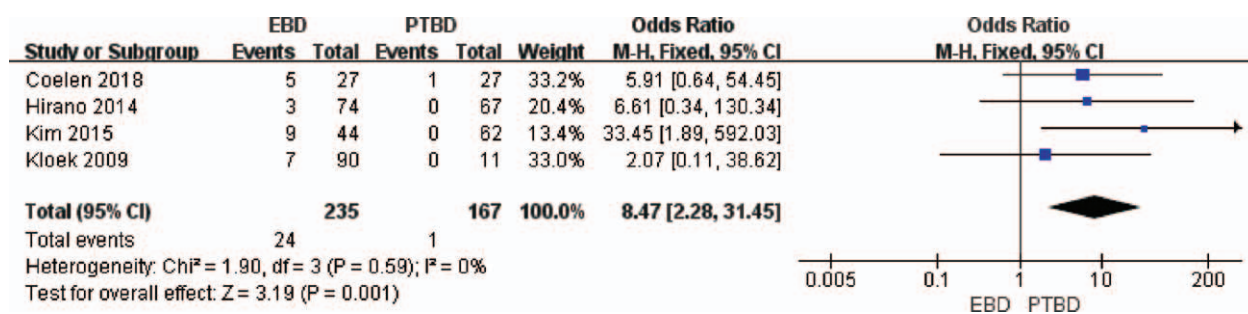


Figure 4. Forest plot for the incidence of pancreatitis (EBD vs PTBD).

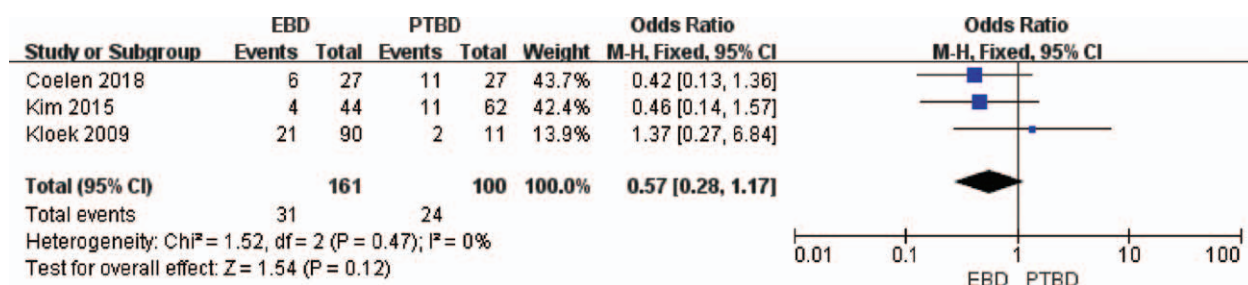


Figure 5. Forest plot of tube dislocation/drain disfunction rate between EBD and PTBD.

cholangitis in PTBD group was higher than that in EBD group. In the PTBD procedure, catheters are punctured into the bile duct from the portal vein, which may easily cause the internal fistula of portal vein and bile duct, and the drainage time will also be prolonged (Table 2). Another concern for doctors not using EBD is the induction of pancreatitis. In recent studies, however, the severity of pancreatitis did not affect the subsequent

surgery.^[10,26] Several measures have been adopted to ensure the safety of EBD, including air contrast, avoidance of endoscopic sphincterotomy (EST) and the use of smaller diameter catheters. According to Kawashima et al, a large number of patients with Bismuth-type III/IV did not affect the technical success rate of ENBD, 80% of which were effective after successful insertion into future residual liver (FRL).^[26] This is contradictory to the

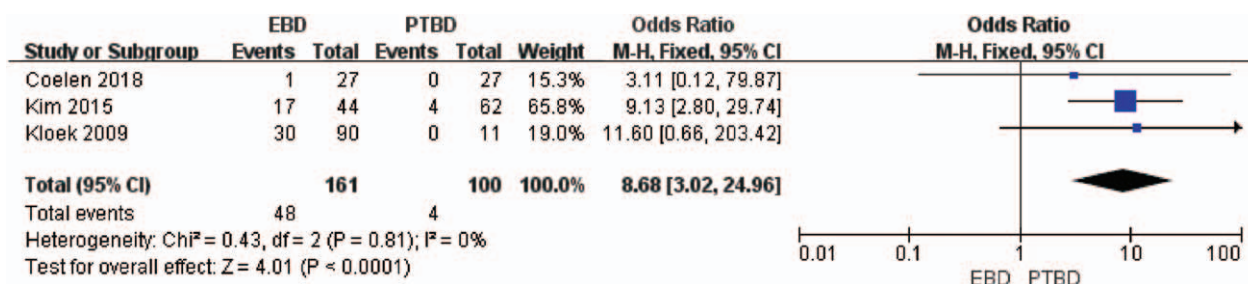


Figure 6. Forest plot of conversion rate between EBD and PTBD.

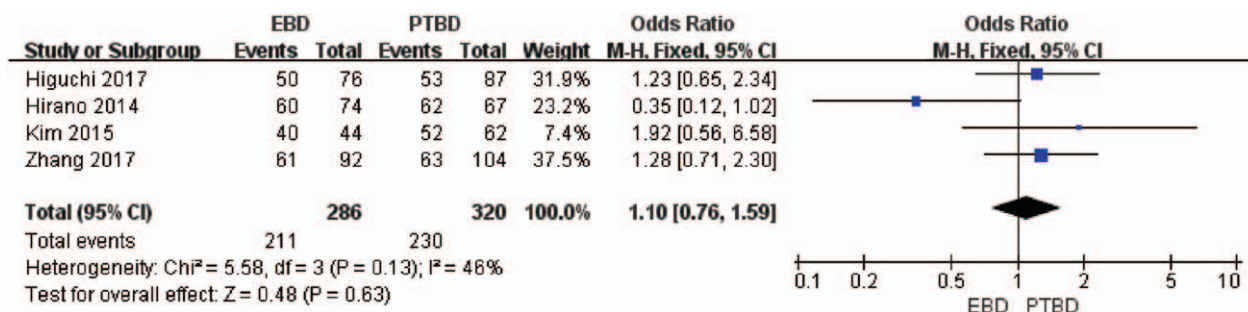


Figure 7. Forest plot of R0 resection between EBD and PTBD.

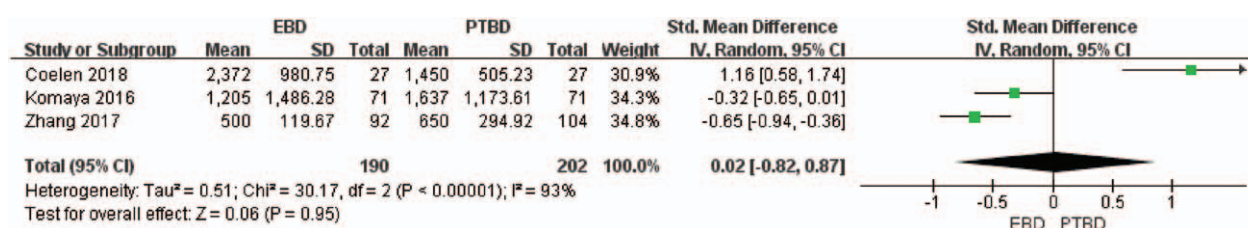


Figure 8. Forest plot of blood loss during surgery between EBD and PTBD.

conclusion of Tang et al.^[17] It is speculated that due to the immaturity of EBD technology, operators tend to use PTBD to avoid severe portal stenosis in the past decade.

EBD is considered as a kind of intracavitary drainage, which will not cause bile overflow in theory. The catheter of PTBD is placed freely through the abdominal cavity or chest cavity, so bile containing exfoliated cancer cells may overflow.^[24] Thus, PTBD is considered to be a risk factor for seeding metastasis. In the studies we selected, cancer dissemination was defined as seeding metastasis, peritoneal dissemination and pleural dissemination. However, there were significant differences in the judgment of recurrence in each study. For example, Wiggers et al performed CT or MRI based on the tumor marker levels or physical examination, which may underestimate the recurrence.^[14] The total recurrence rate showed a significant difference in favor of EBD. The 5-year overall survival rate in several studies also confirmed that the prognosis of PTBD was indeed worse than that of EBD.

PBD aims to relieve biliary obstruction and ensure the recovery of preoperative liver function.^[27,28] However, due to inadequate

preoperative drainage, patients will not benefit from PBD when the volume of FRL is greater than 50%.^[7,8,29] EBD dredges the left and right hepatic ducts to achieve total biliary drainage (TBD), while PTBD uses catheter to achieve selective biliary drainage (SBD). This means PTBD could regulate the drainage of different liver segments according to the surgical plan.^[30,31] De Palma et al evaluated the drainage effect of SBD and TBD in unresectable HCCA, they found that SBD is better than TBD in promoting hypertrophy of FRL.^[32] Whether it is the same in resectable HCCA needs to be confirmed. In another retrospective cohort study, no increased risk of cholangitis was found in patients with SBD.^[33] Studies above showed the advantages of SBD, which may indirectly explain why PTBD is more popular in the past decade.

In general, we compared the drainage effect of EBD and PTBD, their influence on prognosis were also analyzed. As a mature technique, PTBD has certain advantages in preoperatively drainage for HCCA. However, the studies we selected have a big chronological span and the technical level of EBD can be uneven, which may limit our analysis. Therefore, there is a greater

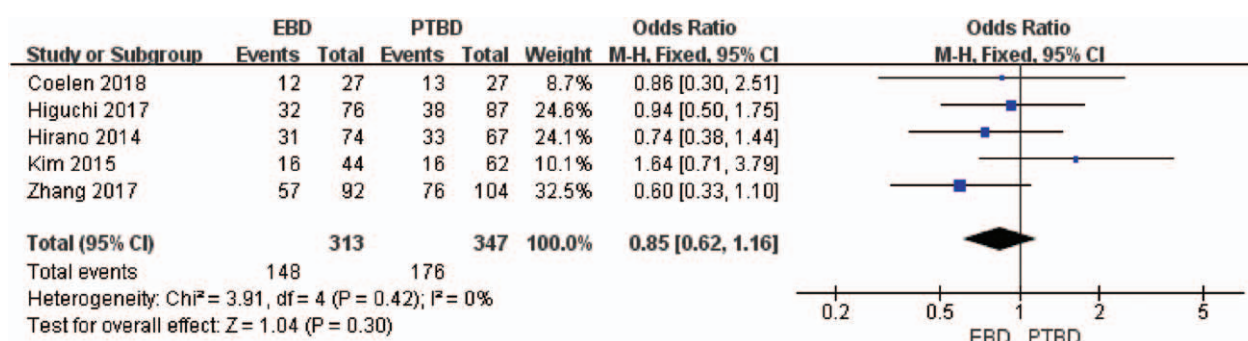


Figure 9. Forest plot of postoperative complications between EBD and PTBD.

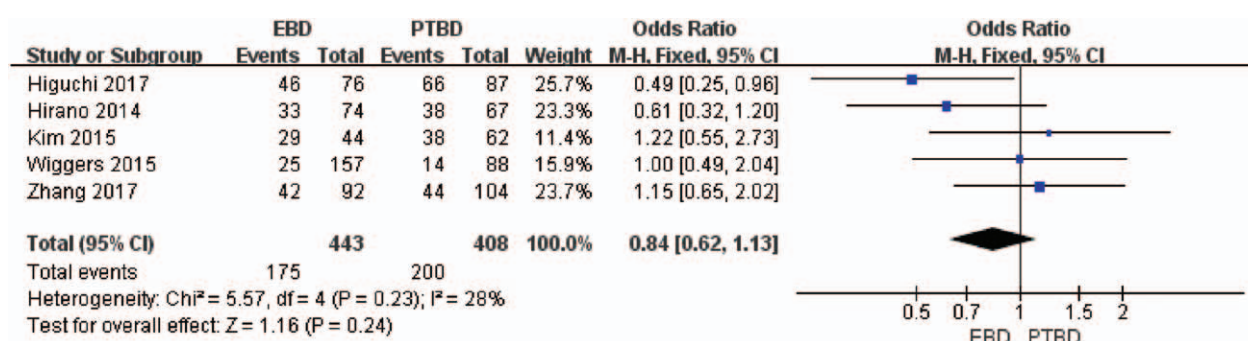


Figure 10. Forest plot of recurrence between EBD and PTBD.

need to design prospective randomized controlled studies to obtain high-level evidence-based medicinal proof. PTBD is a reasonable choice for PBD, and EBD should only be used as preoperative drainage for HCCA by more experienced physicians. Moreover, it is worth noting that, whether EBD or PTBD, accurate selective biliary drainage should be the trend.

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