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## Clinical importance of eflornithine (α-difluoromethylornithine) for the treatment of malignant gliomas

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#### Practice points

- Eflornithine (α-difluoromethylornithine) is a specific and irreversible inhibitor of ornithine decarboxylase with activity against glial tumors.
- Eflornithine has good bioavailability and can be given three-times daily for 14 days with 7–14-day rest periods between cycles.
- Eflornithine has activity against recurrent gliomas as a single agent.
- Eflornithine in combination with procarbazine, lomustine, vincristine (PCV) chemotherapy is active against anaplastic gliomas with improvement in progression-free survival and overall survival of about 2.5 years over PCV therapy.
- When effornithine was added to PCV, the most frequent grade 2 toxicities were diarrhea, anemia and thrombocytopenia; the most frequent grade 3 toxicities were diarrhea, anemia and nausea.
- With more intense dosing of effornithine in single-agent studies, the most frequent grade 2 toxicities were diarrhea, granulocytopenia and anemia; the most frequent grade 3 toxicities were hearing impairment, tinnitus and granulocytopenia.

This review covers the literature between 1989 and 2007 on studies relevant to the neuro-oncology usage of effornithine ( $\alpha$ -difluoromethylornithine), an oral agent that irreversibly inhibits the enzyme ornithine decarboxylase. It covers the use of effornithine, alone or in combination, to treat high-grade gliomas. In addition, we provide an update on overall survival from The University of Texas MD Anderson Cancer Center Community Clinical Oncology Program and Clinical Trials Data Office that demonstrates a meaningful benefit in overall survival for effornithine as a single agent and in combination with nitrosourea-based therapies for anaplastic gliomas. We also provide a framework for understanding the basis and study design of the ongoing pivotal, registrational Phase III multicenter trial for recurrent/progressive anaplastic astrocytoma.

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# **Keywords:** astrocytoma • anaplastic oligoastrocytoma • anaplastic oligodendroglioma • glioblastoma • polyamine inhibition

Effornithine, ( $\alpha$ -difluoromethylornithine, DFMO) is an irreversible and specific inhibitor of ornithine decarboxylase, one of the rate-limiting enzymes in the synthesis of polyamines and a recognized target in cancer research. Since inhibition of ornithine decarboxylase is known to lead to cellular effects that influence tumor cell growth [1,2], effornithine has been evaluated as a treatment for various cancers in multiple clinical studies (reviewed by Bachmann and Levin [2]). In these studies, effornithine was found to be active against some leukemias and solid tumors, such as breast, colon, cervical, small-cell lung cancer and melanoma.

Effornithine is not metabolized to a significant extent, is excreted in the urine unchanged and has approximately 80% oral bioavailability [3,4]. It can be taken orally in gram quantities multiple times daily for periods of weeks with modest side effects, including gastrointestinal (GI) toxicity, such as loose stools and diarrhea, primarily because of its osmotic load on the GI tract. Another observed adverse event is a decline in sensorineural hearing in a



**CNS Oncology** 

low percentage of patients, which is usually reversible once effornithine is stopped. When used with cytotoxic chemotherapy agents, effornithine may modestly augment myelotoxicity [3,5–9]. Oral effornithine has a nominal biological plasma half-life of 6–12 h when chronically dosed [10,11], and has historically been given chronically on either four-times a day or three-times a day schedules in cancer patients.

Eflornithine has been under extensive investigation since the 1980s for various indications. It is approved for marketing in the USA and Europe for topical use as a hair growth retardant (Vaniqa<sup>®</sup> eflorinthine cream, Allergan, CA, USA). It has been previously developed and authorized as an antiprotozoan treatment for trypanosomiasis and is currently used in those areas of the world affected by this disease.

More than 390 patients with high-grade gliomas, including anaplastic astrocytoma (AA) have been treated with effornithine in clinical trials between 1989 and 2007. The published studies have evaluated various methods of administration and dose regimens, and have included effornithine use as a single agent, as well as in combination with other therapies, such as mitoguazone (MGBG), BCNU (1,3-bis(2-chloroethyl)-1-nitrosourea) and PCV (procarbazine, lomustine, vincristine).

This paper summarizes the results of all published studies of effornithine for the treatment of gliomas, and provides most recent updates for the Phase II single-agent effornithine study [9] and the randomized Phase III studies of effornithine–PCV versus PCV [7,8], which lead to the design of the current ongoing clinical trial in recurrent AA (STELLAR trial) [12].

#### Studies of eflornithine alone & in combination with mitoguazone

A total of 166 patients, with 134 evaluable, have been studied in two clinical trials of effornithine in combination with MGBG [5,9]. The first clinical trial was a two-arm, 45-patient study that compared different schedules of dosing of effornithine in combination with MGBG and the second trial was a 121-patient study that evaluated effornithine as a single agent versus an MGBG–effornithine combination.

In the initial effornithine–MGBG Phase I/II publication, two dose schedules combining effornithine with MGBG were used (see Table 1). Initial dosing in group I patients led to significant toxicity in 12 patients that was similar in severity to that observed by another group [13], leading to a better tolerated group II schedule (Table 1). Of the 45 patients with recurrent CNS tumors treated in the study, 33 were evaluable for response; of these, eight patients from Group I and 19 patients from Group II had an infiltrative cerebral glioma that could be evaluated for response. Combining the two groups, there were seven astrocytoma, 13 AA and anaplastic oligoastrocytoma (AOA), six glioblastoma (GBM) and one brainstem glioma.

Efficacy was measured by radiographic response and time to tumor progression (TTP) from initiation of effornithine therapy. Responses were observed in 4/19 infiltrative glioma patients in group II. Median TTP was 16 weeks in the 11 AA and AOA (AA/AOA) patients and 51 weeks in the 6 astrocytoma patients (Table 1). Group II dose-limiting adverse events were GI, myelotoxicity and tinnitus. Hearing loss was not significant, and did not result in dose modification in any of the patients.

It is important to note that the total daily exposure to effornithine was  $4 \text{ g/m}^2$  over a maximum dosing period of 56 days for a total of 168 g/m<sup>2</sup>. Improved efficacy was seen in following studies as the daily effornithine dose was increased.

The results and insights from the prior study led to an Orphan Drug Grant-sponsored randomized Phase II study to evaluate single-agent effornithine versus a combination of effornithine and MGBG for the treatment of recurrent malignant gliomas. This was study T88-0236 (CTEP) and DM88-130 (The University of Texas MD Anderson Cancer Center [MDACC]) [9]. Patients were randomized to receive either effornithine as sole therapy or a combination of effornithine with MGBG. In both cases, treatment cycles were repeated every 63 days (see Table 1 for details). Efficacy response was measured by TTP from initiation of effornithine therapy.

Due to unanticipated fulminant and fatal hepatotoxicity observed in two study patients (ages 32 and 35) and two other patients participating in an unpublished prostate cancer trial, the US FDA requested that enrollment in the effornithine–MGBG combination arm of the study be halted with accrual terminated at 23 patients (21 evaluable).

The single agent effornithine arm continued to accrue and enrolled a total of 98 patients before the study was closed. From the group of 98 patients there were 80 patients evaluable for response and 86 evaluable for toxicity. In this study, grade 3 and 4 toxicities for effornithine consisted mainly of GI-related complaints (nausea, vomiting, diarrhea), hearing loss and hematologic abnormalities (thrombocytopenia, leukopenia, granulocytopenia).

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Study	Ν	Drug schedule	Efficacy	Safety
Phase I study of eflornithine + MGBG	45	Group I: eflornithine on days 1–42 at 1 g/m <sup>2</sup> , q. 6 h orally and MGBG on days 14, 28 and 42 at 350 mg/m <sup>2</sup> iv. Group II: eflornithine on days 1–14, 21–35 and 42–56 at 1.33 g/m <sup>2</sup> , q. 8 h orally, and MGBG on days 14, 35 and 56 at 200 mg/m <sup>2</sup> iv.	Of 21 group II patients, the mTTP for six astrocytoma (three responses and three SDs) was 51 weeks and for 11 AA/AOA (one response and six SDs) was 16 weeks	Gastrointestinal toxicity, myelotoxicity and tinnitus were dose limiting in this study. Hearing loss was not significant and did not result in dose modification in any of the patients
Phase II randomized study of eflornithine + MGBG vs eflornithine	121	Eflornithine: 3.6 g/m <sup>2</sup> q. 8 h on days 1–14, 22–35 and 43–56. Eflornithine with MGBG: eflornithine 1.8 g/m <sup>2</sup> q. 8 h on days 1–14, 22–35 and 43–56 plus MGBG, 200 mg/m <sup>2</sup> iv. on days 14, 35 and 56; cycles were repeated every 63 days	For single-agent arm: 45% of 44 AG patients had some benefit from eflornithine with four PRs, nine MRs and seven with SD. Median time free of progression for this group of 20 patients was 49 weeks. For GBM patients, 18% benefited from eflornithine with two PR, two MR and two SD responses	DFMO + MGBG are terminated after 23 patients due to fatal hepatic toxicity in two patients. Single agent: toxicities were reported for 86 of the patients treated with effornithine. Grade 3 and 4 toxicities in the effornithine-only arm consisted mainly of gastrointestinal-related complaints (nausea, vomiting, diarrhea), hearing loss and hematologic abnormalities (thrombocytopenia, leukopenia, granulocytopenia)
Phase II eflornithine + BCNU	38	Eflornithine, 2.0 g/m <sup>2</sup> orally every 8 h on days 1–14 and 29–42, and BCNU, 210 mg/m <sup>2</sup> on day 15; treatment was repeated every 8 weeks for six courses or until disease progression	AA/AOA: PR observed by enhanced CT scan in 10% (2/21) and SD in 48% (10/21) of patients. mOS for the AA/AOA group of 21 was 56 weeks. Of interest was that in the combined PR + SD AA/AOA group of 12 patients, mOS was 119 weeks. GBM: minimal activity in 12 patients with a median time to tumor progression of 8 weeks and mOS of 21 weeks. Brainstem glioma: of five patients with brainstem tumors, three were alive with SD at 77, 93 and 220 weeks	Moderate toxicity was observed at these doses for myelosuppression (except for one patient who died of sepsis due to severe myelosuppression), dyspnea and tinnitus. One patient had bilateral sensorineural hearing loss prior to the start of therapy and progressive hearing loss on the side of the brainstem tumor; audiogram performed 6 months after the cessation of chemotherapy continued to show progressive unilateral hearing loss
Phase II effornithine + $\alpha$ -IFN	29	Eflornithine, 2.25 g/m² q6 h on days 1–7 and $\alpha\text{-IFN}$ 36 MU/m² subcutaneously on days 3–7	No responses in 29 evaluable patients, although SD that exceeded 6 months in 24% (7/29) of patients and was considered due to eflornithine administration	Grade 3 and 4 toxicities were mainly gastrointestinal (nausea, vomiting, diarrhea), hematologic (leukopenia), myalgia, fever, chills and lethargy
AA: Anaplastic astrocytoma; A	AG: Anaplas	stic glioma; AOA: Anaplastic oligoastrocytoma;	BCNU: Carmustine 1,3-bis(2-chloroethyl)-1-nit	rosourea; DFMO: α-difluoromethylornithine;

Table 1. Results of Phase I/II studies of effornithine with mitoguazone, Phase II study of effornithine with carmustine and Phase II study of effornithine with  $\alpha$ -IFN for infiltrative malignant gliomas.

AA: Anaplastic astrocytoma; AG: Anaplastic glioma; AOA: Anaplastic oligoastrocytoma; BCNU: Carmustine 1,3-bis(2-chloroethyl)-1-nitrosourea; DFMO: α-difluoromethylornithine; GBM: Glioblastoma; iv.: Intravenously; MGBG: Methylglyoxal-bis(guanylhydrazone); mOS: Median overall survival; MR: Minor response; mTTP: Median time to tumor progression; PFS: Progression-free survival; PR: Partial response; q.: Every; SD: Stable disease.

The radiographic response rate, measured by contrast-enhanced computed tomography (CT) or MRI used earlier criteria adapted for CT and MRI [9,14]. It was shown that 45% of 44 anaplastic glioma (AG) patients had some benefit from effornithine with four partial responses (PR), nine minor responses (MR) and seven with stable disease (SD). Since this study enrolled all patients regardless of the amount of prior therapy they had received, nine patients progressed before taking one course of therapy, implying that the tumors of this subset of AG patients were now growing as GBM by the time they started effornithine therapy, either because of pre-existing molecular features or phenotypic transformation [15]. It was found that the median time free of progression for the subgroup of 20 patients who had a PR, MR or SD was 49 weeks. Of 36 evaluable GBM patients, only 18% benefited from effornithine with two PR, two MR and two SD responses. This subgroup of six patients had a median time free of progression of 32 weeks [9].

In 2001, with the help of MDACC Clinical Trials Data Office, the overall survival (OS) for patients who received effornithine as a single agent in this study was analyzed. In addition, pathology was reviewed to determine the outcomes of those recurrent AG patients with the diagnosis of recurrent AA. For the 34 patients with recurrent AA, median progression-free survival (mPFS) was 17.6 weeks with median OS (mOS) being 100 weeks or 23 months (Figure 1A).

These 2001 data suggest that single-agent effornithine produced a 23-month mOS result, which is better than the mOS reported by Wong *et al.* from consecutive Phase II trials of AA patients studied at MDACC [16]. In the Wong study, they computed an mOS of 10.8 months for 150 Phase II study patients with recurrent AA (rAA). It



Figure 1. Kaplan–Meier representations of progression-free survival and overall survival of effornithine patients treated on US FDA Orphan Drug Grant Study T88–0236 and study DM88–130 with diagnosis of AA and GBM. PFS and OS for (A) AA and overall survival for (B) GBM were updated in 2001. There were 34 evaluable AA from the original study and 38 GBM [9]. AA: Anaplastic astrocytoma; GBM: glioblastoma; PFS: Progression-free survival; OS: Overall survival.

should be noted that these rAA patients included the patients studied in the effornithine single-arm study described above. When the Wong data are re-analyzed after removing the 54 patients treated with effornithine, the mOS for the remaining patients dropped to 8.7 months with OS at 2 years of 25%.

Further, this single-agent effornithine data appear encouraging compared with the Chamberlain *et al.* recent evaluation of lomustine in rAA patients who had progressed after radiation therapy (RT) and temozolomide. In a retrospective study of 35 rAA patients, they found an mOS of 10 months with no OS at 2 years [17]. In our study, effornithine demonstrated an mOS of 23 months and OS at 2 years of 48%, and suggests that effornithine as a single agent may lead to better outcomes for rAA patients compared with lomustine or the many Phase II therapies assessed at MDACC and cited earlier. Both studies used criteria of radiographically measurable recurrent tumor and determination of initial AA histology by microscopy. In addition, Chamberlain obtained molecular studies, not available at the time of our clinical trial, that showed no patients had chromosome 1p/19q co-deletion, 86% had *IDH1* mutation by immunohistochemistry (IHC), 28% with *ATRX* mutation by IHC and 17% with *MGMT* promoter methylation. Thus, about 14% of his patients had a genotype more consistent to GBM than AA.

In addition to the much improved mOS for AA and AOA over published reports, the OS for these patients with confirmed recurrent AA diagnosis at 2 years was 48%. It may be that this OS result was due to the increased total daily dose of effornithine at 10.8 g/m<sup>2</sup>, which was much higher than used in the prior study, and increased dose duration of up to 2 years. On the other hand, survival following tumor progression/recurrence, especially for WHO grade 3 gliomas, can be confounded by the impact of therapies used prior to randomization as well as those used at progression.

For the 34 AA, there were seven patients who failed only RT before starting study. Of the remaining 27 patients, 19 started effornithine after failing RT and one chemotherapy, while eight patients started effornithine after RT and at least two prior chemotherapies. All the 27 patients having chemotherapy before effornithine received at least one nitrosourea, 15/27 also received procarbazine, 6/27 also received dibromodulcitol or a platinum compound, and all but one also received one to three additional anticancer agents in various combinations. Thus, one would presume that the seven that failed RT before receiving effornithine would be candidates for cytotoxic drugs after progression and might do better than those who were treated with chemotherapy prior to effornithine. Interestingly, the seven patients who failed RT had an mPFS of 9.3 weeks and mOS of 89 weeks. If these seven patients were then removed from the combined AA/AOA group of 38 cases or the AA group of 34 cases, the mPFS was 18.9 weeks and mOS 122 weeks in both cases (Figure 2).

These outcomes are interesting from a couple of perspectives. First, it shows that those seven people who failed only RT did not appreciably impact mPFS or reduce mOS of the whole study; this was true whether considering AA or a combined AA/AOA cohort. In addition, given the number of cytotoxic agents' patients took before starting



**Figure 2.** Study DM88–130 subgroup of patients failing RT compared with those who failed RT and adjuvant chemotherapy prior to effornithine. The median values were the same whether we used 34 AA or 38 AA/anaplastic oligoastrocytoma as only 7 AA received RT only prior to effornithine. AA: Anaplastic astrocytoma.

the effornithine study, it is unlikely, and probably impossible, for the patients who received chemotherapy after RT to have received effective secondary chemotherapy when they failed effornithine as none would have been available to them in 1991 when this study ended. Unanswered, however, is the explanation why a study with an mPFS of approximately 19 weeks produces an mOS of 122 weeks? As effornithine is more of a cytostatic drug than a cytotoxic drug, it may be that the lasting effect of effornithine on the tumor may be its ability to reduce future mutations and, thereby, transformation to a more malignant phenotype. Further study is needed to understand this important observation.

#### Study of eflornithine in combination with BCNU

Based on growing experimental evidence from culture and rodent models that BCNU, when dosed following effornithine, led to more cell kill and antitumor activity than either agent alone [18–21], we conducted a short Phase I/II clinical trial of a BCNU–effornithine combination in patients with recurrent malignant glioma [6]. The study was designed to evaluate the toxicity of the combination and determine the strength of the signal for antitumor activity of the combination for a future randomized Phase III study. In the study (details in Table 1), 38 adults and children with primary recurrent gliomas and GBM were treated with effornithine, 2 g/m<sup>2</sup> orally every 8 h on days 1–14 and 29–42, and intravenously (iv.) carmustine, 210 mg/m<sup>2</sup> on day 15. The treatment was repeated every 8 weeks for six courses or until the disease progressed. Only moderate toxicity was observed at these doses for myelosuppression (except for one patient who died of sepsis due to severe myelosuppression), dyspnea and tinnitus. One patient who had bilateral sensorineural hearing loss prior to the start of therapy had progressive hearing loss on the side of the brain stem tumor. An audiogram performed 6 months after the cessation of chemotherapy continued to show progressive unilateral hearing loss. It was not certain if this loss was attributable to the chemotherapy or prior tumor irradiation. In this trial, TTP was measured from initiation of therapy to radiographic progression and objective response rates were also determined [6].

Determination of response was possible in 21 patients with the diagnosis of AA or AOA. Of these patients, all 21 received prior RT and 19% (4/21) also received an alkylating agent as adjuvant chemotherapy. In this patient population, a PR observed by enhanced CT scan was found in 10% (2/21) and SD in 48% (10/21). The mOS for these AA/AOA patients was 56 weeks. Of interest to us at the time was that in the combined PR + SD group of 12 AA and AOA patients, mOS was 119 weeks. Minimal activity was seen in 12 recurrent GBM patients; we found a median TTP of 8 weeks and mOS of 21 weeks. Lastly, we observed an interesting efficacy signal in that

of five patients with brain stem tumors, three out of five were alive with SD of 77, 93 and 220 weeks at the time of publication. At the same time, these efficacy results reflect a total effornithine daily dose of 6 g/m<sup>2</sup>, which may have been too low for these patients.

#### Phase II study of effornithine with $\alpha$ -IFN for recurrent/progressive gliomas

A Phase II study of recombinant  $\alpha$ -IFN with effornithine was conducted at the Mayo Clinic [22]. Patients in this study received effornithine and  $\alpha$ -IFN subcutaneously (details in Table 1). No responses were observed in 29 patients with widely varying glioma grades: 4 with WHO grade 2, 5 with WHO grade 3, 13 with WHO grade 4 and 7 patients with unknown grades. SD that exceeded 6 months was reported in 24% (7/29) of patients and was considered due to effornithine administration. Grade 3 and 4 toxicities were mainly GI (nausea, vomiting, diarrhea), hematologic (leukopenia), myalgia, fever, chills and lethargy.

The daily effornithine schedule used in the study was likely adequate based on the literature, but the duration of treatment was substantially lower than other studies conducted in patients with CNS malignancies. Given the small study size and heterogeneous glioma tumor grades treated, we cannot conclude whether effornithine in combination with  $\alpha$ -IFN is an effective treatment.

# Randomized Phase III studies of adjuvant postirradiation PCV versus eflornithine–PCV in glioblastoma & AG

#### Study design

Two parallel Phase III randomized multi-institutional studies were conducted with the cooperation of patients from the MDACC, the Community Cancer Oncology Program of the MDACC and University of California-San Francisco (UCSF), with MDACC serving as the central registration site [7,8]. The protocol number was T92-0114 (CTEP) and DM92-035 (MDACC). Patient MRI scans were collected and reviewed when needed for assessment of progression. Tumor pathology slides for all patients registered to the study were reviewed by the referee pathologist, Janet M Bruner, MD, MDACC, using criteria published by Burger and the referee pathologist [23,24].

Patients were stratified by histology into two strata: GBM and gliosarcoma tumors in one strata and AG (WHO grade 3) that included AA, anaplastic oligodendroglioma (AO) and AOA tumors in the other study strata. The two strata had different accrual goals and constituted two separate studies. For AG patients, the trial was powered to detect an mOS of 54.5 months for the effornithine combined with PCV compared with 36.2 months for PCV based on a one-sided log rank test with  $\alpha = 0.05$  and a power of 80%.

The target sample size in the original 1989 protocol for the AG patient group was 303 patients, but because of slow accrual, the statistics were adjusted such that maximum accrual was set at 249 patients, a maximum accrual period of 7.7 years and a maximum study duration of 10.4 years.

The effornithine and PCV treatment arm used the following starting dose and schedule: effornithine, 3 g/m<sup>2</sup> orally every 8 h on days 1–14; lomustine, 110 mg/m<sup>2</sup> orally on day 15; procarbazine, 60 mg/m<sup>2</sup>/day orally on days 22–35; vincristine, 1.4 mg/m<sup>2</sup> iv. (maximum 2 mg) on days 22 and 43; and effornithine, 3 g/m<sup>2</sup> orally every 8 h on days 29–42. The cycle was repeated at 8-week intervals, for a total of seven cycles.

The PCV treatment arm used the following starting dose and schedule: lomustine,  $110 \text{ mg/m}^2$  orally on day 1; procarbazine 60 mg/m<sup>2</sup> orally on days 8–21; and vincristine, 1.4 mg/m<sup>2</sup> iv. (maximum 2 mg) on days 8 and 29. The cycle was repeated at 6-week intervals, for a total of seven cycles.

#### Study results & analyses

The Kaplan–Meier method [25] was used to estimate OS and PFS survival curves as well as the corresponding median times and their CIs. To test for differences between survival curves the log rank test [26] and the Gehan version of the Wilcoxon test were used [27]. In addition, the Cox proportional hazards regression analysis was used to estimate hazard ratios and corresponding 95% CIs [28]. Further, kernel smoothing was used to estimate the hazard functions over time and a cubic spline smooth of the Grambsch–Therneau–Schoenfeld [29] was used to estimate the log hazard ratio function over time. Since the treatment effect was clearly transient, hazard ratios for 0.5–5.0 years were estimated in increments.

The GBM study accrued 272 evaluable patients and the AG study accrued 228 evaluable patients. The demographics of the AG study are summarized in Supplementary Table 1. Even though the studies did not accrue after June 1999, the studies were kept open to allow for follow-up until 2012 and, therefore, allowed opportunities to



Figure 3. The year 2012 analysis for DM92–035 study for overall survival of patients with the diagnosis of anaplastic astrocytoma or anaplastic oligoastrocytoma. It was assumed, based on current molecular-based pathology definitions [15], that most anaplastic oligoastrocytoma would be considered anaplastic oligoastrocytoma today. The log rank comparison found hazard ratio = 0.75 (95% CI: 0.52–1.07) with p = 0.12, the Gehan–Breslow–Wilcoxon test found p = 0.07.

update OS in 2009 and 2012. While these data did not change the outcome and conclusions for GBM patients, it did improve the statistical reliability of OS for the AG study.

The initial publication of the multi-institutional post-RT Phase III study of PCV versus PCV and effornithine for patients with GBM found no mOS improvement for patients treated on the PCV and effornithine arm; in addition, mOS measured from time of tumor diagnosis at first surgery, was 13.3 and 14.2 months [7]. Supplementary Figure 1 shows results from the 2012 analysis demonstrating that mOS for the post-RT chemotherapy trial was 0.9 years (~11 months) for both arms of the study. Of interest is the observation that the pooled data for the 272 patients in the two arms demonstrated at 5 and 15 years after post-RT adjuvant chemotherapy started, that 8 and 4% of patients were still alive.

For study patients with the diagnosis of AG, the 2003 publication showed a substantial increase in mPFS (71.1 vs 37.5 months) and mOS (75.8 vs 61.1 months) for the effornithine–PCV arm over PCV [8]. Figure 3 shows the Kaplan–Meier curve for OS from the 2012 database [30]. The mOS still favors the effornithine–PCV arm and is now higher for both (85 vs 52.4 months), an improvement of 32.6 months based upon the addition of effornithine to PCV. Table 2 summarizes the hazard ratio, mOS and mPFS for the 2002 database used in the 2003 paper [8] as well as from the 2009 and 2012 analyses [30].

To better understand why Kaplan–Meier survival curves for the effornithine–PCV versus PCV arms appeared consistently different over time, but were not statistically significant by the global likelihood ratio (log rank) test, the data were analyzed using hazard ratio functions to compare effornithine–PCV to PCV alone [8]. The premise was that a computed hazard ratio, that is the hazard rate for patients with PCV-alone treatment, divided by the hazard rate for patients with effornithine–PCV, should be 1 if the hazard rates for the two arms are equivalent and there is no treatment effect. If, however, the hazard ratio is between 0 and 1, it would indicate that the hazard rate is lower in patients with effornithine–PCV treatment and that it is a more effective therapy than PCV.

Since the observations made in the original publication for PFS and OS showed that the hazard ratio for PFS and OS was not constant over time, we used hazard ratio changes over time to analyze the 2012 OS data and found it followed a similar pattern. Thus, the global analysis that assumes that the hazard function is constant over time is clearly invalid for OS data of both the 2002 and 2012 analyses. As a result, the hazard rates were evaluated to better understand the rate at which death or disease progression occurred in patients in each treatment arm over time.

Table 2. Median overall survival for effornithine–procarbazine, lomustine, vincristine versus procarbazine, lomustine, vincristine for anaplastic glioma patients from 2002, 2009 and 2012 analyses

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Year	Path	Eflornithine– PCV mOS, months (95% CI)	PCV mOS, months (95% Cl)	Eflornithine– PCV benefit, months	OS hazard ratio (95% CI)	Log-rank p-value	Gehan– Breslow– Wilcoxon test p-value	PFS hazard ratio (95% CI)	Log-rank p-value
2002 <sup>†</sup>	AG	79	64	15	0.7 (0.5–1.1)	0.13	0.03	0.7 (0.5–1.0)	0.07
2002 <sup>†</sup>	AA	71	46	25	0.7 (0.5–1.0)	0.08	0.04	0.7 (0.5–1.4)	0.08
2009	AG	85 (60, 135)	61 (35, 90)	24	0.7 (0.5–1.0)	0.08	0.04	-	-
2009	AA/AOA	85 (57, 132)	52 (28, 85)	33	0.7 (0.5–1.1)	0.11	0.07	-	-
2012	AG	85 (60, 150)	61 (34, 90)	24	0.8 (0.5–1.1)	0.10	0.05	-	-
2012	AA	76 (55, 130)	42 (25, 85)	34	0.7 (0.5–1.1)	0.09	0.05	-	-
2012	AA/AOA	85 (60, 150)	52 (30, 88)	33	0.8 (0.5–1.1)	0.12	0.07	-	-

The method of Brookmeyer-Crowley was used to estimate the 95% CI for the median values in the table [31].

<sup>†</sup>From publication [8].

AA: Anaplastic astrocytoma; AG: Anaplastic glioma; AOA: Anaplastic oligoastrocytoma; mOS: Median overall survival; OS: overall survival; PCV: Procarbazine, lomustine, vincristine; PFS: Progression-free survival.

Table 3. Cox model fits for overall surviv	val during the first 3 years of follow-up f	rom the 2009 analysis.
Year period	Hazard rate (95% CI)	p-value
0–0.5	0.86 (0.29–2.55)	0.780
0–1.0	0.68 (0.35–1.32)	0.260
0–1.5	0.63 (0.36–1.11)	0.100
0–2.0	0.50 (0.29–0.86)	0.011
0–2.5	0.49 (0.30–0.81)	0.004
0–3.0	0.65 (0.41–1.02)	0.058

Recall that these hazard rates represent sensitive measures of the instantaneous event rate among the event-free cohorts (e.g., instantaneous death rates among the surviving patients).

To assess whether effornithine–PCV and PCV alone patients have different event time distributions, a comparison of their respective hazard functions by estimating the ratios of the hazard functions was performed [32]. Hazard functions were estimated from the data using a fixed bandwidth kernel approach incorporating boundary kernels [33]. Since the magnitude of the hazard ratio indicates the difference in death rates, how the ratio changed over time to was assessed to see if the relative death rate between the groups was changing over time [29].

In the original paper, hazard functions converged at around 42 months for OS and at around 24 months for PFS [8]. For evaluable patients, when the 24-month follow-up period was partitioned and all observations beyond 24 months censored [29], the overall average crude hazard ratio in the first 24 months was 0.53 with p = 0.020 (0.55 with p = 0.033 after adjustment). When AA patients were analyzed separately, these relationships persisted (p = 0.021). For analysis corresponding to the second period, all patients dying or censored during the first 24 months were excluded (leaving 166 patients for analysis). After 24 months, the average hazard ratio was 1.07 with p = 0.033; for the AA subgroup; however, the hazard ratio was 0.67 with p = 0.099. These findings implied that the survival advantage for AG patients with no more than 12 months of treatment with effornithine–PCV was limited to the first 2 years after treatment compared with patients treated with PCV alone.

This statistical analysis for OS for this study was also performed in 2009. The OS hazard rate for 114 effornithine– PCV and 114 PCV patients is shown for effornithine–PCV (solid line) and PCV (dashed line) is in Figure 4A. Figure 4B shows the log hazard ratio and 95% CIs. The Cox model fit between 0 and 2.5 years had a hazard ratio of 0.49 and p-value = 0.004 (see Table 3).

While the patient database of 2003 was updated in 2009 and again in 2012, only OS data are presented in detail here. PFS determination for AG tumors is less reliable 7 and 10 years after the 2002 analysis, whereas OS data became more precise with more events over time and are less ambiguous. Over the 10-year period the hazard ratios for AG, AA and AA and AOA combined remain relatively constant (Table 2). The differential in mOS for AG throughout the 10-year period continues to favor the effortithine–PCV arm with a difference of 15 months that



**Figure 4.** Hazard rate and hazard ratio plots for the reanalyzed 2009 anaplastic glioma dataset. (A) Overall survival hazard rate for 114 patients receiving effornithine–PCV (solid line) and 114 patients receiving procarbazine, lomustine, vincristine (dashed line). (B) Shows the log hazard ratio (solid line) and 95% CI (dashed line). The Cox model fit between 0 and 2.5 years had a hazard ratio = 0.49 and p = 0.004 (see Table 2).

increases to 24 months by 2009. Similarly, for AA the differential is 25 months in 2002 and 34 months in 2012. Since most AOA tumors today are called AA based on their molecular–genetic profiles, it was decided to combine AA and AOA tumors into one group. Figure 3 shows the Kaplan–Meier plot for the combined AA and AOA patients and the mOS difference of 33 months. Interestingly, the difference in mOS for this group is 33 months at both years 2009 and 2012 (Table 2).

The AO histology, while distinct among AG with respect to response to RT and alkylating agents, a total number of AO in the effornithine–PCV (DM92-035) protocol were insufficient for an in-depth statistical analysis [8]. Nonetheless, the activity signal seen for AO with effornithine (Supplementary Figure 2) is consistent with what was observed for the AA and AOA tumors. What may be important to this observation is that all the AO in this protocol were classified without consideration for chromosome 1p/19q co-deletion. It is reasonable to assume that the presence of chromosome 1p and 19q co-deletion will be randomly distributed between the two study arms; however, it would be instructive to determine if the absence of chromosome 1p/19q co-deletion impacts effornithine efficacy.

#### Toxicity

The safety profile for effornithine when dosed in combination with PCV, is shown for the 500 patients in the GBM and AG studies, is summarized in Table 4. For toxicity grades 1 and 2, diarrhea is prominent (25.4 and 17.4%) as is anemia (12.5 and 15.6%). In addition, grade 2 thrombocytopenia was observed in 10.5%. Interestingly, few grades 3 and 4 AE were observed and none reached 10%. Grade 3 diarrhea was seen in 6% but almost none at grade 4 (<1%). Grade 3 and 4 myelosuppression appeared little affected by the addition of effornithine to PCV. Thus, the addition of effornithine to cytotoxic PCV appears well tolerated by patients.

#### Discussion

Effornithine is an active agent against some solid tumors and leukemia [1], and in the prevention of malignant colon polyps [34]; however, it is not currently FDA approved for use in the treatment of cancer. Analyses of more recent data in this report support and extend earlier reports that demonstrate the likely benefit of effornithine alone and combined with nitrosourea-based therapies to treat malignant gliomas at appropriate daily doses and study durations.

In earlier publications, effornithine activity was described in the treatment of recurrent AA, AOA and AO tumors in patients [9]. In combination with PCV, effornithine showed an increase in OS compared with PCV alone in a randomized Phase III postirradiation adjuvant clinical trial in patients with AGs [8]. In that original report, it was

Table 4. Summary	/ of relevant ad	dverse ev	ents attributa	ble to eflorn	ithine fro	m the poole	ed Phase III a	naplastic	glioma and	glioblastom	a study d	ata for the
eflornithine-prod	arbazine, lom	ustine, vi	ncristine versu	us procarbaz	ine, Iomu	stine, vincris	stine treatme	ent⁺.				
AEs	Ę	oxicity grade	-	ų	oxicity grade	2	Ŧ	oxicity grade	З	5	xicity grade	4
	Eflornithine-PCV (%)	PCV (%)	AEs due to eflornithine (%)	Eflornithine– PCV (%)	PCV (%)	AEs due to eflornithine (%)	Eflornithine- PCV (%)	PCV (%)	AEs due to eflornithine (%)	Eflornithin <del>e-</del> PCV (%)	PCV (%)	AEs due to eflornithine (%)
Anemia	36.7	24.2	12.5	31.9	16.3	15.6	7.7	3.2	4.5	2.0	0.0	2.0
Leukopenia	58.1	61.5	-3.4	53.6	52.4	1.2	27.8	27.8	0.0	4.0	3.2	0.9
Granulocytopenia	52.0	49.6	2.4	43.5	42.5	1.1	26.6	30.6	-3.9	11.3	9.5	1.8
Thrombocytopenia	41.1	36.5	4.6	39.5	29.0	10.5	20.2	16.7	3.5	5.2	3.2	2.1
Hearing loss	2.4	2.4	0.0	2.8	1.6	1.2	0.8	0.0	0.8	0.0	0.0	0.0
Anorexia	7.7	9.1	-1.5	6.0	3.6	2.5	1.2	0.0	1.2	0.0	0.0	0.0
Diarrhea	28.2	2.8	25.4	19.0	1.6	17.4	6.0	0.0	6.0	0.8	0.0	0.8
Nausea only	36.7	35.7	1.0	23.0	23.0	0.0	7.7	6.7	0.9	0.0	0.0	0.0
Vomiting	24.6	19.4	5.2	21.0	19.8	1.1	7.7	3.2	4.5	0.4	1.6	-1.2
Constipation	4.0	6.0	-1.9	4.8	5.6	-0.7	0.4	0.0	0.4	0.4	0.0	0.4
Fatigue	18.5	13.9	4.7	12.5	5.6	6.9	1.6	0.8	0.8	0.0	0.0	0.0
Headache	3.6	5.6	-1.9	4.0	2.8	1.3	1.2	0.8	0.4	0.0	0.0	0.0
Pruritus	4.4	5.2	-0.7	8.1	7.1	0.9	4.0	4.4	-0.3	0.0	0.0	0.0
<sup>1</sup> n = 500 patients. AE: Adverse event; AG: An Data taken from [7,8].	laplastic glioma; GBM	: Glioblastom	a; PCV: Procarbazine.	, lomustine, vincrist	ine.							

not possible to illustrate a statistically significant difference in mOS by the log-rank test as there were too few events to show statistical significance at the time of publication. However, in that Phase III study, statistical improvement in PFS based on hazard rate was illustrated.

Utilizing data from 2009 and 2012 [30], that were 7 and 10 years, respectively, after the analysis of the Phase III report [8], we found that only 57% of effornithine–PCV patients and 63% of PCV patients had died. Comparison of OS differences between the two arms by log rank found p-values of 0.09–0.12 depending on histology grouping (Table 2) while comparison of OS by the Gehan modification of the Wilcoxon analysis found statistically significant differences in favoring effornithine–PCV with p-values of 0.05–0.07. Furthermore, interpretation of the Cox model hazard ratio for OS found that the effornithine–PCV combination produced its differential benefit in the first 2.5 years of study with p = 0.04. The same analysis, conducted for PFS in the original publication, showed the benefit of effornithine–PCV treatment peaked a bit earlier at 0.5 years and persisted for about 2 years [8]. The studies described in this review support the conclusion that appropriate dose levels in a defined regimen of effornithine have a tangible clinical effect on PFS and OS for AG tumors.

It is important to mention that, aside from the Chamberlain study [17], none of the AA and AO studies reported in this review utilized molecular profiling to define histology, malignancy grade and extent of benefit expected from RT and alkylating agent therapy. While it is highly unlikely that AO patients were mistakenly placed in the AA category based on the criteria used by the referee neuropathologists at MDACC and UCSF at the times of these studies [23], it is likely that those patients today considered to be genetically GBM were called AA, since the neuropathologists at these institutions, for consistency in classification, required pseudopalisading necrosis for the diagnosis of GBM. Thus, it is likely that many (10–15%) of the AA patients in the Phases II and III at progression behaved as GBM.

### Conclusion

Effornithine has shown therapeutic activity for patients with various forms of cancer including infiltrating gliomas. It showed clinically meaningful increase in median overall survival in patients with recurrent anaplastic gliomas when used alone and in combination with PCV. Effornithine toxicity is relatively mild in comparison to currently used chemotherapies for gliomas with reversible hearing impairment and tinnitus being the most noticeable to patients.

#### **Future perspective**

To provide the basis for seeking regulatory approval of effornithine for the treatment of anaplastic astrocytomas, a multicenter randomized Phase III trial (the "STELLAR" trial; NCT02796261) is currently active in the USA, Belgium, Canada, Germany, Italy, and The Netherlands. The objective of the STELLAR trial is to provide the necessary evidence to support an application for market approval at study conclusion. Based upon the results described above in Phase II and Phase III studies with effornithine, the STELLAR trial was designed to compare the efficacy and safety of oral effornithine in combination with oral lomustine, compared to oral lomustine taken alone, in treating patients with AA that has recurred or progressed after radiation therapy and temozolomide (Temodar®) chemotherapy. The primary outcome measure is overall survival with secondary measures including progression-free survival and overall response rate. Based upon its putative mechanism of action, clinical history and potential to be used alone and in combination with other agents, it is conceivable that effornithine will, in future years, be found useful alone and in drug combination therapies for other cancers in adults and children.

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#### Ethical conduct of research

The authors state that they have obtained appropriate institutional review board approval or have followed the principles outlined in the Declaration of Helsinki for all human or animal experimental investigations. In addition, for investigations involving human subjects, informed consent has been obtained from the participants involved.

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