

# Successful treatment with rivaroxaban of an extended deep vein thrombosis complicated by pulmonary embolism in a patient with familial antithrombin III deficiency: a case report

## Marianna Appignani ()<sup>1</sup>, Adolfo Sciartilli ()<sup>1</sup>, Marcello Caputo<sup>1</sup>, and Enrico Di Girolamo ()<sup>2</sup>\*

<sup>1</sup>Intensive Cardiac Care Unit, Heart Department, "SS. Annunziata" Hospital, Via Dei Vestini, 66100 Chieti, Italy; and <sup>2</sup>Arrhythmology Unit, Heart Department, "SS. Annunziata" Hospital, Via Dei Vestini, 66100 Chieti, Italy

Received 13 August 2019; first decision 6 September 2019; accepted 4 December 2019; online publish-ahead-of-print 23 December 2019

Background	Patients with low levels of antithrombin III (AT III) are at an increased risk of developing arteriovenous thrombo- embolic disease.
Case summary	We report a case of a 28-year-old woman who presented with a 1-week history of spontaneous right calf pain and swelling. A heterozygous AT III deficiency, phenotypically expressed as deep vein thrombosis, was reported in the patient's mother and sister. Blood workup revealed residual AT III activity at 58% with normal protein C and protein S levels. Computed tomographic angiography (CTA) revealed subsegmental bilateral pulmonary embolism (PE) and deep vein thrombosis in the right leg extending into the inferior vena cava up to the confluence of the left renal vein. Placement of an inferior vena cava filter was not considered. Given the patient's haemodynamic stability, anticoagulant therapy with 15 mg of rivaroxaban twice a day was initiated instead. Echocardiography after 10 days of treatment revealed complete resolution of the thrombus located in the inferior vena cava, while CTA revealed complete resolution of the PE.
Discussion	Patients with AT III deficiency are likely to be heparin-resistant and will require higher heparin doses or the admin- istration of AT III replacement therapy for the treatment of thrombosis, both of which are associated with an increased risk for haemorrhagic complications. Direct factor Xa inhibition by rivaroxaban provided an alternative mechanism for anticoagulation, which was found to be particularly useful in this patient with familial AT III defi- ciency, deep vein thrombosis, and PE.
Keywords	Antithrombin III deficiency • Venous thromboembolism • Xa factor inhibitor • Case report

<sup>\*</sup> Corresponding author. Tel: +39 0871 358175, Email: edgirol@gmail.com

Handling Editor: Gianluigi Savarese

Peer-reviewers: Sameh Shaheen and John Kanakakis

Compliance Editor: C. Fielder Camm

Supplementary Material Editor: Vishal Shahil Mehta

<sup>©</sup> The Author(s) 2019. Published by Oxford University Press on behalf of the European Society of Cardiology.

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited. For commercial re-use, please contact journals.permissions@oup.com

#### Learning points

- Patients with low antithrombin III (AT III) levels may be heparin-resistant and are at an increased risk for arteriovenous thromboembolic disease; such patients require higher heparin doses or AT III replacement therapy for treatment of thrombotic disease, both of which are associated with an increased risk for haemorrhage.
- Direct factor Xa inhibition by rivaroxaban was found to be particularly useful in this patient presenting with familial AT III deficiency, deep vein thrombosis, and pulmonary embolism.

#### Introduction

Inherited antithrombin III (AT III) deficiency is an autosomal dominant disorder with an estimated prevalence of 0.02–0.2% in the healthy population.<sup>1</sup> Affected patients have a significantly increased risk of venous thromboembolism (VTE), including deep venous thromboembolism (DVT) and pulmonary embolism (PE). The first-line therapy for VTE is continuous administration of heparin or fondaparinux. However, patients with AT III deficiency are also known to be resistant to anticoagulation by heparin and, thus, exhibit greater propensity for thrombus progression than individuals without the disease; this phenomenon can be attributed to the decreased activity of AT III.<sup>2</sup>

Direct oral factor Xa (FXa) inhibitors have recently been proven to be effective for the treatment of VTE.<sup>3</sup> However, studies regarding their use in patents with AT III deficiency are lacking. Thus, there are currently no guidelines or consensus statements regarding the optimal duration of oral anticoagulant therapy for primary and secondary prevention of VTE recurrences in patients with AT III deficiency.

In this article, we report a case of PE and DVT in a female patient with inherited heterozygous AT III deficiency, in which treatment with rivaroxaban was highly effective.

#### **Case presentation**

A 28-year-old woman with familial heterozygous AT III deficiency was referred to our institution for right calf pain and swelling of 1 week duration. The patient's sister and mother, both of whom are known to have inherited AT III deficiency by genotypic examination, both have a history of DVT and PE. However, no further details regarding the genetic profile of the patient and her relatives were available. There was no history of oral contraception use or other precipitating factors for the development of DVT and PE.

The patient's vital signs upon admission were as follows: heart rate of 90 b.p.m., blood pressure of 120/75 mmHg, respiratory rate of 24 breaths/min, and an oxygen saturation of 98% at room air.

Physical examination revealed prominent S2 heart sounds at the pulmonic area. Electrocardiogram showed normal sinus rhythm but with an incomplete right bundle branch block. D-dimer levels are routinely assessed in the emergency department as part of the chest pain protocol despite its low positive predictive value for PE especially in patients with high clinical probability of the disease. For this patient, her D-dimer serum levels were measured and revealed to be 27.25 mg/L (normal range: 0.00–0.50 mg/L). Residual AT III activity was measured to be at 58% (normal range: 83–118%), whereas protein C and protein S plasma levels were both found to be within the

## Timeline

Four years prior to consultation	The patient's mother and sister were diagnosed with deep vein thrombosis secondary to heterozygous antithrombin III (AT III) deficiency
Two years prior to consultation	The patient was diagnosed with inherited heterozygous AT III deficiency
On the day of consultation	The patient was admitted to our intensive cardiac care unit for right calf pain and swelling of 1 week duration. Echocardiography
	revealed deep vein thrombosis with possible pulmonary embolism (PE). Computed tomographic angiography (CTA) con-
	firmed the presence of the PE. Subsequently, treatment with rivaroxaban 15 mg BID was initiated
One week after admission	Repeat echocardiography was done which revealed partial resolution of both the PE and deep vein thrombosis. Patient was subsequently discharged from the hospital
Ten days after admission	Repeat echocardiography revealed complete resolution of the PE, which was subsequently confirmed with CTA
Three weeks after admission	Rivaroxaban dosing was reduced to 20 mg OD
Three months after admission	Clinical re-evaluation showed no recurrence of deep vein thrombosis. The patient was still maintained on rivaroxaban
Six months after admission	Clinical re-evaluation still showed no recurrence of deep vein thrombosis. The patient was still maintained on rivaroxaban
Nine months after admission	Clinical re-evaluation showed no recurrence of deep vein thrombosis. The patient was still maintained on rivaroxaban
One year after admission	No signs of deep venous thromboembolism recurrence were found on clinical re-evaluation. The patient was advised to
	maintain rivaroxaban treatment and to follow-up with a cardiologist at least once a year



**Figure I** Echocardiographic findings demonstrating extended thrombosis in the inferior vena cava.



**Figure 3** Echocardiographic findings showing complete resolution of the thrombus that had extended into the inferior vena cava after 10 days of rivaroxaban therapy (15 mg BID).

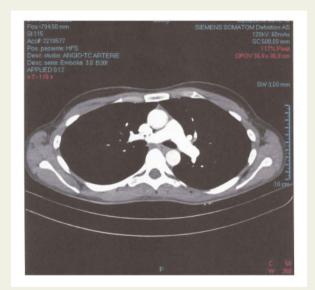


Figure 2 Computed tomography evidence of bilateral subsegmental pulmonary embolism.

normal range. No anti-beta-2-glycoprotein, antiphospholipid, antiprothrombin, or anticardiolipin antibodies were detected in this patient. Echocardiography (*Figure 1*) revealed a floating thrombus within the inferior vena cava measuring 7 cm  $\times$  1 cm, extending to the perirenal region with a low echocardiographic probability of pulmonary hypertension (tricuspid regurgitation Vmax 1.98 m/s; normal interventricular septal motion; no pulmonary artery dilatation). Contrast enhanced computed tomography (CT) of the chest, abdomen, pelvis, and lower limbs revealed bilateral subsegmental PE (*Figure 2*) and deep vein thrombosis of the right leg extending into the inferior vena cava up to the left renal vein. Based on the family history and clinical findings, the patient was diagnosed with PE and DVT in the setting of an inherited heterozygous AT III deficiency.

The 2014 Guidelines released by the European Society of Cardiology for the diagnosis and management of acute PE recommend providing haemodynamic and respiratory support, anticoagulation therapy, thrombolytic treatment, surgical embolectomy, percutaneous catheter-directed treatment, and venous filters for treating the acute phase of PE.<sup>4</sup> After a thorough assessment, haemodynamic and respiratory support was deemed unnecessary for this patient. Furthermore, due to the absence of clinical evidence pointing to cardiogenic shock, thrombolytic treatment, surgical embolectomy, and percutaneous catheter-directed treatment were not considered as first-line therapies for this patient. Placement of an inferior vena cava filter was not considered since there were no absolute contraindications to the administration of anticoagulant drugs and because PE did not recur after the administration of appropriate anticoagulation treatment.<sup>4</sup> Because of the patient's low AT III activity, achievement of adequate anticoagulation would have taken too much time with the use of heparin, fondaparinux, or vitamin K antagonist therapies.

After the patient was admitted and written informed consent was obtained using our institutional consent form, now archived in her medical records, she was administered rivaroxaban 30 mg daily (15 mg tablets taken twice a day) for 3 weeks, after which the dose was reduced to 20 mg once a day according to clinical trials.<sup>5</sup>

Because the patient's condition was classified as Class I according to the PE severity index, she was discharged after 1 week but was maintained on treatment with rivaroxaban 20 mg once a day.

Ten days after treatment, repeat echocardiographic examination revealed complete resolution of the thrombus located in inferior vena cava (*Figure 3*). Because the treatment of this patient deviated from traditional practices, a repeat CT scan of the chest was performed and documented complete disappearance of the PE (*Figure 4*).

The patient received regular follow-up examinations for 1 year after discharge, with repeat echocardiography and compression venous ultrasonography performed every third month; during this time, the patient was maintained on 20 mg of rivaroxaban daily. No recurrence of PE or DVT was found. The patient was then advised to have an annual check-up with a cardiologist.

#### Discussion

This case demonstrates the efficacy of an FXa inhibitor for the treatment of VTE in a patient with inherited AT III deficiency. Patients with

**Figure 4** Computed tomography evidence of complete resolution of pulmonary embolism after 10 days of rivaroxaban therapy (15 mg BID).

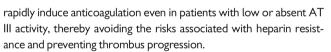
AT III deficiency are known to have a substantially increased risk for developing VTE, with thrombotic events occurring in 67% of patients between ages 10 and 35.<sup>6</sup> Approximately 50–90% of patients with AT III deficiency experience an episode of VTE during their life time.<sup>7</sup> Due to the lack of clinical studies, haematologists differ in their recommendations regarding the treatment of VTE in patients with AT III deficiency. The efficacy and safety of rivaroxaban for the treatment of VTE was reported in the 2010 EINSTEIN study.<sup>5</sup> However, its efficacy in patients with inherited AT III deficiency has yet to be established.

To the best of our knowledge, this is one the first cases that demonstrates the efficacy of the direct FXa inhibitor rivaroxaban as firstline treatment for the acute phase of VTE and for the prevention of recurrences in a patient with AT III deficiency.<sup>8</sup> This case report may raise some clinically relevant issues about VTE therapy in patients with AT III deficiency.

The anticoagulant effects of FXa inhibitors are not influenced by AT III activity.<sup>9</sup>

AT III is a single-peptide plasma  $\alpha$ -glycoprotein that functions as a potent inhibitor of blood coagulation by inhibiting thrombin (its primary target) and factors Xa, IXa, and VIIa (*Figure 5*).

Rivaroxaban, a direct FXa inhibitor, acts directly on the coagulation cascade without the participation of AT III, thus providing a potent anticoagulant effect even for patients with AT III deficiency. Moreover, FXa inhibitors may result in a faster onset of anticoagulation compared to heparin or vitamin K antagonists.<sup>10</sup> Because the anticoagulation effect of heparin is mediated through the potentiation of endogenous AT III activity, patients with AT III deficiency may experience resistance to heparin therapy and require higher doses to achieve adequate anticoagulation. In the same manner, delays in the anticoagulation effects of vitamin K antagonists may also be observed. Thus, the use of an FXa inhibitors in the setting of thrombosis can

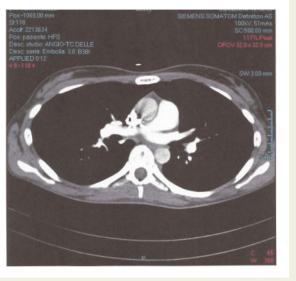


The efficacy of AT III replacement therapy for patients with inherited AT III deficiency has been described in some case reports.<sup>11,12</sup> However, there are currently no randomized clinical trials that assess the efficacy of AT III replacement therapy, and therefore, no consensus regarding the use AT III concentrates in affected patients is available.<sup>7</sup> The possible use of other direct oral anticoagulants, especially other FXa inhibitors, for the treatment and prevention of VTE in patients with AT III deficiency is worth discussing. Fukuda *et al.*<sup>13</sup> suggested that edoxaban, another FXa inhibitor, might be effective in patients with low plasma AT III concentrations, while Kawano and Maemura<sup>14</sup> reported a case demonstrating the efficacy of edoxaban as treatment for VTE in a cancer patient with AT III deficiency. From the results of these studies, it is possible that other FXa inhibitors may be effective treatments for VTE in patients with AT III deficiency.

There are several types of inherited AT III deficiencies, and each classified into three broad categories.

Type I is primarily a quantitative defect, presenting with decreased concentrations and activity levels of AT III due to a reduction in the synthesis of biologically normal protease inhibitor molecules. Type II AT III deficiency is a qualitative disorder and arises from substitution mutations that result in dysfunctional copies of AT III. This classification is further divided into three variants according to the site of mutation as determined by genotypic analysis.<sup>15</sup>

Type II RS involves mutations in the reactive site or the cleavage zone of the AT III by thrombin (between Arg 393 and Ser 394) or the adjacent amino acids. Type II HBS involves the heparin binding site of



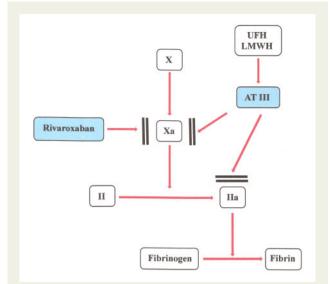


Figure 5 Natural anticoagulant cascade and various anticoagulant

agents. Antithrombin is an inactivator of thrombin and factor Xa,

and also functions as a major inhibitor of blood coagulation. Both

unfractionated heparin and low-molecular-weight heparin exert their effects by increasing antithrombin activity. In contrast, rivaroxaban binds directly to the active site of factor Xa to block its activity. AT III, antithrombin III; II, prothrombin; IIa, thrombin; UFH, unfrac-

tionated heparin; LMWH, low-molecular-weight heparin.

AT III thus affecting its interaction with heparin. Type II pleiotropic effect involves multiple mutations that result in dysfunction of both the reactive and binding sites. The patient in this case report was classified as Type I heterozygous AT III deficiency based on AT III activity, antigen assay, and genotype assessment. Type I-inherited AT III deficiency is associated with a greater risk for VTE than the Type II variant and other thrombophilias.<sup>16</sup> However, there are currently no guidelines or consensus statements regarding the duration of oral anticoagulant therapy for primary and secondary prevention of VTE in patients with AT III deficiency. The risk of VTE recurrence in patients with AT III deficiency who are not on maintenance anticoagulation is high.<sup>17</sup> This patient did not have any VTE recurrence after 1 year with maintenance rivaroxaban. Hence, direct FXa inhibitors may be effective in preventing VTE and PE recurrence in patients with AT III deficiency. Despite the lack of clinical studies or recommendations regarding the duration of anticoagulant prophylaxis in patients with AT III deficiency, this patient may require lifelong oral anticoagulation to prevent recurrence of her symptoms.

## Conclusion

Rivaroxaban has shown to be effective for the treatment and prevention of VTE recurrence in a patient with inherited AT III deficiency. However, further studies on a larger series of patients are needed to validate the efficacy of FXa inhibitors for the prevention of VTE recurrence in this specific patient population.

## Lead author biography



Dr Enrico Di Girolamo was graduated in 1992 and post-graduated in 1997 from the School of Cardiology, "G. D'Annunzio" University, Chieti, Italy. Practitioner in the Intensive Cardiac Care Unit since 1999. Chief of the Arrhythmology Unit of the "SS. Annunziata" Hospital, Chieti, Italy since 2014. He has worked in the field of Cardiology and Arrhythmology since 1999 and his interests include syncope, catheter ablation of atrial and ventricular

arrhythmias as well as cardiac implantable devices. He has authored several original manuscripts in cardiovascular research, translational and clinical cardiovascular medicine, and has published in the *Journal* of the American College of Cardiology, Circulation and Heart Rhythm, among many others.

## Supplementary material

Supplementary material is available at European Heart Journal - Case Reports online.

#### Acknowledgements

The authors would like to thank Editage (www.editage.com) for English language editing.

**Slide sets:** A fully edited slide set detailing this case and suitable for local presentation is available online as Supplementary data.

**Consent:** The author/s confirm that written consent for submission and publication of this case report including image(s) and associated text has been obtained from the patient in line with COPE guidance.

Conflict of interest: none declared.

#### References

- Tait RC, Walker ID, Perry DJ, Islam SIAM, Daly ME, McCall F, Conkie JA, Carrell RW. Prevalence of antithrombin deficiency in the healthy population. Br J Haematol 1994;87:106–112.
- 2. Spiess BD. Treating heparin resistance with antithrombin or fresh frozen plasma. Ann Throrac Surg 2008;85:2153–2160.
- Van der Hulle T, Kooiman J, den Exter PL, Dekkers OM, Klok FA, Huisman MV. Effectiveness and safety of novel oral anticoagulants as compared with vitamin K antagonists in the treatment of acute symptomatic venous thromboembolism; a systematic review and meta-analysis. J Thromb Haemost 2014;12:320–328.
- 4. Konstantinides SV, Torbicki A, Agnelli G, Danchin N, Fitzmaurice D, Galiè N, Gibbs JS, Huisman MV, Humbert M, Kucher N, Lang I, Lankeit M, Lekakis J, Maack C, Mayer E, Meneveau N, Perrier A, Pruszczyk P, Rasmussen LH, Schindler TH, Svitil P, Vonk Noordegraaf A, Zamorano JL, Zompatori M. Task Force for the Diagnosis and Management of Acute Pulmonary Embolism of the European Society of Cardiology (ESC). Eur Heart J 2014;35:3033–3069.
- Bauersachs R, Berkowitz SD, Brenner B, Buller HR, Decousus H, Gallus AS, Lensing AW, Misselwitz F, Prins MH, Raskob GE, Segers A, Verhamme P, Wells P, Agnelli G, Bounameaux H, Cohen A, Davidson BL, Piovella F, Schellong S. Oral rivaroxaban for symptomatic venous thromboembolism. *N Engl J Med* 2010; 363:2499–2510.
- Thaler E, Lechner K. Antithrombin III deficiency and thromboembolism. *Clin Haematol* 1981;10:369–390.
- Rodgers GM. Role of antithrombin concentrate in treatment of hereditary antithrombin deficiency. An update. *Thromb Haemost* 2009;**101**:806–812.
- Minami K, Kumagai K, Sugai Y, Nakamura K, Naito S, Oshima S. Efficacy of oral factor Xa inhibitor for venous thromboembolism in a patient with antithrombin deficiency. *Intern Med* 2018;57:2025–2028.
- Patnaik MM, Moll S. Inherited antithrombin deficiency: a review. *Haemophilia* 2008;14:1229–1239.
- Skelley JW, White CW, Thomason AR. The use of direct oral anticoagulants in inherited thrombophilia. J Thromb Thrombolysis 2017;43:24–30.
- Konkle BA, Bauer KA, Weinstein R, Greist A, Holmes HE, Bonfiglio J. Use of recombinant human antithrombin in patients with congenital antithrombin deficiency undergoing surgical procedures. *Transfusion* 2003;43:390–394.
- Menache D, O'Malley JP, Schorr JB. Evaluation of the safety, recovery, half-life, and clinical efficacy of antithrombin III (human) in patients with hereditary antithrombin III deficiency. *Blood* 1998;91:4561–4571.
- Fukuda T, Kamisato C, Honda Y, Matsushita T, Kojima T, Furugohri T, Morishima Y, Shibano T. Impact of antithrombin deficiency on efficacy of edoxaban and antithrombin-dependent anticoagulants, fondaparinux, enoxaparin, and heparin. *Thromb Res* 2013;**131**:540–546.
- Kawano H, Maemura K. Edoxaban was effective for the treatment of deep vein thrombosis and pulmonary thromboembolism in a cancer patient with antithrombin III deficiency. *Intern Med* 2016;55:3285–3289.
- Finazzi G, Caccia R, Barbui T. Different prevalence of thromboembolism in the subtypes of congenital antithrombin III deficiency: review of 404 cases. *Thromb Haemost* 1987;18:1094.
- Martinelli I, Mannucci PM, De Stefano V, Taioli E, Rossi V, Crosti F, Paciaroni K, Leone G, Faioni EM. Different risk of thrombosis in four coagulation defects associated with inherited thrombophilia: a study of 150 families. *Blood* 1998;**92**:2353–2358.
- Van den Belt AG, Sanson BJ, Simioni P, Prandoni P, Büller HR, Girolami A. Recurrence of venous thromboembolism in patients with familial thrombophilia. *Arch Intern Med* 1997;157:2227–2232.