

# Heart rate and outcomes in patients with heart failure with preserved ejection fraction

## A dose–response meta-analysis

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### Abstract

Although elevated resting heart rate is related to poor outcomes in heart failure (HF) with reduced ejection fraction, the association in HF with preserved ejection fraction (HFpEF) remains inconclusive. Therefore, we conducted a dose–response meta-analysis to examine the prognostic role of heart rate in patients with HFpEF.

We searched PubMed and Embase databases until April 2017 and manually reviewed the reference lists of relevant literatures. Random effect models were used to pool the study-specific hazard ratio (HR) of outcomes, including all-cause death, cardiovascular death, and HF hospitalization.

Six studies with 7 reports were finally included, totaling 14,054 patients with HFpEF. The summary HR (95% confidence interval [CI]) for every 10 beats/minute increment in heart rate was 1.04 (1.02–1.06) for all-cause death, 1.06 (1.02–1.10) for cardiovascular death, and 1.05 (1.01–1.08) for HF hospitalization. Subgroup analyses indicated that these positive relationships were significant in patients with sinus rhythm but not in those with atrial fibrillation. There was also evidence for nonlinear relationship of heart rate with each of the outcomes (All *P* for nonlinearity < .05).

Higher heart rate in sinus rhythm is a risk factor for adverse outcomes in patients with HFpEF. Future trials are required to determine whether heart rate reduction may improve the prognosis of HFpEF.

**Abbreviations:** AF = atrial fibrillation, bpm = beats/minute, CI = confidence interval, HF = heart failure, HFpEF = heart failure with preserved ejection fraction, HFrEF = heart failure with reduced ejection fraction, HR = hazard ratio, NOS = Newcastle-Ottawa Scale.

**Keywords:** ejection fraction, heart failure, heart rate, meta-analysis

## 1. Introduction

Elevated resting heart rate is considered as a risk factor for morbidity and cardiovascular mortality in a broad spectrum of cardiovascular diseases.<sup>[1]</sup> In patients with heart failure (HF) and reduced ejection fraction (HFrEF), with or without HF symptoms or signs, increased heart rate has been correlated with adverse outcomes, independently of traditional risk factors.<sup>[2–4]</sup> Accordingly, heart rate reduction with ivabradine has been identified as an effective therapy for patients with HFrEF.<sup>[5]</sup> In view of these

findings, the European Society of Cardiology recommends ivabradine for symptomatic HFrEF patients in sinus rhythm with heart rates remaining  $\geq 75$  beats/minute (bpm) despite optimal evidence-based treatment.<sup>[6]</sup>

HF with preserved ejection fraction (HFpEF) represents up to half of HF cases and exhibits similar prognostic profile to HFrEF.<sup>[7]</sup> However, it is unclear whether higher heart rate is also associated with poor outcomes in patients suffering from HFpEF. Currently, there are few investigations that have been performed to address this issue, and the results remain inconsistent. Some studies showed that elevated resting heart rates have unfavorable prognostic impacts on HFpEF,<sup>[8–11]</sup> while others not.<sup>[12,13]</sup> Thus, we carried out a dose–response meta-analysis to comprehensively evaluate the prognostic role of heart rate in patients with HFpEF. We hypothesized that higher heart rate is associated with poor outcomes in HFpEF patients.

## 2. Methods

### 2.1. Search strategy

This meta-analysis was conducted in accordance with the Meta-analysis Of Observational Studies in Epidemiology guidelines.<sup>[14]</sup> We carried out a systematical literature search in PubMed and Embase databases from inception to April 2017 to identify eligible studies, using the search strings as follows: (“heart failure with preserved ejection fraction” OR “heart failure with normal ejection fraction” OR “diastolic heart failure”) AND (“heart rate”). Moreover, the reference lists of relevant articles and reviews were manually scrutinized to find additional studies that were eligible for inclusion.

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## 2.2. Included criteria

We included clinical studies if they met the following requirements: the study was designed as cohort study, case-control study, or post hoc analysis of randomized trials; with follow-up durations of  $\geq 1$  year; the exposure of interest was heart rate; the outcomes included all-cause death, cardiovascular death, and HF hospitalization; the adjusted risk estimates of outcomes, such as hazard ratios (HRs), were reported for  $\geq 3$  categories of heart rate. Reviews, comments, duplicated publications, non-English articles, and pooling analyses of original studies were excluded.

## 2.3. Data collection and quality assessment

Two reviewers independently extracted the study characteristics, including study author, publication year, study design, sample size, location, inclusion criteria for ejection fraction, heart rhythm, follow-up duration, and variables adjusted in multivariable analysis. We also recorded the maximally adjusted risk estimates of outcomes for each category of heart rate. If necessary, the corresponding author of the study was contacted for missing information. The methodological quality of included studies was appraised using the Newcastle-Ottawa Scale (NOS).<sup>[15]</sup> With this scale, every study can score up to 9 points: 4 for study group selection, 2 for comparability between group, and 3 for ascertainment of outcomes. A study with a NOS score of  $\geq 7$  was considered of high quality. Any disagreements between the 2 reviewers were handled by consulting with a third reviewer.

## 2.4. Statistical method

We used HRs with 95% confidence intervals (CIs) to report the summary risk estimates. Due to the different cut-off points for categories of heart rate across studies, we calculated a HR with 95% CI for every 10 bpm increase in heart rate for each study. The strategy proposed by Greenland and Longnecker<sup>[16]</sup> and Orsini et al.<sup>[17]</sup> was used to compute the trend from the correlated estimates for log HR across categories of heart rate. In addition, a potential curvilinear relationship between heart rate and risk of adverse outcomes was investigated by using restricted cubic splines with 3 knots at 25th, 50th, and 75th percentiles of the exposure distribution. The *P*-value for nonlinearity was calculated by testing the null hypothesis that the coefficient of the second spline is equal to zero.

For each study, the mean or median heart rate for each category was assigned to each corresponding risk estimate. When the mean or median heart rate was unavailable, the midpoint of the upper and lower boundaries in each category was used as the average heart rate. If the lowest or highest category was open-ended, we assumed the width of the category to be the same as of the adjacent category.<sup>[18]</sup> If the lowest category was not adopted as a reference, we recalculated the HRs with 95% CIs relative to the lowest category according to the method described by Hamling et al.<sup>[19]</sup>

The statistical heterogeneity among studies was detected by the Cochrane  $Q$  test with a significant level of  $P < .1$ . We also reported the heterogeneity as low, moderate, and high with  $I^2$  values of  $< 25\%$ ,  $25\%$  to  $75\%$ , and  $> 75\%$ , respectively. The study-specific HR was pooled using random effect model. Subgroup analyses were conducted according to study design, location, sample size, and heart rhythm, with differences between subsets confirmed by the Altman and Bland test.<sup>[20]</sup> We also carried out a sensitivity analysis by omitting study one at a time.

Potential publication bias was evaluated by funnel plot and Egger test. All data analyses were realized with STATA 13.0 (StataCorp, College Station, TX) and R 3.2.5 (The R Foundation for Statistical Computing, Vienna, Austria) softwares, and 2-sided *P* values  $< .05$  were considered of significance.

## 2.5. Ethics statement

This study was a secondary analysis regarding human subject data published in the public domain, thus no ethical approval was required.

## 3. Results

### 3.1. Search process

The process of literature search and selection is exhibited in Fig. 1. Briefly, we identified 5514 records in the preliminary search. After scanning the titles or abstracts, 5469 articles were excluded. The remaining 45 publications underwent full-text screening, of which 39 that failed to meet the inclusion criteria were removed. In total, 6 studies<sup>[8–13]</sup> with 7 reports that were published between 2010 and 2017 were finally included.

### 3.2. Study characteristics

As shown in Table 1, the set of eligible studies consists of 2 cohort studies and 4 post hoc analyses of randomized trials, totaling 14,054 patients with HFpEF. Of the included studies, 2 were conducted in North America, 1 in Japan, and the remaining 3 were international, with follow-up durations ranging from 2.9 to 4.1 years. The most commonly adjusted variables among the studies were age, sex, and ejection fraction. The study quality scores varied from 7 to 9 with a mean NOS score of 7.8, suggesting the presence of high methodological quality.

### 3.3. All-cause death

All studies have reported the risk of all-cause death, with moderate heterogeneity among the studies ( $I^2 = 57\%$ ,  $P = .03$ ). The summarized HR of all-cause death for an increase in heart rate of 10 bpm was 1.04 (95% CI: 1.02–1.06; Fig. 2). By using a restricted cubic spline model, we also found a curvilinear association between heart rate and all-cause mortality ( $P$  for nonlinearity = .001; Fig. 3).

Subgroup analyses demonstrated that the positive relationship of heart rate with all-cause mortality was significant in patients with sinus rhythm (HR: 1.05, 95% CI: 1.03–1.07), but not in those with atrial fibrillation (AF) (HR: 1.01, 95% CI: 0.98–1.04;  $P$  for interaction = .03). The increased risk of death for higher heart rate was not modified by study design, location, and sample size. Leave-one-out sensitivity analysis had no influence on the result. There was no indication of publication bias from the funnel plot (Fig. 4) and Egger test ( $P = .58$ ).

### 3.4. Cardiovascular death and HF hospitalization

The risk estimates of cardiovascular death and HF hospitalization were provided in 4 and 5 reports, respectively; there was moderate heterogeneity across the reports. The pooled HR (95% CI) for each 10 bpm increment in heart rate was 1.06 (1.02–1.10) for cardiovascular death and 1.05 (1.01–1.08) for HF hospitalization (Fig. 5). In addition, there was also an evidence of

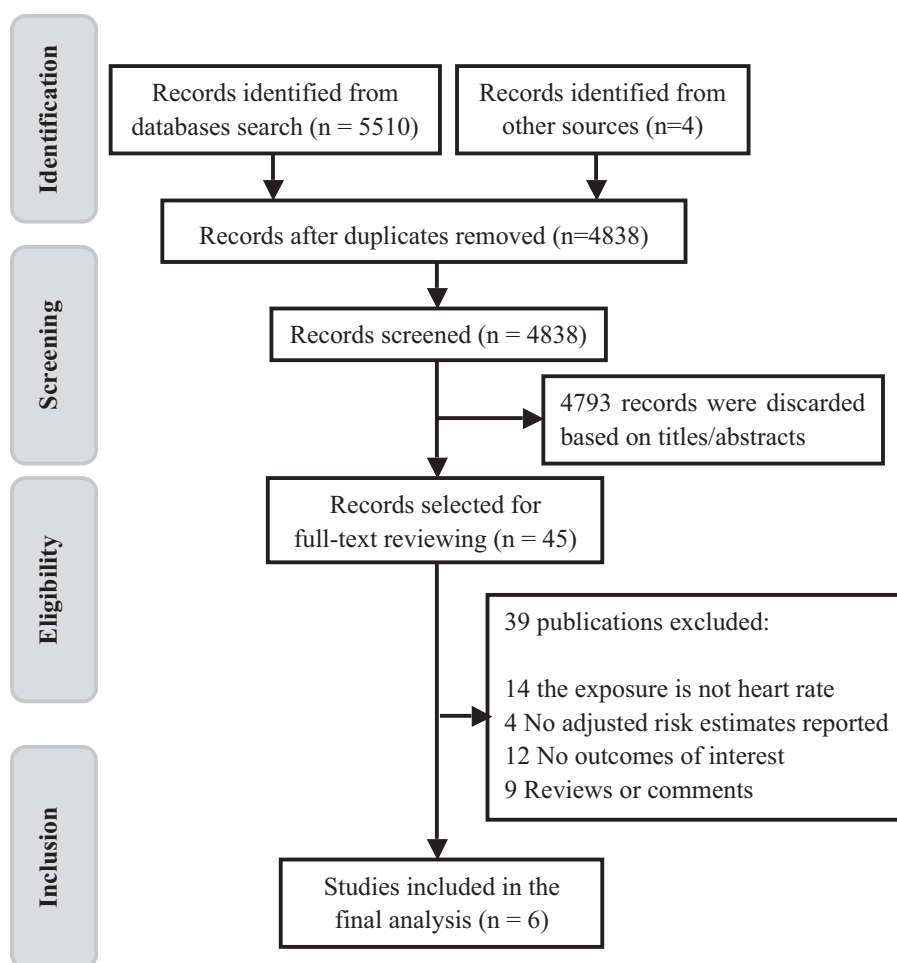


Figure 1. Flow diagram of study search process.

Table 1

Baseline characteristics of included studies.

Refs.	Year	Design	Location	N	LVEF (%)	Heart rhythm	FU, y	Adjustments
Bohm et al <sup>[9]</sup>	2014	Post hoc analysis of RCT	International	3967	≥45	SR and AF	4.1	Age, NT pro-BNP, diabetes, LVEF, eGFR, HF hospitalization within the last 6 months, HF etiology, neutrophil count, and history of COPD, asthma, and MI
Castagno et al <sup>[12]</sup>	2012	Post hoc analysis of RCT	International	3021	>40	SR and AF	3.1	Age, sex, LVEF, diabetes, BMI, previous HF hospital stay, NYHA class, radiologic cardiomegaly, diastolic BP, randomized treatment, and β-blockers
Kapoor and Heidenreich <sup>[9]</sup>	2010	Cohort study	United States	685	>50	SR	2.9	Age, systolic BP, left atrial size, history of renal insufficiency and peripheral arterial disease, hemoglobin, and inpatient location
Maeder and Kaye <sup>[13]</sup>	2012	Post hoc analysis of RCT	United States and Canada	988	>45	SR	3.2	Age, sex, race, LVEF, eGFR, HF etiology, NYHA class, diabetes, angina, nonpotassium sparing diuretic use, digoxin treatment status, and BP
O'Neal et al <sup>[11]</sup>	2017	Post hoc analysis of RCT	International	2705	≥45	SR	3.4	Age, sex, race, smoking, systolic BP, diabetes, BMI, creatinine, ASI, β-blockers, statins, randomization, NYHA class, coronary heart disease, and stroke
Takada et al <sup>[10]</sup>	2014	Cohort study	Japan	2688	>50	SR	3.1	Age, sex, BMI, systolic BP, left ventricular diastolic diameter, LVEF, hemoglobin level, eGFR, malignant diseases, β-blocker, ASI, enrolment location

AF = atrial fibrillation, ASI = angiotensin system inhibitors, BMI = body mass index, BP = blood pressure, COPD = chronic obstructive pulmonary disease, eGFR = estimated glomerular filtration rate, FU = follow-up, HF = heart failure, LVEF = left ventricular ejection fraction, MI = myocardial infarction, NT pro-BNP = N-terminal pro-brain natriuretic peptide, NYHA = New York Heart Association, RCT = randomized controlled trials, SR = sinus rhythm.

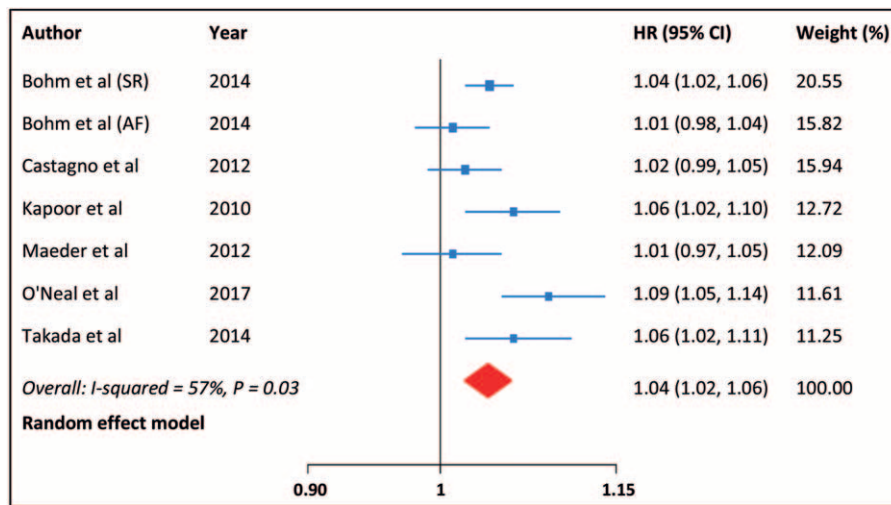


Figure 2. All-cause death for each 10bpm increase in heart rate.

curvilinear relationship between heart rate and each of the outcomes (cardiovascular death:  $P$  for nonlinearity = .04, HF hospitalization:  $P$  for nonlinearity = .02; Fig. 6).

Similarly, stratified analyses indicated that cardiac rhythm, but not other aforementioned variables, may have an interaction with the risks of cardiovascular death and HF hospitalization ( $P$  for interaction = .056 and  $P$  for interaction = .001, respectively). Exclusion of single study in sequence had no influence on our findings. There was no evidence of publication bias from funnel plots (Fig. 7) and Egger tests ( $P = .35$  and  $P = .90$ , respectively).

#### 4. Discussion

There are limited studies that have investigated the relationship between heart rate and unfavorable outcomes in HFpEF. The

present meta-analysis indicates that in patients with HFpEF, each 10 bpm increase in heart rate is associated with increased risks of all-cause death, cardiovascular death, and HF hospitalization. Moreover, this positive association is significant in patients with sinus rhythm but not in those with AF.

In line with our results, some other studies not included in this meta-analysis also revealed the adverse impact of increased heart rate on HFpEF outcomes. Komajda et al<sup>[21]</sup> analyzed data of the same cohort included in Bohm study,<sup>[8]</sup> and found that an increase in heart rate of 5 bpm was related to increased risks of all-cause death (HR: 1.06, 95% CI: 1.03–1.09) and HF death or hospitalization (HR: 1.05, 95% CI: 1.01–1.08) in patients with HFpEF. Additionally, an investigation of 145,221 admissions for HF demonstrated that higher admission heart rate was an independent predictor for worse in-hospital outcomes, irrespective of ejection fraction.<sup>[22]</sup>

Several mechanisms have been proposed to explain the relationship between higher heart rate and poor outcomes in HF. Patients with elevated heart rates have a relatively high prevalence of certain comorbidities,<sup>[23]</sup> the prognostic impacts of which were not completely controlled for in the multivariate analyses. Some of the comorbidities (e.g., diabetes) may also lead

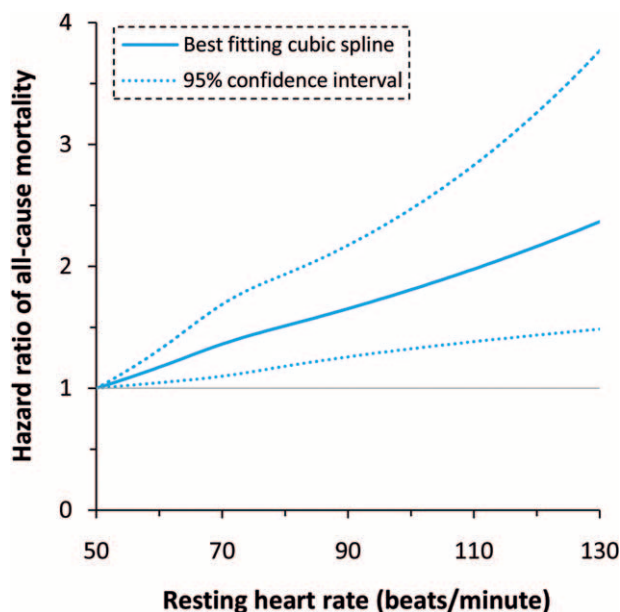


Figure 3. Dose-response analysis of the association of heart rate with all-cause death.

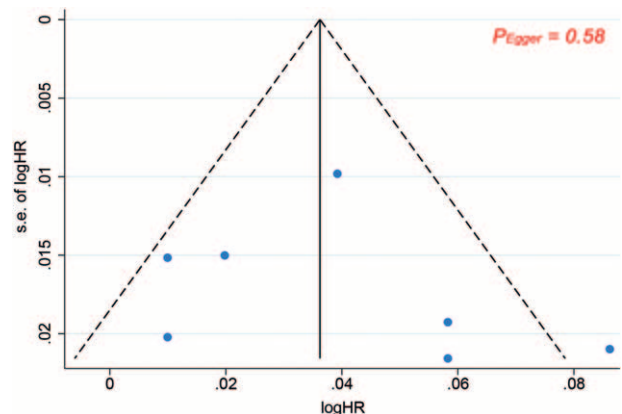


Figure 4. Funnel plot analysis for all-cause death.

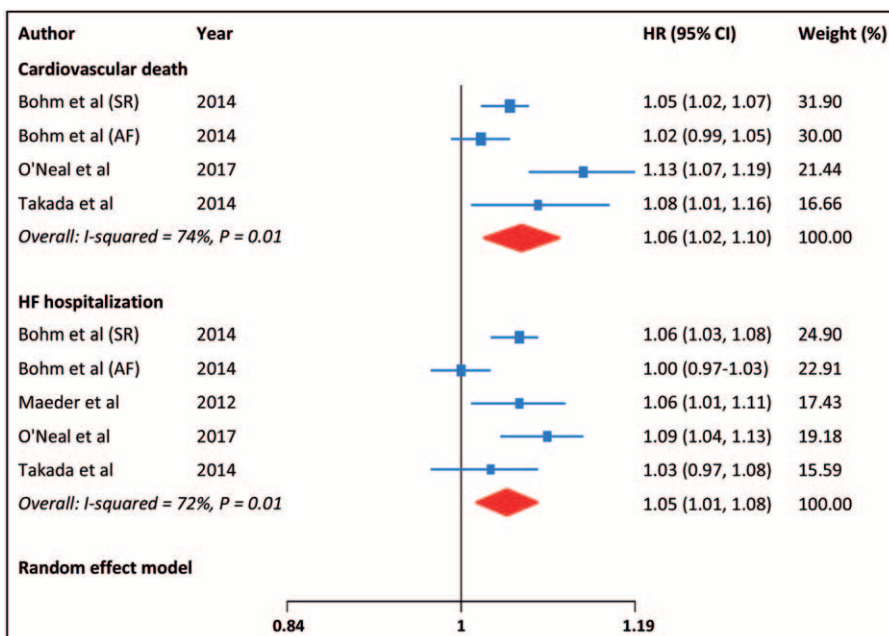


Figure 5. Cardiovascular death and HF hospitalization for each 10bpm increase in heart rate.

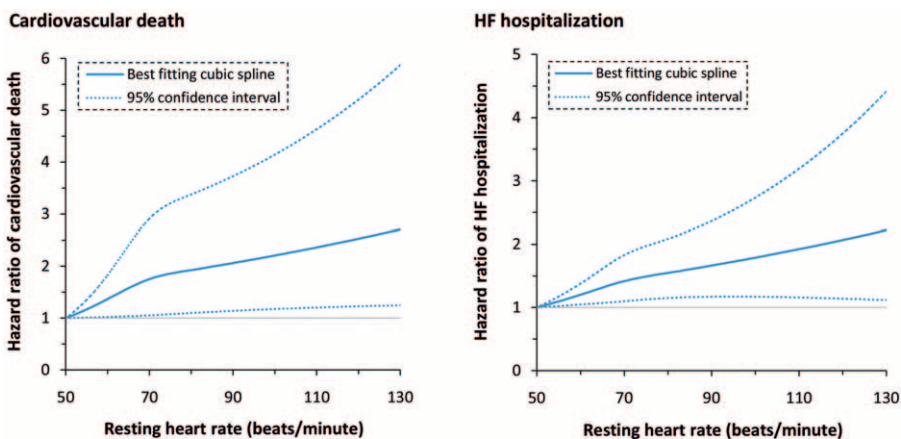


Figure 6. Dose-response analysis of the association of heart rate with cardiovascular death and HF hospitalization.

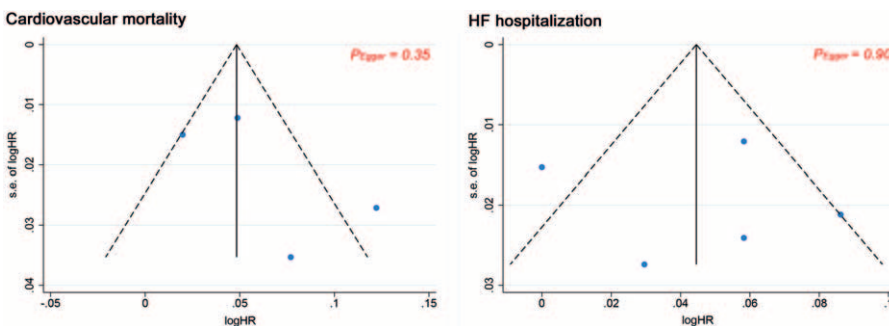


Figure 7. Funnel plot analyses for cardiovascular death and HF hospitalization.

to autonomic neuropathy that could contribute to the increased heart rates. Whatever the cause, elevated heart rate is a reflection of increased energy cost,<sup>[24]</sup> blunting of the positive force–frequency relationship,<sup>[25]</sup> and myocardial ischemia due to shortened diastole.<sup>[26]</sup> These 3 factors alone or in combination may deteriorate the already impaired myocardial relaxation in patients with HFpEF. In addition, increased heart rate is directly associated with higher effective arterial elastance, a lumped parameter of pulsatile and resistive afterload, resulting in disordered ventricular–arterial coupling,<sup>[27]</sup> which is considered as an important pathophysiological factor in the development of HFpEF.<sup>[28]</sup> Accordingly, in an experimental model of HFpEF, a reduction in heart rate by  $I_f$ -inhibition has been shown to improve arterioventricular interaction and elastance, as well as diastolic function.<sup>[29]</sup>

Interestingly, we identified a lack of predictive value of increased heart rate in patients with AF. Although there were limited studies available for the subanalysis of AF, the significant interaction between cardiac rhythm and prognosis associated with heart rate suggests that this result is a possible one. Besides, a similar finding was also obtained in many previous studies of HFrEF patients.<sup>[30,31]</sup> More directly, a recent meta-analysis of individual patient data showed that in patients with chronic HF, heart rate did not have the same prognostic value in patients with AF as it did in those with sinus rhythm.<sup>[32]</sup> One plausible explanation is that a lower ventricular rate in patients with AF may indicate disorders in conducting system, which is a poor prognostic sign.<sup>[31]</sup> Furthermore, HF patients with AF are at intrinsically higher risk for unfavorable outcomes, in particular in those with HFpEF,<sup>[33]</sup> and this elevated baseline risk may attenuate the effect of heart rate.

If correct, our findings also raise the possibility that heart rate reduction may confer benefits for patients with HFpEF, as it does for those with HFrEF. Clinical data from randomized trials regarding the therapeutic effects of heart rate reduction are, however, relatively scarce. In the J-DHF (Japanese Diastolic Heart Failure) study, carvedilol had neutral effects on the prognosis of HFpEF patients overall; but the investigators pointed out that the standard dose (>7.5 mg/day), not the low dose, prescription of carvedilol might be effective.<sup>[34]</sup> For heart rate reduction with ivabradine, a previous study showed that it could improve the exercise capacity in patients with HFpEF.<sup>[35]</sup> Nevertheless, in 2 recent randomized trials, ivabradine did not improve the cardiac function and symptoms in HFpEF subjects.<sup>[36,37]</sup> Of note, all these clinical trials have a small sample size and are limited in short-term outcomes, perhaps leading to an insufficient power to detect the true effect of ivabradine.

There are several limitations that should be acknowledged. First of all, the majority of included studies are post hoc analyses of randomized trials, the cohorts in which may not represent the real world populations. Second, moderate heterogeneity is present in the pooling analyses of outcomes, possibly due to the difference in eligibility criteria for ejection fraction and heart rhythm. Third, the adjusted variables in multivariate models are different among studies. Important factors including comorbidities and medications were not controlled for in some studies.

## 5. Conclusion

In summary, the present meta-analysis shows that in patients with HFpEF, higher heart rate in sinus rhythm but not in AF is associated with poor outcomes. However, our findings need to be interpreted cautiously because of the limitations mentioned

above. Additional large, well-designed trials are needed to determine whether heart rate reduction may improve the prognosis of HFpEF.

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