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# Predictive Value of Procalcitonin and Neutrophil-to-Lymphocyte Ratio Variations for Bloodstream Infection with Septic Shock

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Study Design A  
Data Collection B  
Statistical Analysis C  
Data Interpretation D  
Manuscript Preparation E  
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**Background:** Little is known of neutrophil-to-lymphocyte ratio (NLR) variations in septic shock. Hence, the predictive value of procalcitonin (PCT) and NLR variations for septic shock in bloodstream infection were explored.


**Material/Methods:** We analyzed 146 patients with bloodstream infection admitted to the Intensive Care Unit (ICU) of the First Affiliated Hospital of Anhui Medical University from October 2016 to May 2020. PCT and NLR were evaluated at 0 and 48 h after admission, and their variations ( $\Delta$ PCT and  $\Delta$ NLR) were calculated. The patients were divided into a shock group (n=80) and a non-shock group (n=66) and a gram-positive cocci group (n=69) and a gram-negative bacilli group (n=77). The predictive value of  $\Delta$ PCT and  $\Delta$ NLR was compared among groups.

**Results:** AUROC of NLR0h (0.756) higher than PCT0h (0.743).  $\Delta$ PCT (0.561 vs 0.301) and  $\Delta$ NLR (0.609 vs 0.361) were significantly higher in the shock group than in the non-shock group ( $P < 0.05$ ). No significant difference was seen in  $\Delta$ PCT and  $\Delta$ NLR in the gram-positive cocci infection group. However, the gram-negative bacilli infection group showed a significant difference in  $\Delta$ PCT (0.606 vs 0.312) and  $\Delta$ NLR (0.872 vs 0.508) between the shock and non-shock groups ( $P < 0.05$ ).  $\Delta$ PCT+ $\Delta$ NLR showed the best area under the curve (0.937), with a high sensitivity (78.80%) and specificity (90.80%), for predicting septic shock.

**Conclusions:** The prediction efficiency of initial NLR is higher than that of PCT.  $\Delta$ PCT+ $\Delta$ NLR best predicted septic shock in patients with bloodstream infections, with better accuracy for gram-negative infections.

**Keywords:** **Intensive Care Units • Procalcitonin • Sepsis • Shock, Septic**

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## Background

At present, sepsis is the leading cause of death from infections worldwide. The definition of sepsis is constantly updated, and as defined in the 2016 Surviving Sepsis Campaign guidelines (Sepsis-3), it is “a life-threatening organ dysfunction caused by a dysregulation of the host’s response to infection”. It is mainly caused by bloodstream, lung, urinary tract, and central nervous system infections. Septic shock is a kind of sepsis, defined as the disorder of circulation, cells, and metabolism, which are severe enough to increase mortality [1]. Sepsis 3.0 will help clinicians make a rough assessment of the patient’s condition, so as to identify and effectively treat critically ill patients early, protect organ function, and reduce mortality. However, early assessment of sepsis severity and prognosis remains inaccurate, and many studies are being carried out to solve this problem.

With the clinical application of broad-spectrum antibacterial drugs and immunosuppressive drugs and the development of minimally invasive treatment techniques, the incidence of BSI is increasing [2]. In particular, the incidence of septic shock due to bloodstream infections has continued to increase, and the mortality rate can be as high as 30-50%. Calcitoninogen is widely recognized as a diagnostic index for early bloodstream infections [3,4] and can be used to assess the severity of sepsis [5] and predict prognosis [6]. However, it is further influenced by several factors, such as multiple injuries, tumors, major surgery, poisoning, and other non-infectious diseases that can lead to changes in procalcitonin (PCT) levels. In recent years, the neutrophil-to-lymphocyte ratio (NLR) has been found to be a simple, inexpensive, and rapid indicator for detecting inflammation and can be used as a marker for early diagnosis and poor prognosis in bloodstream infections [7,8]. Various studies indicated that NLR and PCT have equal predictive value in patients with sepsis [9]. There are several reports on PCT and PCT variations with sepsis [10-12]; however, few studies have assessed NLR variations in septic shock. Some studies suggested that the NLR level in patients with sepsis does not improve with treatment, which can predict poor prognosis [13]. This study aimed to monitor NLR dynamically and compare it with an established sepsis-related biomarker (PCT), and observe the predictive value of PCT and NLR variations in bloodstream infections with septic shock.

## Material and Methods

### General Information

The clinical data of 146 patients with bloodstream infections between October 2016 and May 2020 in the Intensive Care Unit (ICU) of the First Affiliated Hospital of Anhui Medical

University were retrospectively analyzed. The diagnostic criteria for bloodstream infections and septic shock were based on the International Guidelines for the Treatment of Sepsis and Septic Shock (2016 Surviving Sepsis Campaign guidelines) [1]. We categorized the 146 patients into shock (80 cases) and non-shock (66 cases) groups. Moreover, the 146 patients were divided into gram-positive bacteria (69 cases) and gram-negative bacteria (77 cases) infection groups based on the pathogenic bacteria causing the bloodstream infection. All enrolled patients were hospitalized for more than 48 h. The exclusion criteria were age <18 years; ICU stay <48 h; the onset time is more than 24 h; the presence of malignant tumors, hematologic diseases, AIDS, autoimmune system diseases; and the patients receiving immunosuppressive drugs that could affect hematologic and PCT parameters.

### Research Methods

We retrospectively collected basic clinical data and related laboratory test results of the patients, including age, sex, underlying disease, white blood cell count, NLR, serum PCT, blood culture results, NLR0h and PCT0h at admission, and NLR48h and PCT48h after 48 h. Blood culture (bilateral and double sets) should be sent before the administration of antibiotics. The variations in PCT ( $\Delta$ PCT) and NLR ( $\Delta$ NLR) were analyzed [ $\Delta$ PCT= $($ PCT48h-PCT0h $)$ /PCT0h and  $\Delta$ NLR= $($ NLR48h-NLR0h $)$ /NLR0h].

### Statistical Methods

In this study, all the statistical analyses were performed with IBM SPSS for Windows, Version 22.0 (IBM Corp., Armonk, N.Y., USA). Normally distributed data are presented as mean  $\pm$  standard deviation and were compared with the *t* test. Non-normally distributed data are expressed as median and interquartile range and were compared with the rank-sum test. Categorical data are expressed as numbers or frequency (%) and were compared with the corrected  $\chi^2$  test. The receiver operating characteristic curve (ROC) was drawn to analyze the predictive value of  $\Delta$ PCT and  $\Delta$ NLR for bloodstream infection complicated with septic shock. The area under the curve (AUC), sensitivity, and specificity were calculated.  $P < 0.05$  indicated the presence of a statistically significant difference.

## Results

### Analysis of Clinical Data of the 2 Groups of Patients

The mortality rate in the shock and non-shock groups was 61.25% and 24.24%, respectively. No significant difference was observed in sex, age, basic complications of diabetes, cardiovascular disease, respiratory disease, and kidney disease

**Table 1.** Comparison of baseline information between the 2 groups of patients.

Baseline clinical characteristics	Shock group (n=80)		Non-shock group (n=66)		P value
Sex (Male/Female)	47/33		39/27		0.081
Age (years)	57.64±17.71		62.75±18.16		0.153
PCT0h (ng/mL)	31.860	(6.355, 76.375)	2.530	(0.320, 10.570)	<0.001
PCT48h (ng/mL)	22.860	(2.107, 40.305)	0.879	(0.173, 5.320)	<0.001
NLR0h	21.367	(10.544, 37.838)	10.019	(5.102, 15.093)	<0.001
NLR48h	10.232	(6.340, 20.067)	6.467	(2.504, 10.136)	0.026
Complications of diabetes	24	(30.00%)	20	(30.30%)	0.850
Complications of cardiovascular disease	16	(20.00%)	12	(18.18%)	0.274
Complications of respiratory disease	62	(77.50%)	46	(69.69%)	0.063
Complications of kidney disease	18	(22.50%)	10	(15.15%)	0.062
Outcome [ICU mortality, n (%)]	49	(61.25%)	16	(24.24%)	<0.001

NLR – neutrophil-to-lymphocyte ratio; NLR0h – NLR at 0 hours; NLR48h – NLR at 48 hours; PCT – procalcitonin; PCT0h – PCT at 0 hours; PCT48h – PCT at 48 hours.

**Table 2.** Comparison of  $\Delta$ PCT and  $\Delta$ NLR.

Index	Shock group (n=80)		Non-shock group (n=66)		P value
$\Delta$ PCT	0.561	(0.246, 2.730)	0.301	(0.062, 0.831)	0.003
$\Delta$ NLR	0.609	(0.533, 3.923)	0.361	(0.126, 0.920)	0.000

$\Delta$ PCT=[(PCT48h-PCT0h)]/PCT0h;  $\Delta$ NLR=[(NLR48h-NLR0h)]/NLR0h.

between the 2 groups. PCT0h, PCT48h, NLR0h, and NLR48h were significantly higher in the shock group than in the non-shock group ( $P<0.05$ ; **Table 1**).

### Comparison of $\Delta$ PCT and $\Delta$ NLR in Patients with Bloodstream Infection

#### Comparison of $\Delta$ PCT and $\Delta$ NLR Between the Shock and Non-Shock Groups

$\Delta$ PCT and  $\Delta$ NLR were significantly higher in the shock group than in the non-shock group ( $P<0.05$ ; **Table 2**).

### Comparison of $\Delta$ PCT and $\Delta$ NLR in Patients with Bloodstream Infection Caused by Different Pathogens

The gram-negative coccus infection group (n=77) comprised 45 and 32 cases in the shock and non-shock groups, respectively.  $\Delta$ PCT and  $\Delta$ NLR in the shock group were 0.606 (0.246, 5.038) and 0.872 (0.309, 7.061), respectively. In the non-shock group,  $\Delta$ PCT and  $\Delta$ NLR were 0.312 (0.172, 0.806) and 0.508 (0.314, 0.975), respectively. The differences between the shock and non-shock groups were significant ( $P<0.05$ ).

The gram-positive coccus infection group (n=69) comprised 35 and 34 cases in the shock and non-shock groups, respectively. No significant difference was observed in  $\Delta$ PCT [0.446 (0.194, 1.036) vs 0.346 (0.120, 0.732)] or  $\Delta$ NLR [0.573 (0.213, 2.078) vs 0.417 (0.101, 0.895)] between the 2 groups ( $P>0.05$ ). The gram-negative bacillus infection group (n=77) included 45 and 32 cases in the shock and non-shock groups, respectively. There was a significant difference in  $\Delta$ PCT [(0.606 (0.246, 5.038) vs 0.312 (0.172, 0.806) and  $\Delta$ NLR [0.872 (0.309, 7.061) vs 0.508 (0.314, 0.975)] between the 2 groups ( $P<0.05$ ; **Table 3**).

### Predictive Value of $\Delta$ PCT and $\Delta$ NLR for Septic Shock in Patients with Bloodstream Infection

ROC curves were drawn, with PCT0h, NLR0h, PCT48h, NLR48h,  $\Delta$ PCT,  $\Delta$ NLR, and  $\Delta$ PCT+ $\Delta$ NLR as independent variables and bloodstream infection complicated with septic shock as the outcome variable. The AUC of PCT0h, NLR0h, PCT48h, NLR48h,  $\Delta$ PCT,  $\Delta$ NLR, and  $\Delta$ PCT+ $\Delta$ NLR were 0.743, 0.756, 0.769, 0.682, 0.834, 0.852, and 0.937, respectively; the sensitivity was 70.50%, 38.36%, 32.23%, 41.09%, 80.98%, 83.82%, and 80.02%, respectively; and the specificity was 78.00%, 92.13%, 94.08%, 81.25%, 75.03%, 76.98%, and 92.80%, respectively (**Table 4, Figure 1**).  $\Delta$ PCT+ $\Delta$ NLR showed the highest predictive value for bloodstream infection complicated with septic shock, with high sensitivity and specificity.

**Table 3.** ΔPCT and ΔNLR in patients with different bacterial bloodstream infections.

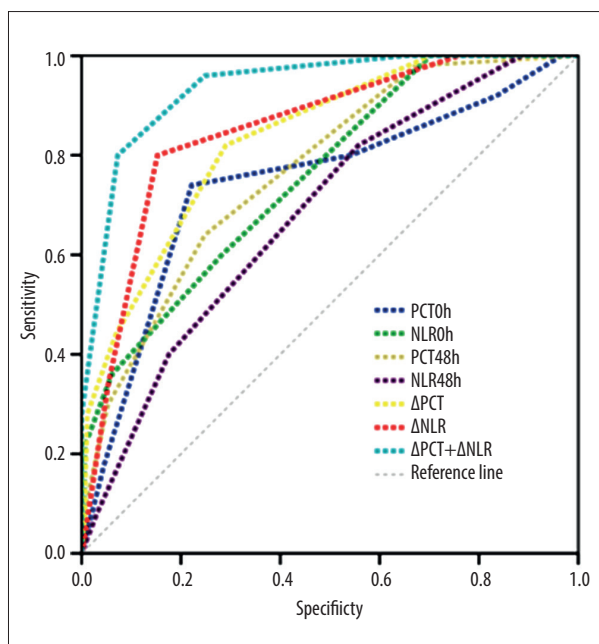
Index	Gram-positive cocci			Gram-negative bacilli		
	Shock group (n=35)	Non-shockgroup (n=34)	P value	Shock group (n=45)	Non-shock group (n=32)	P value
ΔPCT	0.446 (0.194, 1.036)	0.346 (0.120, 0.732)	0.067	0.606 (0.246, 5.038)	0.312 (0.172, 0.806)	0.001
ΔNLR	0.573 (0.213, 2.078)	0.417 (0.101, 0.895)	0.154	0.872 (0.309, 7.061)	0.508 (0.314, 0.975)	0.042

ΔPCT=|(PCT48h-PCT0h)|/PCT0h; ΔNLR=|(NLR48h-NLR0h)|/NLR0h.

**Table 4.** Performance of variables in predicting septic shock in patients with bloodstream infection.

Test variables	AUC (95%CI)	P value	Specificity (%)	Sensitivity (%)
PCT0h	0.743 (0.661, 0.826)	<0.001	78.00	70.50
NLR0h	0.756 (0.686, 0.825)	<0.001	92.13	38.36
PCT48h	0.769 (0.702, 0.836)	<0.001	94.08	32.23
NLR48h	0.682 (0.606, 0.758)	<0.001	81.25	41.09
ΔPCT	0.834 (0.778, 0.891)	<0.001	75.03	80.98
ΔNLR	0.852 (0.797, 0.908)	<0.001	76.98	83.82
ΔPCT+ΔNLR	0.937 (0.905, 0.970)	<0.001	92.80	80.02

AUC – area under curve; NLR – neutrophil-to-lymphocyte ratio; PCT – procalcitonin; NLR0h – NLR at 0 hours; NLR48h – NLR at 48 hours; PCT0h – PCT at 0 hours; PCT48h – PCT at 48 hours.



**Figure 1.** Performance of variables in predicting septic shock in patients with bloodstream infection. (SPSS version 22.0, IBM Corp., USA).

### Discussion

Bloodstream infection is one of the common causes of sepsis, and septic shock caused by uncontrolled inflammatory response is a major cause of death in patients with bloodstream infection in the ICU [14,15], with fatality rates increasing yearly [16]. Early and accurate identification and effective initial treatment can reduce the probability of severe sepsis progressing to septic shock and reduce mortality. Serum PCT has been widely used in the diagnosis and treatment of sepsis [17-20] because of its high sensitivity, accuracy, and rapidity. However, the independent detection of serum PCT is affected by various factors, and combined detection and dynamic monitoring of variations can improve its diagnostic and prognostic value for bloodstream infection [21]. NLR is a new inflammatory indicator and can be used for early severity assessment, where higher NLR values indicate unfavorable prognoses in the sepsis patients [22,23]. Dynamic monitoring of changes is more beneficial for early disease severity assessment in patients with sepsis or septic shock [24].

### Comparison Between PCT and NLR and Predictive Value for Septic Shock

In this study, we found that the mortality rate in the shock group of bloodstream infection was significantly higher than

that in the non-shock group, which may be related to the multiple-organ failure caused by shock, further confirming that septic shock is a major lethal factor in bloodstream infection [25]. Excessive inflammatory response and immune dysfunction can lead to lymphocyte apoptosis and increased PCT, neutrophil count, and NLR [26], which have been reported to be correlated with higher 28-day mortality in patients with septic shock [27]. In this study, PCT<sub>0h</sub> (PCT at 0 h) and PCT<sub>48h</sub> (PCT at 48 h) in the septic shock group were significantly higher than those in the non-shock group, which could be used as predictors of septic shock. It further confirmed the role of PCT in the evaluation of sepsis and is consistent with earlier reports [12]. The normal reference range for NLR is 0.88-4.0, regardless of sex and age. Elevated NLR is related to physiological stress levels, especially in patients with septic shock. It reflects the severity of the disease, and NLR >10 can predict severe sepsis. In our study, NLR<sub>0h</sub> values were higher than normal in all patients, and the median NLR in patients with septic shock was 21.367, significantly higher than that in the non-septic shock group, which was 10.019, thereby indicating that NLR has some value in assessing the severity of sepsis. The NLR<sub>0h</sub> levels of septic shock patients obtained were similar to the findings of Liberski et al [28] but were higher than that of another study [29]. This may be related to sample size, the different sources of infection, and the different time-points of NLR assessment. The predictive value of NLR<sub>0h</sub> in septic shock patients is higher than that of PCT<sub>0h</sub>, which can be used as a biomarker of blood flow infection complicated with septic shock. A similar conclusion was put forward in a report on severe sepsis in children [30]. Therefore, in the early stage of severe sepsis or septic shock, NLR may be better than PCT in judging the severity of the disease.

#### Comparison Between $\Delta$ PCT and $\Delta$ NLR and Their Predictive Value for Septic Shock

This study found that  $\Delta$ PCT had good predictive performance for bloodstream infections complicated by septic shock and its performance was better than that of PCT, in disagreement with a previous report [10]. The differences may be attributed to (1) sample size variation, (2) different sources of infection and age groups, (3) inclusion of factors affecting PCT, and (4) different detection time-points, which can cause PCT value errors. NLR variations have a better predictive value of bloodstream infections complicated with septic shock than any other index (such as PCT, NLR, and  $\Delta$ PCT), but is worse than combined detection ( $\Delta$ PCT+ $\Delta$ NLR). For patients with sepsis without underlying autoimmune suppression, monitoring NLR changes may be preferred to assess disease severity because it is easier to perform and is less expensive, and, when combined with PCT variations, it can improve the predictive value.

#### Comparison of $\Delta$ PCT and $\Delta$ NLR in Patients with Bloodstream Infection Caused by Different Pathogens

Several studies have shown that the PCT level of patients with gram-negative bacterial bloodstream infections is significantly higher than that of patients with gram-positive bacterial bloodstream infections [31,32]. Because the cell wall of gram-negative bacteria is composed of lipopolysaccharide, which mainly produces endotoxin, it can directly induce and stimulate the production of high levels of PCT *in vitro* without cytokines [32]. The cell wall of gram-positive bacteria is composed of peptidoglycans, which mainly produce exotoxins and affect the production and release of PCT. Therefore, in patients with gram-negative bacterial infections, the release of PCT is increased significantly under the dual influence of endotoxins and inflammatory factors, resulting in a higher level of PCT than in those with gram-positive bacterial bloodstream infections. Studies have shown that the NLR of patients with gram-negative bacterial bloodstream infections is significantly higher than that of patients with gram-positive bacterial bloodstream infections. The specific explanation is unclear. Reports on the role of  $\Delta$ PCT and  $\Delta$ NLR in identifying the pathogens of bloodstream infection are lacking. In this study, we compared the  $\Delta$ PCT and  $\Delta$ NLR of patients with bloodstream infections due to different pathogens and found that  $\Delta$ PCT and  $\Delta$ NLR levels of patients with gram-negative bacterial infections with shock were significantly higher than those of non-shock patients, thereby indicating a higher predictive value for septic shock. However,  $\Delta$ PCT and  $\Delta$ NLR in patients with gram-positive bacteremia showed no statistically significant difference between shock and non-shock patients. This may be related to the slow decrease of PCT and NLR in patients with gram-positive bacterial bloodstream infections within 48 h after treatment, resulting in no significant difference in  $\Delta$ PCT and  $\Delta$ NLR between the 2 groups. In clinical work, we found that gram-positive bacterial anti-infective therapy was slow to respond, taking at least 3 days, which leads to a slow decline in early inflammatory indicators. Primary wound infection and insufficient drainage of abscesses also affect the rate of decline of infection indicators.

#### Study Limitations

As a single-center retrospective study, this study had the following limitations: the number of included subjects was small; there were few PCT, NLR, and other index data collection points; and detection and analysis at more time-points are required to reduce data bias. The validity and reliability of this study need to be verified by a large-sample and multi-center prospective study.



## Conclusions

In conclusion, NLR can be used as a good inflammatory indicator to assist PCT in judging the severity of bloodstream infections, making the detection convenient and economical. Dynamic detection of changes can improve the accuracy of disease assessment, and the combined detection is more meaningful, especially for patients with gram-negative bacteremia complicated by septic shock. In clinical work, it can help us achieve early identification and prediction, thereby strengthening the

clinical treatment management and improving the prognosis. Whether it can be widely used in the assessment and prognosis of patients with other sources of sepsis remains to be confirmed by further research.

## Declaration of Figures' Authenticity

All figures submitted have been created by the authors, who confirm that the images are original with no duplication and have not been previously published in whole or in part.

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