



Stacking regularization in analogy-based software effort estimation

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Abstract

Analogy-based estimation (ABE) estimates the effort of the current project based on the information of similar past projects. The solution function of ABE provides the final effort prediction of a new project. Many studies on ABE in the past have provided various solution functions, but its effectiveness can still be enhanced. The present study is an attempt to improve the effort prediction accuracy of ABE by proposing a solution function SABE: Stacking regularization in analogy-based software effort estimation. The core of SABE is stacking, which is a machine learning technique. Stacking is beneficial as it works on multiple models harnessing their capabilities and provides a better estimation accuracy as compared to a single model. The proposed method is validated on four software effort estimation datasets and compared with the already existing solution functions: closet analogy, mean, median and inverse distance weighted mean. The evaluation criteria used are mean magnitude of relative error (MMRE), median magnitude of relative error (MdmRE), prediction (PRED) and standard accuracy (SA). The results suggested that the SABE showed promising performance for almost all the evaluation criteria when compared with the results of the earlier studies.

Keywords Analogy-based estimation · Stacking · Software effort estimation · Machine learning

1 Introduction

Cost estimation is a methodology of forecasting the expense of executing a project with a given framework. A cost estimate is a summary of all costs involved, from commencement to culmination (duration of the project). This pays for every item required for the project, from supplies to manpower, and estimates a total amount deciding the cost of a project. Cost estimation can be utilized for determining the performance of a project. Accurate cost estimation leads to a successful project, and inaccurate estimations result in a project failure. Analogy-based estimation (ABE) is a cost-evaluation method used for software projects. It was commonly used and researched as an alternative to multiple regression mod-

els and expert judgment techniques over the last decennium (Shepherd and Schofield 1997).

Portraying the ABE approach in brief: Initially, the project to be evaluated is kept alongside the projects, similar in characteristics and present in historical archive. After that, one or more identical project is discovered from the archive by a predefined similarity function. At last, to generate the final estimation, heuristic function is applied to predict the effort of a new project based on the information of the retrieved projects.

In this paper, the authors proposed a method SABE (Stacking regularization in analogy-based software effort estimation). The authors utilized stacked generalization which is a prevalent concept related to any knowledge feeding scheme from one generalizer to another afore the final approximation is made (Wolpert 1992). It is a machine learning technique which couples the capabilities of various heterogeneous models and provides better estimate than a single model. The two techniques used in designing SABE are polynomial regression and LASSO (Least Absolute Shrinkage and Selection Operator) regression. Polynomial regression is a specialized version of a linear regression in which a polynomial equation shows the relationship between the objective variable and the independent variables in data, and modeled with a curvi-

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linear relationship (Ostertagová 2012). LASSO is a kind of regression analysis that uses shrinkage. It performs variable selection and regularization so as to increase the prediction accuracy and interpretability of the statistical model it generates (Tibshirani 1996). More details on these models are described in the next section. Regression models have been long used in software estimation studies by various authors (Jørgensen 2004), (Yücalar et al. 2016), (Nassif et al. 2019), (Anandhi and Chezian 2014) and provided good cost estimates. The machine learning approaches are employed not only for predictions in software engineering, but they are also utilized in various other fields such as text document clustering and COVID-19 predictions (Abualigah and Hanandeh 2015; Abualigah and Khader 2017; Abualigah et al. 2019, 2021)

The remaining portion of this paper is structured according to the following: Section 2 reviews the relevant research; Section 3 presents a succinct observation regarding the context of this study; Section 4 addresses the proposed Stacking regularization in analogy-based software effort estimation (SABE). Section 5 describes the dataset and evaluation criteria. Section 6 provides the experimental evaluation and results. Section 7 presents the statistical performance assessment of the proposed technique, and Sect. 8 finally concludes the paper.

2 Related work

A variety of research (Kumar et al. 2020) have been performed on numerous techniques that are acclimatized to estimate the software cost. In fuzzy analogy software effort estimation (FASEE), Ezghari and Zahi (Ezghari and Zahi 2018) suggested a new methodology to the uncertainty management. The proposal introduced consistency criteria in the estimation model in order to increase the data quality and infer a consistent possibility distribution, called Consistent fuzzy analogy software effort estimation (C-FASEE). Idri et al. (Idri et al. 2016) proposed a model using Missing Data (MD) technique. They explored MD strategies with classical analogy and fuzzy analogy. The researchers used three MD methods (toleration, elimination and imputation techniques for K -nearest neighbors), three missing mechanisms (MCAR: Missing completely at random, MAR: Missing at random, NIM: Non-ignorable missing) and MD percentages from 10 percent to 90 percent. Their findings revealed that regardless of MD technique, the data set used, missing mechanism or MD percentage, fuzzy analogy provided more accurate estimates in terms of the standard accuracy measure (SA) than the classical analogy. Their analysis showed that the utilization of k -nearest neighbors imputation may increase the predictability of analogy-based techniques than toleration or deletion. A model on analogy-based software

effort estimation is proposed by Benala and Mall (Benala and Mall 2018) using differential evolution. They investigated the efficacy of the differential evolution (DE) algorithm by applying five mutation strategies to optimize the feature weights of homogeneous attribute functions of analogy-predicated estimation (ABE). The empirical analysis is designated as DABE: differential evolution in analogy-based software development effort estimation by them. Singh et al. (Singh et al. 2018a) presented an incipient variant of DE utilizing homeostasis adaption-based mutation operator (HABMO) and applied it for software cost estimation. They used mean magnitude of relative error (MMRE), mean magnitude of relative error relative to the estimate (MMER), mean squared error (MSE) and root mean squared error (RMSE) as the evaluation matrices. They found the proposed technique worked best in comparison with different variants of DE. Azzeh et al. (Azzeh et al. 2015) presented a model for analogy-based effort estimation, entitled, An empirical evaluation of ensemble adjustment methods for analogy-based effort estimation. In their paper, they investigated the potential of ensemble learning for variants of adjustment methods used in analogy-based effort estimation. Their results were subjected to statistical paramouncy testing, and showed consequential plausible amendments in predictive performance where ensemble methods were applied. As a case study, Effendi et al. (Effendi et al. 2019) utilized a student desk platform in their paper to expound a use case point method. They utilized the data from the actual software development in their work and adjusted the effort using the use case point process. The effort computed for three separate applications by using the use case point was compatible with the actual result. Idri et al. (Idri et al. 2016) used classical and fuzzy analogy ensembles for estimation of software development effort. They conducted the study on 100/60 variants of classical/fuzzy analogy techniques over seven datasets. Using the Scott–Knott statistical test, these variants were clustered and ranked. The results revealed that there was no strongest single classical or fuzzy analogy technique for all the datasets, and the ensembles that were built were conventionally better than the single technique. Phannachitta (Phannachitta 2020) proposed an innovative approach by introducing a combined effort adapter for analogy estimation. They cumulated gradient boosting machine algorithm and conventional adaptation technology based on productivity adjustment. They found their technique was at par in comparison with the already existing effort estimation techniques used in the study. Zima (Zima 2015) presented a case-based reasoning model of cost estimation at the preliminary stage of a construction project. In the paper, it was postulated that the benefits of the model presented were its flexibility and the ease of calculation. Singh et al. (Singh et al. 2018b) utilized Improved environmental adaption model with real parameter (IEAM-RP) to estimate the software effort. They used

NASA dataset to evaluate their technique. The experimental results demonstrated the effectiveness of IEAM-RP. Suresh Kumar et al. (2021) proposed a gradient boosting regressor model. The model is compared with stochastic gradient descent, k -nearest neighbor, decision tree, bagging regressor, random forest regressor, Ada-boost regressor, and gradient boosting regressor. The authors evaluated the model using mean absolute error (MAE), mean square error (MSE), root mean square error (RMSE), and R^2 . They showed the results on Cocomo81 and China datasets.

Above are the few experimental studies on software cost estimation and modern techniques are integrated from time to time. In this article, the authors have consequently endeavored to incorporate a software cost estimation methodology SABE (Stacking regularization in analogy-based software effort estimation). The SABE method has not been used up till now for analogy-based estimation as per the current knowledge of the authors.

3 Background techniques

3.1 Stacking

Stacking (infrequently kenneled as Stacked Generalization) is an ensemble algorithm of machine learning. It integrates the results from several models of machine learning. First proposed by David Wolpert (Wolpert 1992) in 1992, the key purpose is to minimize the error of generalization. As per his view, it is a more sophisticated variant of cross-validation.

The core concept behind the framework of stacking is to render forecasts utilizing one or more first-stage models and their estimates as feature, to match up to one or more second-level models. The principle of stacking is shown in Fig. 1.

3.2 Polynomial regression

Polynomial regression is a form of linear regression, where the association between the independent x variable and the dependent y variable is formulated as a polynomial n^{th} degree. The first proposal was created in 1815 (Stigler 1974; Gergonne 1974) for an experiment with polynomial regression. This is a special case of linear regression where the data with a curvilinear relationship between target variable and independent variables are compiled in a polynomial equation. The value of the target variable changes, with the predictor (s), in a curvilinear relationship uniformly. The fundamental objective of regression analysis is for modeling the expected value of the dependent variable y according to the value of the independent variable x . The authors utilized Eq.(1) in simple regression:

$$y = p + qx + e \quad (1)$$

where y is the dependent variable on x , p is y intercept, q defines the slope, e is the rate of error. The above equation is conventionally model for n^{th} term in equation 2:

$$y = p + q1x + q2x^2 + \dots + qnx^n \quad (2)$$

As the regression function is linear in terms of unknown variables, these models are consequently linear from the estimation perspective.

3.3 LASSO regularization

3.3.1 Regularization

The regularization is considered to make things regular or acceptable. It regularizes or decreases the coefficient toward zero. It is a methodology practiced by introducing an external penalty term to the error function to adjust the process. It makes the optimal solution unique. The supplemental term regulates the exceedingly shifting function to avert extreme values from being taken by the coefficients. This technique avoids the risk of overfitting and improves model interpretability.

3.3.2 LASSO regression

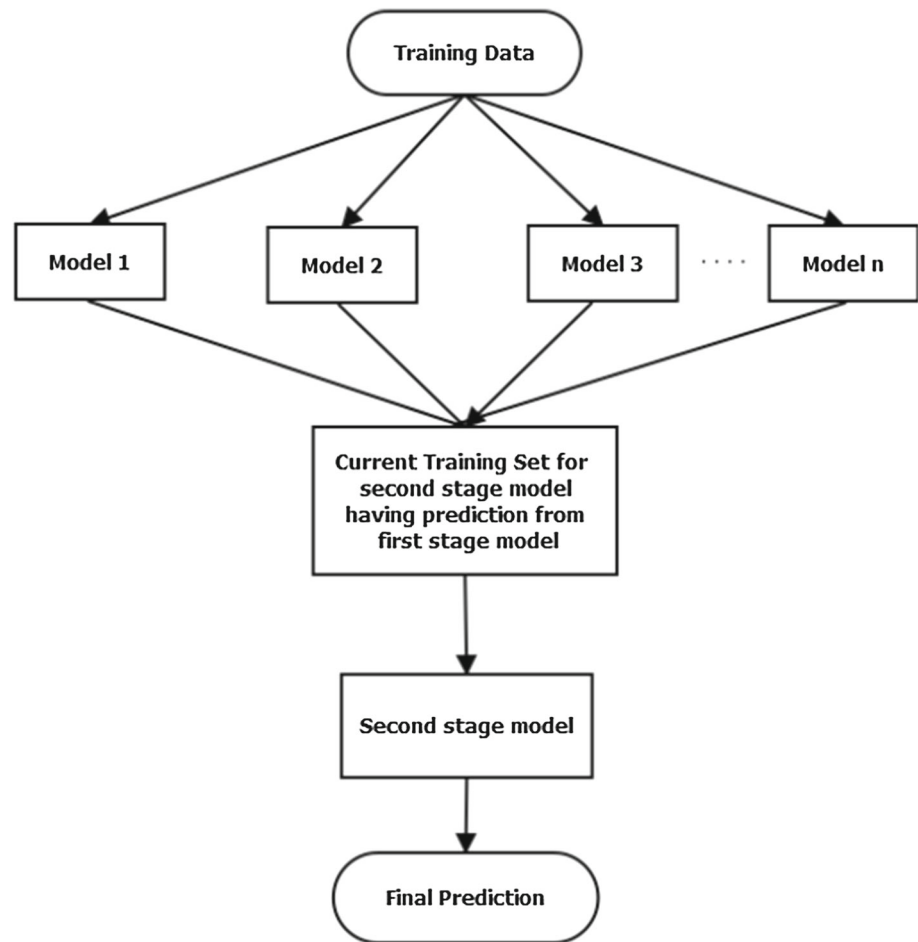
LASSO regression is a regularization technique used over regression methods for accurate predictions. It was published in 1986 (Santosa and Symes 1986) in the literature of geophysics and afterward was rediscovered and popularized separately by Robert Tibshirani in 1996 (Tibshirani 1996). LASSO regression implements L1 regularization that integrates a penalty equivalent to the absolute value of the coefficient's magnitude. This method of regularization will result in sparse models containing fewer coefficients; certain coefficients can be zero and omitted from the model. Larger penalties lead to coefficient values that are more proximate to zero, which is ideal for making simpler models. Equation 3 indicates the cost feature of LASSO regression.

$$\sum_{i=1}^M (y_i - \hat{y}_i)^2 = \sum_{i=1}^M \left(y_i - \sum_{j=0}^p w_j * x_{ij} \right)^2 + \lambda \sum_{j=0}^p |w_j| \quad (3)$$

where λ is the shrinkage amount:

1. When $\lambda=0$, no parameters are eliminated. The calculation is equivalent to that of linear regression.
2. When λ rises, the coefficients are gradually set to zero and discarded.
3. As there is an increase in λ , the bias grows.
4. As there is a decrease in λ , the variance grows.

Fig. 1 Stacking process



3.4 Analogy-based effort estimation

The analogous estimation is a method utilized for calculating specific parameters for future operation by utilizing historical data values. In analogy-based effort estimation, the effort of a new project is calculated based on the past development experience of similar projects. The ABE method broadly consists of a four-step procedure (Aamodt and Plaza 1994):

1. First, extract the most similar cases to the current project from the historical database.
2. Second, reuse the knowledge of these similar projects in effort estimation of the current project.
3. Third, evaluate the solution function and find the effort of the current project.
4. Fourth, preserve the solution for future estimations.

3.4.1 Similarity function

The similarity degree is determined by the similarity function between the projects. Four similarity functions are utilized in this paper, namely the Euclidean distance, Manhattan distance, Jaccard distance and Minkowski distance. The

predilection of similarity measure determines the option of k -nearest neighbors. The most acknowledged variant of computing distance is the Euclidean distance. Euclidean distance is:

$$d(P_1, P_2) = \sqrt{\sum_{k=1}^n (P_{1k} - P_{2k})^2} \quad (4)$$

where P_1 is the targeted project and P_2 is the historical project and n is the number of attributes. Distance from Manhattan which is shown in Eq.(5) is a metric in which the distance between the two points is the sum of their Cartesian coordinates' absolute differences, where P_1 is the targeted project and P_2 is the historical project and n is the number of attributes.

$$d(P_1, P_2) = \sum_{k=1}^n |(P_{1k} - P_{2k})| \quad (5)$$

Coefficient of Jaccard distance formula is a metric used to explain the distinctions between the samples. It can be shown

as (6):

$$d(P_1, P_2) = \frac{|P_1 \cap P_2|}{|P_1 \cup P_2|} \quad (6)$$

The distance from Minkowski given in (7) is a simplified statistical version of Euclidean distance and Manhattan distance.

$$d(m, n) = \sqrt[\alpha]{\sum_{i=1}^j |x_{m,i} - x_{n,i}|^\alpha} \quad (7)$$

In the above equation, Minkowski distance between data record m and n is represented by $d(m, n)$. j is the total number of variables of x , i is the variable's index, and α is the Minkowski metric order.

3.4.2 k -nearest neighbors

The number of k – most proximate neighbors is a critical parameter impacting the estimation of a new project. $k = 1$ to 5 is used in this article, as it is perceived in several researches (Benala and Mall 2018; Jørgensen et al. 2003; Huang and Chiu 2006)

3.4.3 Solution functions

Once k most similar projects are chosen, certain statistics are estimated depending upon the chosen similar projects. This is called solution function, and it is used in the final forecast of a new project. During experimental analysis, the following assessment methods are utilized by the author as the substructure for the solution function: the closet analogy (most similar project) (Walkerden and Jeffery 1999), the mean of chosen similar projects (Shepperd and Schofield 1997), the median of chosen similar projects (Angelis and Stamelos 2000) and the inverse distance weighted mean (Kadoda et al. 2000). The mean is the average or a quantified “central” value of the efforts of k most homogeneous projects, where $k > 1$.

The median is the median of the efforts of the most homogeneous projects, where $k > 2$. When the number of the most similar projects increases, the median becomes a more reliable figure compared with the mean.

The inverse distance-weighted mean (*IWM*) indicates that the importance of more related projects is greater than the less homogeneous projects. It can be defined as (8):

$$\hat{c}_P = \sum_{k=1}^n \frac{Sim(P, P_k)}{\sum_{i=1}^n Sim(P, P_k)} C_{Pk} \quad (8)$$

where P represents the project being estimated, k is the total number of most similar projects, P_k is the k^{th} most similar

Table 1 Difference table (Azzeh, 2011)

Input	Output
$d_1(P_1, P_{a1}) \dots d_N(P_1, P_{a1})$	$d_e(P_1, P_{a1})$
$d_1(P_2, P_{a2}) \dots d_N(P_2, P_{a2})$	$d_e(P_2, P_{a2})$
$d_1(P_3, P_{a3}) \dots d_N(P_3, P_{a3})$	$d_e(P_3, P_{a3})$
....
$d_1(P_n, P_{an}) \dots d_N(P_n, P_{an})$	$d_e(P_n, P_{an})$

project, $Sim(P, P_k)$ shows the similarity between projects P_k and P , and C_{Pk} denotes the cost of the most similar project P_k .

4 Proposed work

For decades, incorrect cost estimate of the project has plagued software developments. Weak forecasts have not only resulted in projects exceeding budget and timeline but also, in many cases, being entirely terminated. The quality to accurately estimate software development time, cost, and manpower, changes as more incipient methodologies supersede old ones. Consequently, an effective and precise software cost estimation model is highly required in software project management. The proposed framework SABE (Stacking regularization in analogy-based software effort estimation) is discussed in this section. The conventional analogy-based estimation approach is first considered to produce an unadjusted retrieval effort when a new target project comes to be predicted. The primary aim of the adjustment is to locate the ‘update’ that transforms the effort from the projects retrieved into the target effort.

In SABE framework, the model is fed with a series of projects. One project is kept as a test project and the remaining projects as historical projects. After applying the similarity functions as discussed in Sect. 3.4.1, the closest analogy projects are determined. These projects are now subjected to a solution function as explained in Sect. 3.4.3, and Stacking Regularization (SR) algorithm. The effort computed by both the procedures is then adapted to provide the final SABE solution function. The flowchart of SABE is given in Fig. 2. The code for the proposed model and other methods of comparative adaptation are implemented in Python. The algorithm of effort prediction and adjustment through SABE is as follows:

- Step 1* : Start with Data Preprocessing.
Step 2 : Project number P_i is exempted from the dataset as a test project, and the remainder projects are considered as historic.

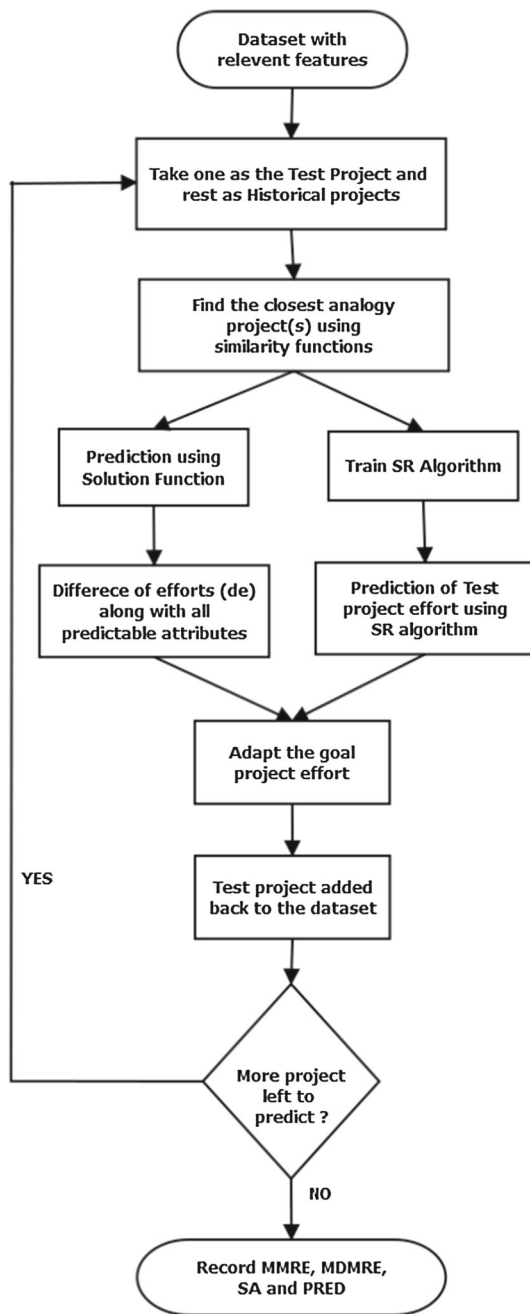


Fig. 2 Flowchart of SABLE

Step 3 : The analogy projects P_a most similar to the test project P_t are retrieved using various similarity functions as discussed in 3.4.1 for each k-nearest neighbors ($k = 1...5$). Equation (9) depicts the Euclidean distance.

$$SM(P_t, P_j) = \sqrt{\sum_{k \in N} (P_t, P_j)^2}, j = 1, 2, 3, \dots, n \quad (9)$$

where SM indicates measure of similarity, N is the count of predictor attributes, P_t and P_j are projects under investigation. This step is repeated with the rest of the similarity functions, i.e., Manhattan distance, Minkowski distance and Jaccard distance.

Step 4 :

The closest analog projects, i.e., P_a , are utilized to perform two variety of operations indicated under step 4.1 and step 4.2.

Step 4.1(a) :

Fed closest analogies to the solution function as discussed in Sect. 3.4.3 to find effort of the project to be estimated. The effort computed through one of the solution functions is depicted in Eq.(10).

$$Effort_s = \frac{\sum_{a=1}^K SM(P_t, P_a) \times Size(P_a)}{\sum_{a=1}^K SM(P_t, P_a)} \quad (10)$$

where $SM(P_t, P_a)$ indicates measure of similarity between P_t and P_a , $Size(P_a)$ is the size of the project P_a as given in the dataset.

Step 4.1(b) :

Make the difference table as shown in Table 1 (Azzeh 2011), taking difference between the predicted effort using Eq.(10) and the test project effort along with all predictable attributes as shown in Table 1.

$$d_k(P_t, P_a) = P_{tk} - P_{ak}, k = 1, 2, 3, \dots, N \quad (11)$$

$$d_e(P_t, P_a) = P_{te} - P_{ae} \quad (12)$$

where d_k is the difference between t^{th} project P_t and its closest analogy P_a at k^{th} attribute, d_e is the difference between t^{th} project P_t and its closest project P_a at effort attribute.

Step 4.2 :

Provide the closest analogies to the Stacking Regularization (SR) algorithm, which constructed SABLE dependent adaptation mechanism as illustrated in Fig. 4 to estimate $Effort_{SR}$.

Step 5 :

The predicted difference table and estimated effort obtained from the SR algorithm is used to adapt and update the goal project effort as given in Eq.(13).

$$Effort(P_t) = Effort_{SR} \pm d_e(P_t, P_a) \quad (13)$$

Step 6 :

Final calculation of MRE, MMRE, MDMRE, PRED and SA is performed.

Step 7 :

End.

The process of SR algorithm is described as below:

- Step 1* : Read each test project and its corresponding similar projects obtained using similarity functions for training.
- Step 2* : Set polynomial regression features as degree from 2 to 8.
- Step 3* : Set LASSO regression features as $eps = 0.0001(\text{Length of the path})$, $n_{alphas} = 1(\text{number of alphas along the regularization path})$ and $\text{normalization}=\text{True}$.
- Step 4* : Perform stacking by first using polynomial regression as the first stage model for each test project and using its similar projects.
- Step 5* : Output from polynomial regression is fed to the second stage model of stacking, LASSO regression with cross-validations = 10 to get the final effort for each test project.
- Step 6* : Output from LASSO regularization is treated as predicted effort from SR algorithm.

5 Dataset description and evaluation criteria

5.1 Dataset description

For the intent of SABE model evaluation, four datasets have been utilized by authors which are Cocomo81, NASA93, Maxwell and China datasets (<http://promise.site.uottawa.ca/SERepository/datasets-page.html>). The Cocomo81 dataset consists of 63 projects. Each project contains 15 cost drivers, the software size measured in KDSI (kilo delivered source instructions), the actual effort and the mode of development. The NASA93 dataset includes 93 NASA projects from different centers for various years. It comprises of 24 attributes containing 15 standard Cocomo-I attributes, 7 others describing the project; one lines of code measured in KLOC and the actual effort. The Maxwell dataset contains 62 software projects with 26 features each including software size, duration and effort. The China dataset consists of 499 projects with 19 features where 18 are independent variables and 1 is a dependent variable. These datasets are publicly available at [http://openscience.us/repo/\(2019\)](http://openscience.us/repo/(2019)). Table 2 exhibits the concise information and the explanatory statistics of the datasets.

5.2 Evaluation criteria

For the assessment and comparison of the precision of the analogy-based effort estimation model, the following prevalent evaluation criteria is employed. The magnitude of error correlated with estimated effort is kened as absolute error (AE). It is the distinction between a certain project's estimated effort and actual effort. This is represented in Eq.(14),

where α_i is the actual effort and $\hat{\alpha}_i$ is predicted effort.

$$AE_i = |\alpha_i - \hat{\alpha}_i| \quad (14)$$

As seen in Eq.(15), magnitude of relative error (MRE) measures the total error percentage to actual effort. It can be evaluated by dividing absolute error by α_i which is actual effort.

$$MRE_i = \frac{AE_i}{\alpha_i} \quad (15)$$

MMRE is mean of magnitude of relative error. For the n number of projects, it is the average of MRE. MMRE can be interpreted in mathematical terms as in Eq.(16), where n is number of projects.

$$MMRE = \frac{1}{n} \sum_{i=1}^n MRE_i \quad (16)$$

$PRED(x)$, the output predictor, is the percentage of predictions that fell inside the actual kened value x , expressed in Eq.(18), where n is number of projects and D_i can be calculated by:

$$D_i = \begin{cases} 1 & \text{if } MRE_i \leq 0.25 \\ 0 & \text{otherwise} \end{cases} \quad (17)$$

$$PRED(x) = \frac{100}{n} \sum_{i=1}^n D_i \quad (18)$$

MMRE and PRED are rendered on MRE. Because of their asymmetric distribution, the MRE-predicated quantifications have intrinsic drawbacks as performance metrics, biasing against presage model that they are. Therefore, MMRE and PRED are also underestimated prejudiced measures (Angelis and Stamelos 2000; Kadoda et al. 2000; Boehm 1984). Another measure was also published, named as the mean absolute error (MAE), which is not prejudiced and does not show asymmetric distribution as MMRE does. The MAE (Gergonne 1974) is measured using an average of absolute errors as shown in Eq.(19):

$$MAE = \frac{1}{N} \sum_{i=1}^N AE_i \quad (19)$$

MAE became challenging to decipher since its residuals are not standardized. Consequently, an incipient metric called standardized accuracy (SA) as given in Eq.(20) was stated by Shepperd and MacDonell (Shepperd and MacDonell 2012). The SA ratio is specifically used to assess how a predictive model outperforms a random guessing baseline and engenders accurate estimates. If not, the model of prediction cannot

Table 2 Statistical properties of datasets

Datasets name	Features	Count of projects	Effort data				
			Unit	Min	Max	Mean	Median
Cocomo81	17	63	Months	6	11,400	686	98
NASA93	3	93	Months	5	138.3	49.47	26.5
Maxwell	27	62	Hours	583	63,694	8223.2	5189.5
China	18	499	Hours	26	54,620	3921	1829

be considered subsidiary. The SA value proximate to zero suggests poorer reliability, and a negative value is often deemed unsatisfactory.

$$SA = 1 - \frac{MAR}{MAR_{P_0}} \quad (20)$$

where MAR (mean absolute residual) is calculated as:

$$MAR = \frac{\sum_1^m |ActualEffort - EstimatedEffort|}{m} \quad (21)$$

MAR is the MAR of the proposed technique and \overline{MAR}_{P_0} is the mean of MARs obtained through large runs of random guessing (Shepperd and MacDonell 2012). m is the total number of projects.

6 Experimental evaluation

The authors define in this segment the experimental setup that is utilized in the experiments. The authors have omitted the various consequences of the features as a preprocessing phase by normalizing in the interval [0, 1] utilizing the min-max normalization method (Kocaguneli and Menzies 2013). In the current study, leave-one-out (LOO) (Kocaguneli and Menzies 2013) is used for generating train and test datasets. The experiment with the proposed SABE framework is carried out using all the four datasets and all the evaluation criteria as discussed in Sect. 5.1 and Sect. 5.2, respectively. To further explain clearly the SABE framework, Project 2 from Cocomo dataset is selected with attributes 0.88, 1.16, 0.85, 1, 1.06, 1, 1.07, 1, 0.91, 1, 0.9, 0.95, 1.1, 1 and 1. These values are normalized. This project is the test project, and the rest of the projects are the historic projects. The similarity functions are then applied on the test project and the historic projects. The five best similar projects are retrieved. These projects then undergo two separate operations as explained in Step 4.1 and Step 4.2. The output obtained from Step 4.1 and Step 4.2 is then adapted using Eq. (13) to get the final estimated effort of the test project. The estimated effort and the actual effort are then subjected to performance metrics MMRE, MdMRE, PRED and SA. Tables 3, 4, 5 and 6

depict the results on the four datasets. On each dataset, all the four similarity functions are applied and the best k -nearest neighbors are retrieved; they are then submitted to solution functions and the SABE technique by varying k values from 1 to 5. The experimental findings are also used to obtain the most desirable ABE framework in terms of k value, similarity measure and solution function with all the performance metrics.

Apart from the results of SABE technique, Tables 3, 4, 5 and 6 also depict the results of CA, mean, IWM and median solution functions for all the four datasets.

By using the results of MMRE, MdMRE, PRED, and SA for Cocomo81, NASA93, Maxwell and China datasets, the best values are highlighted for each evaluation criteria. A prediction technique is considered better if it has low MRE, and MMRE values, and high PRED, and SA values. The highlighted train and test values from the Tables 3, 4, 5 and 6 show that the best solution function for all the datasets and similarity functions irrespective of the k values, is the proposed SABE framework. For example, from Table 3 for Cocomo dataset with Euclidean similarity, the best testing value for MMRE is found at $k = 3$, for MdMRE it is at $k = 5$, for PRED it is at $k = 1, 4$ and for SA it is at $k = 5$ and all at SABE solution function.

Few of the results are depicted graphically also. Figures 3, 4, 5 and 6 depict the performance of all the solution functions at $k=3$ and at Euclidean similarity. The graphs for Cocomo, NASA and Maxwell datasets show the performance of SABE is at par over all the evaluation criteria. In China dataset, SABE is performing best for MMRE and MdMRE criteria, and there is less variation for PRED and SA criteria. But as depicted from the results of Table 6 on China dataset, it can be seen that SABE is outweighing PRED and SA criteria for Jaccard, Manhattan and Minkowski similarity functions.

The researchers Benala and Mall (Benala and Mall 2018) contributed various ABE models, and they concluded that the best ABE configuration is found at Euclidean similarity, with $k=3$ and mean solution function. The authors have compared the performance of SABE with Benala and Mall models (Tables 13-15) (Benala and Mall 2018) on Cocomo81, NASA93 and China datasets. The proposed approach is compared with the six models (ABE, GA-ABE,

Table 3 Cocomo

Similarity	K	Solution	MMRE		MdMRE		PRED		SA		
			Train	Test	Train	Test	Train	Test	Train	Test	
Euclidean	k=1	CA	1.6193	1.9748	0.7802	0.9256	0.0937	0.0645	0.3988	0.1026	
		SABE	0.0677	0.078	0.0238	0.0659	1.00	1.00	0.992	0.9207	
	k=2	Mean	1.2925	1.597	0.6392	0.9305	0.2812	0.0645	0.4626	0.1649	
		IWM	1.3531	0.4012	0.7013	0.9275	0.2812	0.0967	0.4178	0.1462	
	k=3	SABE	0.033	0.1012	0.0168	0.0798	0.9655	0.931	0.997	0.9709	
		Mean	0.3392	0.4245	0.5997	0.3333	0.1937	0.2645	0.508	0.5659	
	k=4	IWM	1.3995	0.5197	0.6022	0.3414	0.1625	0.1967	0.4921	0.4553	
		Median	0.4795	0.162	0.6693	1.0566	0.1625	0.1967	0.4909	0.4662	
	k=5	SABE	0.0568	0.0702	0.011	0.069	0.9677	0.9375	0.9974	0.9721	
		Mean	1.2498	0.7595	0.6992	1.4673	0.125	0.0322	0.5105	0.1893	
	Jaccard	k=1	IWM	1.2424	3.0035	0.7217	1.5394	0.125	0.0322	0.4848	0.1764
			Median	0.942	0.2573	0.7092	0.9764	0.1562	0.129	0.4099	0.2454
		k=2	SABE	0.0525	0.0828	0.0169	0.0647	1.00	1.00	0.9964	0.9681
			Mean	1.2365	2.4305	0.6195	1.5172	0.0937	0.0645	0.4932	0.2122
		k=3	IWM	1.2329	2.4016	0.6625	1.6933	0.125	0.0322	0.4675	0.2167
Median			0.9145	1.7991	0.6913	0.9133	0.0937	0.0645	0.3117	0.3074	
k=4		SABE	0.0515	0.0752	0.0157	0.0481	0.9677	0.9655	0.9974	0.9765	
		Mean	81.6399	78.0105	0.9815	1.6311	0.9815	0.129	0.0467	0.0476	
k=5		SABE	0.0853	0.1048	0.0305	0.0367	0.9032	0.9062	0.9965	0.9771	
		Mean	48.3441	49.7176	0.8658	3.4166	0.0937	0.129	0.0132	0.0584	
Manhattan		k=1	IWM	47.7264	48.8814	0.867	2.111	0.125	0.129	0.1333	0.0634
			SABE	0.0625	0.0989	0.0188	0.0809	0.9032	0.9375	0.9968	0.9727
		k=2	Mean	41.287	57.5678	0.9235	2.7295	0.1562	0.0645	0.0439	0.0576
			IWM	40.3921	59.1934	0.9121	2.689	0.125	0.0967	0.0309	0.0537
		k=3	Median	17.6182	54.9928	0.918	0.8275	0.1562	0.1612	0.0297	0.0494
	SABE		0.0454	0.0137	0.0135	0.0546	0.9677	0.9687	0.9974	0.9742	
	k=4	Mean	31.705	55.1464	0.9296	14.0634	0.0937	0.1612	0.0242	0.0316	
		IWM	30.8003	54.4105	0.9455	14.8007	0.0937	0.129	0.0118	0.0287	
	k=5	Median	10.3729	33.5503	0.8703	3.5793	0.125	0.1612	0.0311	0.0412	
		SABE	0.0341	0.0984	0.0181	0.0597	1.00	0.906	0.9973	0.9975	
	Manhattan	k=1	Mean	25.5855	49.0576	0.8983	11.1809	0.125	0.1612	0.0228	0.0295
			IWM	24.4279	46.9769	0.9527	11.2051	0.1562	0.1612	0.0002	0.0278
		k=2	Median	3.1144	20.1641	0.8897	0.8222	0.125	0.2258	0.0032	0.1033
			SABE	0.0472	0.1155	0.0127	0.0874	0.9677	0.9062	0.9978	0.9689
		k=3	CA	1.4431	1.3877	0.7581	0.8048	0.125	0.129	0.4039	0.2626
SABE			0.1821	0.084	0.0175	0.069	0.9677	0.875	0.9975	0.9753	
k=4		Mean	1.0989	2.0539	0.6392	0.8125	0.25	0.0645	0.4627	0.1896	
		IWM	1.1529	2.2902	0.6878	0.6432	0.2812	0.0645	0.4226	0.1702	
k=5		SABE	0.0686	0.0915	0.0168	0.0668	0.9032	0.9375	0.9968	0.9727	
		Mean	1.1195	2.4378	0.5672	1.1047	0.0937	0.0645	0.5091	0.3701	
Manhattan		k=1	IWM	1.1305	2.6637	0.6057	1.0365	0.0937	0.0967	0.4928	0.2561
			Median	1.1561	1.9735	0.6913	0.8962	0.0937	0.0967	0.291	0.1768
		k=2	SABE	0.0699	0.0938	0.0168	0.0157	1.00	0.875	0.9974	0.9788
			Mean	1.1443	2.2563	0.6992	1.4019	0.125	0.0645	0.5167	0.4011
		k=3	IWM	1.1653	2.3663	0.7282	1.4677	0.1562	0.0322	0.4933	0.3937
	Median		0.9423	1.7527	0.6728	0.8143	0.125	0.129	0.4133	0.3576	

Table 3 continued

Similarity	K	Solution	MMRE		MdmRE		PRED		SA	
			Train	Test	Train	Test	Train	Test	Train	Test
Minkowski	k=5	SABE	0.0718	0.0951	0.0168	0.0657	0.9677	0.9062	0.9974	0.9649
		Mean	1.2601	2.301	0.697	1.0981	0.0625	0.0645	0.4886	0.3216
		IWM	1.2912	2.4231	0.6919	1.1552	0.1562	0.1045	0.463	0.216
		Median	0.9574	1.6755	0.764	0.7679	0.0312	0.3225	0.3067	0.3088
	k=1	SABE	0.0741	0.0973	0.0168	0.0657	0.9354	0.9687	0.997	0.9799
		CA	1.6193	2.0449	0.7802	0.9444	0.0937	0.0449	0.3988	0.1048
		SABE	0.0727	0.0965	0.0168	0.0659	0.9032	0.875	0.9975	0.9672
		Mean	1.2857	2.6298	0.6392	0.9764	0.2812	0.0967	0.4629	0.1681
	k=2	IWM	1.3331	2.9438	0.6857	0.9997	0.2812	0.129	0.4181	0.15
		SABE	0.064	0.0962	0.0168	0.0657	0.9354	0.9062	0.9973	0.9758
		Mean	1.3654	2.4735	0.6284	1.3888	0.0937	0.0645	0.5068	0.1704
		IWM	1.4026	2.5492	0.6138	1.1827	0.0625	0.0967	0.4906	0.1631
	k=3	Median	1.1977	2.1619	0.6913	1.0566	0.0625	0.0967	0.2897	0.166
		SABE	0.0772	0.0965	0.0168	0.0657	0.9032	0.9375	0.9972	0.974
		Mean	1.1975	2.6724	0.6992	1.775	0.125	0.0645	0.5149	0.192
		IWM	1.136	2.8472	0.7008	1.5457	0.125	0.0645	0.4919	0.1806
	k=4	Median	0.9817	2.0547	0.6729	0.9764	0.1562	0.0967	0.4107	0.2432
		SABE	0.0819	0.0963	0.0168	0.0657	0.9032	0.9062	0.9977	0.9834
		Mean	1.2275	2.4605	0.6119	1.5172	0.1875	0.0645	0.4945	0.2117
		IWM	1.2195	2.3564	0.644	1.7774	0.1875	0.0645	0.4688	0.2207
k=5	Median	0.9111	1.9396	0.661	0.93	0.0937	0.0322	0.3125	0.3027	
	SABE	0.0858	0.0941	0.0168	0.0657	0.9354	0.9062	0.9972	0.9736	

Bold values represent the best solution function for all the datasets and similarity functions irrespective of the K values

DABE-3, PSO-ABE, SADE-ABE, JADE-ABE) from Benala and Mall's work.

For Cocomo81 dataset, the best MMRE test value is given by SADE-ABE which is 0.016, whereas the best MMRE test value for SABE is 0.0137 at Jaccard similarity and at $k=3$. In PRED, the best value is given by DABE-3 which is 0.816, for SABE the best PRED value is 1.00 at $k=1,4$ and using Euclidean similarity. For MdmRE, it is given by PSO-ABE which is 0.021, whereas for SABE the best value is 0.0157 using Manhattan similarity and at $k=3$. The best SA value is given by DABE-3 which is 98.940, whereas using SABE it is 99.75 at $k=4$ using Jaccard similarity.

For NASA93 dataset, the best MMRE test value is given by GA-ABE which is 0.009, whereas the best MMRE test value for SABE is 0.0211 at Minkowski similarity and at $k=5$. In PRED, the best value is given by ABE which is 0.839, for SABE the best PRED value is 1.00 at $k=4, 5$ and using Jaccard similarity. It is also found at $k=4$, with Manhattan similarity and at $k=5$ with Minkowski similarity. For MdmRE, it is given by GA-ABE which is 0.009, whereas for SABE the best value is 0.0089 using Euclidean similarity and at $k=1$. The best SA value is given by DABE-3 which is 94.234,

whereas using SABE it is 99.22 at $k=5$ using Minkowski similarity.

For CHINA dataset, the best MMRE test value is given by PSO-ABE which is 0.010, whereas the best MMRE test value for SABE is 0.0116 at Jaccard similarity and at $k=4$. In PRED, the best value is given by ABE which is 0.868, for SABE the best PRED value is 1.00 at $k=1,2,3,4,5$ by using Euclidean, Manhattan and Minkowski similarity measures. For MdmRE, it is given by DABE-3 which is 0.039, whereas for SABE the best value is 0.0127 using Manhattan similarity and at $k=3$. The best SA value is given by DABE-3 which is 96.509, whereas using SABE it is 99.62 at $k=5$ using Manhattan similarity.

All the analyses performed above concluded that SABE is providing the best results for mostly all the models and evaluation criteria except for GA-ABE at MMRE for NASA93 dataset, and for PSO-ABE at MMRE for China dataset. But the best performance of SABE is not restricted to a single configuration. It is giving good results at different similarity measures and at different k values. This is further confirmed using statistical analysis.

Table 4 NASA

Similarity	k	Solution	MMRE		MdMRE		PRED		SA	
			Train	Test	Train	Test	Train	Test	Train	Test
Euclidean	$k=1$	CA	0.5972	2.6799	0.2887	0.5821	0.234	0.1086	0.5699	0.522
		SABE	0.0713	0.0362	0.0445	0.0089	0.9782	0.9565	0.9604	0.9901
	$k=2$	Mean	0.3573	0.9007	0.6	0.7632	0.2765	0.1521	0.4376	0.6093
		IWM	1.5157	1.5746	0.5917	0.7656	0.3404	0.1304	0.4875	0.6146
	$k=3$	SABE	0.0857	0.0708	0.0609	0.0163	0.9545	0.9574	0.9801	0.991
		Mean	0.2687	0.617	0.6716	0.7586	0.2553	0.2304	0.7015	0.7793
		IWM	0.3396	0.3001	0.6423	0.7146	0.1702	0.1904	0.6866	0.736
		Median	0.2573	0.0359	0.3243	0.5664	0.3404	0.3086	0.776	0.7786
		SABE	0.0211	0.0234	0.072	0.0271	0.9318	0.9787	0.9778	0.99
		$k=4$	Mean	0.5902	0.7754	0.7465	0.7404	0.234	0.1086	0.5344
		IWM	0.9108	1.5824	0.6499	0.5805	0.2553	0.2173	0.5323	0.5489
		Median	0.5147	0.6129	0.4763	0.5579	0.3191	0.1521	0.5992	0.6885
		SABE	0.0824	0.0624	0.033	0.0191	0.9148	0.9565	0.9819	0.9831
		$k=5$	Mean	1.4779	1.6126	0.956	0.65	0.1489	0.1956	0.4579
		IWM	1.6269	1.4248	0.7519	0.5763	0.234	0.2608	0.4136	0.3697
		Median	0.4796	1.0124	0.3461	0.657	0.3191	0.1521	0.5472	0.5888
		SABE	0.0661	0.0399	0.0287	0.0264	0.9787	0.9782	0.9835	0.9901
		$k=1$	CA	29.6726	4.697	10.4795	0.8928	0.0212	0.0652	0.0439
	SABE		0.1302	0.0503	0.0638	0.0312	0.8297	0.9565	0.9776	0.9886
	Jaccard	$k=2$	Mean	18.7283	9.8978	6.7806	0.9121	0.0851	0.0652	0.0074
IWM			15.0173	10.4523	6.5494	0.9317	0.0851	0.0869	0.0181	0.0783
	SABE	0.1165	0.0508	0.0588	0.0277	0.851	0.9565	0.9764	0.9895	
	$k=3$	Mean	14.423	8.3864	5.6034	0.8813	0.0851	0.0869	0.0078	0.0668
	IWM	12.0727	8.6593	5.6034	0.8821	0.1489	0.0652	0.0304	0.0678	
	Median	10.6561	6.6932	3.9236	0.8353	0.0851	0.1086	0.0332	0.0154	
	SABE	0.0858	0.0599	0.044	0.017	0.9148	0.9565	0.9826	0.9909	
	$k=4$	Mean	13.7776	6.8011	5.289	0.8902	0.1489	0.1304	0.02	0.0514
	IWM	12.3934	6.9039	4.5456	0.8949	0.1702	0.1086	0.0194	0.0519	
	Median	10.3432	4.3692	3.0763	0.87	0.1063	0.0434	0.039	0.0205	
	SABE	0.0893	0.0349	0.0637	0.0226	0.9361	1.00	0.9813	0.9905	
	$k=5$	Mean	20.5229	9.2866	7.2791	0.8078	0.1489	0.1521	0.0213	0.0336
	IWM	21.0114	9.8992	6.8732	0.6773	0.1489	0.1956	0.0314	0.0465	
	Median	9.2402	6.775	1.2291	0.9027	0.0851	0.0434	0.0554	0.0413	
	SABE	0.0852	0.0284	0.0529	0.0182	0.9361	1.00	0.9829	0.9912	
	$k=1$	CA	0.6401	2.7096	0.3333	0.657	0.4042	0.1521	0.5486	0.5101
SABE		0.1261	0.0518	0.0747	0.0266	0.851	0.9782	0.976	0.9892	
Manhattan	$k=2$	Mean	0.7362	1.8428	0.4797	0.7486	0.3404	0.2173	0.5073	0.4141
		IWM	0.9252	1.6671	0.3795	0.7478	0.3829	0.1956	0.4429	0.3087
	SABE	0.1074	0.0728	0.0708	0.0311	0.9148	0.9565	0.979	0.9888	
	$k=3$	Mean	0.7802	1.5986	0.6111	0.7423	0.2765	0.1521	0.5461	0.4948
	IWM	0.9059	1.3882	0.6432	0.7296	0.234	0.1304	0.5045	0.3352	
	Median	0.4298	1.0469	0.3333	0.5277	0.3191	0.1086	0.5597	0.4829	
	SABE	0.1012	0.047	0.0761	0.0273	0.9361	0.9782	0.9795	0.9897	
	$k=4$	Mean	0.7712	0.1744	0.5037	0.1062	0.4765	0.6086	0.5988	0.6945
		IWM	0.8373	1.4886	0.4447	0.616	0.3191	0.1739	0.5894	0.5509

Table 4 continued

Similarity	k	Solution	MMRE		MdmRE		PRED		SA		
			Train	Test	Train	Test	Train	Test	Train	Test	
Minkowski	$k=5$	Median	0.4438	0.9921	0.3513	0.5579	0.4404	0.4521	0.6074	0.5926	
		SABE	0.1015	0.0421	0.0577	0.0254	0.9148	1.00	0.9804	0.9903	
		Mean	0.9469	1.5989	0.5968	0.6913	0.234	0.1956	0.4912	0.442	
		IWM	0.4721	1.0034	0.3461	0.5914	0.2978	0.1739	0.4314	0.4516	
		Median	1.0678	1.4628	0.5311	0.6227	0.234	0.2391	0.5472	0.5914	
	$k=1$	SABE	0.0853	0.0667	0.0496	0.0218	0.9361	0.9782	0.9827	0.9912	
		CA	1.4365	2.7628	0.5	0.6912	0.234	0.1086	0.4464	0.0924	
		SABE	0.1188	0.0756	0.0605	0.0248	0.8297	0.9565	0.9783	0.9893	
		$k=2$	Mean	1.3923	1.901	0.6011	0.7632	0.234	0.1304	0.4353	0.107
			IWM	1.4897	1.5349	0.5901	0.7674	0.2978	0.1086	0.3909	0.216
	$k=3$	SABE	0.1264	0.0809	0.0785	0.0292	0.851	0.9347	0.9776	0.9881	
		Mean	1.355	1.6207	0.7724	0.7694	0.234	0.1304	0.4936	0.5666	
		IWM	1.348	1.2811	0.6137	0.7131	0.1489	0.1304	0.4909	0.4247	
		Median	0.4566	1.0474	0.3333	0.5664	0.3191	0.1304	0.56	0.58	
		SABE	0.118	0.0406	0.0685	0.0234	0.8723	0.9782	0.9793	0.9904	
	$k=4$	Mean	1.5893	1.7759	0.7465	0.7404	0.234	0.1086	0.5346	0.2693	
		IWM	1.8293	1.6313	0.6651	0.5863	0.2765	0.2608	0.546	0.2426	
		Median	0.5166	1.0221	0.4763	0.5579	0.2978	0.1304	0.5986	0.18	
		SABE	0.0909	0.0505	0.0594	0.0279	0.9361	0.9565	0.9803	0.9896	
		$k=5$	Mean	1.4761	1.5942	0.956	0.6715	0.1702	0.1739	0.458	0.235
IWM	1.5173		1.4125	0.7425	0.5625	0.2765	0.2391	0.4245	0.2626		
Median	0.4885		0.9804	0.3888	0.657	0.3191	0.1521	0.5466	0.1901		
SABE	0.0824		0.0211	0.0381	0.0117	0.8936	1.00	0.9862	0.9922		

Bold values represent the best solution function for all the datasets and similarity functions irrespective of the K values

7 Statistical analysis

Statistical analysis helps us to find the appropriateness of one model to another (Kitchenham and Mendes 2009), (Mitras and Angelis 2008). From the discussion in Sect. 6, it is evident that the SABE solution function is providing the best results but now using statistical analysis this will be further confirmed. Also it will help to find the best similarity function and k value to be chosen for SABE. The datasets for software cost estimation studies do not follow any particular distribution so nonparametric statistical tests are used (Kaushik et al. 2016). All these tests are performed on KEEL (Knowledge Extraction based on Evolutionary Learning) tool (Alcalá-Fdez et al. 2011), and the statistical analysis is done on MMRE metric.

Initially, the authors compared all the solution functions using Friedman test (Hodges and Lehmann 2012). This test computes the statistical differences among the solution functions. It provides the lowest rank to the best solution function. The Friedman test is computed by:

$$FT = \frac{(q-1) \left[\sum_{j=1}^q \hat{M}_j^2 - \frac{(qn)^2}{q} \right]}{\{[qn(qn+1)(2qn+1)]/6\} - (1/q) \sum_{i=1}^n \hat{M}_i^2} \quad (22)$$

where q is the total number of techniques, \hat{M}_i is the total rank of the i^{th} data-set, and \hat{M}_j is the total rank of the j^{th} technique. This test is conducted for all the solution functions at $k=3$ with Euclidean similarity on all the four datasets. The authors have chosen $k=3$, for the Friedman test as it is considered as the optimal value and it is covering all the solution functions (Benala and Mall 2018). The null hypothesis assumed that all the solution functions performed equally. The results of the Friedman test is given in Table 7.

Here, N is the number of input datasets, the degree of freedom (df) is 3 as there are four solution functions to compare. The standard χ^2 value with 3 df and significance value $\alpha = 0.05$ is 7.815. The null hypothesis is rejected as the χ^2 value listed in the test statistic (Table 7) is more than 7.815 and the p -value is less than 0.05. So, it is deduced that all the solution functions are different. Fig. 7 shows the average

Table 5 Maxwell

Similarity	k	Solution	MMRE		MdMRE		PRED		SA		
			Train	Test	Train	Test	Train	Test	Train	Test	
Euclidean	k=1	CA	0.094	0.0599	0.0574	0.0615	0.2258	0.2666	0.5784	0.5537	
		SABE	0.0042	0.0028	0.0026	0.0014	1.00	1.00	0.999	0.9984	
	k=2	Mean	0.0807	0.053	0.0568	0.0556	0.129	0.3	0.6997	0.7692	
		IWM	0.0812	0.0549	0.0567	0.0406	0.1935	0.3	0.6832	0.7172	
	k=3	SABE	0.0037	0.0029	0.0017	0.0013	1.00	1.00	0.9991	0.9983	
		Mean	0.0715	0.0353	0.0501	0.0362	0.329	0.3666	0.822	0.9782	
		IWM	0.0856	0.0555	0.0566	0.0432	0.129	0.2333	0.8214	0.9241	
	k=4	Median	0.0743	0.046	0.0503	0.0412	0.2058	0.2	0.8476	0.9434	
		SABE	0.0047	0.0029	0.0026	0.002	1.00	1.00	0.9989	0.9983	
		Mean	0.0781	0.0493	0.0541	0.0429	0.129	0.2666	0.8394	0.9725	
	k=5	IWM	0.0784	0.0492	0.0552	0.0356	0.1612	0.2666	0.8593	0.5356	
		Median	0.0745	0.0439	0.0562	0.0329	0.1935	0.3	0.3999	0.5604	
		SABE	0.0043	0.0026	0.0015	0.0014	1.00	1.00	0.999	0.9984	
	Jaccard	k=1	Mean	0.0742	0.0457	0.051	0.0369	0.129	0.3333	0.4744	0.5926
			IWM	0.0766	0.047	0.0562	0.0313	0.4677	0.4333	0.4806	0.5586
k=2		Median	0.0745	0.0415	0.054	0.0397	0.1935	0.3	0.3854	0.5241	
		SABE	0.0042	0.0026	0.0018	0.0016	1.00	1.00	0.999	0.9983	
k=3	CA	0.1552	1.8261	0.8122	0.7825	0.1935	0.1333	0.3107	0.4047		
	SABE	0.0054	0.0031	0.0029	0.0018	1.00	1.00	0.9989	0.9982		
	Mean	2.6104	2.1184	0.9081	0.7066	0.0645	0.1	0.0904	0.1966		
k=4	IWM	2.6207	2.1183	0.9081	0.7066	0.0645	0.1	0.0923	0.1965		
	SABE	0.0057	0.0031	0.0037	0.0021	1.00	1.00	0.9989	0.9982		
	Mean	3.0696	2.018	0.9311	0.7868	0.0645	0.0666	0.1539	0.2261		
k=5	IWM	3.1044	2.0283	0.9311	0.7869	0.0645	0.0666	0.1563	0.2254		
	Median	2.707	2.0612	0.9634	0.811	0.1935	0.0666	0.1631	0.2112		
	SABE	0.0051	0.0035	0.002	0.0021	1.00	1.00	0.9989	0.9981		
Manhattan	k=1	Mean	2.7083	1.9209	0.9356	0.6958	0.0645	0.1333	0.144	0.1987	
		IWM	2.7211	1.9285	0.9356	0.7165	0.0645	0.1333	0.1438	0.1969	
	k=2	Median	2.3147	1.9227	0.9253	0.7458	0.129	0.1333	0.1437	0.2757	
		SABE	0.0039	0.0032	0.0016	0.0016	1.00	1.00	0.999	0.9983	
	k=3	Mean	2.6988	2.2508	1.1339	0.6933	0.0645	0.1	0.1415	0.1614	
		IWM	2.6719	2.2766	1.1807	0.6956	0.0967	0.1	0.1388	0.1587	
		Median	2.2649	2.0011	1.3373	0.7415	0.0645	0.1333	0.1426	0.2268	
	k=4	SABE	0.007	0.0034	0.0021	0.0019	1.00	1.00	0.997	0.9981	
		CA	0.0838	0.0575	0.0475	0.0553	0.2258	0.3333	0.451	0.4709	
		SABE	0.005	0.003	0.0022	0.0017	1.00	1.00	0.999	0.9981	
	k=5	Mean	0.0798	0.051	0.0544	0.0485	0.1612	0.3733	0.4066	0.5757	
		IWM	0.0826	0.0544	0.0549	0.0461	0.2258	0.2666	0.387	0.5137	
		SABE	0.0046	0.0036	0.0021	0.0016	1.00	1.00	0.999	0.9982	
	k=3	Mean	0.0749	0.0495	0.0477	0.0442	0.129	0.2333	0.4325	0.5706	
		IWM	0.0755	0.0499	0.0489	0.0455	0.129	0.2	0.4347	0.5403	
Median		0.066	0.0441	0.0494	0.0412	0.1612	0.2666	0.4586	0.5798		
k=4	SABE	0.0039	0.0026	0.0021	0.0013	1.00	1.00	0.9991	0.9984		
	Mean	0.0709	0.0447	0.0541	0.0419	0.2258	0.2666	0.4682	0.5806		
		IWM	0.0696	0.0432	0.0553	0.0346	0.2258	0.3333	0.489	0.5486	

Table 5 continued

Similarity	k	Solution	MMRE		MdmRE		PRED		SA	
			Train	Test	Train	Test	Train	Test	Train	Test
Minkowski	k=5	Median	0.065	0.0414	0.0559	0.0329	0.2258	0.3666	0.4386	0.5636
		SABE	0.0034	0.0028	0.0019	0.0017	1.00	1.00	0.999	0.9983
		Mean	0.0745	0.0441	0.05	0.0357	0.1612	0.3333	0.4772	0.605
		IWM	0.077	0.0449	0.0521	0.0342	0.129	0.3	0.4829	0.5754
	k=1	Median	0.0713	0.0417	0.0503	0.0366	0.2258	0.2666	0.3861	0.5091
		SABE	0.005	0.0032	0.0021	0.0015	1.00	1.00	0.9989	0.9983
		CA	0.0945	0.066	0.0574	0.0626	0.2258	0.2666	0.3777	0.4264
		SABE	0.004	0.003	0.0015	0.0018	1.00	1.00	0.9991	0.9982
	k=2	Mean	0.0837	0.0516	0.058	0.048	0.1612	0.3333	0.3802	0.5783
		IWM	0.0827	0.053	0.0573	0.0365	0.1935	0.3666	0.3698	0.5302
		SABE	0.0044	0.0031	0.0021	0.0015	1.00	1.00	0.9989	0.9983
		Mean	0.0831	0.0553	0.0589	0.0442	0.0967	0.2	0.415	0.5446
	k=3	IWM	0.0874	0.0555	0.0577	0.0418	0.0967	0.2333	0.4154	0.5192
		Median	0.0745	0.0461	0.0503	0.0412	0.2258	0.2666	0.4471	0.5727
		SABE	0.004	0.0031	0.0021	0.0023	1.00	1.00	0.9989	0.9981
		Mean	0.0771	0.0478	0.0541	0.0419	0.129	0.2666	0.4357	0.578
	k=4	IWM	0.0754	0.0473	0.0552	0.0343	0.129	0.3	0.4605	0.5437
		Median	0.0734	0.0418	0.0562	0.0309	0.1935	0.3333	0.3974	0.5688
		SABE	0.0044	0.0025	0.0018	0.0013	1.00	1.00	0.9991	0.9984
		Mean	0.0756	0.0461	0.057	0.0369	0.0967	0.3333	0.4609	0.5862
	k=5	IWM	0.0788	0.0486	0.0586	0.0314	0.0645	0.4	0.4618	0.5455
		Median	0.0729	0.0415	0.0543	0.0397	0.1935	0.3	0.3875	0.5242
		SABE	0.0042	0.0034	0.0021	0.0014	1.00	1.00	0.999	0.9982

Bold values represent the best solution function for all the datasets and similarity functions irrespective of the K values

ranks of all the solution functions as given by Friedman rank test. In this, the SABE function has the minimum rank of 1 so this is considered as the best solution function. Next, Holm post hoc test (Holm 1979) is conducted to find the differences among the techniques with SABE as the control method. Its test statistics is given in Table 8.

As per the Holm test statistics, the hypothesis is rejected for mean and IWM but it is accepted for Median. This shows that there is not much significant difference between SABE and the median solution function. Now, these two solution functions are statistically validated using Wilcoxon signed rank test (Wilcoxon 1992), which compares the two functions based on positive and negative differences of their ranks. The null hypothesis assumed here is the two techniques performed equally. The test statistics of the test is provided in Table 9.

Here, R^+ shows the sum of ranks in which the first algorithm outperformed the second and R^- shows the sum of ranks for the opposite. From the test statistics (Table 9), the p-value is less than 0.05, so the null hypothesis is rejected, and SABE has outperformed median solution function for all the datasets. The authors also performed statistical analysis

to know which is the best similarity function and the best k value for SABE. In order to find the best similarity function, the authors have chosen the best MMRE test values for all the datasets. First, the Friedman test is performed. The null hypothesis assumed that all the similarity functions performed equally. The results of Friedman test for similarity function are given in Table 10.

Here, N is the number of input datasets, the degree of freedom (df) is 3 as there are four similarity functions to compare. The null hypothesis is accepted as the χ^2 value listed in the test statistic (Table 10) is less than 7.815 and the p -value is more than 0.05. So, it is deduced that all the similarity functions performed equally and there are no significant differences.

Figure 8 shows the average ranks of all the similarity functions as given by Friedman rank test. It shows that the Minkowski similarity function has the lowest rank of 1.875, so this similarity function is taken as the control method. The statistical analysis is further performed to find the best k value for SABE. The results considered for analysis are MMRE test values for all the k values with Minkowski similarity function and SABE solution function. The Friedman test results

Table 6 China

Similarity	k	Solution	MMRE		MdmRE		PRED		SA	
			Train	Test	Train	Test	Train	Test	Train	Test
Euclidean	k=1	CA	0.1127	0.1143	0.0575	0.0566	0.9402	0.9314	0.9104	0.9174
		SABE	0.0216	0.0275	0.0116	0.013	1.00	1.00	0.9935	0.9962
	k=2	Mean	0.1169	0.1078	0.0772	0.0823	0.9521	0.9596	0.9146	0.9073
		IWM	0.1195	0.1106	0.0813	0.0846	0.9442	0.9596	0.9161	0.904
	k=3	SABE	0.0226	0.0279	0.0119	0.0133	1.00	1.00	0.9932	0.9959
		Mean	0.1115	0.0907	0.0768	0.0524	0.9601	0.9996	0.9177	0.9885
	k=4	IWM	0.1137	0.1108	0.0761	0.0828	0.9521	0.9475	0.9156	0.9588
		Median	0.1161	0.0956	0.063	0.0606	0.9402	0.9796	0.9131	0.9799
	k=5	SABE	0.0226	0.0278	0.0126	0.013	1.00	1.00	0.9932	0.9961
		Mean	0.1098	0.1058	0.0713	0.0849	0.9681	0.9637	0.9216	0.9086
	k=3	IWM	0.1123	0.1083	0.0733	0.0855	0.9641	0.9596	0.9201	0.9091
		Median	0.1119	0.1002	0.0711	0.0744	0.9721	0.9637	0.9211	0.9117
	k=4	SABE	0.0223	0.028	0.0127	0.0133	1.00	1.00	0.9933	0.996
		Mean	0.1096	0.1061	0.0721	0.0854	0.9681	0.9596	0.9239	0.9098
	k=5	IWM	0.1133	0.1091	0.0787	0.0868	0.9681	0.9637	0.9225	0.9098
Median		0.1128	0.102	0.0642	0.0725	0.9641	0.9677	0.9196	0.909	
k=3	SABE	0.0219	0.0279	0.0109	0.013	1.00	1.00	0.9934	0.9959	
	Mean	0.1096	0.1061	0.0721	0.0854	0.9681	0.9596	0.9239	0.9098	
Jaccard	k=1	CA	7.1901	5.33	1.7325	1.3428	0.1235	0.0806	0.3466	0.3318
		SABE	0.0912	0.149	0.0348	0.0344	0.88	0.8	0.9714	0.9765
	k=2	Mean	2.6104	2.1184	0.9081	0.7066	0.0645	0.1	0.1712	0.1575
		IWM	2.6207	2.1183	0.9081	0.7066	0.0645	0.1	0.1512	0.1554
	k=3	SABE	0.1125	0.1604	0.0357	0.046	0.9	0.74	0.9651	0.9767
		Mean	3.0696	2.018	0.9311	0.7868	0.0645	0.0666	0.177	0.2301
	k=4	IWM	3.1044	2.0283	0.9311	0.7869	0.0645	0.0666	0.197	0.2301
		Median	2.707	2.0612	0.9634	0.811	0.1935	0.0666	0.1463	0.2078
	k=5	SABE	0.0697	0.1266	0.0332	0.0356	0.94	0.8	0.9728	0.9767
		Mean	2.7083	1.9209	0.9356	0.6958	0.0645	0.1333	0.124	0.1541
	k=3	IWM	2.7211	1.9285	0.9356	0.7165	0.0645	0.1333	0.114	0.1351
		Median	2.3147	1.9227	0.9253	0.7458	0.129	0.1333	0.1603	0.1458
	k=4	SABE	0.01126	0.01161	0.0408	0.0302	0.86	0.86	0.9633	0.9812
		Mean	4.9357	3.5771	1.2954	0.8831	0.1553	0.129	0.8809	0.8898
	k=5	IWM	4.9357	3.5771	1.2954	0.8831	0.1553	0.129	0.8709	0.8698
Median		3.1497	2.5163	0.8628	0.8209	0.1394	0.1451	0.8705	0.8727	
Manhattan	k=1	CA	0.126	0.109	0.0602	0.0571	0.9362	0.9314	0.8992	0.9148
		SABE	0.022	0.0278	0.011	0.013	1.00	1.00	0.9934	0.996
	k=2	Mean	0.1159	0.1101	0.0758	0.0814	0.9561	0.9596	0.9196	0.9066
		IWM	0.1184	0.1135	0.0808	0.0863	0.9482	0.9556	0.9197	0.9035
	k=3	SABE	0.0227	0.0274	0.0125	0.0132	1.00	1.00	0.9931	0.9961
		Mean	0.1125	0.1062	0.077	0.0855	0.9681	0.9556	0.921	0.9108
	k=4	IWM	0.1153	0.1088	0.0771	0.082	0.9641	0.9475	0.9193	0.9107
		Median	0.1158	0.1038	0.0633	0.0627	0.9482	0.9516	0.9174	0.9102
	k=5	SABE	0.0221	0.0277	0.0116	0.0127	1.00	1.00	0.9932	0.996
		Mean	0.1094	0.1118	0.0733	0.0875	0.9721	0.9556	0.9224	0.9028
	k=3	IWM	0.1117	0.1161	0.0761	0.0913	0.9721	0.9435	0.9215	0.9004
		Median	0.1112	0.1051	0.0765	0.084	0.9681	0.9596	0.9207	0.9065

Table 6 continued

Similarity	k	Solution	MMRE		MdMRE		PRED		SA	
			Train	Test	Train	Test	Train	Test	Train	Test
Minkowski	k=5	SABE	0.0221	0.0278	0.0118	0.0133	1.00	1.00	0.9934	0.996
		Mean	0.1079	0.1097	0.0683	0.0867	0.9641	0.9596	0.9213	0.9056
		IWM	0.1106	0.1133	0.0722	0.0865	0.9641	0.9596	0.9197	0.9054
		Median	0.1077	0.1086	0.0603	0.0741	0.9641	0.9677	0.9212	0.9043
	k=1	SABE	0.0202	0.0278	0.0111	0.0132	1.00	1.00	0.9937	0.9962
		CA	0.1144	0.124	0.0599	0.0697	0.9362	0.9233	0.9131	0.8998
		SABE	0.0227	0.0279	0.0111	0.013	1.00	1.00	0.9933	0.9961
		Mean	0.117	0.1116	0.0835	0.0832	0.9561	0.9516	0.9168	0.9037
	k=2	IWM	0.12	0.1142	0.0808	0.0856	0.9402	0.9516	0.9175	0.9013
		SABE	0.0224	0.0279	0.0119	0.0141	1.00	1.00	0.9935	0.996
		Mean	0.1121	0.1054	0.0741	0.0756	0.9521	0.9596	0.9186	0.9084
		IWM	0.1142	0.1077	0.0746	0.0789	0.9402	0.9556	0.9179	0.9092
	k=3	Median	0.1148	0.1068	0.0603	0.0576	0.9362	0.9596	0.9161	0.9074
		SABE	0.0222	0.0275	0.0113	0.013	1.00	1.00	0.9935	0.9961
		Mean	0.1138	0.1046	0.074	0.0788	0.9681	0.9637	0.9186	0.9394
		IWM	0.1163	0.107	0.0755	0.0816	0.9641	0.9596	0.9176	0.9105
	k=4	Median	0.1123	0.1034	0.0704	0.0803	0.9681	0.9596	0.9191	0.9105
		SABE	0.0217	0.028	0.0112	0.0138	1.00	1.00	0.9936	0.9959
		Mean	0.1142	0.1037	0.0778	0.0811	0.9641	0.9596	0.9255	0.9139
		IWM	0.1179	0.1064	0.0804	0.082	0.9641	0.9596	0.927	0.9144
k=5	Median	0.1145	0.1049	0.066	0.0719	0.9681	0.9556	0.9192	0.9074	
	SABE	0.0228	0.0279	0.0117	0.013	1.00	1.00	0.9934	0.9959	

Bold values represent the best solution function for all the datasets and similarity functions irrespective of the K values

Fig. 3 Comparison of solution functions with Cocomo dataset

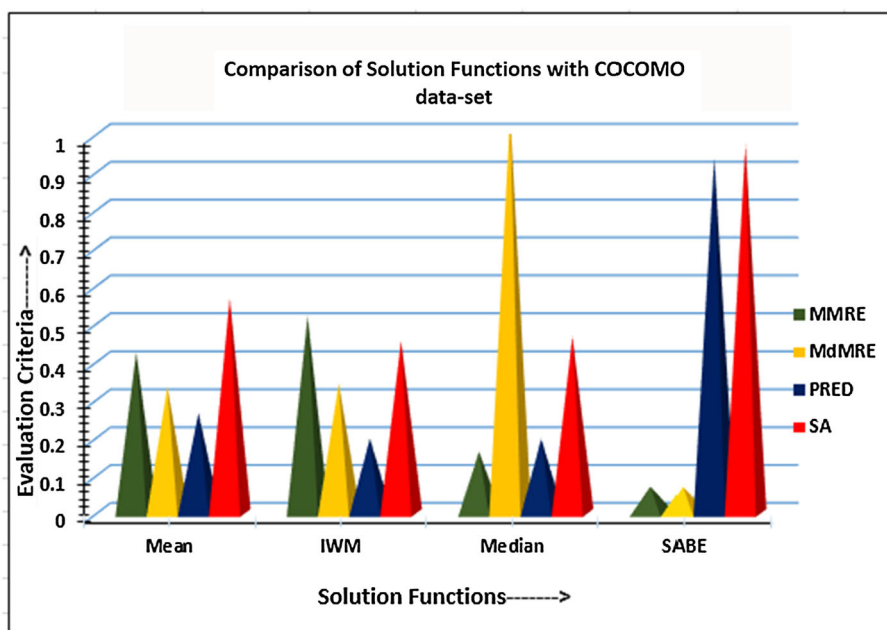


Fig. 4 Comparison of solution functions with NASA dataset

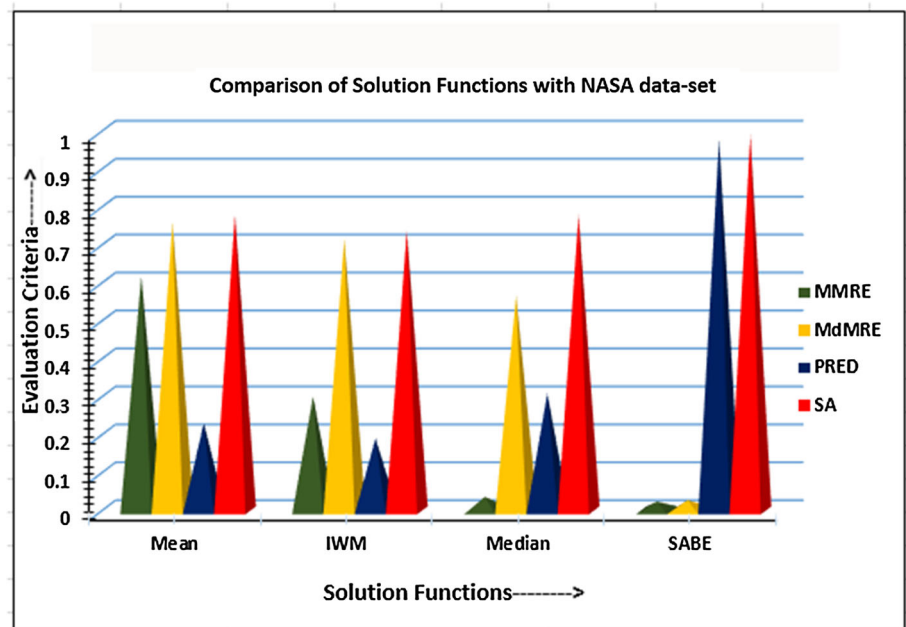
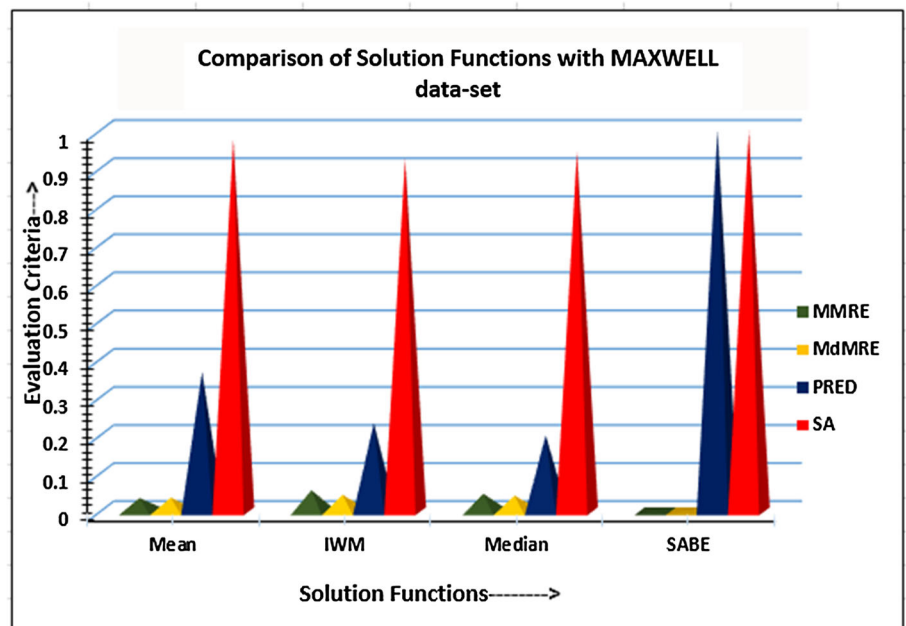


Fig. 5 Comparison of solution functions with Maxwell dataset



for this are given in Table 11. The hypothesis assumed here is all the k values performed equally. The degree of freedom (df) is 4 corresponding to 5 k values. The null hypothesis is accepted again as the χ^2 value listed in the test statistic (Table 11) is less than 9.488 and the p-value is more than 0.05. So, it is deduced that all the k values performed equally and there are no significant differences. The ranks as calculated by Friedman's test is depicted in Fig. 9, and it shows that $k=5$ has the lowest rank of 2.5. So, $k=5$ can be consid-

ered as the best value with Minkowski similarity function and SABE solution function.

8 Conclusion

In this work, Stacking regularization in analogy-based software effort estimation (SABE) is proposed for software projects. The technique SABE is a solution function which

Fig. 6 Comparison of solution functions with China dataset

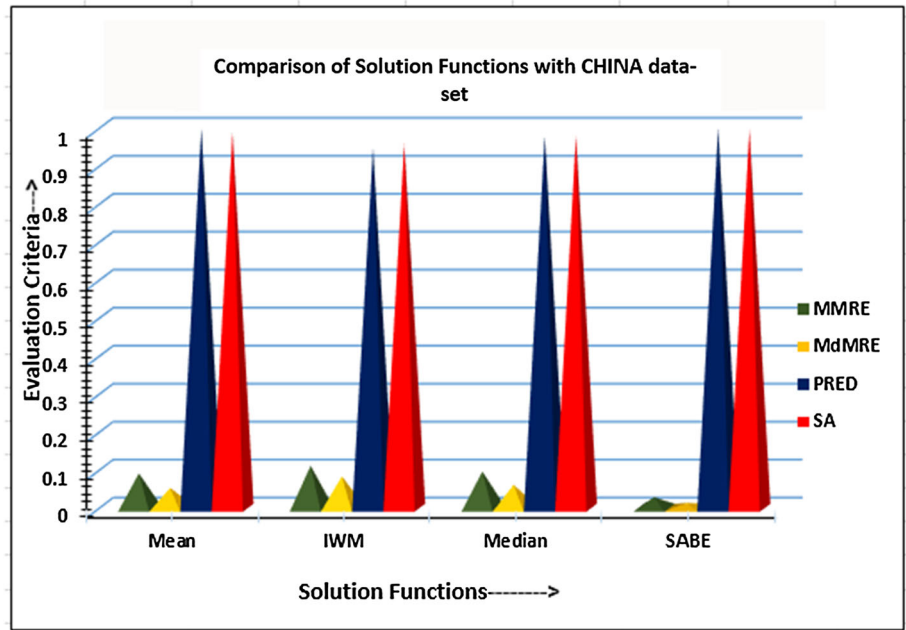


Table 8 Holm test statistics

Solution Functions	Z	Holm	Hypothesis ($\alpha=0.05$)
SABLE vs Mean	1.9170	0.025	Rejected
SABLE vs IWM	3.0124	0.0166	Rejected
SABLE vs Median	1.6431	0.05	Accepted

Table 9 Wilcoxon signed rank test statistics for SABLE vs. median

Solution Functions	Rank Positive (R+)	Rank Negative (R-)	Hypothesis ($\alpha=0.05$)	p-value
SABLE vs Median	10.0	0.0	Rejected	0.04461

Table 7 Friedman rank test statistics for solution function

N	4
χ^2	9.3
df	3
p - value	0.0255

Table 10 Friedman rank test statistics for similarity function

N	4
χ^2	2.775
df	3
p - value	0.4276

is evaluated alongside other solution functions like the closet analogy (CA), mean, median and the inverse distance-weighted mean (IWM). The technique is tested on four software estimation datasets, i.e., Cocomo, NASA, Maxwell

and China. It is found that the SABLE solution function provided the best results both in experimental evaluations as well as in statistical validations. The four similarity functions used are Euclidean, Jaccard, Manhattan and Minkowski. The k most proximate neighbors from 1 to 5 are considered in implementing the technique. The results of SABLE are also compared with other techniques. It is found that SABLE worked best maximum number of times for the evaluation criteria in comparison with models proposed by the earlier studies. The research has its own limitations too. The SABLE technique uses stacking, which comes with its own price. Stacking involves training multiple base-models to predict the target variable. So, the stacked models take longer time to train than simpler models and require more memory. The choice of the base models used can also affect the results of the proposed technique. The base models used in the study are polynomial regression and lasso regression. The future work can include replacing these models with other base models which will take less time to train and provide more

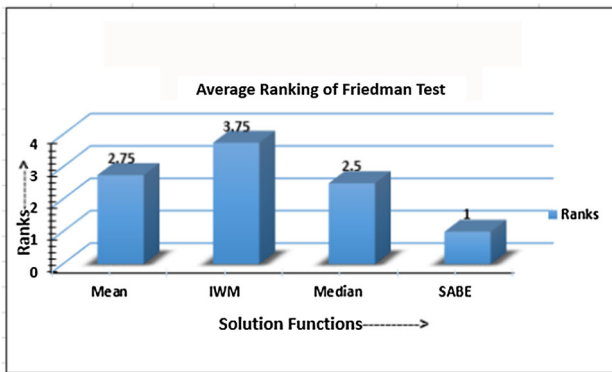


Fig. 7 Average ranking of Friedman test for solution functions

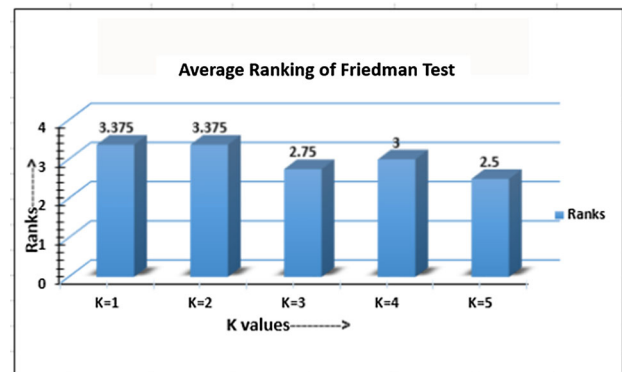
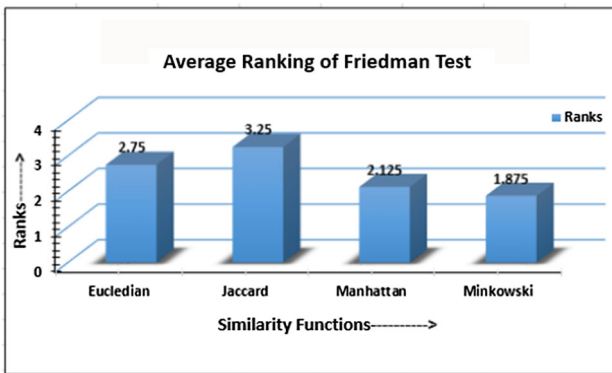
Fig. 9 Average ranking of Friedman test for k values

Fig. 8 Average ranking of Friedman test for similarity functions

Table 11 Friedman rank test statistics for k values

N	4
χ^2	0.95
df	4
p - value	0.9172

efficient results. These models can be chosen from the current state-of-the-art machine learning approaches.

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Declarations

Conflict of interest All the authors declare that there is no conflict of interest in publishing this paper.

Ethical approval This article does not contain any studies with human participants or animals performed by any of the authors.

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