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# MINI-FOCUS ISSUE: BLEEDING, THROMBOSIS, AND ATRIAL FIBRILLATION

### **ORIGINAL RESEARCH**

# Tumor Genomic Profile Is Associated With Arterial Thromboembolism Risk in Patients With Solid Cancer



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## ABSTRACT

**BACKGROUND** Patients with cancer have an increased risk for arterial thromboembolism (ATE). Scant data exist about the impact of cancer-specific genomic alterations on the risk for ATE.

**OBJECTIVES** The aim of this study was to determine whether individual solid tumor somatic genomic alterations influence the incidence of ATE.

**METHODS** A retrospective cohort study was conducted using tumor genetic alteration data from adults with solid cancers who underwent Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets testing between 2014 and 2016. The primary outcome, ATE, was defined as myocardial infarction, coronary revascularization, ischemic stroke, peripheral arterial occlusion, or limb revascularization and identified through systematic electronic medical record assessments. Patients were followed from date of tissue-matched blood control accession to first ATE event or death, for up to 1 year. Cause-specific Cox proportional hazards regression was used to determine HRs of ATE for individual genes adjusted for pertinent clinical covariates.

**RESULTS** Among 11,871 eligible patients, 74% had metastatic disease, and there were 160 ATE events. A significantly increased risk for ATE independent of tumor type was noted for the *KRAS* oncogene (HR: 1.98; 95% CI: 1.34-2.94; multiplicity-adjusted P = 0.015) and the *STK11* tumor suppressor gene (HR: 2.51; 95% CI: 1.44-4.38; multiplicity-adjusted P = 0.015).

**CONCLUSIONS** In a large genomic tumor-profiling registry of patients with solid cancers, alterations in *KRAS* and *STK11* were associated with an increased risk for ATE independent of cancer type. Further investigation is needed to elucidate the mechanism by which these mutations contribute to ATE in this high-risk population. (J Am Coll Cardiol CardioOnc 2023;5:246-255) © 2023 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

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The authors attest they are in compliance with human studies committees and animal welfare regulations of the authors' institutions and Food and Drug Administration guidelines, including patient consent where appropriate. For more information, visit the Author Center.

atients with cancer are at significant risk for thromboembolism. Arterial thromboembolism (ATE), which includes myocardial infarction and stroke, occurs with an incidence of 1.1% to 4.7% within 6 months of cancer diagnosis.<sup>1-5</sup> ATE in patients with cancer not only leads to hospitalizations and delays in cancer treatment but is also associated with a 3-fold increased risk for mortality.3-5 The risk for ATE starts to increase 5 months prior to cancer diagnosis and attenuates 1 year after diagnosis.4,6 Increased baseline ATE risk in this population is due partially to shared risk factors between cancer and cardiovascular disease, such as advanced age, obesity, and tobacco smoking. The mechanism for ATE in this patient population is likely multifactorial and related to cancer therapies, the hypercoagulable state of malignancy, and tumor-specific factors.7 Known cancer-related risk factors for ATE include advanced cancer stage, vasculotoxic chemotherapies (antimetabolite chemotherapies, alkylating agents, anti-vascular endothelial growth factor antibodies, tyrosine kinase inhibitors, proteasome inhibitors, immune checkpoint inhibitors, and radiation) and type of cancer (with the highest risk in lung, gastric, kidney, and pancreatic cancer).<sup>3,4,8,9</sup> There is evidence that cancer cells contribute directly to the creation of a prothrombotic state by expressing tissue factor, which results in thrombin production and platelet activation.<sup>10-14</sup> Cancer cell-derived extracellular vesicles and neutrophil extracellular traps (NETs) also appear to be implicated in the pathophysiology of cancer-associated ischemic stroke.15-17

We hypothesized that these ATE-predisposing cancers share tumor-specific genomic alterations that contribute to this increased risk for arterial thrombotic events. To evaluate this hypothesis, we used available data from the Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT) program. Since 2014, this custom hybridization capture-based nextgeneration sequencing assay has been used at Memorial Sloan Kettering Cancer Center (MSKCC) to comprehensively profile patient tumors at the molecular level, with the capacity to detect somatic alterations in more than 300 genes at a minimum depth of coverage of 91×.18 To date, more than 55,000 patient tumors have been profiled by MSK-IMPACT.<sup>19</sup> We sought to retrospectively analyze solid tumor MSK-IMPACT data to assess whether individual tumoral somatic genomic alterations influence ATE risk.

# METHODS

PATIENT COHORT. The cohort selection process has been described elsewhere.<sup>20</sup> Briefly, adult patients were included if they were enrolled in the MSK-IMPACT program between 2014 and 2016. Patients were excluded if they had histories of myocardial infarction, coronary revascularization, symptomatic stroke, peripheral arterial occlusion, or limb revascularization prior to cohort entry. Individuals with incomplete baseline data were excluded. Data were obtained following approval of this project by the MSKCC Institutional Review Board. Incident ATE, the primary study endpoint, was defined as any instance of myocardial infarction, coronary revascularization, peripheral arterial occlusion, limb revascularization, or ischemic stroke, including an

interval covert stroke identified through surveillance brain imaging. Coronary events were adjudicated in accordance with the fourth universal definition of myocardial infarction.<sup>21</sup> The MSKCC tumor registry contributed information about individual patient tumors; tumor type was simplified and limited to 1 of 15 categories. Basic demographic information was obtained from the clinical information systems. ATE events were detected using the Clinical Event Detection and Recording System (CEDARS), a natural language processing (NLP)-based electronic medical record document-processing pipeline.<sup>22</sup> Briefly, using the spaCy or UDPipe NLP library, electronic medical record clinical notes were processed to obtain sentence boundaries and negation annotation. Keyword filters (Supplemental Tables 1 to 3) were applied, and selected sentences were presented to reviewers using a custom interface. Sentences potentially indicating ATE events were reviewed by a first-line adjudicator. All detected events were reviewed by a second-line disease specialist (neurologist or cardiologist). A random sample (n = 300) from the cohort at large was audited for accuracy via manual chart reviews. In this subset, 41 individuals had at least 1 ATE event detected by manual review, compared with 39 patients using CEDARS. Both missed events had occurred before cohort entry. Metastatic status was determined by merging information from the MSKCC tumor registry and MSK-IMPACT sample type. Patients not determined to have metastatic disease in this manner were then assessed using CEDARS to maximize sensitivity.

## ABBREVIATIONS AND ACRONYMS

ATE = arterial thromboembolism

CEDARS = Clinical Event Detection and Recording System

G-CSF = granulocyte colonystimulating factor

MSKCC = Memorial Sloan Kettering Cancer Center

MSK-IMPACT = Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets

NET = neutrophil extracellular trap

NLP = natural language processing

**SEER** = Surveillance, Epidemiology, and End Results **MSK-IMPACT SEQUENCING.** The MSK-IMPACT assay was described elsewhere.<sup>18</sup> After obtaining informed consent, DNA was extracted from the solid tumor and a peripheral blood sample; those 2 samples are not necessarily collected the same day. The first version of the solid MSK-IMPACT panel included 341 genes, comprising both oncogenes and tumor suppressor genes. Subsequent versions comprised 410, 468, and more recently 505 genes. We chose to limit this study to the first panel, to maximize the number of patients included in the final analysis. The mean coverage for tumor samples overall was 753×, and the minimum depth of coverage was 91×. Sequencing data were processed to identify somatic alterations. Germline alterations were not used for this project. These genomic data are available on the cBioPortal for Cancer Genomics.<sup>23,24</sup> Even though MSK-IMPACT data include detailed information about mutations, copy number alterations, and fusions, we simplified the results to a binary state indicating whether any given oncogene or tumor suppressor gene was altered. We retained only definite or potential driver alterations. Fusions were curated on the basis of the potential oncogenic effect of each partner, and only the partner(s) having a potential driver effect was noted as altered.

STATISTICAL ANALYSIS. The primary endpoint of time to ATE was defined as the time from accession to ATE development in a 1-year period following accession. Accession was defined as the date of blood sample receipt for MSK-IMPACT testing, corresponding to the approximate time a patient consented to testing. Cause-specific Cox proportional hazards regression estimated associations between select somatic mutations and the risk for developing an ATE event. Death was treated as a competing risk, and for the cause-specific model, patients were censored at the time of death. The analysis included the 25 most commonly altered genes in addition to CDKN2B, which was included because of prior knowledge of an association with the risk for venous thromboembolism.<sup>20</sup> A separate model was built for each mutation; all models were adjusted for cytotoxic chemotherapy in the year prior to accession, age, and presence of metastatic disease as assessed at accession. Additionally, all models were stratified on the basis of tumor type, and because some tumor samples were previously banked, the years from the procedure to accession: [0], (0-0.25], (0.25-1], (1-5], and (5,+]. Left truncation was used for the subset of procedures that occurred after accession but before the end of the 1year period. Estimated HRs are reported along with their 95% CIs. The proportional hazards assumption was assessed for all models by visualizing, for each

factor, the scaled Schoenfeld residuals over time, along with a score test evaluating a time-varying interaction.<sup>25</sup> The Benjamini-Hochberg method was used to adjust *P* values for false discovery. For identified mutations, the associations were also evaluated using the subdistribution Fine and Gray approach as an alternative measure, and cumulative incidence function estimated the incidence of ATE; both analyses treated death as a competing risk. Summary statistics are presented as median (IQR) or as a total number (percentage) among individual with nonmissing values. The predetermined statistical significance cutoff for the purpose of this analysis was 0.10. R version 3.6.1 was used for all analyses. For original data, please contact the corresponding author.

## RESULTS

PATIENT CHARACTERISTICS AND INCIDENCE OF ATE. From 2014 to 2016, a total of 14,223 adult patients with solid tumor malignancies had MSK-IMPACT genomic sequencing and met initial inclusion criteria for this retrospective study. There were 1,044 patients excluded from cohort entry because of pre-existing ATE (prior ischemic stroke, myocardial infarction, coronary revascularization, peripheral arterial occlusion, or limb revascularization), and 1,308 were excluded because of missing dates or ATE events occurring between time of MSK-IMPACT tissue sampling and blood sample accession. The number of patients per group of time (years) elapsed between procedure and accession was as follows: [0], n = 2,676; (0,0.25], n = 4,444; (0.25,1], n = 2,008; (1,5], n = 2,192; and (5, +], n = 551. Among the 11,871 patients included in the final analysis, the median age was 61 years (IQR: 51-69 years), and 45% were men (Table 1). Most patients in this cohort (n = 8,733 [74%) of individuals]) had metastatic disease. The prevalence of individual cancer types included in the MSK-IMPACT cohort was generally reflective of the prevalence of cancer types within the larger U.S. population of patients with cancer; however, as described previously, breast cancer and prostate cancer, which are 2 of the most common cancer types, were under-represented, likely because fewer patients with these tumor types underwent extended genomic testing during the observed time period or possibly because those patients were referred less frequently to the institution.<sup>20</sup>

In the first year of observation, a total of 160 ATE events were recorded, including 106 strokes, 1 peripheral embolic event, and 53 myocardial infarction or coronary revascularization events, resulting in a 12-month cumulative incidence of 1.9% (95% CI: 1.6%-2.2%). The highest cumulative incidences were in the subgroups of patients with lung and pancreatic cancer (Figure 1).

**BASELINE CHARACTERISTICS ASSOCIATED WITH AN INCREASED RISK FOR ATE.** In univariable analysis stratifying for cancer type and time from accession, age was associated with an increased risk for ATE (HR: 1.02 per 1-year higher age; 95% CI: 1.01-1.03; P = 0.001) (**Table 2**). When assessed individually, traditional cardiovascular risk factors of diabetes, hypertension, hypercholesterolemia, and smoking history were not associated with an increased risk for ATE. However, when these factors were combined into a single risk variable (eg, presence of at least 1 cardiovascular risk factor), they were associated with increased risk for ATE (HR: 1.19; 95% CI: 1.03-1.38; P = 0.019).

**IMPACT OF ANTICOAGULATION AND ANTIPLATELET THERAPY ON RISK FOR ATE.** Patients on systemic anticoagulation appeared to have a decreased risk for ATE (HR: 0.57; 95% CI: 0.36-0.89; P = 0.013). Systemic anticoagulation was defined as taking any dose of any anticoagulant agent upon cohort entry, including low-molecular weight heparin, direct oral anticoagulant agents, and warfarin. There was no significant association between antiplatelet therapy and the risk for ATE (HR: 0.87; 95% CI: 0.55-1.39; P = 0.56). Antiplatelet therapy included aspirin and P2Y<sub>12</sub> inhibitors.

**IMPACT OF CANCER AND CANCER THERAPY ON RISK FOR ATE**. In univariable analysis, metastatic disease was associated with an increased risk for ATE (HR: 1.77; 95% CI: 1.11-2.82; P = 0.017). Vasculotoxic chemotherapy was also associated with increased risk for ATE (HR: 1.61; 95% CI: 1.14-2.28; P = 0.007). In our cohort, head, neck, brain, and thorax radiation was not significantly associated with an increased risk for ATE (HR: 1.48; 95% CI: 0.87-2.51; P = 0.15).

SPECIFIC SOMATIC ALTERATIONS IN TUMOR ARE

**ASSOCIATED WITH INCREASED ATE RISK.** MSK-IMPACT data were assessed to determine associations between individual genes and ATE risk across solid tumor malignancies. Tumor molecular profiles were analyzed across all cancer types and within subgroups. Mutational frequencies across tumor types (Supplemental Figure 1) revealed rates of somatic alterations similar to those observed in previously published reports.<sup>26</sup> *TP53* and *KRAS* alterations had the highest prevalence, present in 43% and 17% of all tumor samples, respectively. Alterations in *KRAS* (HR: 1.98; 95% CI: 1.34-2.94; multiplicity-adjusted P = 0.015) and *STK11* (HR: 2.51; 95% CI: 1.44-4.38; multiplicity-adjusted P = 0.015) were independently

TABLE 1 Characteristics of Patients			
	Overall (N = 11,871)	No ATE Event (n = 11,711)	ATE Event (n = 160)
Age, y	61 (51-69)	61 (51-69)	66 (55-72)
Male	5,380 (45)	5,299 (45)	81 (51)
Chemotherapy in prior year	4,453 (38)	4,377 (37)	76 (48)
Cancer type			
Other <sup>a</sup>	1,916 (16)	1,891 (16)	25 (16)
Lung	1,908 (16)	1,859 (16)	49 (31)
Breast	1,748 (15)	1,738 (15)	10 (6)
Colorectal	1,141 (10)	1,131 (10)	10 (6)
Gynecologic	792 (7)	781 (7)	11 (7)
Prostate adenocarcinoma	709 (6)	702 (6)	7 (4)
Pancreatic adenocarcinoma	508 (4)	497 (4)	11 (7)
High-grade glioma	476 (4)	469 (4)	7 (4)
Soft tissue sarcoma	463 (4)	461 (4)	2 (1)
Melanoma	450 (4)	445 (4)	5 (3)
Hepatobiliary	401 (3)	395 (3)	6 (4)
Bladder	393 (3)	389 (3)	4 (2)
Renal	339 (3)	336 (3)	3 (2)
Head and neck	318 (3)	313 (3)	5 (3)
Esophagogastric	309 (3)	304 (3)	5 (3)
Metastatic disease	8,733 (74)	8,603 (73)	130 (81)
Tumor mutation burden (mutations/Mb)	3.9 (2.2-6.9)	3.9 (2.2-6.9)	4.9 (3.0-8.9)
BMI, kg/m <sup>2b</sup>	26.3 (23-30.3)	26.3 (23-30.3)	26.6 (22.8-29.6)
Diabetes or related medication <sup>c</sup>	1,511 (13)	1,485 (13)	26 (17)
Hypertension or related medication <sup>c</sup>	4,729 (42)	4,654 (41)	75 (49)
Hypercholesterolemia or related medication $^{\!\scriptscriptstyle C}$	3,731 (33)	3,669 (33)	62 (40)
Atrial fibrillation <sup>c</sup>	490 (4)	482 (4)	8 (5)
Heart failure <sup>□</sup>	188 (2)	185 (2)	3 (2)
Anticoagulation <sup>d</sup>	999 (9)	976 (9)	23 (14)
Antiplatelet medication <sup>d</sup>	1,625 (14)	1,604 (14)	21 (13)
Statin <sup>d</sup>	2,302 (23)	2,261 (23)	41 (31)
Beta-blocker <sup>d</sup>	1,477 (15)	1,446 (15)	31 (23)
Smoking <sup>e</sup>	5,403 (48)	5,316 (48)	87 (58)
Prior radiation	892 (8)	874 (7)	18 (11)

Values are median (IQR) or n (%). <sup>a</sup>The "other" category comprises all solid tumors not included in any other specific category. <sup>b</sup>BMI data were missing for 66 individuals. <sup>c</sup>Information about comorbidities was missing for 502 individuals. <sup>d</sup>Home medication data were missing or incomplete for 1,886 individuals. <sup>e</sup>Smoking status was missing for 590 individuals.

ATE = arterial thromboembolism; BMI = body mass index.

associated with a significantly increased risk for ATE independent of tumor type (**Table 3**). For the alternative Fine and Gray approach, the subdistribution HRs were 1.93 (95% CI: 1.30-2.85) for *KRAS* and 2.19 (95% CI: 1.23-3.89) for *STK11*. The unadjusted cumulative incidences of ATE for individuals with vs without somatic mutations in these 2 genes are shown in **Figure 2**. The effect of a *KRAS* alteration was consistent between lung and nonlung cancer (lung HR: 1.96; 95% CI: 1.10-3.46; P = 0.021; nonlung HR: 1.95; 95% CI: 1.28-2.97; P = 0.002). Fitting separate gene-specific models adjusting for a different set of predictors (age, sex, smoking, race) yielded similar results: an HR of 1.97 (95% CI: 1.29-

#### FIGURE 1 1-Year Cumulative Incidence of Arterial Thromboembolism by Tumor Type

#### 1-yr Cumulative Incidence: Arterial Events



revascularization, ischemic stroke, peripheral arterial occlusion, and limb revascularization. The "other" category comprises all solid tumors not included in any other specific category. Death was considered a competing event when estimating the cumulative incidence. Each **dot** represents the 1-year point estimate, and the corresponding **lines** are the 95% CIs.

> 3.00) for KRAS compared with 2.65 (95% CI: 1.50-4.71) for STK11.

> A separate analysis limited to ischemic strokes revealed a similar effect of genomic alterations. The HR for stroke among individuals with vs without *KRAS* alterations was 2.22 (95% CI: 1.38-3.58), compared with 3.48 (95% CI: 1.87-6.46) among individuals with vs without *STK11* alterations. The numbers of patients reaching the other endpoints were not sufficient to perform a dedicated analysis.

## DISCUSSION

**SUMMARY OF FINDINGS.** This large single-center retrospective study included 11,871 individuals with active malignancy and no histories of ATE (**Central Illustration**). Over the course of a 12-month observation period, 160 ATE events were observed. Multivariable models for each of the most commonly altered oncogenes and tumor suppressor genes sequenced identified 2 gene loci, *KRAS* and *STK11*, for which cancer somatic alterations are associated with an increased risk for ATE event independent of tumor type. These findings are novel and hypothesis generating for future studies to elucidate the

Thromboembolic Events		
	HR (95% CI)	P Value
Age	1.02 (1.01-1.03)	0.001
BMI	0.98 (0.96-1.01)	0.15
Diabetes	1.33 (0.86-2.04)	0.20
Hypertension	1.26 (0.92-1.74)	0.16
Hypercholesterolemia	1.34 (0.97-1.87)	0.079
Smoking history	1.27 (0.9-1.78)	0.17
Atrial fibrillation	1.14 (0.56-2.33)	0.72
Heart failure	1.29 (0.41-4.11)	0.66
CVD risk factors combined <sup>a</sup>	1.19 (1.03-1.38)	0.019
Anticoagulation	0.57 (0.36-0.89)	0.013
Antiplatelet medication	0.87 (0.55-1.39)	0.56
Statin	1.34 (0.92-1.96)	0.13
Beta-blocker	1.72 (1.15-2.59)	0.009
Vasculotoxic chemotherapy	1.61 (1.14-2.28)	0.007
Prior radiation	1.48 (0.87-2.51)	0.15
Metastatic disease	1.77 (1.11-2.82)	0.017
Cox regression estimated the cause- the time to arterial thromboembolisr age and BMI. The HRs for age and f BMI. <sup>a</sup> A binary variable representin diabetes, hypertension, hypercholest	specific HR between the listee m. All listed variables were bin. 3MI represent one-unit increas g a person's having any of t reutemia, or history of smoking	d variable and ary except for es in age and he following g.

**TABLE 2** Univariable Analysis for Arterial

mechanism of ATE development and identify targets for prevention.

Although our approach of using a DNA tumor registry to identify tumor alterations associated with ATE is unique, there have been prior studies investigating ATE in the cancer population. Consistent with these, we found that there is an increased risk for ATE events in patients with older age, metastatic disease, and certain tumor types (lung and pancreatic cancer) and in patients receiving vasculotoxic chemotherapy.<sup>1-5,27</sup> In our cohort, head, neck, brain, and thorax radiation was not associated with an increased risk for ATE (HR: 1.48; 95% CI: 0.87-2.51; P = 0.15). This may be secondary to low statistical power or the fact that the mean and median time to prior radiation in this cohort were 2.3 and 1.17 years

TABLE 3 Multivariable Analysis for Genomic Determinants of   Arterial Thromboembolic Events						
Gene	Number Altered	HR (95% CI)	P Value	q Value		
KRAS	2,058	1.98 (1.34-2.94)	0.0006	0.015		
STK11	374	2 51 (1 44-4 38)	0.0012	0.015		

Using cause-specific Cox regression, each model includes the individually listed gene and adjusts for chemotherapy in the year prior to accession, age at accession, and metastatic status at accession and stratifies by tumor type and categories of the time from procedure to accession. Multiple comparisons were adjusted for using the Benjamini-Hochberg method (shown as *q* values). Only genes for which associations had *q* values < 0.05 are shown.



(Supplemental Figure 2), whereas radiationassociated atherosclerosis is characterized by a latency period of approximately 5 years.<sup>28</sup>

Previous work has identified traditional cardiovascular risk factors of hypertension, diabetes, and positive smoking history as associated with an increased risk for ATE, but we did not replicate those findings in the present study, as none of the individual cardiovascular disease risk factors reached statistical significance. Interestingly, patients on systemic anticoagulation were at a significantly decreased risk for ATE (HR: 0.57; 95% CI: 0.36-0.89; P = 0.013). This effect was not reported in a recent Danish cohort in which patients on systemic anticoagulation were at increased risk for ATE (HR: 1.23; 95% CI: 1.11-1.36).<sup>5</sup> It is unlikely that systemic anticoagulation itself led to an increased risk for ATE but rather this demonstrates a confounding effect of



Electronic medical record notes were assessed for 11,871 adult cancer patients from the Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT) cohort. Notes were processed and searched for keywords indicative of an arterial thromboembolic event, defined as a composite of myocardial infarction, coronary revascularization, ischemic stroke, peripheral arterial occlusion, and limb revascularization. All detected events were confirmed by a subject matter expert. The effect of somatic genomic alterations in select oncogenes and tumor suppressor genes on the risk for arterial thromboembolism was assessed, adjusting for pertinent covariates. Modified from Dunbar et al.<sup>20</sup> MI = myocardial infarction; NLP = natural language processing.

indication for systemic anticoagulation and overall patient illness severity.

The key finding of this study is that alterations of *KRAS* and *STK11* were associated with a significantly

increased risk for ATE. *KRAS* mutations are known to be prevalent in lung and colorectal cancer and have been identified as conferring an increased risk for venous thromboembolism in these patient

populations.<sup>20,29-32</sup> We found a 2-fold risk for ATE events in patients with KRAS alterations, an effect that was preserved when stratifying the analysis by tumor type. Another group identified an association between tumor somatic KRAS alterations and ATE, reported recently in abstract form.<sup>33</sup> In this retrospective cohort study of consecutive gastrointestinal and non-small cell lung cancer patients, the OR for ATE in patients with KRAS alterations was 2.46 (95% CI: 1.08-5.60). KRAS is a guanosine triphosphatase signaling protein that regulates proliferation, differentiation, and cell survival. Activating mutations of KRAS up-regulate tissue factor expression on the surface of cancer cells, which in turn activate the coagulation cascade via the extrinsic pathway.<sup>34,35</sup> Also, it has been demonstrated previously that tissue factor is found at high concentrations in atherosclerotic plaque, there are higher circulating levels in patients with cardiovascular disease, and there is increased tissue factor activity in patients with unstable angina.<sup>36-38</sup> This hypothesis requires further investigation with dedicated functional studies to better understand the role of this oncogene in the development of thromboembolism.

The second identified gene found to modulate the risk for ATE was STK11, a tumor suppressor gene. It is altered in 3% of all cancers, most frequently in non-small cell lung cancer.<sup>39</sup> In a recent study by Dunbar et al<sup>20</sup> using the same cohort, STK11 alterations were found to be associated with an increased risk for venous thromboembolism.<sup>20</sup> Using data from The Cancer Genome Atlas, STK11 alterations were found to be associated with an increased expression of tissue factor and granulocyte colony-stimulating factor (G-CSF).<sup>20</sup> Notably, in the MSK-IMPACT cohort, STK11 alterations were shown to be associated with an increased absolute neutrophil count. On the basis of these findings, it was proposed that G-CSF-mediated NET formation was a potential mechanism responsible for STK11 somatic alterations, leading to an increased risk for venous thromboembolism. In the present study, STK11 alterations were found to be associated with an increased risk for ATE events. To ensure that these findings were not due solely to confounding secondary to an association between cancer type and cardiovascular disease, we evaluated the association of STK11 with the 1-year cumulative incidence of ATE separately in patients with lung cancer and individuals with solid neoplasms not otherwise classified in any of the 14 specific categories (ie, "other" group) and found that the increased risk for ATE was preserved in this group of patients without lung cancer (Figure 2).

Given that cancer somatic alterations of *STK11* are associated with an increased risk for both venous thromboembolic and ATE events, it is possible that there could be a shared pathway to coagulation activation involving G-CSF-mediated NET formation.

To our knowledge, this is the first systematic report identifying the association between tumor mutations and ATE in patients with solid tumors using a large U.S. Food and Drug Administrationcleared sequencing panel. These findings merit further validation in other cohorts along with functional studies prior to having an impact on potential patient risk stratification and studies of pharmacologic prophylaxis.

STUDY LIMITATIONS. Our study has potential limitations related to its inherent retrospective design. We used a dedicated NLP pipeline and manual chart review, but it is possible that ATE events were missed. In this regard, the cumulative incidence of ATE events (1.9%) is relatively lower than what has been previously reported (eg, 6-month cumulative incidence of ATE events of 4.7% in a Surveillance, Epidemiology, and End Results [SEER]-Medicare study by Navi et al,<sup>4</sup> 12-month cumulative incidence of ATE events of 1.7% in a single-center prospective study in Vienna by Grilz et al,<sup>3</sup> 6-month cumulative incidence of ATE events of 1.5% in a Danish registry study by Mulder et al<sup>5</sup>). There are likely several explanations for this discrepancy. First, the observation period in this study corresponds to the time the patient was first enrolled in MSK-IMPACT and followed for the subsequent 12 months, which does not necessarily correspond to the time the patient was first diagnosed with cancer (ie, often a patient will have been diagnosed and have started treatment at a different institution prior to seeking care at MSKCC). This is relevant as patients are at highest risk for cancer-associated ATE events 5 months prior to cancer diagnosis, with risk attenuating 1 year after diagnosis, so many individuals will be outside of this high-risk period by the time they enter the MSK-IMPACT cohort.4,6 Also, the MSK-IMPACT patient cohort is on average younger by a decade than most populations studied in previously mentioned studies. Additionally, the claims-based SEER-Medicare database carries the potential for overcoding, leading to overestimation of events. Notably, SEER-Medicare included select cancers, which although representing about two-thirds of all cancer in the United States, could have influenced the results. Last, the cumulative incidence of ATE events in this study may have been lower because of exclusion of patients with ATE

events prior to enrollment or to the use of less strict endpoint definitions by prior studies.

In this study, patients who developed ATE events between tumor sampling and blood control collection were excluded. Had the data structure been set up differently, starting observation at the time of tissue sampling would have been reasonable, and including these early ATE events would have potentially increased power. However, for many patients in this cohort, the consent for MSK-IMPACT testing and matched normal blood collection occurred after tissue collection. Therefore, under these conditions, if patients had ATE events and died after tissue collection but before they could consent and provide matched blood samples, they would not have been included in the dataset. This situation leads to left truncation and had to be accounted for in the analysis, as patients are not "observable" until they consent and provide the matched control blood sample.<sup>40</sup>

## CONCLUSIONS

Patients with solid tumor malignancies are at increased risk for ATE, and the mechanisms responsible for this effect remain unclear. Using a large DNA tumor registry, we found that cancer somatic genetic alterations in the *KRAS* oncogene and the *STK11* tumor suppressor gene modulate the risk for cancerassociated ATE. Further epidemiologic and functional studies will be necessary to confirm and further elucidate the role of these alterations in acute thromboembolism formation for this high-risk patient population.

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# PERSPECTIVES

**COMPETENCY IN MEDICAL KNOWLEDGE:** ATE is an important cause of mortality in patients with solid cancer. Tumor somatic alterations in *KRAS* and *STK11* were associated with an increased risk for arterial events. This effect was independent of cancer type and other cardiovascular disease risk factors.

**TRANSLATIONAL OUTLOOK:** A better understanding of the genomic determinants of cancerassociated ATE could contribute to improved risk stratification and primary prophylaxis.

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**KEY WORDS** arterial thromboembolism, cancer, ischemic stroke, myocardial infarction, tumor genomic alteration

**APPENDIX** For supplemental tables and figures, please see the online version of this paper.