

Prognostic factors for overall survival after surgical resection in patients with thymic epithelial tumors

A systematic review and meta-analysis

Jiaduo Li, MSª, Yaling Liu, PhDª, Xiaohe Zhang, MSª, Xuguang Zheng, MSª, Guoyan Qi, MSª,* 💿

Abstract

Background: Thymic epithelial tumors (TETs) originate in the thymic epithelial cell, including thymoma and thymic carcinoma. Surgical resection is the first choice for most patients. However, some studies have shown that the factors affecting the prognosis of these patients are not consistent. To evaluate prognostic factors in patients with surgically resected thymic epithelial tumors, we performed a meta-analysis.

Methods: We searched the Chinese biomedical literature database, Pubmed, Embase, Cochrane Library and other electronic databases. Studies including postoperative overall survival (OS) and predictors of TETs were included. We made a comprehensive analysis the hazard ratios (HRs) through a single proportional combination. HRs were combined using single proportion combinations.

Results: The meta-analysis included 11,695 patients from 26 studies. The pooled OS was 84% at 5 years and 73% at 10 years after TETs operation. The age as continuous-year (HR 1.04, 95% confidence interval (CI) 1.02–1.04), incomplete resection (HR 4.41, 95% CI 3.32–5.85), WHO histologic classification (B2/B3 vs A/AB/B1 HR 2.76, 95% CI 1.25–6.21), Masaoka Stage (stage III/IV vs I/II HR 2.74, 95% CI 2.12–3.55,) were the poor prognostic factors.

Conclusions: For patients with TETs after surgical resection, advanced age, incomplete resection, WHO classification B2/B3, and higher Masaoka stage are risk factors for poor prognosis.

Abbreviations: HRs = the hazard ratios, NOS = the Newcastle-Ottawa Scale, OS = overall survival, TETs = thymic epithelial tumors.

Keywords: overall survival, prognostic factors, surgery, thymic epithelial tumors

1. Introduction

Thymic epithelial tumors (TETs) is a relatively rare solid tumor of the chest originating from the thymus epithelial cells. TETs include thymoma and thymic carcinoma.^[1] The total incidence of TETs in different countries varies from 0.13 to 0.17 per 100-thousand person-years.^[2-4] TETs most commonly originate in the anterior mediastinum in adults.^[5] The 5-year survival rate of thymoma patients is about 78%.^[6] Complete surgical resection is the primary method for the treatment of TETs. The surgery goal is complete removal of the lesion, total thymectomy, and ensuring complete excision of other tumors from adjacent and non-adjacent tissues.^[6]

Owing to small sample sizes, single-center designs, and heterogeneous population, most studies that aimed to determine

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the prognostic factors for TETs have reported different results. In order to evaluate the 5-and 10-year overall survival of patients with thymoma, we performed this meta-analysis. At the same time, we summarized the potential prognostic factors of TETs after surgical resection to identify important prognostic factors.

2. Materials

We followed the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) guidelines when we performed a meta-analysis.^[7] The study protocol has been registered at PROSPERO, number CRD42021235876. The ethical approval was not necessary and waived.

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The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

All analyses were based on previous published studies, thus no ethical approval and patient consent are required.

^a People's Hospital of Shijiazhuang affiliated to Hebei Medical University - Center of Treatment of Myasthenia Gravis, Shijiazhuang, Hebei, China.

^{*}Correspondence: Guoyan Qi, People's Hospital of Shijiazhuang affiliated to Hebei Medical University, Center of Treatment of Myasthenia Gravis, Shijiazhuang, Hebei, 050011, China (e-mail: guoyanqi2019@163.com).

2.1. Search method

The past data has already been searched in PubMed, Embase, Cochrane Library, and the Chinese biomedical literature database from their establishment until December 10, 2020 to identify potential studies. The search process involved using the following terms or keywords with different combinations of "thymic epithelial tumor," "surgery," and "prognosis."

The detailed search strategy of PubMed is listed here: ((prognos*[Title/Abstract]) AND (((((((Thymic epithelial tumor*[Title/Abstract]) OR (Thymom*[Title/Abstract])) OR (Thymic tumo*[Title/Abstract])) OR (Thymic carcinoma*[Title/Abstract])) OR (Thymus Neoplasms[MeSH Terms])) OR (Thymic epithelial tumor [Supplementary Concept])) OR (Thymoma[MeSH Terms]))) AND (((((((surgical operations, operative[MeSH Terms]) OR (Thymectomy[MeSH Terms])) OR (Thymectom*[Title/Abstract])) OR (surgical[Title/Abstract])) OR (surger*[Title/Abstract])) OR (operate[Title/Abstract])) OR (operation[Title/Abstract])). We also reviewed the retrieved articles to determine the relevant reports. Two authors independently assessed the eligibility of the retrieved items, and they settled disagreements by discussion or, if necessary, by consulting a third author. We decided that the remaining articles, including full text and references, were relevant and we reviewed them.

2.2. Study eligibility assessment

Relevant literature was critically reviewed. Eligible studies were included in the review. The authors settled their differences through mutual discussion and consensus. The inclusion criteria were as follows:

- 1 Studies evaluating prognostic factors after surgical resection.
- 2 Postoperative histopathological type was thymoma or thymic carcinoma.
- 3 5- and 10-year OS and prognostic factors after surgical resection were reported.

The time from surgery to last follow-up or all-cause death is what we consider as the OS.

The exclusion criteria were as follows:

- 1 Reviews, letters, laboratory studies, and animal experiments.
- 2 Articles published in languages other than English or Chinese.

2.3. Quality assessment

Two authors (JL and YL) independently evaluated the quality of individual cohort studies using the Newcastle-Ottawa Scale (NOS). Each study was evaluated on a scale of 1 to 9, based on three subscales: the quality of selection, comparability, and patients' outcome.^[8] If the quality score of a study was ≥8, it was defined as a high-quality study. The authors resolved any inconsistencies by jointly reevaluating the original article.

2.4. Data extraction

Two authors (JL and YL) independently extracted data from selected studies and ensured that they conformed to predefined standardized formats. Each step of the disagreements were resolved by consulting a third author or by mutual discussion until consensus. The relevant information was carefully extracted from all eligible articles.

The first step was to record basic information such as the first author, study period, study type, and number of patients. Thereafter, we took out the survival data, including the patient population, median duration of follow-up, average age at surgical resection, median survival time after surgical resection, 5- and 10-year overall survival (OS), and prognostic factors. We took the hazard ratios (HRs) and the 95% CI directly from some of the articles.

Data of univariate Cox hazard regression analysis were selected first. Data of multivariate Cox risk regression analysis were collected if the univariate data were not available. Tierney suggested a methodology for calculating HRs and 95% CIs based on the Kaplan–Meier curve, where HRs and 95% CIs were not reported.^[9]

2.5. Analysis of data and statistics

From all cohorts, we retrieved prognostic indicators connected to the outcomes. If the stated P value was < .05 or if the 95 percent CI for an HR did not overlap by 1, the prognostic factor was considered significant. The statistical techniques used in the article or the choice of covariables used in a single multivariable model were dissimilar. Therefore, data interpretation from several multivariable models can be deceiving. This study only describes the prognostic factors evaluated by univariate analysis in at least two cohorts.

The 5- and 10-year OS rates of individuals were normally distributed after logit transformation. We used the DerSimonian and Laird method to calculate the pooled 5- and 10-year OS rates with 95% Cis.^[10] Actuarial methods were used to estimate the 5- and 10-year OS rates and the 95% CIs not reported in individual literature based on the Kaplan-Meier curve data. Using HR as a statistic, the prognostic factors for OS were analyzed by meta-analysis. According to the heterogeneity between studies, a fixed- or random-effect model was adopted. Statistical heterogeneity was assessed using the I² statistic.

Use of random-effect models was preferred when the heterogeneity statistic was more than 50%. Otherwise, fixed-effect models were preferred. Meta-regression methods were used to investigate the sources of heterogeneity.

The degree of adjustment for confounding factors, including NOS score, published year, sample size, and median/mean age, was assessed. Egger's test and funnel symmetry evaluated the potential publication bias, if the *P* value is greater than 0.05, we can infer there is no publication bias.^[11] In the R software (R Foundation, Vienna, Austria) and in Stata 13.0 (StataCorp, College Station, TX) we used the meta-package for statistical analysis.^[12,13] A *P* value of .05 was used to determine statistical significance.

3. Results

After a preliminary search, we identified 1380 potentially related studies, including 805 in PubMed, 265 in Embase, 301 in the Chinese biomedical literature database, 7 in the Cochrane Library, and 2 via reference list review. Ten studies were excluded because of repetition, and additional 1214 studies were excluded after careful screening of titles and abstracts. The remaining 156 studies underwent full text review. Finally, 26 retrospective studies^[14-39] met all the inclusion criteria in the meta-analysis, with an average of 4498 patients in each study of the total 11695 patients (Fig. 1, Table 1).

3.1. Study characteristics

Table 1 shows the detailed description of each characteristic of the patients identified from eligible clinical studies. All 26 studies had a retrospective design; among them, 20 were single-centric and 6 were multi-centric. Twenty-three studies have been published since 2001, and three studies were published before 2001.

Eighteen studies^[14,17–24,26,28–31,33,35,38,39] listed their median follow-up period (40.5–180 mo).



Figure 1. PRISMA flow diagram of the literature search process. PRISMA = preferred reporting items for systematic reviews.

The range of age in patients undergoing surgical resection in the studies was from 35.1 to 64 years. The cohort size of 4 studies^[14,15,19,26] comprised <100 patients.

3.2. Evaluation of the included studies' quality

Table 2 lists the quality evaluation of each study. The NOS was used to evaluate the included cohort study; it included eight items divided into three aspects (selection, comparability, results). In most studies, scores of 6 or 7 were common.

3.3. OS and verall prognostic factors

The median survival time of all patients was 55 to 183 months (Table 1). When pooled together, the 5-year OS rates were 84% (95% CI, 80–88%) and the 10-year OS rates were 73% (95% CI, 67–79%), respectively (Fig. 2).

Nine prognostic factors were identified in at least two different studies (Table 3). (1) Effect of sex, age, and presence of myasthenia gravis on OS Sixteen studies^[14,17-20,22-25,28,32,33,35-38] assessed the impact of sex on OS, and only 3^[25,33,38] concluded that it significantly affected OS.

Similarly, $9^{[15,16,18,23,25,33-35,37]}$ of 14 studies^[14-16,18,19,23-25,32-37] concluded that age had a significant impact on OS. A meta-analysis of 5 studies^[16,18,23,25,35] assessing age as a continuous variable showed that age was correlated with negative outcomes (HR, 1.04; 95% CI, 1.02–1.04; P < .001) (Fig. 3A).

Five^[25,32-34,37] of the 17 studies^[14,16,18-20,22-25,28,32-38] showed that the presence of myasthenia gravis was a prognostic factor for OS. Upon meta-analysis, we concluded that myasthenia gravis has no effect on OS (HR, 0.87; 95% CI, 0.41–1.85; P = .7) (Fig. 3B).

(2) Effect of adjuvant treatment, surgical approach, and resection status on OS Three^[23,25,35]</sup> of the 12studies^{<math>[14,17-19,23,25,30,31,35-38]}</sup> reported that adjuvant therapy</sup></sup>

| Table 1 Characteristics of | included stuc | lies. | | | | | | | | | | | | | | | |
|-----------------------------------------------------------------------------------------|-------------------------------------------|--------------------------------------------------|--------------------------|----------------------|------------------------|------------------------|-----------------------|--------------------------|-------------------------------|----------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------|-----------------------------|------------------------|-----------------------------|
| Study | Area | Study duration | Study design | SON | z | ۳ ۲ | iollow-up (mo) | Age ((yr) (| Median Survival months) | 5-yr survival (%) | 10-yr survival (%) | Excision type | Preoperative treatment | Postoperative treatment | Lymph node dissection | Mortality rates (%) | Death from TETs |
| Rieker et al, 2002 | Germany | 1967–1998 | ROS | 2 | 218 | 218 | NR | 50 | NN | 78.00 | 73 | 00 | CT(3) RT(2) | CT(30) RT(68) CT + RT(16) | NN | 32.1 | 37/70 |
| Kim et al, 2005 | Korea | 1992–2002 | ROS | 7 | 108 | 108 | 40.5 | 46.5 | NR | 80.20 | 71.1 | 1 34 | NR | CT + M(10) CT(1) RT(30) CT - DT(46) | NR | 18.5 | 14/20 |
| Chen et al, 2009 Margaritora et al, 2010 Sakamoto et al, 2012 | China Italy Japan | 1997–2007 1972–2007 1976–2009 | ROS ROS ROS | \sim \sim \sim | 137 317 162 | 137 317 162 | NR 144.7 NR | 35.1 49 53 | N N N N N N | 71.40 89.90 94.70 | 50.1 84.1 85.7 | $\begin{array}{c} \Theta & \Theta \\ \Theta & \Theta \\$ | NR NR CT(1) RT(3) | UI + KI(16) NR ALL(14) CT(3) RT(16) | AN AN AN | 32.8 20.5 15.4 | NR 15/65 7/25 |
| Ruffini et al, 2014 | European | 1990–2010 | ROS | 9 | 2030 2 | 2030 | 48 | 56 | NR | 85.00 | 73 | 000 | CT(170) RT(12) | CT + RT(1) CT(44) RT(566) | NR | 15.9 | NR |
| Guerrera et al, 2015 Moon et al, 2015 | ttaly Korea | 1990–2011 1994–2010 | ROS ROS | ~ ~ | 750 437 | 750 437 | 90 NR | 55 51 | NR 57 | 91.00 89.20 | 77 84.7 | 100 1004 | CT + RT(67) ALL(105) NR | CT + RT(243) ALL(438) CT(34) RT(191) | NR NR | 18.8 15.3 | NR 56/67 |
| Lee et al, 2016 | Korea | 1994–2004 | ROS | 7 | 479 | 479 | 53 | 52 | 55 | 90.10 | 79.1 | 000 | CT(44) RT(2) | CT + RT(70) CT(204) RT(12) | 187 | 13.9 | NR |
| Nakajima et al, 2016 Wang et al, 2016 | Japan China | 1 991–201 0 1 992–201 2 | ROS ROS | 8 | 2334 1850 | 2334 1850 | 67.3 NR | 56.7 51.3 | NR NN | 92.00 89.10 | 85 81.4 | NR (12)@(4)6 | AR NR | CT + RI(3) NR CT(353) | NR NR | 6.2 NR | 43/145 NR |
| Zhao et al, 2016 | China | 2001-2011 | ROS | 7 | 544 | 544 | 58 | 51.7 | 140.7 | 92.80 | 90.5 | NR | NR | KI (803) CT (14) RT (240) | NR | NR | NR |
| Tian et al, 2019 Alothaimeen et al, 2020 Filosso et al, 2014 Gripp et al, 1998 | Japan Saudi Arabia Italy Germany | 1976–2015 1976–2014 2000–2011 1954–1991 | ROS ROS ROS ROS | ~ ~ 9 ~ | 194 56 537 70 | 194 56 537 70 | 115 65 70 85 | 53.8 39 54 46.5 | NR NR 183 | 92.70 88.60 88.00 71.00 | 87.5 74.3 75 58 | NN 000 0000 | ALL(9) NR ALL(53) NR | CT + RT(47) ALL(79) NR ALL(275) RT(22) CT - DT(22) | AN NN NN NN | NR 14.2 50 | NR 8/8 14/92 25/35 |
| Chen et al, 2002 | China | 1969–1996 | ROS | 7 | 195 | 195 | 180 | 47 | NR | 79.00 | 69.4 | NR | NR | CT (8) CT (8) DT (65) | NR | 3.1 | NR |
| Okuma et al, 2014 | Japan | 1976–2012 | ROS | 7 | 187 | 187 | 43.9 | NR | NR | 65.90 | 45.3 | NR | NR | CT(44) | NR | NR | NR |
| Wilkins et al, 1999 | America | 1957–1997 | ROS | ω | 136 | 136 | NR | 57 | 144 | 71.00 | 56 | 0 2 3 | CT(1) BT(3) | CT(9) DT(4) | NR | 44.1 | 19/60 |
| Regnard et al, 1996 Chalabreysse et al, 2002 | France France | 1955–1993 1972–2001 | ROS ROS | ~ ~ | 307 90 | 307 90 | 66 NR | 49 52 | NR NR | 82.10 74.20 | 67 NR | 0 (D) 0 (D) 0 (D) | NR | RT(139) CT(3) | NR NR | 29.9 NR | 32/92 NR |
| Nakagawa et al, 2003 | Japan | 1962–2000 | ROS | 2 | 130 | 130 | NR | 54 | N | 92.00 | 91 | 0 (0) | CT(4) | RT(11) CT + RT(26) CT(1) RT(6) | NR | 25.3 | 11/33 |

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(Continued)

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| Table1 | | | | | | | | | | | | | | | | | |
|-------------------------|---------------------|-----------------------|-----------------|---------|-----|-----|-------------------|-------------|----------------------|-----------------|-----------------|---------------|---------------------------|--------------------------------|--------------------|------------------------|--------------|
| (Continued) | | | | | | | | | | | | | | | | | |
| | | | . ; | | | | | . | Median | 5-yr | 10-yr | | : | : | Lymph | : | Death |
| Study | Area | Study duration | Study design | SON | z | | -ollow-up (mo) | Age (yr) | Survival (months) | survival (%) | survival (%) | Excision type | Preoperative treatment | Postoperative treatment | node dissection | Mortality rates (%) | trom TETs |
| Rea et al, 2004 | Italy | 1970–2001 | ROS | 2 | 132 | 132 | 92 | 50 | R | 72.00 | 61 | 000 | CT(32) | CT(24) RT(62) | NR | 38.6 | RN |
| liao et al, 2008 | China | 1980–2005 | ROS | 7 | 108 | 108 | 62 | 50 | NR | 72.00 | 63 | 345 | NR | NR | NR | 24.1 | 20/26 |
| shen et al, 2013 | China | 2001–2006 | ROS | 7 | 115 | 115 | 72 | 64 | NR | 50.00 | 30 | 000 | NR | CT(4) RT(44) CT + RT(17) | NR | 25.2 | NR |
| Aoser et al, 2014 | Austria | 2001–2010 | ROS | 9 | 72 | 72 | 42.7 | 58.2 | NR | 87.00 | 64 | 000 | NR | CT(20) RT(33) | NR | NR | NR |
| D.Comolete thymectomy (| (thymectomy with re | section of the surrou | unding fath | tissue) | | | | | | | | | | | | | |

© Complete extended thymectomy (thymectomy plus resection of neighboring structures, e.g., phrenic nerve, lymph nodes, parts of the pleura/ lung/pericardium/chest wall as well as arteries, veins and distant metastases)

veins and distant metastases). as arteries, as well lymph nodes, parts of the pleura/ lung/pericardium/chest wall TETs = thvmic epithelial tumors. ③Incomplete resection (R1/R2 resection, explorative thoracotomy with biops), turnor debulking surgery, biopsy alone). ④Thymomectomy (the resection of thymome leaving residual thymic tissue). ⑥Complete extended thymomectomy (thymomectomy plus resection of neighboring structures, e.g., phrenic nerve, lym ALL = chemotherapy, radiotherapy and chemoradiotherapy, CT = chemotherapy, RT = radiotherapy, TETs = thymic epit was a prognostic factor for OS. Tian et al's results suggested that preoperative induction therapy was an independent prognostic factor for OS. The results of Lee et al suggested that preoperative chemotherapy was a predictor of recurrence after R0 resection. Moon et al believe that the history of adjuvant chemotherapy and simultaneous concurrent chemoradiation therapy were the factors for the poor prognosis of OS. All three studies showed that surgical approach was not a prognostic factor for OS. Only two studies[23,35] reported lymph node dissection.

A total of 21^[14-16,18-26,28,30-38] studies assessed the impact of resection status on OS, and 16^[16,18,20-26,28,30-33,36,37] of these studies concluded that resection status significantly affected OS. We performed a meta-analysis on these 16 trials and discovered that inadequate resection may indicate a poor prognosis (HR, 4.41; 95% CI, 3.32–5.85; *P* < .001) (Fig. 3C).

(3) Prognostic variables for the tumor's prognosis Three^[23,32,33] of 7 studies^[23,28,32,33,35,36,38] suggested that tumor size might significantly influence OS. Seventeen^[14,16-20,22-25,28,32-36,38] studies assessed the impact of World Health Organization (WHO) histologic classification on OS. A meta-analysis of four of these research^[21,25,33,39] found that B2/B3 thymoma was associated with a worse prognosis than A/AB/B1 thymoma (HR, 2.76; 95% CI, $1.25-\hat{6}.21$; P = .01) (Fig. 3D).

A meta-analysis of four of these studies with survival data showed that C thymoma was related to poorer OS than others (HR, 4.97; 95% CI, 3.88–6.38; P = .25) (Fig. 3J). A total of $21^{[14,16-18,20-25,28-34,36-39]}$ studies evaluated the

Masaoka Stage, and 11^[17,22-25,29,30,32,33,36,38] of these studies reported that stage III disease might confer poorer OS than stage I tumors (HR, 3.38; 95% CI, 2.69-4.26; P < .001) (Fig. 3E).

Nine^[22-25,30,32,33,36,38] of these studies revealed that stage IV disease might confer poorer OS than stage I disease (HR, 8.02; 95%) CI, 6.12–10.50; P < .001) (Fig. 3F), $4^{[16,18,28,39]}$ of these revealed that stage III/IV disease might confer poorer OS than stage I/ II disease (HR, 2.74; 95% CI, 2.12–3.55; P < .001) (Fig. 3G), and 5^[21,24,25,29,31] of these studies revealed that stage III disease might confer poorer OS than stage II disease (HR, 2.37; 95% CI, 1.60–3.50; *P* < .001) (Fig. 3H).

We found that heterogeneity was present in the analysis of prognostic factors, including age, presence of myasthenia gravis, resection status, and WHO histologic classification. The effect sizes of the original studies were assessed after adjusting for study year, sample size, NOS score, and mean age. In any meta-analysis of these predictive factors, confounders were unable to explain the heterogeneities.

3.4. Examination of publication bias

Neither Begg's nor Egger's test found evidence of publication bias in either 5- or 10-year survival.

4. Discussion

Our study analyzed the results of similar studies to find survival rates of patients who had TETs. Few similar studies have been conducted. This study provides reliable information by evaluating more than 11000 patients with TETs who underwent surgery. Surgical resection has become a routine treatment for TETs. The pooled 5- and 10-year OS rates after TET resection were 84 percent and 73%, respectively, in this meta-analysis. Overall, this prognosis was good because most patients were eligible for surgery. These surgical candidates are a highly selective group with a high level of performance and a low risk of disease. Previous studies investigating the prognostic factors for survival in postoperative patients with TETs reported inconsistent results. Identifying the prognostic factors that can

| | | Selec | tion | | Comparability | | Outcome | | |
|--------------------------|---|-------|------|---|---------------|----------|---------|---|-------|
| Study | 9 | q | C | q | Ċ | - | 6 | ч | score |
| Rieker et al, 2002 | * | * | * | | * | * | * | * | 2 |
| Kim et al, 2005 | * | * | * | | * | * | * | * | 7 |
| Chen et al, 2009 | * | * | * | | * | * | * | * | 7 |
| Margaritora et al, 2010 | * | * | * | | * | * | * | * | 7 |
| Sakamoto et al, 2012 | * | * | * | | * | * | * | * | 7 |
| Ruffini et al, 2014 | * | * | * | | * | * | * | | 9 |
| Guerrera et al, 2015 | * | * | * | | * | * | * | * | 7 |
| Moon et al, 2015 | * | * | * | | * | * | * | * | 7 |
| Lee et al. 2016 | * | * | * | | * | * | * | * | 7 |
| Nakajima et al, 2016 | * | * | * | | * | * | * | * | 7 |
| Wang et al, 2016 | * | * | * | | * | * | * | * | 8 |
| Zhao et al, 2016 | * | * | * | | * | * | * | * | 7 |
| Tian et al, 2019 | * | * | * | | * | * | * | * | 7 |
| Alothaimeen et al, 2020 | * | * | * | | * | * | * | * | 7 |
| Filosso et al, 2014 | * | * | * | | * | * | | * | 9 |
| Gripp et al, 1998 | * | * | * | | * | * | * | * | 7 |
| Chen et al, 2002 | * | * | * | | * | * | * | * | 7 |
| Okuma et al, 2014 | * | * | * | | * | * | * | * | 7 |
| Wilkins et al, 1999 | * | * | * | | * | * | * | * | 8 |
| Regnard et al, 1996 | * | * | * | | * | * | * | * | 7 |
| Chalabreysse et al, 2002 | * | * | * | | * | * | | * | 7 |
| Nakagawa et al, 2003 | * | * | * | | * | * | * | * | 7 |
| Rea et al, 2004 | * | * | * | | * | * | * | * | 7 |
| Jiao et al, 2008 | * | * | * | | * | * | * | * | 7 |
| Shen et al, 2013 | * | * | * | | * | * | * | * | 7 |
| Moser et al, 2014 | * | * | * | | * | * | | * | 9 |

6

Table 2

c, Exposure determination: data gathered from a secure record or structured interview (one star), no description (no star), d, Yes (one star), no (no star): evidence indicating the desired outcome was not present at the start of the study.

e, Cohort comparability based on study design or analysis (all factors were included, two stars; some of them were included, one star).

f, Independent blind evaluation or record linkage (one star); self-report or no description (no star).
g, Follow-up for a long enough time to see results; yes (one star); no (no star).
h, Adequacy of cohort follow-up: complete follow up (one star); follow-up rate < 80% and no description of those lost (no star); no statement (no star).</p>



5-year survival after surgical resection

| Study | Events | Total | | Proportion | 95%-CI |
|--------------------------------------|-----------------------|------------------|-----------------------------|------------|--------------|
| Bieker et al., 2002 | 159 | 218 | <u>i</u> | 0.73 | [0.67:0.79] |
| Kim et al., 2005 | 77 | 108 | | 0.71 | [0.62: 0.80] |
| Chen et al., 2009 | 69 | 137 | | 0.50 | [0.42: 0.59] |
| Margaritora et al., 2010 | 267 | 317 | | 0.84 | [0.80; 0.88] |
| Sakamoto et al., 2012 | 139 | 162 | | 0.86 | [0.79: 0.91] |
| Ruffini et al., 2014 | 1482 | 2030 | | 0.73 | [0.71; 0.75] |
| Guerrera et al., 2015 | 578 | 750 | - | 0.77 | [0.74; 0.80] |
| Moon et al., 2015 | 370 | 437 | - | 0.85 | [0.81; 0.88] |
| Lee et al., 2016 | 379 | 479 | | 0.79 | [0.75; 0.83] |
| Nakajima et al., 2016 | 1984 | 2334 | + | 0.85 | [0.83; 0.86] |
| Wang et al., 2016 | 1506 | 1850 | → | 0.81 | [0.80; 0.83] |
| Zhao et al., 2016 | 492 | 544 | - | 0.90 | [0.88; 0.93] |
| Tian et al., 2019 | 170 | 194 | | 0.88 | [0.82; 0.92] |
| Alothaimeen et al., 2020 | 42 | 56 | | 0.75 | [0.62; 0.86] |
| Filosso et al., 2014 | 403 | 537 | | 0.75 | [0.71; 0.79] |
| Gripp et al., 1998 | 41 | 70 | | 0.59 | [0.46; 0.70] |
| Chen et al., 2002 | 135 | 195 | | 0.69 | [0.62; 0.76] |
| Okuma et al., 2014 | 85 | 187 | . _ | 0.45 | [0.38; 0.53] |
| Wilkins et al., 1999 | 76 | 136 | — • — | 0.56 | [0.47; 0.64] |
| Regnard et al., 1996 | 206 | 307 | | 0.67 | [0.62; 0.72] |
| Nakagawa et al., 2003 | 118 | 130 | | 0.91 | [0.84; 0.95] |
| Rea et al., 2004 | 81 | 132 | | 0.61 | [0.52; 0.70] |
| Jiao et al., 2008 | 68 | 108 | — • — · | 0.63 | [0.53; 0.72] |
| Shen et al., 2013 | 35 | 115 | | 0.30 | [0.22; 0.40] |
| Moser et al., 2014 | 46 | 72 | | 0.64 | [0.52; 0.75] |
| Random effects model | | 11605 | • | 0.73 | [0.67; 0.79] |
| Heterogeneity: $I^2 = 97\%$, τ | ² = 0.5315 | , <i>p</i> < 0.0 | 1 []]]]]] | | |
| | | | 0.3 0.4 0.5 0.6 0.7 0.8 0.9 | | |

10-year survival after surgical resection

Figure 2. Forest plots showing 5, 10-year survival in each study. Each square represents an individual survival, with the size of the square being proportional to the weight given to the study. The dotted and dashed vertical lines represent combined survival for the whole population.

Table 3

Univariate analyses were reported in two or more cohorts for the following prognostic factors.

| Prognostic.factors | Number/significant* |
|-----------------------------------|-------------------------------------------------|
| Age | |
| Age ≥50 vs | 2/0 |
| <50 yr Age 50-59 ys | 1/0 |
| <50 vr | 1/0 |
| Age 60-69 vs | 1/1 (34) |
| <50 yr | 1/1/24 |
| Age >70 vs <50 vr | 1/1 (34) |
| Age (continuous, | 1/1 (33) |
| per 5 yr increase) | |
| Age (as | 6/5 (16,18,23,25,35) |
| Age ≥45 vs | 1/0 |
| <45 yr | |
| Age ≥57 vs | 1/1 (37) |
| <37 yi Aae 45-59 vs | 1/1 (15) |
| <45 yr | |
| Age ≥60 vs | 1/1 (15) |
| <45 yr Age >65 ys | 1/0 |
| <65 yr | 110 |
| Gender (Male vs | 22/3 (25,33,38) |
| Female) Myasthenia gravis | 23/5 (25 32-34 37) |
| (ves vs no) | 20/0 (20,02-04,07) |
| Type of resection | |
| Incomplete vs | 19/15 (14–16,18,20– |
| complete B1 vs B0 | 22,24,26,28,30,32,33,36,37) 2/1 (23) |
| R2 vs R0 | 2/1 (25) |
| R2 vs R1 | 1/0 |
| >8 vs ≤8 cm | 1/1 (32) |
| Continuous, per | 4/2 (23,33) |
| 1 cm increase | 1/0 |
| ≥5 cm vs <5 cm >7.3 cm vs <7.3 | 1/0 |
| cm | ., |
| Masaoka–Koga | |
| stage II vs I | 8/3 (22 32 38) |
| III vs I | 11/11 (17,22– |
| | 25,29,30,32,33,36,38) |
| IV VS I III/IV vs I II | 9/9 (22–25,30,32,33,36,38) /// (16,18,28,39) |
| III vs II | 5/5 (21,24,25,29,31) |
| IV vs II | 2/2 (24,25) |
| IV VS III III vs I II | 4/3 (17,21,25) 2/1 (20) |
| IV vs I II | 2/1 (20) |
| Histology (WHO) | |
| B2/B3/C vs A/ | 3/2 (22,32) |
| AB/B1 | |
| B2/B3 vs A/ | 6/4 (21,25,33,39) |
| AB/BT C vs A/AB/B1 | 3/2 (25.33) |
| C vs A/AB/B1/ | 2/2 (14,15) |
| B2/B3 | |
| u vs B1 C vs B3 | 2/2 (30,32) 2/2 (21 32) |
| Adjuvant treatment | 12/3 (23,25,35) |
| Surgical approach | 3/0 |

* The number of studies in which the factor was measured/Number of studies in which significant association with poor outcome was reported (log-rank test, a < 0.05).

significantly improve the survival rate remains a problem in thoracic surgery.

Univariate analysis data were published in at least two studies, and our investigation collected all predictive factors from the 26 included cohorts. Meta-analysis showed that Masaoka stage, WHO histological type, age and resection were related to postoperative OS in patients with TETS. Incomplete resection implied a dismal prognosis as it likely reflects progressive disease with tumor dissemination. These patients usually have a poorer prognosis, which may be related to a greater tumor burden and a larger number of cancer cells left behind after surgery. Age was also related to an unfavorable survival rate. Of note, WHO histologic classification, especially B2/B3 thymoma, was a prognostic factor for OS in TET patients after surgical resection.

Moreover, we found that a higher Masaoka stage was an indicator of poor prognosis.

Unlike previous studies that addressed the role of myasthenia gravis as an adverse factor in survival,^[40,41] our results indicate that myasthenia gravis has no impact on survival. Based on our findings, we recommend that all cases be reviewed by the multidisciplinary oncology committee. It can provide multi-mode treatment for patients with Masaoka stage III and IV and thymoma who can not be completely resected. For patients with the above risk factors, we recommend a lifetime annual follow-up through CT. Due to the relatively small number of patients receiving thymic epithelial tumor treatment in each surgical center around the world, we recommend that studies and reports be based on consistent reporting standards, especially the description of some clinical data details.

Our research, however, has a number of flaws. Firstly, the results of meta-analysis may be influenced by the quality of individual study. It is necessary to identify and quantify these factors before arriving at a conclusion. Our quality assessment based on NOS, 2 of the 26 studies scored 8, 21 scored 7, and the other 3 scored 6, indicating that all the studies were of medium quality. Another downside was that the extrapolation of HR might be biased. We have calculated the missing statistics if the authors did not report them. If that information wasn't available, The results would have been extrapolated using Kaplan-Meier curves. As a result, some subjective facts may have an impact on the outcome. Thirdly, the search filter only searches for articles that have been published, which might cause a publication bias. Another potential limitation of our review is that only English and Chinese language papers were screened for.

5. Conclusions

An older age, incomplete resection, WHO classification B2/B3, and higher stage are risk factors for predicting poor survival in TET patients after surgical resection. Future investigations need to include both of these aspects.

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Authors contributions

Conceptualization: Yaling Liu. Data curation: Yaling Liu. Formal analysis: Yaling Liu. Funding acquisition: Yaling Liu. Investigation: Xiaohe Zhang. Methodology: Xiaohe Zhang. В

С

| Α | | | | | | |
|------------------------------------------------------|---------------------------|----------------------|--------------|------|--------------|--------|
| Study | TE | seTE | Hazard Ratio | HR | 95%-CI | Weight |
| Chen et al., 2009 | 0.07 | 0.0119 | | 1.07 | [1.04; 1.09] | 19.3% |
| Moon et al., 2015 | 0.03 | 0.0099 | | 1.03 | [1.01; 1.05] | 21.4% |
| Lee et al., 2016 | 0.04 | 0.0100 | - | 1.04 | [1.02; 1.06] | 21.3% |
| Tian et al., 2019 | 0.07 | 0.0174 | | 1.07 | [1.03; 1.11] | 14.2% |
| Filosso et al., 2014 | 0.02 | 0.0075 | | 1.02 | [1.01; 1.04] | 23.9% |
| Random effects mode Heterogeneity: $I^2 = 73\%$, | l 2 ² = 0.0 | 003, <i>p</i> < 0.01 | | 1.04 | [1.02; 1.06] | 100.0% |
| | | | 1 | | | |

age as a continuous variable

| Study | TE | seTE | | Haza | rd R | atio | | HR | 95%-CI | Weight |
|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------|------------------------------------------------|---------|------|------|------|---|--------------------------------------|------------------------------------------------------------------------------|-------------------------------------------|
| Rieker et al., 2002 Sakamoto et al., 2012 Ruffini et al., 2014 Moon et al., 2015 Wilkins et al., 1999 | -0.89 1.11 -0.39 1.02 -1.27 | 0.4389 0.5602 0.1420 0.4725 0.4425 | | • | | | | 0.41 3.02 0.68 2.77 0.28 | [0.17; 0.97] [1.01; 9.06] [0.51; 0.90] [1.10; 6.99] [0.12; 0.67] | 19.6% 16.9% 25.3% 18.8% 19.5% |
| Random effects mode Heterogeneity: $I^2 = 81\%$, γ | l c ² = 0.56 | 97, p < 0 | .01 0.2 | 0.5 | 1 | 2 | 5 | 0.87 | [0.41; 1.85] | 100.0% |

presence of myasthenia gravis

| Study | TE | seTE | Haz | ard R | latio | | HR | 9 | 5%-CI | Weight |
|--------------------------------------|--------------------|----------------------|-----|-------|------------|-----|-------|----------|--------|---------------|
| Rieker et al., 2002 | 1.71 | 0.3676 | | | <u> </u> | | 5.55 | [2.70; | 11.41] | 6.0% |
| Kim et al., 2005 | 1.12 | 0.6062 | | - | - | | 3.08 | [0.94; | 10.11] | 3.6% |
| Chen et al., 2009 | 0.95 | 0.4132 | | | + <u>-</u> | | 2.58 | [1.15; | 5.80] | 5.4% |
| Margaritora et al., 2010 | 2.00 | 0.2892 | | | | | 7.42 | [4.21; | 13.08] | 7.0% |
| Ruffini et al., 2014 | 1.35 | 0.1610 | | | | | 3.87 | [2.82; | 5.31] | 8.7% |
| Guerrera et al., 2015 | 1.28 | 0.2103 | | | + | | 3.61 | [2.39; | 5.45] | 8.1% |
| Moon et al., 2015 | 2.65 | 0.3175 | | | | | 14.21 | [7.63; | 26.48] | 6.6% |
| Lee et al., 2016 | 0.77 | 0.2837 | | | - | | 2.15 | [1.23; | 3.75] | 7. 1 % |
| Nakajima et al., 2016 | 0.76 | 0.2201 | | | - | | 2.13 | [1.38; | 3.28] | 7.9% |
| Wang et al., 2016 | 0.78 | 0.2641 | | | - | | 2.18 | [1.30; | 3.66] | 7.4% |
| Filosso et al., 2014 | 1.41 | 0.2745 | | | | | 4.09 | [2.39; | 7.00] | 7.2% |
| Wilkins et al., 1999 | 1.51 | 0.4151 | | - | | | 4.53 | [2.01; | 10.22] | 5.4% |
| Regnard et al., 1996 | 1.85 | 0.2263 | | | ÷. | | 6.37 | [4.09; | 9.93] | 7.9% |
| Rea et al., 2004 | 2.40 | 0.4153 | | | | | 10.97 | [4.86; | 24.76] | 5.4% |
| Jiao et al., 2008 | 1.73 | 0.4552 | | | | | 5.66 | [2.32; | 13.81] | 5.0% |
| Moser et al., 2014 | 2.59 | 1.1359 | | - | - | | 13.36 | [1.44; 1 | 23.80] | 1.4% |
| | | | | | | | | | | |
| Random effects model | 2 | | | | | | 4.41 | [3.32; | 5.85] | 100.0% |
| Heterogeneity: $I^2 = 72\%$, τ | ² = 0.2 | 143, <i>p</i> < 0.01 | | | 1 | 1 | | | | |
| | | 0.01 | 0.1 | 1 | 10 | 100 | | | | |

incomplete resection

Figure 3. Overview of calculated hazard ratios (HR) for: (A) age as a continuous variable; (B) presence of myasthenia gravis; (C) incomplete resection; (D) B2/ B3 than A/AB/B1; (E) stage III than stage I tumors; (F) stage IV than stage I tumors; (G) stage III/IV than stage I/II tumors; (H) stage III than stage I tumors.

Project administration: Xiaohe Zhang. Resources: Xiaohe Zhang, Xuguang Zheng. Software: Xuguang Zheng. Supervision: Xuguang Zheng. Validation: Xuguang Zheng. Visualization: Xuguang Zheng. Writing – original draft: Jiaduo Li. Writing – review & editing: Guoyan Qi. Ε

| D Study | TE | seTE | Haza | ard Ra | atio | | HR | 9 | 5%-CI | Weight |
|-----------------------------|-----------------|-----------------|------|--------|------|-----|-------|----------|--------|--------|
| Ruffini et al., 2014 | 0.34 | 0.1355 | | -+- | | | 1.40 | [1.07; | 1.83] | 39.9% |
| Moon et al., 2015 | 2.84 | 1.2424 | | | × | | 17.11 | [1.50; 1 | 95.33] | 8.5% |
| Jiao et al., 2008 | 1.32 | 0.5963 | | i | • | | 3.74 | [1.16; | 12.03] | 22.0% |
| Shen et al., 2013 | 1.18 | 0.4032 | | | - | | 3.26 | [1.48; | 7.19] | 29.6% |
| Random effects mod | lel | | | | > | | 2.76 | [1.25; | 6.12] | 100.0% |
| Heterogeneity: $I^2 = 69\%$ | $\tau^2 = 0.39$ | 948, $p = 0.02$ | I | | I | | | | - | |
| | | 0.01 | 0.1 | 1 | 10 | 100 | | | | |

B2/B3 than A/AB/B1(WHO)

| Study | ΤE | seTE | Hazard Ratio | HR | 95%-CI | Weight |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Rieker et al., 2002 Kim et al., 2005 Margaritora et al., 2010 Ruffini et al., 2014 Moon et al., 2015 Lee et al., 2016 Wang et al., 2016 Zhao et al., 2016 Chen et al., 2002 Okuma et al., 2014 | 2.54 0.49 0.92 1.28 2.40 0.80 1.86 1.43 1.37 1.05 | 0.5799 0.5035 0.3775 0.1824 0.7448 0.2981 0.4837 0.5957 0.6597 0.5593 | | 12.74 1.64 2.52 3.58 11.02 2.22 6.42 4.19 3.93 2.87 | [4.09; 39.70] [0.61; 4.40] [1.20; 5.28] [2.50; 5.12] [2.56; 47.44] [1.24; 3.98] [2.49; 16.58] [1.30; 13.47] [1.08; 14.32] [0.96; 8.59] | 4.1% 5.4% 9.7% 41.5% 2.5% 15.5% 5.9% 3.9% 3.2% 4.4% |
| Rea et al., 2004 | 0.79 | 0.5929 | | 2.21 | [0.69; 7.06] | 3.9% |
| Fixed effect model Heterogeneity: $I^2 = 34\%$, γ | $\tau^2 = 0.$ | 0897, <i>p</i> = 0.1 | 0.1 0.5 1 2 10 | 3.38 | [2.69; 4.26] | 100.0% |

stage III vs. I

| F | | | | 0 | | | | | | |
|-------------------------------|---------------|-----------------------|-----|------|----------|-----|-------|--------|---------|--------|
| Study | ΤE | seTE | Ha | zard | Ratio | | HR | 9 | 5%−CI | Weight |
| Rieker et al., 2002 | 2.96 | 0.5680 | | | ÷ | | 19.36 | [6.36; | 58.94] | 5.9% |
| Kim et al., 2005 | 2.05 | 0.7225 | | | | _ | 7.74 | [1.88; | 31.90] | 3.6% |
| Margaritora et al., 2010 | 1.67 | 0.4766 | | | | | 5.30 | [2.08; | 13.49] | 8.3% |
| Ruffini et al., 2014 | 2.01 | 0.1860 | | | ÷ | | 7.43 | [5.16; | 10.70] | 54.7% |
| Moon et al., 2015 | 3.53 | 0.7090 | | | | • | 34.16 | [8.51; | 137.11] | 3.8% |
| Lee et al., 2016 | 1.92 | 0.4583 | | | <u> </u> | | 6.85 | [2.79; | 16.81] | 9.0% |
| Wang et al., 2016 | 1.95 | 0.5452 | | | | | 7.03 | [2.42; | 20.48] | 6.4% |
| Zhao et al., 2016 | 2.51 | 0.6136 | | | | | 12.29 | [3.69; | 40.92] | 5.0% |
| Rea et al., 2004 | 1.25 | 0.7575 | | + | | | 3.48 | [0.79; | 15.36] | 3.3% |
| Fixed effect model | | | | | | | 8.02 | [6.12; | 10.50] | 100.0% |
| Heterogeneity: $I^2 = 15\%$, | $\tau^2 = 0.$ | 0391, <i>p</i> = 0.31 | I | | | | | | | |
| | | 0.01 | 0.1 | 1 | 10 | 100 | | | | |

stage IV vs. I



stage III vs. II

Figure 3. Continued

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