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# Incorporating Known Genetic Variants Does Not Improve the Accuracy of PSA Testing to Identify High Risk Prostate Cancer on Biopsy

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# Abstract

# Introduction

Prostate-specific antigen (PSA) testing is a widely accepted screening method for prostate cancer, but with low specificity at thresholds giving good sensitivity. Previous research identified four single nucleotide polymorphisms (SNPs) principally associated with circulating PSA levels rather than with prostate cancer risk (*TERT rs2736098*, *FGFR2 rs10788160*, *TBX3 rs11067228*, *KLK3 rs17632542*). Removing the genetic contribution to PSA levels may improve the ability of the remaining biologically-determined variation in PSA to discriminate between high and low risk of progression within men with identified prostate cancer. We investigate whether incorporating information on the PSA-SNPs improves the discrimination achieved by a single PSA threshold in men with raised PSA levels.

## **Materials and Methods**

Men with PSA between 3-10ng/mL and histologically-confirmed prostate cancer were categorised as high or low risk of progression (Low risk: Gleason score  $\leq$ 6 and stage T1-T2a; High risk: Gleason score 7–10 or stage T2C). We used the combined genetic effect of the four PSA-SNPs to calculate a genetically corrected PSA risk score. We calculated the Area under the Curve (AUC) to determine how well genetically corrected PSA risk scores distinguished men at high risk of progression from low risk men.

## Results

The analysis includes 868 men with prostate cancer (Low risk: 684 (78.8%); High risk: 184 (21.2%)). Receiver operating characteristic (ROC) curves indicate that including the 4 PSA-SNPs does not improve the performance of measured PSA as a screening tool for high/low risk prostate cancer (measured PSA level AU C = 59.5% (95% CI: 54.7,64.2) vs





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additionally including information from the 4 PSA-SNPs AUC = 59.8% (95% CI: 55.2,64.5) (p-value = 0.40)).

## Conclusion

We demonstrate that genetically correcting PSA for the combined genetic effect of four PSA-SNPs, did not improve discrimination between high and low risk prostate cancer in men with raised PSA levels (3-10ng/mL). Replication and gaining more accurate estimates of the effects of the 4 PSA-SNPs and additional variants associated with PSA levels and not prostate cancer could be obtained from subsequent GWAS from larger prospective studies.

# Introduction

Prostate cancer is the second most commonly diagnosed cancer and the 5th most common cause of cancer death worldwide for males [1]. Prostate-specific antigen (PSA) testing, followed by a prostate biopsy if the PSA level is raised (typically PSA 3-4ng/mL), is a widely accepted screening method for the disease. Early diagnosis and treatment may impact on survival in some men, but the majority of screen-detected prostate cancers are at low risk of progression, with potential harm caused by unnecessary diagnosis and treatment [2,3].

Despite the widespread use of PSA testing for prostate cancer, it has limited sensitivity and specificity. Men with a raised PSA may have no evidence of prostate cancer at biopsy, whilst not all men with prostate cancer have raised PSA: a third of men with PSA>10ng/mL showed no evidence of prostate cancer at sextant biopsy [4] whilst 63% of men with prostate cancer, and 41% of men with intermediate to high grade cancer (Gleason score $\geq$ 7), had PSA<3ng/mL [5]. Results from the Prostate Cancer Prevention Trial found that a PSA threshold of 1.1ng/mL was required to achieve a sensitivity of 83.4%, but at the price of a false-positive rate of 61.1% (i.e. specificity = 39.9%)[6]. Among men with Gleason grade  $\geq$ 7 (versus men with Gleason grade <7 or no cancer), a PSA threshold of 3.1ng/mL gave a sensitivity of 57.6% and specificity of 82.3%. Improving the interpretation of measured PSA levels may improve the clinical utility of the test, saving some men invasive unnecessary biopsies whilst ensuring that men with high risk prostate cancers are identified and offered treatment appropriately.

One potential approach to improve the sensitivity and specificity of PSA testing when identifiying prostate cancers at high risk of progression from those at low risk is to incorporate information on genetic variants. Inherited factors are thought to account for 40–45% of the variability in PSA levels, although these factors are largely unknown [7,8]. Gudmundsson [9] identified four SNPs that were principally associated with PSA levels rather than with prostate cancer risk in a genome-wide association study (GWAS) on serum PSA levels in Icelandic men not diagnosed with prostate cancer (PSA-SNPs: *TERT rs2736098*, *FGFR2 rs10788160*, *TBX3 rs11067228*, *KLK3 rs17632542*). Men who carried a high number of the PSA-SNP alleles that increase PSA levels were considered to be genetically "high" PSA producers, while those who carried decreased numbers were genetically "lower" PSA producers. They suggested that using the combined effect of these PSA-SNPs to genetically correct measured PSA might improve the performance of PSA as a screening tool for high risk prostate cancer.

The current paper aims to investigate whether the four PSA-SNPs can improve the sensitivity and specificity of PSA testing when identifying prostate cancer at high versus low risk of progression in men with a raised PSA level. We focus on men with a raised PSA level between 3-10ng/mL, where specificity is low and whether or not biopsy can be avoided in these men is clinically

uncertain. We included men with PSA 3-10ng/mL who had histologically-confirmed prostate cancer after receiving a biopsy from a large UK-wide population-based case-control study (nested within the case-finding phase of the Prostate testing for cancer and Treatment (ProtecT) randomised controlled trial)[10,11]. Firstly, we tested whether the previously reported associations between PSA-SNPs and PSA levels, identified in men who were not diagnosed with prostate cancer, would be evident in our men who are diagnosed with prostate cancer. Secondly, we hypothesised that men with genetically "high" PSA are less likely to have high risk (vs low risk) prostate cancer as their high PSA is not entirely due to the presence of prostate cancer. Thirdly, we use the combined genetic effect of the four PSA-SNPs to genetically correct PSA level, and hypothesise that this corrected level would better identify prostate cancers at high risk of progression compared to those with low risk than the standard single PSA threshold (PSA $\geq$ 3ng/mL). Fourthly, we investigated whether a greater improvement in identifying high (vs low) risk prostate cancers could be achieved by including both genetic correction of PSA levels and the combined effect of risk variants thought to be associated with aggressive (vs indolent) prostate cancer.

# **Materials and Methods**

## Participants

The study is nested within a multi-centre randomized controlled trial of treatments for localized prostate cancer: the Prostate Testing for cancer and Treatment (ProtecT) study (UK National Institute for Health Research (NIHR) Health Technology Assessment (HTA) Programme; ISRCTN 20141297)[<u>10–12</u>]. During recruitment to ProtecT between 2001 and 2009, over 100,000 men aged 50–69 years at 337 general practices in nine UK centres (Birmingham, Bristol, Cambridge, Cardiff, Edinburgh, Leeds, Leicester, Newcastle, Sheffield) were offered a PSA test at a community-based 'prostate check clinic', and those with raised levels ( $\geq$  3 ng/ mL) were offered diagnostic biopsy. Detected tumours were histologically-confirmed, clinically staged ("localized": T1-T2; "locally advanced": T3-T4)[<u>13</u>], and Gleason graded.

Men were included in the current study if they had a raised PSA (between  $\geq$ 3ng/mL and <10ng/mL), available information on the relevant SNPs and a positive biopsy result with recorded stage or Gleason score. Men with stage T3-T4 were excluded, as stage is detected clinically and these men would be sent for biopsy regardless of any genetic correction. Men were categorised as high or low risk of progression according to their Gleason score and stage (Low risk: Gleason score  $\leq$ 6 and stage T1-T2a; High risk: Gleason score 7–10 or stage T2C. If men were missing stage, we used Gleason score only). Only subjects who self-identified as white were included (99% of ProtecT cohort). The flow of participants is shown in <u>S1 Fig</u>.

# Genotyping in ProtecT

SNPs relevant to the current analysis were obtained from genome-wide genotyping of ProtecT, carried out on 3,390 individuals at the Centre National de Génotypage, Evry, France, using the Illumina Human660W-Quad\_v1\_A arrays (Illumina, Inc., San Diego, CA)[14]. The quality control process done before imputation excluded individuals on the basis of the following: sex mismatches, minimal (<0.325) or excessive heterozygosity (>0.345), disproportionate levels of individual missingness (>3%), cryptic relatedness measured as proportion of identity by descent (IBD > 0.1). The remaining individuals were assessed for evidence of population stratification by multidimensional scaling analysis and compared with HapMap II (release 22) European descent (CEU), Han Chinese (CHB), Japanese (JPT), and Yoruba (YRI) reference populations; all individuals with non-European ancestry were removed. SNPs with a minor allele frequency below 1%, a call rate of <95% or evidence for violations of Hardy—Weinberg equilibrium (P < 5 \* 10<sup>-7</sup>) were discarded. Autosomal genotypic data were subsequently

imputed using Markov Chain Haplotyping software (MACH v.1.0.16 [15]) and phased haplotype data from CEU individuals (HapMap release 22, Phase II NCBI B36,dbSNP 126) based on a cleaned dataset of 3,186 individuals and 514,432 autosomal SNPs. After imputation, all SNPs with indication of poor imputation quality ( $r^2$  hat < 0.30) were removed. X chromosome imputation was done on a cleaned dataset of 3,186 individuals and 10,092 X chromosome SNPs, using MACH v.1.0.16 and MiniMac v 4.4.3, in conjunction with phased haplotype data from CEU individuals (HapMap 3 release 2, NCBI B36, dbSNP 126). Genotypes were checked for deviation from Hardy-Weinberg equilibrium using the hwsnp function implemented in Stata (Stata Corporation, College Station, Texas).

Each SNP genotype was coded as 0, 1 or 2 depending on the number of risk alleles the individual carries. Information on the four SNPs principally associated with PSA levels rather than with prostate cancer risk (PSA-SNPs: *TERT* on 5p15.33 *rs2736098*, *FGFR2* on 10q26.12 *rs10788160*, *TBX3* on 12q24.21 *rs11067228*, *KLK3* on 19q13.33 *rs17632542*) was linked to the study characteristics of the included subjects.

**Estimating Genetically Corrected PSA risk scores.** We calculated the combined genetic effect by multiplying together the relative effect sizes based on published coefficients of each of the four PSA-SNPs from an Icelandic discovery population [9], each included to the power 0,1 or 2 depending on the number of risk alleles (0,1,2) of each SNP carried by an individual. No man in our data had 0 risk alleles for the SNP *rs17632542*. To account for this when calculating the combined genetic effect, the SNP *rs17632542* was included to the power 0 if a man had 1 copy of the risk allele and to the power 1 if the man had 2 copies. The increase in PSA level per allele (%) was determined from a previous GWAS based on Icelandic data [9]: *rs2736098*-A 10.5%; *rs10788160*-A 10.2%; *rs11067228*-A 8.3%; *rs17632542*-T 39.1%; giving relative allelic effects of 1.11, 1.10, 1.08, and 1.39 respectively. We attempted to confirm these relative allelic effects in the current data as part of our analysis. A genetically corrected PSA risk score, including information on the 4 PSA-SNPs, was estimated as measured PSA minus the combined genetic effect of the 4 PSA-SNPs where a higher score indicates that a man has a PSA that is greater than would be predicted by his genotypes. In other words,

Genetically corrected PSA risk score =  $PSA-(rs2736098^{i} * rs10788160^{j} * rs11067228^{k} * rs17632542^{l})$ 

where i,j,k = 0,1,2 and l = 0,1 depending on the number of risk alleles (0,1,2) of each SNP carried by an individual for SNPs rs2736098 (i), rs10788160 (j), rs11067228 (k) and rs17632542 (l) respectively.

**Including the combined effect of prostate cancer risk variants.** We investigated whether additionally including the effect of 10 SNPs found in previous GWAS to be associated with aggressive prostate cancer could improve our ability to distinguish between high and low risk prostate cancer. The 10 SNPs and their associated effects are: ATP5SL/CEACAM21 rs11672691: OR per G allele increase = 1.12 (aggressive cases vs controls)[16]; TNRC6 rs11704416 OR per G allele = 0.94 (aggressive vs controls)[16]; 17p12 rs4054823 OR per T allele = 1.13 (aggressive vs non-aggressive)[17]; 10q21.12 rs10788165: OR for TT vs GG/ GT = 1.34 (aggressive vs controls)[18]; 10q21.12 rs10749408 OR for TT vs CT/CC = 1.26 (aggressive vs controls)[18]; 10q21.12 rs11199874 OR for GG vs AG/AA = 1.42 (aggressive vs controls)[18]; 15q21.1 rs1994198 OR for CT/TT vs CC = 1.34 (aggressive vs controls)[18]; DAB2IP rs1571801 OR for AC/CC vs AA = 1.36 (aggressive vs controls)[19]; HERC2 rs6497287 OR for TC/CC vs TT = 1.46 (aggressive vs controls) [20]. The combined genetic effect was calculated by multiplying together the relative effect sizes of each of the five SNPs based on published coefficients depending on the number of risk alleles (0,1,2) of each SNP carried by an individual.

**Population Stratification.** The top 10 principal components (PCs) that reflect the population's genetic structure were estimated according to Price et al [21] from the genome-wide SNPs genotyped and cleaned as described above. All 10 PCs were included as covariates in regression models to account for confounding by population stratification where appropriate.

#### Statistical Analysis

**PSA SNPs, PSA level and Prostate Cancer Risk.** The previously published associations of PSA SNPs with PSA level in men without prostate cancer were investigated using linear regression to examine the association of PSA level with individual SNPs within men with raised PSA, calculating a per allele effect overall and stratified by high or low risk of progression, adjusted for age, study centre and population stratification. The proportion of trait variability (R-squared) and the F statistic were calculated from unadjusted linear regression models as an indication of how much of the variability in PSA level is explained by each SNP.

Whether men with "genetically" high PSA, based on published coefficients [9], were more likely to have low (vs high) risk prostate cancer was investigated using logistic regression, controlling for age, study centre and population stratification, to estimate odds ratios (OR) and 95% confidence intervals (CI) quantifying the associations of SNPs with prostate cancer (high vs low risk). SNPs were included as single variants and effects were estimated per change in allele.

Assessing Genetically Corrected PSA risk scores. We used receiver operating characteristic (ROC) curves and calculated the area under the curve (AUC) to assess the ability of genetically corrected PSA risk scores to discriminate between high and low risk prostate cancer when compared to measured PSA.

**Including the combined effect of prostate cancer risk variants.** We estimated the posterior odds of a man having high risk prostate cancer as being the prior odds of a man having prostate cancer given his measured PSA level and age, multiplied by the likelihood ratio (LR) for the genetically corrected PSA risk score, calculated as sensitivity/(1-specificity). The likelihood ratio was used to determine whether the addition of SNPs usefully changes the probability that a man has high (vs low) risk prostate cancer. A likelihood ratio close to one indicates that incorporating genetic variants does not improve on the pre-test probabilities of having high (vs low) risk prostate cancer. We calculated four likelihood ratios for: (i) measured PSA; (ii) 4 PSA-SNPs based on published coefficients [9]; (iii) 10 aggressive prostate cancer SNPs based on published coefficients; and (iv) both (ii) and (iii). Sensitivity was fixed at 90% and the corresponding specificity was estimated from the ROC curves.

**Sensitivity Analyses.** Sensitivity analyses were carried out looking at the effect of (i) stratifying by age (<65 years,  $\geq$ 65 years); (ii) including extra SNPs found to be less strongly associated with PSA level in the same GWAS from which the 4 PSA-SNPs were identified. We looked at including the effect of the 4 PSA-SNPs individually, instead of the combined effect of all 4 PSA-SNPs. To investigate the impact of using effect estimates calculated internally rather than using the published coefficients, we fitted four logistic regression models with high/low risk as the outcome and calculated the AUC of each model: Model 1: measured PSA only; model 2: measured PSA and 4 PSA-SNPs; model 3: measured PSA and 5 aggressive prostate cancer SNPs; and model 4: measured PSA, 4 PSA-SNPs and 5 aggressive prostate cancer SNPs. We repeated the analysis comparing very high grade ( $\geq$ 8) versus very low grade (5–6), since grade 7 is a mixture of more aggressive (Gleason score 4+3) and less aggressive (Gleason score 3+4) cancers. We also repeated the analysis additionally including men who were staged as T3-T4 in the high risk group.

Analyses were carried out in Stata 13 (StataCorp, 2013. College Station, TX). All tests of statistical significance were two-sided. All men provided written informed consent prior to inclusion in the study. Trent Multicentre Research Ethics Committee (MREC) approved the ProtecT study (MREC/01/4/025) and the associated ProMPT study which collected biological material (MREC/01/4/061).

# Results

#### Characteristics of Men included

The analysis includes 868 men with raised PSA ( $\geq$ 3ng/mL and <10ng/mL) and histologicallyconfirmed prostate cancer that had information available on the 4 PSA-SNPs (828 (95.4%) localized (T1: 637, T2: 191), 40 (4.6%) missing stage; 684 (78.8%) low-grade, 184 (21.2%) highgrade; Low risk: 684 (78.8%); High risk: 184 (21.2%)).

The mean age of high risk men was 63.1 years and of low risk men was 62.4 years (p-for-difference = 0.08). Mean measured PSA was 4.9ng/mL (SD 1.7). The mean PSA level in men with high risk cancer was higher than low risk (5.4ng/mL vs 4.8ng/mL, p<0.001). There were no substantial differences in other baseline characteristics (S1 Table).

## PSA SNPs, PSA levels and Prostate Cancer Risk

There was no convincing evidence that associations of four PSA-SNPs with PSA level, previously reported in men without prostate cancer, were replicated in men with raised PSA (3-10ng/nL) and prostate cancer: none of the four PSA-SNPs were associated with PSA level in men stratified by cancer risk (high or low risk of progression) (<u>Table 1</u>). Approximately 2.4% of the variability in PSA levels within men at high risk of progression is explained by including all four PSA-SNPs simultaneously, and 0.9% within men at low risk of progression.

For *rs17632542*, there was some evidence that men with "genetically" high PSA, i.e. had 1 or 2 copies of the T-allele associated with increasing PSA, had a decreased risk of prostate cancer at high risk of progression (*rs17632542*-T: OR per allele 0.62, CI: 0.38,1.00). There was no convincing evidence that the other three SNPs were associated with prostate cancer at high risk of progression compared to low risk (<u>Table 2</u>). There was no evidence that the ten aggressive prostate cancer SNPs were associated with prostate cancer at high risk of progression compared to low risk (<u>Table 2</u>).

				Low Risk (N = 684)				High (N = 226)				
SNP	Alleles (O/X)	Allele associated with Increasing PSA (X)	Effect of X allele on PSA (ng/ mL) <sup>a</sup>	95% CI	p- value	F- statistic <sup>b</sup>	R² (%)	Effect of X allele on PSA (ng/ mL) <sup>a</sup>	95% CI	p- value	F- statistic <sup>b</sup>	R² (%)
rs10788160	G/A	А	-0.16	(-0.36, 0.03)	0.10	3.08	0.45	-0.28	(-0.76, 0.20)	0.26	0.54	0.30
rs11067228	G/A	А	-0.13	(-0.30, 0.04)	0.14	1.95	0.29	-0.38	(-0.81, 0.05)	0.08	3.18	1.72
rs17632542	C/T	Т	0.17	(-0.21, 0.56)	0.38	0.65	0.09	0.52	(-0.29, 1.34)	0.21	0.77	0.42
rs2736098	C/T	Т	0.05	(-0.14, 0.25)	0.60	0.19	0.03	0.14	(-0.34, 0.61)	0.57	0.43	0.24

Table 1. The effects of SNPs on PSA	level (ng/mL) in men with PS/	A3-10ng/mL and a diac	nosis of prostate cancer.

CI = confidence interval.

<sup>a</sup> Additive model, calculated using regression, adjusting for exact age, study centre and 10 principal components to account for confounding by population stratification.

<sup>b</sup> F-statistic and R-squared (R<sup>2</sup>) indicate how much of the variability in PSA levels is explained by each SNP. Calculated using regression, unadjusted

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	Alleles (O/X)	r	N (high vs low risl	k)	Effect of X allele <sup>a</sup>			
SNP		00	ох	XX	OR	(95% CI)	p-value	
4 PSA-SNPs								
rs10788160	G/A	107/391	68/249	9/44	0.93	(0.70, 1.22)	0.59	
rs11067228	G/A	25/131	95/332	64/221	1.21	(0.95, 1.55)	0.12	
rs17632542	C/T	./.	29/75	155/609	0.62	(0.38, 1.00)	0.05	
rs2736098	C/T	80/280	91/350	13/54	0.93	(0.70, 1.22)	0.59	
10 Aggressive P	rostate Cancer SNPs							
rs10749408	C/T	79/324	86/278	19/82	1.03	(0.81, 1.32)	0.79	
rs10788165	G/T	83/293	88/312	13/79	0.85	(0.66, 1.10)	0.21	
rs11199874	A/G	106/391	69/249	9/44	0.94	(0.71, 1.24)	0.67	
rs11672691	A/G	109/408	65/241	10/35	1.05	(0.79, 1.39)	0.76	
rs11704416	C/G	107/446	69/211	8/27	1.25	(0.94, 1.67)	0.13	
rs1571801	T/G	13/51	75/294	96/339	1.08	(0.83, 1.41)	0.58	
rs1994198	C/T	53/230	86/320	45/134	1.23	(0.97, 1.54)	0.08	
rs4054823	C/T	63/224	86/321	35/139	0.93	(0.73, 1.18)	0.54	
rs4775302	G/A	49/138	85/339	50/207	0.82	(0.65, 1.04)	0.1	
rs6497287	C/T	158/578	25/101	1/5	0.9	(0.58, 1.40)	0.64	

#### Table 2. Associations between SNPs and prostate cancer risk (high versus low risk) in men with PSA3-10ng/mL.

OR = odds ratio; CI = confidence interval; p = p-value. The X allele would be expected to be associated with increased risk.

<sup>a</sup> High vs low risk models are calculated using regression, adjusting for exact age, study centre and 10 principal components to account for confounding by population stratification. Additive model.

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#### Genetically Corrected PSA Risk Score

The median number of "high PSA" alleles across the 4 PSA-SNPs was 4 (range: 1–8). The mean PSA in men with 4 alleles was 4.9ng/mL (<u>Table 3</u>). The mean and range of the genetically corrected PSA risk score was 3.2 (0.8,8.3), where a higher score indicates that a man has a PSA that is greater than would be predicted by his genotypes. Histograms comparing genetically corrected PSA risk score using the published coefficients show no difference between men at high and low risk of progression (<u>Fig 1</u>).

ROC curves indicate that including the 4 PSA-SNPs using published coefficients does not improve the performance of measured PSA as a tool for identifying high risk prostate cancer (measured PSA level AUC = 59.5% (95% CI: 54.7,64.2) vs genetically corrected PSA risk scores using the 4 PSA-SNPs AUC = 59.8% (95% CI: 55.2,64.5) (p-value = 0.40)) (Fig 2). For a

Table 3.	The mean PSA	level (ng/mL) b	y the number	r of alleles acros	ss the 4 PSA-SNPs.

Number of alleles (1–8)	N	N Mean (SD) PSA	
1	4	4.57	(1.82)
2	55	3.55	(1.38)
3	203	3.60	(1.83)
4	253	3.28	(1.68)
5	249	3.09	(1.64)
6	82	2.75	(1.60)
7	21	2.34	(1.52)
8	1	2.08	(0.00)

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Fig 1. Histograms showing the distribution of genetically corrected PSA risk score. Histograms showing genetically corrected PSA risk score, including information on the 4 PSA-SNPs using the published coefficients, stratified by high versus low risk of progression show no difference between men at high and low risk of progression.

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sensitivity of 90%, the ROC curve estimates the corresponding specificity to be 0.14 for measured PSA and 0.13 for genetically corrected PSA risk scores using 4 PSA-SNPs (p-for-difference = 0.83). This is equivalent to having offered biopsy to all men with a measured PSA of 3.3ng/mL (sensitivity = 90.2%, specificity = 14.2%).

#### Including the combined effect of prostate cancer risk variants

ROC curves indicate that additionally including the 10 PSA-SNPs did not improve the performance of measured PSA as a tool for identifying high risk prostate cancer (measured PSA level AUC = 59.5% (95% CI: 54.7,64.2) vs additionally including the 4 PSA-SNPs and 10 aggressive prostate cancer SNPs AUC = 60.1% (95% CI: 55.5,64.9) (p-value = 0.43)) (Fig 2). For a sensitivity of 90%, the equivalent specificity and likelihood ratios were: (i) measured PSA: specificity = 14.2%, LR = 1.05; (ii) 4 PSA-SNPs: specificity = 13.3%, LR = 1.04; (iii) 10 aggressive prostate cancer SNPS: specificity = 5.8%, LR = 0.96; and (iv) both (ii) and (iii): specificity = 16.8%, LR = 1.08.

#### Sensitivity Analyses

Stratifying the analysis by age (<65years,  $\geq$ 65 years) did not improve the performance of measured PSA or genetically corrected PSA risk scores as a tool for identifying high risk prostate cancer (measured PSA level: AUC in men<65 years = 57.8% (95% CI: 51.7,63.9), AUC in men $\geq$ 65 years = 61.2% (95% CI: 53.7,68.7), p-for-difference = 0.49; genetically corrected PSA risk score using 4 PSA-SNPs: in men<65 years = 58.0% (95% CI: 51.9,64.1), AUC in men $\geq$ 65



Fig 2. ROC curves comparing measured PSA to genetically corrected PSA risk score using the 4 PSA-SNPs. Area under the curve (AUC) comparing measured PSA, genetically corrected PSA risk score based on 4 PSA-SNPs using the published coefficients and PSA risk score corrected for both 4 PSA-SNPs and 10 aggressive prostate cancer SNPs using published coefficients.

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years = 62.0% (95% CI: 54.6,69.4), p-for-difference = 0.41). Adding additional SNPs associated with PSA did not improve the model and so were not considered further (results not shown). Including the 4 PSA-SNPs individually did not improve performance (rs2736098: AUC = 59.6% (95% CI: 54.8,64.3); rs10788160: AUC = 59.4% (95% CI: 54.7,64.2); rs11067228: AUC = 59.3% (95% CI: 54.5,64.0); rs17632542: AUC = 59.9% (95% CI: 55.2,64.6)).

Using ROC curves to assess the discriminatory power of 4 models using internal effect estimates (as a sensitivity analysis rather than using the published coefficients) showed that additionally adding the effect of 4 PSA-SNPs (model 2), 10 aggressive prostate cancer SNPs (model 3) did not improve the performance of measured PSA alone (model 1) when identifying high risk prostate cancer from low risk: model 1 AUC = 59.5% (95% CI: 54.7,64.2), model 2 AUC = 62.0% (95% CI: 57.5,66.5), model 3 AUC = 61.7% (95% CI: 57.0,66.3). P-for-difference comparing model 2 with model 1 = 0.11 and model 3 with model 1 = 0.16). Additionally add-ing the combined effect of 4 PSA-SNPs and 10 aggressive prostate cancer SNPs (model 4) did slightly improve upon the performance of measured PSA alone (model 1) when identifying high risk prostate cancer from low risk: model 1 AUC = 59.5% (95% CI: 54.7,64.2), model 4 AUC = 63.6% (95% CI: 59.1,68.1). P-for-difference comparing model 4 with model 1 = 0.03).

Repeating the analysis comparing very high grade ( $\geq 8$ ) (n = 23) versus very low grade (5–6) (n = 684) gave an AUC of 63.8% (CI: 52.8,74.8) for measured PSA level and an AUC of 65.1% (CI:54.5,75.7) for genetically corrected PSA risk score using 4 PSA-SNPs (p-for-difference = 0.27). Additionally adding the 10 prostate cancer SNPS gave an AUC of 63.4% (CI: 52.0,74.8). Repeating the analysis including men with locally advanced prostate cancer (clinically detected stage T3: n = 42, T4: n = 0) in the high risk group did not alter the results (results not shown).

# **Population Stratification**

There was no convincing evidence of an association between each SNP and score with the principal components used in this adjustment, indicating that population stratification was not likely to have affected our results (<u>S2 Table</u>).

# Discussion

## Summary of Findings

The genetic correction of each individual man's PSA level did not improve discrimination between men at high and low risk of progression compared to the traditional single PSA threshold applied to all men when identifying prostate cancer at high risk of progression in men with a PSA level between 3-10ng/mL. Using a genetically corrected PSA risk score, determined from the combined genetic effect of the four PSA-SNPs, we identified 90% of high risk cancers whilst sparing 13% of low risk men an invasive test. The same results could be attained by increasing the single threshold from 3ng/mL to 3.3ng/mL. Adding information on 10 SNPs thought to be associated with aggressive (vs indolent) prostate cancer in previous studies did not improve our ability to distinguish high from low risk prostate cancer.

We found no convincing evidence that the 4 PSA-SNPs were associated with PSA levels in men with raised PSA (3-10ng/mL) who had histologically-confirmed prostate cancer, stratified by high and low risk of progression. There was little evidence that men with genetically"high" PSA, i.e. men who carry an increased number of the 4 PSA-SNP alleles, were more likely to have low risk (vs high risk) prostate cancer. Using internal effect estimates of the 4 PSA-SNPs did not improve on the single PSA threshold.

**Previous Literature.** Serum PSA elevation is a consequence rather than a cause of prostate cancer. PSA levels are also increased by age, urinary tract infections, and conditions such as benign prostatic hyperplasia and decreased by conditions such as obesity. Much work has focused on improving the predictive performance of the traditional single threshold PSA test using other risk factors for prostate cancer, for example PSA kinetics, age, ethnicity and family history of prostate cancer [22]. Previous studies have focused on identifying SNPs to predict the risk of prostate cancer, whereas the current aim is to use SNPs to inform PSA testing and identify prostate cancer at high risk of progression. Removing the genetic contribution to PSA levels may improve the ability of the remaining biologically determined variation in PSA to detect prostate cancer at high risk of progression.

Since 2006, 25 prostate cancer GWAS have been published and 76 susceptibility loci associated with prostate cancer risk have been identified [23]. The ability of SNPS to predict aggressive disease is unclear, with some [24–27], but not all [28], studies supporting an association between SNPs and aggressive prostate cancer. Control selection strategies, such as comparing cases to controls that have been selected on the basis of their PSA level being under a certain threshold, can make it difficult to interpret associations between a genetic variant and disease, since such associations may result from a relationship between the variant and PSA levels rather than prostate cancer [20,29–36]. A limited number of GWAS which used PSA screened controls found that thirteen known prostate cancer susceptibility loci are also associated with PSA concentration in blood (rs6869841, rs1270884, rs17632542, rs2242652, rs6983561, rs620861, rs10090154, rs7837688, rs12500426, rs7127900, rs10993994, rs2659056, rs2735839, rs5945619) [9,24,29,30,34].

Individual SNPs have been identified which explain a proportion of the variation in PSA level. Eeles et al [<u>30</u>] detected an association between a SNP that encodes PSA [*kallikrein-related peptidase 3 (KLK3)*] and prostate cancer. This SNP was subsequently associated with

PSA levels in unaffected men, as were other prostate-cancer related SNPs in the *hepatocyte nucleartor-1*  $\beta$  (*HNF1B*) and  $\beta$ -*microseminoprotein* (*MSMB*) genes [36–39]. Knipe et al [40] compared the association of 81 SNPs with prostate cancer using 'low' PSA controls and 'high' PSA controls, nested within ProtecT. They hypothesized that if the genetic marker was associated with PSA level and not prostate cancer, then the effect estimate would be greatest when using 'low' PSA controls and close to the null when using 'high' PSA controls. They found that seven SNPs were positively associated with circulating PSA level (*rs1512268-T, rs10788160-A, rs445114-T, rs11199874-A, rs17632542-T, rs2735839-G, rs266849-A*). Jin [41]conducted a GWAS of percentage of free-to-total PSA (%fPSA) by genotyping 642,584 SNPs in 3192 men of European ancestry, each with a total PSA of 2.5 to 10ng/mL, that were associated with % fPSA but not associated with prostate cancer risk or aggressiveness. The SNP *rs3213764* was also associated with total PSA; rs1354774 was not associated with total PSA.

Only one study specifically investigated the relationship between genetic variants and circulating PSA level in men without detected prostate cancer. Gudmundsson's [9] GWAS on serum PSA levels identified 4 PSA-SNPs that were principally associated with PSA levels rather than with prostate cancer risk. This GWAS was conducted in Icelandic men not diagnosed with prostate cancer according to data from the nationwide Icelandic Cancer Registry (ICR) (n = 15,757; mean (sd) age: 63 years (12); median (IQR) PSA level: 2 (0.8,4.4) and results werereplicated in a subsample of men from the ProtecT trial with PSA<3ng/mL who had not undergone prostate biopsies (n = 454; mean (sd) age: 63 (5); median (IQR) PSA: 1.5 (0.7,2.2)). Despite finding no convincing evidence that the four PSA-SNPs were associated with PSA levels in the current data, two of our results are in the same direction as the association observed by Gudmundsson (rs2736098-T and rs17632542-T were associated with increased PSA). Using the combined effect of the four PSA-SNPs to genetically correct measured PSA, they found that 6-7% of Icelandic men undergoing PSA-based prostate cancer screening would have at least one PSA measurement reclassified with respect to whether they should undergo a biopsy (using a threshold for biopsy of PSA>4ng/mL). However, using the combined effect of these PSA-SNPs to genetically correct measured PSA did not alter the performance of PSA as a screening tool for prostate cancer (PSA adjusted for the four PSA-SNPs AUC 70.9% compared to unadjusted PSA AUC 70.4% in 415 men who underwent biopsy, p-for-difference not given). Additionally adding the combined risk of 23 prostate cancer risk variants increased the AUC to 73.2%. In data from the ProtecT trial (n = 1291), unadjusted PSA had an AUC of 57.1%, PSA adjusted for 4 PSA-SNPs had an AUC 58.5% and the AUC when including the 23 prostate cancer risk variants was 63.3%.

Hefland [42] used the 4 PSA-SNPs to create personalized PSA cutoffs and to determine how many men would meet common biopsy criteria (PSA  $\geq$ 2.5ng/mL and  $\geq$ 4ng/mL) after genetic correction of their measured PSA level (n = 964 US Caucasian men without prostate cancer). They found that genetic correction lead to a 15–20% relative reduction in the number of biopsies received and a possible 18–22% reduction in the number of potentially unnecessary biopsies. However, not all participants had undergone biopsy so true confirmation of reclassification after genetic correction as potentially unnecessary biopsy remains to be determined prospectively.

A number of other studies have found that PSA testing may be improved by genetic correction including SNPs which are associated with both PSA level and prostate cancer risk [42-45]. Results from the Stockholm-1 cohort [45] found that the addition of a genetic risk score including 35 SNPs resulted in fewer biopsies than a non-genetic model (avoiding 22.7% of biopsies) at a cost of missing a prostate cancer diagnosis in 3% of patients with aggressive cancer (5241 men (2135 with prostate cancer) with PSA $\leq$ 10ng/mL). Johansson [44] concluded that the

addition of a genetic risk score made up of 33 common genetic variants to PSA resulted in a marginal improvement in prostate cancer prediction (520 cases, 988 controls, AUC for genetic risk score = 64.3%, AUC for PSA = 86.2%, AUC for PSA and genetic risk score = 87.2%). Two other studies found that the addition of SNPs made little difference in the ability of PSA to predict prostate cancer [35,46]. In the current data, the addition of 10 SNPs thought to be associated with aggressive prostate cancer did not improve our ability to distinguish between high and low risk prostate cancers beyond that of the four PSA-SNPs, in men with PSA levels between 3-10ng/mL.

Two of the 4 PSA-SNPs may not be specific to prostate cancer. *TERT* encodes an enzyme, telomerase, whose increased activity is associated with malignant tumors due to its role in proliferation and apoptosis of cancer cells [47] and has been found to be active in 90% of human cancers [48]. Similarly, fibroblast growth factors play a role in promoting proliferation and differentiation of cells, and mediating angiogenesis, and *FGFR2* has been consistently found to be associated with breast cancer [49]. The NHGRI GWAS catalog [50] (accessed on 17<sup>th</sup> September 2014) identified 21 published GWAS linking *TERT* to breast cancer, bladder cancer, testicular cancer, lung cancer, glioma and prostate cancer and 11 GWAS linking *FGFR2* to breast cancer. This suggests that at least two of the 4 PSA-SNPs might not be specific to PSA level and may still be associated with prostate cancer after all. Our sensitivity analysis adjusting for the 4 PSA-SNPs individually, instead of the combined effect of all 4, showed that no single PSA-SNP improved our model.

**Strengths and Limitations.** We did not include men with PSA<3ng/mL: since the decision to biopsy was based on PSA level, some of the controls with PSA<3ng/mL will have unidentified prostate cancer [6] (misclassification bias [51]). This would not affect our analysis of men with PSA $\geq$ 3ng/mL as all cancers were biopsy confirmed. We have included men with PSA between 3-10ng/ml, as whether or not biopsy can be avoided in these men is clinically uncertain and it is likely that men with PSA $\geq$ 10ng/mL would be biopsied regardless of any genetic correction.

By including all four PSA-SNPs in the risk score irrespective of whether they replicated in the current dataset or not, we avoid over-estimating the performance of our genetically corrected PSA risk score because of over-fitting to the current dataset. The estimates used to calculate PSA-SNP score were taken from one GWAS on PSA levels carried out in Icelandic men not diagnosed with prostate cancer (n = 15,757). Similar results were found in their follow-up analysis of 454 men with PSA<3ng/mL from the ProtecT study. It has been shown that results from the first study on a topic often suggest a stronger effect than is found in subsequent studies, and that genetic association studies require cautious replication [52]. Confirmation in other datasets is required to ensure that these 4 PSA-SNPs are truly associated with PSA and not prostate cancer. It is likely that the proportion of variance explained by the 4 PSA-SNPs is not large enough to meaningfully correct PSA. The effect of having prostate cancer on PSA level may be so large that it could mask the comparatively small genetic contribution. More accurate estimates of the effects of the 4 PSA-SNPs and additional variants associated with PSA levels and not prostate cancer could be obtained from subsequent GWAS from larger prospective studies. Most GWAS to date have compared population controls to cases mainly affected with low-risk disease, whereas we have attempted to identify high risk from low risk prostate cancers. Our results apply to screen-detected prostate cancers and may not be generalizable to clinically detected cancer. Screen-detecting cancers may have resulted in a narrow range of aggressiveness, making it harder to demonstrate an association. Grade was based on biopsy material, and could potentially be upgraded if the man underwent radical prostatectomy. It is possible that our results are affected by inaccurate grading and tumour heterogeneity insufficiently captured by biopsy. Neither adding further SNPs associated with PSA level, stratifying

by age group (<65 years,  $\geq$ 65 years) nor using internal effect estimates improved the model. The model was improved when discriminating between very high grade ( $\geq$ 8) and low grade (5–6) prostate cancers, but this analysis was based on only 23 very high grade cancers and so was underpowered. Moreover, adding SNPs associated with aggressive prostate cancer does not improve this model further.

#### Conclusion

Our study of 868 men with raised PSA (3-10ng/mL) and histologically-confirmed prostate cancer has demonstrated that genetically correcting PSA for the combined genetic effect of four PSA-SNPs, did not improve upon the traditional single PSA threshold for biopsy when distinguishing high and low risk disease.

#### **Supporting Information**

S1 Data. De-identified Dataset. (XLS)

**S1 Fig. Flowchart of Participants.** (DOC)

S1 Table. Baseline characteristics of men with prostate cancer and PSA> = 3ng/mL and <10ng/mL included in the study. (DOC)

S2 Table. The association between SNPs and principal components in men with prostate cancer and PSA 3-10ng/mL. (DOC)

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#### **Author Contributions**

Conceived and designed the experiments: JLD DEN FCH JAL. Performed the experiments: JLD DEN FCH JAL. Analyzed the data: RG RMM KT CM. Contributed reagents/materials/ analysis tools: DME JPK. Wrote the paper: RG RMM DME KT GDS JPK JAL FCH DEN JLD CM.

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