# Moderate influenza vaccine effectiveness with variable effectiveness by match between circulating and vaccine strains in Australian adults aged 20–64 years, 2007–2011

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**Background** Influenza vaccines are licensed annually based on immunogenicity studies. We used five sequential years of data to estimate influenza vaccine effectiveness (VE), the critical outcome in the field.

**Methods** Between 2007 and 2011, we performed annual prospective test-negative design case–control studies among adults aged 20–64 years recruited from sentinel general practices in the Australian state of Victoria. We used PCR-confirmed influenza as the endpoint to estimate influenza VE for all years. We compared annual VE estimates with the match between circulating and vaccine strains, determined by haemagglutination inhibition assays.

**Results** The adjusted VE estimate for all years (excluding 2009) was 62% (95% CI 43, 75). By type and subtype, the point estimates of VE by year ranged between 31% for seasonal

influenza A(H1N1) and 88% for influenza A(H1N1)pdm09. In 2007, when circulating strains were assessed as incompletely matched, the point estimate of the adjusted VE against all influenza was 58%. The point estimate was 59% in 2011 when all strains were assessed as well matched.

**Conclusion** Trivalent inactivated vaccines provided moderate protection against laboratory-confirmed influenza in adults of working age, although VE estimates were sensitive to the model used. VE estimates correlated poorly with circulating strain match, as assessed by haemagglutination inhibition assays, suggesting a need for VE studies that incorporate antigenic characterization data.

**Keywords** influenza, influenza vaccine, influenza-like illness, vaccine effectiveness.

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# Introduction

Trivalent influenza vaccines are licensed annually based on limited immunogenicity studies, most often among healthy adults.<sup>1</sup> Given extensive past experience with influenza vaccines among adults, this process is widely accepted. It is also the only process that is feasible, given the current vaccine production and regulation processes. Each year influenza vaccines include selected strains of influenza A(H3N2), A(H1N1) and B viruses. Because the vaccine strains may need to change, depending on the drift of the circulating viruses, there is insufficient time for large-scale vaccine efficacy and safety studies prior to vaccine licensing each year. Immunogenicity is, therefore, used as a proxy for vaccine efficacy.

Immunogenicity assesses the antibody response to the specific vaccine antigens, while vaccine efficacy estimates

the proportion of influenza infections prevented by vaccination in a randomized controlled trial. Vaccine effectiveness (VE) is the same measure from an observational study.<sup>2</sup> Immunogenicity is not precisely correlated with VE, although effectiveness would normally be regarded as the ultimate test of a vaccine, as it assesses how well the vaccine protects against disease when delivered in routine practice.<sup>3</sup> In recent years, a number of investigators from Europe,<sup>4</sup> United States,<sup>5</sup> Canada<sup>6</sup> and Australia<sup>7</sup> have conducted observational studies using similar designs to monitor influenza VE.

Using methodological insights gained from these previous studies, we have studied patients recruited from an existing network of sentinel general practitioners (GP) in Victoria, Australia, to estimate influenza VE. Victoria has a temperate southern hemisphere climate and a population of approximately 5.5 million. The influenza season usually occurs between May and September. In a previous feasibility study, we suggested that the sentinel surveillance system is best suited to estimating influenza VE in adults aged 20– 64 years, a group often characterized as working-age adults.<sup>8</sup> Moreover, this age group is most often used in vaccine trials. Confining our analysis to this group allows a comparison of results from this observational study with published trial results. This study provides summary estimates of influenza VE by type and subtype over 4 years from 2007 to 2011, years during which there were significant antigenic changes in all three types/subtypes included in the vaccine. We compare the annual VE with the match between circulating and vaccine strains.

# **Methods**

## Study design

We used the prospective test-negative variant of the casecontrol study<sup>9</sup> to estimate VE against laboratory-confirmed influenza among patients presenting to a sentinel GP in Victoria between 2007 and 2011. In this study design, patients suspected of having influenza are recruited by the GP and swabbed at recruitment. Cases are patients who subsequently test positive for influenza, and controls are those who test negative. Control selection leads to the description of this study design as 'test negative'.<sup>9</sup> In the prospective form of the test-negative design, patients are recruited before their case status is known, that is, before the result of their swab is available. This study design is, therefore, not strictly a case-control design in which cases and controls are recruited based on known case status. We confined our analysis to adults aged 20-64 years as younger and older patients were under-represented.

## GP sentinel network

Over the 5 years of the study, sentinel GPs were recruited from metropolitan Melbourne and regional Victoria. GPs were rewarded for their participation with continuing education points from the Colleges of General Practice and Rural and Remote Medicine. GPs also received a weekly influenza surveillance report<sup>10</sup> and provided annual feedback by a brief survey. GP participation increased over the years from 65 in 2007 to 97 in 2011. Our GP survey data show that an average of 94.8% of GPs assessed the scheme as useful or very useful in this period.

GPs were asked to recruit patients with an ILI, defined as a combination of fever (measured or reported), cough and fatigue.<sup>11</sup> At the discretion of the GP, patients had a combined nose and throat swab, which was tested for influenza virus RNA at the Victorian Infectious Diseases Reference Laboratory (VIDRL) using a range of in-house reverse transcriptase and real-time PCR assays as previously reported.<sup>7,12–14</sup> The laboratory is designated as a National Influenza Centre by the World Health Organization. The sensitivity of an early in-house assay, which is dependent on time from symptom onset until swabbing, was estimated as 90%, while specificity was estimated as 100%.<sup>15</sup> It has previously been shown that perfect specificity in the presence of imperfect, non-differential sensitivity will provide unbiased point estimates of VE from a TND study when compared with the estimate from a cohort study.<sup>9,16</sup>

In addition to symptoms, GPs collected data on the age and sex of patients and the date of influenza vaccination. In 2011, data on influenza vaccination in the previous year and the presence of comorbidities for which influenza vaccination is funded by the National Immunisation Program were also collected. Comorbidities were recorded as ves/no and included all those conditions that are indicated for influenza vaccination in Australia, such as immunosuppression, pre-existing respiratory disease and pre-existing cardiovascular disease.<sup>17</sup> Data in this study were collected, used and reported under the legislative authority of the Public Health and Wellbeing Act 2008 and the Public Health and Wellbeing Regulations 2009 and did not require approval from a Human Research Ethics Committee. Nonetheless, patients provided written informed consent for their swab to be collected, with an understanding that anonymous results may be used for surveillance purposes.

## Estimating influenza VE

Vaccine status was recorded by the GP, based on GP records or patient report. As a proxy validation for accurate vaccine status, we required the GPs to provide the precise date of vaccination. In a case series in 2009, we found good concordance between GP and patient reports of vaccination, even when influenza vaccine had been administered outside the practice.<sup>18</sup> Patients were administered trivalent inactivated vaccines provided by a variety of manufacturers that changed by year. Vaccines from six manufacturers were licensed in Australia during the study period.<sup>17</sup> We did not collect data on vaccine manufacturer and assumed all vaccines were equally effective. Vaccines were analysed as potentially effective if administered at least 14 days prior to symptom onset. Patients whose vaccination occurred <14 days prior to symptom onset were excluded from the primary analysis. We also excluded any patient who had been vaccinated with only monovalent pandemic vaccine in 2009 or 2010 or those whose vaccination status was unknown.

Differences between those who tested positive or negative for influenza, and between the vaccinated and unvaccinated, were compared by Fisher's exact test for categorical variables and *t*-test for continuous variables. In the primary analysis, laboratory-confirmed influenza was the outcome of interest and influenza vaccination the exposure. We estimated a crude odds ratio (OR) for vaccination comparing cases and controls for each year and each influenza type/subtype. Multivariable models were also fitted to adjust for potential confounders, including age, month of swab and time between symptom onset and swab. It is generally assumed that immunocompetency does not vary significantly in adults between the ages of 20-64 years. Age was, therefore, included as a continuous variable within this age group and recentred so that 0 represented age 20 and rescaled to decades, so that 40 years became 2 [(40-20)/10 = 2]. This allowed for variation of VE by age within the age group. To ensure valid comparisons, the same model was used for all years, but a sensitivity analysis was performed for 2011, including the extra covariates on comorbidities and previous influenza vaccination. This was the only year these covariates were collected. VE was calculated as 1-OR and reported as a percentage with a 95% confidence interval. In the model combining data for the years 2007-2011, we included year as a covariate. In this estimation, we omitted 2009 when pandemic influenza was the predominant viral strain detected, and the vaccine was completely mismatched.<sup>19</sup>

Our primary analysis included all patients for whom we had complete data, without censoring any variables. However, we conducted a number of sensitivity analyses on reduced data sets. When influenza infection is present, volunteer studies have shown that it is more likely to be detected within the first 4 days of infection, presumably because of decreased viral load as the infection resolves.<sup>20</sup> In the sensitivity analyses, we, therefore, examined the effect of excluding any patients who presented more than 4 days after symptom onset, compared with including the length of time from onset of symptoms to swabbing as a continuous variable. We also confined our analysis to the influenza season each year, with the season identified by two consecutive weeks in which one or more detections of influenza were made from sentinel patients with ILLI.<sup>7,13,14</sup>

All analyses were conducted in Stata version 11 (Stata-Corp. 2009. *Stata Statistical Software: Release 11*; College Station, TX, USA).

#### Comparison of circulating and vaccine strains

The composition of the influenza vaccine for each year was extracted from the website of the WHO Collaborating Centre for Research and Surveillance of Influenza in Melbourne.<sup>21</sup> The circulating strains were identified by the WHO Collaborating Centre based on specimens referred to the Centre from Victorian laboratories. Circulating and vaccine strains were compared based on the degree of cross-reaction between strains and were conventionally assessed as being incompletely matched if there was  $\geq$ 8-fold difference in haemagglutination inhibition titres between the vaccine antigen and ferret-derived antibodies to the circulating strain.<sup>1</sup> We accepted a match as incomplete when the vaccine and predominant circulating strains differed.

## **Results**

#### Sentinel patients

There were 3136 sentinel patients with laboratory results from the 5 years of the study, of whom 2099 (67%) were aged 20–64 years. One case of influenza C was excluded from further analysis, and two patients had no laboratory results. The vaccination status was unknown or unspecified for 64 patients, 11 were vaccinated <14 days prior to the onset of ILI symptoms and 18 were vaccinated with the monovalent H1N1 vaccine. After excluding these patients, the final sample size was 2003.

The proportion of patients with an unknown vaccination status was low, but varied by year, with 1·7% unknown in 2007, 0·7% in 2008, 4·6% in 2009, 2·8% in 2010 and 3·6% in 2011 (P = 0.008). There was no difference by case status (P = 0.6). In the 5 years combined, 368 (18%) patients were recorded as having been vaccinated, with a tendency for higher vaccine coverage (22%) in 2009, the year of the influenza A(H1N1) pandemic.

In all, 655 (33%) patients tested positive for influenza of any type or subtype (Table 1). There were 96 cases of influenza B and 559 cases of influenza A, including 36 seasonal H1N1, 313 pandemic H1N1, 160 H3N2, 1 mixed H1N1/H3N2, and 49 were not subtyped. The proportion of cases and controls ascertained by month differed by year (Figure 1).

In 2011, the only year that data on comorbidities and previous vaccination were collected, 12% of 398 patients were recorded as having a comorbidity that increased their risk of an adverse outcome to infection. While more men than women recorded a comorbidity (19% versus 9%, P = 0.005), there was marginal difference by case status (8% cases versus 16% controls, P = 0.08). As expected, persons with a comorbidity were more likely to be vaccinated (33% versus 13%, P < 0.001). Patients who had been vaccinated in 2011 were more likely to have been vaccinated in the previous year (71% versus 17%, P < 0.001).

#### Influenza vaccine effectiveness

Overall, cases (patients with influenza) were less likely than controls (patients without influenza) to have been vaccinated (OR = 0.40), corresponding to a crude VE = 60%, 95% CI 43, 72). This was the case for 2007 and 2010 but, based on a crude analysis, cases were not significantly more likely than controls to have been vaccinated in 2008, 2009 or 2011 (Table 2).

VE was calculated for each year for all influenza cases and by influenza type and subtype (Table 2). With the exception of 2009, the adjusted VE estimates were largely similar to the crude estimates in all years when the outcome was all influenza detections. Against all influenza types and subtypes, the adjusted VE showed a statistically

Zoo7         Zoo8         Zoo8           Characteristic         Zoo8         Zoo8         Zoo8           Age in years [mean (SD)]         45.8 (11.0)         35.2 (11.6)         42.0 (12.5)         36.6 (11.1)           Age in years [mean (SD)]         45.8 (11.0)         35.2 (11.6)         42.0 (12.5)         36.6 (11.1)           Age in years [mean (SD)]         45.8 (11.0)         35.2 (11.6)         42.0 (12.5)         36.6 (11.1)           Age in years [mean (SD)]         45.8 (11.0)         35.2 (11.6)         42.0 (12.5)         36.6 (11.1)           Age in years [mean (SD)]         45.8 (11.0)         35.2 (11.6)         42.0 (12.5)         36.6 (11.1)           Milluenza PCR         34 (52)         124 (44)         23 (51)         117 (48)           Molective         32 (48)         158 (56)         23 (80)         177 (73)           Negative         177 (25)         136 (48)         9 (20)         66 (27)           Positive         177 (26)         136 (48)         9 (20)         66 (27)           A (H1N1)         4 (24)         2 (13)         1 (11)         3 (5)           A (H1N1)         9 (53)         81 (60)         6 (67)         2 (4 (36)           A (H1N1)         2 (12)         1 (1) <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>								
Characteristic         Vaccinated         Unvaccinated         Vaccinated         Unvaccinated         Unvaccinated </th <th>2008</th> <th></th> <th>2009</th> <th></th> <th>2010</th> <th></th> <th>2011</th> <th></th>	2008		2009		2010		2011	
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M       34 (52)       124 (44)       23 (51)       117 (48)         M       32 (48)       158 (56)       22 (49)       126 (52)         Influenza PCR       49 (74)       158 (56)       22 (49)       126 (52)         Negative       17 (26)       136 (48)       9 (20)       66 (27)         Positive       17 (26)       136 (48)       9 (20)       66 (27)         Influenza type/subtype*       2 (12)       4 (3)       0 (0)       5 (8)         A (not subtyped)       2 (12)       4 (3)       0 (0)       5 (8)         A (H1N1)       4 (24)       26 (19)       1 (11)       3 (5)         A (H3N2)       9 (53)       81 (60)       6 (67)       24 (36)         A (H1N1)       4 (24)       2 (19)       1 (11)       3 (5)         A (H1N1)       9 (53)       81 (60)       6 (67)       24 (36)         A (H1N1)       0 (0)       0 (0)       0 (0)       0 (0)         A (H1N1)       2 (12)       2 (12)       2 (12)       2 (22)       34 (52)         A (H1N1)       0 (0)       0 (0)       0 (0)       0 (0)       0 (0)       0 (0)         A (H1N1)       2 (12)       2 (12)       2 (18)       <	0) 35.2 (11.6) 42.0 (12.5)	36.6 (11.0)	41.6 (12.5)	34.3 (11.7)	43.1 (12.0)	37.1 (11.2)	43.2 (12.5)	37.1 (11.2)
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A (H3N2)       9 (53)       81 (60)       6 (67)       24 (36)         A (H1N1)pdm09       0 (0)       0 (0)       0 (0)       0 (0)         A (H1 H3)       0 (0)       1 (1)       0 (0)       0 (0)         B       2 (12)       24 (18)       2 (22)       34 (52)         Comorbid condition       Data not collected in these years       Yes       Yes	26 (19) 1 (11)	3 (5)	0 (0)	2 (1)	0 (0)	0 (0)	0 (0)	0 (0)
A (H1N1)pdm09         0 (0)         0 (0)         0 (0)         0 (0)           A (H1 H3)         0 (0)         1 (1)         0 (0)         0 (0)           B         2 (12)         24 (18)         2 (22)         34 (52)           Comorbid condition         Data not collected in these years         Yes         Yes	81 (60) 6 (67)	24 (36)	0 (0)	3 (2)	0 (0)	3 (3)	5 (56)	29 (34)
A (H1 H3)     0 (0)     1 (1)     0 (0)     0 (0)       B     2 (12)     24 (18)     2 (22)     34 (52)       Comorbid condition     2 (12)     24 (18)     2 (22)     34 (52)       No     Data not collected in these years     Yes     Yes	0 (0) 0 (0) 0	0 (0)	47 (94)	155 (86)	4 (100)	85 (87)	0 (0)	22 (26)
B         2 (12)         24 (18)         2 (22)         34 (52)           Comorbid condition         2         2         2         2         2         3         2         3         4         5         3         4         4         4         4         4         4         4         4         4         4         4         4	1 (1) 0 (0)	0 (0)	0 (0)	(0) 0	0 (0)	0 (0)	0 (0)	(0) 0
Comorbid condition No Data not collected in these years Yes	24 (18) 2 (22)	34 (52)	0 (0)	(0) 0	0 (0)	2 (2)	2 (22)	30 (35)
No Data not collected in these years Yes								
Yes	collected in these years						37 (69)	256 (89)
							17 (31)	32 (11)
Previously vaccinated								
No							15 (27)	263 (83)
Yes							41 (73)	53 (17)

Percents may not add to 100 due to rounding errors.



Figure 1. Cases and controls by season<sup>1</sup>, Victorian sentinel patients 2007–2011; <sup>1</sup>Autumn: March–May; Winter: June–August; Spring: September–November; Summer: December–February.

significant protective effect in 2007 (VE = 58%, 95% CI 17, 79), 2010 (VE = 87%, 95% CI 61, 96) and 2011 (VE = 59%, 95% CI 4, 82) and a non-significant protective effect in 2008 (VE = 29%, 95% CI -71, 71). In 2009, the year of the pandemic, the point estimate for VE was non-protective (VE = -32%, 95% CI -116, 19), but this was not statistically significant. Although crude and adjusted VE estimates were mostly similar for VE against influenza types and subtypes, estimates were variable and often not significant, likely owing to the small numbers of vaccinated cases in these categories by year (Table 2).

The adjusted VE estimate for the 4 years excluding 2009 was 62% (95% CI 43, 75). Age was not a significant predictor [OR = 0.93 (95% CI 0.83, 1.04)]. When analysed by type and subtype, the point estimates of VE ranged between 31% for seasonal influenza A(H1N1) and 88% for influenza A(H1N1)pdm09 (Table 2).

The sensitivity of the estimates was assessed when the model was modified in three ways. First, for 2011, the only year for which comorbidity and previous vaccination status were available, the adjusted VE including these variables in the model gave an estimate of 48% (95% CI -41, 81), lower than the adjusted estimate when these variables were not included (VE = 59%, 95% CI 4, 82) (Table 3). Second, among patients with information on the time between symptom onset and the collection of a nasopharyngeal swab, 151 of 1270 (12%) samples were collected after 4 days onset, but 6·4% of cases compared with 15% of controls had swabs collected after 4 days (P < 0.001).

When these patients presenting late were excluded from the analysis, the overall, adjusted estimate of vaccine effectiveness improved to 66% (95% CI 48, 78; 2009 omitted). Finally, when only patients presenting during the influenza season were considered (n = 1230), the adjusted VE reduced slightly to 60% (95% CI 40, 73).

Adjusted VE estimates by type and subtype were compared with assessments of the match between circulating and vaccine strains (Table 4). In 2007, when the majority of circulating strains were assessed as incompletely matched by the haemagglutination inhibition assay, the point estimate of the adjusted VE against all influenza was 58%. The point estimate of the VE was 87% in 2010 when vaccine and circulating strains were matched, but was 59% in 2011 when all strains were again assessed as well matched.

#### Discussion

Based on a prospective test-negative design variant of a case–control study, we estimated influenza VE against laboratory-confirmed influenza for adults aged 20–64 years attending a Victorian sentinel general practice in 2007–2011 as 62% (95% CI 43, 75), excluding the pandemic year of 2009. Using data for 4 years resulted in a sample size exceeding 1300 even after exclusion of 2009 when influenza A(H1N1)pdm09 was the dominant circulating strain. PCR-confirmed influenza defined the study endpoint. Relative to PCR, viral culture will miss cases, and serology will overestimate VE for trivalent inactivated vaccines.<sup>22</sup> For studies

Year	Influenza type and subtype*	Cases n**	Vaccinated cases <i>n</i> (%)	Controls <i>n</i>	Vaccinated controls <i>n</i> (%)	Crude VE% (95% Cl)	Adjusted VE%*** (95% CI)
2007	All	153	17 (11)	195	49 (25)	63 (32, 80)	58 (17, 79)
	A(H1N1)	31	4 (13)			56 (-32, 85)	49 (-70, 85)
	A(H3N2)	91	9 (10)			67 (30, 85)	63 (15, 84)
	В	26	2 (8)			75 (-9, 94)	78 (-16, 96)
2008	All	75	9 (12)	213	36 (17)	33 (-47, 69)	29 (-71, 71)
	A(H1N1)	4	1 (25)			-64 (-1521, 83)	-75 (-2065, 86)
	A(H3N2)	30	6 (20)			-23 (-222, 53)	-8 (-238, 65)
	В	36	2 (6)			71 (-26, 93)	57 (-95, 91)
2009	All	230	50 (22)	430	93 (22)	-1 (-48, 32)	-32 (-116, 19)
	A(H1N1)pdm09†	202	47 (23)			-10 (-64, 26)	-41 (-135, 16)
2010	All	102	4 (4)	207	48 (23)	86 (61, 95)	87 (61, 96)
	A(H1N1)pdm09	89	4 (4)			84 (55, 95)	85 (56, 95)
2011‡	All	95	9 (9)	303	53 (17)	51 (-4, 77)	59 (4, 82)
	A(H3N2)	34	5 (15)			19 (-120, 70)	54 (-49, 86)
	В	32	2 (6)			69 (-36, 93)	64 (-61, 92)
Overall§	All	425	39 (9)	918	186 (20)	60 (43, 72)	62 (43, 75)
	A(H1N1)	35	5 (14)			34 (-71, 75)	31 (-107, 77)
	A(H3N2)	158	20 (13)			43 (6, 65)	52 (14, 73)
	A(H1N1)pdm09	111	4 (4)			85 (60, 95)	88 (64, 96)
	В	96	6 (6)			74 (39, 89)	65 (17, 86)

Table 2. Influenza vaccine effective estimates by year and influenza type and subtype, Victorian sentinel patients aged 20-64 years

\*VE is reported for subtypes where at least one vaccinated case was detected.

\*\*Cases by type and subtype will not add to total cases because typing/subtyping was not available for all cases.

\*\*\*Adjusted for delay between symptom onset and swab, age and month of presentation. Model for all years, 2007–2011 also adjusted for

year.

†Influenza A(H1N1)pdm09 is the pandemic strain of influenza.

\*None of the 22 cases of A(H1N1)pdm09 detected in 2011 were vaccinated.

§2009 was not included in the overall VE estimate.

 Table 3. Sensitivity of the VE estimates under different models

Model	N ( <i>n*</i> )	VE% (95% CI)
Adjusted model including comorbidity status and previous vaccination status. 2011 only	398 (274)	48 (-41, 81)
Adjusted model excluding patients who presented >4 day after symptom onset, 2007–2011 (2009 omitted)	1270 (1107)	66 (48, 78)
Adjusted model excluding patients presenting outside the season, 2007–2011 (2009 omitted)	1230 (1227)	60 (40, 73)

\*Numbers in parentheses are the number included in the regression model (complete case analysis).

of inactivated influenza vaccines, such as this study, PCR is the laboratory test of choice.

Differences in VE estimates from this study and those from our previous publications resulted from restriction of our analysis to the 20- to 64-year-old age group, analysing age as a continuous variable within the group and the inclusion of the delay between symptom onset and swabbing as a continuous covariate in this analysis rather than censoring data at 4 days delay. However, comparison with previously reported results and the sensitivity analyses in this study showed the differences in approach made only marginal differences to the VE estimates by year, except for the pandemic year of 2009.<sup>7,12–14</sup> We did not include that year in our summary VE estimate, and an exploration of possible reasons for the differences in VE estimates will be reported separately.

In addition to limitations common to observational studies, the test-negative design has its own methodological limitations, not all of which have been completely explored. Our study was limited by the fact that we did not collect comorbidity and previous vaccination status until 2011. We had tried to keep the system as simple as possible to facilitate GP involvement, but the collection of the extra data in 2011 did not appear to burden GPs. We allow GPs

Table 4.	Influenza	vaccine effectiveness	estimates. T	he VE for ea	ch year is	s provided	alongside	the strains	included in	n that year'	s vaccine as we	11
as the pr	edominant	ly circulating strain in	Victoria that	t year*. Strai	ns in bol	d indicate a	an incomp	oletely mate	ched vaccir	ne strain		

Year	VE, adjusted (95% Cl)	Type/subtype	N*	Vaccine	Predominant strain
2007	58 (17, 79)	A/H1	65	A/New Caledonia/20/99 (H1N1)	83% A/Solomon Islands/3/2006-like
		A/H3	74	A/Wisconsin/67/2005 (H3N2)	64% A/Brisbane/10/2007-like
		В	18	B/Malaysia/2506/67/2004 (Victoria lineage)	58% B/Florida/4/2006-like
2008	29 (-71, 71)	A/H1	1	A/Solomon Islands/3/2006 (H1N1)	100% A/Brisbane/59/2007-like
		A/H3	39	A/Brisbane/10/2007 (H3N2)	81% A/Brisbane/10/2007-like
		В	19	B/Florida/4/2006 (Yamagata lineage)	38% B/Florida/4/2006-like
2009	-32 (-116, 19)	A/H1	99	A/Brisbane/59/2007 (H1N1)	91% A/California/7/2009-like
		A/H3	17	A/Brisbane/10/2007 (H3N2)	59% A/Perth/16/2009-like
		В		B/Florida/4/2006 (Yamagata lineage)	(No samples received from Victoria)
2010	87 (61, 96)	A/H1	233	A/California/7/2009 (H1N1)-like virus	98% A/California/7/2009-like
		A/H3	23	A/Perth/16/2009 (H3N2)-like virus	96% A/Perth/16/2009-like
		В	9	B/Brisbane/60/2008-like virus (Victoria lineage)	90% B/Brisbane/60/2008-like
2011	59 (4, 82)	A/H1	79	A/California/7/2009 (H1N1)-like virus	89% A/California/7/2009-like
		A/H3	135	A/Perth/16/2009 (H3N2)-like virus	98% A/Perth/16/2009-like
		В	128	B/Brisbane/60/2008-like virus (Victoria lineage)	95% B/Brisbane/60/2008-like

\*Circulating strains are determined for a sample of viruses from Victoria by the WHO Collaborating Centre for Reference and Research on Influenza and may not be representative of the strains circulating in the community.

discretion in determining which patients to swab, whereas other surveillance schemes use a systematic approach to swabbing, to try to limit bias.<sup>4</sup> All observational studies are limited by the lack of randomization of vaccination, a potential source of bias. For example, patients with comorbidities should be more likely to be vaccinated (exposure by indication) but additionally may be more likely to be tested.

Given these potential limitations, we acknowledge that the VE estimates from this study may be biased. It is, therefore, instructive to compare our results with those from contemporary studies using the same endpoint of PCR-confirmed influenza in patient groups of similar ages. The gold standard comparator is the randomized controlled trial. Results from a large randomized controlled trial conducted in Australia and New Zealand in 2008-2009 found an efficacy of 60% (95% CI 44, 72) for matched strains and 42% (95% CI 30, 52) for all strains, which included A(H1N1)pdm 2009.23 A meta-analysis of vaccines licensed for use in the USA estimated a pooled vaccine efficacy of 59% (95% CI 51, 67) from published trials.<sup>24</sup> A recent pooled test-negative design of eight studies from Europe estimated adjusted VE for all influenza in 15- to 59-year-olds as 41% (95% CI: -3, 66) in 2010-2011.<sup>25</sup> There are acknowledged potential biases in the testnegative design, but when comparisons from this design are limited to influenza laboratory-detected by PCR among adults of working age, efficacy (trial results) and effectiveness (observational study results) estimates are similar (Table 5).

However, our study also suggests that VE results are not directly related to the proportion of circulating strains that are matched to the vaccine. This observation may result from under-representation of viruses received by the WHO Collaborating Centre in Melbourne. The Centre receives about 15% of laboratory-confirmed influenza viruses reported by the state of Victoria each year, but it is difficult to know whether those viruses submitted represent equal proportions of the circulating strains. Even with perfect representativeness, haemagglutination inhibition assays are a blunt tool for the assessment of VE for inactivated vaccines.<sup>22</sup> It has also been suggested that these assays may be suboptimal for the determination of strain match, especially for more recently circulating H3N2 strains for which problems with agglutination of chicken and turkey red blood cells have been documented and assay results give sometimes discrepant results depending on whether the isolate was grown in eggs or cell culture.<sup>1</sup> Other options for the assessment of vaccine match have their own limitations; microneutralization is labour- and time-intensive and has limited accuracy,<sup>26</sup> and phylogenetic analysis does not reliably correlate with antigenic drift.<sup>27</sup>

A study from Taiwan that modelled excess seasonal pneumonia and influenza mortality in older persons showed a lower mortality when vaccine and circulating Table 5. Comparison of contemporary vaccine effect measures from community-based studies using a PCR endpoint in working-age adults

				Vaccine	Participants			
Study and setting	Design	Years	Age group	effect measure	Total	With influenza	Without influenza	VE (95% CI)
Observational study, Australia (this study)	Test-negative design	2007–2008 and 2010–20011	20–64 years	Effectiveness of all strains	1343	425	918	62 (43, 75)
Pooled observational study, Europe [25]	Test-negative design from eight countries	2010–2011	15–59 years	Effectiveness of all strains	2511	1117	1394	41 (-3, 66)
Systematic review, vaccines licensed in the USA [24]	Mantel–Haenszel random effects model meta-analysis	Searched for eligible studies 1967–2011	18–64 years	Efficacy of all strains	32 470	578	31 892	59 (51, 67)
Vaccine licensure study, Australia	Randomized controlled trial	2008–2009	18–64 years	Efficacy-matched strains	14 859	277	14 582	60 (44, 72)
and New Zealand [23]				Efficacy of non-matched strains				42 (30, 52)

strains were matched. However, there was also a trend towards lower mortality with mismatched vaccines during the post-SARS period.<sup>28</sup> In an analysis from the 2007–2008 influenza season in the USA, VE was estimated as 37% with a suboptimal match for both the H3N2 and B strains.<sup>29</sup> The authors concluded that, in any season, assessment of the clinical effectiveness of influenza vaccines cannot be determined solely by laboratory evaluation of the degree of antigenic match between vaccine and circulation strains. This was confirmed in the 2010–2011 influenza season in Canada, when an incompletely matched H3N2 strain was identified by both reduced subtype VE estimation and phylogenetic analysis, but not by haemagglutination inhibition assay.<sup>27</sup>

We conclude that the trivalent influenza vaccine provides only moderate protection, of the order of 60%, against medically attended ILI due to laboratory-confirmed influenza in working-age adults. Other VE estimates for the 2010–2011 northern hemisphere season and the 2010 and 2011 southern hemisphere seasons are consistent with this conclusion.<sup>14,27,30–32</sup> In future seasons, we plan to continue to collect data on important confounders, such as comorbidity status and incorporate antigenic characterization data to estimate VE by strain. While it must be stressed that current influenza vaccines are proven to be effective in both trials and observational studies, it is our view that reliance on vaccines of moderate effectiveness should not be allowed to delay the development of new potentially improved vaccines.

Established and evolving observational study designs to estimate influenza vaccine effectiveness should continue to be improved. Such improvements could involve standardizing study designs internationally, as has already been done in Europe.<sup>25</sup> Increasing sample sizes could increase the precision of VE estimates, especially by influenza type and subtype. Improved study designs would facilitate reliable field effectiveness estimates of new-generation vaccines as they become available.

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## **Conflict of interest statement**

We the authors state that none of us has a commercial or other association that might pose a conflict of interest.

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None of the data in this manuscript have been presented elsewhere.

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