### NTRK fusion positive colorectal cancer is a unique subset of CRC with high TMB and microsatellite instability

TRK fusions are rare but targetable mutations which occur across a wide vari-

ety of cancer types. We report the prevalence of approximately 0.7% for NTRK-

positive colorectal cancer (CRC) by genetically profiling 2519 colonic and rectal

tumors. The aberrations of APC and TP53 frequently co-occurred with NTRK

gene fusions, whereas RAS/BRAF oncogenic alterations and NTRK fusions were

almost always mutually exclusive. NTRK-driven colorectal cancer patients dem-

onstrated increased TMB (median = 53 mut/MB, 95% CI: 36.8-68.0 mut/MB),

high microsatellite instability, and an enrichment for POLE/POLD1 mutations

when compared to molecularly unstratified colorectal cancer population. These

data shed light on possible future approach of multimodality treatment regimen

including TRK-targeted therapy and immune checkpoint inhibitor therapy in

colorectal cancer, gene fusions, microsatellite instability, NTRK, POLE/POLD1, tumor

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Abstract

NTRK-positive CRCs.

**KEYWORDS** 

mutation burden

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### **1** | INTRODUCTION

The NTRK genes (NTRK1/2/3) encode tropomyosin receptor kinase (trk) proteins (TrkA/B/C) which are mainly involved in neural development and homeostasis.<sup>1</sup> TRK fusions are rare but targetable mutations which occur in both adults and children.<sup>2</sup> Studies have shown that TRK inhibitors were able to produce durable responses in TRK fusion-positive cancer patients.<sup>3,4</sup> Currently, two first generation (1G) NTRK TKIs (larotrectinib,<sup>5,6</sup> entrectinib<sup>7</sup>) have been approved by the US Food and Drug Administration (FDA) for the treatment of both adult and pediatric cancers in a tumor-agnostic manner. Furthermore, a number of next-generation NTRK TKIs (selitrectinib [LOXO-195],<sup>8</sup> repotrectinib,<sup>9</sup> and taletrectinib<sup>10</sup>) that can overcome acquired on-target NTRK resistance mutations especially solvent-front mutation to first-generation NTRK TKIs<sup>3</sup> are in clinical development.

There were previous reports that *NTRK*+ colorectal cancer may represent a unique subset of CRC with high tumor mutation burden (TMB) and are more likely to be microsatellite unstable.<sup>11,12</sup> In this study, we analyzed the clinicopathologic and molecular characteristics of a large cohort of Chinese CRC patients through comprehensive genomic profiling using next-generation sequencing from either tumor or blood samples, and identified the frequency, and clinicopathologic and genetic features, including tumor mutation burden (TMB) and microsatellite instability status (MSI), of *NTRK*-driven colorectal cancers with the ultimate goal of further informing diagnostic and treatment decisions.

### 2 | MATERIALS AND METHODS

### 2.1 | Patients and samples

A series of 2519 consecutive colorectal cancer clinical cases were analyzed using comprehensive genomic profiling (CGP) in a Clinical Laboratory Improvement Amendmentscertified, College of American Pathologists accredited laboratory (422-gene panel –GeneseeqOne™; 425-gene panel – GeneseeqPrime™; Nanjing Geneseeq Technology, Jiangsu, China), as previously described.<sup>13</sup> Detailed panel gene lists are provided in Table S1. While both panels could detect NTRK1 fusions, GeneseeqPrime™ had the additional capacity of detecting NTRK2/3 fusions, in which all exons (including flanking intronic regions) of NTRK1/2/3 plus selected introns including NTRK1 (introns 4, 7-13), NTRK2 intron 12, and NTRK3 introns 12–14 were covered. Furthermore, ETV6 introns 4–6 were included for the detection of ETV6-NTRK3 fusions. We identified patients with *NTRK*+ fusions by searching using natural language search tool in the Laboratory Information Management System (LIMS) database. Relevant demographic and clinical data

### What's New?

*NTRK* fusions positive colorectal cancer (CRC) are rare (<1%). *NTRK*-positive CRC tumors demonstrated very high tumor mutation burden (median 53 mut/MB), microsatellite instability-high (MSI-H, 76%), and an enrichment of concurrent *POLE* and *POLD1* mutations. These data may be informative in guiding molecularly driven treatment including targeted therapy and immunotherapy for treating *NTRK*+ CRC patients. Patients with MSI-H or high TMB CRC should also be screened for *NTRK* fusions.

were extracted from the database for these cases, including age, gender, date of diagnosis, histology type, pathological stage, and evaluation of treatment response per reports by clinical investigators.

For tumor tissue samples, the pathologic diagnosis and tumor content of each case was confirmed by pathologists. Peripheral blood of 8–10 ml was collected in EDTAcoated tubes (BD Biosciences) and centrifuged at 1800 g for 10 min within 2 h of collection to separate the plasma for circulating tumor DNA (ctDNA) extraction and white blood cells for genomic DNA extraction as germline control. In accord with the Declaration of Helsinki, written informed consent was collected from each patient prior to sample collection. This study was approved by the ethics committee of the Second Affiliated Hospital of Harbin Medical University, Harbin, China.

## 2.2 | DNA extraction and targeted enrichment

Genomic DNA from the white blood cells were extracted using the DNeasy Blood & Tissue Kit (Qiagen), while genomic DNA of fresh or *formalin-fixed paraffinembedded* (*FFPE*) tumor specimens was purified using the QIAamp DNA FFPE Tissue Kit (Qiagen). All DNA was quantified using the dsDNA HS Assay Kit on a Qubit Fluorometer (Life Technologies). Sequencing libraries were prepared using the KAPA Hyper Prep Kit (Roche), as described previously.<sup>10</sup> Indexed DNA libraries were pooled together for probe-based hybridization capture of the targeted gene regions covered by different gene panels.

### 2.3 | Sequencing data processing

Sequencing was performed on the Illumina HiSeq4000 platform (150 bp paired end sequencing) followed by data analysis as previously described.<sup>13,14</sup> The

FIGURE 1 NTRK fusions in colorectal cancer. (A). Colon tumor site. (B). Venn diagram of the relationships between NTRK+ colorectal cancer (CRC), high tumor mutational burden (TMB), and positive microsatellite instability status (MSI). (C). The comparison of TMB between NTRK+ CRC, molecularly unstratified CRC, NTRK+ non-CRC, and CRC that carried other kinase fusions



Subtypes

### TABLE 1 Patient overview

Characteristics	TRK+ CRC (N = 17)	NTRK1+ subset ( $N = 14$ )		
Age of onset, median, years	65 (range: 38–76)	67 (range: 52–76)		
Sex, <i>n</i> (%)				
Female	9 (52.9%)	8 (57.1%)		
Male	8 (47.1%)	6 (42.9%)		
Stage, <i>n</i> (%)				
III-IV	5 (29.4%)	4 (28.6%)		
n.d.	12 (70.6%)	10 (71.4%)		
NTRK kinases, n (%)				
NTRK1	14 (82.3%)	14 (100%) —		
NTRK3	3 (17.7%)			
Tumor site, $n$ (%)				
Colon				
Right-sided	11 (64.7%)	9 (71.5%)		
n.d.	5 (29.4%)	4 (28.5%)		
Rectum	1 (5.8%)	0		
TMB, median, mut/MB	53 (range: 2–108)	53 (range: 2–108)		
MSI-positive, n (%)	13 (76.5%)	12 (85.7%)		

Abbreviation: n.d., not determined.

2544

ID       Age       Sex       Stage       Site       Treatment history       gene       partner       Fusion form         P1       74       M       NA       Colon-transverse       NA       NTRK1       TPM3       TPM3:exon10-NTRK1:exon9         P2       67       F       NA       Colon-ascending       NA       NTRK1       TPM3       NTRK1:exon8-TPM3:exon7 TPM3:exon6-NTRK1:exon8         P3       69       F       NA       Colon-ascending       NA       NTRK1       TPM3       PM3:exon6-NTRK1:exon8         P4       53       M       NA       Colon-site NA       Surgery       NTRK1       TPM3       TPM3:exon6-NTRK1:exon10         P5       67       M       NA       Colon-ascending       Surgery       NTRK1       TPM3       TPM3:exon10-NTRK1:exon11         P6       77       F       NA       Colon-ascending       Surgery       NTRK1       TPM3       TPM3:exon10-NTRK1:exon12         P6       71       F       NA       Colon-ascending       Surgery       NTRK1       TPM3       TPM3:exon10-NTRK1:exon14         P10       53       M       NA       C							TRK	Fusion		
P1     74     M     NA     Colon-transverse     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9       P2     67     F     IV     Colon-ascending Colon-ascending P3     Chenotherapy (Capecitabine, 4m) P3     NTRK1     TPM3     MTRK1:exon8-TPM3:exon7 TPM3:exon6-NTRK1:exon8       P3     69     F     NA     Colon-ascending     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon8       P4     53     M     NA     Colon-site NA     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P5     67     M     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10       P6     74     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10       P6     75     F     III     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10       P10     S3     M     IV     Colon-ascending     Surgery     NTRK1     TPM3     RUNX1:exon4-NTRK1:exon12       P11     S1     M     NA     Colon-ascending     Surgery     NTRK1     IMA     MINA:exon	ID	Age	Sex	Stage	Site	Treatment history	gene	partner	Fusion form	
P2   67   F   IV   Colon-ascending   Chemotherapy (Capecitabine, 4m, problem   NTRK1   TPM3   NTRK1:exon8-TPM3:exon7     P3   69   F   NA   Colon-ascending   NA   NTRK1   TPM3   TPM3:exon6-NTRK1:exon8     P4   53   M   NA   Colon-site NA   Surgery   NTRK1   TPM3   TPM3:exon6-NTRK1:exon10     P5   67   M   NA   Colon-site NA   NA   NTRK1   TPM3   TPM3:exon6-NTRK1:exon11     P6   7   F   NA   Colon-site NA   NA   NTRK1   TPM3   TPM3:exon6-NTRK1:exon12     P6   67   F   NA   Colon-site NA   NA   NTRK1   TPM3   TPM3:exon6-NTRK1:exon12     P7   75   F   III   Colon-site NA   NA   NTRK1   TPM3   TPM3:exon6-NTRK1:exon9     P8   71   F   NA   Colon-ascending   Surgery   NTRK1   TPM3   TPM3:exon6-NTRK1:exon12     P10   63   M   IV   Colon-ascending   Surgery   NTRK1   TPM3   RUNX1:exon4-NTRK1:exon12     P11   52   M   NA   Return   Chemotherapy (CAPOx)   NTRK1   IMA   MINExi-exon6-NTRK1:exon12     P12 <td>P1</td> <td>74</td> <td>М</td> <td>NA</td> <td>Colon-transverse</td> <td>NA</td> <td>NTRK1</td> <td>TPM3</td> <td>TPM3:exon10-NTRK1:exon9</td>	P1	74	М	NA	Colon-transverse	NA	NTRK1	TPM3	TPM3:exon10-NTRK1:exon9	
P3     69     F     NA     Colon-ascending     NA     NTRK1     TPM3:exon6-NTRK1:exon8       P4     53     M     NA     Colon-site NA     Surgery     NTRK1     LMNA     LMNA:exon7-NTRK1:exon11       P5     67     M     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P6     67     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10       P6     67     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10       P7     75     F     III     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9       P8     71     F     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P10     55     M     NA     Return     Colon-ascending     Surgery     NTRK1     TPM3     RUNX1     RUNX1:exon4-NTRK1:exon12       P10     55     M     NA     Colon-ascending     Surgery     NTRK1     IMNA     IMNA:exon4-NTRK1:exon12 <t< td=""><td>P2</td><td>67</td><td>F</td><td>IV</td><td>Colon-ascending</td><td>Chemotherapy</td><td>NTRK1</td><td>TPM3</td><td>NTRK1:exon8-TPM3:exon7</td></t<>	P2	67	F	IV	Colon-ascending	Chemotherapy	NTRK1	TPM3	NTRK1:exon8-TPM3:exon7	
P3     69     F     NA     Colon-accenting     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon1       P4     53     M     NA     Colon-site NA     Surgery     NTRK1     IMNA     IMNA:exon7-NTRK1:exon10       P5     67     M     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P6     67     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P7     75     F     III     Colon-accenting     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     71     F     NA     Colon-accenting     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     71     F     NA     Colon-accenting     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P10     52     R     N     Return     Chemotherapy (CAPO)     NTRK1     RNA     Surson6-NTRK1:exon12       P11     52     F     NA     Colon-accenting     Surgery     NTRK1     KINA     IMAN:exon4-NTRK1:exon12       P12     52<						(Capecitabine, 4 mo, PD)			TPM3:exon6-NTRK1:exon8	
P4     53     M     NA     Colon-site NA     Surgery     NTRK     LMNA     LMNA:exon7-NTRK1:exon10       P5     67     M     NA     Colon-site NA     NA     NTRK     TPM3     TPM3:exon6-NTRK1:exon10       P6     67     F     NA     Colon-site NA     NA     NTRK     TPM3     TPM3:exon10-NTRK1:exon30       P7     75     F     III     Colon-ascending     Surgery     NTRK     TPM3     TPM3:exon10-NTRK1:exon9       P8     71     F     NA     Colon-ascending     Surgery     NTRK     TPM3     TPM3:exon6-NTRK1:exon9       P8     71     F     NA     Colon-ascending     Surgery     NTRK     TPM3     TPM3:exon6-NTRK1:exon3       P9     63     M     NA     Ectum     Chemotherapy(CAPOX)     NTRK     TPM3     RUNX1:exon8-NTRK1:exon12       P10     52     F     NA     Colon-ascending     Surgery     NTRK     IMNA     IMNA:exon3-NTRK1:exon12       P11     76     F     NA     Colon-ascending     Surgery     NTRK1     IMNA     IMNA:exon3-NTRK1:exon12       P14 <td< td=""><td>Р3</td><td>69</td><td>F</td><td>NA</td><td>Colon-ascending</td><td>NA</td><td>NTRK1</td><td>TPM3</td><td>TPM3:exon6-NTRK1:exon8</td></td<>	Р3	69	F	NA	Colon-ascending	NA	NTRK1	TPM3	TPM3:exon6-NTRK1:exon8	
P5     67     M     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon6-NTRK1:exon10       P6     67     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon30       P7     75     F     II     Colon-ascendig     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     71     F     NA     Colon-ascendig     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     71     F     NA     Colon-ascendig     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     63     M     N     Colon-ascendig     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon14       P10     52     M     NA     Colon-ascendig     Surgery     NTRK1     TPM3     RUNX1:exon8-NTRK1:exon14       P11     52     F     NA     Colon-ascendig     Surgery     NTRK1     TPM3     SUNX1:exon4-NTRK1:exon12       P13     76     F     NA     Colon-ascendig     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon14       P14 <t< td=""><td>P4</td><td>53</td><td>М</td><td>NA</td><td>Colon-site NA</td><td>Surgery</td><td>NTRK1</td><td>LMNA</td><td>LMNA:exon7-NTRK1:exon11</td></t<>	P4	53	М	NA	Colon-site NA	Surgery	NTRK1	LMNA	LMNA:exon7-NTRK1:exon11	
P6     67     F     NA     Colon-site NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon8       P7     75     F     III     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9       P8     71     F     NA     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     63     M     IV     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P9     63     M     IV     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon4-NTRK1:exon4       P10     55     M     NA     Return     Chemotherapt (CAPOX)     NTRK1     TPM3     SUNX1:exon4-NTRK1:exon14       P10     55     M     NA     Return     Surgery     NTRK1     IMNA     IMNA:exon4-NTRK1:exon12       P11     76     F     NA     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon14       P14     63     M     NA     Colon-ascendia     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon14       P14	P5	67	М	NA	Colon-site NA	NA	NTRK1	TPM3	TPM3:exon6-NTRK1:exon10	
P7     75     F     III     Colon-ascenting     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9       P8     71     F     NA     Colon-ascenting     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P8     63     M     IV     Colon-ascenting     Chemotherapt(CAPOX 5 no, PD), surgery     NTRK1     TPM3     NTRK1:exon6-NTRK1:exon14       P10     55     M     NA     Rectum     Chemotherapt(CAPOX 6 no, PD)     NTRK1     IMA     NTRK1:exon4-NTRK3:exon14       P10     55     M     NA     Rectum     Colon-ascenting     Surgery     NTRK1     IMNA     IMNA:exon4-NTRK1:exon14       P11     52     F     NA     Colon-ascenting     Surgery     NTRK1     IMNA     IMNA:exon4-NTRK1:exon14       P13     76     F     NA     Colon-ascenting     Surgery     NTRK1     IMNA     IMNA:exon4-NTRK1:exon14       P14     63     M     NA     Colon-ascenting     Surgery     NTRK1     IMTA     IPM3:exon10-NTRK1:exon14       P15     F     N     NA     Colon-ascenting     Surgery     NTRK1     IPM3 </td <td>P6</td> <td>67</td> <td>F</td> <td>NA</td> <td>Colon-site NA</td> <td>NA</td> <td>NTRK1</td> <td>TPM3</td> <td>TPM3:exon10-NTRK1:exon8</td>	P6	67	F	NA	Colon-site NA	NA	NTRK1	TPM3	TPM3:exon10-NTRK1:exon8	
P8     71     F     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon6-NTRK1:exon9       P9     63     M     IV     Colon-ascending     Chemotherapy (CAPOX, 5m, PD), surgery     NTRK1     TPM3     NTRK1:exon8-TPM3:exon7       P10     55     M     NA     Rectum     Chemotherapy (CAPOX, 6m, PD)     NTRK1     LMNA     RUNX1:exon4-NTRK3:exon14       P12     52     F     NA     Colon-ascending     Surgery     NTRK1     LMNA     LMNA:exon8-NTRK1:exon12       P13     76     F     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon13       P14     63     M     NA     Colon-ascending     Surgery     NTRK3     TPM3     TPM3:exon10-NTRK1:exon14       P15     N     N     Colon-ascending     Surgery     NTRK3     SUFA     TPM3:exon21-NTRK1:exon15	P7	75	F	III	Colon-ascending	Surgery	NTRK1	TPM3	TPM3:exon10-NTRK1:exon9	
P9     63     M     IV     Colon-ascending     Chemotherapy (CAPOX, 5m, PD), surgery     NTRK1     PM3     NTRK1:exon8-TPM3:exon7       P10     55     M     NA     Rectum     Chemotherapy (CAPOX, 6m, PD)     NTRK3     RUNX1     RUNX1:exon4-NTRK3:exon14       P12     52     F     NA     Colon-ascending     Surgery     NTRK1     LMNA     LMNA:exon8-NTRK1:exon12       P13     76     F     NA     Colon-ascending     Surgery     NTRK1     PM3     PM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     PM3     PM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     PM3     PM3:exon10-NTRK1:exon14       P15     S7     F     NA     Colon-ascending     Surgery     NTRK1     STRK1     PM3:exon21-NTRK1:exon14       P16     S5     M     III     Colon-ascending     Surgery     NTRK1     RTR1     PM3:exon21-NTRK1:exon14       P17     R1     F     NA     Colon-ascending     NA     NA     NTR4     PM3:exon21-NTRK1	P8	71	F	NA	Colon-ascending	Surgery	NTRK1	TPM3	TPM3:exon6-NTRK1:exon9	
P10     55     M     NA     Rectum     Chemotherapy (CAPOX, 6m, PD)     NTRK3     RUNX1     RUNX1:exon4-NTRK3:exon14       P12     52     F     NA     Colon-ascending     Surgery     NTRK1     LMNA     LMNA:exon8-NTRK1:exon12       P13     76     F     NA     Colon-site NA     NA     TPM3:exon10-NTRK1:exon12     TPM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P15     S7     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     III     Colon-ascending     Chemotherapy (r, PD)     NTRK1     TRP     TPR:exon21-NTRK1:exon10 NTRK1:exon22-NTRK1:exon12 NTRK1:exon9-TPR:exon22 NTRK1:pr, PD)       P17     61     F     NA     Colon-ascending     NA     NA     NA     NA     Son0-ascending NTRK3     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10 NTRK1:exon9-TPR:exon2 INTRK1:exon9-TPR:exon2	P9	63	М	IV	Colon-ascending	Chemotherapy (CAPOX, 5 mo, PD), surgery	NTRK1	TPM3	NTRK1:exon8-TPM3:exon7	
P12     52     F     NA     Colon-ascending     Surgery     NTRK1     LMNA     LMNA:exon8-NTRK1:exon12       P13     76     F     NA     Colon-site NA     NA     TPM3     PM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P14     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     III     Colon-ascending     Colen-ascending     Nagery     NTRK1     RPA     PR:exon21-NTRK1:exon10       P17     61     F     NA     Colon-ascending     Patery     NTRK1     PM3     PM3:exon10-NTRK1:exon22       P17     61     F     NA     Colon-ascending     Patery     Patery     PM3:exon10-NTRK1:exon4       P18     38     M     IV     Colon-ascending     NA     NA     STRK1     STRK1     PM3:exon10-NTRK1:exon4	P10	55	М	NA	Rectum	Chemotherapy (CAPOX, 6 mo, PD)	NTRK3	RUNX1	RUNX1:exon4-NTRK3:exon14	
P13     76     F     NA     Colon-site NA     NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon12       P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     II     Colon-ascending     Surgery     NTRK1     TPM3     ETV6:exon5-NTRK3:exon14       P17     61     F     NA     Colon-ascending     Chemotherapy (+bevacizumab, 3 yr, PD)     NTRK1     TPM3     TPM:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     NA     PA     P	P12	52	F	NA	Colon-ascending	Surgery	NTRK1	LMNA	LMNA:exon8-NTRK1:exon12	
P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon8       P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     III     Colon-ascending     Chemotherapy (+bevacizumab, 3) yr, PD     NTRK1     TRP     TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     NA     NA     NA     NA     NA       P18     38     M     IV     Colon-ascending     NA     NA     Surgery     NTRK1     TPM3     TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     Surgery     NTRK1     Surgery     NTRK1     Surgery     NTRK1:exon10     NTRK1:exon10     NTRK1:exon10     NTRK1:exon10     NTRK1:exon10     NTRK1:exon10-NTRK1:exon10     NTM3:exon10-NTRK1:exon10     NTM3:exon10-NTRK1:exon10     NTM3:exon10-NTRK1:exon10     NTM3:exon10-NTRK1:exon10     NT	P13	76	F	NA	Colon-site NA	NA	NTRK1	TPM3	TPM3:exon10-NTRK1:exon12	
P14     63     M     NA     Colon-ascending     Surgery     NTRK1     TPM3     TPM3:exon10-NTRK1:exon8       P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     III     Colon-ascending     Chemotherapy (+bevacizumab, 3) yr, PD)     NTRK1     TRP     TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P18     38     M     IV     Colon-site NA     NA     NTRK3     CSNK1G1     CSNK1G1:exon1-NTRK3:exon14									TPM3:exon10-NTRK1:exon12	
P15     57     F     NA     Colon-ascending     Surgery     NTRK3     ETV6     ETV6:exon5-NTRK3:exon14       P16     65     M     III     Colon-ascending     Chemotherapy (+bevacizumab, 3 yr, PD)     NTRK1     TRP     TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9 TPM3:exon10-NTRK1:exon9       P18     38     M     IV     Colon-site NA     NA     NTRK3     CSNK1G1     CSNK1G1:exon1-NTRK3:exon14	P14	63	М	NA	Colon-ascending	Surgery	NTRK1	TPM3	TPM3:exon10-NTRK1:exon8	
P16     65     M     III     Colon-ascending     Chemotherapy (+bevacizumab, 3) yr, PD)     NTRK1     TRP     TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22       P17     61     F     NA     Colon-ascending     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9 TPM3:exon10-NTRK1:exon9       P18     38     M     IV     Colon-site NA     NA     NTRK3     CSNK1G1     CSNK1G1:exon1-NTRK3:exon14	P15	57	F	NA	Colon-ascending	Surgery	NTRK3	ETV6	ETV6:exon5-NTRK3:exon14	
P17     61     F     NA     Colon-ascending     NA     NTRK1     TPM3     TPM3:exon10-NTRK1:exon9       P18     38     M     IV     Colon-site NA     NA     NTRK3     CSNK1G1     CSNK1G1:exon1-NTRK3:exon14	P16	65	М	III	Colon-ascending	Chemotherapy (+bevacizumab, 3 yr, PD)	NTRK1	TRP	TPR:exon21-NTRK1:exon10 NTRK1:exon9-TPR:exon22	
P18 38 M IV Colon-site NA NA NTRK3 CSNK1G1 CSNK1G1:exon1-NTRK3:exon14	P17	61	F	NA	Colon-ascending	NA	NTRK1	TPM3	TPM3:exon10-NTRK1:exon9 TPM3:exon10-NTRK1:exon9	
	P18	38	М	IV	Colon-site NA	NA	NTRK3	CSNK1G1	CSNK1G1:exon1-NTRK3:exon14	

Abbreviations: F, female; M, male; NA, not available; CAPOX, capecitabine and oxaliplatin; MSI, microsatellite instability; MSS, microsatellite stable; TPS, tumor proportion score; CPS, combined positive score.

<sup>a</sup>Indicates that TMB was calculated based on the number of non-synonymous mutations in the coding region per megabase.

sequencing coverage and quality statistics of patients' tumor or plasma specimens are summarized in Table S2. The corresponding whole blood control samples were sequenced to a median depth of 240X (range: 177X-384X). Specifically, sequencing data were analyzed by Trimmomatic<sup>15</sup> to remove low-quality (quality <15) or N bases, and then mapped to the human reference genome hg19 using the Burrows-Wheeler Aligner (https:// github.com/lh3/bwa/tree/master/bwakit). PCR duplicates were removed by Picard (available at: https:// broadinstitute.github.io/picard/). The Genome Analysis Toolkit (GATK) (https://software.broadinstitute.org/ gatk/) was used to perform local realignments around indels and base quality reassurance. SNPs and indels were analyzed by VarScan2<sup>16</sup> and HaplotypeCaller/ UnifiedGenotyper in GATK, with the mutant allele frequency (MAF) cutoff as 0.5% for tumor tissue/FFPE samples, 0.1% for plasma cfDNA samples, and a minimum of three unique mutant reads. Common SNPs were excluded if they were present in >1% population frequency in the 1000 Genomes Project or the Exome Aggregation Consortium (ExAC) 65,000 exomes database. The resulting mutation list was further filtered by an in-house list of recurrent artifacts based on a normal pool of whole blood samples. Gene fusions were identified by FACTERA.<sup>17</sup>

Tumor mutation burden (TMB) was calculated based on the number of non-synonymous somatic mutations in the coding region per megabase.<sup>13</sup> Microsatellite (MS) status of tumor sample was determined on the overall stability of MS loci tested in the panel. A sample was reported as microsatellite instable ("MSI") if  $\geq$ 40% of the MS loci display instability, or as "MSS" if <40% of the MS loci display instability.

	25	94

-Wiley-

Breakpoint1	Breakpoint2	Allele frequency (P, plasma; F, FFPE)	Sample type	Molecular assay	TMB <sup>a</sup> (mut/MB)	MSI status	PD-L1 (TPS, CPS)
1:154139441	1:156843913	12.20%	Tissue	422 gene panel	56	MSI	<1%, 2%
1:156843713	1:154132660	16.50%	FFPE	422 gene panel	53	MSI	NA
1:154132662	1:15684371512.30%						
1:154130478	1:156843696	23.57%	Tissue	425 gene panel	75	MSI	2%, 7%
1:156106224	1:156844785	32.27%	FFPE	425 gene panel	2	MSS	NA
1:154134168	1:156844322	27.80%	FFPE	425 gene panel	108	MSI	NA
1:154138026	1:156843608	30.30%	FFPE	425 gene panel	49	MSI	NA
1:154139680	1:156844015	9.53%	FFPE	425 gene panel	80	MSI	NA
1:154134245	1:156843862	22.75%	FFPE	425 gene panel	45	MSI	NA
1:156844130	1:154134285	26.44%	FFPE	425 gene panel	60	MSI	NA
21:36258226	15:88668341	8.90%	FFPE	425 gene panel	11	MSS	NA
1:156106765	1:156844901	38.60%	FFPE	425 gene panel	51	MSI	NA
1:154138749	1:156845155	3.4% (P), 9.7% (F)	FFPE & Plasma	425 gene panel	45 (F)	MSI	NA
1:154138750	1:156845151	1.8% (P), 11% (F)					
1:154134718	1:156843508	0.45% (P), 64.5% (F)	FFPE&Plasma	425 gene panel	12 (F)	MSI	NA
12:12035081	15:88484921	27.80%	FFPE	425 gene panel	73	MSI	NA
NTRK3:exon13- ETV6:exon6	15:88484917	12:12035083	10.40%				
1:186317772	1:156844344	23.94%	Plasma	425 gene panel	4 (P)	MSS	NA
1:156844343	1:186317771	3.04%					
1:154134606	1:156843950	21.37%	FFPE	425 gene panel	67 (F)	MSI	25%, 30%
1:154134606	1:156843952	1.13%	Plasma				
15:64624388	15:88486523	5.00%	Plasma	425 gene panel	10 (P)	MSS	NA

### 2.4 | PD-L1 staining

PD-L1 staining was performed using the monoclonal mouse antihuman PD-L1 antibody (clone 22C3, Cat No. M3653; Dako). A minimum of 100 viable tumor cells must be present in the specimen slide for the PD-L1 expression to be calculated with complete or partial membrane staining. PD-L1 assay results were interpreted according to the scoring guidelines as previously described.<sup>18</sup>

### 3 | RESULTS

# 3.1 | Incidence of *NTRK*-positive colorectal cancer and fusion partners

From April 2016 to May 2020, a total of 2940 unique clinical colorectal cancer fresh or FFPE tumor samples derived

from 2519 patients were successfully evaluated with comprehensive genomic profiling using next-generation sequencing. Among them, a total of 17 NTRK+ colorectal cancer patients were identified, including 14 cases of NTRK1+ CRCs and three cases of NTRK3+ CRCs (Figure 1A). The overall incidence of NTRK+ fusion positive CRC was thus approximately 0.7% (17/2519). The characteristics of the patients are summarized in Table 1, and a detailed description of each patient's demographic and clinical information are provided in Table 2. The median age of diagnosis was 65 years (range: 38-76 years, Table 1). The cohort had 16 cases of colon cancer and one case of rectal cancer, and more than half (58.8%) were confirmed of right-sided tumors (ascending colon) (Figure 1A). As provided in Table 2, TPM3 was the most common fusion partner (11/14) of NTRK1, and the other detected partners included LMNA (n = 2) and TRP (n = 1). NTRK1 rearrangements most frequently occurred in NTRK1 introns

7, 8, 9, 10, and 11. *NTRK3*+ fusions accounted for the remaining three *NTRK*+ CRC, in all cases that *NTRK3* (exon 14) was fused to *ETV6*, *RUNX1* (Figure S1A), and *CSNK1G1* (Figure S1B), respectively. Neither *RUNX1*-*NTRK3* nor *CSNK1G1*-*NTRK3* fusions were previously reported in CRC or any other cancer types. The patient P10, who was detected of *RUNX1*-*NTRK3* (MAF: 8.9%, also carried a *KRAS* Q61R point mutation (Figure 2A). The patient P18 harbored a novel *CSNK1G1*-*NTRK3* fusion at a MAF of 2.7% with concurrent deleterious mutations of *TP53* and *APC* (Figure 2A), although no canonical driver mutations were identified.

Four patients (P2, P9, P10, and P16) received first-line chemotherapy, six patients were treated with first-line surgery, while the remaining seven cases were treatmentnaïve (Table 2). None of the patients received targeted therapy or immune checkpoint inhibitor therapy. All samples being analyzed by NGS were treatment-naïve except in the cases of P2, P9, and P16 (Table 2).

### 3.2 | Microsatellite instability status

Seventy-six percent of the *NTRK*+ CRC cohort was MSIpositive tumors (microsatellite unstable) (Table 1), a rate much higher than that of the molecularly unstratified Chinese CRC population according to our database (8%, unpublished). Among the CRC samples that were microsatellite unstable (MSI), 6% was *NTRK* fusion positive (Figure 1B), in comparison to a rate 0.17% of *NTRK*+ in the microsatellite stable (MSS) sub-population. Furthermore, mutations of *POLE* or *POLD1* were detected in approximately 47% (8/17) of the *NTRK*+ CRC subset and more than half of the patients (5/8) carried concurrent *POLE/POLD1* mutations including



FIGURE 2 Genomic features observed in *NTRK*+ colorectal cancers. (A) Co-mutation plot illustrating alterations with the occurrence of at least one third of the *NTRK*+ cohort. Each column represents a *NTRK*-fusion positive patient. Alteration types are color-coded shown on the right panel. Patient's clinicopathological features and tumor mutation burden were shown on top of the co-mutation plot. (B) The lollipop plot mapping identified mutations of *POLD1* or *POLE* to protein sequences missense and truncating variants (Figure 2B). Of note, all *POLE+/POLD1+* tumors were microsatellite unstable.

## 3.3 | DNA mismatch repair genes (MMR) status

Six patients were identified with somatic missense or frameshift aberrations of DNA mismatch repair (MMR) genes including *MLH1*, *MSH2*, *MSH6*, and *PMS2* (Table 2). Patient P1 and two additional patients (P6 and P12) also carried germline mutations of MMR genes (Table 2). All eight patients (47%, 8/17) who contained germline or somatic alterations of MMR genes were microsatellite unstable.

### 3.4 | Tumor mutation burden (TMB)

NTRK+ colorectal cancer patients had significantly higher tumor mutation burden (median 53 mutations per megabase[mut/MB], 95% CI: 36.8-68.0 mut/MB), Figure 1C) in comparison to that of the overall colorectal cancer population (median: 7.7 mut/MB, 95% CI: 11.8-14.2 mut/MB, *p* < 0.0001), *NTRK*+ non-CRC solid tumors (lung cancer) (median: 4 mut/MB, 95%CI: 2.4-7.7 mut/ MB, p < 0.0001), or CRC samples harboring other oncogenic fusions including ALK, ROS1, and FGFR fusions (median: 6.6 mut/MB, 95%CI: 5.5–13 mut/MB, *p* < 0.0001, Figure 1C). All microsatellite unstable tumors had TMB of more than 10 mutations per megabase (TMB-H) (Table 2). The patient P10 was MSS but had a TMB of 11 mutations per megabase (Table 2). Importantly, among all CRC samples that were TMB-H (≥10 mut/MB), approximately 1.6% was NTRK fusion positive (Figure 1B).

### 3.5 | PD-L1 expression

In addition, we have also evaluated the PD-L1 expression levels of three patients whose original samples were retrieved and remained adequate for testing (Figure S2). Both tumor proportion score (TPS) and combined positive score (CPS) were calculated (Table 2). All three patients were microsatellite unstable and had TMB of  $\geq$ 10 mutations per megabase as well as CPS of 1 or higher, although the TPS appeared to be less than 1% in P1 (Table 2).

### 3.6 Genetic co-alterations

*RNF43* was the most frequently mutated gene (71%) in *NTRK*+ patients (Figure 2A), followed by *ARID1A* (53%), *TP53* (53%), and *KMT2B* (47%). The frequency

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of TP53 (53%) or APC (35%) mutations, was relatively lower in the NTRK+ cohort compared to that of the total CRC population (75% and 65%, respectively, unpublished). Notably, mutations of RNF43 and ARID1 were significantly enriched in NTRK+ MSI-positive tumors when compared to the NTRK+ MSS counterparts (p values = 0.002 and 0.02, respectively, Fisher's exacttest, Figure 2A). Mutated APC was identified in six out of 17 patients (35%) including missense, frameshift, in-frame insertion, and truncations. Oncogenic RAS/ BRAF aberrations were almost absent in the NTRK+ CRC subset. The majority of NTRK+ patients (15/17) were RAS/BRAF wildtype, except that a KRAS Q61R (mutant allele frequency [MAF]: 13.57%) was detected in P10 and a BRAF frameshift variant (A404Cfs\*9, MAF: 22.65%) was identified in P9 (Table 2), although the clinical significance of the latter remained uncharacterized.

### 4 | DISCUSSION

We demonstrated that CRC harboring *NTRK* fusion is rare with an approximate incidence of 0.7%. The *NTRK*-positive cohort primarily consisted of *NTRK1* fusions. Three out of 17 *NTRK*+ CRC were *NTRK3* fusions including two novel *NTRK3* fusions. No *NTRK2* fusions were identified. This is not due to insufficient "baiting" of *NTRK2* as probes to all kinase domain encoding exons of *NTRK2* as well as intron 12 were used and we have successfully identified *NTRK2* fusions from other tumor types in our database. Of note, while the aberrations of *APC* and *TP53* frequently co-occurred with *NTRK* fusions, these fusions rarely coexisted with other activating driver mutations, consistent with what was previously reported for the *NTRK* rearrangement in a pan-cancer setting by Rosen et al.<sup>19</sup>

The significance of our findings is that *NTRK*+ CRC represents a unique molecular subtype of CRC with very high TMB (median 53 mut/MB, range 2–108 mut/MB) and were more likely to be microsatellite unstable. A total of eight patients (47% of the *NTRK*+ CRC subset) harbored germline or somatic alterations of MMR genes. This dual molecular signature is not only unique to CRC, but also unique among other *NTRK*+ solid tumors where the median TMB is 4 mut/MB for *NTRK*+ lung cancer.

There is also important clinical implication of these dual molecular signature in *NTRK*+ CRC is that there are two NTRK inhibitors (larotrectinib and entrectinib) approved in the US with several next-generation TKIs being developed (selitrectinib, repotrectinib, and taletrectinib) to overcome the on-target acquired resistance *NTRK* mutations in particularly the solvent-front mutations. Additionally, the immune checkpoint inhibitor (ICI) WILEY-Cancer Medicine

pembrolizumab has now been approved for use first in a tumor-agnostic manner in tumors that are microsatellite unstable or mismatch repair deficient that have progressed following prior treatment on May 23, 2017 and on June 29, 2020 approved for use as first-line treatment of MSI-high or MMR-deficient CRC. Pembrolizumab was approved on June 27, 2020 in another tumor-agnostic manner in tumors with high TMB ( $\geq 10 \text{ mut/MB}$ ). Thus, not only will most patients with this subset NTRK+ CRC benefit from the current approved NTRK TKIs, but may also potentially benefit from ICIs. Notably, a prior study by Zou et al.<sup>20</sup> reported that enriched CD8+ tumor-infiltration T cells, quantified by using a DNA methylation-based method, was associated with MSI-H tumors in CRC cohorts and predicted better survival. However, it will require further investigation as to whether two molecular signatures (TMB and MSI) being positive, the response to pembrolizumab will be higher (additive or synergistic effect) than just having one molecular signature. Given the rarity of these NTRK+ CRC, none of the 17 NTRK+ colorectal cancer patients have been treated with pembrolizumab or any other ICIs.

At last, this study has a few limitations. First, we report an approximate frequency of 0.7% of NTRK fusions in colorectal cancer. Although this study was based on a large CRC population, it lacked a particular attention to potential accrual biases at different research sites owing to the study's real-world and retrospective nature. Second, a more comprehensive diagnostic evaluation<sup>21</sup> of the NTRK gene family is warranted. The current data can be supplemented by results of alternative diagnostic approaches, including targeted RNA testing,<sup>22</sup> pan-TRK immunohistochemical (IHC) staining,<sup>23</sup> and DNA methylation analysis,<sup>24</sup> which could particularly be useful in an scenario in which a novel rearrangement needs to be validated. In addition, a close follow-up of patient's response to the following treatment is required, including TKI treatment and immunotherapy, if applicable.

### 5 | CONCLUSIONS

*NTRK* fusions positive colorectal cancer are rare (0.7% of colorectal cancer). In addition to the absence of other known actionable driver mutations, *NTRK*+ CRC tumors harbor very high tumor mutation burden (median 53 mut/MB), with most of them being microsatellite instability-high (MSI-H), and an enrichment of *POLE/POLD1* mutations. Of the 17 *NTRK*+ colorectal cancer identified, 14 cases had *NTRK1*-rearranged events with *TPM3* being the most frequent fusion partner, and the remaining three cases were *NTRK3*+ fusion cases. These data may be informative in guiding molecularly driven treatment including targeted therapy and immunotherapy for treating

*NTRK*+ CRC patients. Patients with MSI-H or high TMB CRC should also be screened for *NTRK* fusions.

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### **CONFLICT OF INTEREST**

QO and XW are the employees of Nanjing Geneseeq Technology Inc., Nanjing, Jiangsu, China. MN received honorarium from Astra Zeneca and Tempus. YS is an employee and shareholder of Nanjing Geneseeq Technology Inc., Nanjing, Jiangsu, China. SHIO has received speaking/advisory honorarium from Pfizer, Merck, Roche/ Genentech, Takeda/ARIAD, and AstraZeneca. SHIO is a stock owner and former member of the scientific advisory board of Turning Point Therapeutics, Inc. The remaining authors have no conflict of interest to declare.

### AUTHOR CONTRIBUTIONS

HW and ZL conceived and designed the study. QO analyzed the data. XW reviewed the data and revised the manuscript. YS provided the resources for the study. YY supervised the study. HW, ZL, and QO wrote the manuscript. MN and SHIO critically reviewed and revised the manuscript. All authors read and approved the final manuscript.

### ETHICS STATEMENT

In accord with the Declaration of Helsinki, written informed consent was collected from each patient prior to sample collection. This study was approved by the ethics committee of the Second Affiliated Hospital of Harbin Medical University, Harbin, China.

### DATA AVAILABILITY STATEMENT

Mutations identified in the 17 *NTRK*+ colorectal cancer patients are provided in Table S3. Other data that supports the findings of this study are available from the corresponding author upon request.

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### SUPPORTING INFORMATION

Additional supporting information may be found in the online version of the article at the publisher's website.

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