

Doping evolution of the Mott–Hubbard landscape in infinite-layer nickelates

Berit H. Goodge^{a,b}, Danfeng Li^{c,d}, Kyuho Lee^{c,e}, Motoki Osada^{c,f}, Bai Yang Wang^{c,e}, George A. Sawatzky^{g,h}, Harold Y. Hwang^{c,d}, and Lena F. Kourkoutis^{a,b,1}

^aSchool of Applied and Engineering Physics, Cornell University, Ithaca, NY 14853; ^bKavli Institute at Cornell for Nanoscale Science, Cornell University, Ithaca, NY 14853; ^cStanford Institute for Materials and Energy Sciences, SLAC National Accelerator Laboratory, Menlo Park, CA 94025; ^dDepartment of Applied Physics, Stanford University, Stanford, CA 94305; ^eDepartment of Physics, Stanford University, Stanford, CA 94305; ^fDepartment of Materials Science and Engineering, Stanford University, Stanford, CA 94305; ^gDepartment of Physics and Astronomy, University of British Columbia, Vancouver, BC V6T 121, Canada; and ^hStewart Blusson Quantum Matter Institute, University of British Columbia, Vancouver, BC V6T 121, Canada

Edited by Allen M. Goldman, University of Minnesota, Mendota Heights, MN, and approved November 6, 2020 (received for review April 28, 2020)

The recent observation of superconductivity in Nd_{0.8}Sr_{0.2}NiO₂ has raised fundamental questions about the hierarchy of the underlying electronic structure. Calculations suggest that this system falls in the Mott–Hubbard regime, rather than the charge-transfer configuration of other nickel oxides and the superconducting cuprates. Here, we use state-of-the-art, locally resolved electron energy-loss spectroscopy to directly probe the Mott–Hubbard character of Nd_{1-x}Sr_xNiO₂. Upon doping, we observe emergent hybridization reminiscent of the Zhang–Rice singlet via the oxygen-projected states, modification of the Nd 5*d* states, and the systematic evolution of Ni 3*d* hybridization and filling. These experimental data provide direct evidence for the multiband electronic structure of the superconducting infinite-layer nickelates, particularly via the effects of hole doping on not only the oxygen but also nickel and rare-earth bands.

infinite-layer nickelates | superconductivity | electron energy-loss spectroscopy | Mott–Hubbard regime | hole doping

The discovery of copper oxide high-temperature superconduc-tors (1) and subsequent realizations of non-copper-based compounds (2, 3) has spurred significant efforts not only to understand the mechanisms underlying superconductivity (4-7) but also to identify and realize new host materials. The recent discovery of superconducting nickel-based thin films (8) has opened an opportunity to explore a system that is closely related to the infinite-layer cuprates in both crystal structure and transition-metal electron count. Trading out the Cu^{2+} ions for Ni^{1+} preserves an isoelectronic formal $3d^9$ state, raising the possibility of a nickel analog to the cuprates (9). At the same time, key differences between the systems have been proposed both early on (10) and more recently following the successful demonstration of nickelate superconductivity (11–20). Experimentally, however, direct measurements of the electronic landscape of hole-doped infinite-layer nickelates, particularly with variable band filling, are lacking.

In the family of superconducting cuprates, extensive spectroscopic characterization of bulk samples enabled a thorough understanding of their electronic structure, especially regarding the role of doped holes (6). Compared to such bulk phase systems, the successful realization of nickelate superconductivity in epitaxial thin films complicates their characterization by traditional bulk techniques, instead requiring local probes as well as measurements with high sensitivity due to the metastable nature of these compounds. Here, we directly probe the electronic structure across the doped $Nd_{1-x}Sr_xNiO_y$ (y = 2, 3) family using locally resolved electron energy-loss spectroscopy (EELS) in the scanning transmission electron microscope (STEM) highly optimized for detection of subtle spectroscopic signatures. We identify a distinct prepeak feature in the NdNiO₃ O-K edge, which disappears completely in NdNiO₂, consistent with the predicted Mott-Hubbard character of the infinite-layer parent compound (10, 18). Moreover, our systematic study across a series of superconducting hole-doped $Nd_{1-x}Sr_xNiO_2$ ($x \le 0.225$) films uncovers an emergent feature in the O 2p band with hole doping, reminiscent of the Zhang-Rice singlet (ZRS) peak in the isostructural superconducting cuprates. Unlike the cuprates, however, the spectral weight of the O 2p feature is small even at high doping levels, suggesting necessary involvement of other bands. Indeed, parallel spectroscopy of both Ni and Nd confirms contributions of the Ni 3d and modification of the Nd 5d states, demonstrating, in contrast to the superconducting cuprates, the multiband nature of this system.

Infinite-layer nickelate thin films are stabilized by softchemistry topotactic reduction (21) of the perovskite phase grown epitaxially on $SrTiO_3$ (001) substrates and capped with a thin layer of $SrTiO_3$ by pulsed-laser deposition (PLD), as previously described (8, 22). Capping layers between 2 and 25 nm have been found to stabilize and support the infinitelayer structure during topotactic reduction, producing more crystallographically uniform films (22). STEM-EELS is therefore an ideal way to spectroscopically probe the nickelate thin film without contributions from the capping layer (or substrate). Starting with the undoped NdNiO₂ film, atomic-resolution highangle annular dark-field (HAADF) STEM imaging confirms the overall high crystalline quality of the film (Fig. 1a) after optimization of the epitaxial growth and subsequent reduction

Significance

Copper-based (cuprate) oxides are not only the original but also one of the best-studied families of "high-temperature" superconductors. With nominally identical crystal structure and electron count, nickel-based (nickelate) compounds have been widely pursued for decades as a possible analog to the cuprates. The recent demonstration of superconductivity in nickelate thin films has provided an experimental platform to explore the possible connections between the copper- and nickel-based superconductors. Here, we perform highly localized spectroscopic measurements to reveal a number of key differences between the two systems, particularly with regard to the hybridization between the O and metal (Cu or Ni) orbitals.

Author contributions: B.H.G., H.Y.H., and L.F.K. designed research; B.H.G., D.L., K.L., M.O., B.Y.W., and L.F.K. performed research; B.H.G., D.L., K.L., M.O., B.Y.W., G.A.S., and L.F.K. analyzed data; and B.H.G. and L.F.K. wrote the paper.

The authors declare no competing interest.

This article is a PNAS Direct Submission.

This open access article is distributed under Creative Commons Attribution-NonCommercial-NoDerivatives License 4.0 (CC BY-NC-ND).

¹To whom correspondence may be addressed. Email: lena.f.kourkoutis@cornell.edu.

This article contains supporting information online at https://www.pnas.org/lookup/suppl/ doi:10.1073/pnas.2007683118/-/DCSupplemental.

Published January 4, 2021.



Fig. 1. Lattice and electronic structure of NdNiO_y thin films. (A) Atomic-resolution HAADF-STEM imaging of an undoped NdNiO₂ film shows mostly wellordered epitaxial structure with some visible crystalline defects. (B) STEM-EELS Nd, Ni, and Ti elemental mapping confirms an abrupt interface with SrTiO₃. (C) Observed variations in O-K near-edge fine structure across different regions in the same reduced nickelate film (blue) necessitate local measurements to avoid contributions from defects and partially unreduced regions. For comparison, reference spectra from bulk oxides are also shown. (D) EELS O-K edge of NdNiO₂ and a NdNiO₃ film before reduction to the infinite-layer phase. The disappearance of the first peak (highlighted in gray), ascribed to metal-oxygen hybridization, indicates filling of the Ni states upon reduction from d^7 to d^9 . (Scale bars, 2 nm.)

process as discussed elsewhere (22). Elemental EELS mapping performed in the STEM confirms the abruptness of the nickelate-SrTiO₃ interface and shows no obvious impurity phases (Fig. 1b). Extended defects are, however, present and must be taken into account when interpreting more commonly used area-averaged spectroscopic measurements (SI Appendix, Figs. S1 and S2). Spatially resolved STEM-EELS reveals distinct O-K near-edge fine structures in different regions of the same film including some crystalline defects and small pockets that have not completely reduced to NdNiO₂ (Fig. 1 \hat{C} and SI Appendix, Fig. S2). The intrinsically metastable nature of the infinite-layer compound (21) has required an empirical fine tuning of the conditions for maximal reduction without decomposition (22), so the presence of some unreduced pockets is likely unavoidable at this time. When averaging over the entire film, however, contributions from such variations can mask the electronic character of the pure nickelate phase. In this work, we extract the electronic signatures of the $Nd_{1-x}Sr_xNiO_y$ phases without contributions from extended defects by confining our spectroscopic measurements to crystallographically clean and fully reduced regions of each film using an angstrom-size STEM probe.

The distinct characteristic shapes of EELS edges provide access to a wealth of rich chemical information (23–26). To first approximation, the energy loss near-edge structure (ELNES) of core-loss spectra (edges at more than $\sim 100 \text{ eV}$) reflects the local density of unoccupied states for a specific element and angular momentum. The dipole selection rule permits only transitions from states with orbital angular momentum *l* to final states with $l \pm 1$, e.g., $s \rightarrow p$ or $p \rightarrow d$, such that different EELS edges for the same element will probe the unoccupied density of different states. Changes to ELNES shape therefore signify corresponding changes in the density of unoccupied states for a given element that may be affected by hybridization, atomic coordination, or other local bonding effects. Small shifts in the absolute position of the EELS edge can similarly point to shifts or redistribution of unoccupied states. Because EELS probes the energy separation of the unoccupied and the core states, however, shifts in the EELS spectrum can also arise from changes in the core (rather than the unoccupied) level (26, 27). Importantly, the effect of the core-hole created during the excitation process can significantly modify the ELNES and must be taken into account when considering comparisons to ground-state calculations. The interpretation of EELS fine structure provides, in general, similar information as other spectroscopic probes such as unpolarized X-ray absorption spectroscopy (XAS), and can be used to probe the local electronic landscape in great detail.

We first explore the electronic character of the parent infinitelayer compound, NdNiO₂. In 3*d* transition metal oxides, the onset structure of the O-K edge is ascribed to hybridization between the O 2*p* and metal 3*d* states (28). For NdNiO₃, this hybridization results in a strong prepeak feature at ~527 eV (28–30). Compared to the bulk compound, the prepeak in the NdNiO₃ thin film is broadened, consistent with changes to local Ni–O coordination (29, 31) due to epitaxial strain imposed by the SrTiO₃ substrate (Fig. 1*C*). Upon oxygen reduction from the perovskite NdNiO₃ to the infinite-layer NdNiO₂ phase, the

prepeak disappears entirely (Fig. 1D). Here, the suppression of this peak reflects filling of the lower d bands with the change in formal Ni configuration from $3d^7$ to $3d^9$ (29) (SI Appendix, Fig. S3). The undoped infinite-layer cuprates exhibit a similar suppression in spectral weight of the d^9 states compared to the perovskite phase (32). Notably, the cuprates also show an additional O-K prepeak observed ~1.5 eV above the d^9 peak due to transitions into the d^{10} upper Hubbard band (32, 33). In the nickelates, however, a similar second peak is not observed, suggesting that it falls within and is thus hidden by the bulk of the O-K edge. Compared to the cuprates, the reduction in nuclear charge from Cu to Ni greatly reduces the covalency of the d^9 state and pushes the d^{10} states to higher energies (12, 14, 18, 34). A d^{10} peak like that observed in the cuprates would therefore be much weaker and located several electron volts $(\sim 5 \text{ to } 6 \text{ eV})$ higher in energy, overlapping with the rest of the O-K edge. The lack of a visible spectral peak thus suggests that the d^{10} states sit at higher relative energies in the nickelates than in the cuprates. This experimental observation is consistent with theoretical predictions that the infinite-layer nickelates have a larger charge-transfer energy Δ than the superconducting cuprates (17, 18).

For similar on-site Coulomb interactions U (17, 18), these experiments suggest an electronic structure in the nickelates with a charge-transfer gap Δ larger than U. Using the Zaanen– Sawatzky-Allen classification scheme (35), this places the parent infinite-layer compound in the Mott–Hubbard regime ($\Delta > U$) (11, 17, 18, 36), in contrast to the charge-transfer ($\Delta < U$) cuprates (33) (SI Appendix, Fig. S3). It is, however, likely that hybridization in the nickelates spreads out the distribution of the O 2p bands (37), so that the system actually falls somewhere near the boundary between the two regimes (Mott-Hubbard and charge-transfer) (35). Additionally, while no spectroscopic prepeak is observed in the parent compound NdNiO₂, possible effects due to self-doping (17, 34, 38) that may play a role in the detailed electronic structure cannot be directly excluded from the data presented here. Previous results by XAS have similarly suggested the Mott-Hubbard nature of La-based nickelates (36).

XAS has also been used to probe the O-K near-edge fine structure of reduced NdNiO₂ and unreduced NdNiO₃ films on SrTiO₃ (34, 36), but these measurements averaged over large parts of the films. The exclusion of defects and the stabilizing SrTiO₃ capping layer in our spatially resolved approach explains the apparent discrepancy between these results. In particular, contributions from SrTiO₃ or secondary phases in nonoptimized films (22) result in an additional peak at ~530 eV in the unreduced film as well as a subtle but clear shoulder at the same energy in the reduced film (*SI Appendix*, Fig. S1). While differences in the perovskite phase are quite striking, below we show that even small contributions from this shoulder in the infinitelayer films can inhibit the ability to probe the effects of hole doping in this system.

In addition to the O-K edge, EELS measurements provide simultaneous access to the Ni and Nd states in corresponding localized regions. We measure the Ni- $L_{2,3}$ edge (Fig. 2A) to probe the Ni 3d states (39) across the same evolution from the perovskite to infinite-layer phase. A clear distinction can be made between the parent NdNiO₃ and infinite-layer NdNiO₂ thin films, particularly by a broadening and shift of the L_3 edge at $\sim 854 \text{ eV}$ (Fig. 2B). The redistribution of spectral weight to higher energies can be seen as a relative decrease in the peak intensity of the L₃ peak when spectra are normalized by integration over the full $L_{2,3}$ edge (Fig. 2A) as well as by the increased width of the L_3 peak when spectra are instead normalized by the maximum L_3 peak intensity (Fig. 2B). A shift of the infinite-layer L_3 peak to higher energies by ~ 0.6 eV is also clearly apparent with the latter normalization. In more ionic systems, transition metal $L_{2,3}$ edges have been used to fingerprint valence states (32, 40-42). In nickel compounds, however, hybridization effects play an important role and are reflected in the fine structure of the Ni- $L_{2,3}$ edge (27, 39), complicating their physical interpretation. Comparing the two thin-film phases, the asymmetric broadening and long high-energy tails of the Ni-L₃ edge in the reduced NdNiO₂ suggests increased p-d hybridization (30, 39, 43) consistent with the larger Ni¹⁺ ionic radius and straightening of the Ni-O-Ni bond angles (44) in the infinite-layer structure, as well as with theoretical predictions of strong Ni d orbital hybridization (45). The energy shift of the L_3 peak could be reflective of the change in Ni 3d states in the infinite-layer compound, as has been described in other nickel-based systems, but EELS measurements alone cannot rule out the contribution of shifts of the core state (27). More direct interpretation of these spectral changes will require comparison with careful and thorough calculations. Similar to the O-K edge, the spectral differences between bulk and thin film $NdNiO_3$ in the $L_{2,3}$ are likely due to strain effects imparted by the substrate (46). Unlike the Ni- $L_{2,3}$ and O-K, the Nd- $M_{4,5}$ edge (4f states) remains unchanged upon reduction from perovskite to infinite-layer (Fig. 2C), indicating a formal Nd valence of 3+ in both phases (SI Appendix, Fig. S4). This lack of change is consistent with the experimental demonstration of superconducting Pr-based infinitelayer nickelate films, suggesting that the specific configuration



Fig. 2. Electronic evolution from perovskite NdNiO₃ (black) to infinite-layer NdNiO₂ (blue) phase for epitaxial thin films on SrTiO₃. (A) The Ni-L_{2,3} edge (3d states) shows a clear change upon O reduction from NdNiO₃ to NdNiO₂, including significant broadening and shift of the L₃ edge (B). (C) The Nd-M_{4,5} edge (4f states) shows little or no change between the two compounds. Spectra in A and C are normalized by integrated signal over the full energy ranges shown; the Ni-L₃ edges in B have been renormalized by maximum intensity for easier comparison.

of the rare-earth 4f state may be relatively unimportant for superconductivity (47).

Having established the nature of the parent compounds, we systematically study the effect of hole doping in a series of superconducting infinite-layer Nd_{1-x}Sr_xNiO₂ films. HAADF-STEM imaging and elemental EELS mapping (Fig. 3 A-C) confirm overall film quality across all samples with uniform distribution of Nd and Ni throughout the films. Summed spectra (Fig. 3D) from clean regions within the films show Sr-M3 signals consistent with the relative nominal Sr doping expected in each case. Transport measurements for the infinite-layer films studied here (Fig. 3 E and F) show metallic temperature dependence of the low (x =0.0, 0.1) Sr (hole)-doped films down to about 50 to 70 K, below which a resistive upturn is observed. Upon increased hole doping (x = 0.2, 0.225), the infinite-layer films become superconducting with transition temperatures $T_{c, 90\% R}$ (the temperatures at which the resistivity is 90% that at 20 K) of 8 and 11 K, respectively (Fig. 3F). The relative values of T_c for these films are consistent with a recent investigation of the doping dependence of $Nd_{1-x}Sr_xNiO_2$ establishing a superconducting dome spanning 0.125 < x < 0.25 (48).

In the O-K edge, we observe an emergent feature at ~528 eV as a function of Sr (hole) doping across the Nd_{1-x}Sr_xNiO₂ films (Fig. 4*A*). The strength of this peak increases with hole doping (Fig. 4*B*), *x*, although the spectral weight of the x = 0.2 sample is higher than the more strongly doped x = 0.225 sample, likely due to the inclusion of some Ruddlesden–Popper fault regions (*SI Appendix*, Figs. S1 and S5). A similar spectral feature is also observed upon hole doping in the cuprates (33, 49). As in the cuprates, we attribute the emergent spectral feature to doped holes in the oxygen orbitals forming $3d^9L$ states, where L is the oxygen "ligand" hole (4, 49). The coordination of two such holes into a ZRS state is thought to reduce the cuprate system into an effective single-band model (4, 50). In nickelates the picture is less clear: despite the similarity in presence and doping dependence of this O-K edge feature in both systems, a few key spectroscopic differences are apparent. In the cuprates, hole doping results in a tradeoff of spectral weight from the d^{10} upper Hubbard band into the emergent $d^9 \underline{L}$ states (33). In the nickelates, we do not observe a similar trend, most likely due to shift of the d^{10} band to much higher energy, as described by the Mott–Hubbard picture discussed above. Perhaps more importantly, the overall strength of the hole doping ($3d^9 \underline{L}$) feature is significantly reduced in the nickelates compared to the cuprates, even for comparatively high levels of hole doping. The weak effect of doping on the O-K edge raises the question: where else do the holes go?

The ability of EELS measurements to access several edges simultaneously allows us to consider the corresponding effects on the Ni-L_{2,3} edge (3*d* bands) (Fig. 4*C*). The Ni-L₃ peak position (Fig. 4*D* and *SI Appendix*, Fig. S6) shifts systematically to higher energies upon hole doping, with a total increase of ~0.3 eV between the undoped x = 0.0 and the x = 0.225 films. We also observe the L₃ edge broadening as an extended tail in the doped samples (*SI Appendix*, Fig. S5). When compared to previously reported spectra for various Ni formal valences (30, 39, 43, 44, 51), the changes in the Ni-L_{2,3} edge may suggest increased hybridization and a mixed-valent Ni state. Similar to the evolution from perovskite to infinite layer, we interpret the doping-dependent broadening of the L₃ edge as a sign of increasing hybridization of the Ni 3*d* states with the introduction of more holes through Sr doping.

We also probe the Nd-N_{2,3} edge (5*d* states) across the sample series (Fig. 4*E*). Given the extreme technical challenges due to the need for simultaneous high-spatial resolution, high-energy resolution, and high signal-to-noise ratio (SNR), current data are limited to only the compositions shown in Fig. 4*E*, although characterizing the full doping dependence of the N_{2,3} edge is the subject of ongoing work. The x = 0.2 hole-doped perovskite



Fig. 3. Structure and transport of Nd_{1-x}Sr_xNiO₂ films with hole doping $x \le 0.225$. (*A*–*C*) Atomic-resolution HAADF-STEM and elemental EELS maps of x = 0.10, 0.20, and 0.225 samples, respectively. (*D*) Sr-M₃ EEL spectra from each film show a qualitative trend consistent with the expected nominal Sr doping in each sample. A similar spectrum from SrTiO₃ is shown in gray for comparison. (*E* and *F*) Resistivity vs. temperature curves for the four Nd_{1-x}Sr_xNiO₂ films studied here. Lightly Sr-doped (x = 0.0, 0.1) films show metallic behavior with a resistive upturn near 50 to 70 K, while more strongly doped (x = 0.2, 0.225) films show superconducting transitions (T_{c.90%R}) at 8 and 11 K, respectively. Data for x = 0.0 are from ref. 8.



Fig. 4. Electronic structure evolution of $Nd_{1-x}Sr_xNiO_2$ with hole doping $x \le 0.225$. (*A*) A spectral feature at ~528 eV emerges with increased Sr (hole) doping in the O-K edge, attributed to $d^9\underline{L}$ states. The dotted line marks the d^9 peak position in NdNiO₃. (*B*) The integrated signal over the $d^9\underline{L}$ feature spectral range (527 to 529 eV), highlighted gray in *A*, increases with hole doping. (*C* and *D*) The Ni-L₃ edge systematically shifts to high energies and broadens with hole doping. The dotted line marks the L₃ peak position in NdNiO₃. (*E*) The Nd-N_{2,3} edge (5*d* states) shows a small shift to higher energies upon Sr doping of the perovskite phase. A further shift is observed upon O reduction to infinite-layer Nd_{0.8}Sr_{0.2}NiO₂. The dotted line marks the N_{2,3} peak position in NdNiO₃. For comparison, reference spectra are plotted in gray for NdNiO₂ in *A* and C and for NdNiO₃ in *E*.

shows a ~ 200 -meV shift to higher energies compared to the parent compound. In the x = 0.2 infinite-layer film, we observe a twofold increase in this energy shift as well as an increase in spectral intensity. We note that a number of complicating factors could also contribute to changes in the edge shape, such as hybridization with the O states and a modified Madelung potential, both of which may be dominated simply by the stark difference in the crystalline environment of Nd from the perovskite to infinite-layer phase. Calculations by Choi et al. (13) have also suggested that Nd 5d bands may be broadened by disorder in the 4f states in the hole-doped infinite-layer compound. Others have predicted that these small 5d contributions can and, in fact, should be avoided by adjusting the choice of rare earth to shrink the corresponding Fermi pockets (11, 14). Given the ongoing theoretical debate about the importance of the parent cation 5d bands (10, 19, 52), direct experimental measurements of these states provide valuable information, although full interpretation of the observed shifts in the Nd-N2,3 edge will require detailed calculations.

Together, the evolution of all three edges (O-K, Ni-L_{2,3}, and Nd-N_{2,3}) suggest markedly different behavior of doped holes in infinite-layer nickelates than in the cuprates. Rather than doping mostly onto O, our data are consistent with a picture in which

the large charge-transfer energy $\Delta > U$ of the Mott–Hubbard regime instead pushes holes also onto Ni, resulting in d^8 -like states above the O 2p band. Hybridization between the ligand holes and d^8 states results in the observed $d^9 \underline{L}$ peak at ~528 eV in the O-K edge. Hybridization with this $d^9 \underline{L}$ pushes the d^8 state up toward the Fermi energy, resulting in a "mixed-valent metal" state, as also suggested by the observed broadening of the Ni-L_{2,3} edge. Finally, the effects measured in the Nd-N_{2,3} edge illustrate some interaction with the doped holes in the Nd 5*d* bands as well, consistent with a multiband system fundamentally different from the effective single-band superconducting cuprates, as previously proposed by theoretical models (12, 16, 20, 45) and Hall effect measurements (48).

Beyond hole doping, the large parameter space, including rareearth cation (11, 14), epitaxial strain, reduction process, etc., yet to be explored for this new family of superconductors will provide a rich backdrop for future experiments. Especially in thin-film form, spatially resolved spectroscopy will be a powerful technique to not only minimize spectral signatures due to defects but also exclude contributions from stabilizing capping layers (22).

The data presented here are direct evidence of key differences between the electronic structures of two isostructural superconducting oxide families. Analysis of the parent perovskite and infinite-layer compounds establishes the Mott–Hubbard character of NdNiO₂, distinct from the charge-transfer cuprates. Within the doped infinite-layer series, we observe effects of hole doping in not just the O 2p but also the Ni 3d and Nd 5d bands, drawing a further distinction between the nickelates and their isostructural cuprate cousins. Lacking both the charge-transfer character and magnetic order (53) of the cuprates, understanding the nature of superconductivity in this new family of nickelates will require new insights from both theory and experiment.

Materials and Methods

Thin Film Synthesis and Characterization. Thin films of precursor perovskite nickelate $Nd_{1-x}Sr_xNiO_3$ 8 to 10 nm in thickness were fabricated by PLD on single-crystalline (001) SrTiO₃ substrates. To synthesize crystalline uniform films and improve sample-to-sample reproducibility, we adopted the recently established "high-fluence" conditions using precise laser-imaging conditions (22). For all samples, a SrTiO₃ epitaxial capping layer was subsequently deposited using conditions described previously (8). After the growth, an annealing-based topochemical reduction was then employed to achieve the infinite-layer phase using CaH₂ as the reducing reagent. The annealing conditions were optimized, as described previously (22). In particular, for samples with a SrTiO_3 cap layer of ${\sim}25$ nm, the reduction temperature T_r of 280°C and reduction time t_r of 4 to 6 h were used; for samples with a \sim 2-nm SrTiO₃ cap layer, T_r and t_r were 260°C and 1 to 3 h, to achieve a complete transformation to the infinite-layer phase. X-ray diffraction symmetric and asymmetric scans of the nickelate films were measured using a monochromated Cu K_{$\alpha 1$} (λ = 1.5406 Å) source. The resistivity measurements were made using Al wire-bonded contacts.

Atomic-Resolution STEM and EELS. Electron transparent TEM samples of each film were prepared on a Thermo Fischer Scientific Helios G4 X focused-ion beam (FIB) using the standard lift-out method. Samples were thinned to <30 nm with 2-kV Ga ions, followed by a final polish at 1 kV to reduce effects of surface damage. All specimens were stored in vacuum to prevent possible degradation in air.

HAADF-STEM was performed on an aberration-correction FEI Titan Themis at an accelerating voltage of 300 kV with a convergence angle of 30 mrad and inner and outer collection angles of 68 and 340 mrad, respectively. Elemental maps were recorded with a 965 GIF Quantum ER spectrometer and a Gatan K2 Summit direct electron detector operated in counting mode. All EELS experiments presented here were conducted with probe currents less than 20 pA to minimize radiation damage with the scan parameters used: ~1-Å probe, ~0.1-Å² scan pixel size, and 0.5 μ s per pixel dwell time (*SI Appendix*, Fig. S7). One consequence of this low beam current is the need for long total acquisition times to achieve spectral SNR suitable for resolving subtle differences in the EELS fine structure.

Particularly for the O-K edge, acquisitions up to 2,000 s for a single \sim 10- to 20-nm² region were needed in order to record spectra with sufficiently high SNR. To ensure low spatial drift over such long measurements, EELS experiments of the O-K edge were performed on a Nion UltraSTEM equipped with a high stability stage, an Enfinium ER spectrometer, and a Quefina 2 camera, operated at 100 kV with 12-pA beam current. The effective energy resolution measured by the full width at half-maximum of the zero loss peak was ~0.39 eV. In each specimen, atomic-resolution STEM imaging was used to locate defect-free regions and eliminate contributions from SrTiO₃ substrate and capping layers.

- J. G. Bednorz, K. A. Muller, Possible high T_c superconductivity in the Ba-La-Cu-O system. Z. Phys. B Condens. Matter 64, 189–193 (1986).
- 2. Y. Maeno et al., Superconductivity in a layered perovskite without copper. Nature 372, 532–534 (1994).
- 3. Y. Kamihara, T. Watanabe, M. Hirano, H. Hosono, Iron-based layered superconductor $La[O_{1-x}F_x]$ FeAs (x = 0.05–0.12) with T_c = 26 K. J. Am. Chem. Soc. 130, 3296–3297 (2008).
- N. P. Armitage, P. Fournier, R. L. Greene, Progress and perspectives on electron-doped cuprates. *Rev. Mod. Phys.* 82, 2421–2487 (2010).
- H. Hosono, K. Kuroki, Iron-based superconductors: Current status of materials and pairing mechanism. *Physica C Supercond*. 514, 399–422 (2015).
- B. Keimer, S. A. Kivelson, M. R. Norman, S. Uchida, J. Zaanen, From quantum matter to high-temperature superconductivity in copper oxides. *Nature* 518, 179–186 (2015).
- A. P. Mackenzie, T. Scaffidi, C. W. Hicks, Y. Maeno, Even odder after twenty-three years: The superconducting order parameter puzzle of Sr₂RuO₄. *NPJ Quantum Mater.* 2, 40 (2017).
- D. Li et al., Superconductivity in an infinite-layer nickelate. Nature 572, 624–627 (2019).

Most spectra presented here are the sum of multiple acquisitions. All individual spectra were aligned in energy based on cross-correlation of the large spectral features. In some cases (Ni-L_{2,3} and Nd-M_{4,5} edges from the NdNiO₃ film; Fig. 2), a weighted moving average over a small window (three to five channels) has been applied for clarity of comparison between spectra. The energy dispersion of 0.025 eV per channel is significantly smaller than the instrumental energy resolution, so smoothing to this degree will not affect the overall interpretation of our data. Absolute energy alignment of the O-K edges of the Nd_{1-x}Sr_xNiO_y thin films are based on simultaneously recorded O-K spectra from the SrTiO₃ substrates, which serves as a consistent and well-documented reference. In each figure, spectra are normalized and shifted vertically for clarity and ease of comparison.

To obtain sufficient SNR of the high-energy edges (Ni-L2,3, Nd-M4,5), we took advantage of the low background and readout noise in the Gatan K2 Summit direct electron detector installed on the FEI Titan Themis system (54-56). The inherent energy spread of the high-brightness Schottky field emission gun (X-FEG) electron source on this system, however, required monochromation to obtain sufficient energy resolution. For these experiments, we used a monochromator excitation of 1.0 at an accelerating voltage of 120 kV to achieve measured energy resolution of ${\sim}0.21$ eV with a spectrometer dispersion of 0.025 eV per channel. Because of the point spread function of the K2 Summit detector (54-56), the 0.1 eV per channel dispersion used for the experiments preserved an effective energy resolution of \sim 0.29 eV over a total energy range of 370 eV, enabling simultaneous acquisition of both the Ni-L_{2.3} and the Nd-M_{4.5} edge used for absolute energy alignment (*SI Appendix*, Fig. S4). Ni and Nd spectra were acquired by the same process described for the O-K edge. The O-K signature was checked for each region before and after additional data collection to ensure proper phase identification (i.e., perovskite vs. infinite-layer) and to confirm that the results were not affected by radiation damage during the experiment (SI Appendix, Fig. S7). All spectra were aligned in energy based on a simultaneously recorded nearby standard edge: e.g., the Ni-L_{2,3} spectra were aligned by the Nd-M_{4,5}, the Nd-N_{2,3} by the C-K edge.

Data Availability. The spectral and image data reported in this paper have been deposited in the Platform for the Accelerated Realization, Analysis, and Discovery of Interface Materials (PARADIM) database (https://doi.org/10.34863/1lk1-pd01).

ACKNOWLEDGMENTS. B.H.G. and L.F.K. acknowledge support from Department of Defense Air Force Office of Scientific Research Grant FA 9550-16-1-0305. This work made use of the Cornell Center for Materials Research Shared Facilities, which are supported through NSF Materials Research Science and Engineering Centers Program Grant DMR-1719875. The FEI Titan Themis 300 was acquired through NSF Grant NSF-MRI-1429155, with additional support from Cornell University, the Weill Institute, and the Kavli Institute at Cornell. The Thermo Fisher Helios G4 X FIB was acquired with support from the NSF PARADIM under Cooperative Agreement DMR-1539918. The work at SLAC/Stanford is supported by the US Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences and Engineering, under Contract DE-AC02-76SF00515, and the Gordon and Betty Moore Foundation's Emergent Phenomena in Quantum Systems Initiative through Grant GBMF4415 (synthesis equipment). M.O. acknowledges partial financial support from the Takenaka Scholarship Foundation. G.A.S. acknowledges support from the Natural Sciences and Engineering Research Council and the Stewart Blusson Quantum Matter Institute of the University of British Columbia.

- V. I. Anisimov, D. Bukhvalov, T. M. Rice, Electronic structure of possible nickelate analogs to the cuprates. *Phys. Rev. B* 59, 7901–7906 (1999).
- K. W. Lee, W. E. Pickett, Infinite-layer LaNiO₂: Ni¹⁺ is not Cu²⁺. *Phys. Rev. B* 70, 165109 (2004).
- Y. Nomura et al., Formation of a two-dimensional single-component correlated electron system and band engineering in the nickelate superconductor NdNiO₂. Phys. Rev. B 100, 205138 (2019).
- 12. L. H. Hu, C. Wu, Two-band model for magnetism and superconductivity in nickelates. *Phys. Rev. Res.* **1**, 032046 (2019).
- M. Y. Choi, K. W. Lee, W. E. Pickett, Role of 4f states in infinite-layer NdNiO₂. Phys. Rev. B 101, 020503 (2020).
- M. Hirayama, T. Tadano, Y. Nomura, R. Arita, Materials design of dynamically stable d⁹ layered nickelates. *Phys. Rev. B* 101, 075107 (2020).
- S. Ryee, H. Yoon, T. J. Kim, M. Y. Jeong, M. J. Han, Induced magnetic twodimensionality by hole doping in the superconducting infinite-layer nickelate Nd_{1-x}Sr_xNiO₂. *Phys. Rev. B* 101, 064513 (2020).
- E. Been et al., Theory of rare-earth infinite layer nickelates. arXiv:2002.12300 (27 February 2020).

- F. Lechermann, Late transition metal oxides with infinite-layer structure: Nickelates versus cuprates. Phys. Rev. B 101, 081110 (2020).
- M. Jiang, M. Berciu, G. A. Sawatzky, Critical nature of the Ni spin state in doped ndnio₂. *Phys. Rev. Lett.* **124**, 207004 (2020).
- A. S. Botana, M. R. Norman, Similarities and differences between LaNiO₂ and CaCuO₂ and implications for superconductivity. *Phys. Rev. X* 10, 011024 (2020).
- 20. P. Werner, S. Hoshino, Nickelate superconductors: Multiorbital nature and spin freezing. *Phys. Rev. B* 101, 041104 (2020).
- M. A. Hayward, M. A. Green, M. J. Rosseinsky, J. Sloan, Sodium hydride as a powerful reducing agent for topotactic oxide deintercalation: Synthesis and characterization of the nickel(I) oxide LaNiO₂. J. Am. Chem. Soc. **121**, 8843–8854 (1999).
- K. Lee et al., Aspects of the synthesis of thin film superconducting infinite-layer nickelates. Apl. Mater. 8, 041107 (2020).
- R. Egerton, *Electron Energy-Loss Spectroscopy in the Electron Microscope* (Springer US, Boston, MA, 2011).
- P. Rez, D. A. Muller, The theory and interpretation of electron energy loss near-edge fine structure. Annu. Rev. Mater. Res. 38, 535–558 (2008).
- L. F. Kourkoutis et al., Atomic-resolution spectroscopic imaging of oxide interfaces. Phil. Mag. 90, 4731–4749 (2010).
- G. Botton, S. Prabhudev, "Analytical electron microscopy" in Springer Handbook of Microscopy, P. W. Hawkes, J. C. H. Spence, Eds. (Springer International Publishing, Cham, Switzerland, 2019), pp. 345–453.
- D. A. Muller, P. E. Batson, J. Silcox, Measurement and models of electron-energy-loss spectroscopy core-level shifts in nickel aluminum intermetallics. *Phys. Rev. B* 58, 11970 (1998).
- F. M. F. de Groot et al., Oxygen 1s x-ray-absorption edges of transition-metal oxides. Phys. Rev. B 40, 5715–5723 (1989).
- 29. M. Abbate et al., Electronic structure and metal-insulator transition in $LaNiO_{3-\delta}$. Phys. Rev. B 65, 155101 (2002).
- N. Palina et al., Investigation of the metal-insulator transition in NdNiO₃ films by site-selective x-ray absorption spectroscopy. Nanoscale 9, 6094–6102 (2017).
- N. Gauquelin et al., Atomically resolved EELS mapping of the interfacial structure of epitaxially strained LaNiO₃/LaAlO₃ superlattices. *Phys. Rev. B* 90, 195140 (2014).
- D. Meyers et al., Zhang-Rice physics and anomalous copper states in A-site ordered perovskites. *Sci. Rep.* 3, 1834 (2013).
- 33. C. T. Chen et al., Electronic states in $La_{2-x}Sr_xCuO_{4+\delta}$ probed by soft-x-ray absorption. *Phys. Rev. Lett.* **66**, 104–107 (1991).
- J. Karp et al., Many-body electronic structure of NdNiO₂ and CaCuO₂. Phys. Rev. X 10, 021061 (2020).
- J. Zaanen, G. A. Sawatzky, J. W. Allen, Band gaps and electronic structure of transition-metal compounds. *Phys. Rev. Lett.* 55, 418–421 (1985).
- M. Hepting et al., Electronic structure of the parent compound of superconducting infinite-layer nickelates. Nat. Mater. 19, 381–385 (2020).
- V. Bisogni et al., Ground-state oxygen holes and the metal-insulator transition in the negative charge-transfer rare-earth nickelates. Nat. Commun. 7, 13017 (2016).

- G. M. Zhang, Y. F. Yang, F. C. Zhang, Self-doped Mott insulator for parent compounds of nickelate superconductors. *Phys. Rev. B* 101, 020501 (2020).
- D. A. Muller, D. J. Singh, J. Silcox, Connections between the electron-energy-loss spectra, the local electronic structure, and the physical properties of a material: A study of nickel aluminum alloys. *Phys. Rev. B* 57, 8181–8202 (1998).
- M. Olszta, J. Wang, E. Dickey, Stoichiometry and valence measurements of niobium oxides using electron energy-loss spectroscopy. J. Microsc. 224, 233–241 (2006).
- J. A. Mundy *et al.*, Visualizing the interfacial evolution from charge compensation to metallic screening across the manganite metal–insulator transition. *Nat. Commun.* 5, 3464 (2014).
- L. Lajaunie, F. Boucher, R. Dessapt, P. Moreau, Quantitative use of electron energy-loss spectroscopy Mo-M_{2,3} edges for the study of molybdenum oxides. *Ultramicroscopy* 149, 1–8 (2015).
- Y. Koyama, T. Mizoguchi, H. Ikeno, I. Tanaka, Electronic structure of lithium nickel oxides by electron energy loss spectroscopy. J. Phys. Chem. B 109, 10749–10755 (2005).
- C. Piamonteze et al., Spin-orbit-induced mixed-spin ground state in RNiO₃ perovskites probed by x-ray absorption spectroscopy: Insight into the metal-to-insulator transition. Phys. Rev. B 71, 020406 (2005).
- Y. Gu, S. Zhu, X. Wang, J. Hu, H. Chen, A substantial hybridization between correlated Ni-d orbital and itinerant electrons in infinite-layer nickelates. *Commun. Phys.* 3, 84 (2020).
- T. H. Kim et al., Strain-driven disproportionation at a correlated oxide metal-insulator transition. Phys. Rev. B 101, 121105 (2020).
- M. Osada et al., A superconducting praseodymium nickelate with infinite layer structure. Nano Lett. 8, 5735–5740 (2020).
- D. Li et al., Superconducting dome in Nd_{1-x}Sr_xNiO₂ infinite layer films. Phys. Rev. Lett. 125, 027001 (2020).
- P. Kuiper et al., X-ray absorption study of the O 2p hole concentration dependence on O stoichiometry in YBa₂Cu₃O_x. Phys. Rev. B 38, 6483–6489 (1988).
- F. C. Zhang, T. M. Rice, Effective Hamiltonian for the superconducting Cu oxides. *Phys. Rev. B* 37, 3759–3761 (1988).
- M. Medarde et al., RNiO₃ perovskites (R =Pr,Nd): Nickel valence and the metalinsulator transition investigated by x-ray-absorption spectroscopy. Phys. Rev. B 46, 14975–14984 (1992).
- 52. A. S. Botana, M. R. Norman, Layered palladates and their relation to nickelates and cuprates. *Phys. Rev. Mater.* 2, 104803 (2018).
- 53. M. Hayward, M. Rosseinsky, Synthesis of the infinite layer Ni(l) phase NdNiO_{2+x} by low temperature reduction of NdNiO₃ with sodium hydride. *Solid State Sci.* **5**, 839–850 (2003).
- J. L. Hart et al., Direct detection electron energy-loss spectroscopy: A method to push the limits of resolution and sensitivity. Sci. Rep., 1–14 (2017).
- B. H. Goodge, D. J. Baek, L. F. Kourkoutis, Direct electron detection for atomic resolution in situ EELS. *Microsc. Microanal.* 24, 1844–1845 (2018).
- B. H. Goodge, D. J. Baek, L. F. Kourkoutis, Atomic-resolution elemental mapping at cryogenic temperatures enabled by direct electron detection. arXiv:2007.09747 (19 July 2020).