# Matching-adjusted indirect comparison of PFS and OS comparing ribociclib plus letrozole *versus* palbociclib plus letrozole as first-line treatment of HR+/HER2–

advanced breast cancer

Komal Jhaveri<sup>®</sup>, Joyce O'Shaughnessy, Peter A. Fasching, Sara M. Tolaney, Denise A. Yardley, Vikash Kumar Sharma <sup>®</sup>, Chandroday Biswas, Astrid Thuerigen, Purnima Pathak and Hope S. Rugo

# Abstract

**Background:** Current standard-of-care first-line treatment of patients with hormone receptor-positive/human epidermal growth factor receptor 2-negative (HR+/HER2–) advanced breast cancer (ABC) is cyclin-dependent kinase 4/6 inhibitor (CDK4/6i) + endocrine therapy. In the MONALEESA-2 trial, first-line ribociclib + letrozole demonstrated statistically significant overall survival (OS) benefit *versus* placebo + letrozole in postmenopausal patients with HR+/HER2– ABC. In the PALOMA-2 trial, first-line palbociclib + letrozole did not show OS benefit *versus* placebo + letrozole in a similar patient population. Understanding OS outcomes in the respective trials is critical for treatment decisions; however, there are no head-to-head clinical trial data comparing ribociclib and palbociclib.

**Objectives:** To conduct a matching-adjusted indirect comparison (MAIC) to compare progression-free survival (PFS) and OS of first-line ribociclib + letrozole versus palbociclib + letrozole in postmenopausal patients with HR+/HER2- ABC.

**Design:** Letrozole-anchored MAIC using individual patient data from MONALEESA-2 and published summary data from PALOMA-2.

**Methods:** Using individual data, patients from MONALEESA-2 who matched inclusion criteria from PALOMA-2 were selected, and weighting was conducted to ensure baseline characteristics were similar to those in published aggregated data from PALOMA-2. The Bucher method was used to generate corresponding hazard ratios (HRs).

**Results:** The final effective sample size compared n = 150 (ribociclib) and n = 112 (placebo) MONALEESA-2 patients with n = 444 (palbociclib) and n = 222 (placebo) PALOMA-2 patients. After matching and weighting, patient characteristics were well balanced. MAIC analysis showed a numerical PFS benefit [HR, 0.80; 95% confidence interval (CI), 0.58–1.11; p = 0.187] and significant OS benefit (HR, 0.68; 95% CI, 0.48–0.96; p = 0.031) with ribociclib + letrozole versus palbociclib + letrozole.

**Conclusion:** Results of this cross-trial MAIC analysis showed a numerical PFS benefit and significantly greater OS benefit with first-line ribociclib + letrozole *versus* palbociclib + letrozole. These results support letrozole + ribociclib as the preferred first-line CDK4/6i for postmenopausal patients with HR+/HER2- ABC.

**Trial registration:** NCT01958021; https://www.clinicaltrials.gov/study/NCT01958021 (MONALEESA-2) and NCT01740427; https://clinicaltrials.gov/study/NCT01740427 (PALOMA-2).

Ther Adv Med Oncol

2023, Vol. 15: 1–11 DOI: 10.1177/ 17588359231216095

© The Author(s), 2023. Article reuse guidelines: sagepub.com/journalspermissions

Correspondence to:

Komal Jhaveri Memorial Sloan Kettering Cancer Center, 1275 York Avenue, New York, NY 10065, USA

# jhaverik@mskcc.org

Joyce O'Shaughnessy Texas Oncology-Baylor University Medical Center and the US Oncology Research Network, Dallas, TX, USA

#### Peter A. Fasching

Comprehensive Cancer Center Erlangen-European Metropolitan Region of Nuremberg, University Hospital Erlangen, Erlangen, Germany

Department of Gynecology and Obstetrics, Friedrich-Alexander University Erlangen-Nuremberg, Erlangen, Germany

Sara M. Tolaney Dana-Farber Cancer Institute, Boston, MA, USA

# Denise A. Yardley

Sarah Cannon Research Institute at Tennessee Oncology, Nashville, TN, USA

#### Vikash Kumar Sharma

**Chandroday Biswas** Novartis Healthcare Pvt Ltd, Hyderabad, India

**Astrid Thuerigen** Novartis Pharma AG, Basel, Switzerland

Purnima Pathak Novartis Pharmaceuticals Corporation, East Hanover, NJ, USA

#### Hope S. Rugo

Department of Medicine, UCSF Helen Diller Family Comprehensive Cancer Center, San Francisco, CA, USA

journals.sagepub.com/home/tam



Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the Sage and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

Keywords: MAIC, MONALEESA-2, overall survival, palbociclib, PALOMA-2, ribociclib

Received: 16 August 2023; revised manuscript accepted: 2 November 2023.

#### Introduction

cvclin-dependent kinase 4/6inhibitor А (CDK4/6i) combined with endocrine therapy (ET) is the standard-of-care first-line treatment for postmenopausal patients with hormone receptor-positive/human epidermal growth factor receptor 2-negative (HR+/HER2-) advanced breast cancer (ABC).1 In respective phase III trials, three approved CDK4/6is (abemaciclib, palbociclib, and ribociclib) in combination with ET have demonstrated significant improvements in progression-free survival (PFS) over ET alone when used as first-line treament.<sup>2-6</sup> However, recent data have shown differences in overall survival (OS) benefits among the three CDK4/6is. In the final OS analysis of MONALEESA-2, firstline ribociclib+letrozole demonstrated significant OS benefit versus placebo+letrozole in postmenopausal patients with HR+/HER2-ABC [hazard ratio (HR), 0.76; 95% confidence interval (CI), 0.63–0.93; p=0.008].<sup>7</sup> By contrast, the final OS analysis of PALOMA-2 did not demonstrate OS benefit with palbociclib + letrozole versus placebo + letrozole in a similar first-line setting (HR, 0.96; 95% CI, 0.78–1.18; p=0.338).8 An interim analysis of the MONARCH 3 trial demonstrated an OS HR of 0.75 (95% CI, 0.58-0.97; p = 0.030) for abemaciclib + nonsteroidal aromatase inhibitors (NSAIs) versus placebo + NSAIs; however, the prespecified criteria for significance were not met, and as of this writing, the final OS results have not yet been reported.5,9

Demonstrating OS benefit is recognized as the gold standard in oncology clinical trials, clearly surpassing any other end point.<sup>10</sup> Thus, understanding the OS outcomes of the respective CDK4/6i trials in HR+/HER2- ABC is critical to inform treatment decisions. In the absence of head-to-head trial results and the possibility to directly compare within the same trial, a matching-adjusted indirect comparison (MAIC) can be used to evaluate the relative effectiveness of treatments across trials, as it adjusts for known baseline differences in the study populations, unlike unadjusted indirect comparisons.11 MAIC has been widely used to compare a range of outcomes from phase III clinical trials where no

head-to-head study has been available, including among CDK4/6is.<sup>12–15</sup> In addition, MAIC has been accepted by several national health technology assessment agencies to help inform decision-making.<sup>11,16</sup>

In this study, an MAIC was performed to compare both PFS and OS with first-line ribociclib + letrozole *versus* palbociclib + letrozole using data from the MONALEESA-2 and PALOMA-2 trials. MONARCH 3 (abemaciclib + NSAIs) was not included in the analysis because the currently available interim OS data were still considered immature at the time of this writing.

#### **Methods**

#### Overview

An anchored MAIC of PFS and OS with ribociclib + letrozole versus palbociclib + letrozole as first-line treatment for HR+/HER2- ABC was conducted using individual patient data from the MONALEESA-2 trial (NCT01958021) and aggregated summary data reported for the PALOMA-2 trial (NCT01740427). Data for the MONALEESA-2 PFS and OS analyses were taken from the MONALEESA-2 data cutoff of 10 June 2021.7 PFS and OS data from PALOMA-2 were used up to the most recently available respective data cutoff of 31 May 2017 and 15 November 2021.8,17 The median followup in the MONALEESA-2 trial was 80 months for both PFS and OS, and the median follow-up in the PALOMA-2 trial was approximately 38 and 90 months for PFS and OS, respectively. The study designs and inclusion criteria for both trials have been reported in detail elsewhere.<sup>2,4</sup> Patients enrolled in MONALEESA-2 were included in the analysis if they also met the PALOMA-2 inclusion criteria. Patients in both trials were postmenopausal and diagnosed with HR+/ HER2- ABC, with no prior treatment for ABC. Baseline characteristics included in the MAIC analysis were age, race, Eastern Cooperative Oncology Group performance status (ECOG PS), liver or lung metastases, number and location of metastatic sites, stage at initial diagnosis, prior prior radiotherapy, surgery, prior (neo)adjuvant chemotherapy, prior adjuvant ET, treatment-free interval (TFI), and geographic region. This trial was conducted in accordance with the provisions of the Declaration of Helsinki and Good Clinical Practice Guidelines.

#### Statistical analyses

Patients in each arm (ribociclib and placebo) of MONALEESA-2 were matched and weighted separately so that baseline characteristics matched those of patients in the corresponding palbociclib and placebo arms in PALOMA-2. Study designs were generally similar between the studies (Table 1). In MONALEESA-2, patients were stratified by the presence or absence of liver or lung metastases. In PALOMA-2, patients were stratified by visceral versus nonvisceral metastases, prior hormone therapy, and duration of TFI (time from end of (neo)adjuvant treatment to recurrence referred to as 'DFI' in PALOMA-2). Both trials enrolled a similar proportion (18-22%) of patients with a TFI of  $\leq 12$  months.<sup>2,4,8,18</sup> Although the inclusion and exclusion criteria for the two trials were generally similar, there were some differences that were reflected in a small subset of patients. These absolute differences were less than approximately 10% for most characteristics,

except ECOG PS (Table 2). Therefore, patients from MONALEESA-2 were matched based on inclusion and exclusion criteria for PALOMA-2, and then individual patient data were weighted to match the average baseline characteristics of PALOMA-2 participants. To balance the covariate distribution, patients in one treatment group (MONALEESA-2) were weighted by their inverse odds of being in that group versus the other treatment group (PALOMA-2). The propensity score model was estimated using the generalized method of moments based on the aggregate data and individual patient data. The weights were assumed to follow the logistic regression model:  $w_i = \exp(\alpha + X'_i\beta_i)$ , where w was the weight, X was a vector of baseline characteristics, and  $\alpha$  and  $\beta$  were regression parameters. Distributions of inverse probability of treatment weights were calculated for patients in both arms of MONALEESA-2 and were plotted as histograms. These weights were then applied to the individual arms of MONALEESA-2 to predict the observed outcomes in the target population. The applied method was consistent with the recommendations from the Decision Support Unit commissioned by the UK National Institute for Health and Care Excellence.<sup>16,19</sup> Effective sample sizes (ESS) for both arms were calculated and

Table 1.	Comparison	of MONALEESA-2 and	PALOMA-2 study details.
----------	------------	--------------------	-------------------------

Parameter	MONALEESA-2 <sup>2,18</sup>	PALOMA-2 <sup>4,8</sup>
Patients, N	668	666
Randomization (treatment:placebo)	1:1	2:1
Menopausal status	Postmenopausal	Postmenopausal
Treatment arms	Ribociclib + letrozole <i>versus</i> Placebo + letrozole	Palbociclib + letrozole <i>versus</i> Placebo + letrozole
Primary end point	PFS	PFS
Stratification factors	Presence/absence of liver/lung metastases	1. Visceral/nonvisceralª 2. Prior hormone therapy (yes/no) 3. TFI: de novo, ≤12 months, or >12 monthsª
Is prior chemotherapy for ABC allowed?	No	No
TFI of ≤12 months <sup>b</sup>	Treatment arm, 17.7% Placebo arm, 19.2%	Treatment arm, 22.3% Placebo arm, 21.6%

<sup>a</sup>In PALOMA-2, 'visceral' was defined as any lung involvement, which included non-measurable pleura and pleural effusion, in addition to a measurable lung lesion, and/or liver involvement.<sup>20</sup>

<sup>b</sup>TFI' was defined as the time from the end of (neo)adjuvant treatment to recurrence and was referred to as a

'disease-free interval' in the PALOMA-2 trial.<sup>18</sup>

ABC, advanced breast cancer; PFS, progression-free survival; TFI, treatment-free interval.

# THERAPEUTIC ADVANCES in

Medical Oncology

### Table 2. Baseline characteristics after matching.

Characteristic	MONALEESA-2 unmatched		MONALEESA-	MONALEESA-2 matched		PALOMA-2	
	<b>RIB</b> + <b>LET</b>	PBO + LET	RIB + LET	PBO + LET	PAL + LET	PB0 + LET	
Ν	304	299	150	112	444	222	
Age, %							
<65years	56.9	57.9	59.2	63.5	59.2	63.5	
Race, %							
White	79.6	82.3	77.5	77.5	77.5	77.5	
Other	20.4	17.7	22.5	22.5	22.5	22.5	
ECOG PS, %							
0	60.2	60.5	57.9	45.9	57.9	45.9	
1+	39.8	39.5	42.1	54.1	42.1	54.1	
Liver or lung metastases, %							
Yes	55.3	58.5	48.2	49.5	48.2	49.5	
No. metastatic sites, %							
<3	66.8	64.9	57.4	53.1	57.5	53.1	
Bone only, %							
Yes	20.7	22.4	23.2	21.6	23.2	21.6	
The stage at initial diagnosis, %							
≥3	54.3	55.5	57.7	55.9	57.7	55.9	
Prior surgery, %							
Yes	67.4	67.6	73.4	73.9	73.4	73.9	
Prior to radiotherapy, %							
Yes	52.6	50.8	53.2	56.3	53.2	56.3	
Prior neoadjuvant chemo., %							
Yes	13.2	7.7	12.2	14.4	12.2	14.4	
Prior adjuvant chemo., %							
Yes	38.5	41.1	40.5	40.1	40.5	40.1	
Prior adjuvant ET, %							
Yes	55.6	53.5	56.3	56.8	56.3	56.8	
Treatment-free interval, %							
De novo	36.8	37.8	37.6	36.5	37.6	36.5	
≤12 months	19.1	21.4	22.1	21.6	22.3	21.6	
Geographic region, %							
North Americaª	32.6	35.1	37.8	44.6	37.8	44.6	

<sup>a</sup>Only one region level (North America) was included to minimize the number of levels to avoid the assignment of extreme weights to patients. North America was

chosen to align with a previous MONALEESA-2 MAIC publication.<sup>15</sup> chemo., chemotherapy; ECOG PS, Eastern Cooperative Oncology Group performance status; ET, endocrine therapy; LET, letrozole; MAIC, matching-adjusted indirect comparison; PAL, palbociclib; PBO, placebo; RIB, ribociclib.



Figure 1. MONALEESA-2 patient selection.

ESS reflects sample size after balancing.

ESS, effective sample size; MAIC, matching-adjusted indirect comparison; ML-2, MONALEESA-2; PAL-2, PALOMA-2.

were estimated as  $\frac{(\sum_{i=1}^{n} wi)^2}{\sum_{i=1}^{n} wi^2}$ , where *n* was the

number of patients in index trial. When estimates are made by weighting a sample, the ESS is the number of independent non-weighted individuals who would be required to give an estimate with the same precision as the weighted sample estimate. Importantly, ESS methodology does not remove patients from the analysis; ESS calculations reduce the weight of a subset of patients, which ultimately reduces their impact on the treatment effect. MAIC adjusts the weightage of each patient to account for smaller differences across all baseline characteristics, resulting in nearly identical baseline characteristics between the two trials and leading to a reduced ESS. Thus, ESS is an adjustment of the sample size that accounts for the weighting of the observations, and weighting always reduces the ESS.<sup>16</sup>

PFS and OS with ribociclib + letrozole (from MONALEESA-2) *versus* palbociclib + letrozole (from PALOMA-2) were compared using time-to-event data. Cox regression models were used to calculate adjusted HRs for PFS and OS for ribociclib + letrozole *versus* placebo + letrozole before Bucher indirect treatment comparisons were performed to assess ribociclib + letrozole *via* letrozole.<sup>21</sup> Cox proportional hazards regression is a semiparametric regression technique commonly used to estimate the HR between the two treatment groups when patient-level data are available. The proportional hazards assumption of the Cox regression model states that the HR between any two

individuals remains constant over time. In other words, it is assumed that the ratio of the hazard rates for two treatments is constant and does not vary with time. The Bucher method assesses the difference between the treatment group and the placebo group in two different clinical trials – in this case, MONALEESA-2 and PALOMA-2.<sup>21</sup>

#### Results

#### Patient characteristics

In MONALEESA-2, 334 patients were treated with ribociclib + letrozole, and 334 patients were treated with placebo + letrozole. The MONALEESA-2 patients were matched with 444 patients treated with palbociclib + letrozole and 222 patients treated with placebo + letrozole in PALOMA-2 (Figure 1).

The percentage of patients in the intent-to-treat (ITT) population who received either ribociclib (MONALEESA-2) or palbociclib (PALOMA-2) and had a TFI of  $\leq 12$  months was 17.7% and 22.3%, respectively. Using the variables described in Table 2, the ribociclib and placebo arms were separately matched and weighted; patient characteristics were well balanced after weighting. The ESS was 150 patients for the ribociclib arm and 112 for the placebo arm. Both the ribociclib and palbociclib sample sizes were large enough to provide reasonable results for indirect comparison. Baseline characteristics reported for PALOMA-2 were matched with those reported in ML-2 in the MAIC (Table 2). Rescaled weights ranged from 0



**Figure 2.** Distribution of weights for patients in MONALEESA-2 who met the PALOMA-2 inclusion criteria in the ribociclib + letrozole and placebo + letrozole arms. LET, letrozole; PBO, placebo; RIB, ribociclib.



Figure 3. Forest plot of PFS: ribociclib + letrozole versus palbociclib + letrozole.

<sup>a</sup>MONALEESA-2 data cutoff: 10 June 2021.

<sup>b</sup>PALOMA-2 data cutoff: 31 May 2017.

<sup>c</sup>HR estimated *via* Bucher method.

CDK4/6i, cyclin-dependent kinase 4/6 inhibitor; CI, confidence, interval; HR, hazard ratio; ITT, intent to treat; LET, letrozole; ML-2, MONALEESA-2; PAL, palbociclib; PAL-2, PALOMA-2; PBO, placebo; PFS, progression-free survival; RIB, ribociclib.

to 5.15 for ribociclib + letrozole (median, 0.72) and from 0 to 12.68 for placebo + letrozole (median, 0.64). The histograms of weight distribution are shown in Figure 2.

#### Progression-free survival

The HR estimates for PFS for patients in PALOMA-2 and MONALEESA-2 are shown in Figure 3. The unmatched HR for MONALEESA-2 (based on the ITT population) was 0.58 (95% CI, 0.49–0.70) and significantly (p < 0.001) favored ribociclib + letrozole over placebo + letrozole. After matching and weighting, the MAIC-adjusted HR for ribociclib + letrozole versus placebo + letrozole decreased to 0.45 (95% CI, 0.35–0.58), favoring ribociclib + letrozole over placebo + letrozole (p < 0.001) (based on the

ESS). Unadjusted ITT data from the PALOMA-2 trial reported an HR of 0.56 (95% CI, 0.46–0.69), which significantly favored palbociclib + letrozole *versus* placebo + letrozole (p < 0.001).<sup>17</sup> Comparing MAIC-adjusted HRs for ribociclib + letrozole *versus* palbociclib + letrozole resulted in a PFS HR of 0.80 (95% CI, 0.58–1.1; p=0.187).

#### Overall survival

The HR estimates for OS for patients in PALOMA-2 and MONALEESA-2 are shown in Figure 4. The data from the MONALEESA-2 trial (based on the ITT population) showed significant OS benefit with ribociclib+letrozole over placebo+letrozole (HR, 0.76; 95% CI, 0.63–0.93; p=0.008).<sup>7</sup> Similarly, analysis after matching and weighting of MONALEESA-2



**Figure 4.** Forest plot of OS: ribociclib + letrozole *versus* palbociclib + letrozole.

<sup>a</sup>MONALEESA-2 data cutoff: 10 June 2021. <sup>b</sup>PALOMA-2 data cutoff: 15 November 2021.

PALUMA-2 data cutoff: 15 November 20

<sup>c</sup>HR estimated *via* Bucher method.

CDK4/6i, cyclin-dependent kinase 4/6 inhibitor; CI, confidence interval; HR, hazard ratio; ITT, intent to treat; LET, letrozole; ML-2, MONALEESA-2; OS, overall survival; PAL, palbociclib; PAL-2, PALOMA-2; PBO, placebo; RIB, ribociclib.

patients showed that ribociclib + letrozole had significant OS benefit versus placebo + letrozole. The HR for ribociclib + letrozole versus placebo + letrozole decreased to 0.65 (95% CI, 0.48–0.87; p=0.004) after MAIC adjustment (based on the ESS). Comparing MAIC-adjusted HRs for ribociclib + letrozole versus palbociclib + letrozole resulted in an OS HR of 0.68 (95% CI, 0.48–0.96), which showed a statistically significant OS benefit with ribociclib + letrozole over palbociclib + letrozole (p=0.031).

# Discussion

This MAIC used matched and weighted individual patient data from MONALEESA-2 and aggregated data from PALOMA-2 to compare PFS and OS with first-line use of ribociclib + letrozole versus palbociclib + letrozole. Results showed that ribociclib + letrozole was associated with a significantly greater OS benefit compared to palbociclib+letrozole as first-line treatment for postmenopausal patients with HR+/HER2-ABC. Although ribociclib + letrozole was also associated with a numerically greater PFS than palbociclib+letrozole, this difference did not reach statistical significance. In these phase III clinical trials, both MONALEESA-2 and PALOMA-2 reported significantly longer PFS in the treatment arms (ribociclib + letrozole or palbociclib + letrozole, respectively) compared with the control arms  $(placebo + letrozole).^{2,4}$ However, while MONALEESA-2 reported significant OS benefit with the addition of ribociclib

OS benefit with the addition of palbociclib to letrozole.<sup>7,8</sup> It should be noted that when the same TFI definition (time from end of (neo)adjuvant treatment to recurrence - referred to as 'DFI' in PALOMA-2) was applied to both trials, the percentage of patients with a TFI of ≤12 months was not appreciably different MONALEESA-2 between (ribociclib arm. 17.7%; placebo arm, 19.2%) and PALOMA-2 (palbociclib arm, 22.3%; placebo arm, 21.6%). This suggests that differences in the percentage of patients with a TFI le 12 months were not the reason behind the differences in OS outcomes in MONALEESA-2 and PALOMA-2. Furthermore, the results of this MAIC, which adjusted for patient-level differences (including any slight differences in  $TFI \leq 12 \text{ months}$ ) between MONALEESA-2 and PALOMA-2, provide additional data to demonstrate the superiority of ribociclib over palbociclib in OS.

to letrozole, PALOMA-2 did not demonstrate

The results of the current anchored MAIC analysis are also consistent with a prior unanchored MAIC analysis that compared PFS and OS with first-line ribociclib *versus* palbociclib, such that there was a numerical PFS benefit and significant OS benefit observed with ribociclib over palbociclib.<sup>14</sup> This previously published MAIC analysis used individual patient data from patients treated with first-line ribociclib + fulvestrant in the phase III MONALEESA-3 trial and palbociclib + letrozole in the phase II PALOMA-1 trial.<sup>14</sup> Although the analysis used a phase II trial (PALOMA-1) with a smaller sample size (and associated limitations on statistical power), the results of this analysis were still consistent with those of the current analysis.

Taken together, the MAIC data presented here, along with data from prior MAIC and primary efficacy analyses, provide meaningful evidence that the CDK4/6is – in this case, ribociclib and palbociclib - are not the same.<sup>7,8,14</sup> Preclinical data have shown that ribociclib has greater CDK4 versus CDK6 inhibition; additionally, at clinically relevant doses, ribociclib has higher free drug concentrations than palbociclib.22-25 Clinical data have shown significant OS benefit with ribociclib in prespecified final analyses of all three of its phase III clinical trials in HR+/HER2- ABC (MONALEESA-2: HR, 0.76; 95% CI, 0.63-0.93; *p*=0.008; MONALEESA-3: HR, 0.72; 95% CI, 0.57–0.92; *p*=0.005; MONALEESA-7: HR, 0.71; 95% CI, 0.54–0.95; p = 0.010).<sup>7,26,27</sup> Conversely, palbociclib has not shown significant OS benefit in any prespecified final analysis of its phase III clinical trials in HR+/HER2- ABC (PALOMA-2: HR, 0.96; 95% CI, 0.78-1.18; p=0.338; PALOMA-3: HR, 0.81; 95% CI, 0.64-1.03; p = 0.09).<sup>8,28</sup> Taken together, these data, along with an understanding of the adverse event profiles of each of the two individual agents, can help to inform treatment decisions.

MAIC is a methodology that uses individual patient data from a randomized controlled trial and aggregated data from another trial. This wellaccepted technique controls for variations among trials in a statistical manner and provides comparative, clinically meaningful data in instances where head-to-head studies are not feasible. However, this study does include limitations. Biases can occur when comparing nonrandomized treatment groups due to both observed and unobserved differences among trials.<sup>19</sup> Although known differences were accounted for using MAIC, only aggregated characteristics for PALOMA-2 were controlled for in this analysis; therefore, any unreported data may confound the results described in this study. Patients enrolled in PALOMA-2 who had missing survival data were censored at the time of analysis, and unequal distribution of these patients among the matched populations may have led to potential biases in the results. However, baseline characteristics after removing patients with missing data were not available; thus, an MAIC could not be conducted using this cohort of patients. The Bucher indirect treatment comparison method sums the variance of the two trials to generate the CIs that compared ribociclib with palbociclib. The addition of variances may, to some extent, widen the CIs, thus making some relationships that may be significant otherwise become nonsignificant. In particular, the widening of the CIs may have limited the PFS differences observed in this analysis.

Despite some of the limitations mentioned above, anchored MAIC is a validated and widely accepted method for comparing treatments in lieu of head-to-head trials. Analyses such as MAICs can help inform decisions by patients, clinicians, and policymakers. The results from this MAIC show that first-line use of ribociclib was associated with numerically longer PFS and significantly longer OS compared with palbociclib in postmenopausal patients with HR+/HER2-ABC. These results are consistent with and supportive of a now substantial body of evidence that demonstrates clear differences between ribociclib and palbociclib, including differences in OS benefit between the two CDK4/6is. Taken together, these data indicate that ribociclib appears to be preferable over palbociclib as a first-line treatment for postmenopausal patients with HR+/ HER2-ABC.

# Declarations

#### *Ethics approval and consent to participate*

This trial was conducted in accordance with the provisions of the Declaration of Helsinki and Good Clinical Practice guidelines and was funded by Novartis. The trial protocol and all amendments were approved by the institutional review board at each site or an independent ethics committee. The conduct of the trial was overseen by a trial steering committee composed of participating international investigators as well as representatives of the sponsor. An independent data monitoring committee assessed safety data. Prior to enrollment, all patients provided written informed consent. Representatives of the sponsor were responsible for trial design, data compilation, and confirmation of the accuracy of analyses. All authors had access to the data and vouch for the completeness and accuracy of the data as well as the fidelity of the trial to the protocol. All authors participated in the writing and review of all manuscript drafts and contributed to the interpretation of the data.

# Consent for publication

Not applicable.

# Author contributions

**Komal Jhaveri:** Conceptualization; Investigation; Writing – review & editing.

**Joyce O'Shaughnessy:** Conceptualization; Investigation; Writing – review & editing.

**Peter A. Fasching:** Conceptualization; Investigation; Writing – review & editing.

**Sara M. Tolaney:** Conceptualization; Investigation; Writing – review & editing.

**Denise A. Yardley:** Conceptualization; Investigation; Writing – review & editing.

**Vikash Kumar Sharma:** Conceptualization; Formal analysis; Methodology; Writing – review & editing.

**Chandroday Biswas:** Conceptualization; Formal analysis; Methodology; Writing – review & editing.

**Astrid Thuerigen:** Conceptualization; Methodology; Writing – review & editing.

**Purnima Pathak:** Conceptualization; Formal analysis; Methodology; Writing – review & editing.

**Hope S. Rugo:** Conceptualization; Investigation; Writing – review & editing.

# Acknowledgements

We thank the patients who participated in this trial, their families, and their caregivers; members of the data monitoring committee; members of the study steering committee; staff members who helped with the trial at each site; and Kathryn Russo, PhD, of MediTech Media, for medical editorial assistance with this manuscript. Medical writing support and editorial support were paid for by Novartis. We would also like to thank Sina Haftchenary for supporting this study. Ribociclib was discovered by Novartis Institutes for BioMedical Research in collaboration with Astex Pharmaceuticals.

# Funding

The authors disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was sponsored by Novartis.

# Competing interests

Dr K.J. reports personal fees from Novartis, AstraZeneca, Pfizer, Bristol Myers Squibb, Jounce Therapeutics, Taiho Oncology, Genentech/Roche, Lilly Pharmaceuticals/Loxo Oncology, AbbVie, Eisai, Blueprint Medicines, Seagen, Daiichi Sankvo, Gilead. Olema Pharmaceuticals, Sun Pharma Advanced Research Company Ltd, Menarini/Stemline; and grants from Novartis, AstraZeneca, Pfizer, Genentech/Roche, Lilly Pharmaceuticals/Loxo Oncology, Gilead, Debiopharm, Zymeworks, Puma Biotechnology, Merck Pharmaceuticals, Context Therapeutics. Dr J.O. reports personal fees from AbbVie, Agendia, Amgen Biotechnology, Aptitude Health, AstraZeneca, Baver, Bristol Myers Squibb, Celgene, Clovis Oncology, Daiichi Sankyo, Eisai, G1 Therapeutics, Genentech, Gilead Sciences, GRAIL, Halozyme Therapeutics, Heron Therapeutics, Immunomedics, Ipsen Biopharmaceuticals, Lilly, Merck, Myriad, Novartis. Nektar Therapeutics, Pfizer. Pharmacyclics, Pierre Fabre Pharmaceuticals, Puma Biotechnology, Prime Oncology, Roche, Samsung Bioepis, Sanofi, Seagen, Syndax Pharmaceuticals, Taiho Oncology, Takeda, Synthon. Dr P.A.F. reports personal fees from Novartis, Pfizer, Daiichi Sankyo, AstraZeneca, Eisai, Merck Sharp & Dohme, Lilly, Pierre Fabre, Seagen, Roche, Hexal, Agendia, Sanofi Aventis, Gilead; and grants from BioNTech, Pfizer, Cepheid. Dr S.M.T. reports institution grants from Eli Lilly, Novartis, AstraZeneca, Merck, Nektar, Pfizer, Genentech/Roche, Exelixis, Bristol Myers Squibb, Eisai, NanoString, Cyclacel, Sanofi, Odonate, Gilead; and personal fees from Eli Lilly, Novartis, AstraZeneca, Merck, Nektar, Pfizer, Genentech/Roche, Exelixis, Bristol Myers Squibb, Eisai, NanoString, Puma, Sanofi, Odonate, Seagen, G1 Therapeutics, Athenex, OncoPep, Kyowa Kirin Pharmaceuticals, Daiichi Sankyo, CytomX, Samsung Bioepis, Certara, Mersana Therapeutics, Gilead, OncoSec, Chugai Pharma, Ellipses Pharma, 4D Pharma, BeyondSpring Pharma, OncXerna, Infinity Therapeutics, Zentalis, Zymeworks. Dr D.A.Y. reports institution grants from Daiichi Sankyo/Lilly, Eisai, Genentech/Roche, Novartis, Clovis AbbVie, AstraZeneca, Oncology, Immunomedics, InventisBio, Lilly, MedImmune, Medivation, Merck, Oncothyreon, Pfizer, Syndax, Tesaro; and personal fees from Biotheranostics, Bristol Myers Squibb, Celgene,

Daiichi-Sankyo/Lilly, Eisai, Genentech/Roche, Novartis, NanoString Technologies. V.K.S. reports employment from Novartis. C.B. reports employment from Novartis. A.T. reports employment and stock ownership from Novartis. P.P. reports employment and stock ownership from Novartis. Dr H.S.R. reports institution grants from Plexxikon, MacroGenics, OBI Pharma, Eisai, Pfizer, Novartis, Eli Lilly, GSK, Genentech, Celsion, Merck; and personal fees from Novartis, Roche/Genentech, OBI Pharma, Bayer, Pfizer, and Genomic Health.

# Availability of data and materials

Novartis is committed to sharing with qualified external researchers access to patient-level data and supporting clinical documents from eligible studies. These requests are reviewed and approved by an independent review panel on the basis of scientific merit. All data provided are anonymized to respect the privacy of patients who have participated in the trial, in line with applicable laws and regulations.

# ORCID iDs

Komal 0003-04	0	D	https://o	orcid.org/0000-
	Kumar -0003-33			https://orcid.

# References

- NCCN Clinical Practice Guidelines in Oncology. Breast Cancer. V4. Plymouth Meeting, PA: National Comprehensive Cancer Network, 2022.
- Hortobagyi GN, Stemmer SM, Burris HA, et al. Ribociclib as first-line therapy for HR-positive, advanced breast cancer. N Engl J Med 2016; 375: 1738–1748.
- Cardoso F, Paluch-Shimon S, Senkus E, et al. 5th ESO-ESMO international consensus guidelines for advanced breast cancer (ABC 5). Ann Oncol 2020; 31: 1623–1649.
- Finn RS, Martin M, Rugo HS, et al. Palbociclib and letrozole in advanced breast cancer. N Engl J Med 2016; 375: 1925–1936.
- Goetz MP, Toi M, Campone M, et al. MONARCH 3: abemaciclib as initial therapy for advanced breast cancer. J Clin Oncol 2017; 35: 3638–3646.
- 6. Tripathy D, Im SA, Colleoni M, *et al.* Ribociclib plus endocrine therapy for premenopausal women

with hormone-receptor-positive, advanced breast cancer (MONALEESA-7): a randomised phase 3 trial. *Lancet Oncol* 2018; 19: 904–915.

- Hortobagyi GN, Stemmer SM, Burris HA, et al. Overall survival with ribociclib plus letrozole in advanced breast cancer. N Engl J Med 2022; 386: 942–950.
- Finn RS, Rugo HS, Dieras VC, et al. Overall survival (OS) with first-line palbociclib plus letrozole (PAL+LET) versus placebo plus letrozole (PBO+LET) in women with estrogen receptor-positive/human epidermal growth factor receptor 2-negative advanced breast cancer (ER+/HER2- ABC): analyses from PALOMA-2. *J Clin Oncol* 2022; 40(Suppl. 17): abstract LBA1003.
- Goetz MP, Toi M, Huober J, et al. MONARCH
  interim overall survival (OS) results of abemaciclib plus a nonsteroidal aromatase inhibitor (NSAI) in patients (pts) with HR+, HER2- advanced breast cancer (ABC). Ann Oncol 2022; 33(Suppl. 7): abstract LBA15.
- Delgado A and Guddati AK. Clinical endpoints in oncology – a primer. Am J Cancer Res 2021; 11: 1121–1131.
- 11. Thom H, Jugl S, Palaka E, *et al.* Matching adjusted indirect comparisons to assess comparative effectiveness of therapies: usage in scientific literature and health technology appraisals. *Value Health* 2016; 19: A100–A101.
- Rugo HS, Harmer V, O'Shaughnessy J, et al. Quality of life with ribociclib versus abemaciclib as first-line treatment of HR+/HER2- advanced breast cancer: a matching-adjusted indirect comparison. *Ther Adv Med Oncol* 2023; 15: 17588359231152843.
- Rugo HS, Haltner A, Zhan L, et al. Matchingadjusted indirect comparison of palbociclib versus ribociclib and abemaciclib in hormone receptorpositive/HER2-negative advanced breast cancer. J Comp Eff Res 2021; 10: 457–467.
- Fasching PA, Delea TE, Lu YS, *et al.* Matchingadjusted indirect comparison of ribociclib plus fulvestrant versus palbociclib plus letrozole as first-line treatment of HR+/HER2- advanced breast cancer. *Cancer Manag Res* 2021; 13: 8179–8189.
- Tremblay G, Chandiwana D, Dolph M, et al. Matching-adjusted indirect treatment comparison of ribociclib and palbociclib in HR+, HER2advanced breast cancer. *Cancer Manag Res* 2018; 10: 1319–1327.
- Phillippo DM, Ades AE, Dias S, *et al.* NICE DSU technical support document 18:

methods for population-adjusted indirect comparisons in submission to NICE, https:// research-information.bris.ac.uk/ws/portalfiles/ portal/94868463/Population\_adjustment\_TSD\_ FINAL.pdf (2016, accessed 9 August 2023).

- Rugo HS, Finn RS, Dieras V, *et al.* Palbociclib plus letrozole as first-line therapy in estrogen receptor-positive/human epidermal growth factor receptor 2-negative advanced breast cancer with extended follow-up. *Breast Cancer Res Treat* 2019; 174: 719–729.
- Yardley DA, Yap YS, Azim HA, et al. Pooled exploratory analysis of survival in patients (pts) with HR+/HER2- advanced breast cancer (ABC) and visceral metastases (mets) treated with ribociclib (RIB) + endocrine therapy (ET) in the MONALEESA (ML) trials. Ann Oncol 2022; 33(Suppl. 7): abstract 205P
- Signorovitch JE, Wu EQ, Yu AP, et al. Comparative effectiveness without head-to-head trials: a method for matching-adjusted indirect comparisons applied to psoriasis treatment with adalimumab or etanercept. *Pharmacoeconomics* 2010; 28: 935–945.
- 20. Turner NC, Finn RS, Martin M, *et al.* Clinical considerations of the role of palbociclib in the management of advanced breast cancer patients with and without visceral metastases. *Ann Oncol* 2018; 29: 669–680.
- Bucher HC, Guyatt GH, Griffith LE, *et al.* The results of direct and indirect treatment comparisons in meta-analysis of randomized controlled trials. *J Clin Epidemiol* 1997; 50: 683–691.

- 22. Infante JR, Cassier PA, Gerecitano JF, *et al.* A phase I study of the cyclin-dependent kinase 4/6 inhibitor ribociclib (LEE011) in patients with advanced solid tumors and lymphomas. *Clin Cancer Res* 2016; 22: 5696–5705.
- 23. Patnaik A, Rosen LS, Tolaney SM, *et al.* Efficacy and safety of abemaciclib, an inhibitor of CDK4 and CDK6, for patients with breast cancer, nonsmall cell lung cancer, and other solid tumors. *Cancer Discov* 2016; 6: 740–753.
- Flaherty KT, Lorusso PM, Demichele A, et al. Phase I, dose-escalation trial of the oral cyclindependent kinase 4/6 inhibitor PD 0332991, administered using a 21-day schedule in patients with advanced cancer. *Clin Cancer Res* 2012; 18: 568–576.
- Gelbert LM, Cai S, Lin X, et al. Preclinical characterization of the CDK4/6 inhibitor LY2835219: in-vivo cell cycle-dependent/ independent anti-tumor activities alone/in combination with gemcitabine. *Invest New Drugs* 2014; 32: 825–837.
- Slamon DJ, Neven P, Chia S, et al. Overall survival with ribociclib plus fulvestrant in advanced breast cancer. N Engl J Med 2020; 382: 514–524.
- 27. Im S-A, Lu Y-S, Bardia A, *et al.* Overall survival with ribociclib plus endocrine therapy in breast cancer. *N Engl J Med* 2019; 381: 307–316.
- Turner NC, Slamon DJ, Ro J, *et al.* Overall survival with palbociclib and fulvestrant in advanced breast cancer. *N Engl J Med* 2018; 379: 1926–1936.

Visit Sage journals online journals.sagepub.com/ home/tam

Sage journals