



# The efficacy and safety of wedge resection for peripheral stage IA lung adenocarcinoma: a real-world study based on a single center

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**Background:** The effectiveness of segmentectomy for stage IA lung adenocarcinoma (IA-LUAD) has been well-documented. However, the efficacy and safety of wedge resection for peripheral IA-LUAD remains controversial. This study evaluated the feasibility of wedge resection in patients with peripheral IA-LUAD.

**Methods:** Patients with peripheral IA-LUAD who underwent wedge resection by video-assisted thoracoscopic surgery (VATS) at Shanghai Pulmonary Hospital were reviewed. Cox proportional hazards modeling was performed to identify predictors of recurrence. Receiver operating characteristic (ROC) curve analysis was used to calculate the optimal cutoffs of identified predictors.

**Results:** A total of 186 patients (female/male, 115/71; mean age, 59.9 years) were included. Mean maximum dimension of consolidation component (MCD) was 5.6 mm, consolidation-to-tumor ratio (CTR) was 37%, and mean computed tomography value of tumor (CTVt) was -285.4 HU. With a median follow-up of 67 months (interquartile range, 52–72 months), the 5-year recurrence rate was 4.84%. Ten patients occurred recurrence postoperatively. No recurrence was observed adjacent to the surgical margin. Increasing MCD, CTR, and CTVt were associated with a higher risk of recurrence, with corresponding hazard ratios (HRs) of 1.212 [95% confidence interval (CI): 1.120–1.311], 1.054 (95% CI: 1.018–1.092), and 1.012 (95% CI: 1.004–1.019) with optimal cutoffs for predicting recurrence of 10 mm, 60%, and -220 HU, respectively. When a tumor had characteristics under these respective cutoffs, no recurrence was observed.

**Conclusions:** Wedge resection can be considered to be a safe and efficacious management strategy for patients with peripheral IA-LUAD, especially for MCD less than 10 mm, CTR less than 60% and CTVt less than -220 HU.

**Keywords:** Lung adenocarcinoma stage IA; wedge resection; recurrence

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## Introduction

With the widespread use of high-resolution computed tomography (HRCT) scans and increased screening awareness, a growing number of lung cancer patients whose imaging manifestation were ground glass nodules (GGN),

have been diagnosed at early stages (1,2). According to the results of the randomized phase III trial from the Lung Cancer Study Group, lobectomy plus systematic lymph node dissection has been the standard treatment for clinical T1N0M0 non-small cell lung cancer (NSCLC)

for over 20 years (3). However, the results of more recent studies have demonstrated that lobectomy may not be the optimal surgical approach for all patients with early T1-stage NSCLC (4,5), suggesting that surgical alternatives to anatomical lobectomy may include a less invasive wedge resection (6-12). Wedge resection offers many potential benefits by preserving lung parenchyma and pulmonary function and lowering the risk of perioperative morbidity. It offers the possibility of curative therapy for patients who may not tolerate a lobectomy as well as those who present with postoperative recurrence or a secondary primary lung cancer (11,13). Although the data suggest that recurrence in patients with stage IA NSCLC who undergo wedge resection is higher than that of patients undergoing lobectomy, it is not significant (11,12). For these reasons, the indications for wedge resection in early-stage NSCLC has undergone exploration in recent years to improve the prognosis and quality of life of patients with early-stage NSCLC (14-16). However, the efficacy and safety of wedge resection for peripheral stage IA NSCLC remain controversial.

The purpose of this study was to assess the efficacy and safety of wedge resection among patients presenting with peripheral stage IA lung adenocarcinoma (IA-LUAD). A secondary aim was to identify predictors of recurrence after wedge resection for IA-LUAD and calculate cutoffs for these predictors. We present the following article in accordance with the STROBE reporting checklist (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-22-1010/rc>).

### Highlight box

#### Key findings

- Wedge resection can be considered a safe and effective treatment for a subset of peripheral lung adenocarcinoma (LUAD) patients with stage IA.

#### What is known and what is new?

- Anatomical lobectomy or segmentectomy are standard treatment for stage IA non-small cell lung cancer (NSCLC). However, the efficacy of wedge resection for peripheral stage IA NSCLC remains controversial.

#### What is the implication, and what should change now?

- Wedge resection may provide similar efficacy versus anatomical lobectomy or segmentectomy for stage IA LUAD patients whose maximum dimension of consolidation component (MCD), the consolidation-to-tumor ratio of the maximum dimension of the tumor (CTR), and CT value of the tumor (CTVt) less than 10 mm, 60%, and -220 HU simultaneously.

## Methods

Between January 2014 and December 2017, 196 consecutive patients who received wedge resection by video-assisted thoracoscopic surgery (VATS) in Shanghai Pulmonary Hospital were considered for inclusion in this retrospective study. Patients were diagnosed with pathological stage IA-LUAD by immunohistochemistry (IHC) in accordance to the American Joint Committee on Cancer (AJCC) tumor-node-metastasis (TNM) staging system (8<sup>th</sup> edition) (17), and the World Health Organization (WHO) classification of tumors of the lung (4<sup>th</sup> edition) (18) postoperatively. At the first postoperative follow-up, no behavior of lung cancer was observed on computed tomography (CT) scan. The exclusion criteria were: (I) patient had an oncologic history; (II) the minimum distance between the tumor and resection margin was less than the maximum dimension of the tumor or 20 mm, whichever was less; (III) patient had received chemotherapy and/or radiotherapy postoperatively before recurrence; (IV) patient with LUAD nodules more than 1. Ten patients were excluded to yield a final study cohort of 186 patients. Patients underwent intentional wedge resection only when: (I) a multi-disciplinary team comprised thoracic surgeons, oncologists, pathologists, and radiologists, diagnosed the tumor as stage I LUAD, and (II) the tumor was located in the lung periphery and a wedge resection could achieve a sufficient surgical margin ( $\geq$  the maximum tumor dimension or 20 mm). In addition, patients who could not tolerate anatomical lobectomy or segmentectomy for comorbidities or other self-limited reasons underwent a compromised wedge resection. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics committee of Shanghai Pulmonary Hospital (No. K20-433) and informed consent was taken from all the patients.

Clinical and pathological parameters of patients were sorted by gender, diagnostic age, tumor laterality, concomitant disease, postoperative complications, the IHC diagnosis upgraded from intraoperative frozen section (IFS), pathological subtypes of LUAD, and the status of any driver genomic mutations. Radiological parameters were reviewed from each patient's presenting inspiratory HRCT and abstracted as the maximum dimension of the entire tumor (MTD) and that of its consolidation component (MCD), the mean computed tomography value of the tumor (CTVt) and that of its consolidation component (CTVc), and the consolidation-to-tumor ratio of the maximum dimension of the tumor (CTR). Lung windows were used to measure the

**Table 1** Baseline characteristics of patients with IA-LUAD undergoing wedge resection (n=186)

Variables	Values
Age (years), mean (range)	59.9 (26, 82)
Tumor size (mm), mean (range)	
MTD	14.4 (5.9, 29.5)
MCD	5.6 (0, 28.3)
CTVt (HU), range	-285.4 (-851.1, 55.7)
Gender, n (%)	
Male	71 (38.2)
Female	115 (61.8)
Number of nodules, n (%)	
Single	136 (73.1)
Multiple	50 (26.9)
Feature of nodule, n (%)	
Pure GGN	88 (47.31)
Mixed GGN	73 (39.25)
Solid nodule	25 (13.44)
Laterality, n (%)	
Left	85 (45.7)
Right	101 (54.3)
Pathological upgrading, n (%)	
Positive	18 (9.7)
Negative	168 (90.3)
Pathological subtype, n (%)	
With MPA/SPA component	24 (12.9)
Without MPA/SPA component	162 (87.1)
Drive gene mutation, n (%)	
Positive	82 (44.1)
Negative	49 (26.3)
Unknown	55 (29.6)
Selection of surgery, n (%)	
Intentional surgery	165 (88.7)
Compromised surgery	21 (11.3)
Recurrence, n (%)	
Positive	10 (5.4)
Negative	176 (94.6)

**Table 1** (continued)**Table 1** (continued)

Variables	Values
Recurrence rate (%), [n]	
1-year	0.54 [1]
3-year	3.76 [7]
5-year	4.84 [9]

LUAD, lung adenocarcinoma; MTD, maximum dimension of the entire tumor; MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; GGN, ground glass nodules; MPA/SPA, micropapillary or solid component.

MTD and CTVt, and mediastinal windows were used to measure the MCD and CTVc.

### Statistical analysis

Categorical and continuous variables were compared between recurrence and non-recurrence patients by the chi-square test and unpaired *t*-test, respectively. Predictors for recurrence were analyzed by Cox proportional hazards modeling. The efficacy of MTD, MCD, CTVt, and CTR in predicting recurrence was calculated by receiver operating characteristic (ROC) curve analysis. Recurrence-free survival (RFS) was calculated from the time of surgery to disease relapse (LUAD relapse was confirmed by pathological and/or radiological analysis). All patients were followed to death, last contact, or an end date of December 31, 2021. A two-tailed *P* value <0.05 was considered statistically significant. All statistical analyses were performed by SPSS Statistics 26 (IBM Corp., Armonk, NY, USA).

## Results

### Characteristics of patients

Baseline characteristics are listed in *Table 1*. A total of 186 patients were included. The mean age was 59.9 years, and the number of female patients outnumbered male patients (115 *vs.* 71). On preoperative HRCT, the mean MTD was 14.4 mm (range, 5.9 to 29.5 mm), the mean MCD was 5.6 mm (range, 0 to 28.3 mm), the mean CTVt was -285.4 HU (range, -851.1 to 55.7 HU), and the mean CTR was 0.37. The numbers of patients with pure ground glass nodules (pGGN), part-solid ground glass nodules (mGGN), and solid nodules (SN) were 88, 73 and 25, respectively. After

**Table 2** The clinical characteristics of patients with IA-LUAD received wedge resection

Variables	Non-recurrence (n=176)	Recurrence (n=10)	P value
Age (years), mean	59.5	66.4	0.052
Gender, n (%)			0.903
Male	67 (38.1)	4 (40.0)	
Female	109 (61.9)	6 (60.0)	
Nodule, n (%)			0.730
Single	129 (73.3)	7 (70.0)	
Multiple	47 (26.7)	3 (30.0)	
Tumor size (mm), mean			
MTD	14.1	19.4	0.002
MCD	5.0	17.5	0.647
CTVt (HU)	-300.0	-28.4	0.001
CTR (%)	34.1	89.8	<0.001
Laterality, n (%)			0.779
Left	80 (45.5)	5 (50.0)	
Right	96 (54.5)	5 (50.0)	
Pathologic upgrading, n (%)			0.602
Positive	158 (89.8)	10 (100.0)	
Negative	18 (10.2)	0	
Pathologic subtype, n (%)			0.620
With MPA/SPA	22 (12.5)	2 (20.0)	
Without MPA/SPA	154 (87.5)	8 (80.0)	
Drive gene mutation, n (%)			0.090
Positive	75 (42.6)	7 (70.0)	
Negative/unknown	101 (57.4)	3 (30.0)	
Pleural indentation, n (%)			0.620
Positive	66 (37.5)	2 (20.0)	
Negative	110 (62.5)	8 (80.0)	
Spicules of margin, n (%)			0.046
Positive	98 (55.7)	9 (90.0)	
Negative	78 (44.3)	1 (10.0)	
Lobulated shape, n (%)			0.007
Positive	102 (58.0)	10 (100.0)	
Negative	74 (42.0)	0	

**Table 2** (continued)**Table 2** (continued)

Variables	Non-recurrence (n=176)	Recurrence (n=10)	P value
Vacuole sign, n (%)			0.226
Positive	36 (20.5)	4 (40.0)	
Negative	140 (79.5)	6 (60.0)	
Vascular passage, n (%)			0.004
Positive	119 (67.6)	2 (20.0)	
Negative	57 (33.4)	8 (80.0)	

LUAD, lung adenocarcinoma; MTD, maximum dimension of the entire tumor; MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; CTR, the consolidation-to-tumor ratio of the maximum dimension of the tumor; MPA/SPA, micropapillary or solid component.

preoperative evaluation by the surgical team, 21 patients (11.3%) underwent wedge resection because they could not tolerate an anatomic resection, including 6, 10 and 5 patients with pGGN, mGGN, and SN, respectively. On pathological analysis, there were 24 patients (12.9%) whose subtypes included micropapillary (MPA) and/or solid (SPA) features; 18 (9.7%) patients were diagnosed as LUAD by IHC, which was upgraded from adenocarcinoma in situ (AIS) and microinvasive adenocarcinoma (MIA) by IFS.

All patients survived their operation and no major surgical-related complications were observed within 90 days postoperatively. Postoperative recurrence was observed in 10 patients. The numbers of patients with distant and local recurrence were 6 and 4, respectively. With a median follow-up of 67 months (interquartile range, 52–72 months), the 1-, 3-, and 5-year recurrence rates were 0.54%, 3.76%, and 4.84%, respectively. Among the 165 patients receiving planned wedge resections, 7 developed a recurrence, of which 6 recurred within 5 years (5-year recurrence rate: 3.64%). Among the other 21 patients who underwent a compromised wedge resection due to their inability to tolerate an anatomic resection, 3 developed recurrences within 5 years postoperatively (5-year recurrence rate: 14.29%).

### Predictors of recurrence

The mean MTD (14.1 vs. 19.4 mm,  $P=0.002$ ), CTVt (-300 vs. -28.4 HU,  $P=0.001$ ), and CTR (34.1% vs. 89.8%,

**Table 3** Cox proportional hazard model of recurrence for patients with IA-LUAD receiving wedge resection

Variables	HR	95% CI	P value
Age (years)	1.059	0.996–1.126	0.065
Gender			
Female	0.829	0.233–2.947	0.772
Male	–	–	–
Number of primary nodule			
Single	1.289	0.331–5.017	0.715
Multiple	–	–	–
MTD (mm)	1.194	1.078–1.324	0.001
MCD (mm)	1.212	1.120–1.311	<0.001
CTVt (HU)	1.012	1.004–1.019	0.004
CTR (%)	1.054	1.018–1.092	0.003
Laterality			
Right	0.827	0.239–2.859	0.764
Left	–	–	–
Pathologic upgrading			
Positive	24.143	0.004–138,710.739	0.471
Negative	–	–	–
Pathologic subtype			
Without MPA/SPA	0.549	0.116–2.589	0.448
With MPA/SPA	–	–	–
Drive gene mutation			
Negative/unknown	0.322	0.083–1.247	0.101
Positive	–	–	–
Pleural indentation			
Positive	2.487	0.527–11.728	0.250
Negative	–	–	–
Spicules of margin			
Positive	7.533	0.954–59.467	0.055
Negative	–	–	–
Lobulated shape			
Positive	46.210	0.238–8,960.132	0.154
Negative	–	–	–
Vacuole sign			
Positive	2.428	0.684–8.622	0.170
Negative	–	–	–

**Table 3** (continued)**Table 3** (continued)

Variables	HR	95% CI	P value
Vascular passage			
Positive	0.141	0.030–0.666	0.013
Negative	–	–	–

LUAD, lung adenocarcinoma; HR, hazard ratio; CI, confidence interval; MTD, maximum dimension of the entire tumor; MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; CTR, the consolidation-to-tumor ratio of the maximum dimension of the tumor; MPA/SPA, micropapillary or solid component.

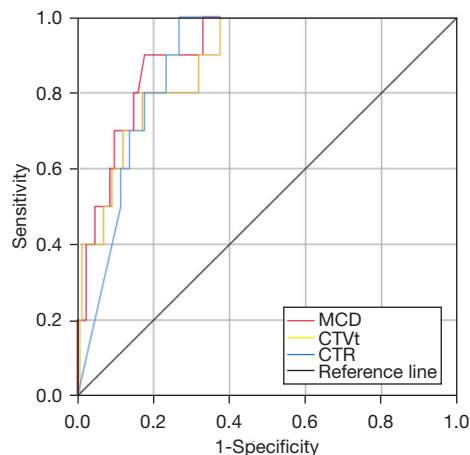
$P < 0.001$ ) were significantly lower in non-recurrence patients as compared to in recurrence patients (*Table 2*). Additionally, there were significant differences in the proportion of the following imaging features as seen on HRCT between recurrence and non-recurrence patients: spicules margin (recurrence *vs.* non-recurrence: 90.0% *vs.* 55.7%,  $P = 0.046$ ), lobulated shape (recurrence *vs.* non-recurrence: 100.0% *vs.* 58.0%,  $P = 0.007$ ), and vascular passage (recurrence *vs.* non-recurrence: 20.0% *vs.* 67.6%,  $P = 0.004$ ). However, neither pathological subtypes (MPA or SPA) nor pathologic diagnosis of IHC upgraded from IFS was observed to be significantly associated with postoperative recurrence.

*Table 3* depicts the results of the univariate analysis by Cox proportional hazards modeling. MTD [hazard ratio (HR) = 1.194; 95% confidence interval (CI): 1.078–1.324;  $P = 0.001$ ], MCD (HR = 1.212; 95% CI: 1.120–1.311;  $P < 0.001$ ), CTVt (HR = 1.012; 95% CI: 1.004–1.019;  $P = 0.004$ ), CTR (HR = 1.054; 95% CI: 1.018–1.092;  $P = 0.003$ ), and the imaging features concerning vascular passage on HRCT (HR = 0.141; 95% CI: 0.030–0.666;  $P = 0.013$ ) were identified as predictors for recurrence.

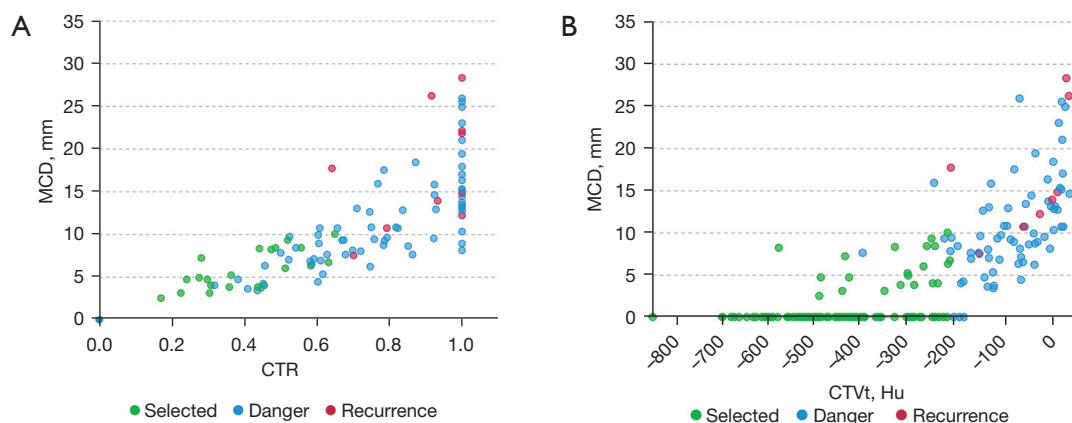
ROC curve analysis showed that the cutoffs for MCD, CTVt, and CTR were 10.5 mm, –217 HU, and 64%, and the area under the curve (AUC) for these three predictors was 0.908, 0.882, and 0.879 respectively. MCD was the most valuable predictor for recurrence (*Figure 1*). When the MCD was less than 10 mm, the postoperative recurrence rate of patients with IA-LUAD was 0.69% whereas it was 21.4% in patients whose MCD was greater than 10 mm. Furthermore, for patients with tumors that met all the cutoffs for MCD, CTR, and CTVt simultaneously, no recurrence was observed.

### Non-recurrence and recurrence patients after wedge resection

A total of 106 patients whose predictors of recurrence were within the identified safety thresholds were defined as a



**Figure 1** Area under the ROC curves used for predicting postoperative recurrence among patients with peripheral IA-LUAD. (A) MCD: AUC 0.908 (95% CI: 0.824–0.975),  $P < 0.001$ . (B) CTVt: AUC 0.882 (95% CI: 0.798–0.966),  $P < 0.001$ . (C) CTR: AUC 0.879 (95% CI: 0.817–0.941),  $P < 0.001$ . MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; CTR, the consolidation-to-tumor ratio of the maximum dimension of the tumor; ROC, receiver operating characteristic; LUAD, lung adenocarcinoma; AUC, area under the curve.



**Figure 2** Scatter plot indicating the selected criteria concerning (A) CTR and MCD and CTVt and (B) MCD for patients with peripheral IA-LUAD. The Y-axis in represents the MCD. The X-axis represents the CTR (A) and the CTVt (B). Selected was defined as the patients whose predictors of recurrence were within the identified safety thresholds. Danger was defined as the patients whose at least one predictor exceeded the thresholds. CTR, the consolidation-to-tumor ratio of the maximum dimension of the tumor; MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; LUAD, lung adenocarcinoma.

“selected group” of which none developed a postoperative recurrence (Figure 2).

Due to the non-recurrence performance of pGGN in IA-LUAD, patients who had mGGN as their predominant imaging manifestation in selected group and patients occurred recurrence are listed in Table 4. Significant differences were observed between this selected group of patients who had no recurrence ( $n=21$ ) compared to those who did ( $n=10$ ). Compared with the mGGN patients in the selected group, the diagnostic age (66.4 vs. 60.2 years,  $P=0.049$ ), MTD (19.5 vs. 14.7 mm,  $P=0.006$ ), MCD (17.5 vs. 5.8 mm,  $P < 0.001$ ), CTR (90% vs. 41%,  $P < 0.001$ ), CTVt (−28.4 vs. −335.5 HU,  $P < 0.001$ ), and CTVc (17.3 vs. −138.7 HU,  $P < 0.001$ ) were significantly higher among the patients who developed recurrence. Details about these 10 patients who developed recurrence are presented in Table 5.

### Discussion

In recent years, there has been ongoing debate regarding the optimal surgical approach for patients presenting with IA-LUAD. A few studies have demonstrated equivalent outcomes between lobectomy and sub-lobar resection in this population (6,11). There are several benefits of a wedge resection over an anatomic resection. The former is more likely to preserve postoperative pulmonary function and lung parenchyma (11), reduce the duration of the operation and intraoperative complications, and may be better suited for elderly patients or those with significant comorbidities

**Table 4** Comparison between recurrence and selected group of patients who did not develop recurrence in the subset of mGGN patients

Variables	Selected group (n=21)	Recurrence (n=10)	P value
Age (years), mean	60.2	66.4	0.049
Gender, n (%)			0.458
Male	12 (57.1)	4 (40.0)	
Female	9 (42.9)	6 (60.0)	
Nodule, n (%)			1.000
Single	15 (71.4)	7 (70.0)	
Multiple	6 (28.6)	3 (30.0)	
Tumor size (mm), mean			
MTD	14.7	19.5	0.006
MCD	5.8	17.5	<0.001
CTR (%)	41	90	<0.001
CTV (HU)			
CTVt	-335.5	-28.4	<0.001
CTVc	-138.7	17.3	<0.001
Laterality, n (%)			0.709
Left	9 (42.9)	5 (50.0)	
Right	12 (57.1)	5 (50.0)	
Pathologic upgrading, n (%)			0.533
Positive	3 (14.3)	0	
Negative	18 (85.7)	10 (100.0)	
Pathologic subtype, n (%)			0.237
With MPA/SPA	1 (4.8)	2 (20.0)	
Without MPA/SPA	20 (95.2)	8 (80.0)	
Drive gene mutation, n (%)			1.000
Positive	13 (61.9)	7 (70.0)	
Negative/unknown	8 (38.1)	3 (30.0)	
Resected size (mm)			
Maxima	80.7	81.0	0.983
Minimal	23.2	21.5	0.641
Pleural indentation, n (%)			0.607
Positive	12 (57.1)	8 (80.0)	
Negative	9 (42.9)	2 (20.0)	

**Table 4** (continued)**Table 4** (continued)

Variables	Selected group (n=21)	Recurrence (n=10)	P value
Spicules of margin, n (%)			0.106
Positive	12 (57.1)	9 (90.0)	
Negative	9 (42.9)	1 (10.0)	
Lobulated shape, n (%)			0.012
Positive	11 (52.4)	10 (100.0)	
Negative	10 (47.6)	0	
Vacuole sign, n (%)			0.417
Positive	5 (23.8)	4 (40.0)	
Negative	16 (76.2)	6 (60.0)	
Vascular passage, n (%)			0.003
Positive	16 (76.2)	2 (20.0)	
Negative	5 (23.8)	8 (80.0)	

mGGN, part-solid ground glass nodules; MTD, maximum dimension of the entire tumor; MCD, maximum consolidation component of the tumor; CTR, the consolidation-to-tumor ratio of the maximum dimension of the tumor; CTVt, mean computed tomography value of the tumor; CTVc, the mean computed tomography value of the consolidation component of the tumor; MPA/SPA, micropapillary or solid component.

or other contraindications to an anatomic lobectomy (7). In this retrospective study, we assessed the safety and efficacy of wedge resection for patients with peripheral IA-LUAD and explored the indications for a wedge resection in this population, which may help guide the selection of an optimal surgical strategy.

To our knowledge, previously published prospective randomized clinical trials (RCT) and retrospective studies have mainly focused on the feasibility of sub-lobar resections for patients with IA-LUAD. Prior studies have shown that MTD plays an important role in determining the pathological features of NSCLC (2). Clinical trial JCOG0201 defined non-invasive NSCLC to be a tumor with radiological criteria of CTR 0.25 or less in stage cT1a-b patients ( $\leq 20$  mm size) (14), and demonstrated the feasibility of a limited surgical resection such as segmentectomy and wedge resection for IA-LUAD with a CTR 0.5 or less in cT1 patients ( $\leq 30$  mm size) (9,14,19-21). A phase II prospective clinical trial (JCOG0804) is currently evaluating the safety and efficacy of wedge resection for

**Table 5** Details of patients after wedge resection who developed recurrence

No.	Gender	Age (years)	Location	MTD (mm)	MCD (mm)	CTVt (HU)	CTVc (HU)	Pathology subtype	Gene mutation	Status [RFS, months]	Recurrent site
1	F	72	LUL	27.6	17.7	-217.1	18.1	APA	L858R	Alive [40]	Pleural
2	M	69	LUL	10.7	7.5	-156.4	-44.8	PPA + MPA	Unknown	Dead [28]	Liver
3	M	71	RLL	13.5	10.7	-62.8	5.9	LPA	Wild type	Censored [21]	Brain, bone
4	M	63	RLL	12.2	12.2	-27.7	-27.7	SPA + MPA	Wild type	Dead [19]	Brain
5	F	75	LUL	14.9	13.9	-1.5	40.4	APA	L858R	Alive [27]	Lung
6	F	62	RLL	14.8	14.8	9.8	9.8	PPA + SPA	19-Del	Alive [68]	Bone
7	F	66	LLL	21.8	21.8	54.2	54.2	APA	19-Del	Alive [51]	Lung
8	F	59	LLL	22.1	22.1	54.6	54.6	SPA	ROS1	Alive [14]	Bone
9	F	64	RUL	28.6	26.2	34.0	34.0	APA	L858R	Alive [11]	Brain, bone
10	M	63	RUL	28.3	28.3	28.5	28.5	APA	L858R	Alive [31]	Lung

MTD, maximum dimension of the entire tumor; MCD, maximum consolidation component of the tumor; CTVt, mean computed tomography value of the tumor; CTVc, the mean computed tomography value of the consolidation component of the tumor; RFS, recurrence-free survival; F, female; LUL, left upper lobe; APA, acinar predominant LUAD; LUAD, lung adenocarcinoma; M, male; PPA, papillary predominant LUAD; MPA, micropapillary predominant LUAD; RLL, right lower lobe; LPA, lepidic predominant LUAD; SPA, solid predominant LUAD; LLL, left lower lobe; RUL, right upper lobe.

early-stage peripheral NSCLC with a CTR of 0.25 or less (7). Finally, CALGB-140503 has been launched to compare wedge resection and lobectomy for peripheral early-stage NSCLC (22). However, these studies did not assess the predictive factors for recurrence in IA-LUAD patients clearly, nor did they contribute a predictive model to guide the surgical procedure.

The results of our study demonstrated that the most valuable predictive factors for recurrence were MCD, CTR, and CTVt, and no recurrence was observed among peripheral IA-LUAD patients with pGGN after wedge resection. Our ROC curve analysis confirmed that when the imaging features of a peripheral IA-LUAD tumor met the requirements of MCD less than 10 mm, CTR less than 0.6, and CTVt less than -220 HU simultaneously, a wedge resection was not only feasible, but no recurrence was also observed postoperatively. With these radiographic criteria met, no recurrence patient was observed after wedge resection, suggesting that these radiographic criteria were appropriate indicators for a limited resection in patients with IA-LUAD. In addition, for patients with tumor CTR greater than 0.6, recurrence rate after sub-lobar resection was improved over lobectomy, and the former may increase the risk of recurrence in this subset of patients.

Consistent with previous research results, the most important factor for the successful treatment of IA-LUAD is the surgical margin (7,12,23). Based on the experience at our center, in patients with peripheral IA-LUAD, a wedge

resection, compared to a segmentectomy or lobectomy, could ensure sufficient distance between nodules and resected margins equally. Moreover, wedge resection is a time-tested and safe surgical technique with many benefits over an anatomical resection such as decreasing the duration of chest tube placement and the inpatient length of stay.

Furthermore, one of the disadvantages of a sub-lobar resection is inadequate lymph node dissection, especially for cT1-LUAD with a solid component. Wedge resection without lymph node sampling is considered to be inadequate even for small and peripheral nodules due to the possibility for locoregional recurrence (6,24-26). Therefore, lymph node sampling plays a crucial role in wedge resection to stage cT1-stage LUAD pathologically and guide adjuvant treatment and follow-up strategies. In this retrospective analysis, all patients received wedge resection combined with regional lymph node sampling or dissection, and the results of their IHC postoperatively confirmed that none of them had lymph node involvement.

It is worth point out that, from a previous study, pathological subtypes (27) and the status of drive-gene mutations (28) may influence the recurrence of early-stage LUAD postoperatively. However, a significant difference in the distribution of these 2 factors between recurrence and non-recurrence patients was not observed in our study, which demonstrated that the status of drive gene mutations and the component of MPA/SPA did not affect recurrence in wedge-treated patients with IA-LUAD postoperatively.



This seemingly contradictory phenomenon may be attributed to the fact that there was a relatively small number of patients developed recurrence in our study.

### Limitations

There are several limitations to our findings. First, this research is a retrospective analysis based on a single center, which is inherently prone to selection bias. Second, the sample size of this study is small. Third, while a limited resection for early-LUAD has similar 5-year survival as an anatomical resection, it may decrease long-term survival (6). Due to our limited follow-up, this study does not explore outcomes beyond ten years. As mentioned, a larger sample size and a longer follow-up period is warranted and will be the focus of future study. Fourth, this study included 21 patients who should undergo lobectomy according to guideline, but only compromising wedge resection was performed for various reasons, including cardiovascular diseases, limitation of pulmonary function, and others comorbidities. Fifth, the detail information of lymph nodes dissection or sampling was not included. However, patients without lymph nodes sampling were excluded in this study to ensure accurate staging and prognostic information.

### Conclusions

Among patients with peripheral IA-LUAD, wedge resection provides a similar recurrence rate and decreased morbidity compared to an anatomic resection. MCD, CTR, and CTVt are predictors for recurrence. The cutoffs for these 3 predictors are 10 mm, 60%, and -220 HU. When these cutoffs were met simultaneously, patients developed no postoperative recurrence over the course of follow-up. A wedge resection for IA-LUAD patients can be performed safely and efficaciously, but larger scale and long-term follow-up are necessary for further study.

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### Footnote

*Reporting Checklist:* The authors have completed the

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics committee of Shanghai Pulmonary Hospital (No. K20-433), and informed consent was taken from all the patients.

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