

STUDY PROTOCOL

Study protocol for examining job strain as a risk factor for severe unipolar depression in an individual participant meta-analysis of 14 European cohorts [v2; ref status: indexed, http://f1000r.es/30q]

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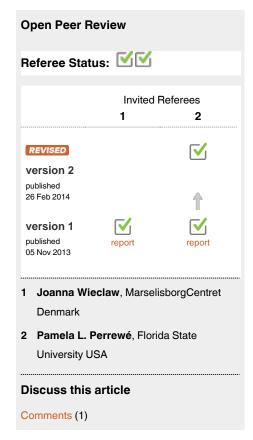
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Abstract

Background: Previous studies have shown that gainfully employed individuals with high work demands and low control at work (denoted "job strain") are at increased risk of common mental disorders, including depression. Most existing studies have, however, measured depression using self-rated symptom scales that do not necessarily correspond to clinically diagnosed depression. In addition, a meta-analysis from 2008 indicated publication bias in the field.

Methods: This study protocol describes the planned design and analyses of an individual participant data meta-analysis, to examine whether job strain is associated with an increased risk of clinically diagnosed unipolar depression based on hospital treatment registers. The study will be based on data from approximately 120,000 individuals who participated in 14 studies on work environment and health in 4 European countries. The self-reported working conditions data will be merged with national registers on psychiatric hospital treatment, primarily hospital admissions. Study-specific risk estimates for the association between job strain and depression will be calculated using Cox regressions. The study-specific risk estimates will be pooled using random effects meta-analysis.

Discussion: The planned analyses will help clarify whether job strain is associated with an increased risk of clinically diagnosed unipolar depression. As the analysis is based on pre-planned study protocols and an individual participant data meta-analysis, the pooled risk estimates will not be influenced by selective reporting and publication bias. However, the results of the planned study may only pertain to severe cases of unipolar depression, because of the outcome measure applied.



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REVISED Amendments from Version 1

The order of the authors of this article has been changed. All authors are part of the IPD-Work consortium, which is now listed as the last author.

See referee reports

Introduction

Unipolar depression is prevalent and incurs substantial costs for the individuals affected and society at large^{1,2}. The disorder is thought to develop in a complex interplay of biological, psychological and social factors^{3–5}. Following the diathesis-stress framework⁶, etiological determinants throughout the life-course may affect vulnerability to depression or act as triggering factors.

According to the job strain model7, a psychosocial work environment characterized by high psychological demands and low control may result in stress-reactions and lead to adverse health outcomes. Accordingly, job strain has been linked to several health conditions, including coronary heart disease8 and unipolar depression9,10. There are, however, indications of publication bias in the field, suggesting that the published literature may be biased towards studies showing stronger associations between job strain and depression¹⁰. In addition, many previous studies on job strain and depression have applied outcome measures with uncertain diagnostic validity, for example self-reported symptoms9. Hence, the applicability of these findings to clinically diagnosed depression is uncertain9. In this study protocol, we set out our plan to obtain data from 14 European cohort studies on work environment and health, and to examine the association between job strain and subsequent first hospitalisation due to a diagnosis of unipolar depression. The purpose of this planned project is to examine whether stressful working conditions characterized by high psychological demands and low control, i.e. job strain⁷, are a risk factor for the development of unipolar depressive disorder. We hypothesize that individuals experiencing job strain are more likely to become hospitalized with a diagnosis of unipolar depression than individuals without job strain. Furthermore we aim to explore whether the association between job strain and depression is similar across strata of sex, age and socioeconomic status (SES).

Data

The IPD-Work Consortium combines data from a number of European work environment studies. For the analyses on job strain and depression we include data from 14 studies that are linked with hospital admission registers including psychiatric admissions. These studies encompass a total of approximately 120,000 individuals. Table 1 gives an overview of the included studies.

In each study, data on psychiatric hospital treatment are available through national registers ^{11–14}. Most registers include both inpatient and outpatient treatments. Outpatient data are available since 1995 in the Danish register, since 2001 in the Swedish register, since 1998 in the Finnish register and since 2003 in the UK register. To maximize the number of cases, these data are included when available.

Study population & design

The study is designed as a prospective cohort study. Participants will be included if they are employed at baseline of the respective study and have provided data on job strain, sex, age, cohabitation and socioeconomic status (SES). To ensure a prospective design we exclude all individuals with a hospital-based diagnosis of unipolar

Table 1. Overview of included studies.

Study ^a	Country	Year of baseline	Estimated N participants
COPSOQ I	Denmark	1997	1,724
COPSOQ II	Denmark	2004–2005	3,326
DWECS 2000	Denmark	2000	5,463
DWECS 2005	Denmark	2005	4,021
FPS	Finland	2000	47,373
HeSSup	Finland	1998	16,345
IPAW	Denmark	1996–97	2,022
PUMA	Denmark	1999	1,731
SLOSH 2006	Sweden	2006	5,104
SLOSH 2008	Sweden	2008	5,895
Still working	Finland	1986	9,129
Whitehall II	United Kingdom	1985–1988	10,250
WOLF-N	Sweden	1996–98	4,678
WOLF-S	Sweden	1992–95	5,653
Total			122,714

*Study acronyms: COPSOQ: Copenhagen Psychosocial Questionnaire Study, DWECS: Danish Work Environment Cohort Study, FPS: Finnish Public Sector study, HeSSUP: Health and Social support Study, IPAW: Intervention Project on Absence and Well-being, PUMA: Burnout, Motivation and Job Satisfaction study, SLOSH: Swedish Longitudinal Occupational Survey of Health, WOLF: Work, Lipids, Fibrinogen (N = Norrland, S = Stockholm). **Estimates based on previous analyses or baseline data.

depression before or at baseline. Data are analysed using a twostep individual participant data meta-analysis; i.e. we first obtain study-specific risk estimates using harmonised exposure and outcome data and then combine these estimates using meta analytic techniques¹⁵. The study-specific risk estimates are calculated using Cox regressions analysis and the pooled estimates by random effects meta-analysis (see section "main analyses" for details).

Assessment of exposure to job strain

Job strain is self-reported in each of the studies listed in Table 1. The measure of job strain, i.e. the combination of high demands and low control, has previously been developed and harmonized, as documented by Fransson *et al.* ¹⁶, and applied in previous analyses on job strain and other outcomes, including health behaviours, cardiovascular disease and cancer ^{8,17–20}. Briefly, study-specific measures for high demands and low control are defined dichotomously by the study-specific standardized median for each dimension (demands and control). Individuals with high demands and low control are considered exposed to job strain. The reference group is all other combinations of demands and control, i.e. individuals with low demands and high control, low demands and low control, high demands and high control.

Assessment of covariates

Data on sex, age, cohabitation and SES will be included from each study to control for potential confounding influences. These covariates are chosen as potential confounders because they have been associated with depression^{21–23} and may be associated with job strain.

Assessment of outcome

Diagnoses in the included hospital records are coded according to the International Classification of Disease (ICD) system²⁴ following versions 8, 9 or 10. Table 2 shows the diagnostic codes from each ICD-version we use to define unipolar depression. We include only principal diagnoses in the outcome definition, as auxiliary diagnoses may be underreported¹² and it is uncertain whether such underreporting is related to patient characteristics.

Main analyses

All study-specific analyses will be conducted using Cox proportional hazards regression with the occurrence of the first hospital record of unipolar depression as the failure-date, and censoring for migration (where available), death and end of follow up. All summary risk estimates will be calculated by pooling study-specific risk estimates and standard errors using inverse variance weighted random effects meta-analysis. Pooling will be conducted in R (www.rproject.org) using the meta package²⁵ and the degree of

heterogeneity between the study-specific estimates will be assessed by 1^{226} . Table 3 gives a ghost table for the main results.

Confounder adjustment

The main analysis will be adjusted for sex, age and cohabitation. We will consider our hypothesis confirmed if the pooled adjusted hazard ratio is statistically significantly greater than 1 (p<0.05). We will not adjust for SES in the main analysis as this construct is conceptually intertwined with job strain²⁷, and consequently this model could then be considered overadjusted. However, analyses concerning whether the estimated risk is independent from SES will be included as a sensitivity analysis. Apart from cohabitation (self-reported, living with a partner/spouse, yes/no) the covariate measurements and categorizations have been documented previously^{8,17-19}. Briefly, SES will be based on occupation, except in data from the HeSSup study, where it will be based on highest educational qualification, and categorized as low (routine and manual occupations or comprehensive education), intermediate (nonmanual intermediate occupations or vocational education), high (higher managerial, administrative and professional occupations or university-level education) or other (missing data on job title).

Statistical power calculations

The expected numbers of cases of hospital-treated unipolar depression in each of the cohorts are presented in Table 4. The estimates are based on observations in the Danish register data concerning the incidence of hospital treatment for unipolar depression in gainfully employed Danes. These numbers were applied to the studies to estimate the expected number of cases, and the observed numbers of cases in the databases may diverge from this estimation. If there are no observed cases amongst individuals exposed to job strain in a study, this study will not be included in the analyses, as a risk estimate cannot be obtained.

The expected statistical power as a function of the hazard ratio is shown in Figure 1. The planned analysis is expected to be powered to show an association of 1.23 with >90% power. This is under the assumption that the actual number of cases will match the expected number of cases in Table 4 and that all studies will provide cases and thus are included in the analysis.

Sensitivity analyses

The following section specifies the planned sensitivity analyses which will examine the robustness of the results. All statistical tests for the sensitivity analyses will be two-sided with a significance threshold of P<0.05. We will conduct a series of sensitivity analyses which may lead to concerns of mass significance due to multiple testing. To avoid inflating the type I error due to multiple testing,

Table 2. Definition of outcome according to ICD-10, ICD-9 and ICD-8.

Classification	Codes	Name of codes
ICD-10	F32, F33	Depressive episode. Recurrent depressive disorder.
ICD-9	296.2, 296.3, 298.0, 311	Major depressive disorder, single episode. Major depressive disorder, recurrent episode. Depressive type psychosis. Depressive disorder not elsewhere classified.
ICD-8	296.09, 296.29, 298.09, 300.49	Involutional melancholia. Manic-depressive psychosis, depressed. Reactive depressive psychosis. Depressive neurosis.

Table 3. Ghost table for main results.

Study ^a	Hazard Ratio, job strain vs. no job strain ^b	95% confidence interval	Heterogeneity (I ²)	P-value for I ²
COPSOQI			-	-
COPSOQ II			-	-
DWECS 2000			-	-
DWECS 2005			-	-
FPS			-	-
HeSSup			-	-
IPAW			-	-
PUMA			-	-
SLOSH 2006			-	-
SLOSH 2008			-	-
Still working			-	-
Whitehall II			-	-
WOLF-N			-	-
WOLF-S			-	-
Pooled estimate (random effects)				

^aStudy acronyms: COPSOQ: Copenhagen Psychosocial Questionnaire Study, DWECS: Danish Work Environment Cohort Study, FPS: Finnish Public Sector study, HeSSUP: Health and Social support Study, IPAW: Intervention Project on Absence and Well-being, PUMA: Burnout, Motivation and Job Satisfaction study, SLOSH: Swedish Longitudinal Occupational Survey of Health, WOLF: Work, Lipids, Fibrinogen (N = Norrland, S = Stockholm).

Table 4. Study-specific expected number of hospital diagnosed cases of unipolar depression.

Study ^a	Approximate length of follow up, years	Expected cases of unipolar depression
COPSOQ I	10	25
COPSOQ II	5	24
DWECS 2000	10	80
DWECS 2005	5	29
FPS	10	692
HeSSup	10	239
IPAW	10	30
PUMA	10	25
SLOSH 2006	5	37
SLOSH 2008	5	43
Still working	10	133
Whitehall II	10	150
WOLF-N	10	68
WOLF-S	10	83
Total (sum)		1659

*Study acronyms: COPSOQ: Copenhagen Psychosocial Questionnaire Study, DWECS: Danish Work Environment Cohort Study, FPS: Finnish Public Sector study, HeSSUP: Health and Social support, IPAW: Intervention Project on Absence and Well-being, PUMA: Burnout, Motivation and Job Satisfaction study, SLOSH: Swedish Longitudinal Occupational Survey of Health, WOLF: Work, Lipids, Fibrinogen (N = Norrland, S = Stockholm).

we will consider the sensitivity analyses nested within the main hypothesis test. Thus, their interpretation will depend on the results of the main analysis: if our main hypothesis is confirmed, we will consider the significance tests of the nested hypotheses valid and the tests which yield p-values <0.05 statistically significant. The sensitivity analyses may in this case be considered as an examination of the conditions under which the average population effect found in the main analysis holds. However, if the main hypothesis is not confirmed, we will not consider the tests of the sensitivity analyses (nested hypotheses) with p<0.05 confirmatory, i.e. the results of the sensitivity analyses will be considered exploratory and hypothesis generating. This strategy will retain the overall probability of a type I error under 0.05, whenever the main null hypothesis is true.

Our first set of sensitivity analyses examines whether the association between job strain and depression is modified by sex, age (≤35, 36–49, 50+ years) or SES (low, intermediate, high⁸) following indications from previous studies^{28–30}. If there are too few cases to obtain estimates for 3 categories of age and SES in more than half of the eligible studies, we will use the categories ≤49/50+ years and low SES/other instead. Following the STROBE recommendations³¹ we will present results on effect-modification so that both departure from additivity and multiplicativity may be assessed³². However, our conclusions on effect-modification will be based on departure from additivity, as such information is particularly important from clinical and public health perspectives^{31,33,34}. The statistical test will be based on the Central Limit Theorem, and Gauss propagation of error formulas.

bHazard ratios are adjusted for sex, age and cohabitation.

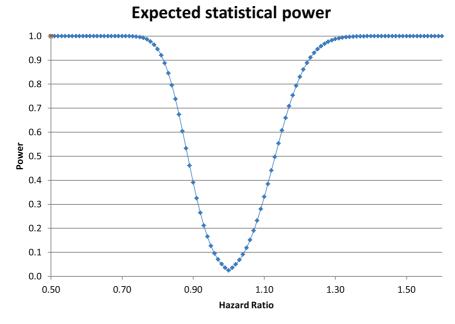


Figure 1. Expected statistical power as a function of the hazard ratio.

Table 5. Measures of mental health at baseline.

Study ^a	Mental health at baseline	Source (reference)
COPSOQ I	Mental health inventory (MHI-5)	The Short Form Health Survey ³⁶
COPSOQ II	Depressive symptoms	The Copenhagen Psychosocial Questionnaire II ³⁷
DWECS 2000	Mental health inventory (MHI-5)	The Short Form Health Survey ³⁶
DWECS 2005	Mental health inventory (MHI-5)	The Short Form Health Survey ³⁶
FPS	General Health Questionnaire (GHQ-12)	General Health Questionnaire ³⁸
HeSSup	Depressive symptoms	Beck depression inventory ³⁹
IPAW	Mental health inventory (MHI-5)	The Short Form Health Survey ³⁶
PUMA	Mental health inventory (MHI-5)	The Short Form Health Survey ³⁶
SLOSH 2006	Depressive symptoms	Symptom Check List, 6 item subscale ^{40,41}
SLOSH 2008	Depressive symptoms	Symptom Check List, 6 item subscale ^{40,41}
Still working	Not available	-
Whitehall II	Depressive symptoms (GHQ-30)	General Health Questionnaire ³⁸
WOLF-S	Not available	-
WOLF-N	Not available	-

^aStudy acronyms: COPSOQ: Copenhagen Psychosocial Questionnaire Study, DWECS: Danish Work Environment Cohort Study, FPS: Finnish Public Sector study, HeSSUP: Health and Social support Study, IPAW: Intervention Project on Absence and Well-being, NWCS: The Netherlands Working Conditions Survey, POLS: Permanent Onderzoek Leefsituatie, PUMA: Burnout, Motivation and Job Satisfaction study, SLOSH: Swedish Longitudinal Occupational Survey of Health, WOLF: Work, Lipids, Fibrinogen (N = Norrland, S = Stockholm).

If any statistically significant associations are found in the main analyses, we will conduct a second set of sensitivity analyses to examine how these associations are affected by accounting for SES, self-reported baseline mental health, and chronic physical disorders. Regarding mental health, we will a) adjust for mental health (continuous score, see Table 5 for measures) and b) exclude individuals with poor mental health (the poorest quintile, based on the measures presented in Table 5). Regarding chronic physical disorders we will exclude individuals with baseline coronary

heart disease, stroke, cancer, chronic obstructive pulmonary disease, musculoskeletal disorders or diabetes, where data are available, as chronic physical disorders are associated with increased risk of mental disorder³⁵. In a third and final sensitivity analysis we will examine how unipolar depression is related to the separate dimensions of demands and control (standardized continuous scores and the job strain model quadrants, i.e. comparing the three other combinations of demands and control to individuals with low demands and high control (cf.⁸).

Discussion

A major strength of the planned analyses is the register-based outcome with a specific diagnosis. The diagnoses are based on clinical assessments, which are independent of job strain assessments, and have high validity⁴². The use of such outcomes has not been possible in most previous analyses on work environment and depression^{9,10}, as the relatively low incidence of hospital-treated unipolar depression necessitates an exceptionally large dataset. Some exclusively register-based analyses (e.g. ⁴³) have been conducted previously using hospital discharge registers. Such analyses have, however, not examined work environment variables measured at the individual level but exposures approximated using job exposure matrices. Although such approximation is useful when exposure measures are unavailable, the lack of individual level measurement hampers the interpretation of the findings as they are open to the ecological fallacy⁴⁴.

Measuring depression incidence exclusively through hospital treatment registers also has limitations. It is likely that only the most severe cases of depression are treated in a hospital. Consequently, the results of the planned analyses will not be extendable to mild and moderate depression, and severe depression not treated in a hospital, if the aetiology of depression varies with severity and treatment. Furthermore, the exclusion of individuals with depression before or at baseline, to ensure a prospective study design, is also based on hospital-treated depressive episodes. Hence, it is possible that participants are suffering from, or have suffered from, untreated depression before baseline. However, in this consortium study, the only way to obtain accurate retrospective diagnoses is based on hospital treatment. Although data on treatment with antidepressants for example may also be obtained from registers, these medications are used to treat a range of conditions, other than depression, such as anxiety, pain and incontinence⁴⁵, and consequently do not provide any accurate diagnostic information.

Another potential limitation of the planned analyses is the self-reported exposure data which could be sensitive to reporting biases due to negative affect at baseline; a bias which may result in inflated risk estimates, if individuals with reduced mental wellbeing report their exposure more negatively and are at increased risk of developing depression⁴⁶. We address this limitation via the sensitivity analyses by adjusting for baseline mental health. Although this analysis may be overadjusted, at least if negative affect at baseline is a consequence of the working environment, any remaining association between job strain and depression will provide a strong argument that the results are not explained by reporting bias.

The planned analysis uses data from 14 studies conducted in 4 European countries. The studies differ in design, timing, and study population. Whereas some studies (e.g. DWECS, SLOSH) include the general working population, others are restricted to employees of specific organisations or occupations (e.g. FPS, Whitehall). Although this combination of different study populations means great gains in statistical power, the generalizability of the findings must be considered. Ideally, we may generalize our findings to the working population of (Northern) Europe. Such generalization would be supported by low degrees of heterogeneity in the pooled estimates, as we found for the association between job strain and

coronary heart disease⁸. If there are greater levels of heterogeneity in the findings, however, the generalizability of the associations outside the examined cohorts is less clear. In that case we may need additional post hoc sensitivity analyses to examine the reasons for heterogeneity, for example the length of follow up, the period with hospital data available before baseline, and whether or not outpatient hospitalisation data are included throughout the follow up period.

All participating studies have been approved separately by the relevant national ethical committees (see appendices of 8,17 for details). The results of this planned study will be published in an article in a scientific peer-reviewed journal. This planned study will constitute the largest in the field to date and as such is likely to set the parameters of research in this field for some time to come.

Project organization

The project is organized as part of the IPD-Work ("Individual-participant-data meta-analysis of working populations") Consortium⁴⁷. IPD-Work was funded by the NEW OSH ERA⁴⁸, and is led by Professors Mika Kivimäki (Finnish Institute of Occupational Health and University College London, UK), Töres Theorell (Stress Research Institute, University of Stockholm, Sweden), Reiner Rugulies (National Research Centre for the Working Environment, Denmark) and Nico Dragano (Department of Medical Sociology, University of Düsseldorf, Germany). The IPD-Work Consortium is coordinated by Prof. Mika Kivimäki. The principal investigator in the analyses described in the present protocol is Dr. Ida E. H. Madsen, researcher at the National Research Centre for the Working Environment, Denmark.

Author contributions

This IPD-Work study and this study protocol were conceptualized by IEHM, RR, TT, ND, HA, and MK. All authors participated in designing the protocol, generating hypotheses, and writing and critically revising the manuscript. IEHM wrote the first draft of the protocol in collaboration with HA and RR. All authors have agreed to the final content of this protocol.

Competing interests

No competing interests were disclosed.

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Version 2

Referee Report 26 March 2014

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Pamela L. Perrewé

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I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

Version 1

Referee Report 27 January 2014

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Pamela L. Perrewé

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I find the proposal examining the association between high strain jobs and unipolar depression to be fascinating. Examining clinically diagnosed depression as opposed to self-reported depression is an important step in job strain research. My only suggestion is to be cautious about arguing that individuals with high strain jobs leads to depression. This is not a longitudinal study, and it is just as likely that individuals who suffer from clinical depression are more likely to hold jobs that are characterized by high demands and low control. Regardless, this is an important piece of research and I look forward to reading the findings.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

Referee Report 16 December 2013

doi:10.5256/f1000research.2555.r2772



Joanna Wieclaw

MarselisborgCentret, Aarhus, Denmark

Regarding the title

I find it a bit misleading that the title says "14 European cohorts" as the study utilizes the Scandinavian cohort and only one other cohort, the UK's Whitehall study.

Article content

As the authors themselves point out, the studies included differ in design, timing and study population, which consist a challenge for a meta-analyses. The authors propose a number of sophisticated statistical methods to meet this challenge. However I have some reservations, particularly about including the Whitehall study - which is otherwise very good - as it is the only non Scandinavian study, and includes a selected population of white collars employees in a specific environmental setting. Also, to my knowledge, the UK registers differ from the Scandinavian practices, which renders comparisons problematic.

Regarding measure of job strain it is not quite clear to me if additive, multiple, or both conceptualisation will be used. Also I find using a dichotomous measure of variables involved (low/high) rather crude. I suggest underlining more clearly that the risk of depression will be calculated in relation to other possible combinations of job strain model variables, which I find important as there is some evidence of diverging results.

The measure of SES based only on occupation is a bit limited. Is it not possible to include fx. income and urbanity?

The description of the statistics is detailed and lengthy. For the readers with scientific background this is very satisfactory, but I believe that the readers with less research training will be lost here. I suggest that the purpose of this sophisticated statistical analyses be more clear, or may be also plainly explained. Is it necessary to go as far back as ICD-8 in obtaining cases? And is "manic-depressive psychosis" included? I believe it would make data unnecessary heterogeneous.

Conclusion

In all I find the study interesting and well designed. Its strength are the large population and register-based outcome. At the same time the limitation is that the outcome is restricted to a rather severe case of depression, and only ones treated in psychiatric hospitals, which are less prevalent.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

Discuss this Article

Version 1

Author Response (Member of the F1000 Faculty) 17 Feb 2014



Ida Madsen, Psychological Health and Well-Being at Work (PIWA), National Research Centre for the Working Environment, Denmark

We would like to thank the referees, Wieclaw and Perrewé, for their constructive comments on our study protocol. As both referees have approved the article, we will not revise it. However, the referees make several valuable points that we will take into account when reporting the results.

First, we agree with Wieclaw that the Whitehall II study might differ from the other included studies, and if the results show substantial heterogeneity, the methodological differences between the studies should be considered. Second, we use a dichotomous definition of job strain in our main analysis because it is consistent with the conceptual model suggesting that the combination of high demands and low control at work, rather than either of these components in isolation, is harmful to health. Previous studies have reported analyses based on a number of different job strain measures and this has raised a concern that the published findings may represent post hoc solutions and potentially overestimate effects. Our explicit aim was to avoid such bias. Thus, our measure of job strain was pre-defined and based on the original theory. Third, unfortunately harmonized measures of income and urbanity are not available in our dataset and therefore will not be used in the analysis. Fourth, we use ICD-8, in addition to newer versions, to identify prevalent cases from records of the national registers from their earliest point of time. Finally, the study design is prospective, with individuals being followed up in registers after assessment of exposure and exclusion of prevalent cases at baseline. We acknowledge, however, that caution is warranted concerning causal inferences, given the observational nature of the study.

On behalf of the authors,

Ida E. H. Madsen, Reiner Rugulies & Mika Kivimäki

Competing Interests: None