

## RESEARCH ARTICLE

# Impact of chronic obstructive pulmonary disease on survival and neurologic outcomes in adults with in-hospital cardiac arrest

Asem Qadeer, Puja B. Parikh, Charles A. Ramkishun, Justin Tai, Jignesh K. Patel \*

Department of Medicine, State University of New York at Stony Brook, Stony Brook, NY, United States of America

\* [jignesh.patel@stonybrookmedicine.edu](mailto:jignesh.patel@stonybrookmedicine.edu)

## Abstract

### Background

Little data exists regarding the association of chronic obstructive pulmonary disease (COPD) on outcomes in the setting of in-hospital cardiac arrest (IHCA). We sought to assess the impact of COPD on mortality and neurologic outcomes in adults with IHCA.

### Methods

The study population included 593 consecutive hospitalized patients with IHCA undergoing ACLS-guided resuscitation at an academic tertiary medical center from 2012–2018. The primary and secondary outcomes of interest were survival to discharge and favorable neurological outcome (defined as a Glasgow Outcome Score of 4–5) respectively.

### Results

Of the 593 patients studied, 162 (27.3%) had COPD while 431 (72.7%) did not. Patients with COPD were older, more often female, and had higher Charlson Comorbidity score. Location of cardiac arrest, initial rhythm, duration of cardiopulmonary resuscitation, and rates of defibrillation and return of spontaneous circulation were similar in both groups. Patients with COPD had significantly lower rates of survival to discharge (10.5% vs 21.6%,  $p = 0.002$ ) and favorable neurologic outcomes (7.4% vs 15.9%,  $p = 0.007$ ). In multivariable analyses, COPD was independently associated with lower rates of survival to discharge [odds ratio (OR) 0.54, 95% confidence interval (CI) 0.30–0.98,  $p = 0.041$ ].

### Conclusions

In this contemporary prospective registry of adults with IHCA, COPD was independently associated with significantly lower rates of survival to discharge.

## OPEN ACCESS

**Citation:** Qadeer A, Parikh PB, Ramkishun CA, Tai J, Patel JK (2021) Impact of chronic obstructive pulmonary disease on survival and neurologic outcomes in adults with in-hospital cardiac arrest. PLoS ONE 16(11): e0259698. <https://doi.org/10.1371/journal.pone.0259698>

**Editor:** Simone Savastano, Fondazione IRCCS Policlinico San Matteo, ITALY

**Received:** July 3, 2021

**Accepted:** October 23, 2021

**Published:** November 29, 2021

**Copyright:** © 2021 Qadeer et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Data Availability Statement:** All relevant data are within the paper.

**Funding:** JKP received funding from Stony Brook University. The funder had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have declared that no competing interests exist.

## Introduction

Approximately 1% of adults hospitalized in the U.S. suffer in-hospital cardiac arrest (IHCA) [1–5]. In spite of improvements in resuscitative and post-resuscitative care, rates of survival in IHCA remain as low as 25% [1–6]. Subsequent anoxic brain injury results in even lower rates of favorable neurologic recovery in cardiac arrest survivors [1–3]. Chronic obstructive pulmonary disease (COPD) has been associated with poor clinical outcomes in multiple cardiac conditions, including ST-elevation myocardial infarction [7], atrial fibrillation [8], and peripheral arterial disease [9], and in patients undergoing cardiac procedures, including coronary artery bypass graft surgery [10], aortic arch replacement [11], and transcatheter aortic valve implantation [12]. There is a paucity of data examining the association between COPD and outcomes in the setting of out-of-hospital cardiac arrest (OHCA) [13–16]. To our knowledge, there is no data investigating the impact of COPD on outcomes in the setting of IHCA. Accordingly, we sought to study the impact of COPD on in-hospital mortality and favorable neurologic outcomes in adults with IHCA at an academic tertiary care medical center.

## Methods

We conducted a prospective observational study at an academic tertiary care medical center. All adults (age  $\geq 18$  years) with IHCA requiring ACLS-guided cardiopulmonary resuscitation (CPR) from 2012–2018 were included in this study. Cardiac arrest was defined as absent heart-beat and respirations requiring CPR. Patients admitted with OHCA were excluded. All in-hospital cardiac arrests at our institution are managed by an organized Rapid Response and Cardiac Arrest Team for administration of ACLS-guided CPR. Airway management is handled by a Respiratory Therapist if the patient is already intubated with manual bag technique at a rate of every 6 seconds. If not intubated, the patient is intubated by a qualified physician (in the Department of Anesthesia or Division of Pulmonary Critical Care) and airway is confirmed using color calorimeter, end-tidal CO<sub>2</sub> monitoring and auscultation.

Demographic and medical history recorded were all obtained from patient's electronic medical record and included age, sex, baseline medical comorbidities [i.e. coronary artery disease (CAD), prior percutaneous coronary intervention (PCI), hypertension, hyperlipidemia], psychiatric comorbidities (i.e. depression, anxiety disorder, bipolar disorder, and schizophrenia) and Charlson co-morbidity score with its respective components [i.e. prior myocardial infarction (MI), congestive heart failure (CHF), peripheral artery disease (PAD), cerebrovascular disease, dementia, COPD, connective tissue disease, peptic ulcer disease, advanced liver disease, diabetes mellitus with and without end-organ damage (e.g. nephropathy, neuropathy, retinopathy), hemiplegia, advanced chronic kidney disease (CKD), solid tumor (i.e. localized, metastatic), leukemia, lymphoma, and acquired immunodeficiency syndrome (AIDS)]. Clinical presentation data extracted included CPR duration, initial electrical rhythm, receipt of defibrillation (i.e. at any time during CPR if shockable rhythm was present) and targeted temperature management (TTM), and return of spontaneous circulation [sustained (lasting  $\geq 20$  minutes), unsustained (lasting  $< 20$  minutes), or never achieved].

The primary and secondary outcomes of interest were survival to discharge and favorable neurological outcome (defined as a Glasgow Outcome Score of 4–5) at the time of discharge respectively [17]. This study was approved by the Institutional Review Board of Stony Brook University Medical Center and a waiver of consent was obtained to utilize patient data.

Chi-squared test (or Fisher's exact test if applicable) and student's t test were used to compare categorical and continuous variables respectively. Multivariable logistic regression analysis was performed to identify independent predictors of survival to discharge as well as favorable neurologic outcome. Predictors for the multivariable analysis were selected based

upon statistical significance in the univariate analysis ( $p < 0.1$ ). SPSS version 23.0 (SPSS, Inc. Chicago, IL) was utilized for data analysis and a two-tailed  $p$ -value of 0.05 was regarded as statistically significant.

## Results

Of the 593 patients studied, 162 (27.3%) had COPD while 431 (72.7%) did not. **Table 1** depicts the baseline demographics and medical history in adults with and without COPD. Patients with COPD were older and more often female. They had higher Charlson Co-morbidity score with higher rates of coronary artery disease, hypertension, congestive heart failure, and cerebrovascular disease. **Table 2** describes clinical presentation and management of IHCA in the presence and absence of COPD. Initial rhythm, duration of CPR, and rates of defibrillation, ROSC, and receipt of TTM were similar in both groups.

With respect to outcomes, patients with COPD had significantly lower rates of survival to discharge (10.5% vs 21.6%,  $p = 0.002$ ) and favorable neurologic outcomes (7.4% vs 15.9%,  $p = 0.007$ ) compared to those without COPD (**Fig 1**). In multivariable analyses, COPD was independently associated with lower rates of survival to discharge [odds ratio (OR) 0.54, 95% confidence interval (CI) 0.30–0.98,  $p = 0.041$ ] but not favorable neurologic outcome (OR 0.57, 95% CI 0.29–1.11,  $p = 0.096$ ) (**Table 3**).

**Table 1. Demographics and baseline medical history.**

	No COPD (n = 431)	COPD (n = 162)	p value
Age (years)*	66.3 ± 16.3	69.4 ± 13.9	0.033
Male gender*	279 (64.7%)	85 (52.5%)	0.006
Coronary Artery Disease*	170 (41.8%)	85 (57.8%)	0.001
Prior Myocardial Infarction	60 (15.0%)	31 (21.5%)	0.072
Prior Percutaneous Coronary Intervention	110 (26.8%)	49 (32.9%)	0.160
Hypertension*	268 (63.2%)	116 (75.8%)	0.005
Hyperlipidemia*	189 (44.9%)	89 (58.9%)	0.003
Diabetes Mellitus			
Without End Organ Damage	87 (20.2%)	34 (22.4%)	0.568
With End Organ Damage	68 (15.9%)	34 (22.7%)	0.061
Congestive Heart Failure*	131 (32.2%)	81 (52.3%)	<0.001
Peripheral Artery Disease	43 (10.6%)	22 (16.1%)	0.092
Cerebrovascular Disease*	38 (8.9%)	22 (14.7%)	0.048
Advanced Chronic Kidney Disease	119 (27.9%)	55 (35.9%)	0.064
Advanced Liver Disease	10 (2.3%)	5 (3.4%)	0.551
Leukemia	18 (4.2%)	8 (5.4%)	0.552
Malignant Lymphoma	11 (2.6%)	6 (4.0%)	0.365
Metastatic Solid Tumor	35 (8.2%)	7 (4.8%)	0.170
Connective Tissue Disease	15 (3.5%)	6 (4.0%)	0.780
Charlson Comorbidity Score*	5.0 +/- 2.8	6.4 +/- 2.7	<0.001
Dementia	22 (5.3%)	8 (5.4%)	0.947
Depression	32 (7.7%)	14 (9.5%)	0.490
Anxiety Disorder	28 (6.7%)	13 (8.8%)	0.400
Bipolar Disorder	7 (1.7%)	4 (2.7%)	0.452
Schizophrenia	8 (1.9%)	4 (2.6%)	0.529

<https://doi.org/10.1371/journal.pone.0259698.t001>

Table 2. Clinical presentation of cardiac arrest.

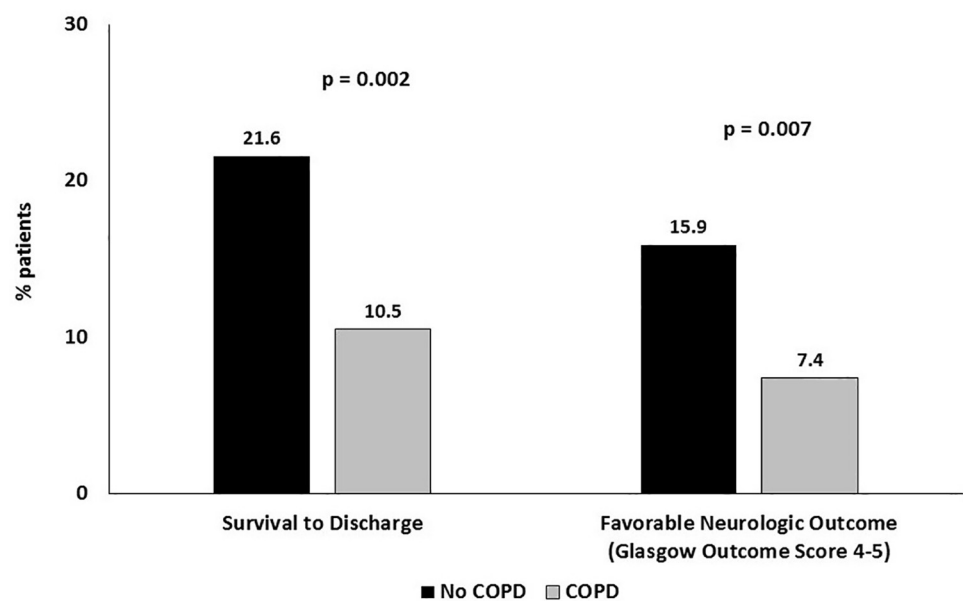
	No COPD (n = 431)	COPD (n = 162)	p value
<b>Initial rhythm</b>			0.126
VF/VT	74 (17.7%)	23 (14.9%)	
PEA/Asystole	328 (78.5%)	119 (77.3%)	
Other	16 (3.8%)	12 (7.8%)	
<b>Defibrillation</b>	168 (39.1%)	53 (32.7%)	0.058
<b>CPR Duration (minutes)</b>	23.4 ± 22.0	20.3 ± 15.2	0.093
<b>Return of Spontaneous Circulation</b>			0.800
Never achieved	169 (39.3%)	67 (41.6%)	
Unsustained (< 20 minutes)	41 (9.5%)	13 (8.1%)	
Sustained (> 20 minutes)	220 (51.2%)	81 (50.3%)	
<b>Targeted Temperature Management</b>	48 (18.6%)	18 (19.1%)	0.908

VF = ventricular fibrillation; VT = ventricular tachycardia; PEA = pulseless electrical asystole; CPR = cardiopulmonary resuscitation.

<https://doi.org/10.1371/journal.pone.0259698.t002>

## Discussion

To our knowledge, this is the first study to highlight the association between COPD and survival and neurologic outcomes specifically in the setting of IHCA. Several findings are noteworthy in this contemporary prospective study of adults with IHCA. First, nearly 30% of adults with IHCA have concomitant COPD. Second, initial rhythm and rates of defibrillation and ROSC are similar in the presence and absence of COPD. Finally, COPD is independently associated with nearly 2-fold lower rates of survival to discharge but no significant difference in favorable neurologic outcome.



**Fig 1.** Rates of (A) In-Hospital Mortality and (B) Favorable Neurologic Outcomes in the Presence and Absence of Chronic Obstructive Pulmonary Disease (COPD).

<https://doi.org/10.1371/journal.pone.0259698.g001>

Table 3. Multivariable analysis.

	Odds	95% Confidence	p
	Ratio	Interval	value
<i>Survival to Discharge</i> <sup>1</sup>			
Chronic obstructive pulmonary disease	0.54	0.30–0.98	0.041
Female gender	0.52	0.32–0.85	0.009
<i>Favorable Neurologic Outcome</i> <sup>2</sup>			
Chronic obstructive pulmonary disease	0.57	0.29–1.11	0.096
PEA/Asystole (versus VF/VT)	0.50	0.27–0.91	0.023
Prior myocardial infarction	2.28	1.21–4.30	0.011
Diabetes mellitus with end organ damage	0.39	0.16–0.90	0.028

<sup>1</sup>Model included chronic obstructive pulmonary disease, age, gender, peripheral arterial disease, diabetes mellitus with end organ damage.

<sup>2</sup>Model included chronic obstructive pulmonary disease, age, gender, prior myocardial infarction, diabetes mellitus with end organ damage, initial rhythm.

<https://doi.org/10.1371/journal.pone.0259698.t003>

While no other data to our knowledge exists regarding the impact of COPD on outcomes in IHCA, there are a few studies that have examined COPD's association with outcomes in the setting of OHCA [13–15]. In one large retrospective registry of nearly 3,000 patients with OHCA secondary to ventricular tachyarrhythmias, COPD was present in less than 10% of patients [13]. COPD was associated with lower rates of VF (28% vs 39%,  $p = 0.001$ ), and was independently associated with higher rates of 2-year all-cause mortality [hazard ratio (HR) 1.245; 95% CI 1.001–1.549;  $p = 0.001$ ] [13]. The Danish Cardiac Arrest Registry of adults with OHCA demonstrated that over 80% of COPD patients had a non-shockable initial rhythm and that incremental severity of COPD (i.e. mild, moderate, severe) was associated with increasing prevalence of a non-shockable initial rhythm [14]. Similar to our study, patients with COPD in this Danish registry were noted to be older, less likely male, and with higher prevalence of other comorbidities. COPD patients with OHCA were less likely to have witnessed arrests and bystander CPR. While non-COPD patients experienced significant improvements in 30-day survival from 2001 to 2011 (from 3.5% to 13.0%,  $p < 0.001$ ), no significant change was observed in 30-day survival in COPD patients (from 3.7% to 2.1%,  $p = 0.27$ ) [16].

COPD has been found to be associated with increased sudden cardiac death (SCD) risk in the community. In the Oregon Sudden Unexpected Death Study, which compared adult SCD case subjects with geographic control subjects with coronary artery disease, SCD case subjects were more likely than control subjects to have COPD (31% vs. 13%,  $p < 0.0001$ ) [18]. In multivariable analysis, COPD was independently associated with over 2-fold higher rates of SCD (OR 2.2, 95% CI 1.4 to 3.5;  $p < 0.001$ ) [18]. Data from the Rotterdam study, a population-based cohort study, demonstrated that COPD was associated with an increased risk of SCD (age- and sex-adjusted hazard ratio, HR, 1.34, 95% CI 1.06–1.70) [19]. The risk especially increased in persons with frequent exacerbations five years after the diagnosis of COPD [19]. Whether the heightened risk of cardiac arrest and mortality in COPD patients is related to absence of beta blocker use due to adverse effects (i.e. bronchoconstriction) is not well known.

Although smoking status was not directly tracked in our current study, previous studies have shown that smoking has been independently associated with three-fold higher rates of survival to discharge with good neurologic outcome in adults with cardiac arrest treated with TTM compared to nonsmokers (OR 3.54, 95% CI 1.41–8.84,  $p = 0.007$ ), even after adjusting for age, initial rhythm, time to ROSC, bystander CPR, and time to initiation of TH [20]. Data

from the Nationwide Inpatient Sample demonstrated that in adults with IHCA, smokers were more likely to have ventricular tachycardia or ventricular fibrillation as the initial rhythm, had higher rates of survival to hospital discharge (adjusted OR 1.06, 95% CI 1.05 to 1.08,  $p < 0.001$ ) and lower rates of poor neurologic status (adjusted OR 0.92, 95% CI 0.89 to 0.95,  $p < 0.001$ ) compared with nonsmokers [21].

Our study had a number of limitations. First, diagnosis of COPD was obtained from patient's electronic medical record and so prognostic diagnostic testing including baseline pulmonary function testing, smoking history, medication use (including beta-blockers), and arterial oxygen content [22,23] was not examined in this study. Second, while approximately half of patients in the current study were in the Intensive Care Unit (ICU) at the time of arrest, the percentage of patients on non-ICU floors who were receiving telemetry monitoring was not collected in this study, nor was the duration of time from admission to the IHCA event. Third, our study population is only limited to adults with IHCA and may not be generalized to other cardiac arrest populations including OHCA. Lastly, data was limited to only in-hospital outcomes and so follow-up data, including quality of life, was not obtained.

## Conclusions

In this prospective, contemporary study of adults with IHCA, COPD was independently associated with nearly 2-fold lower rates of survival to discharge. Larger scale studies examining the association of COPD in management, processes of care, and clinical outcomes in the CA population are warranted.

## Author Contributions

**Conceptualization:** Jignesh K. Patel.

**Data curation:** Asem Qadeer, Charles A. Ramkishun, Justin Tai, Jignesh K. Patel.

**Formal analysis:** Puja B. Parikh, Jignesh K. Patel.

**Funding acquisition:** Jignesh K. Patel.

**Investigation:** Charles A. Ramkishun, Jignesh K. Patel.

**Methodology:** Puja B. Parikh, Jignesh K. Patel.

**Project administration:** Jignesh K. Patel.

**Resources:** Jignesh K. Patel.

**Software:** Jignesh K. Patel.

**Supervision:** Jignesh K. Patel.

**Writing – original draft:** Asem Qadeer, Jignesh K. Patel.

**Writing – review & editing:** Puja B. Parikh, Jignesh K. Patel.

## References

1. Merchant RM, Topjian AA, Panchal AR et al. Part 1: Executive Summary: 2020 American Heart Association Guidelines for Cardiopulmonary Resuscitation and Emergency Cardiovascular Care. *Circulation* 2020; 142:S337–s357. <https://doi.org/10.1161/CIR.0000000000000918> PMID: 33081530
2. Panchal AR, Bartos JA, Cabañas JG et al. Part 3: Adult Basic and Advanced Life Support: 2020 American Heart Association Guidelines for Cardiopulmonary Resuscitation and Emergency Cardiovascular Care. *Circulation* 2020; 142:S366–s468. <https://doi.org/10.1161/CIR.0000000000000916> PMID: 33081529

3. Virani SS, Alonso A, Benjamin EJ et al. Heart Disease and Stroke Statistics-2020 Update: A Report From the American Heart Association. *Circulation* 2020; 141:e139–e596. <https://doi.org/10.1161/CIR.0000000000000757> PMID: 31992061
4. Holmberg MJ, Ross CE, Fitzmaurice GM et al. Annual Incidence of Adult and Pediatric In-Hospital Cardiac Arrest in the United States. *Circulation Cardiovascular quality and outcomes* 2019; 12:e005580. PMID: 31545574
5. Andersen LW, Holmberg MJ, Berg KM, Donnino MW, Granfeldt A. In-Hospital Cardiac Arrest: A Review. *Jama* 2019; 321:1200–1210. <https://doi.org/10.1001/jama.2019.1696> PMID: 30912843
6. Patel JK, Meng H, Parikh PB. Trends in Management and Mortality in Adults Hospitalized With Cardiac Arrest in the United States. *Journal of intensive care medicine* 2017;885066617707921. <https://doi.org/10.1177/0885066617707921> PMID: 28494635
7. Agarwal M, Agrawal S, Garg L et al. Effect of Chronic Obstructive Pulmonary Disease on In-Hospital Mortality and Clinical Outcomes After ST-Segment Elevation Myocardial Infarction. *The American journal of cardiology* 2017; 119:1555–1559. <https://doi.org/10.1016/j.amjcard.2017.02.024> PMID: 28390680
8. Durheim MT, Holmes DN, Blanco RG et al. Characteristics and outcomes of adults with chronic obstructive pulmonary disease and atrial fibrillation. *Heart (British Cardiac Society)* 2018; 104:1850–1858. <https://doi.org/10.1136/heartjnl-2017-312735> PMID: 29875139
9. Keller K, Hobohm L, Munzel T, Ostad MA, Espinola-Klein C. Impact of chronic obstructive pulmonary disease on the outcomes of patients with peripheral artery disease. *Respiratory medicine* 2019; 147:1–6. <https://doi.org/10.1016/j.rmed.2018.12.010> PMID: 30704692
10. Viceconte M, Rocco IS, Pauletti HO et al. Chronic obstructive pulmonary disease severity influences outcomes after off-pump coronary artery bypass. *The Journal of thoracic and cardiovascular surgery* 2018; 156:1554–1561. <https://doi.org/10.1016/j.jtcvs.2018.04.092> PMID: 29803370
11. Miyahara S, Nakai H, Izawa N et al. Influences of chronic obstructive pulmonary disease on outcomes of total arch replacement. *The Annals of thoracic surgery* 2015; 99:72–8. <https://doi.org/10.1016/j.athoracsur.2014.08.031> PMID: 25440266
12. Chopard R, Meneveau N, Chocron S et al. Impact of chronic obstructive pulmonary disease on Valve Academic Research Consortium-defined outcomes after transcatheter aortic valve implantation (from the FRANCE 2 Registry). *The American journal of cardiology* 2014; 113:1543–9. <https://doi.org/10.1016/j.amjcard.2014.01.432> PMID: 24630784
13. Rusnak J, Behnes M, Schupp T et al. COPD increases cardiac mortality in patients presenting with ventricular tachyarrhythmias and aborted cardiac arrest. *Respiratory medicine* 2018; 145:153–160. <https://doi.org/10.1016/j.rmed.2018.10.019> PMID: 30509705
14. Granfeldt A, Wissenberg M, Hansen SM et al. Severity of chronic obstructive pulmonary disease and presenting rhythm in patients with out-of-hospital cardiac arrest. *Resuscitation* 2018; 126:111–117. <https://doi.org/10.1016/j.resuscitation.2018.03.006> PMID: 29518438
15. van den Berg ME, Stricker BH, Brusselle GG, Lahousse L. Chronic obstructive pulmonary disease and sudden cardiac death: A systematic review. *Trends in cardiovascular medicine* 2016; 26:606–13. <https://doi.org/10.1016/j.tcm.2016.04.001> PMID: 27234353
16. Moller SG, Rajan S, Folke F et al. Temporal trends in survival after out-of-hospital cardiac arrest in patients with and without underlying chronic obstructive pulmonary disease. *Resuscitation* 2016; 104:76–82. <https://doi.org/10.1016/j.resuscitation.2016.04.017> PMID: 27164010
17. Jennett B, Bond M. Assessment of outcome after severe brain damage. *Lancet (London, England)* 1975; 1:480–4. [https://doi.org/10.1016/s0140-6736\(75\)92830-5](https://doi.org/10.1016/s0140-6736(75)92830-5) PMID: 46957
18. Narayanan K, Reinier K, Uy-Evanado A et al. Chronic Obstructive Pulmonary Disease and Risk of Sudden Cardiac Death. *JACC Clinical electrophysiology* 2015; 1:381–387. <https://doi.org/10.1016/j.jacep.2015.06.005> PMID: 29759465
19. Lahousse L, Niemeijer MN, van den Berg ME et al. Chronic obstructive pulmonary disease and sudden cardiac death: the Rotterdam study. *European heart journal* 2015; 36:1754–61. <https://doi.org/10.1093/eurheartj/ehv121> PMID: 25920404
20. Pollock JS, Hollenbeck RD, Wang L, Janz DR, Rice TW, McPherson JA. A history of smoking is associated with improved survival in patients treated with mild therapeutic hypothermia following cardiac arrest. *Resuscitation* 2014; 85:99–103. <https://doi.org/10.1016/j.resuscitation.2013.08.275> PMID: 24036406
21. Gupta T, Kolte D, Khera S et al. Relation of smoking status to outcomes after cardiopulmonary resuscitation for in-hospital cardiac arrest. *The American journal of cardiology* 2014; 114:169–74. <https://doi.org/10.1016/j.amjcard.2014.04.021> PMID: 24878124

22. Patel JK, Kataya A, Parikh PB. Association between intra- and post-arrest hyperoxia on mortality in adults with cardiac arrest: A systematic review and meta-analysis. *Resuscitation* 2018; 127:83–88. <https://doi.org/10.1016/j.resuscitation.2018.04.008> PMID: 29653154
23. Patel JK, Schoenfeld E, Parikh PB, Parnia S. Association of Arterial Oxygen Tension During In-Hospital Cardiac Arrest With Return of Spontaneous Circulation and Survival. *Journal of intensive care medicine* 2018; 33:407–414. <https://doi.org/10.1177/0885066616658420> PMID: 27402395