# Coexistence of HER2, Ki67, and p53 in Osteosarcoma: A Strong Prognostic Factor

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### Abstract

**Background:** Many laboratories are currently evaluating the usefulness of the determination of human epidermal growth factor receptor 2 (HER2), p53, and Ki67 proliferation indices using immunohistochemical techniques in cancer. Although the available studies suggest that these factors might indeed be helpful in making treatment decisions in osteosarcoma patients, their clinical usefulness is still controversial. Aims: We proposed to introduce the value of the coexistence of HER2 overexpression, p53 protein accumulation, and Ki67 in osteosarcoma, which could be a prognostic factor in osteosarcoma. **Material and Methods:** Expression of HER2, p53, and Ki67 was examined by immunohistochemistry in samples of resected bone tumor tissue from 56 patients with osteosarcoma, obtained between 2009 and 2014 (median follow-up period of 48 months), and their significance for prognosis was analyzed. **Results:** Of the 56 osteogenic sarcoma tissue samples, 80, 89, and 96.5% were positive for HER2 overexpression, p53 protein accumulation, and Ki67 expression, respectively. Overexpression of HER2 and accumulation of p53 protein significantly correlated with reduced disease-free (P < 0.01) and overall survival (P < 0.003). HER2 and Ki67 co-overexpression significantly correlated with reduced disease-free (P < 0.01) and overall survival (P < 0.005) as did patients with larger tumor size, high grade of tumor, positive lymph node, and metastasis status within the specified period of follow up. **Conclusions:** We found evidence that coexistence of HER2 and Ki67 overexpression and p53 protein accumulation predict the development of lymph node involvement and metastases in patients with high-grade osteosarcoma and were significantly associated with reduced survival.

Keywords: HER2, immunohistochemistry, Ki67, osteosarcoma, p53, prognosis

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# Introduction

Osteosarcoma is the most common human primary bone malignancy, accounting for over 700 new diagnoses in the United States each year. Current multimodality therapy consists of radical surgery and systemic chemotherapy.<sup>[1,2]</sup> Despite improvements in the outcome over the past 30 years for patients with local disease, the 5-year survival rate for patients with metastatic disease at presentation or recurrent disease remains poor.<sup>[3]</sup> The human epidermal growth factor receptor 2 (HER2) is

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expressed in up to 60% of primary osteosarcoma samples and correlates with poor outcome.<sup>[4-12]</sup>

The p53 protein is a critical tumor suppressor and central mediator of cellular responses to stress. Inactivating mutations of the p53 gene occur in 50% of all sporadic human cancers.<sup>[13]</sup> The mutation of p53 significantly correlates with genome-wide DNA instability and appears to represent a major genetic factor contributing

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to the extremely high levels of genomic instability found in high-grade osteosarcomas.<sup>[14]</sup>

Prognostic biomarkers such as HER2, p53, and Ki67 in a disease provide information regarding the outcome respective of therapy. Molecular events associated with disease aggressiveness and chemotherapy response may serve as prognostic factors. Tumor size, lymph node involvement, histologic grade of tumor, and initial metastasis are bad prognostic factors in osteosarcoma. The most important predictor of outcome at diagnosis is the presence or absence of metastases. In addition, the expression of HER2/neu may be a predictor of chemotherapy response and prognosis in osteosarcoma.<sup>[6,7]</sup> For some authors, it shows a poor survival rate.<sup>[8-15]</sup>

We hypothesized that candidate prognostic biomarkers in osteosarcoma include elevated levels of expression of proliferation indices, such as Ki67, and amplification and overexpression of HER2 and p53 nuclear protein accumulation. Immunohistochemistry studies of osteosarcoma were planned to test the hypothesis.

# **Materials and Methods**

In this study, patients' profiles were treated anonymously and the study was ethically approved by the Hospital's Local Committee after consenting to the voluntary participation of patients. We used tissue specimens from 56 patients with mean  $\pm$  SD age of 26.44 ± 12.51 years (range 14-68 years) with osteogenic sarcoma. All patients had undergone bone tumor resection with safe surgical margins. Primary treatment for patients with low-grade osteogenic sarcoma only includes wide excision. Chemotherapy, either prior to excision or postoperatively, is not typically recommended.<sup>[16]</sup> Chemotherapy is warranted for all stages of high-grade osteogenic sarcomas. For metastatic osteosarcoma, 2-3 cycles of chemotherapy are typically given preoperatively; 3-4 cycles of chemotherapy are given postoperatively.<sup>[16]</sup>

Routinely, patients were observed for disease recurrence and death, at least once every 6 months, for about 5 years after surgery and yearly thereafter. For our patients, the mean  $\pm$  SD follow-up period was 24  $\pm$  3.75 months (range 18–52 months).

We examined the coexpression of HER2, p53, and Ki67 in samples of bone tumor biopsy of those patients who were diagnosed for osteosarcoma at Kermanshah Medical University Hospital between 2009 and 2014, and then analyzed their significance for prognosis.

One 4- $\mu$ m section from each submitted paraffin block was first stained with hematoxylin and eosin in order to

verify that an adequate number of malignant osteoblastic cells were present and that the quality of fixation was sufficient for immunohistochemical analysis. Serial sections (4-µm) were prepared from the selected blocks and float-mounted on adhesive-coated glass slides for HER2, p53, and Ki67 staining. Primary antibodies included rabbit antihuman c-erbB2 oncoprotein antibody (DAKO) at 1:200 dilution for HER2, monoclonal mouse antihuman p53 protein antibody (PAb1801; Novocastra, Newcastle, UK) at 1:50 dilution for p53, and monoclonal mouse antihuman Ki67 antibody (MIB-1; DAKO) at 1:100 dilution for Ki67. The DAKO Envision system (DAKO Envisionlabelled polymer, peroxidase) was used as the detection system for HER2 and Ki67. The streptavidin-biotinsystem (SAB-PO kit; Nichirei Co., Inc., Tokyo, Japan) was applied for the detection of the bound antibody of p53.

Immunostained slides were scored after the entire slide had been evaluated by light microscopy. For all specimens, negative control was adjacent non tumor of specimen and positive control was on kit of stain. HER2 immunostaining was evaluated using the same method as was employed by the HercepTest (DAKO). To determine the score of HER2 expression the membrane staining pattern was estimated and scored on a scale of 0 to 3+. Tumors with scores of 2 or greater were considered to be positive for HER2 overexpression. The expression status of p53 and Ki67 was assessed according to the estimated proportion of the nuclear staining of tumor cells that were positively stained. Scoring criteria for p53 were as follows (in the form of the proportion of nuclear staining = score): None = 0, <1/10 = 1, 1/10-1/2 = 2, and >1/2 = 3. Scoring criteria for Ki67 were as follows (in the form of the proportion of nuclear staining = score): None = 0, <1/100 = 1, 1/100-1/10 = 2, 1/10-1/2 = 3, and >1/2 = 4. Tumors with a score of 1 or greater for p53 were considered to be positive for p53 protein accumulation, and tumors with a score of 2 or greater for Ki67 were considered to be positive for Ki67 expression.

# Statistical analysis

Collected data were documented using the Statistical Package for the Social Sciences version 20.0 (IBM Corp., Armonk, NY, USA). Statistical analysis was done using descriptive statistics. Results were expressed as percentages, frequencies, and mean  $\pm$  S.D. The Mann–Whitney U test,  $\chi^2$  test, Pearson Chi-square test, or Spearman Rho test were performed for comparative statistical evaluations among groups and for correlation analysis with histological and clinical parameters (tumor size, tumor grade, lymph node involvement, metastasis rate, coexistence of HER2 overexpression, p53 protein accumulation, Ki67 expression, and postoperative survival). Survival periods were counted in months from the date of the first visit to date of death or last follow-up

before study closure. We used Kaplan–Meier method to estimate the overall survival for low and high levels of HER2 overexpression, p53 protein accumulation, and Ki67 expression. A *P* value of <0.05 was considered as statistically significant.

## Results

A total of 56 confirmed cases for osteosarcoma were admitted during the period of January 2009 to December 2014. The mean age of the study group was  $26.94 \pm 12.51$  years with 39 males (70%) and 17 females (30%). The follow-up period was  $24 \pm 3.75$  months (range 18–52 months) [Table 1].

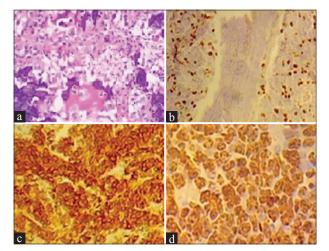
Of the 56 osteosarcoma tissue samples, 80, 89, and 96.5% were positive for HER2 overexpression, p53 protein accumulation, and Ki67 expression, respectively [Table 2].

HER2, P53, and Ki67 overexpression were significantly correlated with all clinicopathologic factors. Overexpression of HER2 was significantly associated with p53 protein accumulation (P < 0.02). In addition, a significant association was observed between expression status of p53 and that of Ki67 (P < 0.02). Moreover, a significant association was observed between expression status of HER2 and that of Ki67 (P < 0.03). [Figure 1 and Table 3]

Disease-free and overall survival was categorized by HER2, p53, and Ki67 expression. HER2 overexpression with P53 were associated with significantly reduced disease-free (<0.01) and overall survival (P = 0.05). Similarly, HER2 overexpression with ki67 were associated with significantly reduced disease-free (P < 0.001) and overall survival (P < 0.04). HER2 overexpression with P53 and ki67 were associated with significantly reduced disease-free (P < 0.001) and overall survival (P < 0.04). HER2 overexpression with P53 and ki67 were associated with significantly reduced disease-free (P < 0.02) and overall survival (P < 0.05).

Both the disease-free and overall survival curves were similar between the tumors positive for HER2 overexpression with p53 protein accumulation and Ki67 expression together. The tumors of 38 patients were positive for both HER2 overexpression and p53 protein accumulation. The disease-free and overall survival curves demonstrate that these patients relapsed and died within a significantly shorter period of time after the surgery [Figures 2a and b] (P < 0.01). The disease-free and overall survival curves for patients with HER2 or p53 positive tumors were different from those for patients with tumors that were negative for both HER2 and p53.

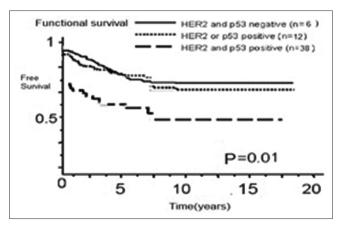
In our analysis [Table 4], combined HER2 and p53 status (P < 0.01) and Ki67 status (P < 0.02), as well as tumor size (P < 0.01), number of positive lymph nodes (P < 0.01), histological grade (P < 0.003) were strongly able to



**Figure 1:** HER2, P53, and Ki67 overexpression in osteosarcoma. (a) Photomicrograph of osteosarcoma (hematoxylin and eosin). Immunohistochemical analysis revealed (b) Ki67 with 30% positivity, and (c) HER2 grade 3+ reactivity. (d) P53 demonstrating clusters of nuclei positivity of neoplastic cells

Table 1: Clinicopathologic characteristics of patients with osteogenic sarcoma							
Parameters	Her2		P53		Ki67	Ki67	
	Positive/total	Р	Positive/total	Р	Positive/total	Р	
Total	45/56 (80%)		50/56 (89%)		54/56 (96.5%)		
Tumor size							
≤8	30/39 (77%)	0.003	35/39 (90%)	0.000	37/56 (66%)	0.005	
>8	15/17 (88%)		15/17 (88%)		17/56 (30%)		
Lymph node							
Positive	16/18 (89%)	0.005	17/18 (94.5%)	0.003	18/18 (100%)	0.002	
Negative	2/18 (11%)		1/18 (5.5%)		0		
Histologic grade							
High grade	19/24 (79%)	0.002	19/32 (59.5%)	0.004	28/32 (87.5%)	0.003	
Low grade	23/32 (72%)		21/24 (87.5%)		23/24 (96%)		
Metastasis							
Positive	13/14 (93%)	0.0003	11/14 (78.5%)	0.001	14/14 (100%)	0.0009	
Negative	4/14 (28.5%)		3/14 (21.5%)		0		

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**Figure 2a:** Effect of coexistence of HER2 overexpression and p53 protein accumulation on (a) disease-free and (b) overall survival among 56 patients with osteosarcoma. Free and overall survival were significantly worse in patients with HER2 and p53-positive tumors than in patients with HER2 and p53-negative tumors and HER2 or p53 positive tumors (P = 0.01 for both)

Table 2: Correlation between clinicopathologicfactors and molecular markers					
Parameters	P53		Ki67		
	Positive/ total	Р	Positive/ total	Р	
Her2		· · · · · · · · · · · · · · · · · · ·			
Positive	38/56(68%)	0.002	41/56 (33%)	0.003	
negative	18/56 (32%)		15/56(67%)		
P53					
Positive			42/56 (75%)	0.002	
negative			14/56 (25%)		

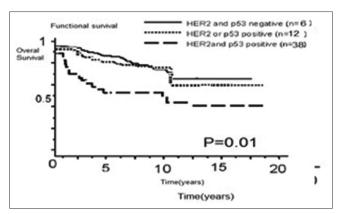
expression			
Parameters	Р	RR (95% CI)	
Tumor size	< 0.001	0.61	
Positive lymph nodes	< 0.000	0.32	
Histologic grade	< 0.000	0.66	
HER2/p53	< 0.001	0.61	
HER2/P53/Ki67	< 0.000	0.76	
HER2/Ki67	< 0.003	0.58	

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predict disease-free survival. There is no significant correlation between age or gender vs. all parameters.

### Discussion

The present study indicates that the coexistence of HER2 overexpression, p53 protein accumulation, and Ki67 expression has strong prognostic significance in osteosarcoma after a median follow-up period of 25 months. HER2/neu and p53 coexpression has been described in numerous human malignancies of the lung, stomach, breast, prostate and other tissues.<sup>[15,17]</sup> HER2



**Figure 2b:** Effect of coexistence of HER2 overexpression and p53 protein accumulation on (a) disease-free and (b) overall survival among 56 patients with osteosarcoma. Free and overall survival were significantly worse in patients with HER2 and p53-positive tumors than in patients with HER2 and p53-negative tumors and HER2 or p53 positive tumors (P = 0.01 for both)

encodes a 185-kDa transmembrane glycoprotein with intracellular tyrosine kinase activity that belongs to the HER family.<sup>[18]</sup> Although no ligand has been identified for HER2, several peptide growth factors bind to the other members of the family. Amplification or overexpression of HER2 is observed in 40% of osteosarcoma.[15] The expression of HER2/neu may be a predictor of chemotherapy response and prognosis in osteosarcoma. For some authors, it is correlated with a poor survival rate. In 1999, it was first suggested that there is correlation of HER2/neu expression with histologic response to preoperative chemotherapy and event-free survival in osteosarcoma. Therefore, HER2/neu was introduced prospectively as a prognostic indicator.<sup>[19]</sup> Subsequently, over 10 studies have been reported in which the role of amplification/overexpression of HER2 was investigated as a prognostic marker in osteosarcoma.

In the present study, we extended our analysis of HER2 overexpression to 38 osteosarcoma, and showed that overexpression of HER2 was associated with poor prognosis. Similar to some other primary human tumors, the mutation of p53 correlates significantly with the presence of high levels of genomic instability in osteosarcomas. The p53 protein is a critical tumor suppressor and central mediator of cellular responses to stress. Inactivating mutations of the p53 gene occur in 50% of all sporadic human cancers.<sup>[13-15]</sup> The accumulation of p53 protein was significantly associated with poor prognosis in our study as well as in other studies of patients with osteosarcoma. These studies suggest both a prognostic and a predictive role for p53.<sup>[2]</sup> However, p53-positive staining was not associated with 3-year (RR, 1.64; 95% CI, 0.84 to 3.20; *P* = 0.15; I2 = 56%) and 5-year survival (RR, 1.25; 95%) CI, 0.78 to 2.01; P = 0.36; I2 = 70%).<sup>[19]</sup> The data from the current study suggest that p53-positive osteosarcoma only predicted a decreased short-term survival rate within the specified period of follow up.

Table 4: Prognostic factors in 56 osteosarcoma				
compared with disease free survival				
Total <i>n</i> =56	n (%)			
Gender				
Male	39 (70)			
Female	17 (30)			
Age at diagnosis (years)				
≤40	38 (68)			
>40	18 (32)			
Tumor size (cm)				
≤8	39 (69.5)			
>8	14 (30.5)			
lymph node involvement				
Positive	18 (32)			
Negative	38 (68)			
Histologic grade				
High grade	32 (57)			
Low grade	24 (43)			
Metastasis				
Positive	14 (25)			
Negative	42 (75)			

Both HER2 overexpression and p53 protein accumulation with Ki67 high expression were not reported.<sup>[20]</sup>

24±3.75 months

## Conclusions

The coexistence of HER2 overexpression, p53 protein accumulation, and Ki67 predict for the development of lymph node involvement and metastases in patients with osteosarcoma, and were significantly associated with a reduced survival within a follow-up period of  $24 \pm 3.75$  months. Therefore, the coexistence of these markers is a strong prognostic factor in osteosarcoma.

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Nil.

#### **Conflicts of interest**

Follow up (mean±SD)

There are no conflicts of interest.

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