

## ORIGINAL ARTICLE

# Sequence variations of *ABCB1*, *SLC6A2*, *SLC6A3*, *SLC6A4*, *CREB1*, *CRHR1* and *NTRK2*: association with major depression and antidepressant response in Mexican-Americans

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We studied seven genes that reflect events relevant to antidepressant action at four sequential levels: (1) entry into the brain, (2) binding to monoaminergic transporters, and (3) distal effects at the transcription level, resulting in (4) changes in neurotrophin and neuropeptide receptors. Those genes are ATP-binding cassette subfamily B member 1 (*ABCB1*), the noradrenaline, dopamine, and serotonin transporters (*SLC6A2*, *SLC6A3* and *SLC6A4*), cyclic AMP-responsive element binding protein 1 (*CREB1*), corticotropin-releasing hormone receptor 1 (*CRHR1*) and neurotrophic tyrosine kinase type 2 receptor (*NTRK2*). Sequence variability for those genes was obtained in exonic and flanking regions. A total of 56 280 000 bp across were sequenced in 536 unrelated Mexican Americans from Los Angeles (264 controls and 272 major depressive disorder (MDD)). We detected in those individuals 419 single nucleotide polymorphisms (SNPs); the nucleotide diversity was  $0.00054 \pm 0.0001$ . Of those, a total of 204 novel SNPs were identified, corresponding to 49% of all previously reported SNPs in those genes: 72 were in untranslated regions, 19 were in coding sequences of which 7 were non-synonymous, 86 were intronic and 27 were in upstream/downstream regions. Several SNPs or haplotypes in *ABCB1*, *SLC6A2*, *SLC6A3*, *SLC6A4*, *CREB1* and *NTRK2* were associated with MDD, and in *ABCB1*, *SLC6A2* and *NTRK2* with antidepressant response. After controlling for age, gender and baseline 21-item Hamilton Depression Rating Scale (HAM-D21) score, as well as correcting for multiple testing, the relative reduction of HAM-D21 score remained significantly associated with two *NTRK2*-coding SNPs (rs2289657 and rs56142442) and the haplotype CAG at rs2289658 (splice site), rs2289657 and rs2289656. Further studies in larger independent samples will be needed to confirm these associations. Our data indicate that extensive assessment of sequence variability may contribute to increase understanding of disease susceptibility and drug response. Moreover, these results highlight the importance of direct re-sequencing of key candidate genes in ethnic minority groups in order to discover novel genetic variants that cannot be simply inferred from existing databases.

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## Introduction

Major depressive disorder (MDD) is a common, complex and recurrent disorder of gene–environment interactions. The estimated heritability may range from 0.36 to 0.66.<sup>1,2</sup> Following up on previous study on the pathophysiology of MDD and on the prevailing hypotheses for treatment response, we sought to

identify genes that influence susceptibility for MDD or treatment response in the central nervous system pathways relevant to stress reactivity and to the pathways of action of antidepressant drugs. Current data point out to roles for genes involved in drug transport, serotonin neurotransmission, neurotrophin signaling and response to stress. Promising linkage results are located in several chromosomes,<sup>3</sup> which highlight the multilocus nature of the genetic vulnerability to MDD.

Recently, rapid technological advances have started unraveling the contributions of common (frequency >1%) and rare genetic variants in complex disorders. In a topical review, Bodmer and Bonilla<sup>4</sup> have synthesized current views, implications and

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integration of the competing hypotheses of common disease–common variant and common disease–rare variant. For most common variants, the disease-associated variant is unlikely to be functionally relevant; it may be closely linked to the functional variant, and it will cause a small increase in disease risk (odds ratio smaller than 2, generally between 1.1 and 1.4). In contrast, rare variants generally have functional and large phenotypic effects; in many cases they are missense variants that reflect amino-acid changes relevant to protein–protein interactions. Diverse scenarios may occur in the pathophysiology of common complex disorders: Common variants may be modifiers of genes with rare variant effects, such as recently described for the *MC4R* gene.<sup>5</sup> Moreover, areas near common variants may contain candidate genes in which there are rare variants. The identification of rare variants may significantly affect our understanding of complex disease etiology.

We re-sequenced seven candidate genes of importance in the pathophysiology of MDD.<sup>6</sup> Conceptually, we sought a group of genes that reflects a sequence of events relevant to drug action at four levels: (1) entry into the brain, (2) binding to monoaminergic transporters, and (3) distal effects at the transcription level, resulting in (4) changes in neurotrophin and neuropeptide receptors. Specifically, we studied a blood–brain barrier drug transporter pump (*ACCB1*, also called *MDR1*), which regulates drug entry into the brain (level 1), the norepinephrine, dopamine, and serotonin transporters (*SCL6A2*, *SLC6A3* and *SL6A4*) (level 2), an antidepressant-regulated transcription factor (cyclic AMP-responsive element binding protein 1 (*CREB1*)) (level 3) and two receptors (level 4): neurotrophic tyrosine kinase type 2 receptor (*NTRK2*), important in synaptic function and neural plasticity, and corticotropin-releasing hormone receptor 1 (*CRHR1*), which regulates the response to stress at the behavioral, neuroimmune and neuroendocrine—hypothalamic–pituitary–adrenal axis levels.

## Materials and methods

### *Patients and controls*

The study consisted of 272 patients (66% female, 34% male; mean age:  $38 \pm 10$ ) with MDD and 264 healthy control individuals (60% female, 40% male; average age:  $36 \pm 11$ ). MDD was defined as a DSM-IV (Diagnostic and Statistical Manual of Mental Disorders, 4th Edition) diagnosis of current, unipolar major depressive episode and a 21-item Hamilton Depression Rating Scale (HAM-D21) score of  $\geq 18$  with item number 1 (depressed mood) rated  $\geq 2$ . All MDD patients were screened for the pharmacogenetic study of antidepressant treatment response as previously described.<sup>7</sup> All MDD patients had comprehensive psychiatric and medical assessments in their primary language, on the basis of diagnostic and ratings instruments that had been fully validated in English and in Spanish. Exclusion criteria included active medical illnesses that could be etiologically

related to the ongoing depressive episode, current or active suicidal ideation with a plan and strong intent, pregnancy, lactation, current use of medications with significant central nervous system activity, which interfere with electroencephalogram (EEG) activity (for example, benzodiazepines) or any other antidepressant treatment within the 2 weeks before enrollment, illicit drug use and/or alcohol abuse in the last 3 months or current enrollment in psychotherapy. All MDD patients were Mexican-Americans and had at least three grandparents born in Mexico.

All patients had an initial comprehensive psychiatric and medical assessment and, if enrolled in the pharmacogenetic study of antidepressant treatment response, had weekly structured follow-up assessments for 9 weeks. The study consisted of two phases: a 1-week single-blind placebo lead-in phase to minimize the impact of placebo responders followed, if subjects continued to meet the inclusion criteria after phase 1, by random assignment to one of the two treatment groups: fluoxetine 10–40 mg per day or desipramine 50–200 mg per day, administered in a double-blind manner for 8 weeks. Our primary clinical outcome measure was HAM-D21 score and clinical remission on antidepressants was defined as having a final (week 8) HAM-D21 score  $< 8$ . In addition, the relative response change was also computed as the difference in HAM-D21 score between pre- and post-treatment divided by the pretreatment HAM-D21 score.

Age-, gender- and ethnicity-matched healthy control individuals were recruited from the same Mexican-American community in Los Angeles by the same bilingual clinical research team. Controls for our genomic studies were in general good health but were not screened for medical or psychiatric illness.

### *Genomic DNA collection, amplification and sequencing*

At the initial visit, after informed consent was obtained from the participating individuals, blood samples were collected into EDTA (K2EDTA) BD Vacutainer EDTA tubes (Becton Dickinson, Franklin Lakes, NJ, USA), and genomic DNA was isolated by using Gentra Puregene DNA purification kits (Gentra Systems, Indianapolis, IN, USA). DNA sequencing for seven genes was carried out in collaboration with the Sanger Institute by following ExoSeq protocol (<http://www.sanger.ac.uk/humgen/exoseq/>). Briefly, the known protein-coding regions, novel coding sequences and transcripts, exons and their flanking sequence were extracted from the Vega database (<http://vega.sanger.ac.uk/index.html>). Primers were designed automatically using Primer3 (<http://frodo.wi.mit.edu/>) to amplify DNA and primer pairs were checked for uniqueness before ordering and pre-screened to determine the optimum conditions for amplification. After amplification, a sample of the products were visualized on an agarose gel to confirm the size of the PCR product. The remaining PCR product was then cleaned up using two enzymes,

Exonuclease 1 and Shrimp Alkaline Phosphatase. Bidirectional sequencing of amplicons was carried out using Big Dye<sup>TM</sup> chemistry (Big Dye Terminator, Version 3.1; Applied Biosystems, Foster City, CA, USA). Single nucleotide polymorphisms (SNPs) were called using ExoTrace <http://www.sanger.ac.uk/humgen/exoseq/analysis.shtml>, a novel algorithm developed in-house for the detection of heterozygotes in sequence traces, which processes the sense and antisense sequence reads separately and subsequently, and combines the results to allow SNP scoring. All polymorphisms reported here had a genotyping rate of  $\geq 80\%$  and an average nucleotide call rate of 93%.

#### Genomic control genotyping

To detect potential bias due to population stratification, two approaches were used to test for hidden stratification in our data. First, 54 independent SNPs across 22 autosomal chromosomes were selected to analyze a combined sample using the genotype data download from three HapMap ethnic samples using STRUCTURE program (<http://pritch.bsd.uchicago.edu/software.html>)<sup>8,9</sup> and showed that three distinct clusters were well identified with an average proportion of at least 92% of individuals correctly assigned to the given ethnic populations (CEU, CHB + JPT, YRI). This panel of SNPs were then used as genomic control to test our sample and showed an almost equal proportion assigned to each clusters, given  $K=2, 3, 4$  in both cases and controls. Second, genotype frequencies from each of the 54 unlinked SNPs were also compared between cases and controls using the method described by Pritchard and Rosenberg<sup>10</sup> and no significant difference was found based on an overall test statistic ( $\chi^2=100.50$ , d.f. = 108,  $P=0.68$ ). Therefore, no population stratification adjustment was necessary for our association analyses.

#### Nucleotide diversity, population differentiation and Hardy–Weinberg equilibrium

Nucleotide diversity ( $\theta$ ) and its standard deviation ( $S(\theta)$ ) were calculated under the assumption of an infinite neutral allele model,<sup>11,12</sup> and all calculations were based on  $n=946$  for all the sites given that the average sample size was 473 individuals across all the polymorphisms. Population differentiation estimation was based on the pairwise  $F_{ST}$  values for the dbSNPs (single nucleotide polymorphism database hosted at the National Center for Biotechnology Information), which were both detected in our Mexican-American sample and reported in HapMap sample.  $F_{ST}$  values were calculated as described by Weir,<sup>13</sup> Weir and Cockerham,<sup>14</sup> and Weir and Hill.<sup>15</sup> In order to compare allele frequencies and to be able to treat chromosomes as independent observations, the genotype frequencies must be in Hardy–Weinberg equilibrium (HWE).<sup>16</sup> Exact testing of HWE was performed separately for healthy controls and MDD patients using the PLINK program Version 1.00 (<http://pngu.mgh.harvard.edu/~purcell/plink/>).<sup>17</sup> SNPs that

were not in HWE in the healthy control group were excluded from the allele-based association analyses of cases and controls.

#### Statistical analysis

Data preparation and descriptive statistics were carried out with SAS software (SAS Version 9.1.3, SAS Institute, Cary, NC, USA). For SNP-based association analyses of case vs control or remitter vs non-remitter, Fisher's exact test (two-tailed) was performed to compare allele and genotype distributions between depressed and healthy individuals using PLINK. In the allelic association analysis, each polymorphism was tested in controls to ensure the fitting with HWE; the odds ratio on the  $2 \times 2$  contingency table of allele counts and its 95% confidence interval were estimated using Woolf's method or fitting exact logistic regression model with SAS software when the frequency in a table cell is zero.<sup>18</sup> In genotypic association analysis, SNP effects were tested under a codominant model on the  $2 \times 3$  contingency table of genotype counts.

For the quantitative outcome (relative reduction % in HAM-D21 scores between pre- and post-treatment), the analyses based on dominant model were performed, separately, for the joint sample of patients treated with desipramine or fluoxetine and for medication-specific sample. General linear regression models were used to examine the association between genotype and relative HAM-D21 score reduction by controlling for age, gender and baseline (pretreatment) HAM-D21 score using the PLINK program. The Benjamini and Hochberg method was used to control for false discovery rate and the significance threshold was set at  $FDR_{BH} \leq 0.05$ <sup>19</sup>.

For haplotype-based association analysis, Haploview (Version 4.1, Broad Institute of MIT and Harvard, <http://www.broad.mit.edu/mpg/haploview/>), was first used to identify the haplotype blocks by applying the Four Gamete Rule<sup>20</sup> based on the SNPs with a minor allele frequency (MAF)  $\geq 0.01$  in the combined sample of cases and controls and HWE exact test  $P > 0.01$  in controls. The PLINK program was then used to examine the association of specific haplotype with depression diagnosis, clinical remission, as well as quantitative outcome of antidepressant treatment.

## Results

#### Identification of sequence variations

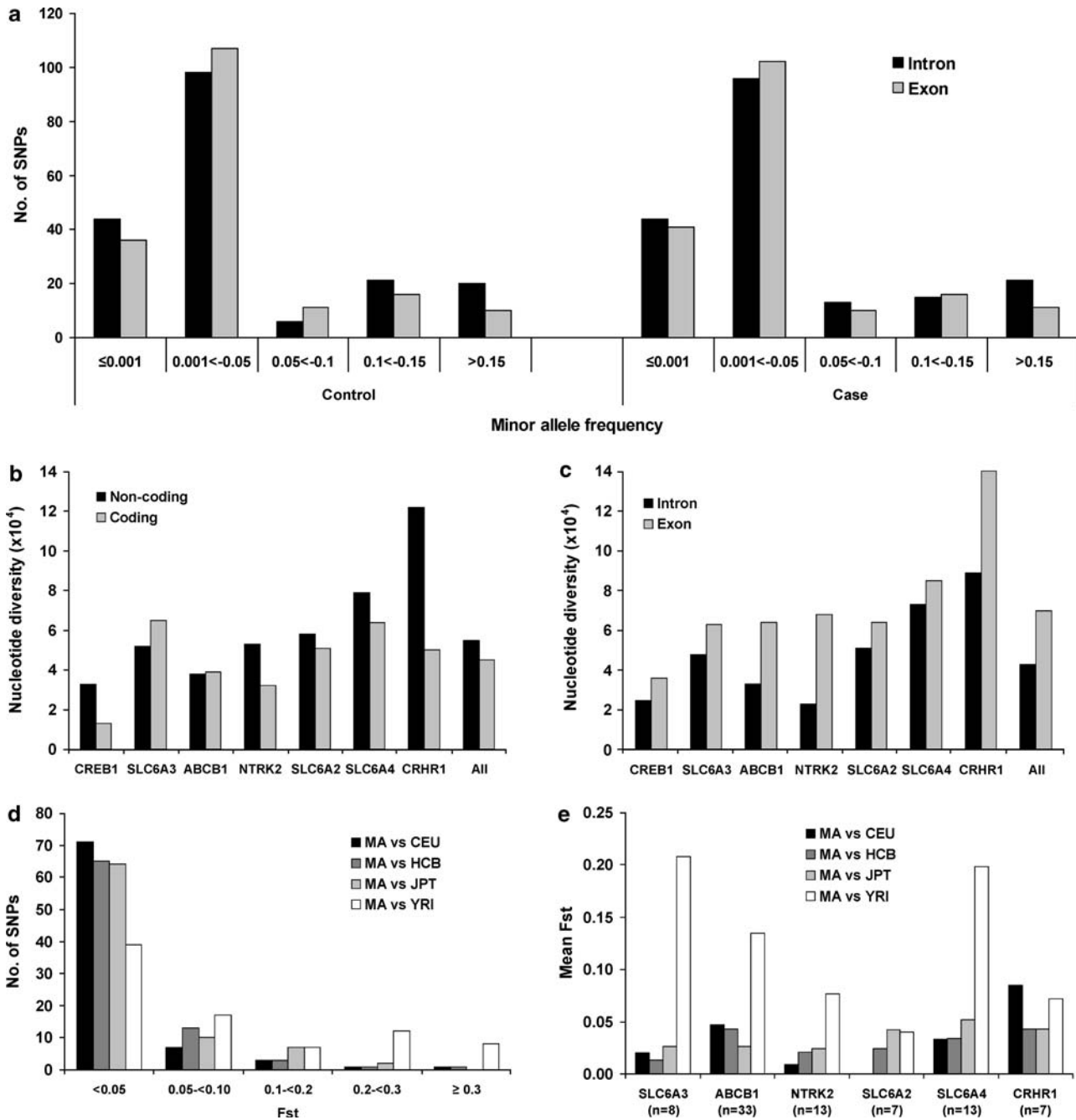
A total of 419 single nucleotide sequence variants (Table 1) were identified by re-sequencing of  $\sim 105$  kb of exonic sequence and their flanking regions in the selected seven genes in an ethnically homogeneous sample of 264 healthy controls and 272 MDD patients. Among the 419 SNPs, 204 (49%) are novel polymorphisms, not previously described, including 86 in introns, 72 in untranslated regions (UTRs), 19 (12 synonymous) in coding regions, 18 in upstream and 9 in downstream regions. Overall, 95% of the novel polymorphisms had a MAF lower than 5%,

**Table 1** Single nucleotide polymorphisms (SNPs) detected in seven candidate genes for depression in Mexican-Americans

Gene (Location)	SNP <sup>a</sup>	SNP type										Sequence screened (kb)	Nucleotide diversity (s.d.) × 10 <sup>-4</sup>
		Downstream	3' UTR	Intronic	SYN	NS	5' UTR	Upstream	All				
<i>CREB1</i> (2q34)	New	2	5	5	0	0	0	0	0	0	12	7.5	3.2 (0.9)
	dbSNP	1	2	2	1	0	0	0	0	6			
	Total	3	7	7	1	0	0	0	0	18			
<i>SLC6A3</i> (5p15.3)	New	0	2	6	2	1	0	0	7	18	12.3	5.3 (1.2)	
	dbSNP	0	7	10	5	1	0	7	30				
	Total	0	9	16	7	2	0	14	48				
<i>ABCB1</i> (7q21.1)	New	0	0	20	1	3	4	0	0	28	28.5	3.8 (0.8)	
	dbSNP	0	4	37	2	5	4	1	53				
	Total	0	4	57	3	8	8	1	81				
<i>NTRK2</i> (9q22.1)	New	0	43	10	2	2	0	0	0	57	23.6	5.1 (1.0)	
	dbSNP	0	24	6	2	0	0	0	32				
	Total	0	67	16	4	2	0	0	89				
<i>SLC6A2</i> (16q12.2)	New	0	4	10	2	1	1	11	29	13.3	5.6 (1.2)		
	dbSNP	0	4	9	2	2	0	9	26				
	Total	0	8	19	4	3	1	20	55				
<i>SLC6A4</i> (17q11.1-q12)	New	5	4	23	0	4	1	0	37	12.5	7.8 (1.6)		
	dbSNP	4	1	23	4	1	2	0	35				
	Total	9	5	46	4	5	3	0	72				
<i>CRHR1</i> (17q12-q22)	New	2	8	12	0	1	0	0	23	6.9	10.9 (2.4)		
	dbSNP	1	12	16	3	1	0	0	33				
	Total	3	20	28	3	2	0	0	56				
All seven genes	New	9	66	86	7	12	6	18	204	104.5	5.4 (1.0)		
	dbSNP	6	54	103	19	10	6	17	215				
	Total	15	120	189	26	22	12	35	419				

Abbreviations: *ABCB1*, ATP-binding cassette subfamily B member 1; *CREB1*, cyclic AMP-responsive element binding protein 1; *CRHR1*, corticotropin-releasing hormone receptor 1; NS, non-synonymous; SYN, synonymous; *NTRK2*, neurotrophic tyrosine kinase type 2 receptor; UTR, untranslated region.

<sup>a</sup>New: not reported in NCBI dbSNP database as of 30 June 2008.



**Figure 1** Minor allele frequency (MAF), nucleotide diversity and  $F_{ST}$  measure in seven candidate genes in Mexican-American major depressive disorder (MDD) patients and controls. Histograms show the total number of single nucleotide polymorphisms (SNPs) detected in intronic (black bar) and exonic (gray bar) regions in the seven genes by MAF in 272 MDD patients and 264 healthy controls (a); the nucleotide diversity in noncoding (black bar) and coding (gray bar) (b) or intronic (black bar) and exonic (gray bar) regions (c) by gene in the combined sample of 272 MDD patients and 264 healthy controls; the total number of SNPs shared by Mexican-American (MA) sample and HapMap samples by pairwise  $F_{ST}$  value (d) or represent the average  $F_{ST}$  by gene (e) in MA vs CEU (black bar), MA vs HCB (dark gray bar), MA vs JPT (gray bar) and MA vs YRI (White bar).

whereas the corresponding proportion was 57% for dbSNPs (Supplementary Table 1). Similar distribution of MAFs was seen between cases and controls for both SNPs in intronic and in exonic regions (Figure 1a). Among the 419 SNPs, the proportion of SNPs with HWE exact test  $P$ -value  $\geq 0.05$  was 92% for controls and 91% for MDD cases (Supplementary Table 1).

Nucleotide diversity was estimated for each gene by correcting for both sample size and length of the screened site (Table 1). Nucleotide diversities were comparable in *SLC6A3* ( $0.00053 \pm 0.00012$ ), *NTRK2* ( $0.00051 \pm 0.0001$ ) and *SLC6A2* ( $0.00056 \pm 0.00012$ ), but were lower in *CREB1* ( $0.00032 \pm 0.00009$ ) and ATP-binding cassette subfamily B member 1 (*ABCB1*)



( $0.00038 \pm 0.00008$ ) and appeared higher in *SLC6A4* ( $0.00078 \pm 0.00016$ ) and *CRHR1* ( $0.00109 \pm 0.00024$ ). This led to an overall nucleotide diversity of 0.00054 for all the seven genes investigated. When the nucleotide diversity was estimated separately for coding and noncoding sequence, five out of seven genes (except for *SLC6A3* and *ABCB1*) showed higher nucleotide diversity in noncoding regions when compared with coding segments (Figure 1b). However, when the nucleotide diversity was estimated separately for exonic and intronic sequence, all the seven genes showed higher nucleotide diversity in exonic regions than in intronic segments (Figure 1c). This is because of the high nucleotide diversity in untranslated regions ( $0.00088 \pm 0.00017$ ).

Among the 215 dbSNPs detected, 83 were reported in all four HapMap ethnic groups: CEU (Caucasian), YRI (African), CHB (Han Chinese) and JPT (Japanese) in the NCBI database as of 25 June 2008. Pairwise  $F_{ST}$  values between Mexican Americans (MA) and each HapMap ethnic sample were computed for the shared 83 dbSNPs. Overall, the greatest difference in allele frequencies was found between Mexican Americans and Africans with a highest mean  $F_{ST}$  of 0.126, compared with mean  $F_{ST}$  of 0.035 in MA vs CEU, 0.033 in MA vs CHB and 0.032 in MA vs JPT (Figure 1d). For the gene-specific mean  $F_{ST}$  in MA vs YRI, larger mean  $F_{ST}$  values were observed for *SLC6A3* (0.208) and *SLC6A4* (0.198), but much lower for *SLC6A2* (0.04) (Figure 1e).

#### SNP-based genetic association analyses of cases and controls

Single nucleotide polymorphism-based allelic and genotypic association analyses revealed that 16 polymorphisms were associated with MDD with a nominal  $P < 0.05$  in five genes (Table 2), including two common 3' UTR polymorphisms in *NTRK2* (rs7020204 and rs2013566) and one rare 5' UTR polymorphism in *SLC6A4* (rs28914831). Among the nine SNPs with a nominal  $P < 0.05$  in both allelic and genotypic tests, seven were uncommon polymorphisms with a MAF  $< 0.03$  in controls, including one in *CREB1* (rs3732076), two in *ABCB1* (rs4728697, rs58898486) and four in *SLC6A4* (rs7212502, rs28914831, NT\_010799.14\_3288789 and rs56355214) (Table 2 and Supplementary Table 1). Three *SLC6A4* common polymorphisms (rs7224199 and rs3813034 in upstream and rs140701) showed genotypic association, but with a small allelic odds ratio  $< 1.3$  and allelic test nominal  $P > 0.05$ . No associated SNPs remained significant after adjusting for multiple tests with an  $FDR_{BH} \leq 0.05$ .

#### SNP-based genetic association analysis of antidepressant response

In this study, there were 142 MDD patients who enrolled in the pharmacogenetic trial and completed 8-week antidepressant treatment (68 treated with desipramine and 74 treated with fluoxetine). For the discrete outcome (remission vs non-remission),

SNP-based allelic or genotypic association analyses revealed that clinical remission status was associated with several polymorphisms in or near three genes, *ABCB1*, *NTRK2* and *SLC6A2* (Table 3). All of the nine associated *NTRK2* SNPs were in 3' UTR or coding regions except for rs2289658 at a splice site, whereas the two associated *SLC6A2* SNPs were in intron or upstream region. For the *ABCB1* gene, the associated SNPs included two in UTR, two in introns and one in coding sequence. No associated SNPs remained significant after adjusting for multiple tests with an  $FDR_{BH} \leq 0.05$  in the discrete outcome analysis.

For the quantitative outcome (relative reduction in HAM-D21 score) after controlling for age, gender and baseline HAM-D21 score, general linear regression analyses revealed that relative reduction of HAM-D21 scores was associated with six *NTRK2* SNPs (three in 3' UTR, two synonymous and one intronic at splice site) and one *SLC6A3* intronic SNP rs8179029 in desipramine-treated patients, two *SLC6A2* upstream SNPs in fluoxetine-treated patients and one *SLC6A3* intronic SNP rs8179029 for combined sample, with a nominal  $P < 0.01$  (Table 4). Among the associated SNPs, only two *NTRK2* synonymous SNPs, rs2289657 and rs56142442, remained statistically significant after correcting for multiple testing with an  $FDR_{BH} = 0.05$  in the sample of patients treated with desipramine. Desipramine-treated patients who are homozygous for C allele at synonymous SNP rs2289657 or at rs56142442 had higher levels of improvement with 27% larger reduction in HAM-D21 scores, compared with those who are not homozygous for C allele at rs2289657 or rs56142442.

#### Haplotype-based analyses

Haplotype analysis identified a total of 17 haplotype blocks in the seven genes using the Four Gametes Rules with the Haploview program, including one block in *CREB1*, two blocks in each of *SLC6A3*, *SLC6A4* and *CRHR1*, three blocks in each of *ABCB1* and *SLC6A2*, and four blocks in *NTRK2* (Figure 2). For the association analysis of case and control, the diagnosis of depression was found to be associated with five haplotypes with a nominal  $P$ -value between 0.01 and 0.05 in *CREB1*, *SLC6A3*, *ABCB1*, *NTRK2* and *SLC6A2*. Among the five depression-associated haplotypes, four included at least one SNP showing an association with depression in the single SNP-based analysis (Table 5). For the association of remitter and non-remitter, eight haplotypes were found to be associated with remission status, including two in *ABCB1* (ACA in block 1 for desipramine-treated patients and GCGCACACGAGAC in block 2 for fluoxetine-treated patients), two in *NTRK2* (TCG and CAG in block 3 for desipramine-treated patients), one in *SLC6A2* (GCCAGT in block 4 for desipramine-treated patients) and three in *SLC6A4* (TAGC and TAGA in block 1 and ATTGTAACCC in block 2 for the combined sample of desipramine- or fluoxetine-treated patients). Among the eight remission-associated haplotypes, three showed an association with a

**Table 2** Polymorphisms associated with depression in Mexican-Americans

Gene	SNP	Chromosome	Position	SNP type	Risk/non-risk allele	Risk allele frequency			P	
						Case	Control	OR <sup>a</sup> (95% CI)	Allelic	Genotypic
<i>CREB1</i>	rs3730276	2	208140591	INT	A/G	0.998	0.977	11.45 (1.46–89.77)	0.004	0.003
	rs8179029	5	1462985	INT	C/T	0.910	0.856	1.71 (1.12–2.58)	0.02	0.02
<i>SLC6A3</i>	rs2550936	5	1464256	INT	A/C	0.839	0.799	1.31 (0.94–1.83)	0.13	0.004
	rs4728697	7	86986874	INT	A/G	0.060	0.028	2.24 (1.18–4.28)	0.01	0.02
<i>ABCB1</i>	rs2032583	7	86998497	INT	A/G	0.936	0.899	1.64 (1.04–2.59)	0.04	0.10
	rs58898486	7	87063142	INT	G/T	0.998	0.984	8.40 (1.05–67.39)	0.02	0.02
<i>NTRK2</i>	rs7020204	9	86616007	INT, 3' UTR	C/T	0.899	0.850	1.57 (1.07–2.32)	0.02	0.05
	rs2013566	9	86616118	INT, 3' UTR	A/G	0.876	0.828	1.47 (1.02–2.10)	0.04	0.13
<i>SLC6A4</i>	rs7224199	17	25547852	Downstream	G/T	0.411	0.369	1.19 (0.92–1.55)	0.19	0.004
	rs3813034	17	25548930	Downstream	A/C	0.454	0.399	1.25 (0.98–1.60)	0.08	0.040
	rs140701	17	25562658	INT	C/T	0.454	0.400	1.25 (0.97–1.61)	0.09	0.01
	rs7212502	17	25573328	INT	A/G	1.000	0.987	8.56 (1.23–∞)	0.01	0.01
	rs28914831	17	25573988	5' UTR	G/T	1.000	0.988	8.37 (1.20–∞)	0.02	0.01
	rs2066713	17	25575791	INT	A/G	0.329	0.263	1.37 (1.04–1.80)	0.03	0.004
NT_010799.14_3288789	rs56355214	17	25575922	INT	C/G	1.000	0.988	8.63 (1.24–∞)	0.01	0.01
	rs56355214	17	25576325	INT	G/A	1.000	0.988	8.47 (1.22–∞)	0.01	0.01

Abbreviations: *ABCB1*, ATP-binding cassette subfamily B member 1; CI, confidence interval; *CREB1*, cyclic AMP-responsive element binding protein 1; INT, Intronic; *NTRK2*, neurotrophic tyrosine kinase type 2 receptor; OR, odds ratio; SNP, single nucleotide polymorphism; UTR, untranslated region.  
<sup>a</sup>OR: calculation based on allele count and 95% CI based on exact logistic model when one cell count is 0.

**Table 3** Polymorphisms associated with remission after 8-week antidepressant treatment with desipramine or fluoxetine

Medication	Gene	SNP	Chromosome	Position	SNP type	Better/poor response allele	Better allele frequency			Fisher's exact P	
							Remitter	Non-remitter	OR <sup>a</sup> (95% CI)	Allelic	Genotypic
Desipramine or fluoxetine (N = 142)	<i>ABCB1</i>	rs3842	7	86971302	3' UTR	C/T	0.203	0.094	2.44 (1.14–5.24)	0.02	0.11
		rs17064	7	86971406	3' UTR	A/T	0.100	0.028	3.81 (1.08–13.5)	0.03	0.11
	<i>NTRK2</i>	rs45596934	9	86618439	INT, 3' UTR	A/G	0.171	0.123	1.47 (0.74–2.94)	0.31	0.002
		NT_023935.17_16593339	9	86618627	INT, 3' UTR	T/C	0.177	0.123	1.53 (0.77–3.05)	0.24	0.002
		NT_023935.17_16593340	9	86618628	INT, 3' UTR	G/A	0.181	0.123	1.58 (0.79–3.15)	0.24	0.001
		rs1624327	9	86619110	INT, 3' UTR	A/G	0.321	0.224	1.64 (0.95–2.83)	0.08	0.021
		NT_023935.17_16651920	9	86677208	INT, 3' UTR	G/C	0.961	0.880	3.35 (1.14–9.86)	0.02	0.05
		rs2289658	9	86753190	INT, splice site	T/C	0.885	0.778	2.19 (1.12–4.28)	0.03	0.05
		rs17064	7	86971406	3' UTR	A/T	0.132	0.018	8.39 (1.03–68.4)	0.02	0.14
		<i>NTRK2</i>	NT_023935.17_16593339	9	86618627	INT, 3' UTR	T/C	0.157	0.113	1.47 (0.53–4.05)	0.61
NT_023935.17_16593340	9		86618628	INT, 3' UTR	G/A	0.167	0.113	1.57 (0.57–4.35)	0.45	0.02	
rs11140793	9		86681300	INT, 3' UTR	A/C	0.882	0.726	2.83 (1.12–7.14)	0.03	0.05	
rs2289658	9		86753190	INT, splice site	T/C	0.909	0.742	3.48 (1.26–9.59)	0.02	0.04	
rs2289657	9		86753280	SYN	C/A	0.955	0.823	4.53 (1.20–17.1)	0.02	0.04	
rs56142442	9		86826085	SYN	C/T	0.957	0.906	2.31 (0.55–9.65)	0.31	0.05	
<i>SLC6A2</i>	rs5564	16	54283476	INT	G/A	0.141	0.000	11.92 (1.81– $\infty$ )	0.004	0.04	
	rs1128503	7	87017537	SYN	G/A	0.522	0.304	2.49 (1.18–5.30)	0.02	0.05	
Fluoxetine (N = 74)	<i>ABCB1</i>	rs10276036	7	87018134	INT	T/C	0.550	0.320	2.59 (1.24–5.44)	0.01	0.04
		rs2235020	7	87037201	INT	T/A	0.539	0.318	2.50 (1.15–5.43)	0.02	0.09
	<i>NTRK2</i>	rs1624327	9	86619110	INT, 3' UTR	A/G	0.348	0.212	1.99 (0.90–4.39)	0.09	0.04
		NT_023935.17_16651920	9	86677208	INT, 3' UTR	G/C	0.972	0.864	5.52 (1.06–28.7)	0.05	0.04
	<i>SLC6A2</i>	rs1362621	16	54245985	Upstream	A/G	0.872	0.731	2.52 (1.06–5.96)	0.04	0.03

Abbreviations: *ABCB1*, ATP-binding cassette subfamily B member 1; CI, confidence interval; INT, intronic; *NTRK2*, neurotrophic tyrosine kinase type 2 receptor; OR, odds ratio; SNP, single nucleotide polymorphism; UTR, untranslated region; SYN, synonymous.

<sup>a</sup>OR: calculation based on allele count and 95% CI based on exact logistic model when one cell count is 0.



**Table 4** Polymorphisms associated with relative reduction of HAM-D21 score after 8-week antidepressant treatment with desipramine or fluoxetine

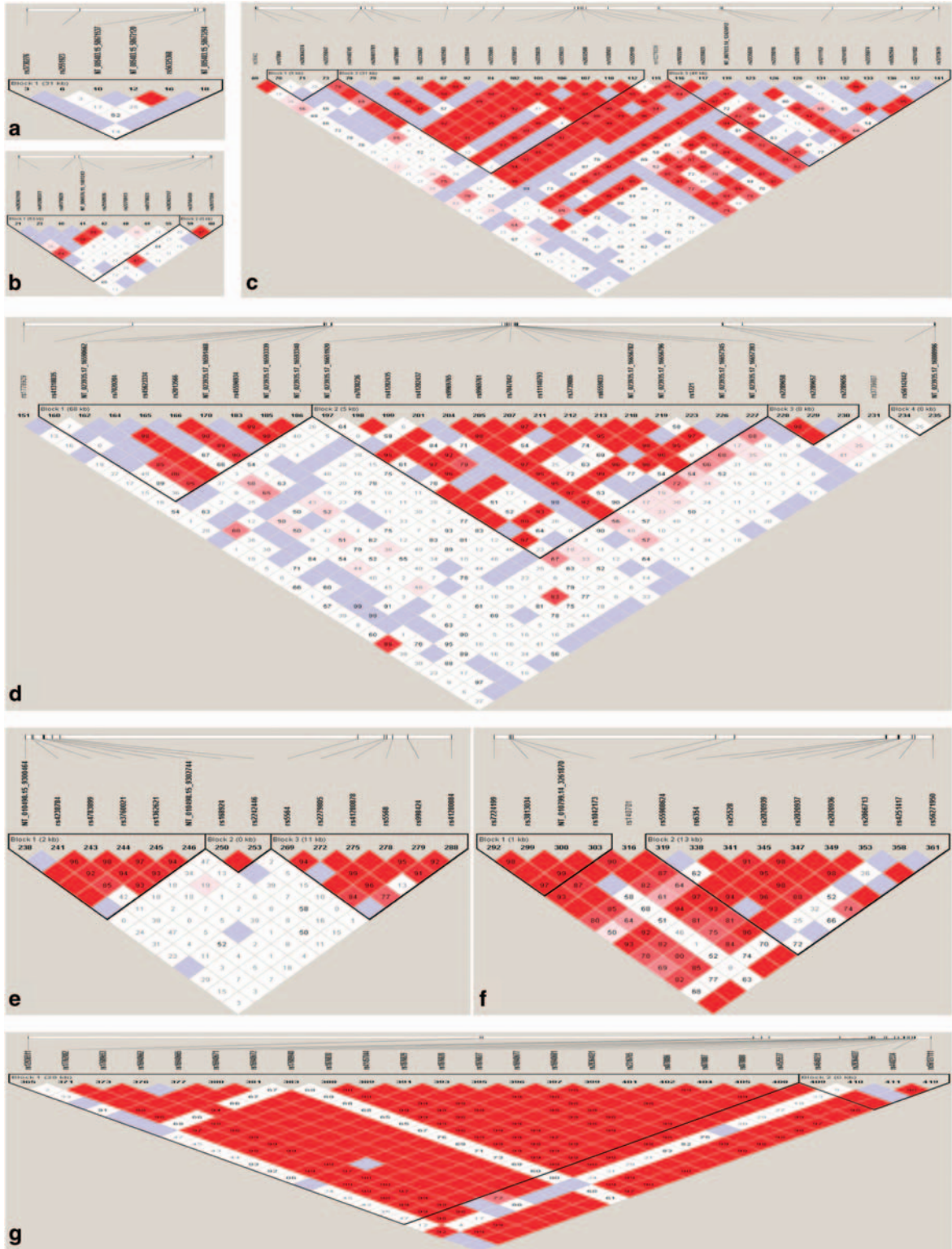
Medication	Gene	SNP	Chromosome	Position	SNP type	Genotype	N	Mean (s.d.)	Delta <sup>c</sup> (95% CI)	P <sup>b</sup>	FDR <sub>BH</sub> <sup>e</sup>	
Desipramine or fluoxetine	ABCB1	rs2214103	7	87062884	INT	CC	132	64.26 (21.35)	21.45 (5.29–37.61)	0.01	0.72	
						CG	8	46.44 (32.92)				
	NTRK2	rs9969765	9	86679605	INT, 3' UTR	CC	46	69.48 (19.13)	10.96 (3.11–18.81)	0.007	0.72	
						GG/CG	87	58.79 (23.81)				
	SLC6A2	NT_010498.15_9300464	rs2289657	9	86753280	SYN	CC	106	65.38 (20.35)	12.74 (3.32–22.15)	0.009	0.72
							AA/AC	26	52.48 (29.11)			
TG							15	77.25 (21.72)	18.49 (6.60–30.39)	0.003	0.72	
TT							121	60.69 (22.06)				
Desipramine	SLC6A3	rs8179029	5	1462985	INT	CC	54	61.32 (20.05)	29.79 (9.04–50.54)	0.007	0.56	
						TT/TC	6	27.05 (39.26)				
Fluoxetine	NTRK2	rs7038236	9	86678222	INT, 3' UTR	CC	37	63.52 (18.98)	16.97 (4.73–29.22)	0.009	0.56	
						AA/AC	18	48.02 (24.77)				
	NTRK2	rs11140793	9	86681300	INT, 3' UTR	AA	43	62.71 (23.47)	18.30 (5.74–30.86)	0.006	0.56	
						CC/AC	22	46.39 (23.30)				
	NTRK2	rs2289658	9	86753190	INT, splice site	TT	44	62.94 (20.19)	18.00 (5.32–30.69)	0.007	0.56	
						CC/TC	20	43.57 (27.86)				
Fluoxetine	SLC6A2	NT_010498.15_9300464	9	86753280	SYN	CC	51	62.11 (19.93)	26.83 (13.39–40.27)	0.0002	0.05	
						AA/AC	13	36.40 (29.89)				
Fluoxetine	SLC6A2	NT_010498.15_9300464	9	86826085	SYN	CC	59	60.70 (19.89)	33.17 (17.15–49.19)	0.0001	0.05	
						TT/TC	8	28.92 (34.90)				
Fluoxetine	SLC6A2	NT_010498.15_9300464	16	54243766	Upstream	TG	10	85.09 (13.13)	20.55 (8.23–32.87)	0.002	0.46	
						TT	60	65.69 (18.37)				
Fluoxetine	SLC6A2	NT_010498.15_9300464	16	54244713	Upstream	TG	24	76.99 (15.15)	15.02 (5.37–24.68)	0.003	0.47	
						GG/TC	48	64.22 (20.09)				

Abbreviations: ABCB1, ATP-binding cassette subfamily B member 1; CI, confidence interval; HAM-D21, 21-item Hamilton Depression Rating Scale; INT, intronic; NTRK2, neurotrophic tyrosine kinase type 2 receptor; SNP, single nucleotide polymorphism; UTR, untranslated region; SYN, synonymous.

<sup>a</sup>Delta: adjusted mean difference in relative reduction of HAM-D21 score.

<sup>b</sup>P: based on general linear model after controlling for age, gender and baseline HAM-D21 score.

<sup>c</sup>Benjamini-Hochberg false discovery rate after correcting for multiple testing.



**Figure 2** Linkage disequilibrium (LD) pattern in seven genes: cyclic AMP-responsive element binding protein 1 (*CREB1*) (a), *SLC6A3* (b), ATP-binding cassette subfamily B member 1 (*ABCB1*) (c), neurotrophic tyrosine kinase type 2 receptor (*NTRK2*) (d), *SLC6A2* (e), *SLC6A4* (f) and corticotropin-releasing hormone receptor 1 (*CRHR1*) (g). Standard color scheme in Haploview program is used to display the level of logarithm of odds (LODs) and the  $D'$ . Shown in each box are estimated statistics of the  $D'$ , which indicates the LD relationship between each pair of single nucleotide polymorphisms (SNPs) and are not labeled if  $D' = 1.00$ . Regions are shown in bright red, light blue, shades of pink/red and white for  $D' = 1 + \text{LOD} \geq 2$ ,  $D' < 1 + \text{LOD} \geq 2$ ,  $D' < 1 + \text{LOD} < 2$  and  $D' < 1 + \text{LOD} < 2$ , respectively. Vertical lines on the long horizontal white indicate the relative positions of SNPs in the gene.

**Table 5** Haplotypes associated with depression or clinical remission after 8-week antidepressant treatment

Sample	Gene	Block no.	Haplotype <sup>a</sup>	Frequency		$\chi^2$	d.f.	P
				Case	Control			
MDD patients and healthy controls	<i>CREB1</i>	Block 1	<u>GCACGG</u>	0.003	0.018	5.71	1	0.02
	<i>SLC6A3</i>	Block 1	CGTGC <sup>U</sup> GGT	0.089	0.134	4.83	1	0.03
	<i>ABCB1</i>	Block 2	GCACAC <sup>U</sup> CGAGAC	0.060	0.028	6.12	1	0.01
	<i>NTRK2</i>	Block 1	<u>GTTAGGGCA</u>	0.094	0.133	3.96	1	0.05
	<i>SLC6A2</i>	Block 3	<u>ACCAGA</u>	0.004	0.017	3.98	1	0.05
MDD patients treated with desipramine or fluoxetine	<i>ABCB1</i>	Block 1	<u>ACA</u>	0.101	0.029	4.72	1	0.03
	<i>NTRK2</i>	Block 3	TCG	0.839	0.717	5.63	1	0.02
	<i>SLC6A4</i>	Block 1	<u>TAGC</u>	0.006	0.047	5.11	1	0.02
		Block 1	TAGA	0.048	0.003	4.92	1	0.03
		Block 2	ATTGTAACC	0.028	0.081	4.00	1	0.05
MDD patients treated with desipramine	<i>ABCB1</i>	Block 1	<u>ACA</u>	0.132	0.018	5.31	1	0.02
	<i>NTRK2</i>	Block 3	TCG	0.865	0.679	6.35	1	0.01
		Block 3	<u>CAG</u>	0.045	0.177	5.74	1	0.02
	<i>SLC6A2</i>	Block 3	<u>GCCAGT</u>	0.134	0.012	6.79	1	0.009
MDD patients treated with fluoxetine	<i>ABCB1</i>	Block 2	GCGCACACGAG <u>AC</u>	0.503	0.682	4.08	1	0.04
	<i>SLC6A4</i>	Block 1	TAGC	0.000	0.085	8.37	1	0.004

Abbreviations: *ABCB1*, ATP-binding cassette subfamily B member 1; *CREB1*, cyclic AMP-responsive element binding protein 1; MDD, major depressive disorder; *NTRK2*, neurotrophic tyrosine kinase type 2 receptor; SNP, single nucleotide polymorphism.

<sup>a</sup>Letters with underline indicate the SNPs also showing an association with a nominal  $P < 0.05$  in the corresponding SNP-based analysis.

nominal  $P \leq 0.01$ : TCG in block 3 of *NTRK2* and GCCAGT in block 4 of *SLC6A2* ( $P = 0.009$ ) for desipramine-treated patients, and TAGC in block 1 of *SLC6A4* for fluoxetine-treated patients ( $P = 0.004$ ) (Table 5).

For quantitative outcome analysis of antidepressant treatment, 15 haplotypes were found to be associated with the relative reduction in HAM-D21 score after controlling for age, gender and baseline HAM-D21 score (Table 6). Among the 15 associated haplotypes, 2 in *SLC6A3* and 3 in *NTRK2* showed a correlation with a nominal  $P < 0.004$  in desipramine-treated patients, and 2 in *SLC6A2* showed an association with a nominal  $P < 0.008$  in fluoxetine-treated patients. The most significant association was found between *NTRK2* haplotype CAG (rs2289658, rs2289657 and rs2289656) and relative reduction of HAM-D21 score with a nominal  $P = 0.0002$  and an effect size of squared  $R = 0.20$  (Table 6).

## Discussion

In this study, we analyzed the fine structure of seven genes that are relevant to the pathophysiology of MDD or to antidepressant response at four sequential levels: (1) entry into the brain, (2) binding to

monoaminergic transporters, and (3) distal effects at the transcription level, resulting in (4) changes in neurotrophin and neuropeptide receptors. We observed new alleles in all seven genes in Mexican-Americans. We described a total of 204 novel SNPs (Table 1), which almost doubled the number of reported SNPs in these genes that was detected in these individuals (total of dbSNPs was 215). The number of novel SNPs identified in these Mexican-American subjects ranged from 12 to 57, and in the case of *CREB1*, the total number of SNPs tripled from 6 to 18. Most of the novel SNPs reported here had MAF lower than 5% (Supplementary Table 1). Higher nucleotide diversity was found in the exonic regions of these genes, particularly in UTRs (Figure 2b). Only a small number of the novel SNPs were in coding regions<sup>19</sup> and of those <40% (7) were non-synonymous. Analyses of HapMap data on four ethnic groups found different allele frequencies, with the greatest differences between Mexican Americans and Africans (Figure 1d).

Our analyses revealed nominal associations of eight SNPs and four haplotypes with susceptibility for MDD; those SNPs and haplotypes were located in four genes, *ABCB1*, *CREB1*, *NTRK2* and *SLC6A3*. In addition, eight SNPs in *SLC6A4* and one haplotype

**Table 6** Haplotypes associated with relative reduction of HAM-D21 score after 8-week antidepressant treatment

Medication	Gene	Block no.	Haplotype <sup>a</sup>	N	$\beta^b$	$R^2$ <sup>c</sup>	t	P	
Desipramine or fluoxetine	<i>SLC6A3</i>	Block 1	CGCGAAGT	133	40.95	0.07	3.14	0.002	
		Block 1	CGTGCGGT	133	17.01	0.05	2.69	0.008	
	<i>ABCB1</i>	Block 3	TCTTACCGATCG	141	27.17	0.05	2.58	0.01	
		<i>NTRK2</i>	Block 2	GCACGCCATTGGCAC	140	-5.85	0.03	-2.20	0.03
	Block 3		CAG	132	14.58	0.07	3.16	0.002	
	<i>SLC6A2</i>	Block 3	TCC	132	-7.49	0.04	-2.35	0.02	
		Block 4	CA	134	-11.22	0.04	-2.36	0.02	
		Block 1	GATGAT	140	-15.88	0.04	-2.46	0.01	
		Block 1	TAGGGT	140	10.00	0.03	2.10	0.04	
		Desipramine	<i>SLC6A3</i>	Block 1	CGTGCGGT	65	36.51	0.15	3.29
Block 1				CGCGAGGT	65	-15.77	0.12	-2.99	0.004
<i>NTRK2</i>	Block 2		GAACCCGCTCGGTAC	66	13.69	0.09	2.47	0.02	
	Block 2		GCACGCCATTGGCAC	66	-9.68	0.08	-2.30	0.02	
Block 3	CAG		64	24.02	0.20	3.90	0.0002		
	TCC		64	-11.55	0.09	-2.49	0.02		
Block 4	CA		65	-20.49	0.15	-3.29	0.002		
	TA		65	22.05	0.13	3.02	0.004		
<i>SLC6A4</i>	Block 1	GAGA	67	10.65	0.08	2.37	0.02		
Fluoxetine	<i>NTRK2</i>	Block 2	CCACCCACCATCTC	72	14.21	0.06	2.16	0.03	
		<i>SLC6A2</i>	Block 1	GATGAT	73	-18.92	0.10	-2.88	0.005
	Block 1		TAGGGT	73	14.54	0.10	2.73	0.008	
	<i>SLC6A4</i>	Block 2	GT	67	-13.66	0.06	-2.03	0.05	
		Block 1	GAAA	74	28.05	0.06	2.08	0.04	

Abbreviation: *ABCB1*, ATP-binding cassette subfamily B member 1; HAM-D21, 21-item Hamilton Depression Rating Scale; *NTRK2*, neurotrophic tyrosine kinase type 2 receptor; SNP, single nucleotide polymorphism.

<sup>a</sup>Letters with underline indicate the SNPs also showing an association with a nominal  $P < 0.05$  in the corresponding SNP-based analysis.

<sup>b</sup> $\beta$ : regression coefficient after controlling for age, gender and baseline HAM-D21 score.

<sup>c</sup> $R^2$ : proportion variance explained.

in *SLC6A2* were also associated with MDD (Tables 2 and 5). However, some of these SNPs were not very common (MAF < 0.03 in controls).

Nominal associations with several polymorphisms were also found for treatment response of 142 MDD patients who completed 8-week antidepressant treatment with desipramine or fluoxetine. Discrete outcome analyses (remitters vs non-remitters) showed that SNPs and haplotypes in *ABCB1* and *NTRK2* were associated with response. Variation in *SLC6A2* and one haplotype in *SLC6A4* were also associated with remission status. Quantitative outcome analyses showed that SNPs and haplotypes in *ABCB1*, *NTRK2* and *SLC6A2* were associated with relative HAM-D21 score reduction, but only two SNPs and one haplotype in *NTRK2* remained significant for desipramine treatment after correcting for multiple testing.

Our data show that variations in six out of seven genes were associated with MDD or antidepressant response. Briefly, (i) SNPs in *ABCB1* (located at 7q21.1), which is also called multidrug resistance 1, were associated with MDD and antidepressant response. *ABCB1* encodes a large transmembrane transporter protein that acts as an active efflux pump

transporting a wide range of drugs from the brain to the blood. Polymorphisms in this gene have been reported to predict the response to antidepressant treatment to drugs that are substrates for this transporter.<sup>21</sup> (ii) SNPs in the *CREB1* gene were associated with MDD. *CREB* (cyclic AMP response element-binding protein, located at 2q32.2-q34) encodes a transcription factor that modulates key growth factors important for synaptogenesis and neurogenesis. Sequence variations in the promoter and intronic regions of the *CREB1* gene have previously been described to be cosegregated with mood disorders in women.<sup>22</sup> 3) SNPs in *NTRK2* (located at 9q22.1) were associated with susceptibility to MDD and antidepressant response. Furthermore, two SNPs and one haplotype in *NTRK2* continued to be significantly associated with relative reduction of HAM-D21 scores in the desipramine-treated group, after controlling for age, gender and baseline HAM-D21 scores. *NTRK2*, also known as tyrosine kinase receptor B, and its ligand, brain-derived neurotrophic factor, regulate short- and long-term synaptic functions and neural plasticity. *NTRK2* variants have been recently associated with obsessive-compulsive



disorder in female patients.<sup>23</sup> (iv) SNPs in *SLC6A2* (noradrenaline transporter, located at 16q12.2) were associated with remission status and relative reduction of HAM-D21 scores, and one haplotype in this gene (ACCAGA) was associated with MDD. *SLC6A2* gene encodes a transporter, which regulates norepinephrine (noradrenaline) homeostasis and the reuptake of norepinephrine into presynaptic nerve terminals.<sup>24</sup> *SLC6A2* polymorphisms have been reported to be associated with depression<sup>25,26</sup> and response to antidepressants.<sup>27,28</sup> (v) SNPs or haplotypes in *SLC6A3* (dopamine transporter or DAT1, located at 5p15.33), which encodes a transporter that is important in dopaminergic neurotransmission, were associated with risk for MDD or relative reduction of HAM-D21. This transporter mediates the active re-uptake of synaptic dopamine.<sup>29</sup> Variations in this gene have already been implicated in susceptibility for mood disorders<sup>30,31</sup> and antidepressant action.<sup>32</sup> Other neuropsychiatric conditions have also been associated with *SLC6A3*, such as parkinsonism,<sup>33</sup> attention-deficit hyperactivity disorder,<sup>34,35,36</sup> Tourette's syndrome and addictive behavior.<sup>37,38</sup> 6) *SLC6A4* (serotonin transporter, located at 17q11.1-q12) encodes a transporter, which mediates antidepressant action, and behavioral effects of cocaine and amphetamines. Sequence variations in *SLC6A4* have been extensively queried and they may be associated with several neuropsychiatric conditions, including MDD,<sup>39,40,41</sup> anxiety-related personality traits<sup>42</sup> and antidepressant response.<sup>43,44</sup> Our findings support that variations in *SLC6A4* are associated with MDD risk. Haplotypes in *SCL6A4* have also been associated with remission status and reduction of HAM-D21 scores.

The analyses presented here have not shown that variations in *CRHR1* (located at 17q12-q22) gene are associated with susceptibility to MDD or antidepressant response. It can be noted that the current analyses have not taken anxiety levels into consideration. *CRHR1* encodes the receptor of CRH, a key stress hormone that regulates the response to stress at the behavioral, immune, autonomic and neuroendocrine levels, through the activation of the hypothalamic-pituitary-adrenal axis. Polymorphisms in *CRHR1* were reported to be associated with antidepressant response, but only when anxiety scores are taken in consideration,<sup>45,46</sup> and with seasonal pattern and early onset of first depressive episode.<sup>47</sup>

In summary, we show that substantial levels of sequence variation, especially those that are not very common (MAF >5%), are likely to be found in candidate genes in an ethnically defined and understudied group. In this population group, for example, half of the SNPs detected were novel. Therefore, deep sequencing data may be relevant to our understanding of common and complex disorders, such as major depression, particularly in minority populations. Our analyses showed that several sequence variations and haplotypes in six out of seven selected genes were nominally associated with MDD risk and/or

antidepressant treatment response and that after controlling for age, gender and baseline HAM-D21 score, as well as correcting for multiple testing, there was a significant association of antidepressant response with two *NTRK2*-coding SNPs and one haplotype. Our findings suggest that these variants may be implicated in the pathophysiology of MDD. The Mexican Americans are the most rapidly growing population group in the United States, but remain under represented in research studies. These results highlight the importance of direct re-sequencing of key candidate genes in ethnic minority groups in order to discover novel genetic variants that cannot be simply inferred from existing databases.

### Conflict of interest

The authors declare no conflict of interest.

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