



## ARTICLE

## Deep phenotyping in 3q29 deletion syndrome: recommendations for clinical care

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**PURPOSE:** To understand the consequences of the 3q29 deletion on medical, neurodevelopmental, psychiatric, brain structural, and neurological sequelae by systematic evaluation of affected individuals. To develop evidence-based recommendations using these data for effective clinical care.

**METHODS:** Thirty-two individuals with the 3q29 deletion were evaluated using a defined phenotyping protocol and standardized data collection instruments.

**RESULTS:** Medical manifestations were varied and reported across nearly every organ system. The most severe manifestations were congenital heart defects (25%) and the most common were gastrointestinal symptoms (81%). Physical examination revealed a high proportion of musculoskeletal findings (81%). Neurodevelopmental phenotypes represent a significant burden and include intellectual disability (34%), autism spectrum disorder (38%), executive function deficits (46%), and graphomotor weakness (78%). Psychiatric illness manifests across the lifespan with psychosis prodrome (15%), psychosis (20%), anxiety disorders (40%), and attention deficit–hyperactivity disorder (ADHD) (63%). Neuroimaging revealed structural anomalies of the posterior fossa, but on neurological exam study subjects displayed only mild or moderate motor vulnerabilities.

**CONCLUSION:** By direct evaluation of 3q29 deletion study subjects, we document common features of the syndrome, including a high burden of neurodevelopmental and neuropsychiatric phenotypes. Evidence-based recommendations for evaluation, referral, and management are provided to help guide clinicians in the care of 3q29 deletion patients.

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## INTRODUCTION

Individuals with 3q29 deletion syndrome (OMIM 609425) are hemizygous for a 1.6-Mb interval containing 21 protein coding genes.<sup>1</sup> The syndrome (prevalence ~1 in 30,000) is associated with reduced birth weight, failure to thrive, heart defects, intellectual disability, anxiety disorder, autism spectrum disorder, and schizophrenia.<sup>1–6</sup> Case reports have provided a rich source of data on individual patients (reviewed in<sup>2</sup>). However, phenotyping in case reports is not systematic, and can lead to bias in the curation of associated symptoms.<sup>2</sup> Large cohorts of de-identified individuals ascertained for a specific phenotype (such as schizophrenia<sup>5</sup>) provide evidence of increased risk for a single manifestation, but do not inform about the broader phenotypic spectrum. Systematic self-report of phenotypes from registries are emerging but are hampered by limitations of self-reported data.<sup>3,4</sup> For these reasons a comprehensive, unbiased characterization of the syndrome is needed.<sup>7</sup>

The present study addresses these knowledge gaps; our clinical team has directly and systematically evaluated 32 study subjects with the canonical 1.6-Mb 3q29 deletion using a standardized phenotyping protocol that includes gold-standard instruments.<sup>7</sup>

These data have revealed new aspects of 3q29 deletion syndrome and provide guidance for the management of patients.

## MATERIALS AND METHODS

## Study subject eligibility

The study design has been previously described.<sup>7</sup> Briefly, individuals were recruited from the 3q29 registry<sup>3</sup> (3q29deletion.org). Inclusion criteria were validated clinical diagnosis of 3q29 deletion syndrome where the subject's deletion overlapped the canonical region (hg19, chr3:195725000–197350000) by ≥80%, and willingness and ability to travel to Atlanta, Georgia. Exclusion criteria were any 3q29 deletion with less than 80% overlap with the canonical region, nonfluency in English, and age younger than six years. One exception to the age criterion was made: a 4.85-year-old who was part of a previously described multiplex family was included in the current study.<sup>8</sup>

## Ethics statement

After informed consent, travel was arranged. Informed consent was received from all participating study subjects. An informed consent session was conducted prior to the study visit, and repeated in-person at the beginning of the study visit.

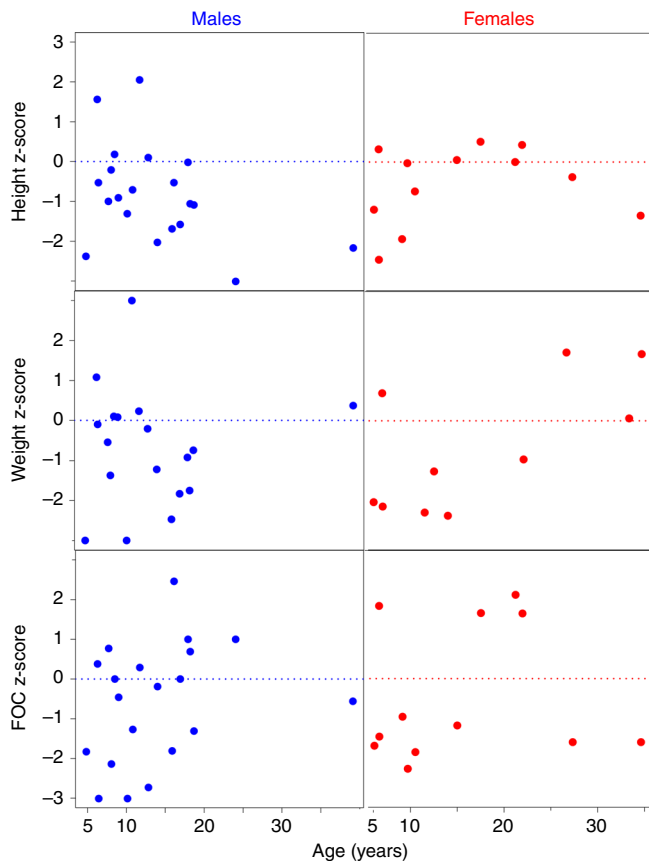
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**Fig. 1** Z-scores for height, weight, and frontal occipital circumference (FOC) as a function of age, for males (blue, left panels) and females (red, right panels). These data indicate the 3q29 deletion has an impact toward diminished height, weight, and FOC, and this is more pronounced at younger ages.

### Evaluations

All study subjects were evaluated over two days. Subjects were directed to take medications as they normally would. Evaluations were conducted as described in the following sections (summarized in Table S1).

**Medical history and physical examination.** Parents completed a comprehensive medical history (Supplementary Materials), reviewed during the visit with a medical geneticist (E.B., R.S.R., M.J.G.), who also completed a physical examination of the study subject. Following study visits, the medical geneticists met to identify relevant characteristics by system and classify characteristics using Human Phenotype Ontology (HPO) terminology (<https://hpo.jax.org/app/>). Assessment of craniofacial features is reported elsewhere.<sup>9</sup> Z-scores and percentiles for height, weight, and head circumference were determined according to *The Handbook of Physical Measurements*.<sup>10</sup> Four study subjects taking antipsychotic medications reported rapid weight gain upon antipsychotic use; these individuals were excluded from the weight analysis in Fig. 1.

**Neurodevelopmental evaluation.** The systematic battery included gold-standard instruments for assessment of cognitive ability, adaptive behavior, visual-motor integration (VMI), autism spectrum disorder, and executive function (Table S1). Cognitive testing, VMI, and autism assessments were carried out by clinical psychologists (C.A.S., C.K., S.P.W.) early in the day to reduce fatigue. Adaptive behavior and executive function instruments were completed by the parent or caretaker electronically via publisher websites (Pearson Q-global and PARiconnect, respectively) either before or during the visit.

**Psychiatric evaluation.** Instruments were deployed to assess anxiety, general psychopathology, and schizophrenia prodrome/psychosis. Psychosis and prodromal psychosis were evaluated in individuals age 8 years and

older ( $n = 21$ ); at younger ages, developmentally appropriate magical thinking cannot be distinguished from true psychosis. All instruments were administered by trained personnel. A qualitative assessment of global mental status was conducted by team psychiatrists (J.F.C., M.T.E.) to supplement results from formal assessments.

**Neuroimaging.** Magnetic resonance imaging (MRI) data were collected from a subset of study subjects ( $n = 24$ ) on a Siemens Magnetom Prisma 3T scanner at the Center for Systems Imaging Core using a 32-channel head coil. T1-weighted and T2-weighted high-resolution structural images were acquired. Specific details of the image acquisition parameters are provided in supplemental methods. Of the eight subjects who did not complete the MRI, two were at too low a developmental level to successfully complete the procedure, one declined to participate, and five were medically ineligible.

**Neurological exam.** A subset of study subjects ( $n = 23$ ) were evaluated by a pediatric neurologist (S.K.) for gross and fine motor phenotypes, including assessment of gait, heel to toe and stressed gait, fine finger movements, rapid alternating movements, as well as finger to nose and heel to shin tests. Performance on each task was rated using a 4-point scale of impairment severity: 0 (none/normal), 1 (mild), 2 (moderate), and 3 (severe). Of the study subjects who did not have a neurology exam, nine joined the study before neurology assessment was added to the protocol. For four study subjects, the neurologist was unavailable during the study visit.

## RESULTS

### Participants

Thirty-two participants were consented and evaluated, including four participants from a single family.<sup>8</sup> Study subjects ranged in age from 4.8 to 39.1 years (mean age 14.5 years, median age 11.7 years); 62.5% ( $n = 20$ ) were male (Table 1).

### Medical history results

A summary of characteristics reported in at least 10% of study subjects is presented in Table 1. Supplemental Table S2 includes characteristics reported in at least one study subject. The medical history review did not reveal prenatal/perinatal findings that were generalizable across subjects.

### Ear, nose, and throat (ENT)

Seventy-eight percent of study subjects ( $n = 25$ ) had an ENT-related symptom or diagnosis in their medical history. Twenty-two percent ( $n = 7$ ) had recurrent ear infections, and three subjects required surgery. Fifty-nine percent ( $n = 19$ ) had a symptom or diagnosis related to the eye. The most common ocular phenotype was strabismus (28%,  $n = 9$ ), with three subjects requiring surgery. Vision problems encountered later in childhood included astigmatism (16%,  $n = 5$ ) and myopia (16%,  $n = 5$ ). Epistaxis was the most common nasal manifestation occurring in 22% ( $n = 7$ ); two subjects required surgical correction. Dental anomalies were reported in 41% ( $n = 13$ ) and commonly included enamel hypoplasia with proclivity to caries and abnormalities in number (hyperdontia and hypodontia) and size of teeth.

### Cardiovascular

Structural cardiovascular disease was reported in 47% ( $n = 15$ ). These included complex congenital cardiovascular disease (25%,  $n = 8$ ) such as hypoplastic right heart ( $n = 1$ ), patent ductus arteriosus (PDA;  $n = 2$ ), pulmonary atresia ( $n = 2$ ), pulmonary stenosis ( $n = 1$ ), tricuspid stenosis ( $n = 1$ ), ventricular septal defect ( $n = 2$ ), and arterial-venous malformation (AVM) originating from the descending thoracic aorta ( $n = 1$ ). In 4 subjects (12.5%) surgery was required to address the cardiovascular condition.

**Table 1.** Participant demographic information, neurodevelopmental assessment scores, frequency of symptoms, diagnoses, and physical exam findings reported in 10% or more of cases ( $N = 32$ ).

Descriptive characteristics	Mean (SD)	Range
<b>Age in years</b>	<b>14.5 (8.26)</b>	<b>4.85–39.12</b>
<b>Sex</b>	<b><i>n</i></b>	<b>%</b>
Female	12	37%
Male	20	63%
<b>Ethnicity</b>	<b><i>n</i></b>	<b>%</b>
Non-Hispanic	31	97%
<b>Race</b>	<b><i>n</i></b>	<b>%</b>
White	29	91%
More than 1 race	3	9%
Parent-reported symptoms/diagnoses with associated procedure or intervention	<i>n</i>	%
<b>General</b>	<b>7</b>	<b>22%</b>
Fatigue	7	22%
<b>HEENT</b>	<b>25</b>	<b>78%</b>
<b>Recurrent Infection addressed with surgery (any)</b>	<b>6</b>	<b>–</b>
Cases requiring tonsillectomy	4	–
Cases requiring adenoidectomy	5	–
<b>Eye (any)</b>	<b>19</b>	<b>59%</b>
Astigmatism	5	16%
Myopia	5	16%
Strabismus	9	28%
Cases with strabismus requiring surgery	3	–
<b>Ear (any)</b>	<b>7</b>	<b>22%</b>
Recurrent ear infection	7	22%
Cases requiring myringotomy and tube placement	3	–
<b>Nose (any)</b>	<b>8</b>	<b>25%</b>
Epistaxis	7	22%
Cases with epistaxis requiring surgery	2	–
<b>Teeth (any)</b>	<b>13</b>	<b>41%</b>
Abnormal number or size of teeth	5	16%
Abnormal dentition	9	28%
<b>Cardiovascular</b>	<b>16</b>	<b>50%</b>
Structural	<b>15</b>	<b>47%</b>
Murmur	7	22%
Complex congenital cardiovascular disease	8	25%
Cases requiring surgery	4	–
<b>Respiratory</b>	<b>8</b>	<b>25%</b>
Asthma	6	19%
<b>Sleep</b>	<b>10</b>	<b>31%</b>
Sleep disturbance	10	31%
<b>Gastrointestinal</b>	<b>26</b>	<b>81%</b>
Feeding problems beyond infancy	5	16%
Failure to thrive beyond infancy	13	41%
Constipation	13	41%

**Table 1 continued**

Parent-reported symptoms/diagnoses with associated procedure or intervention	<i>n</i>	%
Reflux	16	50%
Feeding problems in infancy	19	59%
<b>Renal/genitourinary</b>	<b>9</b>	<b>28%</b>
Enuresis	7	22%
<b>Integumentary/dermatologic</b>	<b>11</b>	<b>34%</b>
Eczema	4	13%
Keratosis pilaris	4	13%
<b>Allergy/immunology</b>	<b>9</b>	<b>28%</b>
Food allergy	4	13%
Seasonal allergies	5	16%
<b>Neurological (any)</b>	<b>18</b>	<b>56%</b>
Seizures (e.g., atonic, febrile, nocturnal)	4	13%
Headache or migraine	5	16%
Physical exam findings		
<b>Musculoskeletal (any)</b>	<b>27</b>	<b>84%</b>
<b>Axial (any)</b>	<b>15</b>	<b>47%</b>
Chest deformities (e.g., chest asymmetry, pectus carinatum, pectus excavatum)	13	41%
<b>Extremity—upper (any)</b>	<b>15</b>	<b>47%</b>
Tapered finger	4	13%
Long, thin finger	8	25%
<b>Extremity—lower (any)</b>	<b>23</b>	<b>72%</b>
Abnormal toes (e.g., hallux valgus, big or broad hallux, overlapping or curved toes)	9	28%
Medial rotation medial malleolus	10	31%
Pes planus	10	31%
Neurodevelopmental assessment findings	Mean/median	Range
<b>Domain</b>		
Cognitive, standard score (GCA or FSIQ)	73.0/75.5	99–40
Adaptive behavior, standard score	73.9/70.5	48–110
Executive function, <i>t</i> -score	68.3/69.0	45–88
Visual–motor integration, standard score	69.5/67	45–103

HEENT Head, Eyes, Ears, Nose and Throat, GCA General Conceptual Ability, FSIQ Full-scale IQ.

Of the six recurrent infection cases addressed with surgery, four of those were cases requiring tonsillectomy and five were cases requiring adenoidectomy.

Numbers in bold represent a count of any instance in the category for each case (total possible = 32); standard scores have an expected population mean of 100 and a standard deviation of 15; scores below 70 (2 SD from the expected mean) are considered to denote a clinically significant weakness; *t*-scores have an expected population mean of 50 and SD of 10; scores above 70 (2 SD from the expected mean) are considered to denote a clinically significant weakness.

### Gastrointestinal

Gastrointestinal manifestations were noted in the majority (81%,  $n = 26$ ) of subjects. Half (50%,  $n = 16$ ) reported gastroesophageal reflux and 41% ( $n = 13$ ) had chronic constipation. Additionally, feeding difficulties in infancy were reported in more than half (59%,  $n = 19$ ) and persisted beyond infancy in 16% ( $n = 5$ ). Only 9% ( $n = 3$ ) of participants had failure to thrive in infancy, two of whom continued to have failure to thrive beyond infancy. In contrast, failure to thrive beyond infancy was reported in 41% ( $n = 13$ ) of the overall sample.

### Renal/genitourinary

Enuresis was encountered in 25% ( $n = 8$ ). Of these, seven had persistent enuresis as measured by the KSADS (Kiddie Schedule for Affective Disorders and Schizophrenia), which was used to assess general psychopathology including enuresis. The remaining individual had a history of enuresis reported by parents during the medical history interview that was resolved at the time of this study.

### Respiratory, allergy, and immunological

Asthma was the most common respiratory manifestation, occurring in 19% ( $n = 6$ ). Seasonal allergies were reported in 16% ( $n = 5$ ), two of whom also had asthma. Food allergies were noted in 13% ( $n = 4$ ).

### Integumentary

Thirteen percent ( $n = 4$ ) reported eczema. Keratosis pilaris was also reported in 13% ( $n = 4$ ).

### Sleep

Sleep disturbances were reported in at least 31% ( $n = 10$ ), including difficulty initiating sleep ( $n = 5$ ), maintaining sleep ( $n = 6$ ), and sleep walking ( $n = 1$ ).

### Neurological

Seizures were reported in 13% ( $n = 4$ ). Sixteen percent ( $n = 5$ ) reported experiencing headaches.

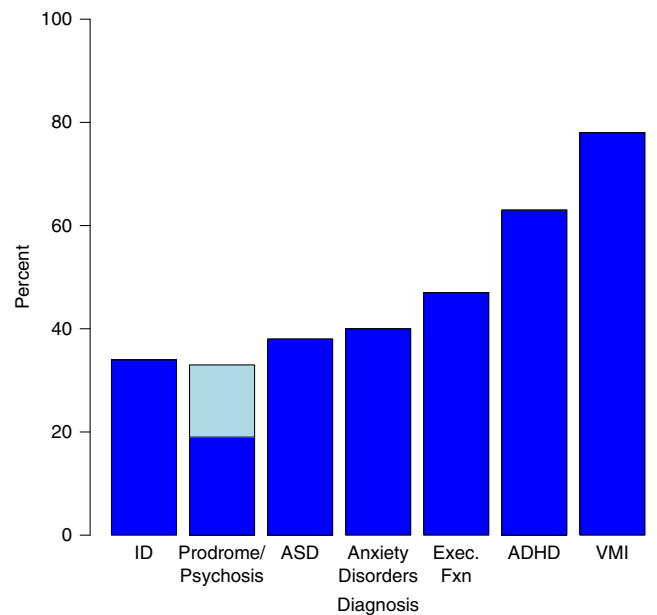
**Physical examination.** Physical findings present in 10% ( $n = 4$  or more) of participants are summarized in Table 1. Supplemental Table S3 includes characteristics found in at least one participant.

### Growth parameters

Individuals with the 3q29 deletion have growth parameters that are on average lower than expected age and sex norms (Fig. 1). The average z-score for height, weight, and head circumference (frontal occipital circumference; FOC) is  $-0.72$ ,  $-0.63$ , and  $-0.54$ , respectively. Twenty-five percent of subjects ( $n = 8$ ) had a weight  $-2$  SD below the mean. These subjects ranged from 4 to 24 years. Sixteen percent of subjects ( $n = 5$ ) were  $-2$  SD below the mean for height. Sixteen percent ( $n = 5$ ) were  $-2$  SD below the mean for head circumference. Notably, most subjects had low measurements in only one parameter; no subject had a low measurement for all three parameters.

### Musculoskeletal manifestations

Eighty-four percent ( $n = 27$ ) had one or more musculoskeletal phenotypes. Chest deformities were noted in 41% ( $n = 13$ ), and included pectus excavatum (25%,  $n = 8$ ), pectus carinatum (9%,  $n = 3$ ), and chest asymmetry (6%,  $n = 2$ ). Manifestations in the upper extremities were seen in 47% ( $n = 15$ ). The most common findings were long, thin fingers (22%,  $n = 7$ ) and tapered fingers (13%,  $n = 4$ ). Lower extremity manifestations were seen in 72%



**Fig. 2** Percent of 3q29 deletion participants who qualify for neurodevelopmental and neuropsychiatric diagnoses after direct evaluation by our team. 19% of study subjects qualified for a diagnosis of psychosis; 14% had features of schizophrenia prodrome (light blue). ADHD attention deficit-hyperactivity disorder, ASD autism spectrum disorder, ID intellectual disability, VMI graphomotor weakness.

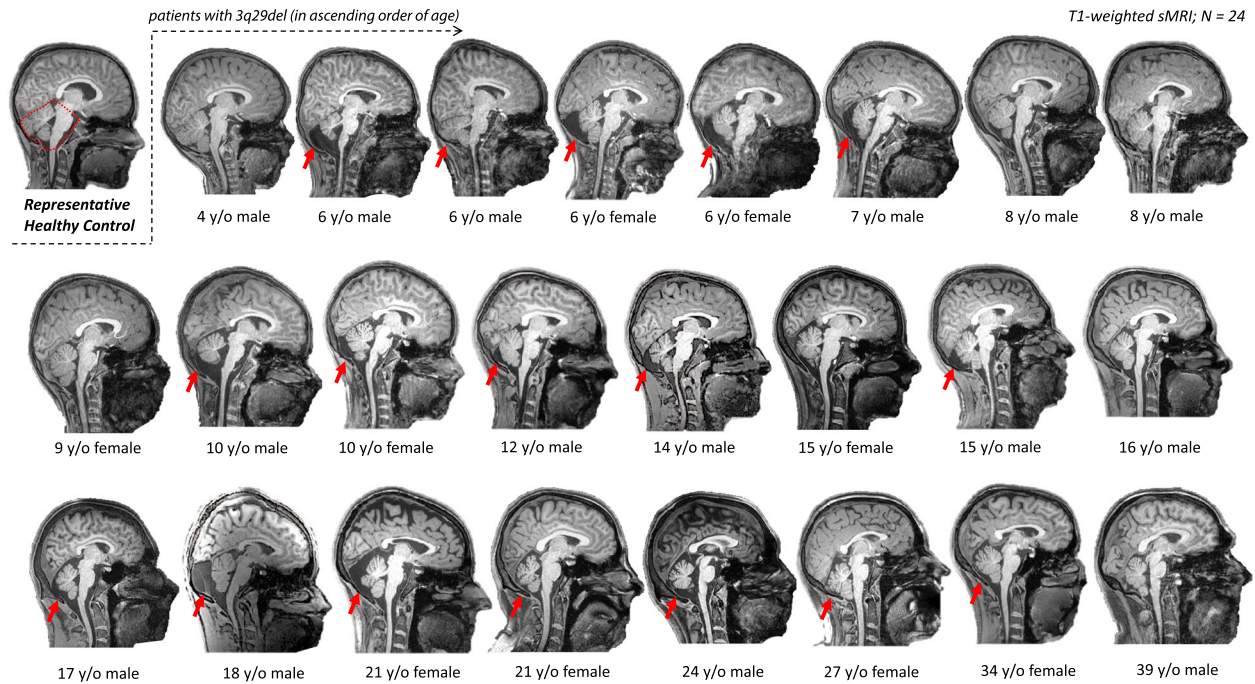
( $n = 23$ ). These included pes planus (31%,  $n = 10$ ) or medial rotation of medial malleolus (31%,  $n = 10$ ), with 22% having *both* pes planus and medial rotation of the medial malleolus. Abnormalities of the toes were also observed in 28% ( $n = 9$ ), including abnormal hallux (13%,  $n = 4$ ) commonly seen as broad hallux and hallux valgus, and abnormal nonhallux toes (19%,  $n = 6$ ) frequently seen as curved, short, or overlapping toes.

Within the other systems (see Supplemental Table S3), no single finding was generalizable across study subjects.

**Neurodevelopmental phenotypes.** Rates of neurodevelopmental diagnoses are shown in Fig. 2; mean and median scores with ranges are shown in Table 1.

### Cognitive and adaptive behavior profiles

The average cognitive ability measure was 73.0 (median 75.5, range 40–99). The average adaptive behavior standard score was 73.9 (median 70.1, range 48–107). Individuals were considered to have intellectual disability (ID) if both cognitive and adaptive behavior scores were  $\geq 2$  SD below the expected mean ( $\leq 70$  on both evaluations). By this metric, 11 individuals (34%) qualified for a diagnosis of ID, a 31-fold increase over the expected population prevalence of 1.1%.<sup>11</sup> **Graphomotor weakness:** 78% were found to have clinically significant visual-motor integration weakness, defined as a standard score  $>2$  SD below the expected mean on the VMI-6. **Executive function:** 47% ( $n = 15$ ) were found to have clinically significant deficits in executive functioning skills, with *t*-scores that were  $\geq 2$  SD above the mean. **Autism spectrum disorder (ASD):** Autism symptomatology was assessed using the ADOS-2 (Autism Diagnostic Observation Schedule) and ADI-R (Autism Diagnostic Interview, Revised); these instruments were used to inform the administering clinician's best estimate diagnosis. Of 32 individuals evaluated, 12 (37.5%) met *Diagnostic and Statistical Manual of Mental Disorders V* (DSM-V) criteria for an ASD diagnosis. Nine of 20 males evaluated (45%) qualified for ASD, a 15-fold excess compared with the general male population prevalence of



**Fig. 3 Midsagittal T1-weighted images acquired from  $N = 24$  patients with 3q29 deletion (3q29Del) syndrome.** A representative T1-weighted image from a healthy control is provided in the first panel for comparison (red dashed lines indicate normative anatomical boundaries of the posterior fossa). T1-weighted patient images are displayed in ascending chronological age, with participant demographics (sex, age in years) provided below each scan. Posterior fossa abnormalities (indicated by red arrows) were observed in  $N = 17$  (70.83%) patients. Note that midline sagittal images were selected to provide optimal visualization of the cerebellar vermis and retrocerebellar arachnoid cysts. Presence of cerebellar hemispheric hypoplasia was determined via anatomical inspection of the adjacent cerebellar hemispheres in the coronal and horizontal planes, which were not included in this figure due to space limitations.

1 in 33 (3.0%).<sup>12</sup> Three of 12 females evaluated (25%) were diagnosed with ASD, a 36-fold excess compared with the general population rate of ASD in females (1 in 144, 0.7%).<sup>12</sup> These data confirm a higher risk for ASD associated with the 3q29 deletion in both males and females.

#### Psychiatric evaluation

**Anxiety disorders:** 40% ( $n = 13$ ) had at least one anxiety disorder; 18% ( $n = 6$ ) had more than one anxiety disorder diagnosis. Anxiety disorders included generalized anxiety disorder (22%,  $n = 7$ ), specific phobia (19%,  $n = 6$ ), separation anxiety (12.5%,  $n = 4$ ), and social anxiety disorder (6%,  $n = 2$ ). **Prodromal symptoms and psychosis:** of 21 individuals who were evaluated, 19% ( $n = 4$ ) qualified for diagnosis of a psychotic disorder. This is 25 times higher than expected, given the population prevalence of psychotic disorders in general,<sup>13</sup> and 67 times higher than expected given the population prevalence of schizophrenia specifically.<sup>14</sup> Three additional individuals (14%) were found to exhibit features consistent with the psychosis prodrome. These data are consistent with prior reports identifying the 3q29 deletion as a risk factor for schizophrenia.<sup>5,6,15–17</sup> **General psychopathology:** 63% ( $n = 20$ ) qualified for a diagnosis of attention deficit–hyperactivity disorder (ADHD), 6.9 times more than the estimated population prevalence of 9.04%.<sup>11</sup> These individuals could be further classified into subtypes: ADHD-inattentive type ( $n = 10$ ), ADHD combined type ( $n = 8$ ), and ADHD hyperactive/impulsive ( $n = 1$ ). One additional individual did not meet criteria for any subtype and was diagnosed as “other specified ADHD.” The high rate of ADHD in 3q29 deletion study subjects is consistent with deficits in executive function, as reported above. No other psychiatric diagnosis was present in greater than 10% of study subjects.

#### Neuroimaging

Inspection of T1- and T2-weighted images by a pediatric neurologist (S.K.) revealed abnormalities of the posterior fossa in 70.83% of the individuals who completed an MRI scan (Fig. 3, Table S4; 5 subjects had  $>1$  posterior fossa abnormality). Cerebellar vermis hypoplasia was observed most frequently ( $n = 8$ , 33.33%), followed by retrocerebellar arachnoid cysts ( $n = 7$ , 29.17%), cerebellar hemispheric hypoplasia ( $n = 6$ , 25.0%), and global cerebellar hypoplasia ( $n = 1$ , 4.17%). It is currently unknown whether the observed cerebellar phenotypes reflect a progressive course of atrophy; longitudinal measurements should be obtained in follow-up studies to make this distinction. Posterior fossa abnormalities were observed in both young (age 6) and older (age 34) subjects and in both males and females (Supplemental Table S5), suggesting that these abnormalities are a distinctive feature of the 3q29 deletion syndrome. At present, the pathogenesis and developmental outcomes associated with these abnormalities are unclear; abnormalities can arise from inherited (genetic) or acquired (disruptive) causes, and have been implicated in multiple genetic syndromes.<sup>18,19</sup>

#### Neurological examination

In light of the posterior fossa abnormalities identified in T1- and T2-weighted images, a subset of study subjects ( $n = 23$ ) were evaluated by a pediatric neurologist for motor phenotypes associated with cerebellar dysfunction. Motor phenotypes were identified, but generally were mild or moderate (Table S6). Only one person displayed a severe cerebellar motor phenotype (rapid alternating movement). These data suggest that the posterior fossa abnormalities do not result in gross or severe motor phenotypes.

**Table 2.** Summary of recommendations.

System	Evaluation	When	Management and recommendations
Ocular	Follow-up with ophthalmologist	At diagnosis	<ul style="list-style-type: none"> <li>• Vision screening to monitor for refractive errors and strabismus</li> <li>• Strabismus may require patching or surgery</li> </ul>
Ears, nose, throat (ENT)	Follow-up with otolaryngology (ENT)	As needed	<ul style="list-style-type: none"> <li>• Hearing screening</li> <li>• Monitoring recurrent ear infections and epistaxis</li> <li>• Standard surgical management as required</li> </ul>
Dental	Ongoing follow-up with dentist for abnormal enamel, tooth shape, and number	Ongoing	<ul style="list-style-type: none"> <li>• Initial pediatric dental evaluation by 1 year of age</li> <li>• May need increased frequency of checkups and cleanings</li> <li>• Dental care may require assistance with daily brushing and flossing</li> </ul>
Cardiovascular	Evaluation by cardiologist	At diagnosis	<ul style="list-style-type: none"> <li>• Echocardiogram</li> <li>• Evaluation in infancy for evidence of congenital cardiovascular disease</li> <li>• Referral to cardiologist and/or cardiothoracic surgeon as needed</li> </ul>
Gastrointestinal	Consider referral to gastroenterologist and/or feeding specialist or nutritionist	As needed	<ul style="list-style-type: none"> <li>• Consider evaluation for feeding and growth as indicated</li> <li>• Behavioral and/or medical treatment of constipation, if persistent</li> <li>• Age-specific treatment for reflux, including testing for food allergies</li> </ul>
Renal	Follow-up with urologist	As needed	<ul style="list-style-type: none"> <li>• Consider evaluation for enuresis, if persistent</li> <li>• Consider behavioral interventions, including alarm techniques, if indicated</li> <li>• May require evaluation of medications that could contribute to enuresis</li> </ul>
Musculoskeletal	Referral to orthopedist as needed	As needed	<ul style="list-style-type: none"> <li>• Evaluation for chest anomalies and flat feet</li> <li>• Routine screening for scoliosis</li> </ul>
Pulmonary and sleep	Referral to pulmonologist/sleep clinic, as needed	As needed	<ul style="list-style-type: none"> <li>• Sleep study, as needed</li> <li>• Recommendations for implementing healthy sleep hygiene habits</li> <li>• Management of sleep disturbance, as needed</li> </ul>
Allergy and immunology	Referral to specialist as needed	As needed	<ul style="list-style-type: none"> <li>• Allergy testing, as needed</li> <li>• Standard management for asthma, allergies, and eczema</li> <li>• Assess for food allergies</li> </ul>
Neurological	Referral to neurologist, as needed	At diagnosis and ongoing	<ul style="list-style-type: none"> <li>• Evaluation for seizures, if indicated</li> <li>• Evaluation of muscle tone, if indicated</li> </ul>
Neurodevelopmental	Referral to developmental pediatrician and/or clinical psychologist	Follow-up throughout early childhood	<ul style="list-style-type: none"> <li>• Evaluation for autism spectrum disorder, cognitive ability, executive function deficits</li> <li>• Evaluation for developmental needs and early intervention (e.g., physical therapy, speech–language therapy, cognitive behavioral therapy for social skills training)</li> <li>• Evaluation of fine motor function and intervention (e.g., occupational therapy)</li> <li>• Adaptive behavior (e.g., social skills training)</li> </ul>
Psychiatric	Referral to child/adult psychiatrist	Childhood to early adult	<ul style="list-style-type: none"> <li>• Periodic evaluation for anxiety disorders, attention deficit hyperactivity disorder, emerging features of prodrome/psychosis</li> <li>• Cognitive behavioral therapy for anxiety</li> <li>• Medications for anxiety, attention deficit–hyperactivity disorder (ADHD), psychosis may be indicated</li> </ul>

## DISCUSSION

Here we report comprehensive descriptions of 3q29 deletion syndrome, by direct systematic evaluation of 32 study subjects using a defined protocol and gold-standard instruments. While nearly all major systems are affected, the ocular, dental, cardiovascular, gastrointestinal, renal, musculoskeletal, and neurologic systems should be prioritized for evaluation and follow-up.

There is also a significant burden of neurodevelopmental and neuropsychiatric illness, requiring intervention and support across the lifespan. Our findings suggest recommendations for clinical care, described in detail below and summarized in Table 2.

Almost 60% of subjects reported ocular manifestations; the most frequent were strabismus and refractive errors, including myopia and astigmatism. In some cases, the strabismus required

surgical repair. The high rate of ocular manifestations is consistent with previous reports<sup>2</sup> and suggests the importance of evaluation by a pediatric ophthalmologist as early as possible for evaluation of refractive errors and strabismus. If detected early, these manifestations benefit from treatment or corrective interventions to prevent long term sequelae.

Similar to prior reports,<sup>2,3,20</sup> recurrent ear infections were common and sometimes required myringotomy tubes. Epistaxis has not been previously reported but was commonly seen in over 20% of subjects without a concurrent history of nose picking. Two of the seven cases required surgery, one of which had developed anemia. The high rates of ear infections and epistaxis suggest a low threshold for involving otolaryngology specialists in care. When taken together with increased risk for speech–language disorders, these results further highlight the importance of hearing screening to monitor for conductive hearing loss and associated disruptions to speech–language development.

Consistent with reports of dental anomalies in the literature,<sup>2,3,20</sup> parental report revealed that 41% of study subjects manifest a broad spectrum of dental anomalies, such as abnormal tooth shape, size, and number; diastema; enamel hypoplasia; and frequent cavities. In some cases, extensive surgical intervention was required, including up to five root canals in a single individual. Cavities occurred despite good oral hygiene. Notably, our study and others<sup>2,3</sup> report dental anomalies along with gastroesophageal reflux, which may contribute to dental problems. The high frequency of dental problems among individuals with 3q29 deletion highlights the need for early and ongoing dental care.

Previous literature reported elevated rates of heart defects in individuals with 3q29 deletion.<sup>2,3,21</sup> Our findings confirm this increased risk. Structural cardiovascular manifestations were reported in almost half of subjects, and almost all of were heart defects ranging in severity from PDA to hypoplastic right heart syndrome. No single common congenital heart defect was observed. Half of subjects with a cardiovascular manifestation required surgical repair, suggesting the need for echocardiogram at diagnosis.

Gastrointestinal manifestations occurred in 81% of subjects and are noted as early as infancy. Feeding difficulties in infancy, manifesting as problems with latching, were sometimes associated with failure to gain weight and were common presenting signs. Feeding difficulties, coupled with reflux and constipation, and less frequently, dysphagia and esophageal dysmotility, persisted beyond infancy. Three subjects needed gastrostomy tubes beyond infancy due to restrictive food preferences and failure to thrive. In a separate analysis, we have documented increased symptoms of pediatric feeding disorders among individuals with 3q29 deletion syndrome (unpublished data, <https://doi.org/10.1101/2020.09.18.20197301>). Future studies are required to understand if feeding problems lead to caloric and nutritional deficiencies and may therefore contribute to the observed smaller height and lower weight as documented in growth parameters and/or whether these subjects are constitutionally smaller.

Occurring in 22% of subjects, enuresis was the most common renal/genitourinary manifestation reported. The subjects with a diagnosis of enuresis ranged in age from 6 to 16.9 years, suggesting that enuresis continues beyond the age in which it often resolves spontaneously. Enuresis is typically diagnosed more commonly among males than females in the general population.<sup>22</sup> However, this sex difference was not apparent among our subjects, four of whom were male and three of whom were female, which supports the notion that the 3q29 deletion contributes to enuresis. Indeed, prior case studies report a 15-year-old male<sup>2</sup> and an 8-year-old female<sup>23</sup> with urinary voiding dysfunction. Although varied by age and gender, the frequency of enuresis is reported to be 2.8% for children ages 6–14 years in the general population, indicating an approximately ninefold

enrichment among our study subjects and may require behavioral or pharmacological intervention.

Although not previously described in the literature, a constellation of respiratory, allergy, and immunological symptoms were reported among study subjects. Asthma was reported by 19% of our study subjects, which is higher than the frequency of 10% in the general population of developed Western countries.<sup>24,25</sup> Food allergies were reported in 13% of subjects, which is almost double the 6.7% of food allergies in the general population.<sup>26</sup> Seasonal allergies were reported by 16% of study subjects. Although the rates of seasonal allergy did not exceed the rate of 10–20% in the general population,<sup>27</sup> these results suggest a tendency to atopy. Moreover, common skin manifestations reported among study subjects included eczema and keratosis pilaris, both of which are also associated with atopy. Early evaluation and routine follow-up with a pediatric pulmonologist or allergist may be important for ongoing care.

This study identifies significant abnormalities in sleep in 31% of subjects. Sleep abnormalities ranged from difficulty initiating or maintaining sleep to reporting sleep walking and sleep apnea. Sleep disturbances can co-occur with other diagnoses, such as enuresis.<sup>28,29</sup> Indeed, half of the subjects in our cohort with enuresis also had an additional sleep disturbance. Diagnosis and management of sleep disorders, particularly among subjects with other comorbidities, may lead to improvement in multiple areas.

The present study documented musculoskeletal anomalies in the majority (84%) of cases. Upon closer examination, chest deformities were seen in 41% of subjects with the majority having pectus excavatum or pectus carinatum, long and tapered fingers (22%), and pes planus and hindfoot deformity in 31% of cases, respectively. These findings are in agreement with those of Cox and Butler.<sup>2</sup> Based upon the findings documented in the present study, involving orthopedic, podiatric, and/or physical therapy professionals in evaluation and management may be indicated in 3q29 deletion patients. The high frequency of musculoskeletal findings suggests the need for additional investigation into the effects of the 3q29 deletion on connective tissue. Given the complexity of hereditary connective tissue disorders, their effects on multiple organ systems, and their variable manifestation across the lifespan, additional research with larger samples and targeted assessment of the heart, joints, and skin is warranted.

There is a substantial burden of disability contributed by neurodevelopmental and psychiatric manifestations. Cognitive disability is present but mild to moderate in most of the study subjects we evaluated. However, there is likely a cumulative impact of diminished cognitive ability alongside multiple comorbidities, including ASD, executive functioning deficits, anxiety disorders, and ADHD, that impair overall functioning and impede opportunities for optimal outcome. The high rate of fine motor coordination deficits indicates that graphomotor and other fine motor tasks may be effortful, and these impairments can introduce challenges in academic settings. Occupational therapy and creative classroom accommodations may be helpful to alleviate the burden of writing tasks and may decrease stress in these settings. The high rate of ADHD, anxiety disorders, and psychosis indicate that a child/adolescent psychiatrist should be part of the medical team, with periodic evaluation throughout development to detect emerging symptoms. Because of the risk of psychosis associated with the 3q29 deletion, it is recommended that stimulant use for ADHD be used only cautiously, and with explicit monitoring for emerging psychosis. Medications less likely to drive frank psychotic symptoms (e.g., bupropion, atomoxetine) might be considered as alternatives to amphetamines or methylphenidate.

In the domain of psychiatric symptoms and syndromes, one of the advantages of the study sample is the broad age range of patients. It is well established that the modal age at onset varies

significantly among diagnostic categories; ASD and ADHD are typically diagnosed early in childhood, whereas mood (depression, anxiety, mania) and psychotic disorders first emerge in adolescence/young adulthood. Thus, it is expected that the rate of the latter disorders in this sample will increase on future diagnostic follow-ups. The broad age range at baseline assessment will enhance opportunities for charting the developmental trajectories of the emergence of a range of psychiatric symptoms/syndromes.

Limitations of this study include the small sample size, though we note that 32 study subjects is relatively robust given the low frequency (1 in 30,000) of the syndrome. However, this sample size allows us to describe only the most common manifestations of the syndrome; a larger sample size may reveal additional syndromic features. Because our study required travel to Atlanta, this may have introduced ascertainment bias toward healthier subjects; subjects with behavioral challenges may not have participated. Future directions will include a larger sample size, increased diversity of enrolled study subjects, and longitudinal evaluation. In addition, cross-disorder studies with harmonized evaluations are a priority, to identify shared and unique aspects of the neuropsychiatric profile between 3q29 deletion syndrome and other rare genetic disorders.

In conclusion, many of the medical, neurodevelopmental, and psychiatric findings, although frequent, are nonspecific. Many symptoms noted require medical intervention, but do not necessarily signal the need for genetic testing. As a result, for individuals with 3q29 deletion syndrome, identifying the underlying genetic diagnosis remains a challenge. However, given the constellation of 3q29 deletion syndrome phenotypes, including developmental and neuropsychiatric manifestations, a genetic diagnosis is imperative for developing strategies for effective management. Once 3q29 deletion syndrome is diagnosed, the findings and recommendations in the current study provide clinicians and families a road map for evidence-based care and treatment for individuals with 3q29 deletion syndrome.

## DATA AVAILABILITY

Data collected in this study are deposited in the National Institute of Mental Health (NIMH) data archive ([nda.nih.gov](https://nda.nih.gov)) collection 2614 (embargoed until September 2022). Prior to September 2022, data will be made available to qualified investigators upon request.

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### ETHICS DECLARATION

Informed consent was received from all participating study subjects. This study was approved by the Emory Institutional Review Board (IRB000088012).

### COMPETING INTERESTS

C.A.S. reports receiving royalties from Pearson Clinical for the Vineland-3. The other authors declare no competing interests.

### ADDITIONAL INFORMATION

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### THE EMORY 3Q29 PROJECT

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