

Improving CAR-T immunotherapy: Overcoming the challenges of T cell exhaustion



Diana Gumber,^{a,b} and Leo D. Wang^{a,b,c,*}

^aIrell and Manella Graduate School of Biological Sciences, City of Hope National Medical Center, Beckman Research Institute, Duarte CA, United States

^bDepartment of Immunooncology, City of Hope National Medical Center, Beckman Research Institute, Duarte, CA, United States

^cDepartment of Pediatrics, City of Hope National Medical Center, Duarte, CA, United States

Summary

Chimeric antigen receptor (CAR) T cell therapy has emerged as a cancer treatment with enormous potential, demonstrating impressive antitumor activity in the treatment of hematological malignancies. However, CAR T cell exhaustion is a major limitation to their efficacy, particularly in the application of CAR T cells to solid tumors. CAR T cell exhaustion is thought to be due to persistent antigen stimulation, as well as an immunosuppressive tumor microenvironment, and mitigating exhaustion to maintain CAR T cell effector function and persistence and achieve clinical potency remains a central challenge. Here, we review the underlying mechanisms of exhaustion and discuss emerging strategies to prevent or reverse exhaustion through modifications of the CAR receptor or CAR independent pathways. Additionally, we discuss the potential of these strategies for improving clinical outcomes of CAR T cell therapy.

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Introduction

The field of immunotherapy encompasses a broad spectrum of treatments designed to induce, augment, or suppress the immune response, seeking to fine tune an immune system that has evolved to strike a balance between clearing harmful pathogens and protecting tissues from the collateral damage of an inflammatory response. Monoclonal therapeutic antibodies, immune checkpoint inhibitors, cytokines, and immunomodulators are methods of mediating the immune response. In addition to therapeutic molecules and proteins, immunotherapy also consists of cell-based therapeutic approaches. The transient delivery of disease targeting immune cells, known as adoptive cellular therapy (ACT), is a promising method for treating infection, autoimmune disease, and cancer.^{1–5} The foremost ACT approach is chimeric antigen receptor (CAR) T cell therapy, which involves the transfer of allogeneic or autologous T cells modified to express a CAR.

First proposed by Eshhar et al. in 1993,⁶ the CAR enables the modified T cells to mount an antigen-specific immune response to cells bearing the CAR target antigen independently of the major histocompatibility

complex (MHC).⁷ Indeed, several ongoing clinical trials focus on the utilization of CAR T cell therapy to treat autoimmune disease (NCT04146051, NCT03030976), HIV (NCT03240328, NCT03980691, NCT03617198, NCT04648046), and solid tumors (NCT04981691, NCT04691713, NCT03932565, NCT04151186).^{7,8} However, CAR T cell therapies have been most notably successful in the treatment of hematological malignancies.

To date, the FDA has approved five CAR T cell therapies for the treatment of BCMA or CD19 antigen-expressing hematological cancers. However, durable remission following CAR T cell therapy is not guaranteed, as demonstrated by relapse occurring in up to 75% of patients treated with CD19 or CD22 CAR T cells for hematological malignancies.^{9–11} Most commonly, CAR T cell therapy failure is attributed to antigen escape, wherein selection pressure under CAR T surveillance leads to the emergence of antigen-negative tumors.¹² However, relapse also occurs with antigen-positive disease, suggesting that CAR T cell-intrinsic factors can contribute to poor anti-tumor response. Analysis of clinical data collected from chronic lymphocytic leukemia (CLL) patients treated with CD19 CAR T cells identified overall CAR T cell fitness as a predictor of therapeutic success.¹³

The treatment of solid tumors is further constrained by the ability of the CAR T cells to infiltrate into the tumor and effectively kill target cells in an

*Correspondence author at: Irell and Manella Graduate School of Biological Sciences, City of Hope National Medical Center, Beckman Research Institute, Duarte CA, United States.

E-mail address: leo.wang@coh.org (L.D. Wang).

immunosuppressive microenvironment.^{14–16} The tumor microenvironment incorporates a barrier of stromal cells and extracellular matrix that limits CAR T cell access to tumor cells.^{14,17} Additionally, immunosuppressive cells accumulate in the tumor microenvironment to limit CAR T cell effector function. Tumor-infiltrating immune cells, such as regulatory T cells, generate an environment hostile to CAR T cells through the secretion of inhibitory cytokines and depletion of IL-2.^{14,18}

These factors ultimately contribute to a failure to clear antigen, which occurs both in cancer and during chronic viral infection. Persistent antigen stimulation leads to T cell exhaustion, defined as the inhibition of T cell proliferation and effector function, which results in resistance and relapse in CAR T cell therapy.^{19,20} The persistent exposure of T cells to disease-specific antigens causes the T cells to differentiate into a dysfunctional state characterized by reduced proliferative capacity and effector function.^{21–23} Because successful CAR T cell therapy requires CAR T cells to kill target cells repeatedly, T cell exhaustion is associated with poor responses in cancer patients receiving immunotherapy.^{24–26} Hence, the development of methods that aim to counteract T cell exhaustion is essential to improving CAR T cell therapy efficacy.

Although it is clear that T cell receptor (TCR) and CAR signaling are quite different,²⁷ most of the framework for understanding the influence of CAR signaling on CAR T cell function and differentiation is derived from comprehensive analogous work that has been done in the TCR field. In particular, the transcriptional and epigenetic mechanisms underlying T cell exhaustion in chronic viral infection are exquisitely described, whereas the parallels to CAR T exhaustion are still being elucidated. Thus, our review first describes the mechanism and influence of T cell exhaustion in the context of chronic stimulation of the TCR. We use the principles established in chronic TCR stimulation to then discuss approaches to overcoming exhaustion in CAR T cell therapy through targeting T cell intrinsic signaling and CAR engineering.

T cell exhaustion

T cell exhaustion was initially described as the failure of the immune system to mount a response to chronic viral infection.^{28,29} During chronic infection, as opposed to acute infection, the invading pathogen is not rapidly eliminated, and this persistence leads to prolonged antigen stimulation, inflammation, and subsequent T cell dysfunction.^{23,30} T cell exhaustion has also been described in cancer, where prolonged antigen exposure and the immunosuppressive tumor microenvironment contribute to a loss of effector function and sustained inhibitory receptor expression.²²

Exhaustion has been best characterized in CD8⁺ T cells, although dysfunctional states due to persistent

antigen stimulation have also been identified in CD4⁺ T cells.^{31,32} Upon acute antigen stimulation, naïve CD8⁺ T (Tn) cells differentiate into effector T (Teff) cells.³³ During differentiation, cells undergo functional and metabolic reprogramming, as well as vigorous clonal expansion, to establish an antigen-specific effector T cell population.^{34,35} The magnitude of this response is modulated by the density of antigen presented to the Tn cells, where an increase in antigen drives increased T cell expansion.³⁶ However, T cell antigen sensitivity is inversely correlated with the density of antigen presented.^{36–39} Following antigen clearance, the majority of effector T cells die off. The few surviving cells become memory T (Tmem) cells and persist in the host independent of the stimulatory antigen.³⁵ However, persistent antigen stimulation during chronic infection or cancer can subvert CD8⁺ T cell differentiation into an exhausted state characterized by a loss of effector function and reduced proliferative capacity (Figure 1).²³

T cell exhaustion is believed to have evolved to prevent severe immunopathology from excessive CD8⁺ T cell response.³⁴ Exhaustion describes a hyporesponsive T cell state, broadly characterized by decreased expression of effector cytokines and increased expression of inhibitory immune checkpoint receptors, which in combination mediate inhibitory signaling and diminish T cell cytotoxicity.⁴⁰ Indeed, expression of PD-1, TIM-3, LAG-3, TIGIT, and CTLA-4 are hallmarks of T cell exhaustion, and exhausted T (Tex) cells are functionally distinct from Teff and Tmem cells.^{41–43} However, several of these inhibitory receptors are similarly upregulated in T cell activation as a mechanism of modulating co-stimulatory signaling.⁴⁴ This suggests that inhibitory receptors alone are not sufficient to distinguish between exhausted and activated T cells.

In fact, T cell exhaustion comprises a differentiative process with several described stages.^{44,45} The transition of an effector T cell into an exhausted state is accompanied by significant epigenetic reorganization and distinct transcriptional signatures.^{45,46} In a study utilizing assay for Transposase Accessible Chromatin using Sequencing (ATAC-seq) and RNA sequencing (RNA-seq), Philip et al. identify two distinct chromatin states and key transcription factors associated with the exhaustion transition in tumor-specific T cells.⁴⁷ The initial plastic dysfunctional chromatin state is reversible, and the later fixed chromatin state is irreversible, in PD-1^{high} tumor-infiltrating CD8 T cells. Specifically, chromatin peaks containing TCF family transcription factor motifs close during the transition from the plastic to the fixed state of dysfunction, corresponding with a decrease in TCF1, a central transcription factor in early T cell exhaustion.

The chromatin transition is accompanied by a decrease in chromatin accessibility of genes associated with TCR signaling and cytokine response, complemented by an increase in expression of negative

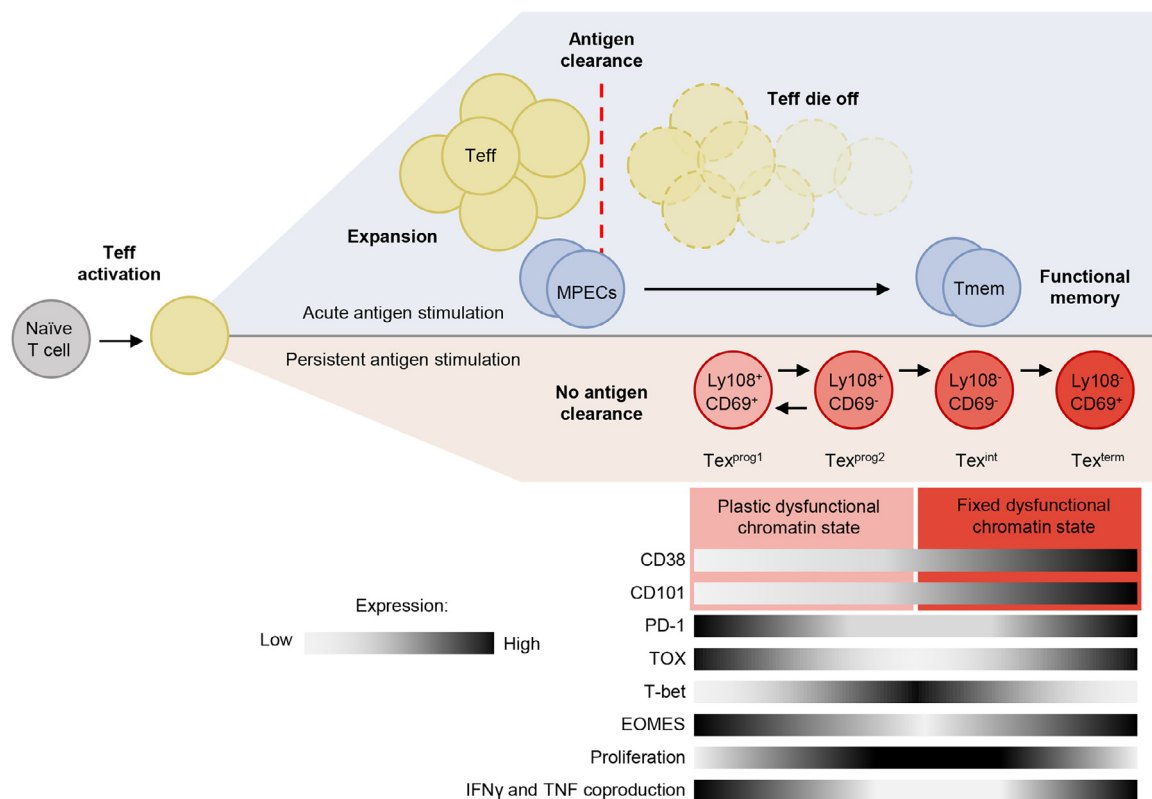


Figure 1. The T cell response during acute and persistent antigen stimulation. For acute antigen stimulation, the expansion of Teff cells is followed by antigen clearance. After the antigen is cleared, the majority of antigen specific T cells die off, leaving a small number of Tmem cells. For persistent antigen stimulation, the antigen is not cleared leading to the differentiation of the Teff cells to an exhausted state. MPECs: memory precursor effector cells, Teff: effector T cells, Tmem: memory T cells. Original figure.

regulators of TCR signaling and a decrease in expression of costimulatory molecules. In murine tumor-specific T cells, two dysfunctional chromatin states of PD-1^{high} T cells are associated with differential expression of the markers CD38 and CD101, which allows for the cell surface level identification of a reversible (CD38^{low}/CD101^{low}) and irreversible (CD38^{high}/CD101^{high}) exhausted state. The markers and dysfunctional chromatin accessibility state were similarly identified in human PD-1^{high} tumor-infiltrating lymphocytes.⁴⁷

Beltra et al. has since further characterized the stages of Tex differentiation, which can be categorized by the expression of cell surface receptors CD69 and Ly108.⁴⁵ CD69 expression is inversely correlated with proliferation, and Ly108 is a surrogate for the expression of TCF1.⁴⁵⁻⁴⁸ Utilizing a mouse model infected with chronic lymphocytic choriomeningitis virus (LCMV), Beltra et al. define four hierarchical subsets of CD8⁺ Tex cells. The initial Tex cell state arising from Teff cells during exhaustion is the quiescent Ly108⁺/CD69⁺ progenitor population (Tex^{prog1}); this gives rise to a circulating population of proliferative Ly108⁺/CD69⁻ cells (Tex^{prog2}). The Tex^{prog2} population gives rise to an intermediate Ly108⁻/CD69⁻ (Tex^{int}) stage; the loss of Ly108

is irreversible and indicates commitment to the exhausted phenotype coinciding with loss of the transcription factor TCF1. The terminal population, Tex^{term}, differentiates from Tex^{int} Ly108⁻ cells but re-expresses CD69. Unlike the progenitor exhausted states, Tex^{int} and Tex^{term} populations do not possess the capacity to proliferate and have increased apoptosis (Figure 1). These Tex subsets were similarly identified in tumor-infiltrating lymphocytes from human melanoma patients.

This gradual progression into a terminally exhausted state has similarly been defined by the expression of CX3CR1 in subpopulations of Tex cells.^{49,50} Hudson et al. found that CX3CR1 was preferentially expressed in transitory (CD101⁻/Tim3⁺) compared to terminally differentiated (CD101⁺/Tim3⁺) Tex cells.⁵⁰ Concurrently, Zander et al. describes three distinct exhaustion subpopulations including a self-renewing progenitor population (Ly108⁺/CX3CR1⁻) that can give rise to a cytolytic (Ly108⁺/CX3CR1⁺) or highly dysfunctional Tex cells (Ly108⁻/CX3CR1⁻).⁴⁹ Together, these studies suggest that the loss of CX3CR1 expression in Tex cells is a marker for terminal differentiation.

High expression of high-mobility group (HMG)-box transcription factor TOX in response to persistent antigen stimulation induces the transcriptional and epigenetic signatures of T cell exhaustion.^{42,51} Persistent TCR activation leads to calcineurin-mediated dephosphorylation and subsequent nuclear localization of NFAT. NFAT inhibits *TCF1* and promotes the expression of *TOX* and *NR4A*, inducing the terminal differentiation of TCF1-expressing (TCF1⁺) Tex progenitors.^{52–55} The TCR-NFAT-TCF1-TOX/NR4A axis is central to the epigenetic commitment of T cells to an exhausted cell program.⁴² TOX overexpression induces Tex-specific epigenetic opening of an enhancer upstream of *Pdcd1*, the gene coding for PD-1.⁴² Conversely, TOX family transcription factor depletion increases cytokine production and decreases expression of *PD-1*, *TIM3*, and *LAG-3*, indicating a reversal of the exhausted phenotype.⁵⁴ Specifically, TOX is a critical transcriptional checkpoint in the transition of Tex^{int} to Tex^{term} cells.⁴⁵ Whereas the establishment of the Tex^{int} population is driven by *T-bet* expression and the loss of *TCF1* expression, TOX modulates the establishment of the Tex^{term} population by repressing the expression of *T-bet* in TCF1⁺ Tex^{int} cells.⁴⁵ However, the role of TOX in T cell exhaustion is complex. Scott et al. found that Tox depleted tumor-specific T cells have lower expression of inhibitory markers, reduced persistence, and comparable effector function to wildtype tumor-specific T cells.⁵⁶ Similarly, depletion of Tox impairs the persistence of exhausted virus-specific CD8⁺ T cells.^{57,58} These studies suggest that TOX has a role in sustaining a population of Tex cells during chronic infection and cancer.

In addition to TOX, T-bet interacts with Eomesodermin (EOMES) to regulate exhaustion. T-bet and EOMES have differential regulatory control of genes such as *Pdcd1*, where T-bet has stronger repression of *Pdcd1* expression than EOMES.⁵⁹ As such, high expression of EOMES and low expression of T-bet are associated with exhaustion and poor clinical outcomes.^{60,61} Indeed, Beltra et al. further described the Tex subtypes through the dynamics of EOMES expression. EOMES expression was greatest in the Tex^{progi} population and gradually decreased until its nadir in Tex^{int}, then increased again in the Tex^{term} population. In contrast, T-bet expression increased, peaking in the Tex^{int} population before plummeting in the Tex^{term} population. Although decreased PD-1 expression was observed in the TCF1⁺TOX^{int}T-bet^{high}EOMES^{low} Tex^{int} population, other inhibitory receptors such as TIM3 and LAG-3 gradually increased throughout the Tex subsets (Figure 1).⁴⁵

Targeting T cell intrinsic pathways to overcome exhaustion in CAR T cell therapy

PD-1

Programmed death-1 (PD-1) is a cell surface receptor member of the CD28 coreceptor family. In contrast to

CD28, which enhances TCR signaling, PD-1 attenuates immune cell function.⁶² Sustained expression of PD-1 on the surface of T cells is associated with dysfunction.⁶³ Upon binding to PD-1 ligand-1 (PD-L1), PD-1 recruits the phosphatases SHP1 and SHP2, which inhibit activation of ZAP70 and PI3K to curb downstream activation of AKT and ERK.⁶⁴ Additionally, PD-1 indirectly impacts TCR signaling through suppression of cyclin-dependent kinases (CDKs) to inhibit cell cycle progression and through downregulation of casein kinase II (CK2), which inhibits TCR expression.^{64–66} Blockade of PD-1/PD-L1 immunosuppression with specific antibodies markedly improves immune function in many cancer patients and has revolutionized clinical cancer care for some diseases.⁶⁷ Interestingly, expression of CX3CR1 is predictive of clinical response to PD-1 blockade, suggesting that exhaustion state impacts therapeutic efficacy.^{68,69}

Antibody PD-1/PD-L1 blockade has also been shown to augment CAR T cell therapy in a variety of tumors.^{70–72} Similarly, CAR T cells modified to secrete PD-1 single-chain variable fragment (scFv) had improved anti-tumor activity, comparable to PD-1 antibody + CAR T combination therapy.^{73–75} This localized delivery of PD-1 scFv is theorized to act in both a paracrine and autocrine manner, enhancing the function of delivered CAR T cells as well as the tumor-infiltrating endogenous T cells.

Cell intrinsic blockade of PD-1 signaling is another promising method of combating exhaustion in CAR T cells. The expression of a dominant-negative PD-1 receptor has been shown to improve CAR T functional persistence and protect against exhaustion.⁷⁶ Recently, CD19 CAR T cells modified to express dominant-negative PD-1 receptors have been shown to be safe and effective in the treatment of refractory B cell lymphoma.⁷⁷ Similarly, shRNA-mediated silencing of *PDCD1* enhanced the therapeutic function of CLL-1 and mesothelin CAR T cells.^{78,79} Knockout of *PDCD1* improved the anti-tumor activity and survival of EGFR, CD19, and GPC3 CAR T cells in mouse models.^{80–82} Phase I clinical trials demonstrated that CRISPR-Cas9 guided disruption of *PDCD1* does not lead to uncontrolled CAR T cell proliferation or persistence.⁸³ While the impact of *PDCD1* knockout on CAR T immunotherapeutic clinical efficacy is still under investigation, these discoveries suggest that extrinsic and intrinsic blockade of the PD-1/PD-L1 axis protect CAR T cells from PD-1 induced exhaustion (Figure 2a).

TOX and NR4A

Kim et al. utilized single-cell transcriptomics to differential expression of *TOX* between PD-1 high and low populations of tumor-infiltrating CD8⁺ T cells in patient tumor samples. An increase in *TOX* expression was associated with CD8⁺ T cell exhaustion and increased

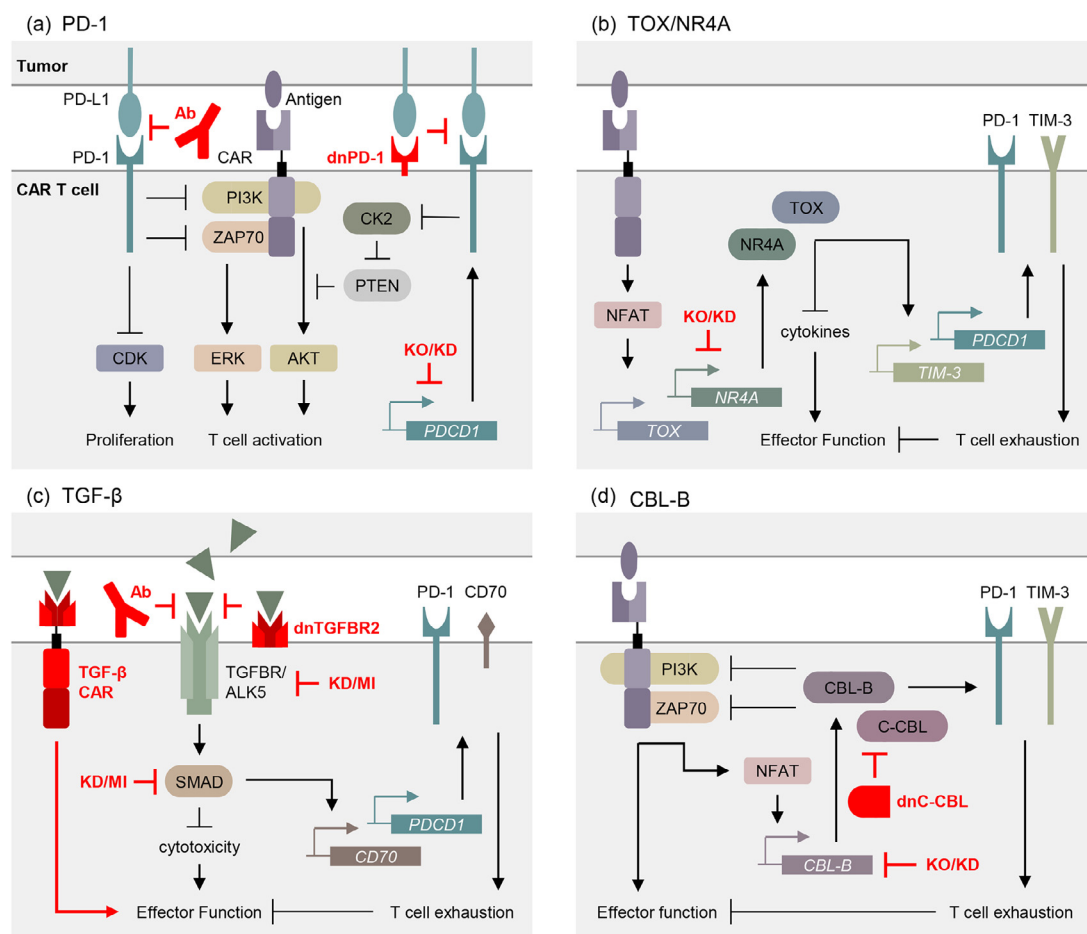


Figure 2. Intrinsic T cell approaches to overcoming exhaustion in CAR T cell therapy. Inhibition of T cell activation and promotion of exhaustion by the (a) PD-1, (b) TOX/NR4A, (c) TGF- β , and (d) CBL-B pathways. Means of targeting these pathways to resist exhaustion are depicted in red. KO: knockout, KD: knock down, MI: molecular inhibitor, dnPD-1: dominant negative PD-1, dnC-CBL: dominant negative C-CBL, dnTGFBR2: dominant negative TGFBR2. Original figure.

expression of the immune checkpoint genes *PDCD1*, *CTLA-4*, *TIM-3*, *LAG3*, and *TIGIT*, as well as increased protein level expression of PD-1 and TIM-3.^{51,52,56} Knockdown of *TOX* in tumor-infiltrating lymphocytes resulted in decreased expression of exhaustion-associated immune checkpoint molecules and increased IFN γ and TNF α production, suggesting that TOX modulates tumor infiltration-induced exhaustion in CD8⁺ T cells.^{42,51}

The TOX protein subfamily consists of four members: TOX (also referred to as TOX1), TOX2, TOX3, and TOX4. In addition to their roles in CD4⁺ T cell and T follicular helper cell development, TOX and TOX2 are highly expressed in exhausted CAR T cells (PD-1^{high}TIM3^{high}).^{54–56,84,85} TOX and NR4A cooperate to induce exhaustion in CAR tumor-infiltrating T cells and depletion of *Nr4a1* prevented exhaustion and improved anti-tumor immunity in tumor-infiltrating T cells.^{54,55,86} *Tox* and *Tox2* depletion in CAR T cells

improved anti-tumor activity and survival in tumor inoculated mice.⁵⁴ In a similar study, the triple knockout of *Nr4a1*, *Nr4a2*, and *Nr4a3* in tumor-infiltrating CAR T cells enhanced tumor regression and improved survival in tumor-bearing mice.^{55,87} Thus, targeting the T cell intrinsic TOX/NR4A axis is a promising method of improving the persistence and function of CAR T cells (Figure 2b).

TGF- β

Transforming growth factor beta (TGF- β) is a cytokine with a variety of biological functions in mammals, including the regulation of immune cell development and homeostasis.⁸⁸ TGF- β is highly expressed in the tumor microenvironment, ultimately attenuating the immune response by suppressing T cell activation and proliferation.^{89,90} TGF- β signaling is activated when a TGF- β dimer binds to and assembles two TGF- β

receptors II (TGFBR2) and two TGF- β receptors I (ALK5) into a heterotetramer. TGF- β signaling activates SMAD family proteins, which in turn suppress the expression of genes essential for CD8⁺ T cell migration and effector function.^{91–94} In CD4⁺ and CD8⁺ T cells, TGF- β -induced activation of SMAD promotes the expression of CD70, a suppressor of T cell expansion and function that is associated with increased expression of the inhibitory receptors PD-1 and TIM-3.^{95–97} Moreover, TGF- β signaling in CD8⁺ T cells promotes an exhausted phenotype of enhanced PD-1 expression, impaired expansion, and decreased immunostimulatory cytokine production.^{98,99}

Recently, multiple studies investigating TGF- β signaling blockade have demonstrated enhanced antitumor function of CAR T cells through the knockdown of TGFBR2,¹⁰⁰ overexpression of dominant negative TGFBR2,^{101,102} small molecule inhibition of ALK5,^{103,104} or sequestration of TGF- β from the tumor microenvironment through the expression of membrane bound and soluble TGFBR2 extracellular domains.^{75,105} Fusion of a TGFBR2 binding domain to a PD-1 scFv effectively sequesters TGF- β from the tumor microenvironment, preventing TGF- β -mediated immunosuppression of T cells. Expression of this bispecific protein results in the blockade of both PD-1 and TGF- β signaling, ultimately improving T cell persistence and effector function.⁷⁵ Currently, clinical trials are investigating the efficacy of TGFBR2 knock out (NCT04976218) and dominant negative TGFBR2 (NCT03089203 NCT00889954) in improving CAR T cell therapy of solid tumors.

TGF- β targeting CAR T cells have been demonstrated to improve the antitumor function of CAR T cell therapy.¹⁰⁶ TGF- β CAR T cells transform the immunosuppressive TGF- β cytokine into a potent activator of T cells both by sequestering soluble TGF- β from the tumor microenvironment and by producing immunostimulatory cytokines when they bind TGF- β .^{106–108} A clinical trial utilizing TGF- β CAR T cells in combination with GPC3 CAR T cells has been initiated; however, results have yet to be published (NCT03198546).¹⁰⁹

In addition to blocking activation, downstream effectors of the TGF- β /SMAD signaling cascade could be targeted to mediate TGF- β -induced immunosuppression in CAR T cells. Although not as well defined as upstream blockade of TGF- β ligand and receptor, inhibition of the ALK5 substrate SMAD3 has shown initial promise in improving immune response to tumors. In a mouse model, SMAD3 inhibition improved the anti-tumor activity of CD8⁺ T cells.⁹⁸ Selective SMAD3 inhibitors have similar potential in improving CAR T cell therapy. Knockdown of SMAD3 in breast cancer cells resulted in a suppression of proliferation and metastasis.¹¹⁰ As such, the introduction of a SMAD3 inhibitor to the tumor microenvironment could simultaneously promote resistance to exhaustion in tumor-infiltrating CAR T cells and directly suppress tumor growth.^{98,110,111} Overall, the abrogation of

TGF- β signaling in T cells has demonstrated significant promise in protecting against exhaustion and enhancing effector function (Figure 2c).

CBL-B

TCR ligation activates NFAT, which induces the expression of the E2 ligase Casitas B-lineage lymphoma-b (CBL-B), a negative modulator of immune activation. CBL-B can influence multiple signaling pathways through the targeted ubiquitination and subsequent down-regulation of protein tyrosine kinases (PTKs).¹¹² CBL-B is upregulated in PD-1 and TIM-3 expressing exhausted CD8⁺ T cells.^{113,114} *Cbl-b* deficient T cells have greater tumor clearing efficiency compared to wild type controls.¹¹⁵

Recently, CRISPR-Cas9 guided deletion of *Cbl-b* was shown to effectively reverse exhaustion and restore effector function in exhausted CAR T cells.¹¹³ These *Cbl-b* deficient CAR T cells had reduced expression of the exhaustion markers PD-1 and TIM-3, greater anti-tumor function, and restored expression of the immunostimulatory cytokines IFN- γ and TNF- α . Additionally, *Cbl-b* deficient T cells are resistant to PD-1/PD-L1 checkpoint-mediated inhibition of proliferation and IFN- γ production.^{116,117} Currently, the autologous transfer of CBL-B-silenced peripheral blood mononuclear cells is being investigated for its efficacy in the treatment of metastatic solid tumors (NCT03087591).

Interestingly, a case report from a CD22 CAR T cell clinical trial describes the clonal expansion of CAR T in a patient with relapse of pre-B cell ALL. Quantitative vector integration site analysis revealed that the CAR lentiviral vector integrated into the *C-CBL* gene, a closely related homolog of CBL-B (NCT02315612).¹¹⁸ The authors theorize that the observed clonal expansion is due to the formation of a dominant-negative C-CBL mutant. The expression of a dominant negative C-CBL would account for the clonal expansion, as heterozygous knock out of *CBL-B* is not known to enhance antitumor efficiency of T cells,^{119,120} warranting further investigation of dominant negative CBL proteins in CAR T cell activation and persistence (Figure 2d).

One caveat with these strategies is that *Cbl-b* depletion can induce autoimmunity.¹²¹ Indeed, whole-mouse *Cbl-b* knockout causes an increase in the incidence of autoimmune arthritis in a DBA/1 arthritis mouse model.^{122,123} However, the impact of conditional knock-out or the adoptive transfer of *Cbl-b* deficient T cells on autoimmunity remains unclear.

CAR engineering approaches to overcoming exhaustion in CAR T cell therapy

Modulating the surface expression of the CAR

CAR T exhaustion is partly attributed to prolonged exposure to the immunosuppressive microenvironment,

upregulation of inhibitory receptors, and persistent CAR stimulation by antigen. As such, limiting or interrupting CAR:antigen interaction is an attractive strategy for ameliorating exhaustion in CAR T cells. Currently, antigen presentation on tumor cells cannot be regulated, which has led to the development of methods for controlling CAR expression on the surface of T cells. Weber et al. employ inducible “transient rest” to restore effector function in exhausted T cells, using a CAR that degrades upon withdrawal of a particular small molecule.¹²⁴ Induced transient loss of CAR expression restored effector function and enhanced the anti-tumor response of exhausted CAR T cells (Figure 3a and b). Similar systems in which the efficient modulation of CAR expression and activity through drug-induced destabilization have been reported, however, they do not detail the impact of CAR suppression on exhaustion (Figure 3c).^{125,126} Transcriptional control of CAR expression has also been explored as a strategy for mitigating prolonged antigen stimulation. Doxycycline-inducible CAR expression has been demonstrated to mitigate CAR T cell therapy toxicity and improve clinical safety.^{127–129}

It is thus plausible that small molecule inducible expression systems will be useful for mitigating antigen-induced exhaustion (Figure 3d).

So-called “self-driving” CARs utilize transcriptional response elements such as AP1-NF- κ B or STAT5 to control CAR expression, such that antigen stimulation leads to upregulation of the CAR. Compared to a traditional CD19 CAR, placing the CAR under the control of AP1-NF- κ B resulted in greater expansion and exhaustion resistance with equivalent *in vivo* antitumoral function (Figure 3e).¹⁰² Recently, a synthetic promoter designed to respond to the tumor microenvironment has been shown to efficiently restrict CAR expression to the T cells at the tumor site.¹³⁰ The synthetic promoter consists of IFN γ , NF κ B, and hypoxia response elements and synergistically activates CAR expression in response to immunosuppressive conditions (Figure 3f). In addition to synthetic promoters, endogenous promoters can be appropriated to control the expression of CAR. Using CRISPR/Cas9, a CD19-CAR was delivered to the T cell receptor α constant (*TRAC*) locus which simultaneously knocked out the TCR and knocked in the CAR under the control of the *TRAC* promoter. Compared to conventional retrovirally produced CAR T cells, the *TRAC*-edited CAR T cells have more consistent CAR expression, greater tumor control, improved persistence, and reduced antigen-induced exhaustion.¹³¹

Uncoupling antigen recognition from CAR activation signaling

In addition to titrating CAR expression to modulate CAR signaling, the antigen recognition domain of the CAR can be uncoupled from the activation domain to

combat prolonged antigen stimulation in CAR T cells.¹³² Switchable CAR constructs make use of a soluble antigen recognition domain, or switch, to recognize tumor-specific antigen (Figure 3g).^{133–137} Dosing of the switch, which binds to the cell-bound CAR portion to effect signaling, allows for reversible modulation of CAR activation in T cells, potentially preserving effector function through periods of rest. Additionally, switch designs permit substitution of CAR specificity on demand. Similarly, split CARs can be used to control overactivation in cells by dissociating CAR activation from antigen recognition or co-stimulation. Activation of a split CAR requires the presence of a dimerization molecule for CAR assembly, and the presence of tumor antigen for CAR activation (Figure 3h).^{134,138,139} Similar to modulating the expression of the CAR, uncoupling of antigen recognition and CAR signaling is a potential method of reducing the risk of exhaustion through cessation of persistent antigen stimulation.

Concluding remarks

An important consideration when attempting to block resistance in CAR T cell therapy is that T cell exhaustion is not without evolutionary function; attenuating immune tolerance to chronic antigen stimulation risks inducing immunotoxicity.^{140,141} Although PD-1 blockade enhances CAR T cell therapy, it is associated with T cell autoreactivity, which can enhance tumor growth.^{142–144} Similarly, Cbl-b is essential for the regulation of immune tolerance and preventing autoimmunity.^{121,122} To avoid swinging the pendulum too far, suicide or safety switches have been implemented to induce CAR T cell death in the event of toxic side effects. The inducible Caspase9 (iC9) safety switch system efficiently induces apoptosis in CAR T and effectively controls adverse events in patients after hematopoietic stem cell transplantation (NCT01494103).^{107,145,146}

The antigen density of the CAR target can also impact the efficacy of CAR T cell therapy. Antigen escape is a common mechanism of tumor evasion of CAR T cell therapy and highlights the need to improve CAR T cell response to low antigen densities. Altering the antigen density threshold is one strategy of optimizing CAR T cell response to clinically relevant antigen density. Recently, Lynn et al. demonstrate that overexpression of c-Jun, which was previously shown to enhance persistence, improves GPC2 CAR T cell anti-tumor activity at low antigen densities without toxicity.^{147,148}

In addition to T cell intrinsic factors, methods of counteracting T cell exhaustion by targeting the tumor microenvironment have been explored. “Armored” CAR T cells, which are so named for the protective effects in the tumor microenvironment, have become a prominent area of interest in cancer immunotherapy.^{14,149} These CAR T cells may express an immunostimulatory cytokine, an inhibitory receptor blocking antibody, or an

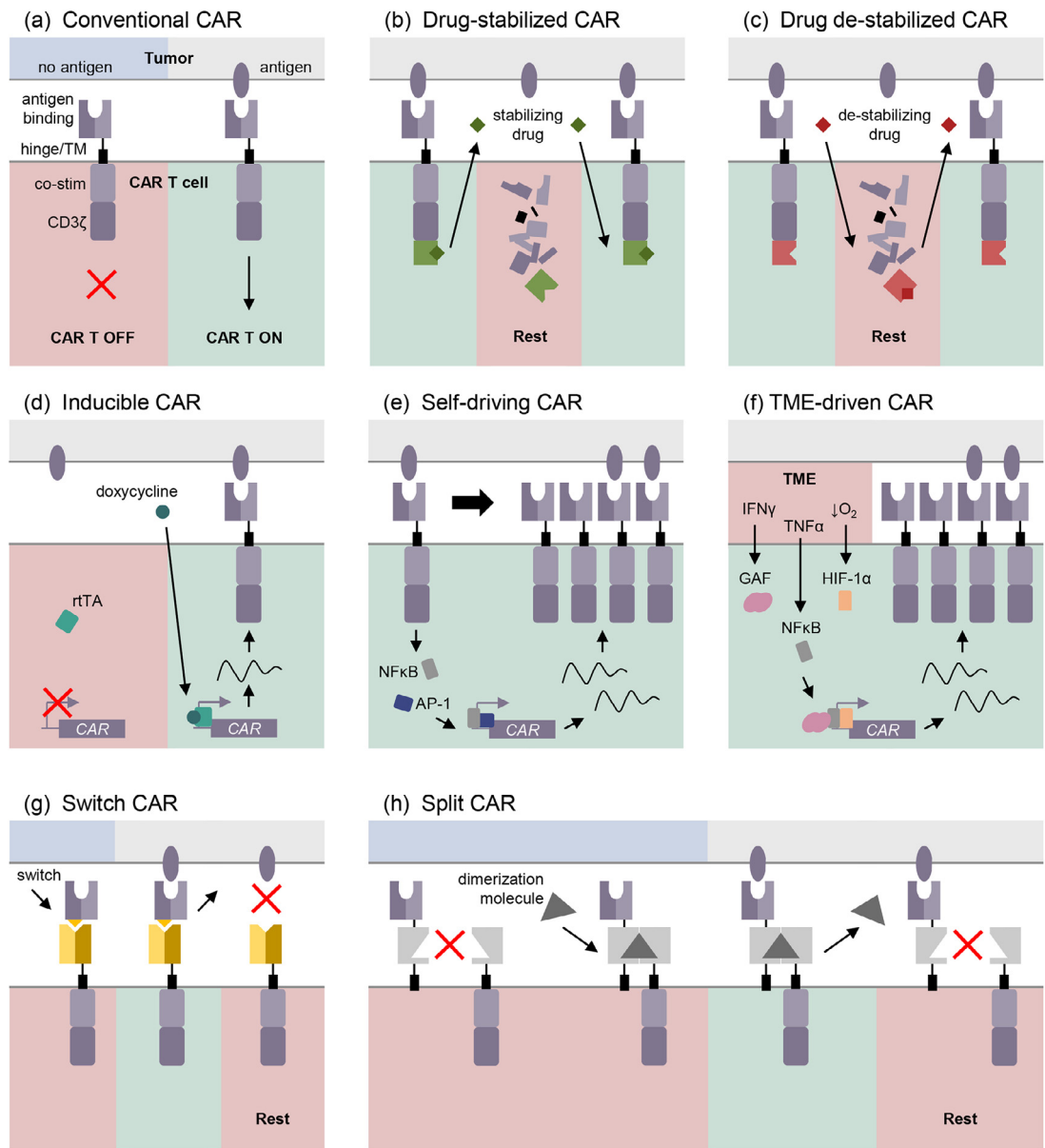


Figure 3. CAR construct approaches to overcoming exhaustion in CAR T cell therapy. (a) Diagram of the conventional construction of a CAR. Post-transcriptional regulation of CAR at the surface of the T cell include drug-induced stabilization (b) and de-stabilization (c). Methods of regulating CAR expression at the transcriptional level include inducible systems (d), self-driving CAR promoters (e), and tumor microenvironment (TME) factor driven CAR promoters. Switchable CARs (g) and split CARs (h) uncouple the antigen recognition or co-stimulation from the CD3 ζ domain. TM: transmembrane domain, co-stim: co-stimulatory domain, TME: tumor microenvironment. Original figure.

inhibitory cytokine sink. In addition to the previously described PD-1 and TGF- β intercepting methods, a CAR T cell modified to constitutively secrete IL12 was able to overcome and modulate the tumor microenvironment in a model of ovarian peritoneal carcinomatosis, ultimately improving anti-tumor function, and is currently employed in a phase I clinical trial for high-grade serous ovarian cancer (NCT02498912).¹⁵⁰ Alternatively, the

physical barrier of the tumor microenvironment can be targeted. Remodeling of the extracellular matrix of the tumor microenvironment through degradation of hyaluronan has been shown to promote CAR T cell infiltration and anti-tumor activity.¹⁵¹

In conclusion, overcoming T cell exhaustion is a significant challenge for the success of CAR T cell therapy. Persistent antigen exposure and immunosuppression

exacerbate intrinsic inhibitory signaling in the CAR T cell, driving terminal differentiation and dysfunction. In this review, we have summarized the mechanisms of exhaustion and highlighted current clinical and preclinical strategies of counteracting CAR T cell dysfunction. Methods of modulating intrinsic T cell pathways include the blockade of exhaustion-promoting signaling, inhibition of downstream effectors, the negation of TME immunosuppression, transformation of inhibitory signals to stimulatory signals, and modification of the CAR. Several of these strategies have demonstrated significant promise, and their safety and efficacy are currently being determined in clinical trials.

Outstanding questions

Despite the recent advancements in cancer immunotherapy, the prevention and reversal of exhaustion remains a focus for future research aimed at improving the CAR T cell therapeutic response. To develop more effective therapies, the deficiencies in our understanding of the mechanisms of exhaustion in the context of CAR T cells must be addressed. This would promote the development of more precise and effective methods of inhibiting T cell differentiation and hyporesponsiveness by targeting the intrinsic mechanisms of T cell exhaustion. Lastly, the safety of these methods must be exhaustively investigated as removing the brakes of the immune system could have devastating consequences.

Search strategy and selection criteria

Articles and clinical trials were selected from searches on Google Scholar, PUBMED, and ClinicalTrials.gov. Search terms used to identify relevant papers included “T cell exhaustion”, “CAR T cell”, “CAR T cell dysfunction” and “CAR T cell exhaustion”. Additionally, searches were supplemented with the search terms “mechanism”, “TME”, “PD-1”, “TOX”, “NR4A”, “TGF- β ”, “Cbl-B” to identify papers relevant to the biology, molecular pathways, and mechanisms of CAR T cells and T cell exhaustion. The selected articles were published between 1993 and 2021 in English.

Declaration of interests

The authors declare no relevant conflicts of interest.

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Author contributions

DG and LDW: conceived of and designed the manuscript. DG: performed the literature search, drafted the manuscript, and designed the figures. LDW and DG: edited and revised the manuscript. Both authors read and approved the final manuscript.

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