

# Gut microbiota of the very-low-birth-weight infant

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The microbiome, of which the bacterial component alone (microbiota), is estimated to include 10 times more cells than human cells of the body, blooms immediately after birth and evolves in composition and complexity throughout childhood. The gut microbiome has a profound impact on gastrointestinal tract development, maintenance of mucosal surface integrity, and contributes to the nutritional status of the host and thus plays a pivotal role in health and disease. New technologies have enabled the detailed characterization of normal microbial symbionts and dysbiosis–disease associations. This review summarizes the stepwise establishment of the intestinal microbiota, influential environmental factors, and how this may be perturbed in preterm very-low-birth-weight infants. The contribution of the microbiota to provision of energy and nutrients for intestinal development and the nutritional status of the host are reviewed. In addition, the crucial role of the gut microbiota in maintaining mucosal integrity is explored along with how its breakdown can lead to sepsis, necrotizing enterocolitis, and systemic inflammatory response syndrome. Finally, the role of enteral feeding type (human milk, formula, and nutrient fortification) in mediating these processes is discussed, and guidance is provided for nutritional strategies to promote health in these fragile infants.

**T**he microbiome describes the totality of the microbes in an environment, including bacteria, protozoa, viruses, fungi, and their genetic elements. The human microbiota describes the bacteria colonizing every surface of the body from the skin to the respiratory tract, genitourinary tract, and gastrointestinal tract (GIT). The intestinal microbiota constitutes the most abundant microbial community in humans with 10 times more cells and 150 times more genes than human cells. Until recently, little was known of the GIT microbiota of very-low-birth-weight (VLBW, <1,500 g) infants as traditional culture-based techniques identified only 20% of GIT microbes (1). Advances in molecular technologies have allowed a rapid expansion of knowledge of the human microbiome.

The GIT microbiota is crucial in maintaining mucosal integrity, and its breakdown can lead to sepsis, necrotizing enterocolitis (NEC), and systemic inflammatory response syndrome

(2). Distal organ damage in systemic inflammatory response syndrome has been linked to severe morbidities associated with preterm birth including brain damage (periventricular leukomalacia) and lung damage (chronic lung disease) (2). The GIT microbiota best associated with optimal health is unknown; however, an overarching finding in VLBW infants is decreased GIT microbiota diversity and a higher pathogen load (1,3–6).

## SELECT APPROACHES OF CHARACTERIZING THE INTESTINAL MICROBIOME

In the past century, investigations of the GIT microbiota were cultured based with the identification of the genus, species, and even strain using a battery of morphological and biochemical tests. However, up to 80% of gut microbes remain very difficult to culture (1). A number of new molecular-based approaches have been developed over the past decade revolutionizing the study of microbial communities. High-throughput sequencing of the 16S ribosomal RNA gene is the most widely employed approach used to characterize a microbial community. The 16S rRNA is part of the small ribosomal unit that is preserved in all organisms and contains highly conserved sequence domains interspersed with hypervariable regions. Selective amplification of these hypervariable regions followed by high-throughput sequencing is an efficient way to characterize the microbial community (7).

Beyond 16S rDNA-based sequencing, metagenomic, metatranscriptomic, metaproteomic, metabolomic, and non-16S rDNA-targeted approaches are increasingly employed to generate functional and quantitative information on microbial communities (8). The metagenome refers to the totality of the genomes from the whole community including bacteria, fungi, viruses, and protozoa. To analyze a metagenome, DNA is extracted and shotgun sequenced to determine functional and biochemical capabilities of the microbial community. Two strains of any given bacterial species may differ in DNA content by as much as 25% allowing for the same species but different strains to be harmless, commensal, or harmful.

A further step is to identify not only which genes are present in a microbiome but which genes have been expressed into mRNA (metatranscriptomics) or proteins (metaproteomics)

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(8). At present, metatranscriptomics of the GIT microbiome is limited by the inherent instability of RNA and difficulties extracting it from stool. Metaproteomics is an attractive alternative but has been limited because of analytical challenges, incomplete reference databases, and highly redundant protein sequences between different microbes. Metabolomic analyses use mass spectrometry or nuclear magnetic resonance spectrometry to monitor the whole set of small molecules produced by microbes or the host cells within a sample (8).

**NORMAL INTESTINAL COLONIZATION**

Predominant gut organisms from the kingdom bacteria are presented in **Figure 1**. More than 1,000 bacterial species have been identified in the adult GIT with the three phyla being prominent: Bacteroides, Actinobacteria, and Firmicutes. In any single individual, 99% of the GIT microbiota is made up of 30–40 species (9). Until recently, we believed that the GIT of a healthy-term newborn was sterile; however, meconium is now known to contain microbial rDNA (10), suggesting that infants are colonized before birth. Oral administration of genetically labeled *Enterococcus faecium* to pregnant mice led to their presence in the meconium of born pups by cesarean, demonstrating the possibility of prenatal maternal microbial transmission (11).

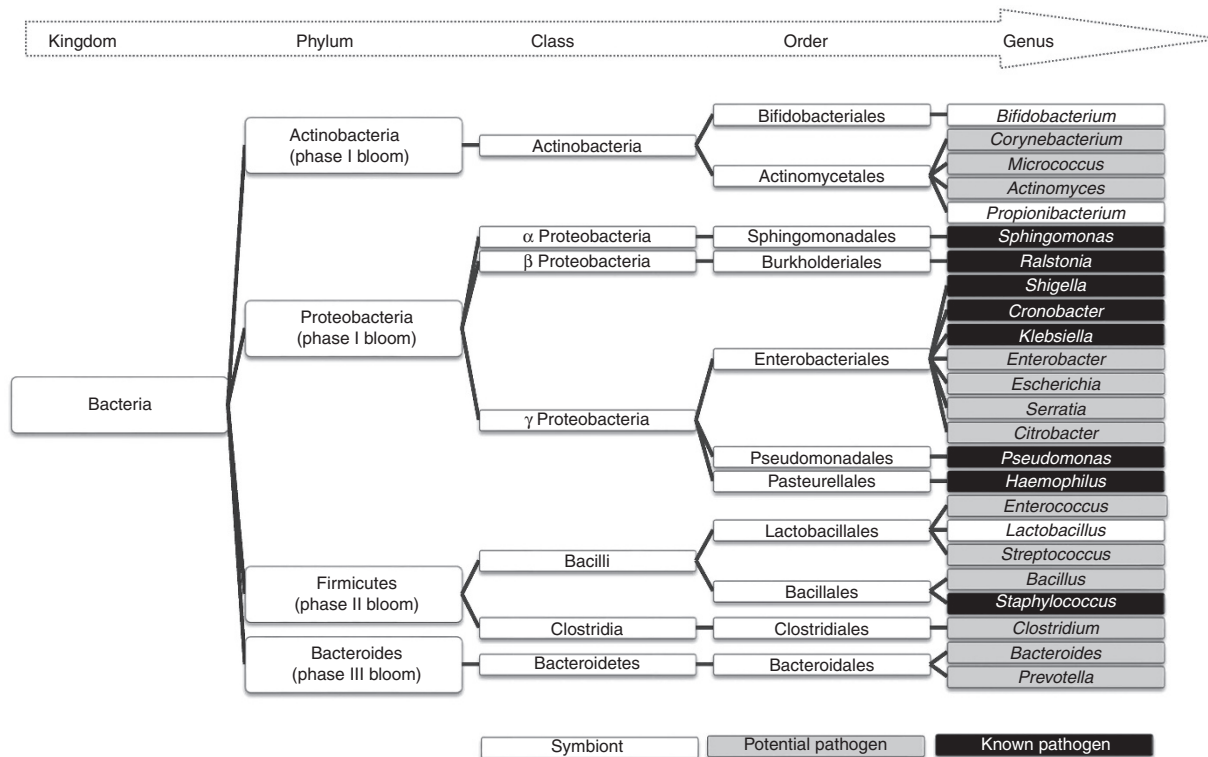
Immediately after birth, bacterial colonization of the GIT proceeds rapidly (phase I, 1–2 wk) with aerotolerant microbes. It continues through exclusive breastfeeding (phase II) until weaning (phase III) in a stepwise manner with the appearance of strict anaerobes such that by 18–24 mo of age, the microbiota

resembles the extremely dense and complex microbial colonization of an adult (phase IV) (7,12,13). The infant microbiota is more variable day-to-day in its composition and less stable over time compared with that of the adult (1,12,14,15). The relative stability of the adult microbiota has been challenged by a recent study demonstrating that a single week of dietary change is sufficient to alter the intestinal microbiota (16). Infant microbial colonization can be significantly affected by exogenous factors including the mode of delivery, feeding type, antibiotics, and introduction of solid food.

**INTESTINAL COLONIZATION OF THE PRETERM VLBW INFANT**

Colonization of the GIT is perturbed by a number of factors prevalent among VLBW infants including: cesarean delivery, antibiotics (mother or infant), prolonged rupture of the membranes, parenteral feeding, delayed enteral feeding, slower GIT transit time, gestational age, birth weight, living in a populated neonatal intensive care unit with an enriched pathogen load, and lack of exposure to mother’s skin and breast milk microbiome (1,3–6,13,17–22).

**Table 1** summarizes studies describing the GIT microbiota of VLBW infants using molecular techniques. There appear to be significant differences in the composition of the intestinal microbiota of preterm compared to term infants, with decreased bacterial diversity, increased pathogens potentially related to NEC, and a surprising increase in eukaryotic and viral diversity (3,6,23–30). As described in both **Tables 1** and **2**, these studies represent small numbers of patients and samples



**Figure 1.** Classification of bacteria documented to colonize the gastrointestinal tract of very-low-birth-weight infants.

**Table 1.** Summary of intestinal microbiota studies from very-low-birth-weight infants

Number of patients and characteristics	Sample type and study characteristics	Results and comments	Reference
<i>n</i> = 29 GA: 24–37 wk BW: 830–2,635 g	Stool samples Human milk feeds Seven infants received antibiotics	Increasingly diverse profile over first month of life; most common organisms <i>Escherichia coli</i> , <i>Enterococcus</i> spp., <i>Klebsiella pneumoniae</i> ; no impact of BW, diet, or antibiotics	(6)
<i>n</i> = 16 GA: 27–36 wk BW: 910–2,300 g	Stool samples Mixed breast milk and formula feeds Nine infants received antibiotics	Low global species diversity and high interindividual variability (3–8 species per infant), main groups in Enterobacteriaceae family and only four with bifidobacteria	(28)
<i>n</i> = 1 GA: 28 wk	Stool samples Mother's milk and formula fed Treated with antibiotics	Able to demonstrate at strain level three phases of compositional change in microbiota over 3-wk period; may be relevant for future therapy aimed at microbiota function	(30)
<i>n</i> = 11 Mean GA: 27 wk Mean BW: 765 g	Stool samples Mother's milk and donor milk All with antibiotics and nystatin	High fungal diversity (dominated by <i>Candida</i> spp. and <i>Clavispora</i> spp.); evidence of human and bacterial viruses; low bacterial diversity; presence of many bacterial pathogens	(26)
<i>n</i> = 6 GA: 24–27 wk BW: 510–1,080 g	Stool samples mother's milk ± bovine human milk fortifier Combinations of mother and infant antibiotic administration	Meconium was not sterile (containing Enterobacteriaceae and <i>Staphylococcus</i> ); prolonged antibiotic exposure decreased bacterial diversity while promoting <i>Staphylococcus</i> level; the gut microbiota of healthy infants had high diversity and predominance of <i>Clostridium</i> , <i>Klebsiella</i> , and <i>Veillonella</i> ; low microbial diversity correlated with sepsis	(27)
<i>n</i> = 6 Two VLBW with GA: 27/28 wk and BW: 1,050 and 1,315 g	Stool samples Mixed breast milk and formula feeds Both infants received antibiotics	Enterobacteriaceae are predominant in preterm and VLBW babies; Streptococcaceae, Lactobacillaceae, and Bifidobacteriaceae are predominant in full-term infants	(23)
<i>n</i> = 28 Mean GA: 27 wk Mean BW: 983 g	Stool samples Mixed mother's milk ± bovine fortifier with formula Combinations of mother and infant antibiotic administration	Comparison of 10 cases with late-onset sepsis to 18 matched controls; overall diversity lower with altered microbiota structure 2 wk prior to sepsis but not at diagnosis; suggests perturbed development pattern of microbiota not enrichment of pathogens	(29)
<i>n</i> = 6 GA: <32 wk 3 infants VLBW	Stool, saliva, and skin samples Mixed breast milk and formula feeds All infants received antibiotics	Microbiota composition is specific to body site and each infant; site-specific microbial communities emerge early; microbiota of VLBW infants harbored taxa known to be associated with NEC ( <i>Staphylococcus</i> , <i>Clostridium perfringens</i> , <i>Pseudomonas aeruginosa</i> , and others).	(25)
<i>n</i> = 2 GA: 26/28 wk BW: 951 and 1,148 g	Stool samples matched to six environmental site samples One mother's milk, one formula One with antibiotics	Samples taken every 3 d for 1 mo; dominant gut taxa found throughout room, some prior to detection in neonate's gut Metagenomic analysis demonstrated presence of suite of genes conferring resistance to antibiotics and sterilizing agents	(3)
<i>n</i> = 21 GA: 30–35 wk BW: 1,190–28,020 g	Stool samples at day 2, 10, 30, and 90 Mother's milk and formula feeds Combination of maternal and infant antibiotics	Samples compared with full-term vaginally delivered exclusively breastfed infants. Increased facultative anaerobes and decreased strict anaerobes such as <i>Bifidobacterium</i> . Lower levels of short-chain fatty acids as measured by chromatography	(24)
<i>n</i> = 14 GA: 24–32 wk BW: 600–2,190 g	Weekly stool samples for 3 wk Combination of antibiotic therapy and enteral feeds	Documented Bacilli and Firmicutes in meconium with later fecal bloom of Proteobacteria	(10)

BW, birth weight; GA, gestational age; NEC, necrotizing enterocolitis; VLBW, very low birth weight.

**Table 2.** Summary of intestinal microbiota studies from infants with necrotizing enterocolitis (NEC)

Number of patients and characteristics	Sample type and study characteristics	Results and comments	Reference
NEC, <i>n</i> = 10 GA: 24–34 wk Control, <i>n</i> = 22	Stool samples and one postmortem No information on feeds and antibiotics prior to diagnosis	First report of microbiota using molecular technique for patients with NEC No differences between cases and controls; however, all but one sample from cases taken after the time of diagnosis and initiation of antibiotic treatment	(59)
NEC, <i>n</i> = 3 Mean GA: 28.5 wk Mean BW: 880 g Control, <i>n</i> = 9	Weekly stool samples One case and eight controls received antibiotics prior to diagnosis	<i>Clostridium perfringens</i> predated development of NEC in all cases and was not seen in controls	(58)
NEC, <i>n</i> = 10 GA: 25–32 wk Control, <i>n</i> = 10	Stool samples Both breast milk and formula feeds	NEC samples clustered differently from non-NEC with decreased diversity and increased $\gamma$ proteobacteria Samples taken after the diagnosis of NEC	(62)
NEC, <i>n</i> = 6 Control, <i>n</i> = 6 Mean GA: 29.9 wk Mean BW: 1,394 g	Weekly stool samples Both breast milk and formula feeds Combination of maternal and infant antibiotics	No significant differences in overall microbiota profile in cases of NEC; however, increased abundance of <i>Citrobacter</i> - and <i>Enterococcus</i> -like gene sequences in cases Diversity differences noted if mother intended to breastfeed, had chorioamnionitis, or infant <30 wk	(60)
NEC, <i>n</i> = 5 mean GA: 26.8 wk Control, <i>n</i> = 5	Weekly stool samples for 10 wk Mother's milk and formula feeds	Samples from healthy babies evolved to that of term infants by 6 wk, those with NEC diverged 3 wk prior to diagnosis with a bloom of Proteobacteria and a decrease in Firmicutes Metagenomic analysis within a twin set discordant for the diagnosis of NEC revealed altered carbohydrate metabolism in the infant with NEC	(57)
NEC, <i>n</i> = 18 Mean GA: 27.4 wk Mean BW: 1,073 g Control, <i>n</i> = 35	Stool samples 2, 1, 0 wk prior to NEC Mother's milk and formula feeds Combination of maternal and infant antibiotics	Cases had higher counts of Proteobacteria 2 wk and Actinobacteria 1 wk prior to NEC and lower counts of Bifidobacteria and Bacteroidetes. These changes were correlated to antibiotic usage. A novel signature sequence was isolated most closely resembling <i>Klebsiella pneumoniae</i> suggesting a perturbation in microbial colonization	(61)

BW, birth weight; GA, gestational age.

with between 1 and 29 patients per study in the VLBW group and between 3 and 18 patients in the NEC group. Other limiting factors in studying these data are the heterogeneity (or lack of reporting) of patient characteristics, diet, mode of delivery, antibiotic course, and sampling frequency and timing (some samples only collected after the onset of NEC).

### Impact of Mode of Delivery

Infants born by cesarean have a different GIT microbiome compared with those born vaginally, and some of these differences are sustained throughout early childhood (3,14,15,20,31,32). Vaginal birth leads to an inoculation of infants with maternal fecal and vaginal bacteria. In contrast, cesarean-born infants are exposed initially to bacteria originating from the hospital environment, health care workers, and their mother's skin. In

term infants, vaginal delivery results in a higher GIT bacterial richness (number of different species) and diversity (richness and evenness of their distribution) (20,31). While study-to-study differences exist, most recently, Azad *et al.* (31) reported that *Escherichia-Shigella* is underrepresented, and the phylum Bacteroidetes was undetectable in 4-mo-old term-born infants born by cesarean compared with vaginal delivery. Contrary to previous studies, this group did not observe differences by mode of delivery in prevalence of *Clostridium difficile* or the relative abundance of *Bifidobacterium* or *Clostridium*.

### Impact of Antibiotic Exposure

Most VLBW infants receive broad-spectrum antibiotics during their early postnatal course possibly resulting in inadequate phase I colonization (13) with an apparent inverse

correlation between the number of days of antibiotics in the first month postnatally and microbial diversity as well as total bacterial load in stools (18). Early studies suggested that colonization with beneficial bacteria such as *Lactobacillus* is specifically affected (19,33) and that antibiotic usage promotes a bloom of *Staphylococcus*. More recently, it was shown term infants treated with parenteral ampicillin and gentamicin within the first 48 h of birth demonstrated significant reductions in the phyla Actinobacteria (including *Bifidobacterium*) and Firmicutes (including *Lactobacillus*) which were replaced by Proteobacteria (including *Enterobacteriaceae*) (34). The dominance of Proteobacteria and reduced microbial diversity remained for at least 8 wk after treatment. In an adult human study, exposure to clindamycin for 7 d led to a decline in bacterial diversity that persisted for up to 2 y (35). Given the instability of the newborn GIT microbiota, antibiotics exposure could be expected to induce profound alterations of the microbial community with long-term consequences (27,29,35).

### Impact of Diet

Healthy-term newborns appear to be initially colonized by large numbers of *Enterobacter* and *Streptococcus*, regardless of feeding type (12). It is proposed that these bacteria are responsible for creating a reduced environment in the GIT which favors establishment of the anaerobes *Bacteroides*, *Bifidobacterium*, and *Clostridium* by day 4 to 7. In the term breastfed infant, it has been shown that by 7 d, the beneficial *Bifidobacteria* and *Lactobacillus* predominate (36). In formula-fed infants, a more adult-type flora is most prevalent (13,32,37,38) along with an abundance of potentially pathogenic bacteria (*Clostridium difficile* and *Escherichia coli*) (5,36,39). Most recently, Azad *et al.* (31) reported lower bacterial richness and diversity in the stools of 4-mo-old breastfed infants compared with formula-fed infants; further formula-fed infants had increased richness and overrepresentation of *C. difficile* compared with breastfed infants.

The differences in colonization of human milk-fed compared with formula-fed infants are believed due, in part, to breast milk inoculating the GIT with its own rich microbiome and abundant source of oligosaccharides that selectively stimulate the growth and/or activity of beneficial bacteria (40,41). It has been shown that during breastfeeding a significant volume of milk flows from the baby's mouth back into the mammary ducts providing an opportunity for bacteria from the baby's mouth to make its way into breast milk (42). Even after cleansing the breast using an iodine solution to minimize bacterial contamination, pyrosequencing of the 16S rRNA gene from milk reveals the microbial community in human milk to be highly diverse and complex (40,43). Data from human and animal studies suggest that bacteria or their components may actively migrate from the maternal GIT, by way of macrophages or dendritic cells, to the mammary gland and breast milk (21,44), thereby providing another mechanism for different colonization patterns of human milk-fed compared with formula-fed infants. Preliminary data also suggest that maternal obesity and elective cesarean section

may also be associated with microbial diversity and composition in breast milk (40).

### ROLE OF THE MICROBIOME ON GIT DEVELOPMENT AND MAINTENANCE OF MUCOSAL SURFACE INTEGRITY

Human beings are born with a naive immune system that must develop tolerance to its environment and mature to develop an appropriate immunological response to pathogens. The GIT represents the largest surface area exposed to the external environment and contains 70–80% of the body's immune cells (45). The microbiota communicates with host cells via Toll-like receptors (TLR) which are transmembrane proteins present throughout the GIT that sense microbes by their conserved molecular patterns. For example, TLR-2 recognizes peptidoglycan and lipoteichoic acid from Gram-positive bacteria, TLR-4 recognizes lipopolysaccharide, the endotoxin of Gram-negative bacteria, and TLR-5 recognizes flagellin (46). Paradoxically, signaling induced by commensal bacteria is required for development of gut epithelial cell protection against inflammatory mediator injury as well as for cell repair (47). The effects of commensal bacteria include enhancement of tight junctions, stimulation of mucin production, and downregulation of cytokine production. Evidence exists for induction of enterocyte protection by *Lactobacillus*, *Bifidobacterium*, *Bacteroides thetaiotaomicron*, and *Streptococcus thermophilus* (46).

The microbiota may impact not only epithelial cell development but also endothelial cell ontogenesis. Intestinal angiogenesis is ongoing at the time of acquisition of the microbiome, and a perturbation of angiogenesis may be implicated in intestinal inflammatory conditions such as NEC. In a laboratory model, fibroblasts have been shown to produce proangiogenic factors in response to microbial cell products, and endothelial cells have demonstrated proliferation, migration, tube formation, and vessel sprouting (48).

Further evidence for the role of the microbiome on gastrointestinal development comes from experiments with germ-free animals as well as from alteration of the microbiome with antibiotics. Germ-free rodents have a decrease in transcription of mucin genes and a decreased thickness of the mucus layer along with fewer Goblet cells and less IgA production (22). Suckling rats exposed to 2 wk of antibiotics at the time of weaning show significant downregulation of genes encoding Paneth cell products (important in host defense) and the major histocompatibility complex Ib and II proteins (antigen presentation), thus potentially altering the development of tolerance to food antigens (22).

### THE ENERGY AND NUTRIENT CONTRIBUTION OF THE GIT MICROBIOTA

Several lines of evidence suggest that the microbiota of the distal small intestine and colon make a substantial contribution to the nutrition of the host (up to 40–50% of energy intake). Germ-free animals have a higher dietary requirement for energy than those with an intact microbiota and when the microbiota of conventionally reared mice are transplanted into

to lean germ-free mice, their body weight increases dramatically (49). Many bacterial species in the distal intestine can ferment undigested carbohydrates (e.g., breast milk oligosaccharides) to produce the short-chain fatty acids acetate, propionate, and butyrate (50). Butyrate is a major energy source for colonocytes, and propionate and acetate are absorbed and enter the portal circulation where they are used by a variety of body tissues, particularly the liver, in energy metabolism, lipogenesis, and gluconeogenesis. Additionally, short-chain fatty acids are believed to influence energy metabolism by binding to and activating G protein-coupled receptors on colonic epithelial and enteroendocrine cells resulting in the release of peptide YY and glucagon-like peptide. We reported piglets fed formulas containing a mixture of inulin (fructooligosaccharide source) and galactooligosaccharides for the first 28 d had colons that weighed ~40% more than piglets fed the same formulas but without a source of oligosaccharides (51). Increased bacterial load supported by the provision of undigested carbohydrates and the resultant microbial synthesis of butyrate is thought to play a role in increased intestinal cell proliferation. These findings highlight the importance of the microbiota for the VLBW infant with increased energy requirements whose colon must double in length before reaching 40 wk corrected age.

Differences in microbial community composition between normal weight and obese children and adults have been observed (50). Important in reference to the VLBW infant, new data from developing countries suggest that the GIT microbial composition is altered in both marasmus (malnutrition due to insufficient energy) and kwashiorkor (malnutrition due to insufficient protein) (50). Interestingly, transplantation of the microbiota of Malawian children with kwashiorkor to gnotobiotic mice fed a rodent diet patterned after the Malawian diet resulted in significant perturbation of both amino acid and carbohydrate metabolism (52).

It has long been recognized that intestinal bacteria are critical in synthesizing a number of vitamins, vitamin K being the classic example. Routine vitamin K prophylaxis of healthy newborns is required to prevent hemorrhagic disease as a result of minimal placental transfer of the vitamin but also due to the low concentrations of vitamin K producing bacteria in the GIT at birth. Our own work in this area suggests that the total amount of the B-vitamin folate synthesized by bacteria in the colon may approach or even exceed dietary intake in both infants and adults and it can be absorbed (53,54). Folate plays an important role in DNA and RNA biosynthesis, amino acid synthesis, and cell division, all processes important for healthy GIT development. Other vitamins thought to be synthesized by bacteria include vitamin B12, biotin, thiamine, riboflavin, and pyridoxine.

Although beyond the scope of this review, the GIT microbiota also contributes a wide variety of other small molecules which have important functions. These metabolites include bile acids (facilitate fat absorption), choline metabolites (modulate lipid and glucose homeostasis), phenolic, benzoyl, and phenyl derivatives (detoxification of xenobiotics), indole derivatives (protect against stress-induced lesions in the gut), lipids, and many more (55).

IMPACT OF THE MICROBIOME ON HEALTH OUTCOMES IN VLBW INFANTS

Short Term

The interaction between the VLBW infant and their microbiome is presented in Figure 2. The VLBW baby is at elevated risk for feeding intolerance, NEC, and sepsis. The pathophysiology of these disorders is likely multifactorial involving a combination of intestinal mucosal barrier immaturity, an imbalance in microvascular tone, aberrant microbial colonization and an unbalanced immune response (56). Infectious causes for NEC have been sought for more than 30 years with the implication of several bacteria (namely several species of *Clostridium*, *Klebsiella pneumoniae*, *Escherichia coli*, and *Cronobacter sakazakii*) and viruses (namely Coronavirus, Coxsackie, Rotavirus, Adenovirus, and Torovirus) (8). With the advent of molecular technologies, it has become possible to not only study the bacterial species present but also the metabolic differences in the GIT microbiota of infants with and without NEC.

Table 2 summarizes the molecular studies of GIT microbiota for VLBW infants with NEC or sepsis. In summary, these studies demonstrate lower bacterial diversity, less Bifidobacteria and Bacteroidetes, and a bloom of the  $\gamma$ -Proteobacteria 1–3 wk prior to the diagnosis of NEC

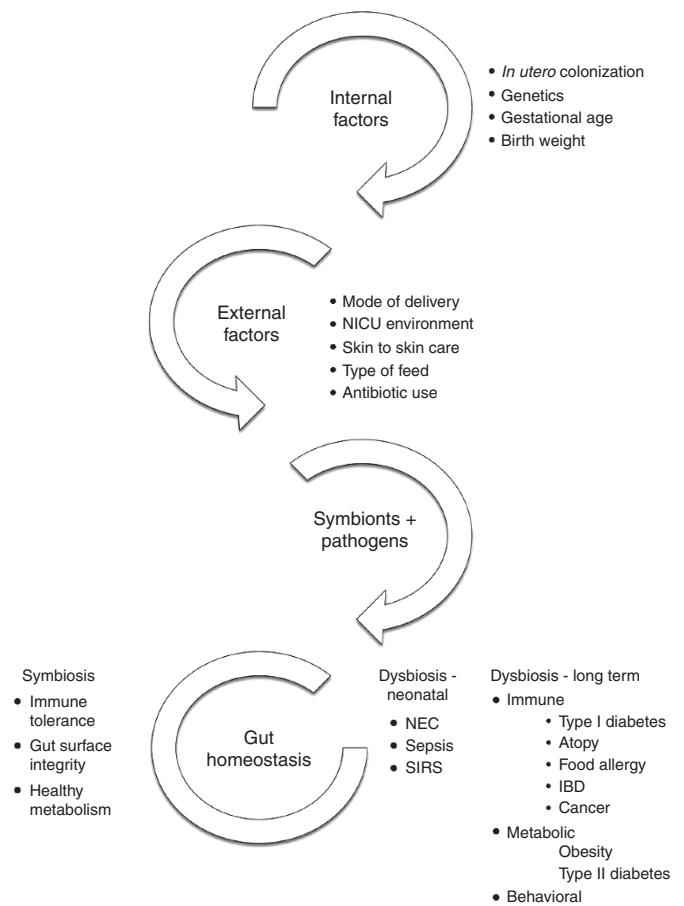


Figure 2. Impact of preterm birth on the gastrointestinal microbiota. IBD, inflammatory bowel disease; NEC, necrotizing enterocolitis; SIRS, systemic inflammatory response syndrome.

(57–62). A metagenomic analysis on stool samples from a set of twins discordant for the diagnosis of NEC demonstrated significant differences in the genes mapping to carbohydrate metabolism leading to speculation that microbial communities may metabolize milk through different pathways resulting in differing substrates available to the microbiota and thus differential effects on the host (57). Similar perturbations in the GIT microbiota development have been reported for infants with late-onset sepsis (29).

The GIT microbiome plays a pivotal role in balancing the inflammatory system in the immature GIT. A breakdown of the mucosal barrier can result in translocation of inflammatory mediators producing systemic inflammatory response syndrome. In addition to sepsis and NEC, systemic inflammatory response syndrome plays a key role in downstream organ inflammation and damage that may result in severe long-term morbidities such as periventricular leukomalacia, chronic lung disease, and retinopathy of prematurity (2).

### Long Term

The VLBW infant is at elevated risk of metabolic syndrome including type II diabetes, hypertension, and obesity in later life. This may commence with an altered growth during the neonatal period related to suboptimal nutritional intake, illness, and potentially an aberrant microbiota with altered metabolic capacity, resulting in a greater percentage of body fat and considerably less lean mass at term-corrected age (63,64). Evidence for the role of the microbiota in obesity comes from rodent studies with induction of hepatic lipogenesis and increased lipid storage in adipocytes of germ-free mice after colonization with normal gut microbiota (65). In human twin studies, evidence suggests that physiological phenotype such as adiposity may be better associated with a core microbiome at the gene level rather than at the organismal lineage level with a decrease in bacterial diversity and an alteration in metabolically active genes found in obese patients (66).

Dysregulation of the developing immune system has been implicated in a wide array of diseases in childhood and adult life including atopy (67) and food allergy (68), inflammatory bowel disease (69), and cancer (70). Animal studies have demonstrated that both changes in diet and in the gut microbiota alter the development of autoantibodies to pancreatic  $\beta$ -cells, a precursor to the development of type I diabetes (71), but whether these translate to human conditions remains speculative.

An emerging area of interest is the impact of the gut microbiota on the developing human brain which may be of particular importance for the preterm infant who is at elevated risk for behavioral issues including attention-deficit disorder and autism spectrum disorder. The central nervous system communicates bidirectionally with the gut via the enteric nervous system. Studies in germ-free mice have revealed important changes in neurotrophin factors related to brain plasticity as well as in behavior with increased stress responses and anxiety-like behavior. These abnormal behaviors can be reversed with transplantation of a normal gut microbiota (45).

### FEEDING RECOMMENDATIONS TO SUPPORT A HEALTHY GIT MICROBIOME

The portfolio of evidence indicates that mother's own milk is the optimal way to feed every infant, including VLBW infants. This principle is internationally endorsed based on extensive literature, primarily derived from studies with healthy-term infants, associating provision of own mother's milk with decreased incidence of diarrhea, otitis media, hospitalization for lower respiratory tract infection in the first week of life, sudden infant death syndrome, improved neurodevelopment, and perhaps decreased risk of type II diabetes and a small decrease in childhood obesity (72–74). Additionally, among VLBW infants, provision of own mother's milk is associated with lower incidence of NEC and sepsis, improved feeding tolerance, and a reduction in colonization by pathogens.

For VLBW infants at risk of NEC, when own mother's milk is not available, pasteurized donor human milk is recommended. A Cochrane review reported a higher incidence of NEC (relative risk of 2.5 (95% confidence interval: 1.2, 5.1)) and feeding intolerance (relative risk: 4.9 (1.7, 20.7)) among infants supplemented with formula compared with donor human milk (75). In North America, donor milk provided to VLBW infants is pasteurized to remove the possibility of transmission of harmful agents including HIV and known pathogens. Pasteurization, however, destroys all live cells, and hence inoculation of the infant gut with bacteria may be diminished. However, pasteurized donor milk remains a rich source of oligosaccharides which are seemingly unaffected by heat treatment (76).

In order to meet the nutritional requirements of VLBW infants, it is standard of care to add energy and essential nutrients to human milk after tolerance to enteral feeds has been established. There is strong evidence that early nutrient deficits and suboptimal growth, common in the neonatal intensive care unit, are independent risk factors for poor VLBW outcome (63). Human milk for the majority of VLBW infants is fortified using nutrient fortifiers whose protein source is bovine based although a human milk-derived fortifier is commercially available. The impact of human milk fortification on the gut microbiota has not been systematically investigated, although two industry-sponsored trials have demonstrated at least a 50% reduction in NEC and NEC requiring surgical intervention was almost eliminated (77,78). Unfortunately, the study design does not allow for determination of whether it was the absence of bovine-based fortifier, formula, or both that reduced the incidence of NEC.

A variety of probiotics have been studied in preterm infants, most commonly *Lactobacillus* and *Bifidobacterium*. A Cochrane meta-analysis of 20 randomized or quasi-randomized trials ( $n = 5,529$  infants) reported that probiotic supplementation of preterm infants reduced both all-cause mortality (relative risk: 0.65 (0.52, 0.81)) and NEC (relative risk: 0.43 (0.33, 0.56)) (79). Furthermore, probiotic supplementation improved the antecedent of severe NEC, feeding intolerance, as well as reduced the number of days of hospitalization. Unlike in Europe and other parts of the world, probiotic supplementation has not

been widely adopted as a NEC prevention strategy in North American neonatal intensive care units due to concerns about the limited safety data for infants born <1,000 g and a domestically available probiotic formulation with demonstrated efficacy, safety, and regulatory approval. There remains some concerns that the regulatory framework for approval of probiotics does not approach the rigor for drugs. The Canadian Pediatric Society Committee recently recommended that physicians consider recommending probiotics for the prevention of NEC for at-risk preterm infants (80). An in-depth understanding of the microbial community composition and metabolic functions in the GIT of the “well” VLBW infant fed mother’s own milk will leverage the incorporation into clinical practice of therapeutics such as probiotics because at a very practical level, we will know what the “gold standard” is for microbial composition and function (55).

## CONCLUSIONS

A growing body of evidence suggests that perturbed colonization of the microbiota in the preterm VLBW infant is associated with increased morbidity and mortality during initial hospitalization and beyond. The potential to develop strategies to reduce morbidities associated with very preterm birth through manipulation of the microbiome appear tremendous. While the microbial community composition and related metabolic functions best associated with optimal health in VLBW infants are unknown, characterization of the GIT microbiome of the “well” exclusively own mother’s milk-fed VLBW infant seems a reasonable starting point. High-throughput sequencing of the 16S ribosomal RNA gene has already begun to yield critical information on the microbial community in the GIT of the VLBW infant, and newer emerging technologies including metatranscriptomics, metaproteomics, and metabolomics show much promise in the future of helping to define the complex metabolic environment in the GIT. This will facilitate a sophisticated understanding of the microbiome in relation to optimal health and provide a basis against which to assess novel nutritional therapies.

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