Ki67 testing in the clinical management of patients with non-metastatic colorectal cancer: Detecting the optimal cut-off value based on the Restricted Cubic Spline model

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Abstract. The proliferation of the biomarker Ki67 has been extensively studied in colorectal cancer (CRC). Although numerous Ki67 cut-off values have previously been reported, the optimal cut-off value remains unclear with previous studies providing contrasting results. The present retrospective cohort study aimed to determine the optimal cut-off value for CRC. Ki67 levels and the prognosis of patients with non-metastatic CRC were obtained from the Electronic Health Information System of a tertiary hospital in Kunming City. The Restricted Cubic Spline (RCS) model was used to analyze the non-linear association between Ki67 levels and the risk of patient death and metastasis. Moreover, the RCS model was used to determine the optimal cut-off value of Ki67. Cox proportional hazards models were used to verify the effects of the cut-off value. In total, 210 patients with CRC and a median age of 62.5 years (age range, 23.0-88.0 years) were studied. Results of the present study demonstrated a non-linear association between Ki67 levels and the risk of patient death based on the RCS model, and at Ki67 levels \geq 60%, the hazard ratio (HR) of patient death gradually increased. Using multivariate-adjusted Cox proportional hazards models, the results of the present study demonstrated that Ki67 ≥60% indicated a high-risk ratio for both distant metastasis and death [HR, 2.640; 95% confidence interval (CI), 1.066-6.539], compared with Ki67 <60%

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(HR, 2.558; 95% CI, 1.079-6.064). Therefore, Ki67 \geq 60% may be the optimal cut-off value for the prediction of death and metastasis in patients with CRC. Thus, Ki67 may act as a biomarker for predicting the prognosis of patients with CRC, and the optimal cut-off value for the prediction of both death and metastasis of patients with CRC is 60%.

Introduction

Colorectal cancer (CRC) exhibits the third highest incidence rate and the second highest cancer-related mortality rate worldwide (1). The global disease burden of CRC is expected to increase by 60% by 2035, with new cases rising to 2.5 million (2,3). The increasing incidence of CRC will be accompanied by an increase in mortality (4), particularly for patients experiencing recurrence and metastasis following curative surgery (5). At present, clinical settings and prognostic indicators, including pathological indicators, are used to guide the clinical management of patients with CRC. Notably, pathological indicators include classic TNM stage, differentiation, invasion, and blood and stool proteins, such as carcinoembryonic antigen (CEA), cancer antigen (CA) 19-9, and CA-195. Pathological indicators also include molecular markers, such as microsatellite instability, chromosome 18q loss of heterozygosity, P53, KRAS, BRAF, epidermal growth factor receptor, and vascular endothelial growth factor (6.7). However, the effect of these indicators on the accurate prediction of patient prognosis remains unsatisfactory (8,9). Therefore, it is necessary to explore new biomarkers for the improved prediction of patient prognosis (9).

In 1983, Gerdes *et al* (10) discovered the Ki67 antigen and determined that Ki67 was associated with the active proliferation of cells (10). Ki67 is only expressed in the interphase and mitotic phases of mitosis and is not expressed in the resting phase (G0). During mitosis, Ki67 expression gradually increases until expression reaches a peak (11). Thus, Ki67 expression may reflect the growth fraction of cell populations, and results of numerous previous studies have demonstrated

that Ki67 may serve as a prognostic or predictive biomarker for different types of tumors (12,13). Moreover, numerous previous studies and meta-analyses demonstrated that high expression levels of Ki67 are associated with adverse overall survival and disease-free survival of patients with CRC, and may therefore be used as a valuable marker of CRC prognosis (14-16).

Investigating Ki67 expression in tumor tissues using immunohistochemistry is a routine and reliable examination strategy for the determining the proliferative activity of tumor cells following therapeutic surgery (17,18). However, the results of testing have not yet been used to guide the clinical management of patients with CRC, as the optimal cut-off value remains undetermined (14,19). Miller et al (20) demonstrated that Ki67 expression plays a key role in the cell cycle following the tracking of Ki67 expression in a single cell over time (20). Based on the different expression levels of Ki67 throughout the cell cycle, and the results obtained by Miller et al (20), it was hypothesized that Ki67 may possess a non-linear association with CRC prognosis, rather than a linear one, as previously suggested (21). Thus, the present immunohistochemical study aimed to use a cohort of patients with non-metastatic CRC and the Restricted Cubic Spline (RCS) model to analyze the association between Ki67 levels and the risk of patient death and metastasis. The present study aimed to obtain an evidence-based cut-off value of Ki67, to guide the clinical management of patients with CRC.

Materials and methods

Patients. A retrospective cohort study was employed for the present analysis. Data were collected from patient medical records stored in the Electronic Health Information System of the First Affiliated Hospital of Kunming Medical University. Patients with CRC included in the present study underwent therapeutic surgery at the aforementioned hospital between January 2014 and December 2020. The inclusion criteria for patients were as follows: i) Patients with stage I-III CRC who received therapeutic surgery, but did not receive preoperative chemoradiation, and ii) patients with complete demographic, clinical treatment, associated laboratory test, and follow-up data, whose tumor tissue was tested for Ki67. Patients with CRC who did not meet the aforementioned criteria were excluded from the present analysis. The covariates included in the present analysis consisted of demographic features, including patient sex, age at diagnosis, race, body mass index (BMI), CEA and Ki67, and tumor pathological features, including type, location, differentiation, stage, vascular invasion, and perineural invasion of CRC.

Immunohistochemistry. Immunohistochemistry was used to detect Ki67 expression in tumor tissue following therapeutic surgery, and this was performed according to the manufacturer's instructions for the antibody (ProteinTech Group, Inc.). Briefly, tumor tissue was embedded in paraffin wax and subsequently dewaxed using xylene and hydrated, and antigen retrieval was performed. Endogenous peroxidase activity was blocked using 3% H₂O₂ and then the slides were blocked with 5% BSA blocking buffer at room temperature for 1 h. Subsequently, tumor tissue was incubated with Ki67 polyclonal antibody (ProteinTech Group, Inc.; cat. no. 27309-1-AP, RRID: AB_2756525, 1:2,000) at 37°C for 1 h followed by incubation with anti-rabbit IgG (ProteinTech Group, Inc.; cat. no. SA00004-2, RRID: AB_2890944; 1:200) at 37°C for 1.5 h. Immunostaining was detected using DAB substrate solution and samples were counterstained using hematoxylin at room temperature for 10-30 sec. Immunohistochemistry was performed following surgery. A score was assigned to the Ki67 testing result of each patient to represent the Ki67 level, and the guidelines recommended by the International Ki67 in Breast Cancer Working Group were adopted as the scoring method (22). Immunohistochemical scoring was performed independently by two specialists in pathology who were blinded to the clinicopathological characteristics and prognosis of the patients. A total of five non-overlapping high-power fields (objective, x40, Leica Microsystems GmbH) were randomly selected in the chromatically homogeneous area, and Ki67 expression was calculated as the proportion of tumor cells with positive nuclear staining in all tumor cells.

Patient follow-up. Patient follow-up following therapeutic surgery included death, distant metastasis, and survival without metastasis. The outcome data were obtained from the Electronic Health Information System, or by contacting patients or family members via telephone. The survival time was calculated from the time of diagnosis of CRC to the time of metastasis or death. The date of the last follow-up was recorded if metastasis or death did not occur. The last date of follow-up was 31st August 2021.

Statistical analysis. Quantitative data was presented as the mean \pm standard deviation or the median (interquartile range, IOR), and comparisons between two groups was performed using an independent Student's t-test or Mann-Whitney U test, based on the distribution of data. Categorical data are presented as the frequency (percentage), and a comparison between groups were performed using χ^2 or Fisher's exact test. The RCS model was used to determine the non-linear association between Ki67 levels in the tumor tissue and the risk ratio of metastasis and death of patients with CRC. The association between Ki67 expression with distant metastasis or death of patients was analyzed using univariate and multivariate Cox proportional hazards regression models, using hazard ratios (HR), 95% confidence intervals (CIs) to describe the risk ratio, and a test level $\alpha \ge 0.05$. SPSS (version, 24.0; IBM Corp) was used for statistical analysis, baseline data comparisons, and Cox regression analysis. R (version, 4.1.2; R Project for Statistical Computing) and R packages ('rms', 'survival', and 'ggplot2') were used to perform RCS analysis and visualization.

Results

A total of 293 patients with CRC who met the inclusion criteria were first included in the present study; however, a total of 83 patients were lost during the follow-up period. A total of 210 (71.67%) patients with CRC with an age range of 23-88 years old, median age of 62.5 years old, and a BMI at diagnosis of 22.1 kg/m² (IQR, 20.3-24.2 kg/m²) were included in the present research. These included 107 male patients (51.0%) and 103 female patients (49.0%). The majority (206 patients, 98.1%) of the included patients with CRC were

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Table I. Characteristics of the 210 patients with non-metastatic colorectal cancer stratified by Ki67 levels.

		Ki67	7 level	
Characteristic	Total, n=210	<60%, n=97, 46.2%	≥60%, n=113, 53.8%	P-value
Age at diagnosis, median (IQR), (y)	62.5 (54.0, 71.3)	64.0 (54.0, 71.5)	62.0 (53.0, 71.5)	0.708
Age, n (%)				0.524
<60	85	37 (38.1)	48 (42.5)	
≥60	125	60 (61.9)	65 (57.5)	
Sex, n (%)				0.343
Male	107	46 (47.4)	61 (54.0)	
Female	103	51 (52.6)	52 (46.0)	
Race $n(\%)$				0.725
Han	206	96 (99.0)	110 (97.3)	00
Ethnic minority	4	1 (1.0)	3 (2.7)	
BMI, median (IOR), kg/m^2	22.1 (20.3, 24.2)	22.2 (20.8, 24.0)	22.0 (20.2, 24.3)	0.660
BMI n (%)		(,)		0 389
<18.4	25	10 (10 3)	15 (13 3)	0.507
18 5-23 0	124	61 (62 9)	63 (55.8)	
24 0-27 9	12 4 40	23(237)	26 (23.0)	
>28.0	12	3(31)	9 (8 0)	
CEA modion (IOP) ng/ml	$\frac{12}{22(17.84)}$	3(3.1)	(0.0)	0.058
CEA, median (IQK), ng/im	5.2 (1.7, 0.4)	3.2 (1.7, 0.4)	3.4 (1.7, 0.4)	0.936
A denocarcinoma	208	97(1000)	111 (08 2)	0.501
Other	208	0(0.0)	2(1.8)	
Leasting $r(\emptyset)$	2	0 (0.0)	2 (1.0)	0 104
Location, II (%)	110	57(50 0)	55 (197)	0.194
Kight colon	112 52	37(38.8) 10 (10.6)	33 (48.7) 24 (20.1)	
Lett colon Destal	55 45	19 (19.0)	34 (30.1) 24 (21.2)	
	45	21 (21.0)	24 (21.2)	0.176
Differentiation, n (%)	24		10 (0 0)	0.176
High	26	16 (16.5)	10 (8.8)	
Middle	163	70 (72.2)	93 (82.3)	
Low	21	11 (11.3)	10 (8.8)	
Stage, n (%)				0.229
I	46	18 (18.6)	28 (24.8)	
II	93	49 (50.5)	44 (38.9)	
III	71	30 (30.9)	41 (36.3)	
Vascular invasion, n (%)				0.054
No	166	71 (73.2)	95 (84.1)	
Yes	44	26 (26.8)	18 (15.9)	
Perineural invasion, n (%)				0.282
No	140	61 (62.9)	79 (69.9)	
Yes	70	36 (37.1)	34 (30.1)	
BMI, body mass index.				

Han ethnic group (Table I). A total of 29 patients died and 32 patients experienced metastases during the follow-up period; the median survival time following surgery for those who died was 45.3 months. In addition, the median follow-up time following surgery for patients with CRC was 42.6 months. Patients in the Ki67 \geq 60% group predominantly exhibited a younger age, were male and of an ethnic minority, exhibited

a low BMI, a high CEA, non-adenocarcinoma, moderate differentiation, stage III CRC, no vascular infiltration, and no nerve infiltration; however, differences between groups were not statistically significant (Table I).

RCS model analysis demonstrated a non-linear association between Ki67 expression levels and the HR of death in patients with CRC (P=0.039). When Ki67 was <60%, the HR of death in

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					Multivariate mo	dels		
	Univariate mod	le]	Model A		Model B		Model C	
Variable	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value
Age, years Continuously	1.036 (1.002-1.072)	0.038ª	1.040 (1.005-1.077)	0.024ª	1.039 (1.003-1.075)	0.031 ^a	1.038 (1.002-1.075)	0.039ª
Sex Male Female	1 [Reference] 1.004 (0.484-2.083)	0.992	1 [Reference] 1.218 (0.577-2.571)	0.606	[[Reference]] 1.133 (0.531-2.417)	0.748	1 [Reference] 1.074 (0.492-2.345)	0.858
Ethnicity Han Ethnic minority	1 [Reference] 1.713 (0.233-12.608)	0.597	1 [Reference] 1.558 (0.206-11.771)	0.667	1 [Reference] 1.516 (0.199-11.545)	0.688	1 [Reference] 1.884 (0.226-15.716)	0.558
Body mass index, kg/m² Continuously	0.942 (0.841-1.055)	0.301	0.935 (0.834-1.049)	0.252	0.937 (0.833-1.054)	0.278	0.928 (0.811-1.062)	0.276
CEA, ng/ml Continuously	1.016 (0.999-1.033)	0.065			1.018 (1.000-1.036)	0.045 ^a	1.016 (0.997-1.036)	0.1
Type Adenocarcinoma Other	1 [Reference]	0.766			1 [Reference]	0.979	1 [Reference]	0.978
Location Right colon	1 [Reference]				1 [Reference]		1 [Reference]	
Left colon Rectal	0.835 (0.327-2.136) 1.096 (0.450-2.670)	0.707 0.84			0.993 (0.371-2.662) 1.122 (0.453-2.781)	0.989 0.804	0.738 (0.244-2.230) 1.811 (0.615-5.330)	0.59 0.281
Differentiation High	1 [Reference]						1 [Reference]	
Middle	4.283 (0.556-31.616)	0.154					2.397 (0.296-19.389)	0.412
Low	3.840 (0.399-36.965)	0.244					2.545 (0.232-27.953)	0.445
Stage								
I	I [Keterence] 0.763 (0.215-2.707)	0.676					1 [Reference] 1.069 (0.251-4.559)	0.928
III	3.550 (1.203-10.476)	0.022ª					4.714 (1.174-18.931)	0.029ª
Vascular invasion								
No	1 [Reference]						1 [Reference]	
Yes	2.555 (1.220-5.354)	0.013^{a}					2.062(0.833-5.104)	0.118

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	Univariate mod	lel	Model A		Model B		Model C	
Variable	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value
Perineural invasion No Yes Ki67 <60% ≥60%	1 [Reference] 1.077 (0.508-2.284) 1 [Reference] 2.328 (1.061-5.109) confidence interval.	0.846 0.035ª	1 [Reference] 2.428 (1.094-5.390)	0.029ª	1 [Reference] 2.637 (1.163-5.977)	0.02ª	1 [Reference] 0.641 (0.281-1.467) 1 [Reference] 2.640 (1.066-6.539)	0.293 0.036ª

patients with CRC remained low. The HR of death increased as Ki67 expression levels increased, and when Ki67 levels were $\geq 60\%$, the HR of death was markedly increased (Figs. 1 and 2). However, the association between Ki67 expression and the HR of metastasis in patients with CRC was not non-linear (P=0.068).

Results of the univariate Cox regression analysis demonstrated that increased age, stage III cancer, vascular invasion, and Ki67 \geq 60% (P<0.05) were risk factors for death in patients with CRC. After adjusting for different confounding factors, Ki67 \geq 60% was considered a risk factor for death (HR, 2.640 and 95% CI, 1.066-6.539; P=0.036; Table II).

Moreover, results of the univariate Cox regression analysis demonstrated that increased CEA, stage III cancer, neural invasion, and Ki67 \geq 60% were risk factors for distant metastasis in patients with CRC (P<0.05). After adjusting for different confounding factors, Ki67 \geq 60% was considered a risk factor for metastasis (HR, 2.558; 95% CI, 1.079-6.064; P=0.033; Table III).

Discussion

Ki67 has been widely used as a marker of cell proliferation in numerous types of tumors (22-24). However, there is still heterogeneity in the use of Ki67 as a biomarker in CRC (25,26), which is comparable to its use in breast cancer (22). Numerous previous studies have demonstrated that increased Ki67 expression is unfavorable in the progression and prognosis of patients with CRC (19,27-32); however, these results differ from other previous studies (14,15,33). Notably, patients included in different studies may possess different characteristics, such as undergoing preoperative chemoradiotherapy or different tumor stages. In addition, results of previous studies demonstrated the use of different cut-off values of Ki67, varying from 5 to 62% (16,34,35). A previous meta-analysis including 34 studies and 6,180 patients confirmed that increased Ki67 expression was associated with unfavorable disease-free survival and overall survival in patients with CRC (16). Notably, the present study included patients with stage I-III CRC who did not receive preoperative chemoradiotherapy, and the results of the present study demonstrated that increased Ki67 expression was independently associated with distant metastasis and death in patients with CRC.

Notably, numerous cut-off values were reported in previous studies, and these studies selected a median or alternative value to allocate patients into different groups (34,36-39). However, these values may obscure important clinical features or prognostic outcomes. The optimal cut-off value should be derived from maximizing the difference in HRs between groups (34). Results of the present study demonstrated a bell-shaped association between Ki67 levels and prognostic outcomes of CRC, which may be attributed to Ki67 expression only occurring in interphase and mitotic phases of mitosis (G0). During mitosis, Ki67 expression is low in interphase (G1, S, and G2) and gradually increases in the pre-mitotic phase and metaphase. Ki67 expression reaches a peak and is markedly decreased in anaphase and telophase (40), exhibiting a graded longitudinal change (20). The RCS model is a powerful tool in the analysis of non-linear dose-effect associations between continuous exposure and outcome (41). In the present

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Table III. Relationship between Ki67 levels

					Multivariate mod	dels		
	Univariate mod	lel	Model A		Model B		Model C	
Variable	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value
Age, years Continuously	0.999 (0.971-1.028)	0.962	1.003 (0.975-1.032)	0.826	1.005 (0.975-1.035)	0.76	1.008 (0.976-1.040)	0.642
Sex Male Female	1 [Reference] 1.460 (0.720-2.959)	0.294	1 [Reference] 1.559 (0.759-3.204)	0.227	1 [Reference] 1.538 (0.747-3.168)	0.243	1 [Reference] 1.116 (0.520-2.397)	0.778
Ethnicity Han Ethnic minority	1 [Reference] 1.743 (0.238-12.781)	0.585	1 [Reference] 1.619 (0.214-12.254)	0.641	1 [Reference] 1.603 (0.208-12.367)	0.651	1 [Reference] 2.428 (0.298-19.779)	0.407
Body mass index, kg/m ² Continuously	0.958 (0.865-1.061)	0.407	0.961 (0.868-1.065)	0.452	0.960 (0.862-1.069)	0.455	0.966 (0.863-1.081)	0.544
CEA, ng/ml Continuously	1.018 (1.002-1.034)	0.023ª			1.020 (1.004-1.037)	0.013ª	1.025 (1.008-1.043)	0.005 ^b
Type Adenocarcinoma Other	1 [Reference] 5.462 (0.737-40.494)	0.097			1 [Reference] 4.608 (0.585-36.314)	0.147	1 [Reference] 5.747 (0.628-52.622)	0.122
Location Right colon Left colon Rectal	1 [Reference] 1.092 (0.467-2.554) 1.253 (0.536-2.929)	0.838			1 [Reference] 1.007 (0.401-2.528) 1.308 (0.549-3.115)	0.988 0.544	1 [Reference] 0.673 (0.238-1.907) 1 740 (0.664-4 559)	0.456 0.26
Differentiation High	1 [Reference]						1 [Reference]	
Middle Low	2.427 (0.578-10.191) 1.333 (0.188-9.471)	0.226 0.774					1.526 (0.336-6.933) 0.945 (0.118-7.576)	0.957 0.957
Stage I	1 [Reference]						1 [Reference]	
Ш	2.211 (0.478-10.233) 8.079 (1.893-34.471)	0.31 0.005^{b}					2.419 (0.468-12.518) 7.778 (1.584-38.183)	0.292 0.012ª
Vascular invasion No Yes	1 [Reference] 2.063 (0.994-4.281)	0.052					1 [Reference] 1.569 (0.663-3.716)	0.306

LEI et al: OPTIMAL CUT-OFF VALUE OF Ki67 FOR CRC BASED ON THE RESTRICTED CUBIC SPLINE MODEL



					Multivariate mo	dels		
	Univariate moo	lel	Model A		Model B		Model C	
Variable	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value	HR (95% CI)	P-value
Perineural invasion								
No	1 [Reference]						1 [Reference]	
Yes	2.177 (1.086-4.364)	0.028 ^a					1.672 (0.777-3.600)	0.189
Ki67								
<60%	1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]	
≥60%	2.356 (1.104-5.029)	0.027^{a}	2.404 (1.124-5.141)	0.024^{a}	2.556 (1.164-5.610)	0.019 ^a	2.558 (1.079-6.064)	0.033ª
^a P<0.05, ^b P<0.01. HR, haz	urd ratio; CI, confidence interval							



Figure 1. Association between Ki67 expression levels and the risk of death in patients with CRC. CRC, colorectal cancer; HR, hazard ratio; CI, confidence interval.



Figure 2. Immunohistochemistry for Ki67 testing in a representative CRC tissue showing labelling of \sim 60% of nuclei of CRC cell. Magnification, x200. CRC, colorectal cancer.

study, the RCS model was used to analyze the association between Ki67 levels and the HR of patient death, and the results of the present study determined the optimal cut-off value for Ki67 was 60%. Moreover, this level was verified using regression models, and the results demonstrated that Ki67 \geq 60% is an independent risk factor for distant metastasis and death in patients with CRC (P<0.05). Notably, these results are comparable to those obtained by Weber *et al* (27). The Ki67 cut-off value may enable medical staff to accurately identify the risk of patient prognosis. However, potential confounding factors may affect the association between Ki67 and mortality, including comorbidities, patients receiving R0 resection, and frailty.

However, there are limitations to the present study. Notably, the statistically significant association between Ki67 >60% and mortality determined using multivariate Cox regression analysis may only be a result of the small sample size used in the present study. Thus, future studies will address this issue. In addition, immunohistochemical scoring is subject to an individual's experience. The objective evaluation of immunohistochemical analysis is key for future research, and artificial intelligence may be a viable option (42,43). External verification was carried out using the limited samples in the present study; however, further verification of the accuracy of the cut-off value is required in future research. Moreover, selection biases may have occurred due to the samples being obtained from only one hospital, and some patients were lost in follow-up. The study design was also retrospective, meaning the integrity and authenticity of data records may affect the reliability of the results. In addition, certain prognostic factors could not be collected, such as comorbidities, history of R0 resection, and frailty. The detection of Ki67 expression in the tumor tissue of each patient was performed at different times, and detection conditions may have been inconsistent.

In conclusion, the results of the present study demonstrated that Ki67 expression may be used to predict the prognosis of patients with CRC, and the optimal cut-off value of Ki67 is 60%. This cut-off value may be used as a classification tool to guide the clinical management of patients with CRC.

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Availability of data and materials

The datasets used and/or analyzed during the current study are not publicly available due to individual participants' privacy but are available from the corresponding author on reasonable request.

Authors' contributions

HTL, SY, YHH, NX, MZ, CJY, HLL, SK, ZHC and JF contributed to study conception and design. SY, YHH, and MZ were responsible for designing the methodology. SK and ZHC were responsible for data collection. YHH and NX were responsible for data analysis. HTL and SY were responsible for the original draft preparation. JF was responsible for review and editing. All authors have read and approved the final manuscript. HTL and YHH confirm the authenticity of all the raw data.

Ethics approval and consent to participate

Ethical approval was waived by the Ethics Committee of the First Affiliated Hospital of Kunming Medical University in view of the retrospective nature of the study and all the procedures being performed were part of the routine care.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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