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#### ORIGINAL RESEARCH

# Identification of Predictors for Hemorrhagic Transformation in Patients with Acute Ischemic Stroke After Endovascular Therapy Using the Decision Tree Model

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**Purpose:** This study aimed to identify independent predictors for the risk of hemorrhagic transformation (HT) in arterial ischemic stroke (AIS) patients.

**Methods:** Consecutive patients with AIS due to large artery occlusion in the anterior circulation treated with mechanical thrombectomy (MT) were enrolled in a tertiary stroke center. Demographic and medical history data, admission lab results, and Circle of Willis (CoW) variations were collected from all patients.

**Results:** Altogether, 90 patients were included in this study; among them, 34 (37.8%) had HT after MT. The final pruned decision tree (DT) model consisted of collateral score and platelet to lymphocyte ratios (PLR) as predictors. Confusion matrix analysis showed that 82.2% (74/90) were correctly classified by the model (sensitivity, 79.4%; specificity, 83.9%). The area under the ROC curve (AUC) was 81.7%. The DT model demonstrated that participants with collateral scores of 2–4 had a 75.0% probability of HT. For participants with collateral scores of 2–4 had a 75.0% probability of HT. For participants with collateral scores of 0–1, if PLR at admission was <302, participants had a 13.0% probability of HT; otherwise, participants had an 75.0% probability of HT. The final adjusted multivariate logistic regression analysis indicated that collateral score 0–1 (OR, 10.186; 95% CI, 3.029–34.248; p < 0.001), PLR (OR, 1.005; 95% CI, 1.001–1.010; p = 0.040), and NIHSS at admission (OR, 1.106; 95% CI, 1.014–1.205; p = 0.022) could be used to predict HT. The AUC for the model was 0.855, with 83.3% (75/90) were correctly classified (sensitivity, 79.4%; specificity, 87.3%). Less patients with HT achieved independent outcomes (mRS, 0–2) in 90 days (20.6% vs. 64.3%, p < 0.001). Rate of poor outcomes (mRS, 4–6) was significantly higher in patients with HT (73.5% vs. 19.6%; p < 0.001).

**Conclusion:** Both the DT model and multivariate logistic regression model confirmed that the lower collateral status and the higher PLR were significantly associated with an increased risk for HT in AIS patients after MT. PLR may be one of the cost-effective and practical predictors for HT. Further prospective multicenter studies are needed to validate our findings.

**Keywords:** acute ischemic stroke, mechanical thrombectomy; MT, hemorrhagic transformation; HT, decision tree model; DT

### Introduction

Hemorrhagic transformation (HT) is a common and potentially catastrophic complication of acute ischemic stroke (AIS) in patients receiving mechanical thrombectomy (MT),<sup>1</sup> and it results in worse outcomes and delays the initiation of

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antiplatelet or anticoagulation therapy.<sup>2,3</sup> Therefore, to determine whether thrombolytic therapy is safe, it is essential to understand why HT can develop after AIS.<sup>4,5</sup> Accumulating studies indicate that old age, hypertension, thrombolytic treatment, large infarct, long reperfusion time, high baseline National Institutes of Health Stroke Scale (NIHSS) score, high systolic blood pressure, pretreatment involvement of the basal ganglia, and the extent of ischemic injury prior to administration of therapy<sup>3,6,7</sup> are risk factors for HT.

Inflammation response has been widely considered a critical factor participating in the pathophysiology process of AIS.<sup>8</sup> Following AIS, infiltration of proinflammatory cytokines and the release of immune cells are involved in stroke-related brain damage, which contributes to exacerbated blood–brain barrier disruption, brain edema, and hemorrhagic complications.<sup>9</sup> As a novel marker of baseline inflammatory response, platelet to lymphocyte ratios (PLR) gives an idea about both the aggregation and inflammation pathways, and it may be more valuable than either platelet or lymphocyte count alone in the prediction of atherosclerotic burden.<sup>10</sup> Similarly, level of PLR on admission was found to be a potential diagnostic and prognostic markers of AIS.<sup>11</sup> However, the critical role of PLR in HT in AIS patients treated by MT remains unclear.

In addition, Circle of Willis (CoW) plays an important role as the primary collateral in nature and might be essential in progresses of AIS patients.<sup>7</sup> The SWIFT PRIME trial<sup>12</sup> and the MR CLEAN trial<sup>13</sup> found that pial collateral status according to computed tomography angiography (CTA) was positively related to favorable functional outcomes of AIS with anterior circulation largevessel occlusion. However, it remains unclear whether the integrity of CoW predicts HT in patients with AIS treated with MT.

Decision tree (DT) are a data mining and classification tool and it overcomes the constraints of linear models, in which predictors are considered independent and additive and only predefined interactions are considered. Compared to other machine learning methods, DT offer a visual representation of prediction rules that can be more easily interpreted in clinical settings. In this study, we aimed to identify independent predictors for the risk of HT in AIS patients by using DT approach and multivariate logistics regression model. In addition to the risk factors reported in previous studies, we focused on the relationship between *novel* indicators (PLR and integrity of CoW) and HT.

# Materials and Methods Study Population

This study was approved by the Medical Ethics Committee of Beijing Hospital, and its protocol was in accordance with the principles of the Declaration of Helsinki. Patients with acute ischemic stroke who were treated by MT with or without thrombolysis between January 2015 and March 2019 were recruited in this study. All patients with complete occlusion of the internal carotid artery or the proximal segment of the middle cerebral artery (MCA) who were treated by MT were systematically included in this study, regardless of their age and stroke severity. For each patient, the decision to perform the endovascular treatment (EVT) was left at the discretion of the treating physician according to guidelines at the time of patient inclusion. All included patients provided written informed consent. If a patient was not competent to give consent (because of his or her cognitive state), his or her family members permitted by law provided written informed consent.

All patients underwent CT follow-up within 24 hours after procedure and immediately in case of a worsening condition. The occurrence of HT was diagnosed using follow-up CT scans. HT was classified as either hemorrhagic infarction (HI; including: HI1, scattered small petechiae, no mass effect; HI2, confluent petechiae, no mass effect) or parenchymal hematoma (PH; including: PH1 Hematoma within infarcted tissue, occupying <30%, no substantive mass effect; PH2 Hematoma occupying 30% or more of the infarcted tissue, with obvious mass effect) in accordance with the recommendations by the European Cooperative Acute Stroke Study.<sup>14</sup> Two double-blinded clinicians reviewed the CT/MRI images to confirm the presence of HT and its subtype. Patients were divided into 2 groups according to the presence or absence of HT during hospitalization.

# Collection of Clinical Characteristics

The following clinical data were collected prospectively: demographic feature (age and sex), comorbidities [atrial fibrillation, hypertension, diabetes mellitus, dyslipidemia, stroke or transient cerebral ischemia (TIA) history, smoking history, and coronary artery disease], current anticoagulant use, current antiplatelet use, whether received bridging thrombolysis, stroke etiology, and thrombus location (terminal ICA, first segment of MCA [M1], and second segment of MCA [M2)]. The neurological status was assessed by certified neurologists using the NIHSS before MT.

### Measurement of Imaging Markers

All the patients underwent non-enhanced CT (NECT) (80 kV, 200 mAs, and 5-mm reconstructed slice thickness) and additionally underwent multiphase CT scanning (including NECT, CTA, and CT perfusion) (80 kV, 100 mAs, and 1-mm reconstructed slice thickness).

Absence or hypoplasia of arterial components in the CoW was assessed by an experienced neuroradiologist using axial reconstructions and volume-renderings of the multiple overlapping thin-slab-acquisition CTA data. Vertebral artery (VA) and A1 segments with diameters  $\leq$  50% compared with the contralateral side were defined as hypoplastic. Hypoplasia of the P1 segment of the posterior cerebral artery was defined as a diameter smaller than that of the feeding posterior communicating artery (PcomA).<sup>15,16</sup> Configurations with hypoplastic P1 and/or A1 segments and/or VA were considered incomplete CoW.

The collateral score was determined from MIP images according to the following rules:<sup>17</sup> 0, absent collaterals in > 50% of an M2 branch territory; 1, diminished collaterals in > 50% of an M2 branch territory; 2, diminished collaterals in < 50% of an M2 branch territory; 3, collaterals equal to the contralateral hemisphere. There are three different types of the aortic arch, which are delineated based on the vertical distance from the innominate artery and the top of the aortic arch.<sup>18</sup> The arterial tortuosity (tortuosity, kinking, and coiling)<sup>19</sup> and atherosclerotic stenosis (> 50%) of the proximal path vessels and contralateral or/and posterior main artery stenosis >50% (contralateral internal carotid artery/M1 artery, basilar artery, and dominant VA) were evaluated by head and neck CTA. The Alberta Stroke Program Early CT Score (ASPECTS) was assessed prospectively for each patient by a neuroradiologist, who was blind to the procedure, using the CT scan. The clot burden score is a quantified assessment of the intracranial thrombus burden within the anterior circulation.<sup>20</sup>

Blood samples were taken in all patients before the initiation of EVT. The levels of white blood cells, neutrophils, lymphocytes, and platelets were assessed, and neutrophil-to-lymphocyte ratio, PLR were calculated. In addition, the baseline coagulation test on admission was conducted, including international normalized ratios (INRs) or activated partial thromboplastin times (APTT), prothrombin time (PT), D-Dimer, and fibrinogen values. Patients were treated in a dedicated neuroangiography suite with up-to-date equipment under conscious sedation or general anesthesia. The EVT procedure frontline strategy was chosen at the operator's discretion and it included

egy was chosen at the operator's discretion and it included a stent retriever (SR), contract aspiration (CA), and a combination of stent retriever and aspiration (Solumbra). In addition, whether switched to other treatment strategies, number of maneuvers, revascularization outcomes (success eTICI2b-3 revascularization), and any hemorrhage events.

### **Clinical Outcomes**

Clinical outcomes were assessed with the modified Rankin Scale (mRS) at 90 days during face-to-face interviews or via telephone conversations with the patient, the patient's relatives, or the general practitioner. A poor clinical outcome was defined as an mRS score of 4–6, and a good clinical outcome was defined as an mRS score of 0–2.

### Statistical Analysis

The differences in clinical data and histologic composition were examined between the HT and without HT groups. Continuous variables were expressed as mean (SD), and the Mann–Whitney *U*-test was used to detect the differences. Fisher test or chi-square test was used for categorical data. Additionally, logistic regression analysis was performed to detect the risk factors for HT. Considering the limited sample size, variables were selected for multivariate logistic regression based on the clinical importance and p-values < 0.2 in univariate analysis. A P-value of < 0.05 was considered significant. Logistic regression analyses were performed with SPSS software (version 25.0, IBM, USA).

In the current study, DT models were developed using the "rpart" and "caret" packages within.<sup>21</sup> The "rpart" package implements the Classification and Regression Trees (CART) algorithm of Breiman and colleagues. We used a confusion matrix to determine the performance of the DT for HT. In this study, "HT" was defined as a positive event, and "without HT" was defined as a negative event. The confusion matrix for two classes was used to extract true positives, true negatives, false positives, and false negatives. A receiver operating characteristic (ROC) graph is a technique for visualizing, organizing, and selecting classifiers based on their performances. The area under the ROC curve (AUC) of the classifier can be described as the probability of the classifier to rank a randomly selected positive case higher than a randomly selected negative case.

### **Results** Characteristics of Patients

As shown in Table 1, 90 patients were included in this study (median age, 71.6 years; 49 males); among these patients, 34 (37.8%) had HT during MT, including 18 (20.0%) HI and 16 (17.8%) PH. Of 18 patients with HI, 8 (8.9%) were HI 1 and 10 (9.1%) were HI 2. Of 16 patients with PH, 12 (13.3%) were PH 1 and 4 (4.5%) patients were PH 2. Only one patient occurred remote hemorrhage. Consequently, 8 patients (8.9%) with HT were symptomatic and 26 (28.9%) were asymptomatic. The flowchart of this study was showed in Figure 1.

The stroke etiology was categorized as follows: cardiogenic embolism (46, 51.1%), large artery atherosclerosis (27, 30.0%), and cryptogenic stroke (17, 18.9%). Regarding thrombus location, 33 (336.7%) patients had ICA occlusion, 37 (41.1%) had M1 occlusion, 14 (15.6%) had M2 occlusion, and 6 (6.7%) had tandem occlusion. Twenty-five patients (27.8%) received bridging thrombolysis, and frontline MT strategies were classified as SR (30, 33.3%), CA (30, 33.3%), and Solumbra (30, 33.3%). Twenty-seven (30.0%) patients switched to other strategies. At the end of the procedure, 76 (84.4%) patients achieved eTICI2b-3 recanalization.

Univariate analysis for predicting HT was showed in Table 1, Compared with patients without HT, those with HT had more atrial fibrillation (61.8% vs. 37.5%, p = 0.025), relatively more coronary artery diseases (61.8% vs. 25.0%, p = 0.001), higher systolic blood pressure at admittance (1556 vs. 138% mmHg, p = 0.001), more ICA occlusion (58.8% vs. 23.2%, p = 0.001), higher ASPECT (6% vs. 7%, p = 0.019, relatively more hyperdense artery sign (61.8% vs. 32.1%, p = 0.006), relatively less platelet counts (222.8 vs. 183.2, 0.020), comparable neutrophil-tolymphocyte ratios (11.95 vs. 6.40, p = 0.001), relatively higher PLR (260.92 vs. 170.25, p = 0.002), relatively lower lymphocyte-to-monocyte ratio (2 vs. 2, p = 0.064), and more contralateral or (and) posterior main artery stenosis > 50%(61.8% vs. 33.9%, p = 0.010). In addition, more patients with HT had collateral scores of 0-1 (61.8% vs. 10.9%, p = 0.001) and clot burden scores > 6 (61.8% vs. 19.6%, p = 0.001) than those without HT. There were no significant differences in demographics, other comorbidities, stroke etiology, or any hemorrhage events between these two groups.

Compared with patients without HI, those with PH had higher serum glucose (10.3 vs. 7.5, [mg/dl], p = 0.032), relatively higher NIHSS at admission (12.3 vs. 18.0, p =

0.008). However, differences in other variables between the HI and PH groups were not significant. (Table 2)

### **Decision Tree**

A DT was built with five nodes and three leaves, and the results are illustrated in Figure 2. The final model consisted of collateral score and PLR as predictors. PT, glucose was dropped as predictors during pruning. The model was further evaluated for its accuracy by applying a confusion matrix analysis, and the results showed that 82.2% (74/90) were correctly classified, with a sensitivity of 79.4% and specificity of 83.9%. The area under the ROC curve (AUC) for the model was 81.7% (Figure 3), demonstrating that this model has achieved higher accuracy in classifying the true positives rather than the false positives. The DT demonstrated that among those participants with collateral scores of 2-4, participants had a 75.0% probability of HT. For participants with collateral scores of 0-1, if PLR on admission was < 302, participants had a 13.0% probability of HT; otherwise, participants had an 75.0% probability of HT.

# Logistic Regression for Prediction of SE

The results of logistic regression are shown in Table 3. The multivariate model was created using variables with P values < 0.20 in the univariate analysis. In the final adjusted model, three factors were included in the multivariate analysis. The results indicated that collateral score 0–1 (OR, 10.186; 95% CI, 3.029–34.248; p < 0.001), lymphocyte-to-monocyte ratios (OR, 1.005; 95% CI, 1.001–1.010; p = 0.040), and NIHSS at admission (OR, 1.106; 95% CI, 1.014–1.205; p = 0.022) could be used to predict HT. The AUC for the model was 0.855, 95% confidence interval was 0.767–0.943 (Figure 3). The model was further evaluated for its accuracy by applying a confusion matrix analysis, the results showed that 83.3% (75/90) were correctly classified, with a sensitivity of 79.4% and specificity of 87.3%.

# **Clinical Outcomes**

Less patients with HT achieved independent outcomes (mRS, 0–2) in 90 days (20.6% vs. 64.3%, p < 0.001). Poor outcomes (mRS, 4–6) were significantly higher in patients with HT (73.5% vs. 19.6%; p < 0.001). Both independent outcomes and Poor outcomes were not significantly associated with CoW variations, including variant-type with hypoplastic VA, P1, and A1 segments (Tables 1 and 4).

#### Table I Baseline Characteristics of Patients with Hemorrhagic Transformation and Those with No Hemorrhagic Transformation

	нт	Without HT	P-value
Age(years), mean	68.3	77.2	0.001
>75 years	23(67.6)	20(35.7)	0.003
	19/55 9)	22/20.2)	0.125
Hupertension n (%)	17(55.7)	22(37.3) 40(71.4)	0.125
Hypertension, n (%)	20(02.4)	40(71.4)	0.242
Diabetes mellitus, n (%)	16(47.1)	20(35.7)	0.287
Atrial fibrillation, n (%)	21(61.8)	21(37.5)	0.025
Stroke of TIA history (%)	12(35.3)	18(32.1)	0.758
	20(58.8)	22(39.3)	0.072
Coronary artery disease (%)	21(61.8)	14(25.0)	0.001
Peripheral arterial disease (%)	3(8.8)	3(5.4)	0.669
Intracranial aneurysm (%)	5(14.7)	11(19.6)	0.553
Smoking, n (%)	10(29.4)	21(37.5)	0.434
Systolic blood pressure at admittance, mean (SD)	155.8(24.8)	137.8(22.3)	0.001
Diastolic blood pressure at admittance, mean (SD)	82.8(14.0)	79.1(14.8)	0.243
CT parameters, mean (SD)			
ASPECTS, mean (SD)	6.2(2.6)	7.3(1.9)	0.019
Collateral score 0–1	21(61.8)	6(10.9)	<0.001
Hyperdense artery sign	21(61.8)	18(32.1)	0.006
Clot burden score≥6	21(61.8)	(19.6)	<0.001
Laboratory parameters			
Serum glucose [mg/dl], mean (SD)	9.0(3.8)	8.4(4.4)	0.441
Urea nitrogen	6.6(2.4)	5.8(2.6)	0.144
Creatinine	88.3(46.4)	81.6(35.5)	0.477
Urea nitrogen to creatinine ratio	0.08(0.02)	0.07(0.03)	0.341
INR, mean (SD)	1.00(0.10)	1.00(0.13)	0.868
APTT	31.63(4.11)	33.61(5.41)	0.056
PT	11.50(1.14)	11.44(1.51)	0.869
D-Dimer	1618.06(3048.16)	889.82(2184.44)	0.191
WBC, mean (SD)	8.29(4.15)	8.50(3.39)	0.806
Fibrinogen, mean (SD), p mg/dL	3.31(0.72)	3.10(0.82)	0.205
Neutrophil count (10 <sup>9</sup> /L)	7.88(3.93)	7.15(3.06)	0.359
Monocyte Count (10 <sup>9</sup> /L)	0.86(0.92)	0.60(0.27)	0.053
Platelet count (10 <sup>°</sup> /L)	183.2(64.6)	222.8(93.8)	0.020
Lymphocyte count (10 <sup>9</sup> /L)	1.37(2.47)	1.91(2.63)	0.326
Neutrophil-to-lymphocyte ratios	11.95(10.00)	6.40(5.42)	0.001
Platelet to lymphocyte ratios	260.92(181.35)	170.25(83.35)	0.002
Monocyte to lymphocyte ratio	1.07(1.36)	0.47(0.30)	0.002
Preexisting anticoagulant treatment, n (%)	2(5.9%)	3(5.4)	1.000
Preexisting antiplatelet treatment, n (%)	16(47.1)	22(39.3)	0.469
Stroke cause, n (%)			
Cardioembolic	21(61.8)	25(44.6)	0.234
Large-artery atherosclerosis	9(26.5)	18(32.1)	
Others	4(11.8)	13(23.2)	
	20(58.8)	13(23.2)	0.001
	20(30.0) 8(23.5)	29(51.8)	0.001
M2	2(5.9)	12(21.4)	
Tandom	4(11.0)	2(2,4)	
randem	4(11.8)	2(3.0)	

(Continued)

#### Table I (Continued).

Assessment of CoW Configurations   0.450     Complete   11(32.4)   14(25.0)     Incomplete   23(67.6)   42(75.0)     Al variation   23(67.6)   30(53.6)   0.348     Hypoplasia   8(23.5)   16(28.6)   0.450     Absence   3(8.8)   10(17.9)   0.457     VA variation   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Type 1   10(29.4)   22(39.3)   0.303     Type 2   11(32.4)   21(37.5)   0.303     Type 3   13(38.2)   13(38.2)   13(23.2)   0.303
Complete   11(32.4)   14(25.0)     Incomplete   23(67.6)   42(75.0)     Al variation   23(67.6)   30(53.6)   0.348     Hypoplasia   8(23.5)   16(28.6)   0.348     Hypoplasia   8(23.5)   16(28.6)   0.348     Absence   3(8.8)   10(17.9)   0.457     VA variation   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     AcomA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   7   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   22(39.3)   0.303     Type 2   11(32.4)   21(37.5)   13(23.2)
Incomplete     23(67.6)     42(75.0)       Al variation     23(67.6)     30(53.6)     0.348       Hypoplasia     8(23.5)     16(28.6)     0.348       Hypoplasia     8(23.5)     10(17.9)     0       VA variation     13(38.2)     29(51.8)     0.457       Normal     13(38.2)     29(51.8)     0.457       Hypoplasia     15(44.1)     19(33.9)     0.457       Absence     6(17.6)     8(14.3)     0.980       AComA open     28(82.4)     46(82.1)     0.980       Proximal and distal carotid stenosis≥50%     1(2.9)     6(10.7)     0.247       Contralateral stenosis ≥50%     21(61.8)     19(33.9)     0.010       Type of aortic arch     10(29.4)     22(39.3)     0.303       Type 1     10(29.4)     21(37.5)     0.303       Type 3     13(38.2)     13(32.2)     13(32.2)
AI variation   23(67.6)   30(53.6)   0.348     Hypoplasia   8(23.5)   16(28.6)   0.348     Absence   3(8.8)   10(17.9)   0     VA variation   13(38.2)   29(51.8)   0.457     Hypoplasia   13(38.2)   19(33.9)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     AcomA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   0.303     Type 3   11(32.4)   11(32.2)   13(23.2)
None   23(67.6)   30(53.6)   0.348     Hypoplasia   8(23.5)   16(28.6)   0     Absence   3(8.8)   10(17.9)   0     VA variation   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   22(39.3)   0.303     Type 2   11(32.4)   21(37.5)   13(23.2)
Hypoplasia   8(23.5)   16(28.6)     Absence   3(8.8)   10(17.9)     VA variation   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   0.303     Type 3   13(38.2)   13(38.2)   13(23.2)
Absence   3(8.8)   10(17.9)     VA variation   13(38.2)   29(51.8)   0.457     Normal   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   13(38.2)   13(23.2)
VA variation   I3(38.2)   29(51.8)   0.457     Hypoplasia   I5(44.1)   19(33.9)   0.457     Absence   6(17.6)   8(14.3)   0.980     Proximal and distal carotid stenosis≥50%   12(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   13(23.2)
Normal   13(38.2)   29(51.8)   0.457     Hypoplasia   15(44.1)   19(33.9)   0     Absence   6(17.6)   8(14.3)   0.980     AComA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   0.303     Type 3   13(38.2)   13(23.2)   0.303
Hypoplasia   15(44.1)   19(33.9)     Absence   6(17.6)   8(14.3)     AComA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   13(23.2)
Absence   6(17.6)   8(14.3)     AComA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   13(23.2)
AComA open   28(82.4)   46(82.1)   0.980     Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 1   10(29.4)   21(37.5)   13(28.2)   13(23.2)
Proximal and distal carotid stenosis≥50%   1(2.9)   6(10.7)   0.247     Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   10(29.4)   22(39.3)   0.303     Type 2   11(32.4)   21(37.5)   13(23.2)
Contralateral stenosis ≥50%   21(61.8)   19(33.9)   0.010     Types of aortic arch   I0(29.4)   22(39.3)   0.303     Type 2   I1(32.4)   21(37.5)   I3(23.2)     Type 3   I3(23.2)   I3(23.2)   I3(23.2)
Types of aortic arch     I0(29.4)     22(39.3)     0.303       Type 2     11(32.4)     21(37.5)     13(23.2)
Type I 10(29.4) 22(39.3) 0.303   Type 2 11(32.4) 21(37.5)   Type 3 13(38.2) 13(23.2)
Type 2 11(32.4) 21(37.5)   Type 3 13(38.2) 13(23.2)
Type 3 [3(38,2)] [3(23,2)]
Procedure process and results
Bridging thrombolysis 10(29.4) 15(26.8) 0.787
Front-line strategy
CA 13(32.8) 17(30.4) 0.307
SR 8(23.5) 22(39.3)
Solumbra 13(38.3) 17(30.4)
Switched to other strategies II(32.4) I6(28.6) 0.704
Number of maneuvers ≥3 10(29.4) 17(30.4) 0.924
Intraprocedural Thrombus Fragmentation 13(38.2) 13(23.2)
Heparin bolus [2500 I.U.], n (%)
Time from symptom onset to groin puncture [min], median IQR333.5(218.3)411.1(244.2)0.122
Time from symptom onset to flow restoration [min], median IQR 447.1(232.6) 525.3(260.6) 0.144
Procedural time, mins 144.7(69.2) 139.0(69.0) 0.707
mTICI Score 2b-3 7(20.6) 7(12.5) 0.305
NIHSS on admission     18.2(6.8)     12.2(6.3)     <0.00
90-days mRS, n (%)
0–2 7(20.6) 36(64.3) <0.00
4-6 25(73.5) 11(19.6) <0.00

Abbreviations: HT, hemorrhagic transformation; TIA, transient ischemic attacks; ASPECTS, The Alberta Stroke Program Early CT Score; ICA, terminal internal carotid artery; MI, first segment of middle cerebral artery; M2, second segment of middle cerebral artery; INR, international normalized ratios; APTT, activated partial thromboplastin times, PT, prothrombin time; CoW, Circle of Willis; VA, vertebral artery; AComA, anterior communicating artery; SR, stent retriever; CA, contact aspiration; Solumbra, a combination of stent retriever and aspiration.

# Discussion

The current study is the first to use the binary DT model to identify the independent predictors for the risk of HT in AIS patients. We found that poor collateral status and higher PLR were significantly associated with HT in both the DT model and the multivariate model. Confusion matrix analysis and AUC showed that both two model have good performance for predicting HT in AIS patients. However, no significant influence of CoW variations on HT in patients with AIS was found, and CoW variations, including variant-type with hypoplastic VA, P1, and A1 segments, were not associated with poor collateral status.



Figure I Flowchart of this study. Abbreviation: HT, hemorrhagic transformation.

Inflammation response has been widely considered a critical factor in the pathophysiology process of AIS.<sup>22,23</sup> Following AIS, the infiltration of immune cells and the release of proinflammatory cytokines are involved in the secondary injury, including blood-brain barrier disruption, brain edema, and HT. Recent studies have found that in response to proximal occlusion, early accumulation of platelets, neutrophil, and fibrinogen occurs mostly in the venous compartments of downstream microcirculation, a phenomenon known as downstream microvascular thromboinflammation,23-25 which might worsen ischemic damage and precipitate blood-brain barrier disruption and subsequent HT.<sup>25</sup> Therefore, it is significant to identify the inflammatory markers that can be used to predict HT and prognosis of AIS patients, especially for those who have been successfully recanalized. Recent studies have demonstrated a significant decrease in lymphocytes in patients with poor outcomes, indicating that low lymphocyte counts may be an important predictor for poor long-term functional outcomes after AIS. As a necessary factor against HT following ischemia/reperfusion because of its hemostatic function, previous study found that lower level of platelets was associated with early HT in patients with IVT.<sup>26</sup> Except for its

hemostatic function, platelet also plays an important role in the inflammatory response.<sup>27</sup> As a novel marker, PLR gives an idea about both the inflammatory response and hemostatic function, and it may be more valuable than either platelet or lymphocyte count alone in the prediction of HT risk in AIS patients. In the final DT model and multivariate model, higher PLR was found to be a significant predictor for HT in patients with AIS after MT. This novel biomarker is easier to obtain before MT for AIS patients, so its clinical application value deserves attention and needs further prospective study.

Previous studies reported that the incidence of HT decreased with a low baseline NIHSS score.<sup>28,29</sup> After adjustment of other confounding factors, a higher baseline NIHSS score was an independent risk factor of HT. The results of this study were consistent with previous findings. The NIHSS score reflects the degree of neurological deficits. A higher NIHSS score at onset indicates a larger infarct or poorer collateral circulation. As a key imaging indicator of AIS severity, ASPECTS was found to be of value to predict HT risk after acute cardioembolic stroke and may be a quick risk assessment approach before reperfusion therapy.<sup>30</sup> This finding is consistent with the

### Table 2 Univariate Analysis According to Patients with HI and PH

	н	РН	P-value
Age(years), mean	79.9(6.3)	74.1(9.6)	0.053
>75 years	14(77.8)	9(56.3)	0.180
	11(44.4)	0((0.0)	0.154
Female, $n(\%)$	11(44.4)	0(00.0)	0.154
Dishere realling a $\binom{6}{2}$	15(63.3)	13(01.3)	0.744
Diabetes mellitus, n (%)	8(44.4)	8(50.0)	0.746
Atrial fibriliation, h (%)	((22.2))	10(62.5)	0.934
Stroke or TIA history (%)	6(33.3)	6(37.5)	0.800
Dyslipidemia	10(55.6)	10(62.5)	0.681
Coronary artery disease (%)	12(66.7)	9(56.3)	0.533
Peripheral arterial disease(%)	3(8.8)	3(5.4)	0.669
Intracranial aneurysm (%)	5(14.7)	11(19.6)	0.340
Smoking, n (%)	6(33.3)	4(25.0)	0.715
Systolic blood pressure at admittance, mean (SD)	155.7(20.2)	155.9(29.7)	0.980
Diastolic blood pressure at admittance, mean (SD)	82.5(15.4)	83.1(14.8)	0.905
CT parameters, mean (SD)			
ASPECTS, mean (SD)	6.4(2.6)	5.8(2.7)	0.488
Collateral score 0–1	12(66.7)	9(56.3)	0.533
Hyperdense artery sign	14(77.8)	15(93.8)	0.340
Clot burden score≥6	12(66.7)	9(56.3)	0.533
Some shares for (SD)	10 2(4 ()	7 5 (1 0)	0.022
Serum giucose [mg/di], mean (SD)	10.3(4.6)	7.5(1.6)	0.032
	6.6(2.4)	5.8(2.6)	0.302
Creatinine	82.8(20.1)	94.4(64.9)	0.504
Urea nitrogen to creatinine ratio	0.08(0.02)	0.08(0.02)	0.432
INR, mean (SD)	0.97(0.07)	1.03(0.12)	0.112
APTT	31.5 (2.9)	31.8(5.2)	0.825
PT	11.2(1.7)	11.9(1.4)	0.085
D-Dimer	1645.4(3087.5)	1887.3(3104.1)	0.957
WBC, mean (SD)	8.76 (5.22)	7.76(2.54)	0.480
Fibrinogen, mean (SD), p mg/dL	3.47(0.57)	3.13(0.85)	0.190
Neutrophil count (109/L)	8.00(4.56)	7.75(3.20)	0.851
Monocyte Count (109/L)	0.95(0.76)	0.76(1.09)	0.584
Platelet count (109/L)	187.7(47.9)	178.3(80.7)	0.688
Lymphocyte count (109/L)	1.10(0.54)	1.68(3.59)	0.532
Neutrophil-to-lymphocyte ratios	9.30(7.08)	14.94(12.03)	0.101
Platelet to lymphocyte ratios	221.21(125.07)	305.60(224.96)	0.179
Monocyte to lymphocyte ratio	1.13(1.49)	1.00(0.30)	0.782
Preexisting anticoagulant treatment, p (%)	1(5.6%)	1(6.3)	0.991
Preexisting antiplatelet treatment, n (%)	8(44.4)	22(50.0)	0.385
		()	
Stroke cause, n (%)		10((2.5)	0.001
	7(20.0)	10(62.5)	0.081
Large-artery atherosclerosis	/(38.9)	2 (12.5)	
Others	13(23.3)	4 (25.1)	
Thrombus location			
ICA	8(44.4)	12(75.0)	0.158
МІ	5(27.8)	3(18.8)	
M2	l (5.9)	l (6.3)	
Tandem	4(22.2)	0	

(Continued)

#### Table 2 (Continued).

	н	РН	P-value
Incomplete of CoW Configurations	13(72.2)	10(62.5)	0.545
AI variation			
Normal	12(66.7)	11 (68.8)	0.878
Hypoplasia	4(22.2)	4(22.2)	
Absence	2(11.1)	l (6.3)	
VA variation			
Normal	13(38.2)	29(51.8)	0.397
Hypoplasia	9(52.9)	6(35.3)	
Absence	4(22.2)	2(12.5)	
AComA open	13(72.2)	15(93.8)	0.180
Proximal and distal carotid stenosis≥50%	l (2.9)	6(10.7)	0.247
Contralateral stenosis ≥50%	I (5.6)	0	0.934
Types of aortic arch			
Туре І	3(16.7)	7(43.8)	0.223
Туре 2	7(38.9)	4(25.0)	
Туре 3	8(44.4)	5(31.3)	
Procedure process and results			
Bridging thrombolysis	6(33.3)	4(25.0)	0.715
Front-line strategy			
CA	7(38.9)	6(37.5)	0.764
SR	5(27.8)	3(18.8)	
Solumbra	6(33.3)	7(43.8)	
Switched to other strategies	4(22.2)	7 (43.8)	0.180
Number of maneuvers ≥3	10(29.4)	17(30.4)	0.924
Intraprocedural Thrombus Fragmentation	7(38.9)	9(56.3)	0.311
Heparin bolus [2500 I.U.], n (%)	7(38.9)	4(26.7)	0.458
Time from symptom onset to groin puncture [min], median IQR	301.4(180.4)	369.7(255.5)	0.381
Time from symptom onset to flow restoration [min], median IQR	411.3(208.5)	487.3(257.9)	0.356
Procedural time, mins	139.11(47.2)	150.9(89.1)	0.628
mTICI Score 2b-3	7(20.6)	7(12.5)	0.305
NIHSS on admission	12.3(6.3)	18.0(7.7)	0.008
90-days mRS, n (%)			
0-2	3(16.7)	4(25.0)	0.681
4-6	14(77.8)	l I (68.8)	0.703

**Abbreviations:** HI, hemorrhagic infarction; PH, parenchymal hematoma; TIA, transient ischemic attacks; ASPECTS, The Alberta Stroke Program Early CT Score; ICA, terminal internal carotid artery; M1, first segment of middle cerebral artery; M2, second segment of middle cerebral artery; INR, international normalized ratios; APTT, activated partial thromboplastin times, PT, prothrombin time; CoW, Circle of Willis; VA, vertebral artery; AComA, anterior communicating artery; SR, stent retriever; CA, contact aspiration; Solumbra, a combination of stent retriever and aspiration.

result of our univariate analysis. However, no significant association was found in the final DT model and multivariate model. This result may be caused by the adjusted effect of other factors and the limited sample size of our study.

CoW variations or configurations, which are not completely symmetric, are found in up to 40% of the population.<sup>31</sup> The CoW is a primary collateral pathway that compensates quickly for a drop in cerebral blood flow under certain pathological conditions.<sup>32,33</sup> Notably, Furukawa et al described a rapid resolution of symptoms after an acute ischemic attack in patients with complete CoW.<sup>34</sup> Complete CoW also indicates the integrity of the proximal MCA and distal MCA occlusion with collateral flows. It has been shown that secondary and tertiary MCA branch occlusions are more likely to undergo



Figure 2 Decision tree path for 90 individuals with and without HT. Abbreviations: HT, hemorrhagic transformation; LMR, lymphocyte-to-monocyte ratio.



Figure 3 Performance of multivariate logistic regression model and decision tree model for predicting hemorrhagic transformation.

early recanalization than occlusions of more proximal large vessels.<sup>35</sup> CoW intracranial vessel occlusion has been demonstrated to be associated with poorer outcomes.<sup>36,37</sup> Such anatomic variations have been

shown to be associated with physiologic changes in blood flow, and the cerebral blood flow distribution in different configurations of the CoW was assessed previously.<sup>38</sup> For instance, Katarina Millesi et al found

**Table 3** Multivariate Analysis of Independent Predictors for theRisk of Hemorrhagic Transformation in Arterial Ischemic StrokePatients Treated by Mechanical Thrombectomy (MT)

Factors	OR (95% CI)	Р
Collateral score 0–1	10.186(3.029–34.248)	<0.001
PLR	1.005 (1.001–1.010)	0.040
NIHSS on admission	1.106(1.014–1.205)	0.022

Abbreviation: PLR, platelet to lymphocyte ratios.

that the CoW and anterior temporal artery contributed to leptomeningeal collaterals, although they were dependent on the outcomes of AIS patients after MT; Chuang et al<sup>39</sup> demonstrated that incomplete CoW was associated with a 3-fold increase in the rate of symptomatic intracerebral hemorrhage in ischemic stroke patients treated with intravenous thrombolysis; Liang et al revealed that complete CoW decreased the risk of cerebral reperfusion injury and subsequent hemorrhage after revascularization.<sup>40</sup> However, studies evaluating the CoW in AIS patients treated with MT are rare. In this study, no significant influence of CoW variations on HT in AIS patients was found, and CoW variations, including variant-type with hypoplastic VA, P1, and A1 segments, were not associated with the HT risk. In addition, poor collateral status was significantly associated with the higher risk of HT in both the DT model and multivariate model, although configurations of the CoW were not associated with collateral status (Table 3). The findings are in line with previously published results.<sup>41</sup>

Ischemic penumbra after AIS can remain viable because blood flow is somewhat sustained through arterial communications between the extracranial and intracranial circulation, the CoW (an equalizing distributor), and the leptomeningeal anastomoses.42 Therefore, severe stenosis of the contralateral internal carotid artery, M1 artery, basilar artery, and dominant VA may affect the compensatory blood supply of the collateral circulation. This study is the first to investigate the impact of the contralateral main artery or basilar artery/dominant VA stenosis  $\geq$  50% on HT in AIS patients treated by MT. Our results showed that the contralateral main artery, basilar artery, and dominant VA stenosis  $\geq$  50% was an important predictor for the risk of HT in AIS patients after MT. Indeed, the blood flow in these patients is greater after recanalization, thereby increasing the risk of hypoperfusion after ischemia.<sup>43</sup>

Lymphocyte-to-monocyte ratio, neutrophil to lymphocyte ratio at admission, high blood glucose, atrial fibrillation were are considered important predictors of development of HT in AIS patients after MT.<sup>44–46</sup> However, these factors had no added value for the prediction of HT when other risk factors were accounted for. This finding does not mean that these factors are not important risk factors for HT in isolation, but, instead, that these factors were not significantly associated with HT beyond the three predictors included in our final DT model and multivariate model.

### Limitation

The retrospective and single-center design was one of the major limitations of our study. Secondly, the relatively small

<b>Table 4</b> Univariate Analysis of According to 3-Month Clinical Out	come

	mRS 0–2	Р	mRS 4–6	Р
Assessment of CoW Configurations				
Complete	12(27.9)		12(33.3)	0.337
Incomplete	31(72.1)		24(66.7)	
AI variation				
None	26(60.5)	0.765	23(63.9)	0.677
Hypoplasia	12(27.9)		9(25.0)	
Aplasia	5(11.6)		4(11.1)	
VA variation				
None	24(55.8)	0.155	31(40.7)	0.666
Hypoplasia	15(34.9)		23(37.1)	
Aplasia	4(9.3)		8(12.9)	
AComA open	38(88.4)	0.144	29(80.6)	0.736
Collateral score 0–1	3(11.1)	<0.001	21(77.8)	<0.001

Abbreviations: CoW, Circle of Willis; VA, vertebral artery; AComA, anterior communicating artery.

sample size also increased the probability of selection bias. Thirdly, we could not measure the amount or direction of blood flowing through collateral vessels or correctly demonstrate the patency of the PCoA or P1 on CTA images because high-quality lateral projection images were lacking for some patients. Fourthly, previous studies used different definitions for hypoplasia of vascular segments, and the conclusions in this study were only based on our definition. Finally, our conclusions would be more convincing if we could record dynamic changes in the monocyte and lymphocyte counts; therefore, prospective studies with monocyte and lymphocyte count calculation at more time points are needed. Moreover, we did not take into account the possible role of arterial stiffness that may be an important predictor for HT after (intravenous, mechanical or both).47,48 Finally, this study did not exclude patients without recanalization success because this study aimed to explore the impact of anatomical factors on HT and identify biomarkers of blood samples in AIS patients treated by MT.

### Conclusion

Both the DT model and multivariate logistic regression model confirmed that the poor lower collateral status and the higher PLR were significantly associated with an increased risk for HT in AIS patients after MT. As a clinically available biomarker, PLR may be one of the cost-effective and practical predictors for HT. Further prospective multicenter studies are needed to validate our findings.

# **Ethical Statement**

The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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# Disclosure

The authors report no conflicts of interest in this work.

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