



# Article Examining Older Adults' Home Functioning Using the American Housing Survey

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**Abstract**: Identifying individuals at risk of experiencing functional difficulty at home would support timely home safety assessment and modification services, which could lead to reducing home incidents such as falls. The objective of this study was to calculate older adults' functional difficulty at home scores using the 12 physical function items in the American Housing Survey National and Metropolitan Data (AHS). Among the 28,474 older adults selected for this study, we used 19,932 for measurement model development and 8542 for model testing. Confirmatory factor analysis confirmed an adequate fit of the one-dimensional model with all AHS 12 items loading on one latent construct (functional difficulty at home) (RMSEA: 0.034, CFI: 0.990, and TLI: 0.988). Based on our model selection process, we determined that the Graded Response Model was an optimal model for our analysis and separated two detected differential functioning items for each sex. Using the testing dataset, we validated that the estimated functional difficulty scores showed an expected item hierarchy and statistically significant differences in their association with housing and demographic conditions (*p* < 0.001). Our results demonstrated the process of using the 12 AHS physical function at home items to produce validated scores of older adults' functional difficulty at home.

**Keywords:** aging in place; American housing survey; functional independence; home safety; older adults

# 1. Introduction

Individuals can foster autonomy and independence when the home provides a safe and secure environment that supports a connection to their family members and to their communities [1]. Older adults overwhelmingly prefer to remain in their homes over living elsewhere, such as in assisted living facilities or in their children's homes [2]. However, age-related diseases, frailty, and disability might prevent older adults from residing in the home safely since, in the home, they might experience falls or other accidents [3–7].

Home assessments conducted to determine the safety and suitability of a home for an older adult resident are thus generally performed by clinicians (e.g., occupational therapists) [3,8]. A home assessment is a comprehensive inspection of the home, its surrounding environment, and the lifestyle of the resident [9–11]. A home safety assessment is recommended for an individual who has had a recent decline in health but has safe functional performance in the home or whose primary caregiver is experiencing an increased caregiving burden [3]. During home assessments, the organic interactions between the person and



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). the residential environment are examined to determine the necessary home modifications that can maximize the person–environment fit and help individuals maintain independence and quality of life [12,13].

Identifying and modifying potential home hazards (slippery floors, loosened carpets, clutter, and others) can substantially reduce the risk of falls and home accidents [14]. A Cochrane review by Gillespie et al. reported that professionally prescribed home hazard evaluations and modifications decrease the rate of falls by older adults who have a history of falling [14]. Similarly, Nikolaus and Bach concluded that a comprehensive geriatric assessment coupled with a home safety evaluation and the prescribed use of technical and mobility aids prevented 31% of falls (37% for subjects with a history of two or more falls in the previous year) as compared to a comprehensive geriatric assessment followed by usual home care (p < 0.05) [15]. Moreover, study participants who adopted one or more recommended home modifications experienced a significantly decreased rate of falls (Incident Rate Ratio = 0.64, CI = 0.37–0.99), whereas participants without any home modifications did not have a reduction in their rate of falls (Incident Rate Ratio = 1.05, CI = 0.82–1.41) [15].

However, a home assessment is a costly and labor-intensive practice that requires a home visit by a clinical expert to examine functional hazards and risks [8,16]. Providing timely home assessment and corresponding modification services might not be feasible for areas where clinicians are not available (e.g., rural areas) [3,17,18]. Innovative alternatives are needed to allow clinicians to efficiently and effectively assess the home environment in order to identify necessary modifications.

Additionally, individuals at risk of having functional difficulty at home have a higher likelihood of experiencing home accidents, which can lead to such unwanted consequences as injuries, hospitalization, or even death [19–21]. Thus, identifying individuals at risk of experiencing functional difficulty at home would result in targeted interventions that should improve outcomes. Simultaneously examining both housing features (e.g., steps, lighting, grab bars, and entry-level bathroom) and household member characteristics (e.g., household income, education, age, disabilities, monthly mortgage, and other demographic information) could yield a preliminary prediction of the probability of an individual having functional difficulty at home. Pre-screening individuals at risk of experiencing functional difficulty at home could encourage the use of timely home assessment and modification services, which, in turn, could reduce the incidence of home falls and ultimately reduce hospital admission rates.

This study is the first of three sequential studies which investigate the feasibility of using comprehensive nationwide housing and demographic data to develop a prediction model in order to identify elderly individuals at risk of experiencing functional difficulty at home. One primary step in this process to develop a prediction model is to estimate a client's home functioning precisely. Thus, the purpose of this study was to calculate older adults' functional difficulty at home using an optimal measurement model for the 12 physical function items in the American Housing Survey National and Metropolitan Data (AHS). The specific steps were as follows: (1) determine the item–factor structure of the 12 AHS physical function items, (2) select an optimal psychometric model for the 12 AHS physical function items and estimate the functional difficulty at home scores for each individual, and (3) validate the functional difficulty scores with external variables that are expected to have significant associations.

#### 2. Materials and Methods

## 2.1. The American Housing Survey National and Metropolitan Data

The AHS is the largest biennially (e.g., 2011, 2013, and 2015) administered national survey that describes people and their homes, sponsored by the U.S. Department of Housing and Urban Development and conducted by the U.S. Census Bureau [22]. The AHS's interviewers collect the national samples (approximately 116,000 samples) via calls or home visits. Participating housing units were scientifically selected and interviewed every two

years to describe all housing units in the U.S. For this retrospective secondary data analysis study, we selected the 2011 AHS because health-related functional difficulty items were exclusively included in the 2011 survey.

## 2.2. Data Sample

For our sample, we selected older adults who were 65 years or older and who responded to the AHS questionnaires themselves (i.e., not by proxy) and extracted one older adult per household. The "CONTROL" variable represents each housing unit, with each household member assigned to the "PLINE" variable. A number of housing units include multiple "PLINE" variables. A new index variable was created by combining the "CONTROL" and "PLINE" variables to give each individual a unique code. We linked the "person" data table to the "newhouse" data table by using the "CONTROL" variable; then, we selected our target individuals using our new index variable. The "person" data table includes individual person-level information such as age, gender, education, income, and physical function at home. The "newhouse" dataset covers house-level information (e.g., handrails or grab bars in a unit, extra-wide doors/hallways, wheelchair accessible kitchen cabinets, unit-built year, and loose/broken/missing steps in common stairs).

## 2.3. Physical Function at Home Items

We retrieved 12 dichotomous physical function at home items to represent the level of functional difficulty at home (Table 1). These items are combinations of gross and fine motor functional movements at home with a dichotomous response option: (1) have difficulties or (2) have no difficulties.

| Items   | Description   | Category | Count (%)      |
|---------|---|----------|----------------|
|         |   | No       | 25,716 (90.3%) |
| Item 1  | Has difficulty getting into or out of bathtub       | Yes      | 2187 (7.7%)    |
|         |   | Missing  | 571 (2.0%)     |
|         |   | No       | 27,613 (97.0%) |
| Item 2  | Has difficulty using kitchen counters               | Yes      | 313 (1.1%)     |
|         |   | Missing  | 548 (1.9%)     |
|         |   | No       | 27,658 (97.1%) |
| Item 3  | Has difficulty using faucets                        | Yes      | 270 (0.9%)     |
|         |   | Missing  | 546 (1.9%)     |
|         |   | No       | 27,436 (96.4%) |
| Item 4  | Has difficulty getting to bathroom                  | Yes      | 491 (1.7%)     |
|         |   | Missing  | 547 (1.9%)     |
|         |   | No       | 26,201 (92.0%) |
| Item 5  | Has difficulty using fingers to grasp small objects | Yes      | 1692 (5.9%)    |
|         |   | Missing  | 581 (2.0%)     |
|         |   | No       | 25,546 (89.7%) |
| Item 6  | Has difficulty reaching kitchen cabinets            | Yes      | 2378 (8.4%)    |
|         |   | Missing  | 550 (1.9%)     |
|         |   | No       | 27,161 (95.4%) |
| Item 7  | Has difficulty opening kitchen cabinets             | Yes      | 766 (2.7%)     |
|         |   | Missing  | 547 (1.9%)     |
|         |   | No       | 25,963 (91.2%) |
| Item 8  | Has difficulty reaching over head                   | Yes      | 1931 (6.8%)    |
|         |   | Missing  | 580 (2.0%)     |
|         |   | No       | 27,674 (97.2%) |
| Item 9  | Has difficulty using sink                           | Yes      | 256 (0.9%)     |
|         |   | Missing  | 544 (1.9%)     |
|         |   | No       | 22,156 (77.8%) |
| Item 10 | Has difficulty stooping or kneeling or bending      | Yes      | 5742 (20.2%)   |
|         |   | Missing  | 576 (2.0%)     |

Table 1. Response Frequency of American Housing Survey Physical Function at Home Items.

| Tabl | le 1 | . Cc | mt. |
|------|------|------|-----|
|      |      |      |     |

| Items   | Description  | Category | Count (%)      |
|---------|--|----------|----------------|
|         |  | No       | 27,531 (96.7%) |
| Item 11 | Has difficulty using stove                           | Yes      | 390 (1.4%)     |
|         |  | Missing  | 553 (1.9%)     |
|         |  | No       | 26,946 (94.6%) |
| Item 12 | Has difficulty getting into or out of walk-in shower | Yes      | 959 (3.4%)     |
|         |  | Missing  | 569 (2.0%)     |

#### 2.4. Statistical Analysis

The statistical analysis was threefold: (1) confirmation of the theoretical item–factor structure of the 12 AHS physical function at home items using confirmatory factor analyses (CFA) [23]; (2) estimation of older adults' functional difficulty at home by applying the optimal psychometric model for the items using item response theory (IRT) analyses; and (3) validation of older adults' functional difficulty at home scores by testing the construct validity with 7 demographic and 21 housing feature characteristics. We divided our sample into two groups (70% training and 30% testing). The identified theoretical item–factor structure and the optimal psychometric model based on the training dataset were validated with the testing dataset. The detailed statistical analysis process is described in Figure 1. For all statistical and analytic processes, R version 4.0.3 and R studio version 1.3.1093 were used with R packages (lavaan, summarytools, mirt, mirtCAT dplyr, tidyr, mgsub, foreach, and doParallel) [24–33].

#### 2.4.1. Confirmatory Factor Analysis

Using our training dataset, we conducted CFA to evaluate a theoretical structural model of the 12 physical function at home items, in which the variance in all 12 items is explained by one latent construct, "functional difficulty at home". The following model fit criteria were used: (1) root mean square error of approximation (RMSEA < 0.08), (2) comparative fit index (CFI > 0.95), and (3) Tucker–Lewis Index (TLI > 0.95) [34]. We used weighted least squares mean and variance (WLSMV) to adjust the binary or ordered responses of the 12 physical function at home items and then performed listwise deletion for missing responses. Item factor loadings, coefficients of determination (R-squared), and local independence with a cutoff at 0.2 of residual correlations were also investigated [35].

#### 2.4.2. Measurement Model Selection

Several IRT models were tested. We began by fitting the simplest model to the AHS physical function at home items, then moved to increasingly more complex models until the model satisfied the adequate model fit indices (the same as the fit criteria used for confirmatory factor analysis). When multiple models demonstrated adequate fit indices, we conducted a Wald test to determine a statistically dominant model for the 12 AHS physical function at home items. Moreover, we evaluated differential item functioning (DIF) and item-fit statistics in order to produce optimal estimations of older adults' functional difficulty at home.

DIF was tested across sex, age groups, income groups, and tenure type. The Wald test was used with the level of significance (*p*-value) at 0.05 and the Bonferroni correction to control for inflated Type I errors in multiple DIF tests. In addition, we controlled for group differences in functional difficulty at home in order to detect the true DIF items. For example, we expect that the 85-years-or-older group will have more severe functional difficulty at home than the two younger groups ((1) 65–74 years and (2) 75–84 years). We controlled for this group difference in functional difficulty at home in order to detect the true DIF items. Items confirmed to have DIF were divided according to the relevant category of DIF items to avoid bias in estimating functional difficulty at home [36]. For instance, if Item 1 is identified to have DIF between males and females, we would divide



Item 1 into two items: Item 1 for males and Item 1 for females. These two items would then be used independently to estimate functional difficulty at home.

Figure 1. Model Selection and Validation Process.

To detect misfit items, we used S-X2 for significant misfit items and RMSEA S-X2 for the magnitude of misfit items to our measurement model. Items with p < 0.001 of S-X2 and RMSEA S-X2 > 0.08 were classified as misfit items [37]. Empirical plots were also examined before the deletion of a misfit item. Finally, when a measurement model was finalized, the

factor scores for each older adult were estimated using the confirmed measurement model of the 12 AHS physical function at home items.

## 2.4.3. Measurement Validation

The construct validity of the AHS physical function at home items was evaluated by examining item contents in an item hierarchy and testing statistically significant differences in theoretically associated variables using our testing dataset. We hypothesized that the items related to gross motor movement were more challenging to perform than those related to fine motor movement for older adults with functional difficulty at home. For example, older adults with minimal to moderate functional abilities are expected to have difficulty in performing bending or stooping (gross motor), but not in using faucets or sinks (fine motor). However, older adults with severe functional difficulty at home would experience challenges in bending or stooping as well as in using faucets. In addition, the statistical differences in functional difficulty at home across different categories of all 28 demographic and general housing feature variables were tested. Of note, the sex differences of the functional difficulty at home were tested, controlling for age. In order to estimate older adults' factor scores, each response of the 12 functional difficulty items was recoded from (1) have difficulties or (2) have no difficulties to (1) have no difficulties or (2) have difficulties, for the intuitive interpretation of functional difficulty level. Higher factor scores indicated more severe functional difficulty at home.

For this process, Kruskal–Wallis tests and Bonferroni corrections were conducted to adjust for the non-normal factor score distribution and inflated *p*-values from multiple comparisons.

## 3. Results

#### 3.1. Demographics

Among a total number of 43,650 older adults, 28,474 older adults were selected for this study. The mean age of our sample was  $75.1 \pm 7.6$  years. Females were the predominant sex in the sample (17,316, 60.8%). More than 45% (n = 12,911) of our sample had an annual family income of less than USD 25,000, and about 74% (n = 21,022) of our sample were homeowners. Of the selected older adults, 21.1% (n = 6018) had difficulty walking or climbing stairs (walking disability), 4.5% (n = 1272) had dressing or bathing difficulty (self-care disability), 4% (n = 1150) had both walking and self-care disabilities, and 76.4% (n = 21,767) reported no difficulties in either disability type. Moreover, our sample reported the following general health status: excellent (n = 5712, 20.1%), very good (n = 13,109, 46.0%), fair (n = 6987, 24.5%), and poor (n = 2070, 7.3%). Detailed information regarding the sample across age, sex, income, and tenure type, along with functional conditions, is provided in Table 2.

## 3.2. Confirmatory Factor Analysis

A total of 19,932 older adults in the training dataset were used for CFA. CFA confirmed that the one-dimensional model is adequate for the 12 AHS physical function at home items. The responding fit indices of the one-dimensional model for the 12 AHS physical function at home items were RMSEA (0.034), CFI (0.990), and TLI (0.988). All items were highly loaded on one latent construct (functional difficulty at home) ( $\lambda > 0.741$ ), and the model explained more than 71% of the variance in all items supported the local independence assumption of the one-dimensional model (residual correlations, r < 0.20). A total of 19,440 older adults were used to test a theoretical structural model of the 12 physical function at home items after listwise deletion for missing responses (2.5%).

| Variable               | Description  | Category                             | Count (%)  |
|------------------------|--|--------------------------------------|--|
| Age Group              | Age of person (years)  | ≥65 and <75<br>≥75 and <84<br>>85    | 15,203 (53.4%)<br>9286 (32.6%)<br>3985 (14.0%)               |
| Sex                    | What is the sex of this person: Male or Female?  | Male<br>Female                       | 11,158 (39.2%)<br>17,316 (60.8%)                             |
| Tenure                 | The family income recode is the sum<br>of the wage and salary income of the<br>householder and all related | Owned<br>Rented                      | 21,022 (73.8%)<br>6996 (24.6%)                               |
|                        | individuals age 14+ and all other<br>reported income   | payment of rent                      | 456 (1.6%)   |
| Family Income<br>Group | The family income recode is the sum of the wage and salary income of the                                   | ≤25,000                              | 12,911 (45.3%)   |
|                        | householder and all related<br>individuals age 14+ and all other<br>reported income                        | >25,000                              | 15,563 (54.7%)   |
|                        | Does anyone in this household have   | Yes                                  | 1272 (4.5%)  |
| Self-Care              | serious difficulty dressing<br>or bathing?   | No<br>Missing                        | 26,639 (93.6%)<br>563 (2.0%)                                 |
| Walking                | Does anyone in this household have<br>serious difficulty walking or<br>climbing stairs?                    | Yes<br>No<br>Missing<br>Excellent    | 6018 (21.1%)<br>21,899 (76.9%)<br>557 (2.0%)<br>5712 (20.1%) |
| General Health         | Would you say that the head of<br>household's health in general is<br>excellent, very good, fair, or poor? | Very Good<br>Fair<br>Poor<br>Missing | 13,109 (46.0%)<br>6987 (24.5%)<br>2070 (7.3%)<br>596 (2.1%)  |

Table 2. Sample Characteristics.

#### 3.3. Measurement Model Selection

The 12 AHS physical function at home items did not demonstrate an adequate fit to the Rasch model (simplest model): RMSEA (0.928), TLI (-4.425), and CFI (0). We then investigated the fit of the 12 AHS items to two 2PL IRT models: the Generalized Partial Credit Model (GPCM) and the Graded Response Model (GRM). Fit indices for both GPCM and GRM were appropriate, with RMSEA (0.036 and 0.036), CFI (0.997 and 0.993), and TLI (0.996 and 0.992), sequentially. A subsequent Wald test indicated that GRM was a significantly better fitting model than GPCM (p < 0.001).

The DIF and item fit of the 12 AHS physical function at home items were evaluated using the GRM. All showed no significant DIF across age groups, tenure type, or income groups. Two items (Item 1: has difficulty getting into or out of bathtub, and Item 6: has difficulty reaching kitchen cabinets) showed significant DIF for sex (p < 0.001). In order to prevent biased estimation in functional difficulty at home, we separated these two items (Item 1 and Item 6) into distinct items by sex (i.e., Item 1 for males and Item 1 for females, Item 6 for males and Item 6 for females), resulting in a total of 14 AHS physical function at home items.

The GRM model fit to our new 14 AHS physical function at home items was reevaluated. The GRM on the items showed great model fit indices: RMSEA (0.029), CFI (0.996), and TLI (0.995), with all items greatly loading on the functional difficulty at home construct ( $\lambda > 0.79$ ). Subsequent item-fit analyses indicated that Item 5 (has difficulty using fingers to grasp small objects), Item 8 (has difficulty reaching overhead), and Item 10 (has difficulty stooping or kneeling or bending) were statistically significant misfit items (p < 0.001). However, the magnitude of item misfit for all three items was negligible (RMSEA S-X2 < 0.013). Thus, we kept all 14 items for estimating older adults' functional difficulty at home.

## 3.4. Measurement Validation

A total of 8542 older adults in the testing dataset were used for model validation. All 14 AHS items showed the expected hierarchy of item difficulty. As we hypothesized, the most challenging item to perform was a gross motor-associated task (Item 10, stooping or kneeling or bending), with the least challenging item being a fine motor-related task (Item 9, using sink) (for item difficulty, see Table 3). Item 11 (using stove), Item 2 (using kitchen counters), and Item 3 (using faucets) were in an error range of Item 9 ( $-2.50 \sim -2.30$ ). In addition, a large gap between the most (Item 10) and the second most (Item 1) challenging items was identified.

Table 3. Item Difficulties of 14 American Housing Survey Physical Function at Home Items.

| Item Description          | Item Difficulty | S.E. * |
|---------------------------|-----------------|--------|
| Item 10_Stooping          | -0.96           | 0.02   |
| Item 1_F_Bathtub          | -1.53           | 0.03   |
| Item 6_F_Reaching Cabinet | -1.55           | 0.04   |
| Item 1_M_Bathtub          | -1.73           | 0.05   |
| Item 8_Overhead           | -1.77           | 0.03   |
| Item 6_M_Reaching Cabinet | -1.8            | 0.05   |
| Item 12_Shower            | -1.97           | 0.04   |
| Item 5_Small Object       | -2.05           | 0.05   |
| Item 7_Opening Cabinets   | -2.1            | 0.04   |
| Item 4_Bathroom           | -2.16           | 0.04   |
| Item 11_Stove             | -2.31           | 0.05   |
| Item 2_Counters           | -2.39           | 0.05   |
| Item 3_Faucets            | -2.39           | 0.05   |
| Item 9_Sink               | -2.4            | 0.05   |

\* Standard Error of Item Difficulty Estimates.

Factor scores of functional difficulty at home were estimated for each older adult using our final model. Factor scores ranged from -0.17 to 2.82, with 3457 older adults having the minimum factor scores. All 28 demographic and housing-related variables showed statistically significant differences for older adult functional difficulty at home (p < 0.001) (The means of each group's functional difficulty and the F-statistics results are shown in the Appendix A). Controlling for age, female older adults had statistically significantly greater functional difficulty at home than male older adults (p < 0.0001).

## 4. Discussion

This study presents the process of using the 12 AHS physical function at home items to estimate older adults' scores of functional difficulty at home. The results of this study demonstrated an adequate validity of the estimated factor scores of older adults' functional difficulty, indicating that those factor scores are appropriate to use in other studies. The two subsequent studies will use machine learning to develop predictive models for identifying older adults at risk of experiencing functional difficulty at home. The factor scores estimated in the present study will serve as an output variable with a number of older adults' demographic and housing-related conditions as input variables for the predictive models.

Approximately 40% of the older adults in our sample had the minimum factor score, indicating that they had no difficulty in performing all AHS physical function at home items. Including more challenging items would improve the measurements of physical difficulty at home for older adults and across a broader range of functional difficulty at home. However, since we aimed to estimate older adults' functional difficulty at home in order to prevent possible home accidents, we expect that having a floor effect would not create complications for our study and reasoned that the estimated factors are appropriate for use in subsequent studies.

Item difficulties ranged from -2.40 to -0.96, illustrating that older adults with an average level of functional difficulty will have a greater probability of accomplishing all

AHS physical function at home items. Except for Item 10 (stooping or kneeling or bending), all the items were in the error ranges with their adjacent items and ranged from -1.53 to -2.4. This indicates that a fewer number of items can be selected to develop a short form without sacrificing the measurement accuracy. We recommend further studies to investigate creating a short form of the AHS physical function at home items.

In the process of refining the measurement model, we separated Item 1 (Has difficulty getting into or out of bathtub) and Item 6 (Has difficulty reaching kitchen cabinets) for each sex due to their DIF impact. The subsequent analysis with the separated items showed that the two female items (Items 1 and 6 for females) were more challenging to succeed in than the two male items (Items 1 and 6 for males), even after controlling for sex differences in functional difficulty at home. This result indicates that, compared to male older adults, female older adults with the same level of functional difficulty at home experience relatively greater challenges in getting in and out of the bathtub (Item 1) and reaching kitchen cabinets (Item 6).

Women had a higher average factor score of functional difficulty at home than men (p < 0.001). Liang and his colleagues (2008) reported that women have greater and faster functional decline after age 50 than men [38]. A study published in the *Journal of NeuroEngineering and Rehabilitation* compared older adults' (75–98 years) sex differences in functional mobility [39]. The study found that female older adults performed statistically significantly worse than male older adults in the following areas: completing coordinated stability, near tandem balance, walking speed, sit to stand, stair ascent and descent, and alternate step tasks (p < 0.05) [39]. Moreover, Kim and Ahrentzen (2016) showed that women were more likely to experience severe falling injuries than men [40]. Our study results align with these findings; however, why those two specific items (Items 1 and 6) are more difficult for female older adults than male older adults after controlling for their sex difference in functional difficulty levels is unknown. Future studies are recommended to examine the DIF in these two items between males and females.

Older adults showed statistically significant differences in their functional difficulty at home across all 28 demographic and housing-related variables, satisfying our hypotheses. This result confirms that different conditions of those variables are statistically associated with older adults' functional difficulty at home. For example, as expected, older adults with excellent general health had an -0.02 average factor score of functional difficulty at home, whereas the score was 0.87 for older adults with poor general health. This finding verifies that our factor scores are valid to use in our subsequent studies.

## Limitation

This study has a few limitations. Our study used listwise deletion for the CFA, assuming that the missing is completely at random, which might have caused systematic bias in the CFA results. However, less than 3% of our sample was dropped from the analysis; thus, we suspect that the leverage of the possible bias was limited. Moreover, we used the 2011 AHS because health-related functional difficulty items were not available in more recent AHS surveys. The older adults' home environment could have been altered over the last ten years, which could result in different outcomes. Nonetheless, in the 2019 AHS report, over 42% of residents had resided in their units for more than 10 years [41]. We expect that the impact was minimal.

## 5. Conclusions

Although survey items are often extracted and used to estimate an outcome of interest in general, they were not developed as a measurement tool. Therefore, carefully examining a factor structure and selecting a proper measurement model are essential steps for the accurate estimation of outcomes when using survey items. In this study, we demonstrated the process of using AHS physical function at home items to estimate functional difficulty at home for older adults, and we validated the resulting factor scores for use in subsequent studies. We encourage future studies to consider our method of using survey items to estimate an outcome of interest.

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#### Appendix A

Variable Description Category Mean **F-Statistic** p-Value Does anyone in this household have serious Yes 1.66 Self-Care 2508.2 < 0.001 difficulty dressing or bathing? No 0.13 Does anyone in this household have serious Yes 0.94 Walking 4831.02 < 0.001 difficulty walking or climbing stairs? No 0.01  $\geq$ 65 and <75 0.08 Age Group  $\geq 75 < 84$ Age of a person (years) 0.24 246.59 < 0.001 >85 0.53 Male 0.10 What is the sex of this person: Male or Female? 128.48 < 0.001 Sex 0.26 Female 0.12 Owned The family income recode is the sum of the Rented 0.40 151.33 < 0.001 wage and salary income of the householder Occupied Tenure and all related individuals age 14+ and all without 0.29 other reported income. payment of rent The family income recode is the sum of the  $\leq 25,000$ 0.31 wage and salary income of the householder 249.91 < 0.001 Income Group and all related individuals age 14+ and all >25,000 0.09 other reported income. -0.02Excellent Would you say that the head of household's Very Good 0.09 General Health health in general is excellent, very good, fair, 434.36 < 0.001 Fair 0.38 or poor? 0.87 Poor

**Table A1.** Estimated Home Functional Difficulty Factor Scores across Demographic and Housing-Related Variable Categories.

## Table A1. Cont.

| Variable              | Description   | Category | Mean  | F-Statistic | <i>p</i> -Value |
|-----------------------|---|----------|-------|-------------|-----------------|
|                       |   | 1        | 1.42  |             |                 |
| Ramp                  |   | 2        | 0.18  | 56.27       | < 0.001         |
|                       |   | 3        | 0.19  |             |                 |
|                       |   | 1        | 1.36  |             |                 |
| Elevator              |   | 2        | -0.02 | 31.12       | < 0.001         |
|                       |   | 3        | 0.19  |             |                 |
|                       |   | 1        | 0.99  |             |                 |
| Bedroom on entry      |   | 2        | 0.14  | 385.15      | < 0.001         |
| level                 |   | 3        | 0.07  | 000110      | 101001          |
|                       |   | 1        | 0.98  |             |                 |
| Full bathroom on      |   | 2        | 0.12  | 406 66      | <0.001          |
| entry level           |   | 3        | 0.13  | 100.00      | (0.001          |
|                       |   | 1        | 0.80  |             |                 |
| Handrails or grab     |   | 2        | 0.00  | 171 36      | <0.001          |
| bars in unit          |   | 3        | 0.20  | 171.50      | <0.001          |
|                       |   | 1        | 0.70  |             |                 |
| Handrails or grab     | Does your home currently have any of the                      | 2        | 0.09  | 845 12      | ~0.001          |
| bars in bathroom      | following features? Does anyone in the                        | 3        | 0.07  | 040.12      | <0.001          |
|                       | <ul> <li>household currently use this feature on a</li> </ul> | 1        | 0.7(  |             |                 |
| Handrails or grab     | regular basis because of a physical limitation?               | 1        | 0.76  | 02 (0       | -0.001          |
| bars in other areas   | 1: Home has feature, and is currently used by a               | 2        | 0.11  | 83.68       | < 0.001         |
|                       | _ household member with a physical limitation, _              | 5        | 0.10  |             |                 |
|                       | 2: Home has feature, but is not currently used                | 1        | 0.89  |             | 0.001           |
| Built-in shower seats | limitation 3: Home does not have feature                      | 2        | 0.10  | 264.14      | < 0.001         |
|                       |   | 3        | 0.16  |             |                 |
|                       |   | 1        | 0.84  |             |                 |
| Raised toilets        |   | 2        | 0.12  | 2 351.17    | < 0.001         |
|                       |   | 3        | 0.15  |             |                 |
| Door handles instead  |   | 1        | 1.03  |             |                 |
| of knobs              |   | 2        | 0.13  | 198.87      | < 0.001         |
| 01 11005              |   | 3        | 0.18  |             |                 |
|                       |   | 1 1.04   |       |             |                 |
| Sink handles/levers   |   | 2        | 0.14  | 302.65      | < 0.001         |
|                       |   | 3        | 0.17  |             |                 |
| Extra wido            |   | 1        | 1.10  |             |                 |
| doors/hallways        |   | 2        | 0.19  | 238.51      | < 0.001         |
| doors/ nariways       |   | 3        | 0.17  |             |                 |
| TC: 1 ( /1            |   | 1        | 0.86  |             |                 |
| Kitchen trays/lazy    |   | 2        | 0.07  | 97.29       | < 0.001         |
| susans                |   | 3        | 0.22  |             |                 |
|                       |   | 1        | 0.98  |             |                 |
| No steps between      |   | 2        | 0.12  | 664.06      | < 0.001         |
| rooms                 | -   | 3        | 0.12  |             |                 |
|                       |   | 1        | 1.1.4 |             |                 |
| Wheelchair-           |   | 1        | 1.14  | 22( 05      | -0.001          |
| accessible electrical |   | 2        | 0.15  | 326.95      | <0.001          |
| outlets               |   |          | 0.10  |             |                 |
| Wheelchair-           |   | 1        | 1.19  |             | 0.001           |
| accessible electrical |   | 2        | 0.16  | 357.95      | < 0.001         |
| switches              |   | 3        | 0.15  |             |                 |
| Wheelchair-           |   | 1        | 1.15  |             |                 |
| accessible climate    |   | 2        | 0.15  | 264.44      | < 0.001         |
| controls              |   | 3        | 0.18  |             |                 |

| Variable                  | Description | Category | Mean | F-Statistic | <i>p</i> -Value |
|---------------------------|-------------|----------|------|-------------|-----------------|
| Wheelchair-               |             | 1        | 1.09 |             |                 |
| accessible kitchen        |             | 2        | 0.13 | 108.42      | < 0.001         |
| cabinets                  |             | 3        | 0.19 |             |                 |
| Wheelchair-               |             | 1        | 1.21 |             |                 |
| accessible                |             | 2        | 0.16 | 302.49      | < 0.001         |
| countertops               |             | 3        | 0.16 |             |                 |
| Wheelchair-               | _           | 1        | 1.15 |             |                 |
| accessible<br>kitchen     |             | 2        | 0.16 | 196.12      | < 0.001         |
|                           |             | 3        | 0.18 |             |                 |
| Wheelchair-<br>accessible | _           | 1        | 1.12 |             |                 |
|                           |             | 2        | 0.13 | 309.18      | < 0.001         |
| bathroom                  |             | 3        | 0.19 |             |                 |

## Table A1. Cont.

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