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# Health-Related Quality of Life Impacts Mortality but Not Progression to End-Stage Renal Disease in Pre-Dialysis Chronic Kidney Disease: A Prospective Observational Study

Mark D. Jesky<sup>1,2\*</sup>, Mary Dutton<sup>1</sup>, Indranil Dasgupta<sup>3</sup>, Punit Yadav<sup>1,2</sup>, Khai Ping Ng<sup>1,4</sup>, Anthony Fenton<sup>1,2</sup>, Derek Kyte<sup>5</sup>, Charles J. Ferro<sup>1,4</sup>, Melanie Calvert<sup>5</sup>, Paul Cockwell<sup>1,2</sup>, Stephanie J. Stringer<sup>1,2</sup>

 Department of Renal Medicine, University Hospitals Birmingham NHS Foundation Trust, Birmingham, United Kingdom, 2 Institute of Translational Medicine, College of Medical and Dental Sciences, University of Birmingham, Birmingham, United Kingdom, 3 Renal Unit, Heart of England NHS Foundation Trust, Birmingham, United Kingdom, 4 Institute of Cardiovascular Sciences, College of Medical and Dental Sciences, University of Birmingham, Birmingham, United Kingdom, 5 Institute of Applied Health Research, College of Medical and Dental Sciences, University of Birmingham, Bir

\* mark.jesky@uhb.nhs.uk

## Abstract

### Background

Chronic kidney disease (CKD) is associated with reduced health-related quality of life (HRQL). However, the relationship between pre-dialysis CKD, HRQL and clinical outcomes, including mortality and progression to end-stage renal disease (ESRD) is unclear.

### Methods

All 745 participants recruited into the Renal Impairment In Secondary Care study to end March 2014 were included. Demographic, clinical and laboratory data were collected at baseline including an assessment of HRQL using the Euroqol EQ-5D-3L. Health states were converted into an EQ-5D<sub>index</sub> score using a set of weighted preferences specific to the UK population. Multivariable Cox proportional hazards regression and competing risk analyses were undertaken to evaluate the association of HRQL with progression to ESRD or allcause mortality. Regression analyses were then performed to identify variables associated with the significant HRQL components.

### Results

Median eGFR was 25.8 ml/min/1.73 m<sup>2</sup> (IQR 19.6–33.7ml/min) and median ACR was 33 mg/mmol (IQR 6.6–130.3 mg/mmol). Five hundred and fifty five participants (75.7%) reported problems with one or more EQ-5D domains. When adjusted for age, gender, comorbidity, eGFR and ACR, both reported problems with self-care [hazard ratio 2.542, 95% confidence interval 1.222–5.286, p = 0.013] and reduced EQ-5D<sub>index</sub> score [hazard ratio 0.283, 95% confidence interval 0.099–0.810, p = 0.019] were significantly associated



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**Competing Interests:** Melanie Calvert has received consultancy fees from Astellas and Ferring Pharma outside the submitted work and has received research funding from the Health Foundation focused on Chronic Kidney Disease. This does not alter our adherence to PLOS ONE policies on sharing data and materials. with an increase in all-cause mortality. Similar findings were observed for competing risk analyses. Reduced HRQL was not a risk factor for progression to ESRD in multivariable analyses.

### Conclusions

Impaired HRQL is common in the pre-dialysis CKD population. Reduced HRQL, as demonstrated by problems with self-care or a lower EQ-5D<sub>index</sub> score, is associated with a higher risk for death but not ESRD. Multiple factors influence these aspects of HRQL but renal function, as measured by eGFR and ACR, are not among them.

### Introduction

Chronic kidney disease (CKD) affects up to one in seven adults [1–3] and is associated with an increased risk of all-cause and cardiovascular mortality, and end-stage renal disease (ESRD) [4, 5]. There is increasing evidence of an association between pre-dialysis CKD and impaired health-related quality of life (HRQL) as assessed by a variety of patient reported outcome measures (PROMs) [6–9].

HRQL can be assessed using disease specific or generic instruments. The use of different PROMs within different populations to evaluate HRQL means that it is difficult to assess the relevance of the results reported. Furthermore, there are limited quantifiable data on the relationship between HRQL scores and clinical outcomes, including mortality and progression to ESRD. Previous studies have either been small, investigating these outcomes in a Taiwanese population [10], or have focused on individuals of black ethnicity with hypertensive CKD in the United States [11]. A recently published study investigated the impact of HRQL using a kidney disease specific tool (KDQOL-36) and found that low HRQL was independently association with CV events and death, but not CKD progression [12].

A systematic review of PROMs used in CKD supported the use of preference-based utility measures, favouring the EuroQol, EQ-5D due to ease of use for patients and for the ability to derive utility values for health economic evaluation [13].

To date, there have been few studies investigating the relationship between pre-dialysis CKD and HRQL as measured by EQ-5D [6–8], and no studies examining the relationship between EQ-5D scores and clinical outcomes. To address this we evaluated HRQL within a large prospective cohort study of pre-dialysis CKD, where EQ-5D was collected at recruitment, to assess the relationship between HRQL and CKD stage, and the impact of HRQL on risk of death or progression to ESRD.

### **Materials and Methods**

The Renal Impairment In Secondary Care (RIISC) study (NCT01722383) was approved by South Birmingham Research Ethics Committee (reference: 10/H1207/6). Patient recruitment commenced in October 2010, the methodology utilised has been described in detail elsewhere [14, 15].

In brief, RIISC is a two-centre, United Kingdom based, prospective observational cohort study with the aim of assessing determinants of long-term outcomes in patients with high risk CKD. Inclusion criteria comprised patients with pre-dialysis CKD who fulfilled criteria for secondary care follow-up as defined by the UK National Institute for Health and Care Excellence (NICE) 2008 CKD guidelines [16] (an MDRD eGFR below  $30ml/min/1.73m^2$  or an eGFR  $30-59ml/min/1.73m^2$  with either decline of  $\geq 5$  mls/min/ $1.73m^2$ /year or  $\geq 10$  mls/min/ $1.73m^2$ /5 years or an urinary albumin creatinine ratio (ACR)  $\geq 70$  mg/mmol on three occasions) and therefore are considered at high risk of progression to ESRD. Individuals requiring immunosuppression for immune-mediated renal disease, or who had commenced renal replacement therapy (RRT), were not eligible for recruitment All patients provided written consent and the study was conducted in accordance with the Declaration of Helsinki.

The patients consented for follow-up for ten years from recruitment. Blood and urine samples were collected and processed according to pre-defined standard operating procedures and stored at -80°C until analysis [14]. Patient mortality was captured through linkage between electronic patient records and the Office of National Statistics, which collects information on all registered deaths in the United Kingdom. Progression to ESRD was defined as the initiation of RRT (chronic dialysis or renal transplantation).

Reporting of the study conforms to the STROBE statement for reporting of observational studies [17, 18].

### Quality of life

Data were collected from participants using the EQ-5D-3L (abbreviated to EQ-5D throughout this manuscript). This is a validated, generic preference-based measure of health status that comprises a 5-question multi-attribute questionnaire and a visual analogue self-rating scale (VAS) [19]. Respondents were asked to rate severity of their current problems (level 1 = no problems, level 2 = some/moderate problems, level 3 = severe/extreme problems) for five dimensions of health: mobility, self-care, usual activities, pain/discomfort, and anxiety/depression). Health states were converted into an EQ-5D<sub>index</sub> score ranging from -0.594 to 1.0 (where 1 is full health and lower values indicate worse HRQL) using a set of weighted preferences produced from the UK population [20]. The EQ VAS asks respondents to rate their own health state relative to full health (score = 100) or worst imaginable health state (score = 0).

### Socio-economic status

Socio-economic status (SES) was assessed using the Index of Multiple Deprivation (IMD 2010) [21]; an individual was assigned a score and rank according to their postcode; lower scores and ranks indicated greater deprivation. The IMD has been validated as superior to traditional deprivation indices such as the Townsend score due to its use of multiple domains reflective of socioeconomic deprivation [22].

Educational attainment was defined by established UK education milestones (no formal qualifications, GCSE/O'Level, NVQ, A'Level, undergraduate, post-graduate). Current employment status was subdivided into the following categories: in employment, unemployed or retired. Individuals were then asked to state the occupation category best describing their current or last employment.

### Demographic, Clinical and Laboratory Variables

Demographic factors included in the analysis comprised: age, gender, ethnicity, SES (the most deprived IMD quintile was compared to the other four quintiles combined), educational attainment, current employment status, smoking history, and alcohol consumption.

Clinical factors comprised comorbidity, presented by individual comorbidity (malignancy, diabetes mellitus, chronic obstructive airways disease, cerebrovascular disease, ischaemic heart disease and peripheral vascular disease) and Charlson Comorbidity Index (CCI) [23]. Other factors included body mass index (BMI), and blood pressure. Laboratory measurements

comprised kidney function (MDRD eGFR corrected for ethnicity [24] and ACR), haemoglobin, acidaemia (serum bicarbonate), serum albumin, and C-reactive protein (CRP).

Brachial blood pressure (BP) was measured using the BpTRU fully automated and validated sphygmomanometer (BpTRU Medical Devices, Coquitlam, BC, Canada), which obtained a series of six BP readings at one-minute intervals following a five-minute rest period. Mean BP was derived from the average of the second to sixth BP reading. This average reading has been reported as comparable to mean daytime BP from 24 hour ambulatory BP monitoring [25].

Biochemistry results from the clinical laboratory were obtained from tests performed in accordance with the current standard of care. CRP was measured using the Full Range C-Reactive Protein Kit on a SPA<sup>™</sup> automated PLUS turbidimeter (The Binding Site Group Ltd, Birmingham, UK).

#### Statistical analyses

Analyses were performed using Stata 13.1 (Statacorp, College Station, Texas, USA).

**Descriptive statistics.** Descriptive statistics are presented as a complete cohort. Data are presented as mean with standard deviation (SD) or median with interquartile range (IQR) depending on distribution. Continuous variables were compared using ANOVA (parametric distribution) or Kruskal-Wallis (non-parametric distribution). Categorical variables were compared using chi-squared tests. Statistical significance was defined as a two-tailed p-value <0.05.

**Survival Analyses.** Cox proportional hazard analyses (Stata command stcox) were performed for end-points of death and ESRD (censor date March 2014). The proportionality assumption was assessed using log-log plots. Data are presented using hazard ratios (HR]) with 95% confidence intervals (CI), p-values and survival plots.

Individual constituents of the EQ-5D were analysed (univariable analyses). Any components demonstrating p<0.1 were then included in multivariable analyses together with *a priori* variables (age, gender, comorbidity assessed by CCI, eGFR and ACR).

**Competing Risk Analyses.** Survival analyses, by their nature, use time-to-event data [26]. In this study we investigated two events (end-points): death and progression to ESRD. Survival analyses including Cox proportional hazard analyses treat all censored events as 'uninformative'; that is to say a patient being censored due to reaching the end of their follow up or due to another end-point (death in the case of ESRD or vice-versa) are treated equally. As these other events are of clinical significance and of statistical importance; someone who has died will never reach ESRD [27]. Therefore, in order to incorporate this into analyses, we carried out competing risk analyses according to the method described by Fine and Gray [28] (Stata command stcrreg).

**Regression analyses to assess the impact of demographic, clinical and laboratory variables on HRQL.** Logistic regression was performed to analyse the relationship between problems in each of the five domains with clinical, demographic and laboratory variables using dichotomised data (patients with moderate and severe problems in a domain were combined and compared to those with no problems). Odds ratios with 95% CI and two-tailed p-values are presented.

Linear regression was utilised for the calculated EQ-5D<sub>index</sub> score and the EQ VAS (coefficient with 95% CI and p-value). Residual plots were evaluated to determine appropriateness of linear regression models.

Data were entered into multivariable analyses if p < 0.1 and a backwards selection model performed until remaining variables had a p < 0.05. Goodness-of-fit is indicated by pseudo  $R^2$  (logistic regression) or  $R^2$  (linear regression) values.

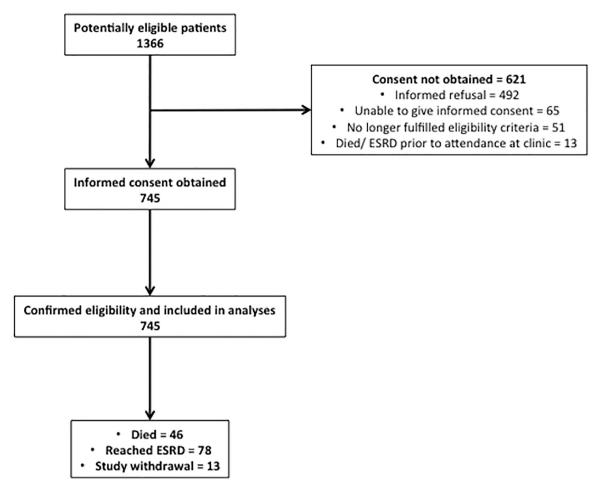


Fig 1. Flow diagram of the participants in the study. ESRD—End-stage renal disease.

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### Results

#### **Descriptive Statistics**

All participants recruited to end March 2014 (n = 745) were included in the study. Fig 1 indicates the number of individuals at each stage of evaluation. Baseline demographic, clinical and laboratory data are shown in Table 1 (see S1 Table for data split by CKD stage). Median age at recruitment was 64 years (IQR 50–76 years) and 60.8% were male. The proportion of male participants decreased with lower CKD stage (p = 0.045). 68.1% were of white ethnicity, 20.1% south-Asian, 9.4% black ethnicity, and 2.4% from other ethnic groups. There was a borderline difference in ethnicity by CKD stage (p = 0.052).

46.3% of participants were in the most deprived quintile nationally (IMD 2010). No difference in SES was seen when analysed by CKD stage for IMD score (p = 0.517) or comparing the percentage in the most deprived quintile (p = 0.351). Comorbidity was common and increased with advancing CKD stage, both as assessed by individual comorbidities and the CCI (p = 0.007; age adjusted CCI p<0.001).

<u>Table 2</u> illustrates the study population by Kidney Disease Improving Global Outcomes (KDIGO) classification [29]. Median eGFR was 25.8 ml/min/1.73 m<sup>2</sup> (IQR 19.6–33.7ml/min) and Median ACR was 33 mg/mmol (IQR 6.6–130.3 mg/mmol).

Table 1. Demographic, clinical and laboratory data. Categorical variables are expressed as number (%), and continuous variables as mean (SD) or median (IQR).

	Cohort (n = 745)	Data completeness (%)			
DEMOGRAPHIC FACTORS					
Age (years)	64 (50–76)	100			
Gender—Female (%)	39.2	100			
Ethnicity					
White (%)	68.1	100			
South Asian (%)	20.1				
Black (%)	9.4				
Other (%)	2.4				
SES (IMD 2010)					
Score	31.9 (46.7–35.1)	99.2			
% in most deprived national quintile	46.3	99.5			
ducational Attainment					
No formal qualifications (%)	46.5	100			
GCSE/ O'level (%)	21.8				
NVQ (%)	9.1				
A'Level (%)	7.5				
Undergraduate (%)	10.0				
Postgraduate (%)	5.1				
Current Employment Status					
Not currently in employment (%)	19.1	100			
In Employment (%)	28.5				
Retired (%)	52.5	-			
ob Type (when last working)					
None (%)	0.2	100			
Unskilled manual (%)	21.4				
Skilled manual (%)	38.2				
Clerical (%)	12.9				
Managerial (%)	10.0				
Professional (%)	17.4				
Smoking Status					
Never (%)	48.0	99.3			
Current (%)	12.8				
Previous (%)	39.2	-			
Alcohol Consumption					
None (%)	57.7	100			
1–10 unit (%)	29.7				
11–20 units (%)	8.6				
>20 units (%)	4.0				
BMI (kg/m2)	28.6 (24.9–33.21)	98.5			
ndividual Comorbidities					
Malignancy (%)	14.0	100			
Diabetes (%)	34.0				
COPD (%)	10.0				
Cerebrovascular disease (%)	11.3				
IHD (%)	21.2				
PVD (%)	9.5				
Comorbidity Scores	9.0				

(Continued)

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#### Table 1. (Continued)

	Cohort (n = 745)	Data completeness (%)
CCI	3 (1–5)	99.9
Age Adjusted CCI	5 (2–8)	99.9
Blood Pressure		
Systolic BP (mmHg)	130.5 (20.5)	98.7
Diastolic BP (mmHg)	76.5 (12.6)	98.7
BIOLOGICAL MARKERS		
Creatinine (µmol/L)	212 (166.5–271.5)	98.4
eGFR (ml/min/1.73m2)	25.8 (19.6–33.7)	98.4
ACR (mg/mmol)	33 (6.6–130.3)	94.5
Haemoglobin (g/L)	124.3 (17.2)	95.6
Bicarbonate (mmol/L)	24.0 (3.6)	96.8
Albumin (g/L)	43 (43–46)	98
CRP (mg/L)	3.0 (1.4–7.2)	93.8

SES—Socio-economic status BMI—Body Mass Index CCI—Charlson Comorbidity index BP—Blood Pressure eGFR—estimated Glomerular Filtration Rate ACR—Albumin Creatinine Ratio CRP—C-reactive protein

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### Self-Reported HRQL

Complete HRQL data were available for 733 participants (98.4%). Proportions of individuals reporting problems with each of the five domains are shown in Fig 2. One hundred and seventy eight participants (24.3%) reported no problems within any domain. Problems with one, two, three, four and five domains were reported by 136 (18.6%), 129 (17.6%), 153 (20.9%), 91 (12.4%) and 46 (6.3%) participants, respectively.

### Associations between HRQL and CKD

As illustrated in Fig 2, statistically significant differences between CKD stages were seen in the mobility (p = 0.001) and usual activity (p = 0.002) domains, with more problems reported with a worse CKD stage. No significant difference was found between CKD stages and the other domains.

Only a small number of participants described problems in the unable/severe category, therefore data were dichotomised to combine the respondents who reported moderate problems with those in the severe or unable category.

				ACR (mg/mmol	)	
			<3	3–30	>30	ACR not
			A1	A2	A3	stated
MDRD eGFR (ml/min/1.73m2)	≥60	G1/G2	1	1	25	2
	45–59	G3a	8	13	24	0
	30–44	G3b	28	59	78	8
	15–29	G4	66	138	192	27
	<15	G5	5	19	47	4

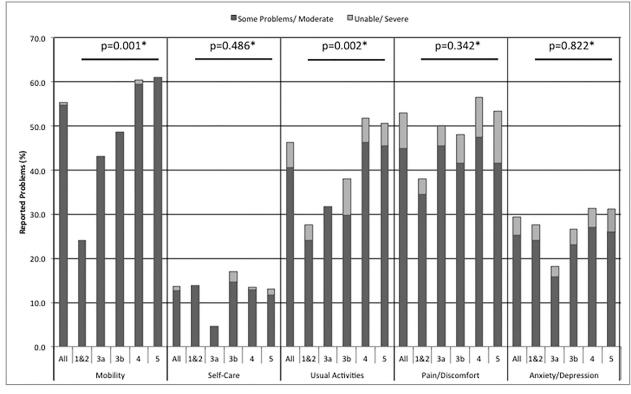


Fig 2. Reported HRQL Problems by EQ-5D domain. Data presented as whole cohort (All) and catagorised by CKD stage (determined by MDRD eGFR). \* p-value for chi-squared test comparing CKD stage to reported problems for each EQ-5D-3L domain.

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Health related quality of life for the EQ VAS and calculated EQ-5D<sub>index</sub> score are shown in Table 3. The EQ-5D<sub>index</sub> score decreased (worsened) with more advanced CKD stage (p = 0.017). No significant difference was seen between CKD stage and the EQ VAS.

### Association between HRQL and Clinical end-points

**Death.** By March  $24^{\text{th}}$  2014, 46 (6.2%) participants had died. Univariable cox regression analysis demonstrated that reported problems with mobility, self-care (Fig 3), usual, lower EQ- $5D_{\text{index}}$  score, and lower EQ VAS, were all associated with an increased risk of death. Table 4 indicates univariable cox regression analyses for a priori variables and EQ-5D components.

In multivariable analysis, each significant EQ-5D variable was combined with age, gender, comorbidity assessed by CCI, eGFR and ACR. Self-care (HR 2.542, 95% CI 1.222–5.286,

Table 3. Calculated EQ-5D Index Score and	Visual Analogue Scale by CKD stage.
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	EQ-5D Index Score	Visual Analogue Scale						
All	0.74 (0.66–0.88)	65 (50–80)						
Stage G1/G2	0.85 (0.70–1)	50 (75–82.5)						
Stage G3a	0.80 (0.69–1)	70 (50–80)						
Stage G3b	0.80 (0.68–1)	70 (50–80)						
Stage G4	0.74 (0.62–0.85)	60 (50–80)						
Stage G5	0.73 (0.62–1)	55 (50–80)						
p-value	0.017	0.094						



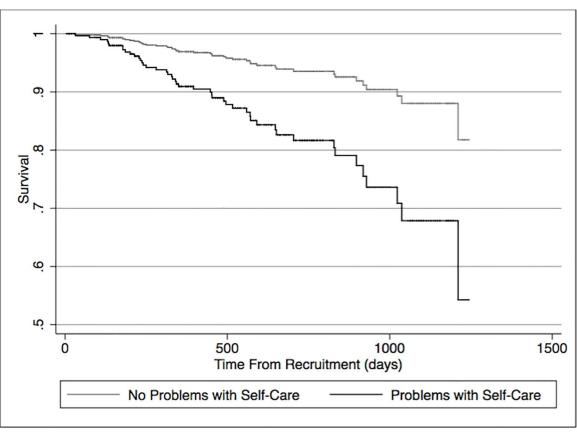


Fig 3. Cox Proportional Hazards Regression for reported problems with self-care and death. Univariable Analyses.

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Table 4. Univariable Survival Analyses (Cox regression) for hazard ratio (HR) for death. A priori variables and all components of EQ-5D shown.

		9	5% CI		
	HR	Lower	Higher	P-Value	
A Priori Variables					
Age (per 10 year increase)	2.420	1.788	3.276	<0.001	
Gender (female as reference)	1.375	0.742	2.550	0.311	
Charlson Comorbidity Index	1.408	1.254	1.581	<0.001	
eGFR (per 5ml/min increase)	0.814	0.689	0.961	0.015	
ACR (per 10mg/mmol rise)	1.016	0.999	1.034	0.065	
EQ-5D Components					
Mobility	3.725	1.795	7.728	<0.001	
Self-Care	3.042	1.620	5.710	0.001	
Usual Activities	3.024	1.591	5.748	0.001	
Pain/Discomfort	1.109	0.621	1.982	0.727	
Anxiety/ Depression	1.559	0.856	2.838	0.146	
EQ-5D Index Score	0.200	0.088	0.454	<0.001	
Visual Analogue Scale	0.976	0.963	0.989	<0.001	



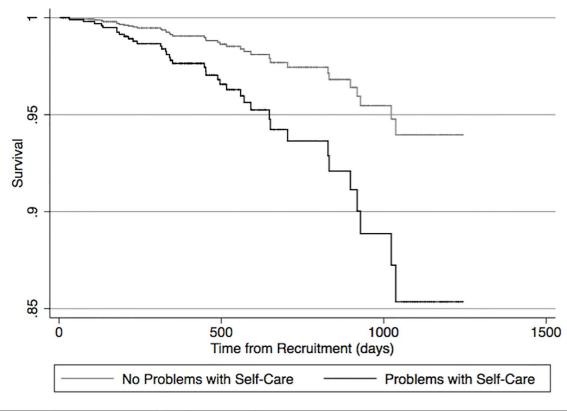


Fig 4. Cox Proportional Hazards Regression for reported problems with self-care and death. Multivariable Analyses. Covariates included age, gender, Comorbidity (assessed by Charlson Comorbidity Index) and renal function (eGFR and ACR).

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p = 0.013, Fig 4, Table 5) and the EQ-5D<sub>index</sub> score (HR 0.283, 95% CI 0.099–0.810, p = 0.019, Table 5) were independently associated with an increased risk of death. Fourteen out of 102 (13.7%) participants who reported problems with self-care died compared to 32/641 (5.0%) participants who reported no problems (chi-squared p = 0.001).

To adjust the HR associated for death for the competing end-point of ESRD, a competing risk analysis was performed. Problems with self-care (sub-distribution hazard ratio [SHR] 2.608, 95% CI 1.260–5.597, p = 0.01) and a lower EQ-5D<sub>index</sub> score (SHR 0.317, 95% CI 0.105–0.958, p = 0.042) remained significant in the multivariable analysis with age, gender, comorbidity, eGFR and ACR (Table 5).

These analyses also identify increasing age, comorbidity and higher ACR as being associated with death. Estimated GFR was not significant; however a creatinine greater than 265 µmol/L (3mg/dL) *scores* 2 points in the CCI. Reanalysing the data for the CCI *without* the renal disease points results in eGFR demonstrating significance in Cox regression but not competing risk analyses (see <u>S2 Table</u>).

**End-Stage Renal Disease.** Seventy-eight participants (10.5%) had reached ESRD by the censor date. Lower EQ VAS score was the only component of the EQ-5D associated with an increased HR for progression to ESRD (Table 6). Significance was lost when age, gender, comorbidity, eGFR and ACR were included in a multivariable analysis. Similarly, competing risk analysis indicated an association with a lower VAS and ESRD in univariable but not multivariable analysis.



		Cox Regres	ssion Analyse	s	Competing Risk Analyses					
		95	% CI			959				
	HR	Lower	Higher	P-Value	SHR	Lower	Higher	P-Value		
Identified problem with self care	2.542	1.222	5.286	0.013	2.608	1.260	5.397	0.01		
Age (per 10 year increase)	2.040	1.444	2.883	<0.001	2.243	1.592	3.161	<0.001		
Gender (female as reference)	1.500	0.684	3.290	0.311	1.442	0.678	3.067	0.341		
Charlson Comorbidity Index	1.239	1.064	1.443	0.006	1.194	1.044	1.366	0.01		
eGFR (per 5ml/min increase)	0.854	0.696	1.047	0.128	0.905	0.747	1.096	0.306		
ACR (per 10mg/mmol rise)	1.019	1.004	1.035	0.013	1.016	1.000	1.032	0.045		
EQ-5D index score	0.283	0.099	0.810	0.019	0.317	0.105	0.958	0.042		
Age (per 10 year increase)	2.093	1.482	2.954	<0.001	2.308	1.656	3.215	<0.001		
Gender (female as reference)	1.570	0.731	3.373	0.247	1.430	0.702	2.911	0.324		
Charlson Comorbidity Index	1.202	1.029	1.404	0.02	1.155	1.013	1.317	0.032		
eGFR (per 5ml/min increase)	0.851	0.692	1.046	0.126	0.909	0.747	1.107	0.344		
ACR (per 10mg/mmol rise)	1.024	1.009	1.040	0.002	1.019	1.003	1.035	0.016		

Table 5. Multivariable Survival Analyses (Cox regression and Competing risk) for hazard ratio (HR) and subdistribution hazard ratio (SHR) for death.

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### The impact of demographic, clinical and laboratory variables on HRQL

The analyses above demonstrate the two HRQL factors associated with death in the survival analyses were problems with self-care and a lower EQ-5D<sub>index</sub> score. In order to explore factors predictive of these two elements, further exploratory analyses were performed for self-care (logistic regression) and the EQ-5D<sub>index</sub> score (linear regression).

**Self-care.** Table 7 shows the factors that were associated with (p<0.1) reported problems with self-care.

Ethnicity classified as other or not stated, people who were not currently working, higher BMI, higher bicarbonate concentration, and higher CRP were statistically significantly associated with reported problems with self-care in multivariable analysis (<u>Table 7</u>). This model

Table 6. Univariable Survival Analyses (Cox regression) for hazard ratio (HR) for End-stage Renal Disease (ESRD). A priori variables and all compo-	
nents of EQ-5D shown.	

		95		
	HR	Lower	Higher	P-Value
Priori Variables				
Age (per 10 year increase)	0.843	0.739	0.961	0.011
Gender (female as reference)	1.013	0.644	1.595	0.955
Charlson Comorbidity Index	1.195	1.091	1.309	<0.001
eGFR (per 5ml/min increase)	0.406	0.337	0.490	<0.001
ACR (per 10mg/mmol rise)	1.034	1.025	1.043	<0.001
Q-5D Components				
Mobility	1.001	0.641	1.562	0.998
Self-Care	0.587	0.255	1.352	0.211
Usual Activities	1.255	0.805	1.956	0.317
Pain/Discomfort	1.093	0.700	1.707	0.695
Anxiety/ Depression	1.171	0.724	1.895	0.519
EQ-5D Index Score	1.023	0.444	2.354	0.958
Visual Analogue Scale	0.988	0.977	0.998	0.016

#### Table 7. Variables predictive of reported problems with self-care by logistic regression.

		Univa	riable Ana	alyses	Multivariable Analyses*					
	OR Confidence interval		SE	р	OR	Confidence interval		SE	р	
		Lower	Upper				Lower	Upper		
Age (per 10 year increase)	1.290	1.118	1.487	0.094	<0.001					
Ethnicity (white as reference)										
South Asian	1.456	0.878	2.415	0.376	0.146	2.058	1.115	3.798	0.643	0.021
Black	1.357	0.676	2.725	0.483	0.391	1.051	0.420	2.632	0.492	0.914
Other/ Not stated	2.799	0.965	8.125	1.522	0.058	4.277	1.247	14.668	2.689	0.021
SES (most deprived Quintile)	1.506	0.987	2.299	0.325	0.058					
Academic Qualifications (none versus some)	2.157	1.402	3.319	0.474	<0.001					
Employment status (currently employed as reference)										
Not employed	26.018	6.087	111.212	19.283	<0.001	15.675	3.536	69.477	11.908	<0.001
Retired	23.774	5.771	97.941	17.173	< 0.001	19.460	4.651	81.413	14.210	<0.001
Weekly Alcohol Consumption (none as reference)										
Under 10 units	0.543	0.323	0.911	0.143	0.021					
11–10 units	0.970	0.471	1.999	0.358	0.935					
More than 20 units	0.177	0.024	1.323	0.182	0.092					
BMI (kg/m2)	1.074	1.043	1.106	0.016	<0.001	1.058	1.023	1.095	0.019	0.001
CCI	1.209	1.111	1.315	0.052	<0.001					
DM	2.412	1.580	3.682	0.521	<0.001					
COPD	2.606	1.503	4.520	0.732	0.001					
IHD	1.793	1.136	2.829	0.417	0.012					
PVD	2.154	1.211	3.832	0.633	0.009					
SBP (mmHg)	1.010	1.000	1.020	0.005	0.06					
DBP (mmHg)	0.980	0.963	0.997	0.009	0.019					
Haemoglobin (g/L)	0.984	0.972	0.997	0.006	0.015					
Bicarbonate (mmol/L)	1.101	1.035	1.171	0.034	0.002	1.102	1.023	1.095	0.039	0.007
Albumin (g/L)	0.949	0.910	0.989	0.020	0.012					
CRP (mg/L)	1.017	1.004	1.030	0.007	0.009					
log CRP	1.541	1.288	1.844	0.141	< 0.001	1.282	1.041	1.580	0.137	0.020

\* Significant variables removed in a backwards stepwise technique until remaining variables had a p<0.05.

SES—Socio-economic Status

BMI-Body mass index

CCI—Charlson Comorbidity Index

DM—Diabetes mellitus

COPD—Chronic Obstructive Airways Disease

- IHD—Ischaemic Heart Disease
- PVD—Peripheral vascular disease
- SBP—Systolic Blood Pressure
- DBP—Diastolic Blood Pressure
- CRP-C-reactive protein

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explained 16.5% of variability with self-care (pseudo  $R^2$  0.165). Of note, age and renal function did not influence this aspect of HRQL.

**EQ-5D**<sub>index</sub> score. Table 8 shows factors (p < 0.1) associated with a higher EQ-5D score (i.e. better HRQL).

#### Table 8. Variables predictive of higher EQ-5D index score by linear regression.

	L 1	Multivariable Analyses*								
	Coefficient		dence rval	SE	р	Coefficient	Confidence interval		SE	р
		Lower	Upper				Lower	Upper		
Age (per 10 year increase)	-0.034	-0.046	-0.022	0.006	<0.001					
Gender (male as reference)	0.042	0.000	0.084	0.021	0.048	0.045	0.005	0.086	0.021	0.029
SES (most deprived Quintile)	-0.060	-0.101	-0.019	0.021	0.004					
Academic Qualifications (none versus some)	-0.010	-0.137	-0.056	0.021	<0.001					
Employment status (currently employed as reference)										
Not employed	-0.263	-0.320	-0.207	0.029	< 0.001	-0.199	-0.255	-0.143	0.033	<0.001
Retired	-0.206	-0.251	-0.162	0.023	< 0.001	-0.122	-0.173	-0.072	0.026	<0.001
Smoking status (non smoker as reference)										
Current	-0.068	-0.133	-0.004	0.033	0.037	-0.104	-0.164	-0.044	0.031	0.001
Previous	-0.067	-0.111	-0.023	0.022	0.003	-0.028	-0.070	0.015	0.022	0.205
Weekly Alcohol Consumption (none as reference)										
Under 10 units	0.073	0.027	0.119	0.023	0.002					
11–10 units	0.043	-0.032	0.117	-0.038	0.261					
More than 20 units	0.173	0.070	0.277	0.053	0.001					
BMI (kg/m2)	-0.009	-0.012	-0.006	0.002	<0.001	-0.006	-0.009	-0.003	0.002	<0.001
CCI	-0.028	-0.036	-0.019	0.004	<0.001	-0.014	-0.024	-0.005	0.005	0.003
DM	-0.108	-0.149	-0.066	0.021	<0.001	-0.449	-0.087	-0.003	0.022	0.037
COPD	-0.102	-0.168	-0.035	0.034	0.001					
CVD	-0.094	-0.156	-0.032	0.032	0.003					
IHD	-0.127	-0.175	-0.079	0.025	<0.001	-0.056	-0.104	-0.008	0.024	0.021
PVD	-0.143	-0.210	-0.075	0.420	<0.001					
SBP (mmHg)	-0.002	-0.003	-0.001	0.001	0.001					
DBP (mmHg)	0.002	0.000	0.004	0.001	0.011					
eGFR (per 5ml/min)	0.009	0.003	0.015	0.003	0.006					
Haemoglobin (g/L)	0.002	0.001	0.004	0.001	<0.001					
Albumin (g/L)	0.007	0.003	0.012	0.002	0.001					
CRP (mg/L)	0.003	-0.005	-0.001	0.001	<0.001					
log CRP	-0.051	-0.068	-0.033	0.008	< 0.001	-0.021	-0.038	-0.4	0.008	0.013

\* Significant variables removed in a backwards stepwise technique until remaining variables had a p<0.05.

SES—Socio-economic Status

BMI—Body mass index

CCI—Charlson Comorbidity Index

DM—Diabetes mellitus

COPD—Chronic Obstructive Airways Disease

IHD—Ischaemic Heart Disease

PVD—Peripheral vascular disease

SBP—Systolic Blood Pressure

DBP—Diastolic Blood Pressure

CRP-C-reactive protein

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Multivariable analysis found the following variables remained associated with better HRQL: male gender; currently in employment; not smoking in comparison to current smoking; lower BMI; less comorbidity; and lower CRP (Table 8). This linear regression model explained 20.8% of the variability in HRQL as assessed by the EQ-5D<sub>index</sub> score (adjusted R<sup>2</sup>

0.208). Again age and renal function were not associated with this assessment of overall HRQL.

### Discussion

The relationship between pre-dialysis CKD, HRQL and clinical outcomes is an important aspect of nephrology practice. Our study, conducted in a cohort of people with advanced and/ or progressive CKD, demonstrated that reported problems with HRQL, as measured by the EQ-5D, were common; only 24.3% of participants reported no problem in any EQ-5D domain.

Impaired HRQL was a risk factor for death; problems with self-care and overall HRQL, assessed by the EQ-5D<sub>index</sub> score, were associated with an increased HR for death when analysed with age, gender, comorbidity, eGFR and ACR. This association was present in both cox proportional hazard regression and competing risk regression (with ESRD as the competing risk). No element of HRQL was independently associated with risk of progression to ESRD.

Until recently, previous studies investigating HRQL in patients with pre-dialysis CKD had focused on specific populations (Taiwanese [10] or individuals of black ethnicity with hypertensive CKD in the United States [11]). Whilst the generalizability of these studies to a multiethnic United Kingdom CKD population is questionable, both studies identified an association with HRQL and death in similarity to our study. However, the association with HRQL and CKD progression was conflicting; Tsai and colleagues identified an association [10] but Porter and colleagues only noted an association in a composite of death and CKD progression [11].

A combined analysis of the Chronic Renal Insufficiency Cohort and Hispanic Chronic Renal Insufficiency Cohort has recently been published [12]. 3837 patients (of a total of 3939 enrolled) completed the disease specific KDQOL-36 questionnaire. Consistent with our study, they found that low HRQL was independently associated with a higher risk of death but not CKD progression in several KDQOL-36 subscales (physical component summary, mental component subscale, effects and symptoms). The KDQOL-36 questionnaire is a detailed HRQL survey based on a chronic disease core, with added items relevant to patients with kidney disease [30]. Compared to the EQ-5D, it is more time consuming to complete, has some components that apply more to those undergoing RRT than the pre-dialysis population, and its utility in health economic evaluations is not as established.

To explore further the factors that influence the components of HRQL associated with death (self-care and the EQ-5D<sub>index</sub> score), we assessed the relationship between these components and demographic, clinical and laboratory variables utilizing regression analysis. Not being currently employed, whether young and not working or retired, conveyed the highest HR associated with impaired HRQL. Other significant factors for a lower HRQL included higher BMI, a higher CRP, and multimorbidity. Further research is warranted.

Interestingly, we did not identify an association between these aspects of HRQL and SES, increasing age of the participants or renal function, as measured by eGFR or ACR. This lack of association between HRQL and renal function, is a finding variably supported by previous studies [7, 31, 32].

As we, and others, have demonstrated, reported problems with HRQL are common in this population [13] and we have found an association between impaired HRQL and death. It is therefore important to consider what strategies could be used to improve HRQL; improving HRQL will not only improve patient well-being but may convey a survival advantage. Previous studies have demonstrated that optimisation of haemoglobin, psychological interventions and physical exercise may be of benefit [33–35]. However, the majority of these studies have focused on patients who have reached ESRD rather than pre-dialysis CKD. Therefore, the

transferability of these, or of interventions instigated for other chronic disease states, requires evaluation.

In this study of patients with pre-dialysis CKD, problems with self-care and the EQ-5D<sub>index</sub> score [20] were both of prognostic significance; in clinical practice problems with self-care may be the more useful HRQL screening question to identify patients with CKD at an increased risk of death. This could then direct social care resources towards these patients and ensure that appropriate time is allocated so that patients are adequately supported when counselled about their higher mortality risk. It may also enable identification of a high-risk group where interventions to improve outcomes can be studied.

### Strengths and Weaknesses

The major strength of this study is the use of a prospectively recruited, socio-economically and ethnically diverse cohort of patients with advanced and/or progressive pre-dialysis dependent CKD. Detailed demographic and clinical data were collected at initial recruitment and the participants were tracked longitudinally to record outcomes, including death and ESRD. HRQL was assessed by the EQ-5D tool, which is recommended as the preference based measure for HRQL evaluation in CKD [13]. Survival analyses were performed using both Cox proportional hazard analyses and competing risk analyses. The latter is important, though rarely used, as it enabled the competing risk of ESRD to be taken into account when assessing death and vice versa: both end-points are (separately) of key interest to patients, their families and clinicians [26, 36].

A weakness, as with all observational studies, is that we have assessed association rather than causation. Whilst the analyses for factors associated with an increased risk of death included baseline renal function and progression to ESRD (in competing risk analyses), we did not include any other measure of CKD progression. In addition, whilst we collected considerable demographic and clinical information, we did not complete any formal assessment of frailty, depression or nutritional status of the participants. These factors have been associated with impairment of HRQL [9, 37–42].

### Summary

In summary, we have demonstrated that impaired HRQL is common in a diverse pre-dialysis CKD population and that impaired HRQL, as demonstrated by problems with self-care or a lower EQ-5D<sub>index</sub> score, is associated with a higher risk for death but not ESRD. Multiple factors influence these aspects of impaired HRQL but renal function, as measured by eGFR and ACR, are not among them.

Further studies are recommended to evaluate interventions that may improve HRQL within the pre-dialysis CKD population and to investigate whether any improvements in HRQL are associated with a survival advantage.

### **Supporting Information**

S1 Table. Demographic, clinical and laboratory data subdivided by Kidney Disease Improving Global Outcomes (KDIGO) eGFR classification. (XLSX)

**S2** Table. Multivariable Survival Analyses for death. (XLSX)

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### **Author Contributions**

Conceptualization: MDJ MD CJF PC SJS.

**Data curation:** MDJ AF CJF PC SJS.

Formal analysis: MDJ AF CJF MC PC SJS.

Funding acquisition: PC.

Investigation: MDJ MD ID PY KPN AF CJF PC SJS.

Methodology: MDJ DK CJF MC PC SJS.

Project administration: MDJ PC SJS.

Resources: MDJ MD ID PY KPN AF CJF PC SJS.

Supervision: PC SJS.

Visualization: MDJ PC SJS.

Writing - original draft: MDJ PC SJS.

Writing - review & editing: MDJ MD ID PY KPN AF DK CJF MC PC SJS.

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