### RESEARCH ARTICLE

# Association between *miR-146a* rs2910164, *miR-196a2* rs11614913, and *miR-499* rs3746444 polymorphisms and the risk of esophageal carcinoma: A case–control study

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### Abstract

MicroRNAs (miRNAs) are a group of small, non-coding, and endogenous RNAs that regulate gene expression and over 50% of them are located at cancer-related genomic regions or fragile sites. According to previous studies there is significant association of miRNA single nucleotide polymorphisms (SNPs) with tumorigenesis (e.g., esophageal cancer, hepatocellular cancer, gastric cancer, bladder cancer, breast cancer, lung cancer, and colon cancer), however, the conclusions have been inconsistent. To investigate the relationship between miR-146a rs2910164 C > G, *miR-196a2* rs11614913 T>C, and *miR-499* rs3746444 A>G polymorphisms and the susceptibility to esophageal squamous cell cancer (ESCC) in the Chinese Han nationality, we recruited 829 cases and 1522 controls in our study. In this case-control study, our results suggest that the rs3746444 GG genotype increased ESCC risk [homozygote model: adjusted odds ratio (OR), 2.26; 95% CI, 1.33-3.83; p = 0.003, recessive model: adjusted OR, 2.34; 95% CI, 1.38–3.96; p = 0.002], which remained consistent after Bonferroni correction. There was no association of rs11614913 and rs2910164 polymorphisms with ESCC. After adjusting by age, sex, smoking, and drinking status and body mass index (BMI), the multiple logistic analysis suggested that rs11614913 T $\rightarrow$ C variation reduced ESCC susceptibility in females and in the  $\geq$ 63 years old subgroups, while rs2910164 C  $\rightarrow$  G variation increased ESCC risk in both two BMI subgroups.

### KEYWORDS

ESCC, microRNA, polymorphism, susceptibility

## 1 | INTRODUCTION

Esophageal cancer is a major public health problem and social burden in China, and it is the fourth leading cause of cancer death with a 8.04% mortality rate in 2015.<sup>1</sup> There are two main types of esophagus cancer: esophageal squamous cell cancer (ESCC) and esophageal adenocarcinoma (EAC). ESCC accounts for more than 90% of the cases in China<sup>2</sup>; however, since the 1970s, the incidence of EAC has significantly increased

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in the Western countries and has now overtaken ESCC to become the most frequent subtype of esophageal cancer. ESCC is still the main histological type with high mortality due to advanced stage at diagnosis in East Asia.<sup>3</sup> Various environmental factors are involved in the incidence of ESCC in the Chinese populations, such as tobacco, alcohol, and lower BMI, but only a subset of individuals are susceptible to ESCC. This suggests that some genes might play a vital role in carcinogenesis of ESCC, and genetic factors could partly explain personal differences in ESCC occurrence.<sup>4</sup>

MicroRNAs (MiRNAs) comprise a novel group of ancient, small nonprotein-coding and single-stranded RNA negative regulatory molecules (19-25 nucleotides) that can inactivate target mRNAs and have been found in viruses, plants, and animals.<sup>5-7</sup> They can target to more than 30% of protein-coding genes,<sup>8,9</sup> accounting for 1% of the total protein-coding genes.<sup>10-12</sup> Generally, miRNAs resemble small-interfering RNAs. They are considered to play critical roles in transcriptional and translational regulation via pairing with specific mRNAs,<sup>13,14</sup> resulting in either mRNAs degradation or protein translational repression,<sup>15</sup> regulating target genes expression.<sup>14</sup> One single miRNA may target tens of mRNAs. According to the overview of miR-NAs, they are a part of cellular communication and a key molecular components of the cell in both normal and pathologic states.<sup>13</sup> A study reported that over 50% of miRNA genes are located at tumor-related genomic regions or fragile sites.<sup>16</sup> Previous researches verified that some miRNAs were related to oncogenesis.<sup>17</sup>

Single nucleotide polymorphisms (SNPs) are the most common type of genetic variation, occurring approximately every 1000-2000 bases when comparing two human chromosomes, and there were 30,000-500,000 SNPs in a dense map that had been identified in a scan of the human genome for haplotypes associated with diseases.<sup>18-23</sup> They can change miRNA expression, maturation, and further influence the affinity between miRNAs and their target genes,<sup>24,25</sup> and lead to tumorigenesis.<sup>26</sup> Considering the pathological and biological significance of miRNA, mutations in functional genes were activated in ESCC generation and development. Previous studies indicated that variation in rs11614913 T/C could reduce ESCC risk.<sup>27-29</sup> A recent meta-analysis identified that rs2910164 C > G SNP increased the risk of digestive system cancer (DSC).<sup>30</sup> Yang<sup>31</sup> found that a rs3746444 polymorphism could significantly increase the risk of DSC. To verify the potential relationship of rs11614913, rs2910164, and rs3746444 polymorphisms to ESCC susceptibility, we enrolled 2351 participants in our study.

### 2 | MATERIALS AND METHODS

With Ethics Committee approval of Zhenjiang First People's Hospital (ID: K-20210064-W), 829 ESCC patients (638 males and 191 females) who had been treated at either the Department of Thoracic Surgery of the Zhenjiang First People's Hospital (Zhenjiang, China) or the Fujian Union Hospital (Fuzhou, China) were consecutively enrolled during the period of 2013 to 2018. All the patients with dysphagia alone or with other symptoms such as chest pain and weight loss and were diagnosed on the basis of flexible upper endoscopy with biopsy.<sup>32</sup> Patients who previously had cancer, radiotherapy and chemotherapy, or metastasized cancer or cancer of unknown origins were excluded. We also recruited 1522 healthy subjects (1144 males and 378 females) as controls, all of whom were noncancer patients. We used a pre-tested questionnaire to acquire the individual data of patients and related risk factors (e.g., sex, age, alcohol, and tobacco use) through trained interviewers. All participants were told the details of our investigation and signed the informed consent.

The blood samples were obtained from peripheral vessels and collected in tubes contained Ethylene Diamine Tetraacetic Acid (EDTA). We utilized the Promega kit (Promega, Madison, USA) to extract genomic DNA from lymphocytes according to the instructions of the manufacturer, and then amplified sample DNA by polymerase chain reaction (PCR). Three loci, including rs11614913, rs2910164, and rs3746444, were assayed with a custom-by-design 2x48-Plex SNPscan<sup>™</sup> Kit (Genesky Biotechnologies, Inc.,) according to the manufacturer's manual (http://www.geneskybiotech. com/en/index.php/Index/fuwuer/id/29) and previously published articles,<sup>33-36</sup> which was based on double ligation and multiplex fluorescence PCR. For quality control, we randomly selected 4% of the DNA samples and genotyped again with the same method by different laboratory staff according to our previous report,<sup>37</sup> and the accuracy of each assay was confirmed.

Statistics were performed using SAS software (version 9.4). Differences between cases and controls in age were assessed with Student's *t*-test. Differential of risk factors (such as smoking and drinking), gender distribution, other selected variables, and the genotype frequency in ESCC patients and controls was evaluated with a  $\chi^2$  test. The crude/adjusted odds ratios (ORs) and the corresponding 95% confidential intervals (CIs) were used to estimate the association between rs2910164, rs11614913, and rs3746444 and the risk of ESCC. Haplotype frequencies of *microRNAs* were tested through the website

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(http://analysis.bio-x.cn/myAnalysis.php) and data analyzed using GraphPad Prism 5 software. The deviation of the Hardy–Weinberg equilibrium (HWE) in the control group was tested with an online  $\chi^2$  test (http://ihg. gsf.de/cgi-bin/hw/hwa1.pl). Differences were considered to have statistical significance when *p*-values were less than 0.05.

### 3 | RESULTS

# 3.1 Characteristics of the study population

Total of 2351 individuals (829 patients with ESCC and 1522 healthy participants) were recruited in our study (Table 1). The ESCC cases were compared with the controls well-matched for age and sex by using the chi-square test (p = 0.305 and p = 0.331). There were significant differences in smoking, drinking status, and BMI between cases and controls (p < 0.001). The main data of the three polymorphic sites are summarized in Table 2. The successful genetic typing of all samples were 99.54% for these three SNPs. Genotype distribution of rs11614913, rs2910164,

 TABLE 1
 Distribution of selected

 demographic variables and risk factors in
 cases and controls

and rs3746444 are showed in Table 3. The rs2910164 CC, CG, GG frequencies were 38.28%, 47.72%, and 14.00% in the control group compared to 36.52%, 49.19%, and 14.29% in ESCC patients. The frequencies of rs11614913 TT, TC, CC were 28.65%, 52.08%, and 19.27% in control subjects compare to 31.30%, 49.44%, and 19.25% in ESCC patients; the frequencies of *miRNA-499* rs3746444 AA, AG, GG were 71.75%, 26.07%, and 2.18% in controls compare to 72.46%, 23.33%, and 4.22% in patients with ESCC. The *p*-value of HWE in controls were 0.578, 0.048, and 0.680, respectively (Table 2).

# 3.2 | Relationship between the miRNA loci and ESCC susceptibility

The genetic type distribution and allele frequencies of rs2910164 and rs11614913 polymorphisms in ESCC cases were not significantly different from the controls, while the rs3746444 GG genotype related to ESCC risk (crude OR = 1.92; 95% CI,1.18–3.13; p = 0.009) when compared to the rs3746444 AA genotype, and the frequency of rs3746444 GG also had a close association with an increased risk of ESCC when the rs3746444 AA/AG genotype as reference

	Overall Cases $(n = 829)$		Overall Cont (n = 1522)	trols	
Variable	n	%	n	%	p value <sup>a</sup>
Age, mean (±SD)	$62.55 \pm 3$	8.19	$62.94 \pm 9.06$		0.305
Age (years)					
<63	391	47.17	750	49.28	0.328
≥63	438	52.83	772	50.72	
Sex					
Male	638	76.96	1144	75.16	0.331
Female	191	23.04	378	24.84	
Smoking status					<0.001
Never	385	46.44	1100	72.27	
Ever	444	53.56	422	27.73	
Alcohol use					<0.001
Never	570	68.76	1346	88.44	
Ever	259	31.24	176	11.56	
BMI					<0.001
<24	608	73.34	800	52.56	
≥24	221	26.66	722	47.44	
Lymph node status					
Positive	427	51.51			
Negative	40,	48.49			

*Note*: Bold values are statistically significant (p < 0.05).

<sup>a</sup>Two-sided chi-square and Student's t-test.

### TABLE 2 Primary information for *microRNA* polymorphisms

Genotyped SNPs	Chr	Chr Pos (NCBI Build 37)	Region	MAF <sup>a</sup> for Chinese in database	MAF in our controls $(n = 1522)$	<i>P</i> value for HWE <sup>b</sup> test in our controls	Genotyping method	Genotyping value (%)
hsa-mir-146a rs2910164 C>G	5	159,912,418	3'UTR	0.43	0.38	0.578	SNPscan	99.54
<i>hsa-mir-196a2</i> rs11614913 T > C	12	54,385,599	3'UTR	0.45	0.45	0.048	SNPscan	99.54
hsa-mir-499 rs3746444 A > G	20	33,578,251	Intron	0.15	0.15	0.680	SNPscan	99.54

Abbreviations: Chr, chromosome; mir, miRNA; SNPs, single nucleotide polymorphisms.

<sup>a</sup>MAF, minor allele frequency.

<sup>b</sup>HWE, Hardy–Weinberg equilibrium.

**TABLE 3** Logistic regression analyses of associations between miRNA-146a rs2910164 C > G, miRNA-196a2 rs11614913 T > C, and miRNA-499 rs3746444 A > G polymorphisms and risk of ESCC

Conotano	Casas (n	- 820)	$C_{controls}(n - 1522)$		Crude OR (95%	n	Adjusted OR <sup>a</sup>	n
Genotype	Cases (n	= 829)		n = 1522)		P	(95% CI)	P
hsa-mir-146a rs291	10164  C > G							
CC	294	36.52	580	38.28	1.00			
CG	396	49.19	723	47.72	1.08 (0.90–1.30)	0.415	1.03 (0.85–1.26)	0.750
GG	115	14.29	212	14.00	1.07 (0.82–1.40)	0.619	1.16 (0.87–1.54)	0.307
CG + GG	511	63.48	935	61.72	1.08 (0.90–1.29)	0.405	1.06 (0.88–1.28)	0.545
CC+CG	690	85.71	1303	86.01	1.00			
GG	115	14.29	212	13.99	1.02 (0.80-1.31)	0.847	1.14 (0.88–1.48)	0.330
G allele	626	38.88	1147	37.68				
hsa-mir-196a2 rs11	614913 T>	C						
TT	252	31.30	434	28.65	1.00			
TC	398	49.44	789	52.08	0.87 (0.71-1.06)	0.161	0.84 (0.68–1.04)	0.111
CC	155	19.25	292	19.27	0.91 (0.71-1.17)	0.480	0.89 (0.68–1.16)	0.374
TC+CC	553	68.70	1081	71.03	0.88 (0.73-1.06)	0.182	0.85 (0.70-1.04)	0.122
TT+TC	650	80.75	1223	80.35	1.00			
CC	155	19.25	292	19.27	1.00 (0.80-1.24)	0.991	0.99 (0.78-1.25)	0.912
C allele	708	42.70	1373	45.11				
hsa-mir-499 rs3746	6444 A > G							
AA	584	72.46	1087	71.75	1.00			
AG	188	23.33	395	26.07	0.87 (0.73-1.08)	0.237	0.87 (0.70-1.08)	0.206
GG	34	4.22	33	2.18	1.92 (1.18-3.13)	0.009	2.26 (1.33-3.83)	0.003
AG+GG	222	27.54	428	28.25	0.97 (0.80-1.17)	0.719	0.97 (0.79–1.19)	0.739
AA+AG	772	95.78	1482	97.82	1.00			
GG	34	4.22	33	2.18	1.98 (1.22-3.22)	0.006	2.34 (1.38-3.96)	0.002
G allele	256	15.44	461	15.14				

Abbreviation: OR, odds ratio.

<sup>a</sup>Adjusted for age, sex, smoking, alcohol use, and BMI in a logistic model. Bold values are statistically significant (p < 0.05).

(crude OR = 1.98; 95% CI,1.22-3.22; p = 0.006). After adjustment for gender, age, tobacco using, BMI, and alcohol consumption, there was a significant connection between

the rs3746444 GG genotype and increased risk of ESCC as well (GG vs. AA: p = 0.003; GG vs. AA/AG: p = 0.002, Table 3).

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# 3.3 | Relationship between the miRNA loci and ESCC risk in different subgroups

We analyzed the genotype frequencies of rs11614913, rs2910164, and rs3746444 SNPs in different age, sex, drinking, smoking, and BMI groups (Tables 4–6). After adjusting the sex, age, alcohol consumption, smoking status, and BMI, multivariate regression analysis showed that the rs2910164 C  $\rightarrow$  G variation increased ESCC risk in the BMI group (BMI  $\geq$  24 kg/m<sup>2</sup> subgroup: CG vs. CC: p = 0.047; BMI < 24 kg/m<sup>2</sup> subgroup: GG vs. CC/CG: p = 0.019 [Table 4]). The rs11614913 T $\rightarrow$  C variation decreased ESCC risk both in sex and age groups (female subgroup: TC vs. TT: p = 0.018; CC vs. TT: p = 0.039; TC/CC vs. TT: p = 0.011; age  $\geq$  63 years subgroup: TC vs. TT: p = 0.048 [Table 5]). For rs3746444, the genotype could influence ESCC risk in all groups (male subgroup: GG vs. AA:

p = 0.045 and GG vs. AA/AG: p = 0.032; female subgroup: GG vs. AA: p = 0.018 and GG vs. AA/AG: p = 0.016; <63 years subgroup: GG vs AA: p = 0.0004 and GG vs. AA/AG: p = 0.0002; non-smoking subgroup: GG vs. AA: p = 0.018 and GG vs. AA/AG: p = 0.015; non-drinking subgroup: GG vs. AA: p = 0.001 and GG vs. AA/AG: p = 0.001; BMI ≥ 24kg/m<sup>2</sup> subgroup: GG vs. AA: p = 0.001 and GG vs. AA/AG: p = 0.001 [Table 6]), but decreased the risk of ESCC in the drinking subgroup (AG vs. AA: p = 0.018 and AG/GG vs. AA: p = 0.026 [Table 6]).

# 3.4 | Relationship between the miRNA SNP haplotypes and ESCC risk

We constructed eight haplotypes by using an expectation-maximization algorithm on website (http://

**TABLE 4** Stratified analyses between *miRNA-146a* rs2910164 C > G polymorphism and ESCC risk by sex, age, BMI, smoking status, and alcohol consumption

	<i>miRNA-146a</i> rs2910164 C > G (case/control) <sup>a</sup>			Adjusted OR <sup>b</sup> (95% CI); p					
Variable	СС	CG	GG	Additive model	Homozygote model	Dominant model	Recessive model		
Sex									
Male	227/440	304/541	85/156	1.06 (0.84–1.34) <i>p</i> : 0.631	1.18 (0.84–1.65) <i>p</i> : 0.340	1.08 (0.87–1.35) p: 0.475	1.14 (0.84–1.56) <i>p</i> : 0.405		
Female	67/140	92/182	30/56	1.03 (0.69–1.53) p: 0.893	1.16 (0.67–2.01) p: 0.605	1.06 (0.72–1.54) p: 0.773	1.14 (0.69–1.89) p: 0.612		
Age									
<63	147/295	179/344	54/105	1.03 (0.77–1.39) p: 0.838	1.18 (0.77–1.81) p: 0.454	1.06 (0.80–1.41) p: 0.670	1.16 (0.78–1.73) <i>p</i> : 0.468		
≥63	147/285	217/379	61/107	1.06 (0.80–1.39) p: 0.689	1.16 (0.78–1.71) p: 0.464	1.08 (0.83–1.40) p: 0.569	1.12 (0.79–1.60) <i>p</i> : 0.533		
Smoking status									
Never	134/421	180/513	64/162	1.06 (0.81–1.38) p: 0.672	1.24 (0.87–1.78) p: 0.233	1.10 (0.86–1.42) p: 0.444	1.21 (0.87–1.67) <i>p</i> : 0.263		
Ever	160/159	216/210	51/50	1.03 (0.75–1.40) <i>p</i> : 0.871	1.08 (0.67–1.74) p: 0.763	1.04 (0.77–1.39) p: 0.819	1.06 (0.68–1.65) <i>p</i> : 0.800		
Alcohol consumption	on								
Never	198/522	270/631	84/188	1.10 (0.88–1.37) <i>p</i> : 0.418	1.24 (0.91–1.70) p: 0.178	1.13 (0.91–1.40) p: 0.263	1.18 (0.88–1.58) <i>p</i> : 0.263		
Ever	96/58	126/92	31/24	0.82 (0.52–1.30)	0.83 (0.42–1.66)	0.82 (0.53–1.28)	0.94 (0.50–1.76)		
				p: 0.396	p: 0.596	p: 0.383	p: 0.834		
BMI (kg/m <sup>2</sup> )									
<24	223/300	277/397	89/99	0.88 (0.69–1.12) p: 0.299	1.38 (0.96–1.97) p: 0.078	0.97 (0.77–1.22) p: 0.792	1.48 (1.07–2.06) p: 0.019		
≥24	71/280	119/326	26/113	1.42 (1.01–2.00) p: 0.047	0.86 (0.51–1.44) p: 0.560	1.27 (0.91–1.77) p: 0.154	0.70 (0.44–1.21) <i>p</i> : 0.138		

Note: Bold values are statistically significant (p < 0.05). ESCC, esophageal squamous cell cancer; OR, odds ratio.

<sup>a</sup>The genotyping was successful in 805 (97.10%) ESCC cases, and 1515 (99.54%) controls for miRNA-146a rs2910164 C > G.

<sup>b</sup>Adjusted for age, sex, BMI, smoking status, and alcohol consumption (besides stratified factors accordingly) in a logistic regression model.

**TABLE 5** Stratified analyses between *miRNA-196a2* rs11614913 T > C polymorphism and ESCC risk by sex, age, BMI, smoking status, and alcohol consumption

	<i>miRNA-196a2</i> rs11614913 T > C (case/control) <sup>a</sup>			Adjusted OR <sup>b</sup> (95% CI); p				
Variable	TT	тс	СС	additive model	homozygote model	Dominant model	Recessive model	
Sex								
Male	193/346	303/578	120/213	0.92 (0.72–1.17) <i>p</i> : 0.482	1.00 (0.73–1.36) p: 0.993	0.94 (0.75–1.18) p: 0.588	1.05 (0.80–1.38) p: 0.704	
Female	59/88	95/211	35/79	0.59 (0.39–0.92) p: 0.018	0.56 (0.33–0.97) p: 0.039	0.59 (0.39–0.88) p: 0.011	0.80 (0.51–1.27) p: 0.345	
Age								
<63	121/228	193/373	66/143	0.95 (0.69–1.29) <i>p</i> : 0.730	0.90 (0.60–1.35) p: 0.625	0.94 (0.70–1.26) p: 0.656	0.94 (0.66–1.34) p: 0.712	
≥63	131/206	205/416	89/149	0.75 (0.56–1.00) p: 0.048	0.86 (0.60–1.23) p: 0.402	0.78 (0.59–1.02) p: 0.070	1.04 (0.76–1.41) p: 0.826	
Smoking status	5							
Never	116/314	192/563	70/219	0.88 (0.66–1.16) p: 0.347	0.83 (0.58–1.18) p: 0.289	0.86 (0.66–1.12) p: 0.267	0.90 (0.66–1.22) p: 0.496	
Ever	136/120	206/226	85/73	0.77 (0.55–1.06) <i>p</i> : 0.112	0.99 (0.65–1.52) p: 0.978	0.82 (0.60–1.12) p: 0.217	1.18 (0.81–1.70) p: 0.388	
Alcohol consu	mption							
Never	178/379	268/702	106/260	0.79 (0.63–1.00) <i>p</i> : 0.052	0.84 (0.62–1.13) p: 0.237	0.80 (0.64–1.00) p: 0.054	0.97 (0.75–1.26) p: 0.802	
Ever	74/55	130/87	49/32	1.02 (0.63–1.66) <i>p</i> : 0.941	1.12 (0.60–2.07) p: 0.721	1.05 (0.66–1.66) p: 0.850	1.11 (0.65–1.89) p: 0.712	
BMI (kg/m <sup>2</sup> )								
<24	184/233	291/405	114/158	0.88 (0.68–1.15) <i>p</i> : 0.345	0.87 (0.63–1.21) p: 0.421	0.88 (0.69–1.13) p: 0.308	0.95 (0.71–1.26) <i>p</i> : 0.700	
≥24	68/201	107/384	41/134	0.77 (0.54–1.11) <i>p</i> : 0.158	0.91 (0.57–1.44) p: 0.679	0.81 (0.57–1.13) <i>p</i> : 0.214	1.07 (0.72–1.60) <i>p</i> : 0.740	

*Note*: Bold values are statistically significant (p < 0.05). ESCC, esophageal squamous cell cancer; OR, odds ratio.

<sup>a</sup>The genotyping was successful in 805 (97.10%) ESCC cases, and 1515 (99.54%) controls for miRNA-196a2 rs11614913.

<sup>b</sup>Adjusted for age, sex, BMI, smoking status, and alcohol consumption (besides stratified factors accordingly) in a logistic regression model.

analysis.bio-x.cn/myAnalysis.php) (Table 7) according to Yongyong Shi.<sup>38</sup> When  $T_{rs11614913}C_{rs2910164}A_{rs3746444}$ was used as a reference (because it was the haplotype with highest frequency), a relationship of seven other haplotypes frequencies to the risk of ESCC was not observed (all *p* values >0.05).

## 3.5 | Relationship between the miRNA loci and lymph node metastases in ESCC cases

Association between miRNAs loci and lymph node metastases in ESCC cases fell short of statistical significance in our research (Table 8).

# 4 | DISCUSSION

Previous studies confirmed that *miR-146a* rs2910164, *miR-196a2* rs11614913, and *miR-499* rs3746444 polymorphisms could increase the risk of various cancers, such as esophagogastric junction adenocarcinoma, breast cancer, lung cancer, cervical cancer, and non-Hodgkin lymphoma.<sup>35,39-43</sup> However, the conclusions of published reports have been inconsistent regarding esophageal cancer. We analyzed 2351 specimens to evaluate the relationship of *miR-146a* rs2910164, *miR-196a2* rs11614913, and *miR-499* rs3746444 polymorphisms to ESCC susceptibility. Our results showed that the rs3746444 G allele significantly increased ESCC risk, while rs2910164 and rs11614913 SNPs were not related to ESCC in overall **TABLE 6** Stratified analyses between *miRNA-499* rs3746444 A > G polymorphism and ESCC risk by sex, age, smoking status, and alcohol consumption

	<i>miRNA-499</i> rs3746444 A > G (case/control) <sup>a</sup>			Adjusted OR <sup>b</sup> (95% CI); p					
Variable	AA	AG	GG	Additive model	Homozygote model	Dominant model	Recessive model		
Sex									
Male	451/814	141/294	25/29	0.85 (0.66–1.10) p: 0.215	1.85 (1.01–3.36) p: 0.045	0.93 (0.74–1.18) p: 0.568	1.92 (1.06–3.48) p: 0.032		
Female	133/273	47/101	9/4	0.93 (0.61–1.41) p: 0.729	4.64 (1.30–16.55) p: 0.018	1.06 (0.71–1.58) p: 0.782	4.73 (1.33–16.80) p: 0.016		
Age									
<63	284/536	74/192	22/16	0.76 (0.54–1.06) p: 0.100	3.72 (1.80–7.70) p: 0.0004	0.94 (0.69–1.28) p: 0.686	3.98 (1.93–8.21) <i>P</i> : 0.0002		
≥63	300/551	114/203	12/17	0.99 (0.75–1.31) p: 0.938	1.27 (0.57–2.83) p: 0.553	1.01 (0.77–1.33) p: 0.941	1.28 (0.58–2.82) p: 0.546		
Smoking status									
Never	272/794	89/276	17/26	0.93 (0.70–1.23) p: 0.590	2.20 (1.15–4.22) p: 0.018	1.02 (0.78–1.34) p: 0.864	2.24 (1.17–4.28) p: 0.015		
Ever	312/293	99/119	17/7	0.83 (0.60–1.15) p: 0.257	2.39 (0.93–6.11) p: 0.069	0.91 (0.67–1.25) p: 0.572	2.52 (1.00–6.41) p: 0.053		
Alcohol cons	umption								
Never	386/970	140/341	27/30	0.99 (0.78–1.26) p: 0.932	2.55 (1.45–4.46) p: 0.001	1.10 (0.88–1.38) p: 0.395	2.55 (1.46–4.46) p: 0.001		
Ever	198/117	48/54	7/3	0.56 (0.34–0.90) p: 0.018	1.12 (0.24–5.18) <i>p</i> : 0.883	0.59 (0.37–0.94) p: 0.026	1.31 (0.28–6.03) p: 0.733		
BMI (kg/m <sup>2</sup> )									
<24	428/575	139/200	22/21	0.92 (0.70–1.19) p: 0.514	1.61 (0.84–3.08) <i>p</i> : 0.154	0.98 (0.76–1.26) p: 0.847	1.64 (0.86–3.14) p: 0.134		
≥24	156/512	49/195	12/12	0.80 (0.55–1.15) p: 0.229	4.17 (1.76–9.87) p: 0.001	0.96 (0.68–1.35) p: 0.798	4.42 (1.88–10.41) p: 0.0007		

*Note*: Bold values are statistically significant (p < 0.05).

Abbreviations: ESCC, esophageal squamous cell cancer; OR, odds ratio.

<sup>a</sup>The genotyping was successful in 806 (97.23%) ESCC cases, and 1515 (99.54%) controls for miRNA-499 rs3746444 A > G.

<sup>b</sup>Adjusted for age, sex, BMI, smoking status, and alcohol consumption (besides stratified factors accordingly) in a logistic regression model.

TABLE 7 miRNA haplotype frequencies (%) in cases and controls and risk of ESCC

	case (n =	case $(n = 829)$		(n = 1522)		
Haplotypes	n	%	n	%	Crude OR (95% CI)	р
$T_{rs11614913}C_{rs2910164}A_{rs3746444}$	486	29.31	862	28.32	Reference	
$C_{rs11614913}C_{rs2910164}A_{rs3746444}$	355	21.41	743	24.41	0.85 (0.80-1.00)	0.054
$T_{rs11614913}G_{rs2910164}A_{rs3746444}$	296	17.85	544	17.87	0.97 (0.87–1.10)	0.699
$C_{rs11614913}G_{rs2910164}A_{rs3746444}$	217	13.09	420	13.80	0.92 (0.83–1.08)	0.387
$C_{rs11614913}C_{rs2910164}G_{rs3746444}$	77	4.64	128	4.20	1.07 (0.86–1.26)	0.676
$T_{rs11614913}C_{rs2910164}G_{rs3746444}$	66	3.98	150	4.93	0.78 (0.68–1.05)	0.117
$C_{rs11614913}G_{rs2910164}G_{rs3746444}$	59	3.56	82	5.39	1.28 (0.94–1.43)	0.174
T <sub>rs11614913</sub> G <sub>rs2910164</sub> G <sub>rs3746444</sub>	54	3.26	101	3.32	0.95 (0.77-1.21)	0.765

	Positive $(n = 427)$ Negative $(n = 402)$				Adjusted OR <sup>a</sup>			
Genotype	n	%	n	%	Crude OR (95% CI)	р	(95% CI)	р
miRNA-146a rs2910164 C > G								
CC	148	34.66	146	36.32	1.00		1.00	
CG	215	50.35	181	45.02	1.17 (0.87–1.59)	0.304	1.23 (0.90–1.67)	0.193
GG	52	12.18	63	15.67	0.81 (0.53–1.26)	0.352	0.83 (0.53–1.29)	0.399
CG+GG	267	62.53	244	60.70	1.08 (0.81–1.44)	0.602	1.12 (0.84–1.50)	0.441
CC+CG	363	85.01	327	81.34	1.00		1.00	
GG	52	12.18	78	19.40	0.74 (0.50–1.11)	0.144	0.74 (0.49–1.10)	0.138
G allele	319	37.35	307	38.18				
<i>miRNA-196a2</i> rs11614913 T>C								
TT	130	30.44	122	30.35	1.00		1.00	
TC	208	48.72	190	47.26	1.03 (0.75–1.41)	0.867	1.03 (0.75–1.42)	0.847
CC	77	18.03	78	19.40	0.93 (0.62–1.38)	0.708	0.93 (0.62–1.39)	0.717
TC+CC	285	66.74	268	66.67	1.00 (0.74–1.35)	0.989	1.00 (0.74–1.36)	0.992
TT+TC	338	79.16	312	77.61	1.00		1.00	
CC	77	18.03	78	19.40	0.91 (0.64–1.29)	0.603	0.91 (0.64–1.30)	0.604
Callele	362	42.39	346	43.03				
<i>miRNA-499</i> rs374	6444 A>C	, ,						
AA	299	70.02	285	70.90	1.00		1.00	
AG	95	22.25	93	23.13	0.97 (0.70–1.35)	0.874	1.00 (0.72–1.40)	0.999
GG	21	4.92	13	3.23	1.54 (0.76–3.13)	0.234	1.62 (0.78-3.35)	0.196
AG + GG	116	27.17	106	26.37	1.04 (0.77–1.42)	0.789	1.07 (0.78–1.47)	0.656
AA+AG	394	92.27	378	94.03	1.00		1.00	
GG	21	4.92	13	3.23	1.55 (0.77-3.14)	0.224	1.62 (0.78–3.33)	0.194
G allele	137	16.04	119	14.80				

**TABLE 8** Logistic regression analyses of association between miRNA-146a rs2910164 C > G, miRNA-196a2 rs11614913 T > C, and miRNA-499 rs3746444 A > G polymorphisms and lymph node status in ESCC patients

Abbreviations: ESCC, Esophageal squamous cell carcinoma; OR, odds ratio.

<sup>a</sup>Adjusted for age, sex, alcohol use, smoking status, and BMI.

result. Moreover, for subgroup analyses, multivariate regression analysis revealed that the rs3746444 GG genotype increased ESCC risk in male, female, age < 63 years, never smoked, never drink, and BMI  $\geq$ 24 kg/m<sup>2</sup> subgroups but decreased ESCC risk in the subgroup of alcohol consumption. The rs2910164 C > G polymorphism increased ESCC risk in the BMI <24 kg/m<sup>2</sup>,  $\geq$ 24 kg/m<sup>2</sup> subgroups, and the rs11614913 T > C SNP decreased ESCC risk in the female and age  $\geq$  63 years subgroups. However, we should interpret the results with more cautions, and follow-up experiments with more specimens and gene–environment factors should be performed.

*MiRNA-499* is located on chromosome 20, and rs3746444 is in the seed sequence of *miR-499a-3p*, which may reduce the expression of *miRNA-499a*.<sup>44</sup> The *SRY-sex-determining region box* (*SOX*) is a target gene of *miR-NA-499* that suppresses cell growth and is regarded as an antitumor factor.<sup>45</sup> Li<sup>46</sup> reported that *SOX-6* overexpression

could inhibit the proliferation induced by the rs3746444 A/G polymorphism, causing the activation of the Wnt/ $\beta$ pathway, which is involved in oncogenesis and progression. A polymorphism of rs3746444 in the miR-499 gene has been implicated in tumorigenesis through regulating the expression level of the SOX gene. Numerous studies have reported that SOX-6 expression was deregulated in ESCC, oral squamous cell cancer, and pancreatic cancer.<sup>45,47,48</sup> A recent meta-analysis demonstrated that a SNP of rs3746444 significantly increased DSC risk,<sup>31</sup> which was consistent with our result that the rs3746444 A to G variation could increase the risk of ESCC. Shen<sup>49</sup> performed a population-based study including 1400 ESCC patients and 2185 controls and found that the rs3746444 polymorphism increased the risk of ESCC both in nonsmoking and nondrinking subgroups. However, both Umar<sup>50</sup> and Wei<sup>28</sup> reported that there was no correlation between rs3746444 SNP and ESCC risk.

The rs2910264 polymorphism with G to C variation occurs at the first nucleotide of the miRNA-146a precursor, decreasing mature miRNA-146a and pre-miRNA-146a production and increasing reported gene expression; the downregulated expression of both miRNA-146a precursor and mature miRNA-146a could be reversed when C was mutated back to G.<sup>51,52</sup> A recent meta-analysis observed the relationship between the rs2910264 C/G locus and cancers, considering that the rs2910164 G allele was a risk of DSC.<sup>30</sup> Hao<sup>53</sup> analyzed the data collected from 38 independent studies and concluded that the rs2910164 polymorphism increased the risk of lung cancer and nasopharyngeal cancer, while Wang<sup>41</sup> also reported that rs2910164 polymorphism was a risk factor of lung cancer. Additionally, association of rs2910164  $C \rightarrow G$  variation to ovarian cancer has been observed.<sup>54</sup> However, there was no relationship of rs2910164 polymorphism and ESCC overall,<sup>29</sup> which is consistent with our study, but we also verified that the rs2910164 C to G mutation could increase the risk of ESCC in both the BMI <24 and >24 subgroups.

MiRNA-196 is located on the homeobox (HOX) genes.<sup>55</sup> Three genes of miRNA-196a have been found so far, named miRNA-196a-1, miRNA-196a-2, and miRNA-196b, respectively. MiRNA-196a2 is located in the region between HOXC-10 and HOXC-9 on chromosome 12,<sup>56</sup> composing two mature miRNAs (miRNA-196a and miRNA-196a\*), and rs11614913 is located on miRNA-196a\* mature sequence, not only influencing the mature miRNA-196a2 level, but also affecting target gene expression.<sup>57</sup> According to the study of Hoffman,<sup>57</sup> the level of mature miRNA-196a2 transfected with pre-miRNA-196a2-C was higher than that transfected with pre-miRNA-196a2-T. Previous studies reported that the homozygote genotype of rs11614913 could reduce the risk of ESCC.<sup>27–29</sup> A metaanalysis confirmed that the rs11614913 SNP had a negative correlation with cancer in overall result.<sup>58</sup> Our study showed that the rs11614913 T to C variation could reduce the risk of ESCC in the female subgroup.

Haplotype analysis has stronger statistic power than single SNP analysis,<sup>59,60</sup> however, there were few studies about the association between SNP haplotypes and ESCC, and there were no studies about haplotypes of these three SNPs on esophageal cancer risk. We constructed a total of eight haplotype combinations and did not observe positive results. Panagiotis Dikeakos<sup>61</sup> performed a haplotype analysis of *miR-146a*, *miR-149*, *and miR-196a2*, and found that  $C_{rs2910164}C_{rs2292832}C_{rs11614913}$  and  $G_{rs2910164}T_{rs2292832}C_{rs11614913}$  increased the risk of GC, while  $C_{rs2910164}T_{rs2292832}T_{rs11614913}$  and  $C_{rs2910164}C_{rs2292832}T_{rs11614913}$ reduced the GC risk. Mohan Damodaran<sup>62</sup> analyzed eight SNPs in microRNA and verified that a polymorphism of rs11614913 could increase prostate cancer risk. The SNP of rs2910164 did not link with prostate cancer, whereas \_Cancer Medicine \_\_\_\_-WILEY

the haplotype analysis showed that  $A_{rs73318382}G_{rs57095329}$  $G_{rs2910164}C_{rs11614913}G_{rs41275794}T_{rs12976445}G_{rs10404453}G_{rs1297533}$  reduced the risk of prostate cancer, indicating locus–locus interaction of SNPs and gene–gene interaction on cancer risk.

There were some limitations in our study. Primarily, other variants in miRNA genes were not estimated. Second, due to the insufficient sample size, we did not perform a replication of the study. Finally, recruiting controls from hospitals instead of from communities may not accurately represent the whole Chinese populations. Some potential influencing factors were not analyzed and this might reduce the reliability of results.

In summary, our study identified the association between miRNA loci and ESCC in a Chinese Han population. Well-designed case–control studies including a larger sample size are required to verify these main results and validate the potential gene–gene interaction and gene– environment factors which is implicated in polymorphisms of the rs2910164 in the *miR-146a* gene, rs11614913 in the *miR-196a* gene, and rs3746444 in the *miR-499* gene and susceptibility to ESCC.

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### **CONFLICT OF INTEREST**

The authors declared that they have no conflict of interest to this work. We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

### AUTHOR CONTRIBUTION

Weifeng Tang: Conception and design of experiment, revising the manuscript. Chao Liu: Analysis of data, drafting the manuscript. Wenhui Gao: Collection of specimens, extraction of DNA. Lu Lv: Acquisition of data, extraction of DNA. Yijun Shi: Genotyping.

### ETHICS STATEMENT

The study was approved by the Ethics Committee of Zhenjiang First People's Hospital (ID: K-20210064-W) Zhenjiang, China. All patients provided written informed consent.

### DATA AVAILABILITY STATEMENT

Full data are available via an online supplementary material. Supplementary files summarizes the detailed data of genotypes and the PCR program.

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### SUPPORTING INFORMATION

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