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Lhx2 specifies regional fate in Emx1 lineage of telencephalic progenitors generating cerebral cortex

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Abstract

Cerebral cortex is comprised of regions including six-layer neocortex and three-layer olfactory cortex generated by telencephalic progenitors of an Emx1 lineage. The mechanism specifying region-specific subpopulations within this lineage is unknown. We show in mouse that the LIM homeodomain transcription factor *Lhx2*, expressed in graded levels by progenitors, determines their regional identity and fate decisions to generate neocortex or olfactory cortex. *Emx1-Cre* deletion of *Lhx2* at E10.5 refates progenitors to generate three-layer cortex phenocopying olfactory cortex rather than lateral neocortex. Progenitors do not generate ectopic olfactory cortex following *Lhx2* deletion at E11.5. Thus, *Lhx2* regulates a regional-fate decision by telencephalic progenitors during a critical period that closes as they differentiate from neuroepithelial cells to neuronogenic radial glia. “Exposure” of progenitors to *Lhx2* may dictate their regional-fate decisions. These findings establish a genetic mechanism determining regional fate in the Emx1 lineage of telencephalic progenitors that generate cerebral cortex.

The mammalian cerebral cortex is comprised of several major regions, including six-layer neocortex and architecturally more simple and phylogenetically older cortices, including three-layer paleocortex, which is predominantly olfactory cortex, i.e. piriform cortex (PC), and archicortex, which is predominantly hippocampal formation¹. The great majority of neurons that form each region, including all glutamatergic and projection neurons, arise from progenitors within the ventricular zone of dorsal telencephalon (dTel) of a lineage defined by expression of Emx1, a homeodomain transcription factor expressed by all progenitors within the dTel ventricular zone². Little progress though has been made on

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Author contributions

All authors directly contributed to carrying out the study, have read the paper and agree with the findings. Specific contributions are as follows.

S-J.C.: Designed and generated the floxed allele of *Lhx2*, was a principal contributor to analysis of the *Lhx2* conditional knockouts, prepared figures and assisted in writing the paper.

C.P.G.: Principal contributor to the analysis of the *Lhx2* conditional knockouts, prepared figures and assisted in writing the paper.

T.K.: Contributed to the analysis of the *Lhx2* conditional knockouts and assisted in preparing figures.

D.O'L.: Conceived the study, designed and contributed to the analysis of the *Lhx2* conditional knockouts, prepared figures and wrote the paper.

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defining mechanisms that determine distinct regional fates within this relatively uniform population of progenitors.

Although expression of *Emx1* is a defining characteristic of dTel progenitors, neither expression of *Emx1* itself, nor of any transcription factor, has been shown to determine regional fate of progenitors within the *Emx1* lineage. Further, the *Emx1* progenitor lineage has not been subdivided into distinct populations, or sub-lineages, that generate specific regions of cerebral cortex by their expression of a distinct transcription factor. Indeed, such a relationship between a lineage and a specific region of cerebral cortex might not exist. However, unique subpopulations of progenitors of the *Emx1* lineage must generate distinct regions of cerebral cortex and must be specified by their unique expression of one or more transcription factors. The mechanism for specifying regional fate within the *Emx1* lineage could have as a key feature the graded expression of a transcription factor that defines though differences in expression levels, unique subpopulations of progenitors. The LIM homeodomain transcription factor *Lhx2*, which is expressed in all dTel progenitors of the *Emx1* lineage in a high to low caudomedial to rostralateral graded pattern across the dTel ventricular zone^{3,4}, is a strong candidate for this role.

Lhx2 is a critical regulator of cortical development and may function as a selector gene for cortical identity. For example, analysis of an *Lhx2* constitutive knockout shows that cerebral cortex largely fails to form because ventricular zone progenitors become quiescent early in corticogenesis, although some markers associated with PC are detectable⁵. Further, a patterning center, cortical hem, expands and overpopulates the cortical wall with Cajal-Retzius neurons^{3,4,6}. In addition, clusters of *Lhx2* null neurons in dorsomedial neocortex of chimeric mice made from *Lhx2* null and wild-type blastula do not express neocortical markers⁷.

Here we study *Lhx2* in specification of regional fate within dTel progenitors of the *Emx1* lineage by addressing the hypothesis that *Lhx2* regulates the fate decision within this lineage to produce neocortex or paleocortical PC. Because of severe defects of *Lhx2* constitutive knockout mice and their embryonic lethality³, addressing this hypothesis required that we generate a conditional knockout (cKO) of *Lhx2*. Therefore, we made mice with floxed alleles of *Lhx2* and used three different lines of mice expressing Cre recombinase, *Emx1*-Cre⁸, *Nestin*-Cre⁹ and *Nex*-Cre¹⁰, to delete *Lhx2* at different times to assess roles for *Lhx2* in specification and fate of dTel progenitors and their progeny that form cerebral cortex. *Lhx2* expression begins in forebrain at E8.5 before neurulation, two days before the earliest Cre mediated deletion of floxed alleles^{4,6} with *Emx1*-Cre, thus allowing transient expression of *Lhx2* in dTel progenitors to promote development of cerebral cortex, which is crucial for our study.

We demonstrate that *Lhx2* regulates a fate decision among dTel progenitors of the *Emx1* lineage to generate phylogenetically distinct telencephalic regions, lateral neocortex or paleocortical PC, and is required for progenitors of lateral neocortex and their progeny to acquire a neocortical fate. *Lhx2* regulates this fate decision within a critical period that closes with differentiation of neuronogenic radial glia and onset of cortical neurogenesis.

These findings establish a genetic mechanism for determining regional fate of dTel progenitors of the *Emx1* lineage that generate cerebral cortex.

Results

Neocortical-paleocortical shifts following *Lhx2* deletion

Mice with floxed alleles of *Lhx2* were generated and initially crossed with an *Emx1-Cre* line8 to delete *Lhx2* from progenitors of the *Emx1* lineage that give rise to cerebral cortex (Fig.1). The *Lhx2*^{fl/-}; *Emx1-Cre* and *Lhx2*^{fl/fl}; *Emx1-Cre* offspring are postnatal viable, exhibit the same phenotype, and are grouped as cKO-E. Five genotypes obtained as littermates from these crosses (*Lhx2*^{fl/-} without *Emx1-Cre* or *Lhx2*^{fl/+} or *Lhx2*^{+/-}, with or without *Emx1-Cre*) have similar phenotypes and are grouped as wild-type.

To examine regional patterning of telencephalon deficient for *Lhx2*, we performed at P0 whole mount in situ hybridization with a neocortical marker, *Satb2*11, and a paleocortical marker, *Nrp2*12. In wild-type, *Satb2* marks the dorsal-dorsolateral surface of the cortical hemisphere, and *Nrp2* marks in a complementary fashion the ventral-ventrolateral surface (Fig.2). In cKO-E, the telencephalon is smaller and exhibits aberrant patterning. The *Satb2* domain is substantially reduced, with its ventrolateral border shifted dorsally, and is striped due to diminished staining of cell sparse domains that alternate with cell dense domains in superficial layers (Fig.2). The *Nrp2* domain exhibits a parallel change to the *Satb2* domain, shifting dorsally to retain its complementary expression pattern with *Satb2* (Fig.2). Thus, deletion of *Lhx2* from progenitors of the *Emx1* lineage results in a significant change in telencephalic patterning, with an expansion of paleocortical markers and a restriction of neocortical markers.

We performed a marker analysis to assess the integrity and positioning of cortical hem, a caudomedial patterning center13, the pallium-subpallium boundary (PSB), and anti-hem, a putative ventrolateral patterning center coincident with PSB14, and find that each form in cKO-E similar to wild-type (Supplementary Fig.1). Further, expression of the transcription factors *Dlx2*, *Dlx5*, *Gsx2* (*Gsh2*), *Ascl1* (*Mash1*), and *Arx* implicated in specifying fates of neurons generated in the LGE15-18, a prominent germinal zone of ventral telencephalon contiguous to the dTel ventricular zone, remain limited to the LGE (Supplementary Fig.2). Thus, effects of *Lhx2* on neocortical versus paleocortical fate are likely a direct influence of *Lhx2* on dTel progenitors of the *Emx1* lineage.

Ectopic PC forms following *Lhx2* deletion from *Emx1* lineage

To determine the outcome of expansion of the paleocortical marker *Nrp2* observed at P0 on underlying telencephalic patterning, we focused analyses on P7, when laminar organization of cerebral cortex is mature. Nissl staining and in situ hybridization were performed on sections of P7 wild-type and cKO-E littermates using *Nrp2*12 and neocortical markers *Satb2* and *EphB6*11,19 (Fig.3). Because *Nrp2* marks the contiguous olfactory cortical structures, PC and olfactory tubercle, to distinguish PC we selected *Slc6a7*20 and *Ppfibp1* (*Liprinβ1*)21 from the Allen Brain Atlas (<http://www.brain-map.org>) and BGEM (<http://>

www.stjudebgem.org) and confirmed that each marks PC and distinguishes it from olfactory tubercle and adjacent neocortex (Fig.3).

Compared to wild-type, neocortex of cKO-E is reduced in size, and has two distinct, aberrant lamination patterns. In dorsomedial neocortex of cKO-E, both neocortical markers, *Satb2* and *EphB6*, exhibit a roughly wild-type-like expression pattern and *Nrp2* is largely absent (Fig.3). In contrast, within lateral neocortex, expression of *Satb2* and *EphB6* is diminished and replaced by robust expression of *Nrp2* and six-layer architecture characteristic of neocortex is replaced by a three-layer architecture resembling PC (Fig.3). In addition, this ectopic *Nrp2* domain expresses PC markers *Slc6a7* and *Liprinβ1* that distinguish it from the *Nrp2*-positive olfactory tubercle (Fig.3), thereby identifying this aberrant structure positioned in lateral neocortex as an ectopic PC (ePC). In contrast, the *Nrp2*-positive three-layer cortical structure ventral to ePC is not marked by either *Slc6a7* or *Liprinβ1*, identifying it as olfactory tubercle (Fig.3). Thus, following conditional deletion of *Lhx2* using *Emx1*-Cre, lateral neocortex is replaced by a cortical structure that has the architecture and marker expression of PC, and a wild type PC (wtPC) is not identified at its normal ventral position. These findings strongly suggest that progenitors that normally generate lateral neocortex are refated to generate PC following deletion of *Lhx2* using *Emx1*-Cre.

Additional marker analyses using immunostaining assessed expression of Bcl11b (*Ctip2*) relative to *Satb2* at P7 (Fig.4). In wild-type, *Ctip2* is preferentially expressed by layer 5 neurons within neocortex, whereas within PC and olfactory tubercle it strongly labels layer 222. In cKO-E, *Ctip2* is expressed in layer 2 of ePC, coincident with expression of the paleocortical marker *Nrp2* (Fig.4), while retaining a wild-type-like expression pattern in dorsomedial neocortex, coincident with expression of the neocortical marker *Satb2* (Fig.4). Although *Satb2* expression in ePC is significantly lower than in wild-type neocortex or in dorsomedial neocortex of cKO-E, its expression nonetheless persists throughout ePC whereas its expression is non-detectable in wtPC (Figs.3 and 4). *EphB6* expression is though reduced to non-detectable levels in ePC. In conclusion, maintained, albeit significantly reduced, expression of the neocortical marker *Satb2* in ePC is strong evidence that it is indeed generated by progenitors of the *Emx1* lineage that would normally generate lateral neocortex but following deletion of *Lhx2* are refated to generate PC.

To further determine the degree of refating, we analyzed connectivity of ePC in P7 cKO-E, and find that it receives afferent input from olfactory bulb to layers 1 and 3 as in wtPC²³. However, in contrast to wild-type, in cKO-E the olfactory bulb projection continues beyond ePC and aberrantly projects within layer 1 throughout much of neocortex (Fig.5), consistent with lateral neocortex being refated into ePC and the gradual transitioning of ePC into neocortex.

Wild type PC is absent in *Lhx2* cKO-E mice

Although we identify in P7 cKO-E, an *Nrp2*-positive, three-layer olfactory cortical structure ventral to ePC, it does not express PC-specific markers and is identified as olfactory tubercle. This lack of an identifiable PC at its normal ventral position could be due to either its failure to express PC-specific markers following deletion of *Lhx2*, or that wtPC is not

present. To distinguish between these possibilities, we performed an *Emx1* lineage analysis by crossing the *Emx1-Cre* line to the ROSA26 reporter line²⁴ on wild-type and floxed *Lhx2* mutant backgrounds, permanently labeling all cells of the *Emx1* lineage with β -galactosidase (β -gal).

In P7 wild-type, neocortex and PC are well labeled by β -gal (Fig.6). The density of β -gal labeling parallels neuronal density revealed by Nissl staining, confirming that neocortex and PC are formed predominantly by neurons of the *Emx1* lineage. In contrast, few β -gal labeled cells are found in olfactory tubercle (Fig.6), indicating that it is derived from lineages other than *Emx1*. In P7 cKO-E, dorsomedial neocortex is heavily labeled by β -gal as is ePC in the location normally occupied by lateral neocortex; in both, the density of labeled cells mirrors that observed in adjacent Nissl stained sections, as in wild-type (Fig.6a). At caudal positions, beyond the normal A-P extent of PC in wild-type, the β -gal labeled entorhinal cortex is ventral to lateral neocortex, whereas in cKO-E, the β -gal labeled entorhinal cortex is ventral to ePC positioned in lateral neocortex (Fig.6b). At more rostral levels, where PC is found in wild-type, we do not find a β -gal labeled structure ventral to β -gal labeled ePC positioned in lateral neocortex; instead, this ventral position is occupied by β -gal negative olfactory tubercle. These findings confirm that wtPC is not present at its normal ventral position in cKO-E at P7, and the only PC-like structure is ePC positioned in lateral neocortex.

Ectopic PC in *Lhx2* cKO-E mice is not wtPC shifted dorsally

The most straightforward interpretation of our findings in cKO-E is that progenitors that generate lateral neocortex are refated due to *Lhx2* deletion to generate neurons of PC fate rather than neocortical fate. Alternatively, due to reduced size of cortex in cKO-E, wtPC shifts dorsally to an ectopic position normally occupied by lateral neocortex. Arguing against this alternative is positioning of ePC relative to the rhinal fissure, a sulcus that is constant across mammalian species and separates neocortex located dorsal to it from paleocortical PC located ventral to it²⁵. In wild-type, PC is positioned ventral to the rhinal fissure, whereas in cKO-E, ePC is positioned dorsal to the rhinal fissure, where lateral neocortex is found in wild-type (Supplementary Fig.3; Fig.4). This finding is consistent with ePC being produced by progenitors that are part of the pool of neocortical progenitors, albeit refated due to *Lhx2* deletion, that continues to generate neurons that form a continuous sheet of cells distinct from wtPC.

Although positioning of ePC relative to the rhinal fissure makes it virtually inconceivable that ePC is actually wtPC that has shifted dorsally, we nonetheless directly addressed whether ectopic positioning of PC dorsal to the rhinal fissure is the result of reduced cortical size. To test this hypothesis, we crossed floxed-*Lhx2* mice with a *Nestin-Cre* line⁹ and analyzed telencephalic patterning in offspring, termed cKO-N (Fig.3). At P7, cortices of cKO-E and cKO-N are similar in size, both being approximately half the size of wild-type (Fig.7a, b). However, in contrast to cKO-E, in cKO-N, PC identified by expression of the paleocortical marker *Nrp2* and PC-specific markers, *Slc6a7* and *Liprin β 1* (Fig.3), is positioned ventrally similar to wtPC; in addition, lateral neocortex is also normally positioned and has a six-layer architecture (Figs.3 and 7). These findings refute the

possibility that the exaggerated dorsal position of ePC above the rhinal fissure in cKO-E is a secondary result of reduced cortical size.

Ectopic PC is significantly larger than wild-type PC

An additional argument that ePC is generated, in large part if not entirely, by refated neocortical progenitors is based upon its position relative to wtPC and lateral neocortex, and that it is significantly larger than PC in wild-type (Fig.7). First, ePC in cKO-E is not only positioned dorsal to the rhinal fissure, at the location of lateral neocortex in wild-type, but further, ePC is found at the location of lateral neocortex along the entire A-P extent of neocortex, including well posterior to the normal extent of PC in wild-type, covering essentially 100% of the A-P cortical axis. In contrast, wtPC is limited to the rostral 60% of the A-P cortical axis in wild-type and 79% in cKO-N (Fig.7a, f). Further, ePC is significantly longer along the D-V telencephalic axis than wtPC in either wild-type or cKO-N (Fig.7a, e, f). At each A-P position, ePC is proportionally larger than wtPC and accounts for between 50% and 60% of the D-V cortical axis in cKO-E whereas PC in both wild-type and cKO-N accounts for 25% or less (Fig.7f). The absolute D-V extent of ePC in cKO-E is also greater than wtPC, with ePC being up to 200% of the absolute D-V extent of wtPC (Fig. 7a, e). Further, ePC size relative to neocortex is more than 400% greater in cKO-E than PC size relative to neocortex in wild-type (Fig.7d); even in absolute total area, ePC is over twice the size of wtPC (Fig.7c).

These findings can only be explained by one of two mechanisms. The best fit is that ePC is generated by refated progenitors of the *Emx1* lineage that would normally generate lateral neocortex. The only alternative requires that progenitors that normally generate PC, which are localized to dTel PSB26, undergo a substantial increase in proliferation in cKO-E to generate the larger ePC, coupled with an aberrantly quiescent population of progenitors that would normally generate lateral neocortex. However, this mechanism is infeasible as we find no evidence for substantial changes in distribution and relative densities of active progenitors using BrdU-pulse labeling during neurogenesis at E11.5, E13.5 and E15.5 (Supplementary Fig.4).

Wild-type PC is formed then eliminated in *Lhx2* cKO-E mice

To provide definitive evidence that progenitors of the *Emx1* lineage that normally generate lateral neocortex are refated to generate an ePC in cKO-E, we addressed the fate of wtPC neurons in cKO-E by extending to embryonic and perinatal ages the *Emx1* lineage analysis described above for P7. For this, the *Emx1*-Cre and ROSA26 reporter lines were crossed and offspring analyzed on wild-type and cKO-E backgrounds.

In E13.5 and E15.5 wild-type embryos, both neocortex and PC positioned ventral to it, are formed by a high density of neurons labeled with β -gal indicative of progeny of progenitors of the *Emx1* lineage (Fig.8). In E13.5 cKO-E, the distribution, number and density of β -gal labeled neurons closely resemble those in E13.5 wild-type littermates, with both neocortex and PC readily identified at their normal positions (Fig.8a). The wtPC remains evident at E15.5 in cKO-E at its normal ventral position, but the density of β -gal labeled neurons is reduced compared to E15.5 wild-type littermates as well as two days earlier in E13.5 cKO-E

(Fig.8b). Consistent with identifying this ventrally located PC in cKO-E being wtPC, it is positioned at the ventral-most location of the migrational scaffold between the dTel ventricular zone and the cortical wall formed by the Fabp7 (BLBP) positive processes of radial glia—the progenitors of the *Emx1* lineage. At this ventral-most position, a particularly dense bundle of the radial glial processes form a palisade that connects the ventricular zone of the PSB to the telencephalic wall²⁷ in cKO-E as in wild-type and forms a migrational guide for wtPC neurons (Supplementary Fig.5).

Elimination of β -gal labeled wtPC neurons continues over the next few days such that by P0 (Fig.8c), as at P7 (Fig.6), wtPC is no longer identifiable in cKO-E. Because this method of lineage tracing permanently marks PC neurons observed at E13.5 in both wild-type and cKO-E, the only possible explanation for the early presence of a PC at its normal ventral position and later absence in cKO-E is that wtPC is generated and formed but is subsequently eliminated. Crossing floxed-*Lhx2* mice with a *Nex-Cre* line of mice that deletes floxed alleles from post-mitotic neurons immediately after generation¹⁰, shows that elimination of wtPC in cKO-E is due to a defect resulting from deletion of *Lhx2* from dTel progenitors that is inherited by PC neurons (Supplementary Fig.6). These results then, interpreted in context of other findings, provide conclusive evidence that ePC observed at P7 in cKO-E at the position of lateral neocortex is not wtPC that has aberrantly shifted dorsal to the rhinal fissure and instead must be generated by progenitors of the *Emx1* lineage normally fated to generate lateral neocortex, but following deletion of *Lhx2* by *Emx1-Cre*, are refated to generate PC.

Critical period for *Lhx2* regulation of regional fate

Unlike cKO-E, cKO-N do not form an ePC in place of lateral neocortex, and instead have a PC that resembles wtPC in size and position. Since *Emx1* and *Nestin* drivers both produce Cre expression in all progenitors of the *Emx1* lineage within the dTel ventricular zone, this difference in phenotype must be due to differences in timing of Cre expression and recombination. Indeed, recombination produced by *Emx1-Cre* mice is not detectable at E9.5 but is robust at E10.5, whereas recombination produced by *Nestin-Cre* mice is not detectable at E10.5 but is robust at E11.5 (Supplementary Fig.7). Thus, the *Nestin-Cre* line used here produces recombination one day later than the *Emx1-Cre* line. These findings define a critical period for *Lhx2* regulation of the fate decision to generate lateral neocortical neurons or PC neurons exhibited by dTel progenitors of the *Emx1* lineage, characterized by restriction in this fate decision occurring between E10.5 and E11.5.

Defining this critical period leads to predictions based upon the rostralateral to caudomedial temporal gradient of corticogenesis across the neocortical axes²⁸. We predict a transition zone between neocortex and ePC, characterized by mixing of neurons with neocortical and PC properties in a radial traverse at the medial edge of ePC at a location along the temporal neurogenic gradient where timing of Cre mediated deletion of *Lhx2* from progenitors straddles the critical period. To address this issue, we analyzed expression of the neocortical marker *Satb2* relative to *Ctip2*, which marks layer 5 of neocortex but layer 2 of PC (Fig.4). The predicted transition zone is indeed observed (Supplementary Fig.8) providing further

evidence of a critical period for *Lhx2* regulation of regional fate by progenitors of the *Emx1* lineage.

Genetic changes in dTel progenitors in *Lhx2* cKO mice

To begin assessing the genetic hierarchy that accounts for refating of cortical progenitors following early *Lhx2* deletion, we analyzed in dTel ventricular zone expression of *Emx1*, *Pax6* and *Neurog2* (*Ngn2*), transcription factors involved in cell specification and patterning in forebrain^{29,30}. Because progenitors of the *Emx1* lineage exhibit a fate change in cKO-E but not in cKO-N, transcription factors that exhibit unique changes in cKO-E are candidates for prominent involvement in the fate decision. However, each transcription factor analyzed exhibits similar down-regulation in both cKO-E and cKO-N mice (Supplementary Fig.9), indicating that they are unlikely to have an instructive role in mediating the neocortical versus PC fate decision.

Discussion

We show that *Lhx2* regulates a genetic mechanism intrinsic to dTel progenitors of the *Emx1* lineage that determines their regional fate in generating cerebral cortex. Following *Lhx2* deletion at E10.5 by *Emx1*-Cre, the cortical hemisphere is reduced to half of wild-type size in cKO-E offspring, and neocortex exhibits two position-dependent and continuous architectures: dorsomedially neocortex has six-layer architecture that resembles wild-type neocortex albeit with localized laminar defects, which transitions laterally into a three-layer structure that phenocopies the architecture, marker expression and connectivity of PC. This ePC is considerably larger than wtPC and develops at the location of lateral neocortex along the entire A-P extent of the cortical hemisphere, extending well beyond the A-P extent of wtPC. Lineage tracing shows that wtPC itself is also generated and forms at its normal position ventral to neocortex, but is subsequently eliminated. Multiple experiments summarized in Supplementary Fig.10 provide conclusive evidence that ePC is generated by dTel progenitors of the *Emx1* lineage normally fated to generate lateral neocortex, but are refated following early deletion of *Lhx2* to generate PC.

Use of *Nestin*-Cre results in deletion of *Lhx2* at E11.5, one day after *Emx1*-Cre, and cKO-N offspring have a reduced cortical size similar to that produced by *Emx1*-Cre. However, cKO-N do not develop an ePC and instead have a uniform neocortex with six-layer architecture that resembles wild-type neocortex, and a PC of normal size and viability at the appropriate wild-type location ventral to lateral neocortex. These distinct phenotypes exhibited by *Lhx2* cKOs produced by *Emx1*-Cre and *Nestin*-Cre reveal a critical period for *Lhx2* regulation of the fate decision made by progenitors of the *Emx1* lineage to generate neocortex or paleocortical PC, and that closing of the critical period, characterized by a restriction in regional fate of these progenitors, occurs between E10.5 and E11.5.

Mechanisms for determining regional fates in *Emx1* lineage

Cerebral cortex is a hierarchically patterned structure divided anatomically and functionally into specialized regions, which in turn are divided into anatomically and functionally distinct areas that serve unique modalities¹. Neocortical areas are specified through the action of

transcription factors expressed in graded patterns along the A-P and D-V cortical axes²⁹. For example, area patterning of neocortex is regulated in part by the homeodomain transcription factor *Emx2*, with the expression level of *Emx2* in particular being a critical determinant of area identity of a progenitor in the neocortical ventricular zone³¹.

The mechanism for determining regional fate of cerebral cortex by *Lhx2* may be similar to determining areal fate of neocortex by *Emx2*, as both are expressed in a high caudomedial to low rostrolateral gradient and act on dTel progenitors of the *Emx1* lineage. Although we have not demonstrated that the graded feature of *Lhx2* expression is an important determinant for regulating regional fate amongst dTel progenitors of the *Emx1* lineage, it is likely because in wild-type, the position-dependent level of *Lhx2* expression is the feature that distinguishes progenitors of lateral neocortex from those that generate PC. *Lhx2* could act by either repressing PC fate or inducing PC fate over a specific range of expression. Our findings suggest that either PC is the default regional fate for lateral neocortical progenitors following deletion of *Lhx2* on E10.5, or that a progenitor's regional fate is determined by its "exposure" (E) to *Lhx2*, which is the product of exposure time (e^T) and expression level (e^L), with the exposure experienced by lateral neocortical progenitors following *Lhx2* deletion on E10.5 (*Emx1*-Cre) to be PC fate, and with an extra day of exposure (*Nestin*-Cre) to be neocortical fate.

Implications for critical period and cortical evolution

The difference in timing of *Lhx2* deletion between cKO-E and cKO-N, and their different phenotypes, reveal a critical period for *Lhx2* regulation of the fate decision to generate neocortical or PC neurons exhibited by dTel progenitors of the *Emx1* lineage and that closing of the critical period occurs between E10.5 and E11.5. Timing of this regional fate restriction is coincident with onset of cortical neurogenesis³², which itself is determined by significant differentiation of cortical progenitors, specifically transition of neuroepithelial cells into neuronogenic radial glia³³. Timing of the critical period for *Lhx2* function in regulating regional fate indicates that determination of regional fate is made by neuroepithelial cells and is plastic during their stage of symmetric divisions, but becomes restricted with their transition into radial glia and the asymmetric division stage. A recent study of regulation of this transition period of progenitor differentiation shows that area fates exhibited by dTel progenitors that generate neocortex are determined in neuroepithelial cells and become fixed prior to their differentiation into radial glia³⁴. Our findings here suggest that the critical period for regional fate of cerebral cortex also correlates with timing of neuroepithelial cell to radial glia transition, suggesting that the critical periods for regional fate and areal fate are similar.

Progenitors that give rise to dorsomedial and lateral neocortex exhibit a substantial difference in their retention of neocortical properties versus refating into olfactory cortical progenitors following early deletion of *Lhx2* by *Emx1*-Cre. This difference may be due to the two neocortical domains having different critical periods, with dorsomedial neocortex being earlier than lateral neocortex, or alternatively may be due to a significant genetic distinction between progenitors of the *Emx1* lineage that give rise to dorsomedial versus lateral neocortex. Determining whether progenitors of dorsomedial neocortex exhibit an

earlier critical period will distinguish between these two alternatives. However, presently we do not have a Cre line that would delete *Lhx2* at an age earlier than *Emx1*-Cre and still result in a viable mouse with an intact cerebral cortex.

Our findings support classic models of cortical evolution that have fallen out of favor. For example, a dual origin model postulates that paleocortex contributes to lateral neocortex and archicortex to dorsomedial neocortex, which is supported by our findings in cKO-E mice that lateral neocortex refates into paleocortical PC, whereas dorsomedial neocortex retains a neocortical-like fate. Our findings also support a model that both PC and neocortex have evolved from ventrolateral telencephalon^{1,35-37}, specifically, our findings that dTel progenitors of the *Emx1* lineage that generate PC and neocortex are genetically almost identical, at least as neuroepithelial cells prior to their fate restriction, with only the expression level of *Lhx2* functionally distinguishing them. Thus, *Lhx2* specification of regional fate of cerebral cortex serves a critical role not only during development, but likely also during evolution.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Appendix

METHODS

Gene targeting, generation and use of mice

The *Lhx2* gene targeting was done using homologous recombination in embryonic stem cells (ES cells). A replacement targeting vector was designed to delete the first three exons of the *Lhx2* gene including the transcription start site, and replace them with a neomycin-resistance gene (PGK-neo) flanked by two FRT sites. We used DTA (Diphtheria Toxin) under control of the phosphoglycerate kinase promoter (*PGK*) to select against random insertion events. Targeted ES cell clones were screened by Southern with probes A and B (Supplementary Fig.1), and by PCR, (for the neo cassette: P3: 5'-ATGCCTGCTTGCCGAATATC-3', P5: 5'-CCCATAAAGAGATGTACACC-3'; for the second LoxP site: P7: 5'-CTTTAACCATGCCGACGTGG-3', P8: 5'-GAGAGGCAAACCAAAGGCAAC-3') and identified as *Lhx2*^{fl^{ox}-neo⁺} clones. These clones were subsequently injected into C57BL/6J blastocysts and the resulting chimeras were then mated to C57Bl/6J females to obtain germ line transmission. Heterozygous mice (*Lhx2*^{fl^{ox}-neo⁺}) were mated with *FLPe* mice³⁸ to remove the neo cassette. Homozygous floxed mice (*Lhx2*^{fl/fl}) were generated by crossing heterozygous animals and genotyping was performed by PCR using the P7 and P8 primers. *Lhx2*^{fl/fl} mice were mated to *Emx1*-*IRES*-*Cre* mice⁸ generously provided by Kevin Jones, Nestin-Cre transgenic mice⁹ (obtained from the Jackson Laboratory) and *Nex*-*Cre* mice¹⁰

generously provided by Klaus-Armin Nave. Double heterozygous *Lhx2^{fl/+};Emx1-Cre,Lhx2^{fl/+};Nestin-Cre* and *Lhx2^{fl/+};Nex-Cre* mice were viable and fertile. For the staging of embryos, midday of the day of the vaginal plug was considered as embryonic day (E) 0.5, and the day of birth is termed postnatal day (P) 0.

All experiments, generation and use of mice for these studies were conducted in accordance with NIH guidelines and have been approved by the Institutional Animal Use and Care Committee of the Salk Institute.

In Situ Hybridization

Antisense RNA probes for *Arx*, *Bmp7*, *Dlx2*, *Dlx5*, *Emx1*, *EphB6*, *Er81*, *Gsh2*, *Liprin β 1*, *Lhx2*, *Mash1*, *Ngn2*, *Nrp2*, *Pax6*, *Satb2*, *Sfrp2*, *Slc6a7* and *Wnt3a*, were labeled using a DIG-RNA labeling kit (Roche). In situ hybridization on 16-20 μ m cryostat sections and whole-mount in situ hybridization were performed as previously described³⁹.

Immunostaining and Axonal tracing

Mice were perfused with cold 4% buffered paraformaldehyde (PFA) or Bouin fixative. For Nissl staining, 10-20 μ m-thick sections were stained with 0.5% cresyl violet, and then dehydrated through graded alcohols. The antibodies used in this study are: rabbit anti-Satb2 (kindly provided by Victor Tarabykin), mouse anti-Satb2 (Abcam), rabbit anti-BLBP (Abcam), rabbit anti-Bc11b (Ctip2, Novus Biologicals) and rat anti-BrdU (Accurate Chemical & Scientific). For immunostaining, 10-20 μ m-thick sections (cryostat and paraffin) were developed following the standard DAB (di-amino-benzidine) colorimetric reaction (Vectastain, Vector). For immunofluorescence, a FITC-conjugated goat anti-rabbit antibody and a Cy3-conjugated donkey-anti-rabbit antibody (Jackson) were used. DiI (1,1'-dioctadecyl 3,3',3'-tetramethylindocarbocyanine perchlorate; Molecular Probes) tracing of olfactory bulb projections was done as previously described³⁹. Crystals of the fluorescent carbocyanide dyes were inserted in the olfactory bulbs, and brains were incubated for 3 to 12 weeks in 4% PFA. The brains were embedded in 5% low melting agarose, cut into 100 μ m-thick coronal sections on a vibratome, counterstained with DAPI (4'-6-Diamidino-2-phenylindole), mounted in 0.1M phosphate buffer and photographed under fluorescent light. Each tracing experiment was repeated at least three times and shows reproducible results.

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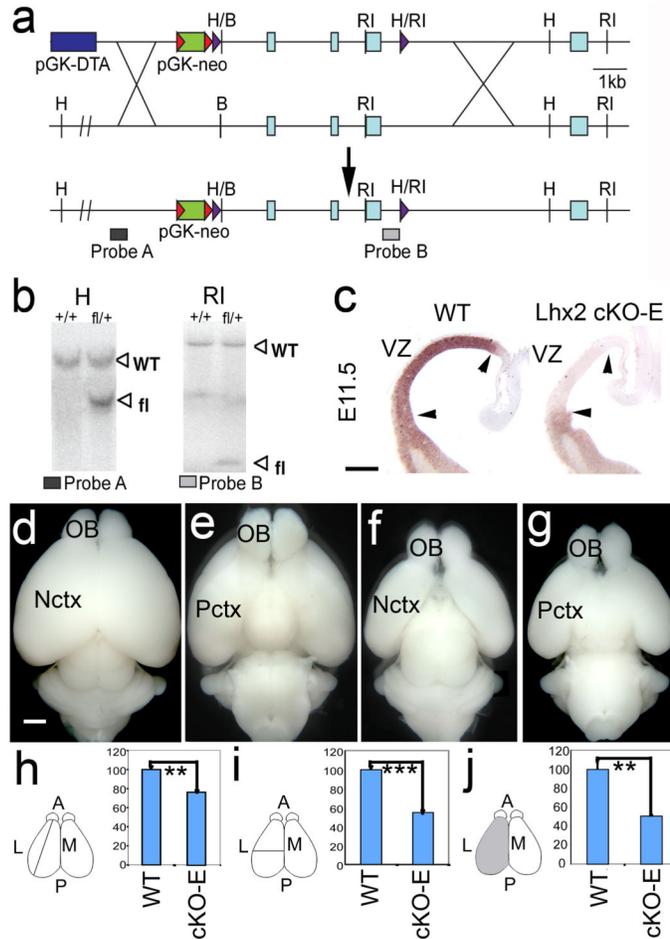


Fig.1. Generation of *Lhx2* floxed allele and conditional deletion using *Emx1-Cre*
 (a) Targeting strategy. Red and purple triangles indicate *FRT* and *LoxP* sites. (b) Southern hybridization on wild type (wild-type, +/+) and heterozygous (fl/+) ES cell clones with probes A and B. Genomic DNA digested with HindIII (H3) and hybridized with probe A reveals 10kb wild-type band and 6kb floxed band (fl). Probe B and EcoRI (RI) digestion reveal 15kb wild-type band and 2kb fl band. (c) In situ hybridization for *Lhx2* on E11.5 wild-type and cKO-E coronal sections shows selective deletion in dorsal telencephalon (arrowheads) in cKO-E ventricular zone (VZ). Scale bar: 0.2mm. (d,f) Dorsal and (e,g) ventral views of P7 wild-type (d,e) and cKO-E (f,g) brains shows reduced size of cKO-E neocortex (Nctx). (h) Relative neocortical A-P length in wild-type and cKO-E mice. wild-type length mean is set as 100. Compared with wild-type (100 ± 3.14 , $n=4$), length of cKO-E neocortex (76.54 ± 1.20 , $n=4$) is significantly decreased ($P < 0.01$, unpaired Student's t test). (i) Relative neocortical width (from midline to lateral side). Wild-type width mean is set as 100. Compared with wild-type (100 ± 3.44 , $n=4$), neocortical width of cKO-E (54.83 ± 1.98 , $n=4$) is significantly decreased ($P < 0.001$). (j) Relative dorsal surface area of cerebral hemisphere. Wild-type surface area mean is set as 100. Compared with wild-type (100 ± 9.88 , $n=4$), cKO-E surface area (50.75 ± 1.06 , $n=4$) is significantly decreased ($P < 0.01$). Scale bar: 0.5mm. A, anterior; L, lateral; M, medial; OB, olfactory bulb; P, posterior; Pctx, paleocortex; error bars=s.e.m.; **, $p < 0.01$; ***, $p < 0.0001$.

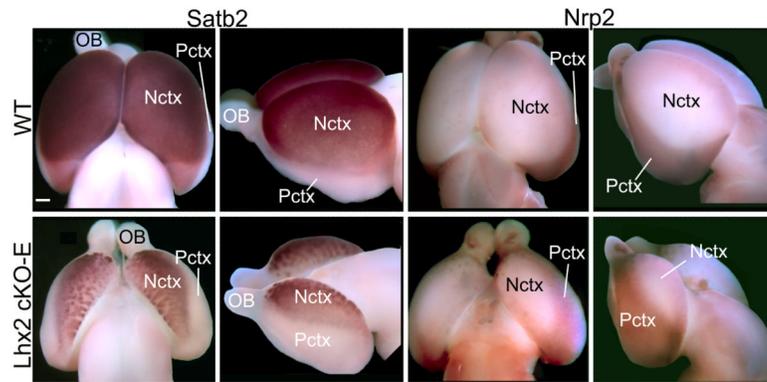


Fig.2. Complementary changes in neocortical and paleocortical domains in cerebral cortex of cKO-E mice following Lhx2 deletion by Emx1-Cre

Whole mount in situ hybridization on P0 wild-type ($Lhx2^{fl/+};Emx1-Cre$) and Lhx2 cKO-E ($Lhx2^{fl/-};Emx1-Cre$) brains using the neocortex (Nctx) marker *Satb2* and the paleocortex (Pctx) marker *Nrp2* shown in dorsal (rostral to the top) and side (rostral to the left) views. Compared to wild-type, in the Lhx2 cKO-E, the *Satb2* expression domain is reduced in size and its ventral border shifts dorsally in the cortical hemisphere, complemented by an expansion and dorsal shift of the *Nrp2* expression domain. Scale bar: 0.5 mm. OB, olfactory bulb.

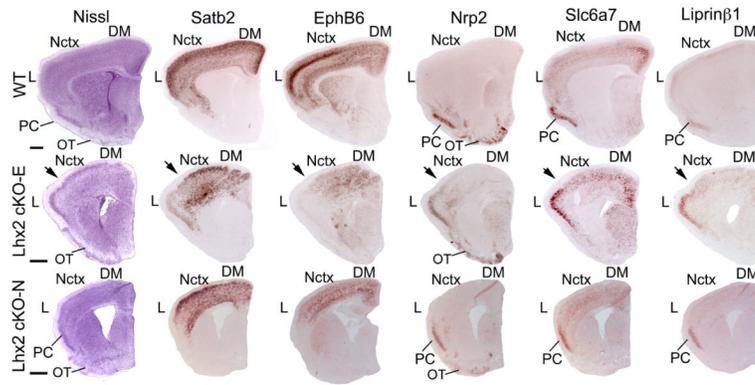


Fig.3. Altered patterns of regional telencephalic markers demonstrate a refating of lateral neocortex into piriform cortex following *Lhx2* deletion with *Emx1-Cre* but not *Nestin-Cre* Nissl staining and in situ hybridization for neocortex (*Nctx*) markers, *Satb2* and *EphB6*, the paleocortex marker *Nrp2* and the piriform cortex (PC) markers *Slc6a7* and *Liprinβ1* on coronal sections of P7 wild-type (*Lhx2^{fl/+}*), *Lhx2* cKO-E (*Lhx2^{fl/-};Emx1-Cre*) and *Lhx2* cKO-N (*Lhx2^{fl/-};Nestin-Cre*) brains. In wild-type, *Satb2* and *EphB6* are expressed in both dorsomedial (DM) and lateral (L) neocortex while *Nrp2* is expressed in PC and olfactory tubercle (OT), *Slc6a7* and *Liprinβ1* are expressed specifically in PC. In wild-type, PC is located ventrally in the cortical hemisphere. In *Lhx2* cKO-E mice, high level of expression of *Satb2* and *EphB6* is only detected in dorsomedial neocortex but not in lateral neocortex; instead, lateral neocortex exhibits ectopic *Nrp2*, *Slc6a7* and *Liprinβ1* expression coincident with the ectopic three-layer PC seen in the Nissl staining. The transition between these two patterns in dorsomedial and lateral neocortex is marked with an arrow. In *Lhx2* cKO-N mice, *Nrp2*, *Slc6a7* and *Liprinβ1* label wild-type PC that is located ventrally whereas lateral neocortex is strongly labeled by *Satb2* and *EphB6*, as in wild-type. In *Lhx2* cKO-E mice, *Satb2* expression persists throughout the ePC in place of lateral neocortex, although at substantially diminished levels relative to lateral neocortex in wild-type and to dorsomedial neocortex in *Lhx2* cKO-E mice. *Satb2* expression is not detected in wild-type PC. Scale bar: 0.5 mm.

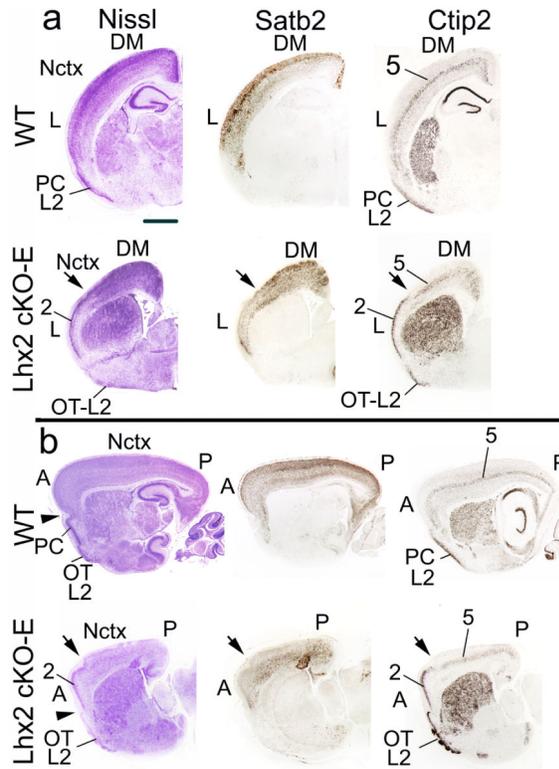


Fig.4. Distinct expression patterns of *Ctip2* and *Satb2* in *Lhx2* cKO-E telencephalon indicate that lateral neocortex is refolded into piriform cortex

Nissl and immunostaining of adjacent coronal (a) and sagittal (b) sections from P7 wild-type and *Lhx2* cKO-E brains with *Satb2*, a neocortex (*Nctx*) marker, and *Ctip2*, a neocortical layer 5 and paleocortical layer 2 marker. (a) In wild-type, *Ctip2* is expressed in neocortical layer 5 (5) and in layer 2 of piriform cortex (PC-L2), and *Satb2* is robustly expressed in neocortex. *Satb2* expression is strongly diminished in lateral neocortex (L) of *Lhx2* cKO-E compared to wild-type, coincident with the change from six-layer neocortex to three-layer architecture of ectopic PC; *Ctip2* is expressed in layer 5 of dorsomedial neocortex (DM), layer 2 of ectopic PC (2) and layer 2 of olfactory tubercle (OT-L2). The transition between these patterns in dorsomedial and lateral neocortex is marked with an arrow. (b) In sagittal sections of *Lhx2* cKO-E mice, anterior (A) neocortex exhibits aberrant three-layer cytoarchitecture of ectopic PC, and posterior (P) neocortex resembles six-layer cytoarchitecture observed dorsomedially in coronal sections. In *Lhx2* cKO-E mice, *Satb2* and *Ctip2* exhibit expression patterns appropriate for neocortex posteriorly and for PC anteriorly, coincident with cytoarchitecture change. The transition between these two patterns is marked with an arrow. *Satb2* expression persists in ePC, albeit at reduced levels, but is not expressed in wild-type PC. The ectopic PC is positioned dorsal to rhinal fissure (arrowhead). Scale bars: a: 0.1 mm; b: 0.2 mm.

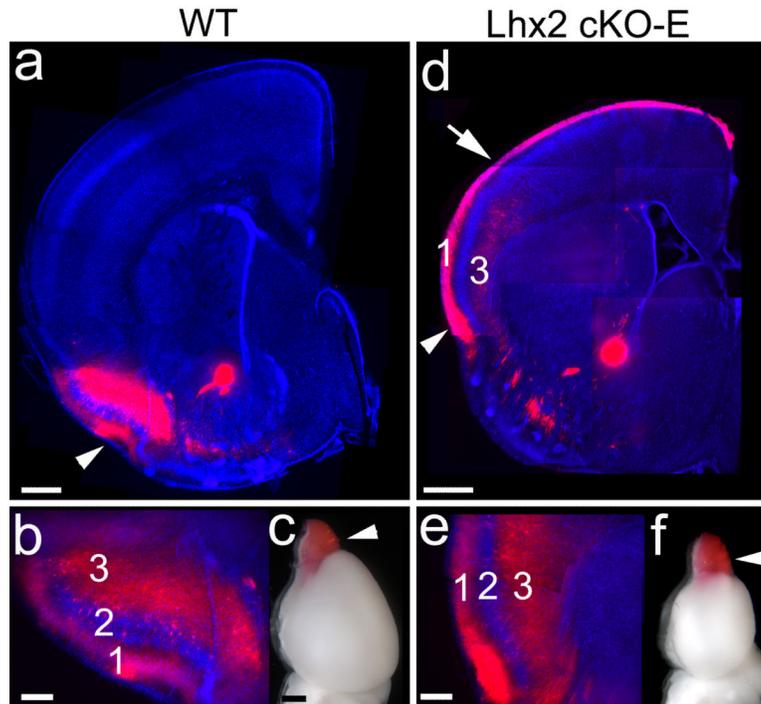


Fig.5. The ectopic piriform cortex in lateral neocortex of *Lhx2* cKO-E mice receives input from olfactory bulb similar to piriform cortex in wild-type
 Coronal sections of P7 wild-type (a,b; *Lhx2^{fl/-}*) and *Lhx2* cKO-E (d,e; *Lhx2^{fl/-};Emx1-Cre*) brains in which the axon tracer DiI (red) was placed in the olfactory bulb (arrowhead in c, f) to label its axonal projection through the lateral olfactory tract to layers 1 and 3 of piriform cortex (PC). Sections are counterstained with DAPI (blue). (a) In wild-type, olfactory bulb axons form the lateral olfactory tract (arrowhead) and project to PC. (b) Higher magnification of the region near the arrowhead in panel a showing the terminations of olfactory bulb axons mainly in layers 1 and 3. (d) In *Lhx2* cKO-E mice, the presumptive lateral olfactory tract (arrowhead) shifts dorsally and the axonal projection from olfactory bulb terminates in the ectopic PC in lateral neocortex (its dorsal border is marked by an arrow). The olfactory bulb projection aberrantly extends through layer 1 of the neocortex, but is restricted to the ectopic PC in layer 3. (e) Higher magnification of the region near the arrowhead in panel d showing the terminations of olfactory bulb axons mainly in layers 1 and 3 in the ectopic PC in *Lhx2* cKO-E mice, as in wild-type. Scale bars: 0.5mm in a and d, 0.2 mm in b and e, and 0.5 mm in c and f.

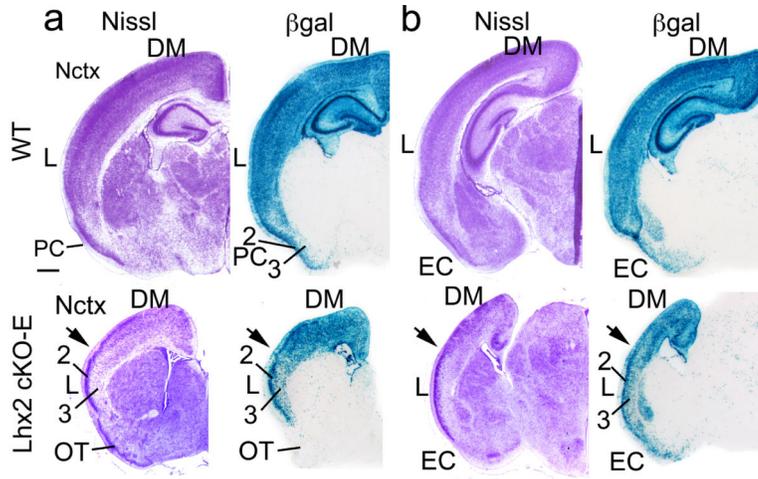


Fig.6. The ectopic piriform cortex located in the lateral neocortex in Lhx2 cKO-E mice is generated by an Emx1 lineage, whereas wild-type piriform cortex is not evident
 (a,b) Nissl and β -gal staining on adjacent coronal sections of P7 wild-type ($Lhx2^{fl/+};Emx1-Cre:R26R$) and Lhx2 cKO-E ($Lhx2^{fl/-};Emx1-Cre:R26R$) brains at two different levels (a, anterior; b, posterior). Blue cells are β -gal labeled and are of the Emx1 lineage; the density of the β -gal labeling patterns parallel the neuronal density revealed by Nissl staining. In wild-type, the entire six-layer neocortex (Nctx) is labeled by β -gal. In three-layer piriform cortex (PC), layer 2 is intensely labeled and layer 3 has scattered labeled cells. In Lhx2 cKO-E mice, the neocortex is also well labeled by β -gal. In dorsomedial neocortex (DM), the six cortical layers are all labeled, and in the ectopic PC in lateral neocortex (L), layer 2 is intensely labeled and layer 3 shows sparse labeling, consistent with the density of neurons shown by Nissl staining and with β -gal labeling in wild-type PC. The transition between the six-layer and three-layer patterns in dorsomedial neocortex and lateral neocortex (i.e. ectopic PC), respectively, is marked with an arrow. Scale bar: 0.5 mm. EC, entorhinal cortex; OT, olfactory tubercle.

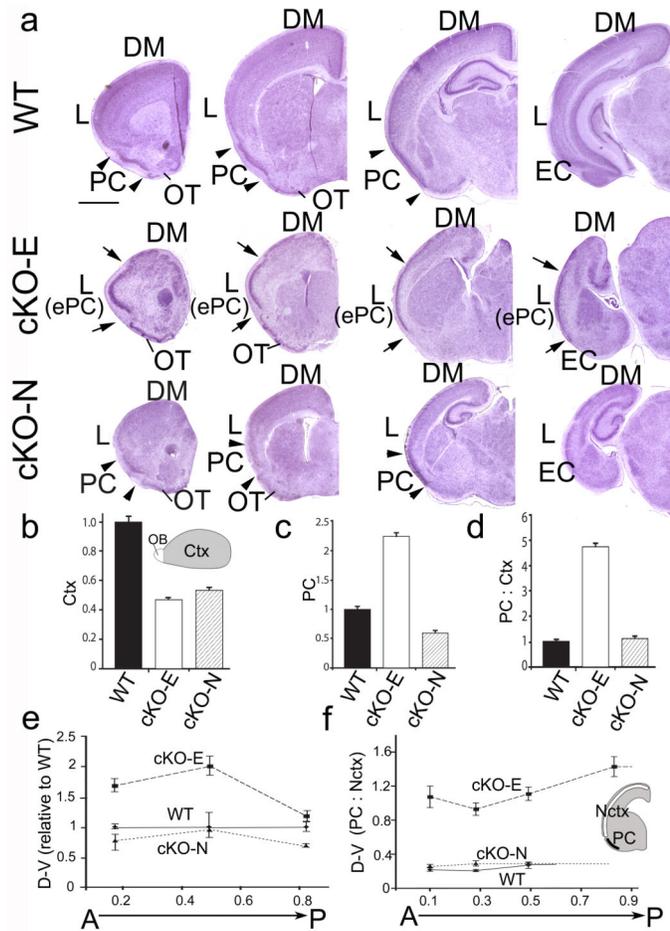


Fig.7. Size and extent of ectopic PC in cKO-E mice and PC in wild-type and cKO-N mice
 (a) Nissl staining of anterior (left) to posterior (right) coronal sections of P7 wild-type (WT) and brains with *Lhx2* deleted by *Emx1-Cre* (cKO-E) or *Nestin-Cre* (cKO-N). PC in wild-type and cKO-N (arrowheads) and ectopic PC (ePC) in cKO-E (arrows) are marked. DM, L: dorsomedial, lateral neocortex. (b-f) Plots of features indicated: cKO data normalized to mean of measured feature in wild-type set as 1. (b) Surface area of cerebral cortex (Ctx). cKO-E (n=4, $P<0.001$, unpaired Student's t-test) and cKO-N areas (n=2, $P<0.001$) are smaller than wild-type (n=8). (c) PC size. cKO-E ePC (n=4) is larger ($P<0.001$) and cKO-N PC (n=3) is smaller ($P<0.001$) than wtPC (n=6). (d) Ratio of PC size to cortical size (PC:Ctx). ePC:Ctx in cKO-E (n=4) is larger ($P<0.001$) than PC:Ctx in wild-type (n=6) and cKO-N (n=3). (e) D-V length of PC at positions along A-P extent of PC. cKO-N PC (n=3) is smaller than wtPC (n=6); cKO-E ePC (n=4) larger than both ($P<0.001$). (f) D-V length of PC relative to neocortex (PC:Nctx) at positions along cortical A-P axis. In wild-type and cKO-N, PC is limited to rostral 60% and 79% of cortical A-P axis; cKO-E ePC is found along entire extent. ePC:Nctx in cKO-E (n=4) is greater than PC:Nctx in wild-type (n=6) or cKO-N (n=3) ($P<0.001$), which are similar. Scale bar: 0.5 mm; error bars=s.e.m.; EC, entorhinal cortex; OB, olfactory bulb; OT, olfactory tubercle.

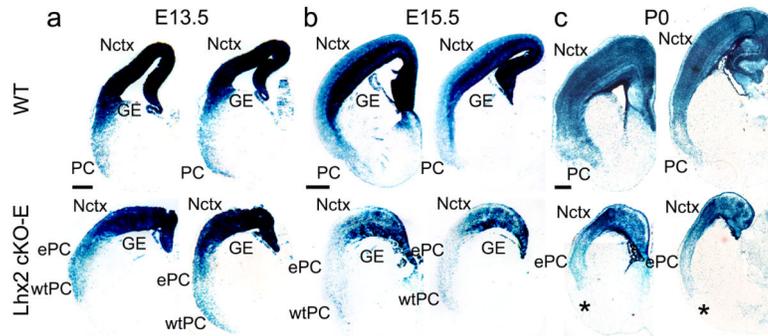


Fig.8. Wild type piriform cortex is generated and forms at appropriate ventral position in Lhx2 cKO-E mice but is subsequently eliminated

β -gal staining on coronal sections of E13.5 (a), E15.5 (b) and P0 (c) wild-type ($Lhx2^{fl/+};Emx1-Cre;R26R$) and Lhx2 cKO-E ($Lhx2^{fl/-};Emx1-Cre;R26R$) brains at two different levels (left, anterior; right, posterior). Blue cells are labeled by β -gal defining the as cells of the *Emx1* lineage that form the cerebral cortex, including neocortex (Nctx) and piriform cortex (PC). (a) At E13.5, in both wild-type and Lhx2 cKO-E mice, the neocortex and PC, as well as other regions of cerebral cortex, have a high density of β -gal labeled neurons. In Lhx2 cKO-E mice, both the ectopic PC (ePC) and the wild-type PC (wtPC) are evident. (b) At E15.5, in wild-type, the distribution and density of β -gal labeled neurons is similar to E13.5. However, in Lhx2 cKO-E, a reduction in the number and density of β -gal labeled neurons is evident in the wtPC, whereas the neocortex, especially the ventricular zone, remains strongly labeled by β -gal. (c) At P0, the wtPC is no longer evident in the Lhx2 cKO-E mice, but remains well labeled in wild-type. The position where the wtPC should be positioned if present in the Lhx2 cKO-E mice is marked by an *; dorsal to this position, the ePC can be identified by the patterned distribution of β -gal labeled cells. Scale bar: 0.2 mm in a; 0.5mm in b and c. GE, ganglionic eminence.