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## CHEMOTHERAPY-INDUCED CARDIOTOXICITY: PATHO-PHYSIOLOGY AND PREVENTION

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#### Abstract

Along with the remarkable progress registered in oncological treatment that led to increased survival of cancer patients, treatment-related comorbidities have also become an issue for these long-term survivors. Of particular interest is the development of cardiotoxic events, which, even when asymptomatic, not only have a negative impact on the patient's cardiac prognosis, but also considerably restrict therapeutic opportunities. The pathophysiology of cytostatic-induced cardiotoxicity implies a series of complex and intricate mechanisms, whose understanding enables the development of preventive and therapeutic strategies. Securing cardiac function is an ongoing challenge for the pharmaceutical industry and the physicians who have to deal currently with these adverse reactions. This review focuses on the main mechanism of cardiac toxicity induced by anticancer drugs and especially on the current strategies applied for preventing and minimizing the cardiac side effects.

**Keywords:** cardiotoxicity, chemotherapy, cardiomyopathy, prevention, cardioprotective drugs.

### Background

Chemotherapy has shown great progress over the past two decades, leading to the gradual increase in the survival of cancer patients [1]. However, along with this benefit, the cardiovascular side effects of modern cytostatics have also proven to be an increasing problem, even years after completion of therapy [2,3]. The development of cardiotoxic events, even when they are asymptomatic, not only has a negative impact on the patient's cardiac prognosis, but it also considerably restricts the therapeutic opportunities. The clinical manifestations of cardiotoxicity (CT) cover a broad spectrum of disorders, ranging from mild transient arrhythmias to potentially lethal conditions such as myocardial ischemia or infarction and cardiomyopathy (CMP).

Considering that cardiac damage may limit optimal anticancer treatment and that several pathological myocardial changes can be irreversible, attention was directed towards elucidating the underlying mechanism

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of cardiotoxicity and the improvement of cardiologic monitoring of neoplastic patients [4-8]. Securing cardiac function is an ongoing challenge for the pharmaceutical industry and the physicians who have to deal currently with these adverse reactions [3,7]. The appropriate management should include better detection of those patients at risk, the development of preventive strategies and the early treatment of cardiotoxicity when it does appear.

# Antineoplastic drugs and cardiotoxicity mechanisms

The most studied chemotherapeutic agents associated with adverse cardiac events are anthracyclines (ANT) (Doxorubicin), used in the treatment of many adult malignancies like breast cancer, sarcoma, lymphoma, or gynecological cancer. They also play an important role in the treatment of childhood cancers, anthracyclines are currently used in more than 50% of regimens contributing to the overall survival rates in excess of 75% [9]. Other cytostatics more frequently correlated with cardiotoxic side effects are taxanes (paclitaxel, docetaxel), alkylating agents (Carboplatin, Cisplatin, Cyclophosphamide), small

molecule tyrosine kinase inhibitors (lapatinib, imatinib, sorafenib, sunitinib) and trastuzumab, a monoclonal antibody directed against the human epidermal growth factor receptor-2 (HER2), used in the treatment of metastatic breast neoplasm.

The mechanisms of doxorubicin cardiotoxicity are necrosis and apoptosis of cardiac myocyte followed by myocardial fibrosis, and, as a result, doxorubicin cardiotoxicity is considered to be irreversible [10-12]. The pathophysiological molecular substrate in CT involves several processes like the formation of iron-dependent oxygen free radicals and subsequent peroxidation of lipids in the membranes of myocardial mitochondria [13], suppression of DNA, RNA and proteins synthesis [4] as well as of important transcription factors that regulate cardiospecific genes [14,15], altering adrenergic and adenylyl cyclase activity [16] and disrupt calcium homeostasis [17]. Recent studies suggest that doxorubicininduced cardiotoxicity is mediated by topoisomerase-II $\beta$  in cardiomyocytes, a molecule that might represent a target for future cardioprotective drugs [18,19].

Inhibition of HER2 (also known as ErbB2) by trastuzumab modifies mitochondrial integrity via the BCL-X (B-cell CLL/lymphoma-X) protein family, depleting ATP and leading to contractile dysfunction [20,21]. HER2 conjugates with HER4/neureguline1 complex forming heterodimers that promote the activation of several signaling pathways, such as Src-FAK (sarcoma-focal adhesion kinase complex), which increases intercellular contact and mechanical junction [22], or phosphatidylinositol 3-kinase and mitogen-activated protein kinase (MAPK), which stimulate the proliferation, survival and contractile function of cardiac myocytes [23]. Experimental studies have shown that HER2, HER4 and neuregulin1 play an essential role in heart development, considering the fact that the development of mouse embryos is impossible if one of them is absent [24].

# Prevention Identifying patients at risk

The first step in developing preventive strategies is identifying various contributing risk factors for the occurrence of adverse cardiac events. The incidence of chemotherapy-induced cardiotoxicity is variable and the patient-related risk factors so far described are: age, female gender, history of or pre-existing cardiovascular disorders, electrolyte imbalances such as hypokalemia and hypomagnesemia, concurrent administration of cardiotoxic agents, prior anthracycline chemotherapy or prior mediastinal radiation therapy [25].

All patients undergoing chemotherapy should have prior careful clinical evaluation and assessment of CV risk factors or comorbidities. Schmidinger et al. [26] have shown that the pre-existing cardiac disease is underestimated in patients with cancer, as the incidence

reported in the study was 9.3%. Many of the cardiovascular risk factors such as hypertension, diabetes, dyslipidemia or electrolyte disturbances can be treated and corrected before the anticancer treatment is started and thereafter closely monitored during therapy [27,28]. Patients with pre-existing cardiac disease or taking drugs that potentially lead to QT prolongation should be evaluated by an advised cardiologist [27].

Regarding imaging techniques, baseline Doppler echocardiography is used in current clinical practice for the assessment of patients treated with anthracyclines, especially those in the high risk group. Echocardiography is also necessary to assess cardiac function in all patients undergoing therapy with trastuzumab, particularly in patients treated previously with anthracyclines [25]. Patients should be reevaluated every 12 weeks. Considerably studied now is the benefit brought by performing baseline measurement of biomarker concentrations (troponin I, B-type natriuretic peptide), but this approach implies larger costs and is still controversial [29].

## Regimens of chemotherapy administration

Therapy-related risk factors for chemotherapy-induced cardiotoxic events include: drug type, total dose administered during a day or a cycle, cumulative dose, administration schedule, route of administration, association with other cardiotoxic drugs or concomitant radiotherapy [25].

Cumulative dose. The risk of anthracycline and other cytostatic induced CT can be decreased by maintaining the cumulative dose below the recommended threshold (Table I).

However, this tactic might result in the discontinuation of anthracycline administration in some patients who might obtain additional benefits from treatment. Furthermore,

**Tabel I.** Recommended maximum cumulative doses for Anthracyclines

400-450 mg/m² for doxorubicin 900 mg/m² for epirubicin 800 mg/m² for daunorubicin 160 mg/m² for idarubicin

the use of lower doses is not totally secure for the heart or effective from an oncological point of view. Even patients receiving low-dose anthracycline can show signs of heart disease [30]. This variation in susceptibility may be partly explained by certain genes polymorphism, but only a few studies have examined this issue and the results are still contradictory.

Administration rate. Lowered toxicity can also be obtained by slowing the rate of drug administration. Prolonged infusion over 48 to 96 hours allows higher dosage with lower incidence of cardiac toxicity, secondary to lower peak plasma levels of doxorubicin with continuous infusion [31]. Lower weekly doses instead of conventional

higher 3-week dose schedules also allow higher cumulative doses before the same degree of cardiotoxicity. Regarding pediatric cancers, in a recent study, Lipshultz et al. [30] found that there was no difference in cardiotoxic manifestations in children with acute lymphoblastic leukemia, treated with anthracyclines administer in bolus versus those treated with continuous infusion over 48 hours.

Of current interest are the short-term chemotherapy regimens that correlate with lesser side effects and better cost-efficiency ratio [3]. The Finland Herceptin trial concluded that a nine weeks breast cancer treatment with trastuzumab in combination with docetaxel and vinorelbine was associated with reduced cardiotoxicity while maintaining antineoplastic efficacy [32]. Although the results seem promising, further studies are needed to address all aspects of this therapeutic approach.

Peak dose. Another influential factor in chemotherapy-induced cardiotoxicity is the peak serum concentration reached during administration. However, in a large meta-analysis by van Dalen et al., patients treated with doxorubicin who experienced peak doses of less than 60mg/m² were compared to those with higher values of up to 81mg/m² [33]. They found no significant difference between the two groups concerning clinically manifested heart failure or in the overall survival of patients. Similarly, Fountzilas et al., reached the same conclusion concerning epirubicin, in a randomized clinical trial on 1086 breast cancer patients treated with epirubicine, administred in two serum peak doses: of 83 mg/m² versus 110 mg/m² [34].

### Synthetic analogues of natural compounds

Anthracycline derivates

In an effort to prevent or reduce CT, extensive research has been dedicated to the identification of anthracycline derivates with less cardiotoxic effects than doxorubicin, such as daunorubicin, epirubicin and idarubicin. Out of these, epirubicin is considered a viable alternative in the treatment of advanced adult breast cancer, associated with decreased risk of clinical and subclinical cardiotoxicity compared with doxorubicin, with no difference in tumor response rate or survival [35,36]. Nevertheless, van Dalen et al. concluded, in the meta-analysis cited above, that they "are not able to favor either epirubicin or doxorubicin when given in the same dose" [33]. Based on the currently available evidence on heart failure, they conclude that, in adults with a solid tumor, pegylated liposomal-encapsulated doxorubicin should be favored over both, doxorubicin and epirubicine. Furthermore, no conclusions can be made about the effects of treatment in children with cancer or in patients diagnosed with leukemia.

Liposomal incapsulated anthracyclines

The liposome-encapsulated anthracyclines were developed to reduce doxorubicin toxicity by modifying its tissue distribution and pharmacokinetics [37]. These are

high density molecules that cannot extravasate through tight capillary junctions, characteristic to heart muscle, but easily penetrate areas of immature vascular systems, such as tumoral tissue [38]. Encapsulating anthracyclines into liposomes has an obvious benefit, proven in various meta-analyses, allowing patients to receive much higher doses of cytostatics, delivered preferentially into the tumor tissue with fewer cardio-vascular side effects [39]. Liposomal doxorubicin has proven to be as effective as the original molecule in antitumoral outcome, but associated with considerably less cardiac and gastrointestinal toxicity [40,41].

Pegylated liposome-encapsulated doxorubicin (PLD) is surrounded by a polyethylene glycol (PEG) layer which represents a hydrophilic protective barrier between the liposome and the microenvironment, thus preventing the activation of the host immune system, that targets and destroys the liposomal structure, leading to the release of the free drug. As previously mentioned, most randomized trials and meta-analyses in adults comparing PLD with the standard molecule, concluded that they are about as effective in antitumoral results, but correlated with less cardiotoxicity [42], with the amendment that this therapy cannot be also extrapolated to pediatric neoplasms [43].

*Trastuzumab-toxin conjugates* 

Although trastuzumab represents a successful treatment in HER2-positive breast cancer, most metastatic neoplasms, showing HER2 overexpression, manage to develop resistance to transtuzumab within one year of treatment initiation, becoming thus ineffective [44]. Developing trastuzumab-conjugated immunotoxins and nano-immunoconjugates is a promising approach to increase trastuzumab antitumoral efficacy and optimise its therapeutic window (gap between the effective dose and the toxic dose) [45]. Phillips et al. have demonstrated that DM1 (fungal toxin derived from maytansine)-conjugated trastuzumab, is associated with enhanced antineoplasic cytotoxic effect but reduced cardiotoxicity [46]. The benefits and tolerability of this trastuzumab conjugate in breast cancer treatment have been confirmed several clinical studies [45].

**Prodrugs** 

Prodrugs represent one of the most frequently biochemical strategies used towards improving the therapeutic index of anticancer drugs. They are derivatives of drugs that remain inactive or less active in their original form, but which are metabolize inside the body to generate active drugs at the site of action. Prodrugs are associated with reduced toxicity, improved specificity and decreased rate of gaining tumor resistance to chemotherapy [47,48]. Several prodrugs have been developed by conjugating chemotherapeutical agents with various carbohydrates, antibodies, serum proteins, liposomes and synthetic polymers [49,50]. These conjugates can be specifically activated only by cancer cells and cannot breach normal

cells

There are certain prodrugs with established clinical success, such as capecitabine, an enzyme activated prodrug, that represents an efficient alternative to 5-fluorouracil for the treatment of early and advanced colorectal cancer, with lesser cardio-vascular side effects [51]. 5 N-(2hydroxypropyl) methacrylamide (HPMA), is one of the most commonly used molecular carriers due to its nonimmunogenic and non-toxic proprieties, and its' prolonged circulating time [52]. Prodrugs including HPMA have been developed and tested in preclinical studies, such as caplostatin [53], P-GDM (polymer-bound geldanamycin), and P-hyd-IgG (IgG-modified HPMA copolymer-bound doxorubicin), and phase I/II clinical studies, such as a of HPMA-Gly-Phe-Leu-Gly-doxorubicin (PK1), oriented poly-galactosamine (HPMA)-doxorubicin (PK2), AP5346 and AP5280 [54]. Of current interest are the fluorescence-active ligands, that allow tracking drug release in vivo, thus "providing significant advances toward deeper understanding and exploration of theranostic drugdelivery systems" [55].

## Cardioprotective drugs

Dexrazoxane

Dexrazoxane (ICRF-187) is the only drug approved by the US Food and Drug Administration for the prevention of cardiac toxicity induced by anthracycline treatment in adults. This drug acts by chelation of iron redox-active molecules, thus preventing the formation of anthracyclineiron complex and subsequent development of reactive oxygen species [56]. Although there is some controversy regarding this drug that it may reduce the antitumoral efficacy of chemotherapeutics, in the meta-analysis by van Dalen et al., the use of dexrazoxane during doxorubicin treatment was associated with a low risk of symptomatic heart failure (hazard ratio 0.18, CI 0.1 to 0.32, P<0.001), and no significant difference in oncological response; the only adverse effect associated with administration of dexrazoxane was an increased risk of leukopenia at nadir, but not during recovery [33]. The use of dexrazoxane implies additional costs and slight uncertainties, so ASCO guidelines state it can be considered for patients with metastatic neoplasm, already treated with a cumulative doses of doxorubicin >300mg/m<sup>2</sup> and may benefit from further anthracycline treatment [57].

The published clinical experience with dexrazoxane in pediatric cancer is limited, longer follow-up time is needed in children to determine whether dexrazoxane has a protective role against the long-term cardiac toxicity that can manifest years after the therapy finishing point and if it correlates with better quality of life and prolonged survival [58].

Statins

Statins are known to have pleiotropic effects that include an ability to reduce oxidative stress. Pre-

clinical experiments using human cell cultures and animal models have showed that lovastatin reduces doxorubicininduced cell death and Top2β mediated DNA damage in cardiomyocytes [59]. In animal studies, lovastatin attenuated troponin I elevation and cardiac fibrosis after exposure to doxorubicin [60] and mice treated with fluvastatin had an attenuation of left ventricular (LV) dysfunction after doxorubicin exposure, postulated to be due to antioxidant and anti-inflammatory mechanisms [61]. This data was confirmed by several small observational clinical studies, that found that uninterrupted statin (atorvastatin) therapy, initiated before and concurrent with anthracycline therapy, reduced the risk for HF development. In a recent large clinical trial, conducted on 628 breast cancer patients treated with transtuzumab, Seicean S. et al. [62] also concluded that the use of statins prior chemotherapy administration, is associated with lowered risk of left ventricular ejection fraction (LVEF) decrease and heart failure development.

**β-blockers** 

β-adrenergic inhibitors are able to diminish mortality in patients with systolic heart failure, and there is currently an increased use of these agents to decrease the range of cardiotoxic effects induced by chemotherapy [63]. The mechanisms behind the protective effect of these agents, especially carvedilol, against ANT-induced CMP are not fully understood. Carvedilol presents antioxidant effects; it induces NO production, inhibits the mitogenic factor and suppress epidermal growth factor through angiotensin 2 receptor type-1 [64]. Recent studies have shown that certain β-blockers (carvedilol, nebivolol, alprenolol) have biased agonism at β1- and/or β2-adrenoreceptors, inhibiting signaling mediated through the traditional G proteincoupled receptors (Ga and GB), while activating the G protein-coupled receptor kinase/β-arrestin pathway and transactivation of epidermal growth factor receptor ErbB1 [65,66]. β-arrestin was proven to have cardioprotective effects under chronic cathecolaminic stimulation, preventing harmful remodeling in HF pathogenesis. Other possible mechanisms include: modifying the activity of the sarcoplasmic reticulum Ca-ATPase (SERCA2) preventing myocardial calcium overload, and the inhibition of the SERCA2 suppressor gene [67,68]. Furthermore, carvedilol treatment was correlated with the inhibition of the apoptotic intracellular signaling pathway [69].

Although physiopathological data suggest the cardioprotective potential of beta-blockers during cytostatic treatment, there are no phase III trials at present, that strongly encourage the routine prophylactic administration of carvedilol for protection against ANT-induced CMP. Limited small randomized placebo-controlled studies concluded that the use of carvedilol or nebivolol at chemotherapy initiation correlates with preserved LVEF and diastolic function [65,66,70,71]. The OVERCOME Trial (preventiOn of left Ventricular dysfunction with Enalapril and caRvedilol in patients

submitted to intensive ChemOtherapy for the treatment of Malignant hEmopathies) [72] proved that the combination of enalapril and carvedilol prevented LVEF decrease in patients with various hematological malignancies, undergoing intensive ANT chemotherapy. Although the treatment was well tolerated, doses had to be permanently adapted to the patient's status. There are also scarce nonrandomized studies which suggests that this dual therapy is also beneficial for LVEF recovery in patients receiving trastuzumab [73,74]. However, further large randomized placebo-controlled trials are needed to define the benefits and drawbacks of this cardioprotective therapy, the optimal timing of treatment initiation and the selection of patients who will most likely benefit from using them. There are a number of ongoing studies investigating the opportunity of using β-blockers in the prevention of cardiotoxicity induced by transtuzumab including multidisciplinary approach to new therapies in Cardiology Oncology Research Trial and NCT0100818 [75].

Angiotensine converting-enzyme (ACE) Inhibitor Therapy (ACEIs)

The concept that ACE inhibitors may prevent heart failure in patients at risk is not an innovative one. Data resulted from experimental models suggest that the cardiac renin-angiotensin system (RAS) plays an important role in the development of anthracycline-induced cardiomyopathy and that treatment with ACEIs protects against chemotherapy-induced cardiotoxicity [76-78]. ACE inhibitors have antioxidant effects [79,80], attenuate aldosterone-induced cardiac fibrosis and reduce apoptosis of cardiac cells [81], they also mediate changes in gene expression that affect mitochondrial metabolism and cardiac cell functioning [82].

Several clinical studies, although limited, reported favorable results in using ACE inhibitors, like enalapril or captopril, in the prevention and treatment of anthracyclineinduced cardiomyopathy. Cardinale et al. [21] conducted a placebo-controlled randomized trial focused on the effect of enalapril in monotherapy, that included 114 patients who experienced early troponin elevation (>0.07 ng/ml) after high-dose chemotherapy. Enalapril was started at a dose of 2.5 mg daily and gradually increased to 20 mg once daily. It managed to significantly reduce cardiomyopathy due to cytostatic treatment, none of the subjects receiving ACEIs, but 43% of the subjects in the control group presenting a decrease in LVEF of >10%. The OVERCOME trial [72] included patients with normal LVEF and normal troponin levels at the time of ANT initiation, the intervention group had a lower incidence of premature interruption study follow-up (6.7% vs. 24.4%, respectively, p=0.02), due to death or heart failure (6.7% vs. 22.2%, respectively, p=0.036), or a final LVEF of <45% (6.7% vs. 24.4%, respectively, p=0.02).

Nakame et al. (2005) [83] meant to characterize acute CHOP (cyclophosphamide, doxorubicin, vincristine,

and prednisolone) induced cardiotoxicity in patients with Non-Hodgkins Lymphoma, using echocardiography, ECG and serum cardiac markers, in order to assess if valsartan (an angiotensin II type 1 receptor blocker) can have a preventive role in its' occurrence. They demonstrated that patients treated with valsartan did not present more frequently preserved LVEF, but it significantly inhibited ventricular dilatation, elevation of natriuretic peptides, and prolongation of the QTc interval. Whether angiotensin receptor blockers are useful in preventing trastuzumab cardiotoxicity is debatable, but this is an area of current active investigation [75].

Neuregulin-1 (NRG-1)

Neuregulin-1 is an agonist for tyrosine kinases receptor of the epidermal growth factor receptor family, that plays an important role in cardiac myocytes homeostasis. It has previously been shown that doxorubicin significantly reduces NRG-1 protein expression in the heart [84]. Although human recombined NRG-1 is capable of improving cardiac function in patients suffering from congestive heart failure, demonstrating a beneficial effect on pathological remodeling, and shown to improve cardiac function and survival in animal models of doxorubicin induced cardiomyopathy [85], its' use in oncological pathology is controversial due to NRG-1 capacity of stimulating tumor cell proliferation. Cardioprotective therapy with human recombined NRG-1 is promising and current research studies are focused on the development of molecules with selective tropism for cardiac cells.

#### **Conclusions**

The extensive use of chemotherapy in clinical practice has led to considerable controversy because of the potential adverse cardiovascular effects it may involve, even for patients who survived the malignant disease. Chemotherapy-induced cardiotoxicity include a combination of mechanisms which influence several intracellular signaling cascades, critical to both cancer progression and the normal functioning of the heart. Operative therapies aimed at the prevention and treatment of chemotherapy-induced cardiotoxicity are focused on tissue type specific differences, or act on downstream mediators of toxicity. Other current therapies considered for cardioprotection include typical cardio-vascular drugs, which uphold increased cardiac reserve and reverse myocardial remodeling. Larger future studies are necessary to reach a point of secure cytostatic therapy, improved patient survival and quality of life.

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