

RESEARCH PAPER



Gut microbiota as prognosis markers for patients with HBV-related acute-on-chronic liver failure

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ABSTRACT

The gut microbiota in the hepatitis B virus related acute-on-chronic liver failure (HBV-ACLF) is poorly defined. We aim to uncover the characteristics of the gut microbiota in HBV-ACLF and in other HBV associated pathologies. We analyzed the gut microbiome in patients with HBV-ACLF or other HBV associated pathologies and healthy individuals by 16S rRNA sequencing and metagenomic sequencing of fecal samples. 212 patients with HBV-ACLF, 252 with chronic hepatitis B (CHB), 162 with HBV-associated cirrhosis (HBV-LC) and 877 healthy individuals were recruited for the study. CHB and HBV-LC patients are grouped as HBV-Other. We discovered striking differences in the microbiome diversity between the HBV-ACLF, HBV-Other and healthy groups using 16S rRNA sequencing. The ratio of cocci to bacilli was significantly elevated in the HBV-ACLF group compared with healthy group. Further analysis within the HBV-ACLF group identified 52 genera showing distinct richness within the group where *Enterococcus* was enriched in the progression group whilst *Faecalibacterium* was enriched in the regression group. Metagenomic sequencing validated these findings and further uncovered an enrichment of *Lactobacillus casei paracasei* in progression group, while *Alistipes senegalensis*, *Faecalibacterium prausnitzii* and *Parabacteroides merdae* dominated the regression group. Importantly, our analysis revealed that there was a rapid increase of *Enterococcus faecium* during the progression of HBV-ACLF. The gut microbiota displayed distinct composition at different phases of HBV-ACLF. High abundance of *Enterococcus* is associated with progression while that of *Faecalibacterium* is associated with regression of HBV-ACLF. Therefore, the microbiota features hold promising potential as prognostic markers for HBV-ACLF.

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Background

Acute-on-chronic liver failure (ACLF) is a common type of end-stage liver disease characterized by rapid deterioration of underlying chronic liver disease with organ failures and high mortality.¹ Hepatitis B Virus (HBV) is a human hepadnavirus that causes acute and chronic hepatitis and hepatocellular carcinoma. ACLF occurs in about 30% of HBV-related cirrhosis patients with acute decompensation.^{2,3} The short-term prognosis of HBV-associated ACLF (HBV-ACLF) is poor, with 28-day mortality ranging from 40% to 50%.²⁻⁴

Gut microbiota is the collection of microorganisms that inhabit in the gastrointestinal tract,⁵ with an estimated number of gut microorganisms of over 10¹⁴.⁶ Gut microbiota has a complicated and mutually beneficial relationship with the host,⁷ and plays an important role in the metabolism, nutrition, pathological processes and immune function of the host.^{8,9} Human gut microbiota composition is affected by multiple factors such as age, nutrition, ethnicity, disease, and medication intake.¹⁰⁻¹² Intestinal microbes can produce short-chain fatty acids to improve the energy metabolism of the colon cells.¹³ Some short-chain fatty acids have anti-

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inflammatory effects.¹⁴ Changes in the composition of the gut microbiota have been linked to several clinical conditions, such as obesity, nonalcoholic fatty liver disease, allergic diseases, gastrointestinal diseases, autoimmune diseases and cancers.^{15,16}

Growing evidences suggest that gut microbiota plays a crucial role in the induction and the progression of liver diseases.^{17,18} Bacteria and bacterial components from the gut microflora have been associated with systemic inflammation and severe liver diseases.^{19,20} Translocation of gut microbe or their microbial products can induce inflammation, liver cell apoptosis and progression of liver failure,¹⁸ chronic liver disease²¹ and intestinal dysfunction in liver cirrhosis.^{22,23} Chen *et al* shown that changes in the microbiota composition are correlated with liver disease severity in non-viral ACLF patients.¹⁷

In clinical practice, intestinal microecological modulators are commonly used for the treatment of HBV-ACLF, especially for those with abdominal pain, diarrhea, hepatic encephalopathy and suspicious abdominal infection.^{24–26} However, the therapeutic efficacy varies considerably likely due to the differences in gut microbiota composition. This study aims to define the composition of the gut microbiota in HBV-ACLF patients and other HBV-associated pathologies including chronic hepatitis B (CHB) and HBV-associated cirrhosis (HBV-LC) and healthy individuals to uncover their relationships to disease progression and potential as prognosis markers.

Results

Distinct gut microbiota distribution and genera in HBV-ACLF

To uncover the microbiota distribution and genera in HBV-ACLF, HBV-Other and healthy groups, fecal samples were performed 16S rRNA sequencing and Shannon indexes calculated. The diversities of microbiome were significantly different between HBV-Other, HBV-ACLF and healthy group (Figure 1(a)). The overall gut microbiota distribution in each group was visualized using a t-distributed stochastic neighbor embedding (t-SNE) visualization and

further demonstrated distinct microbiota distribution between groups, especially between the healthy and liver disease groups (Figure 1(b)).

To identify the predominant gut microbiota in HBV-ACLF, LefSe analysis was performed. The results showed that there were a number of different genera of gut microbiota between the healthy and the liver disease groups, and a trend could be observed that the HBV-ACLF had more *Enterococcus* relative richness than the healthy group (Figure 1(c)). Clinically, *cocci* to *bacilli* ratio is a common parameter used to inform the status of gut microbiota and the choice of antibiotics, therefore are often tested for patients with ACLF or abdominal and intestinal infections.^{27,28} We found that the ratio of *cocci* to *bacilli* richness was significantly different among the three groups where HBV-ACLF group exhibited the highest ratio (Figure 1(d)), suggesting that the balance of gut microbiota in these patients was severely disrupted.

Establishing a microbiota classification model for the healthy, HBV-other and the HBV-ACLF group

A classification model for the healthy, HBV-Other and the HBV-ACLF group was established by Random Forest classifier. The classification model included 18 most important taxa of the 3 groups (Figure 2(a)), with an area under curve (AUC) value of 0.89. In addition, the decomposition visualization (Figure 2(b)) demonstrated that the 18 selected taxa could be well distinguished among the 3 groups, suggesting the model was validly established.

Correlation between clinical/demographic variable and gut microbiota

To investigate the correlation between each clinical/demographic variable and gut microbiota among the 3 groups, adonis analysis was performed. The analysis showed that with the exception of sex, AST, HBsAg and HBeAb, all the other clinical/demographic variables were significantly associated with gut microbiota differences among the 3 groups ($P < .05$, Table 1), which were consistent with previous reports.^{27–30}

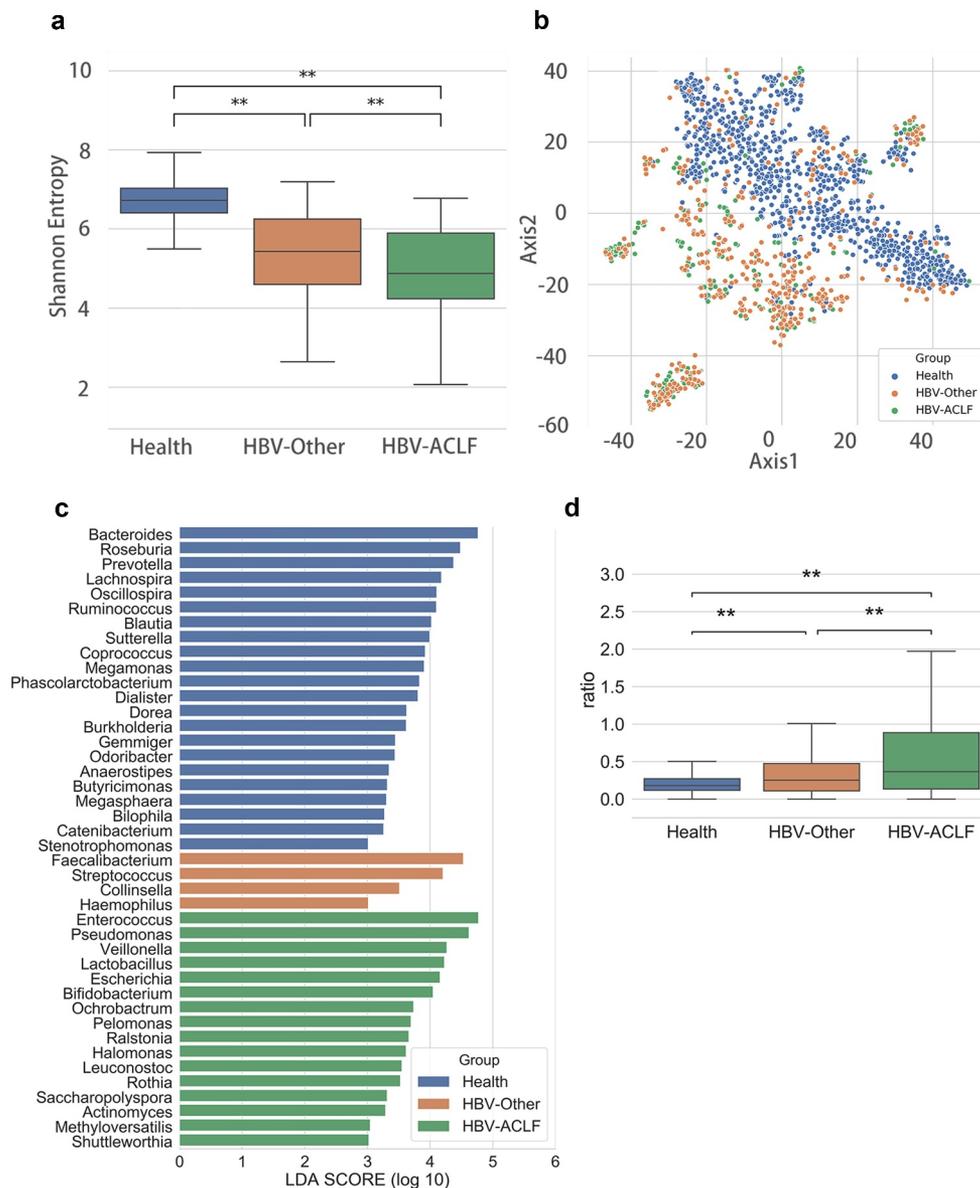


Figure 1. Gut microbiota distribution among groups. (a) Alpha diversity analysis ($P = 3.57E-06$). (b) A t-distributed stochastic neighbor embedding (t-SNE) visualization ($P = .001$). (c) Lef Se analysis showed predominant gut microbiota. (d) The ratio of cocci to bacilli was compared among the three groups. ** $P < .01$.

Gut microbiota taxa difference between the progression and regression groups

To investigate whether gut microbiota differs within the HBV-ACLF group, we sub-assigned the group into progression group (disease progression at discharge; $n = 47$) and regression group (improved outcomes at discharge; $n = 165$) according to the Model for End-Stage Liver Disease (MELD) score at discharge. Fifty-two genera with different community richness between the HBV-ACLF progression and

regression groups were identified with the most abundant genera ($p < .005$) listed in Table 2 and Supplemental Table 1 ($p < .05$). *Enterococcus* and *Faecalibacterium* showed the highest richness within the 52 genera, highlighting the importance of these two genera in ALCF which may contribute to disease progression. The relative abundance of *Enterococcus* was significantly elevated in the progression group, and that of *Faecalibacterium* was significantly elevated in the regression group (Figure 3).

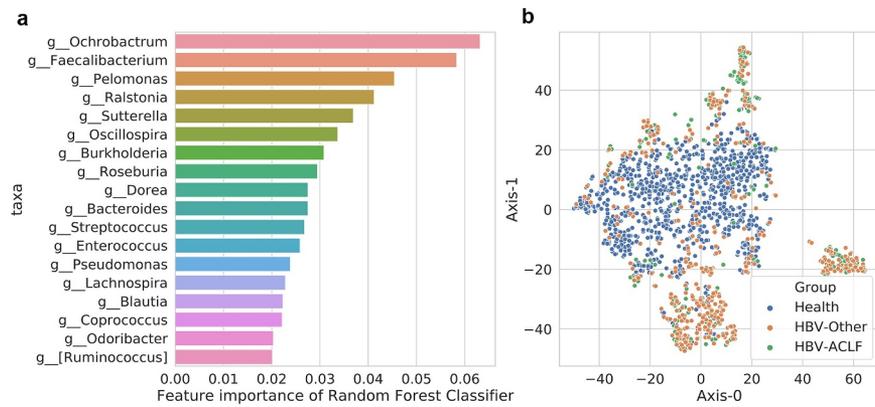


Figure 2. A classification model for the healthy, HBV-Other and the HBV-ACLF group. (a) 18 most important taxa in the classification model among the 3 groups. (b) The decomposition visualization of the 18 most important taxa among the 3 groups.

Table 1. The correlation between clinical/demographic variables and gut microbiota differences among the three groups (CHB, HBV-LC and HBV-ACLF).

	Df	SumsOfSqs	MeanSqs	F.Model	R2	Pr(>F)
Age	1	1.459766149	1.459766149	5.22140839	0.008298205	0.001
TBA	1	3.364170616	3.364170616	12.16604397	0.019124007	0.001
PTA	1	8.600805868	8.600805868	32.07708526	0.048892251	0.001
PT	1	7.167123276	7.167123276	26.50300163	0.04074232	0.001
PLT	1	3.344729762	3.344729762	12.09437623	0.019013493	0.001
PCT	1	1.543559188	1.543559188	5.523779204	0.008774536	0.001
Outcome	2	3.585930435	1.792965218	6.481942928	0.020384626	0.001
NEUT%	1	3.435707917	3.435707917	12.42990175	0.019530669	0.001
MELD	1	9.680716122	9.680716122	36.33920762	0.055031122	0.001
INR	1	5.167285927	5.167285927	18.88408972	0.029374019	0.001
HBVDNA	1	1.082469872	1.082469872	3.863509143	0.006153422	0.001
TBIL	1	7.74027109	7.74027109	28.71996817	0.044000444	0.001
HBeAg	1	1.456026354	1.456026354	5.207919951	0.008276946	0.001
Group	2	8.376822829	4.188411415	15.5749815	0.047618994	0.001
DBIL	1	7.163834858	7.163834858	26.4903253	0.040723627	0.001
Complication	1	3.570734363	3.570734363	12.92852946	0.020298242	0.001
Antivirus	1	1.096785675	1.096785675	3.914925116	0.006234802	0.001
Antibiotic	1	6.903971794	6.903971794	25.49015429	0.039246406	0.001
ALP	1	1.323366071	1.323366071	4.729823572	0.007522824	0.001
ALB	1	2.46569817	2.46569817	8.870656381	0.014016539	0.001
WBC	1	1.604221305	1.604221305	5.74286249	0.009119377	0.001
ALT	1	0.98850852	0.98850852	3.526250807	0.005619288	0.002
GGT	1	0.845819216	0.845819216	3.014784073	0.004808155	0.004
HBCAb	1	0.594534839	0.594534839	2.11608473	0.0033797	0.023
AST	1	0.408767313	0.408767313	1.453355878	0.002323684	0.124
Sex	1	0.362155181	0.362155181	1.287286413	0.002058712	0.247
HBsAg	1	0.302837012	0.302837012	1.076075424	0.001721511	0.338
HBeAb	1	0.202134312	0.202134312	0.71783533	0.001149055	0.669

TBA, total bile acid; PTA, prothrombin time activity percentage; PT, prothrombin time; PLT, platelet; PCT, procalcitonin; NEUT%, neutrophil percentage; MELD, the model for end-stage liver disease; INR, international normalized ratio; TBIL, total bilirubin; HBeAg, hepatitis B e antigen; DBIL, direct bilirubin; ALP, alkaline phosphatase; ALB, albumin; WBC, white blood cell count; ALT, alanine transaminase; GGT, γ -glutamyl transpeptidase; HBCAb, hepatitis B core antibody; AST, aspartate aminotransferase; HBsAg, Hepatitis B surface antigen; HBeAb, hepatitis B e antibody.

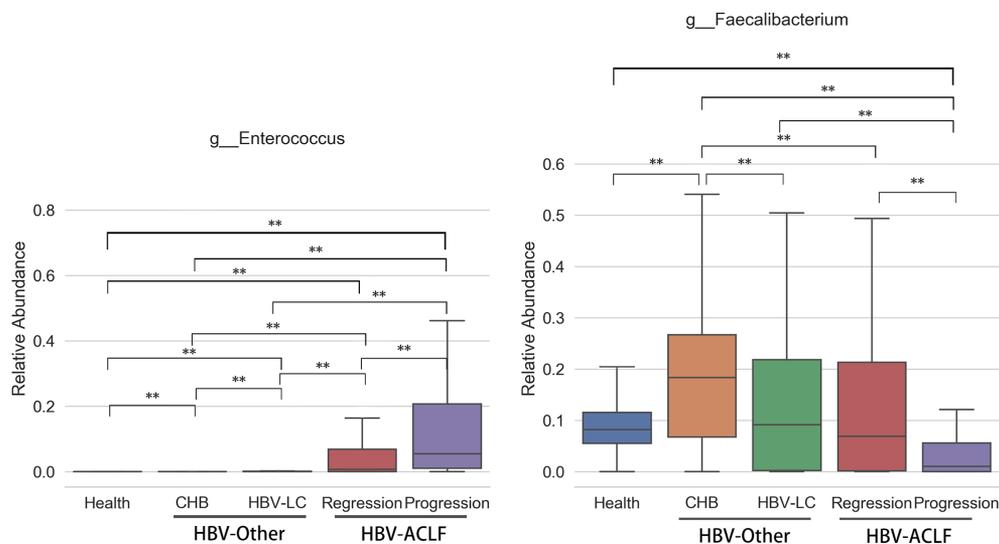
Gut microbiota genera associated with blood biochemical indicators

To investigate whether there is a link between the gut microbiota and clinical parameters, we evaluate the association between different genera and blood biochemical indicators in all groups. The blood biochemical indicators were divided into three

categories according to their clinical relevance as follows: Liver inflammation – alanine aminotransferase (ALT) and aspartate aminotransferase (AST); Liver disease severity – total bilirubin (TBIL), international normalized ratio (coagulation function) (INR) and end-stage liver disease model (MELD); Degree of infection – white blood cell

Table 2. The nine genera with different community richness between the HBV-ACLF progression and regression subgroups.

Taxa	p-value	Mean_richness of Progression subgroup	Mean_richness of Regression subgroup
k__Bacteria;p__Firmicutes;c__Bacilli;o__Lactobacillales;f__Enterococcaceae;g__Enterococcus	0.000257	0.166797	0.0907
k__Bacteria;p__Firmicutes;c__Bacilli;o__Lactobacillales;f__Lactobacillaceae;g__Pediococcus	0.000764	0.001148	0.000189
k__Bacteria;p__Proteobacteria;c__Betaproteobacteria;o__Burkholderiales;f__Oxalobacteraceae;g__Janthinobacterium	0.000882	0.00013	1.57E-05
k__Bacteria;p__Firmicutes;c__Clostridia;o__Clostridiales;f__Ruminococcaceae;g__Faecalibacterium	0.001366	0.058289	0.124294
k__Bacteria;p__Proteobacteria;c__Epsilonproteobacteria;o__Campylobacteriales;f__Campylobacteraceae;g__Campylobacter	0.001544	0.000467	0.000213
k__Bacteria;p__Firmicutes;c__Clostridia;o__Clostridiales;f__Lachnospiraceae;g__Clostridium	0.001763	0.001666	0.010363
k__Bacteria;p__Firmicutes;c__Clostridia;o__Clostridiales;f__Veillonellaceae;g__Phascolarctobacterium	0.001803	0.005291	0.008266
k__Bacteria;p__Proteobacteria;c__Alphaproteobacteria;o__Caulobacteriales;f__Caulobacteraceae;g__Phenylobacterium	0.004049	2.85E-05	0
k__Bacteria;p__Proteobacteria;c__Betaproteobacteria;o__Neisseriales;f__Neisseriaceae;g__Eikenella	0.004049	7.99E-05	0

**Figure 3.** The abundance of the genera with the highest richness *Enterococcus* and *Faecalibacterium*. The relative abundance of *Enterococcus* was significantly elevated in the progression group, and that of *Faecalibacterium* was significantly elevated in the regression group.

count (WBC), neutrophil percentage (NEUT%) and procalcitonin (PCT).

The gut microbiota genera associated with each blood biochemical indicator were identified using a Random Forest regressor via microbe's Mean Decrease Gini. We trained several models using microbiota richness to predict their clinical relevance. By comparing the feature importance of the trained Regressor, we detected the common bacteria that *Filifactor*, *Rikenellaceae*, *Clostridium*, *Bilophila* and *Comamonas* were associated with ALT and AST (Figure 4(a)); *Enterococcus*, *Enterococcaceae* and *Abiotrophia* were associated with TBIL, INR and MELD (Figure 4(b)); and

Enterococcus and *Streptococcus* were associated with WBC, NEUT% and PCT (Figure 4(c)).

Metagenomic sequencing between the progression and regression group in HBV-ACLF patients

The results of genus *Enterococcus* and *Faecalibacterium* by 16S rRNA sequencing were validated by the metagenomic sequencing (Figure 5(a)) where the richness of *Enterococcus* was higher in the progression group than in the regression group, and the richness of *Faecalibacterium* was higher in the regression group than in the progression group. The results of these two genus

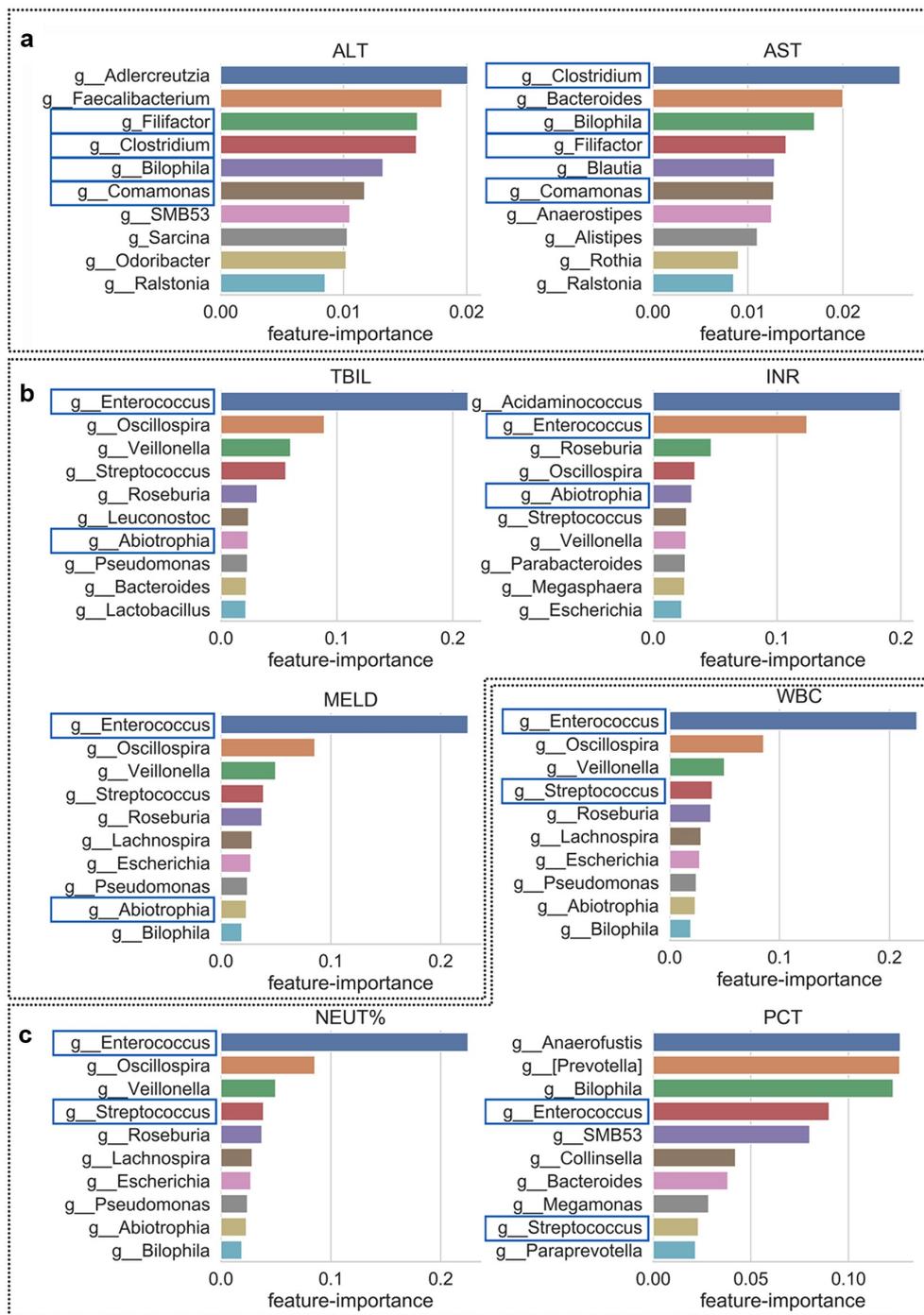


Figure 4. Correlation between the gut microbiota and clinical indicators. The common genera associated with ALT/AST (relevant to liver inflammation), TBIL/INR/MELD (relevant to liver disease severity) and WBC/NEUT%/PCT (relevant to the degree of infection), respectively. Blue square represents the common genera selected by the trained Regressor that with clinical relevant.

(*Enterococcus* and *Faecalibacterium*) were verified by cross validation and confirmed to be consistent in the discovery and the validation subsets: *Enterococcus* in the discovery subset (mean abundance = 0.074596 in regression group, mean

abundance = 0.160055 in progression group, $P = .013$); in the validation subset (mean abundance = 0.100647 in regression group, mean abundance = 0.172242 in progression group, $P = .002674$). *Faecalibacterium* in the discovery

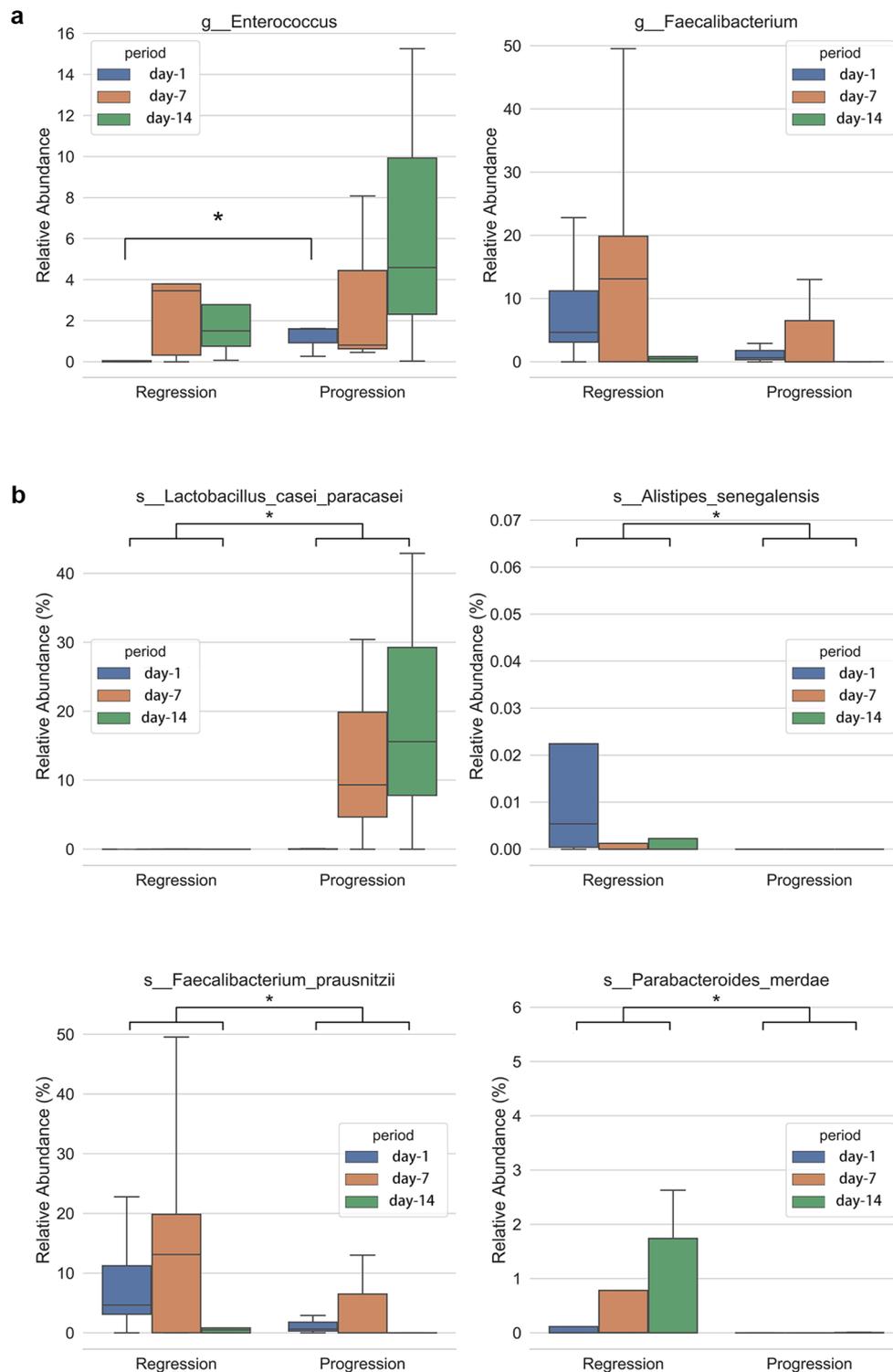


Figure 5. Difference of gut microbiota between the progression and regression groups. Relative abundance of genus at day-1 (fecal sample collected at day 1 after admission), day 7 and day 14 between the progression and regression group of HBV-ACLF. (a) Enterococcus and Faecalibacterium, and (b) species Lactobacillus casei paracasei, Alistipes senegalensis, Faecalibacterium prausnitzii and Parabacteroides merdae. * $P < .05$.

subset (mean abundance = 0.147268 in regression group, mean abundance = 0.084926 in progression group, $P = .026281$); in the validation subset (mean

abundance = 0.110104 in regression group, mean abundance = 0.036775 in progression group, $P = .006326$).

The time series samples of HBV-ACLF patients

The dynamic change of gut bacteria in patients with liver failure is an important indicator to predict the optimal time to introduce therapeutic interventions and to adjust follow-up treatments. We performed the time series samples analysis on day 1, 7 and 14 upon patient admission by metagenomic sequencing and the dynamic changes of MELD score of these patients within 14 days were shown in Supplemental Table 2. The results showed that the richness of *Lactobacillus casei paracasei* was significantly higher in the progression group compared with the regression group ($P < .05$); while the richness of *Alistipes senegalensis*, *Faecalibacterium prausnitzii* and *Parabacteroides merdae* were significantly higher in the regression group ($P < .05$, Figure 5(b)). The results of *Faecalibacterium prausnitzii* were consistent with the 16sRNA sequencing results that genus *Faecalibacterium* was higher in the regression group. Further analysis revealed that the regression group had a small increase in the richness of *Enterococcus faecium*, while the progression group had a marked increase in the richness of *Enterococcus faecium* during the period of 14 days. Importantly, the richness of *Enterococcus* was significantly higher in the progression group than the regression group in day 1 (Figure 5(a)).

Bayes network analysis to identify the key species of gut microbiota differences

Finally, the key species of gut microbiota which were different between the progression group and the regression group were identified using Bayes network analysis. We used “degree” value to express the importance of this bacterium. As shown in Tables 3, 7 species (*Streptococcus vestibularis*, *Peptostreptococcus unclassified*, *Scardovia unclassified*, *Prevotella salivae*, *Prevotella histicola*, *Actinomyces odontolyticus*, *Streptococcus parasanguinis*) were enriched in the regression group while three species (*Ruminococcus obeum*, *Dorea longicatena*, *Clostridium citroniae*) were enriched in the progression group. These results were further validated by qPCR (Figure 6). Consistently, the progression group of HBV-ACLF exhibited significantly abundant *Enterococcus faecium* and *Lactobacillus casei paracasei*, while the regression

Table 3. Bayes network analysis to identify the key species responsible for gut microbiota differences.

Regression	Degree
k__Bacteria p__Firmicutes c__Bacilli o__Lactobacillales f__Streptococcaceae g__Streptococcus s__Streptococcus_vestibularis	35
k__Bacteria p__Firmicutes c__Clostridia o__Clostridiales f__Peptostreptococcaceae g__Peptostreptococcus s__Peptostreptococcus_unclassified	31
k__Bacteria p__Actinobacteria c__Actinobacteria o__Bifidobacteriales f__Bifidobacteriaceae g__Scardovia s__Scardovia_unclassified	30
k__Bacteria p__Bacteroidetes c__Bacteroidia o__Bacteroidales f__Prevotellaceae g__Prevotella s__Prevotella_salivae	24
k__Bacteria p__Bacteroidetes c__Bacteroidia o__Bacteroidales f__Prevotellaceae g__Prevotella s__Prevotella_histicola	17
k__Bacteria p__Actinobacteria c__Actinobacteria o__Actinomycetales f__Actinomycetaceae g__Actinomyces s__Actinomyces_odontolyticus	12
k__Bacteria p__Firmicutes c__Bacilli o__Lactobacillales f__Streptococcaceae g__Streptococcus s__Streptococcus_parasanguinis	11
Progression	Degree
k__Bacteria p__Firmicutes c__Clostridia o__Clostridiales f__Lachnospiraceae g__Blautia s__Ruminococcus_obeam	53
k__Bacteria p__Firmicutes c__Clostridia o__Clostridiales f__Lachnospiraceae g__Dorea s__Dorea_longicatena	53
k__Bacteria p__Firmicutes c__Clostridia o__Clostridiales f__Clostridiaceae g__Clostridium s__Clostridium_citroniae	51

“Degree” refers to the number of adjacent nodes of each node in the network, which refers to the number of potential microorganisms interacting with specific microorganisms.

group presented significantly abundant *Faecalibacterium prausnitzii*, *Clostridium citroniae* and *Dorea longicatena*.

Discussion

In this study, we investigated the gut microbiota in patients with HBV-ACLF, HBV-Other (CHB, HBV-LC) and healthy individuals and our analysis demonstrated a significant difference in microbiota diversity among the HBV-ACLF, HBV-Other and healthy groups. The ratio of cocci to bacilli was significantly elevated in the HBV-ACLF group compared with the healthy group. We further identified 52 genera with different richness in the HBV-ACLF progression and regression groups. The progression group showed a high relative abundance of *Enterococcus*, while the regression group presented a high relative abundance *Faecalibacterium*. Further, metagenomic sequencing showed that the richness of *Lactobacillus casei paracasei* was significantly higher in the progression group than in the regression group, while *Alistipes senegalensis*, *Faecalibacterium prausnitzii*, and *Parabacteroides merdae* showed a significantly higher richness in the regression group than in the progression group.

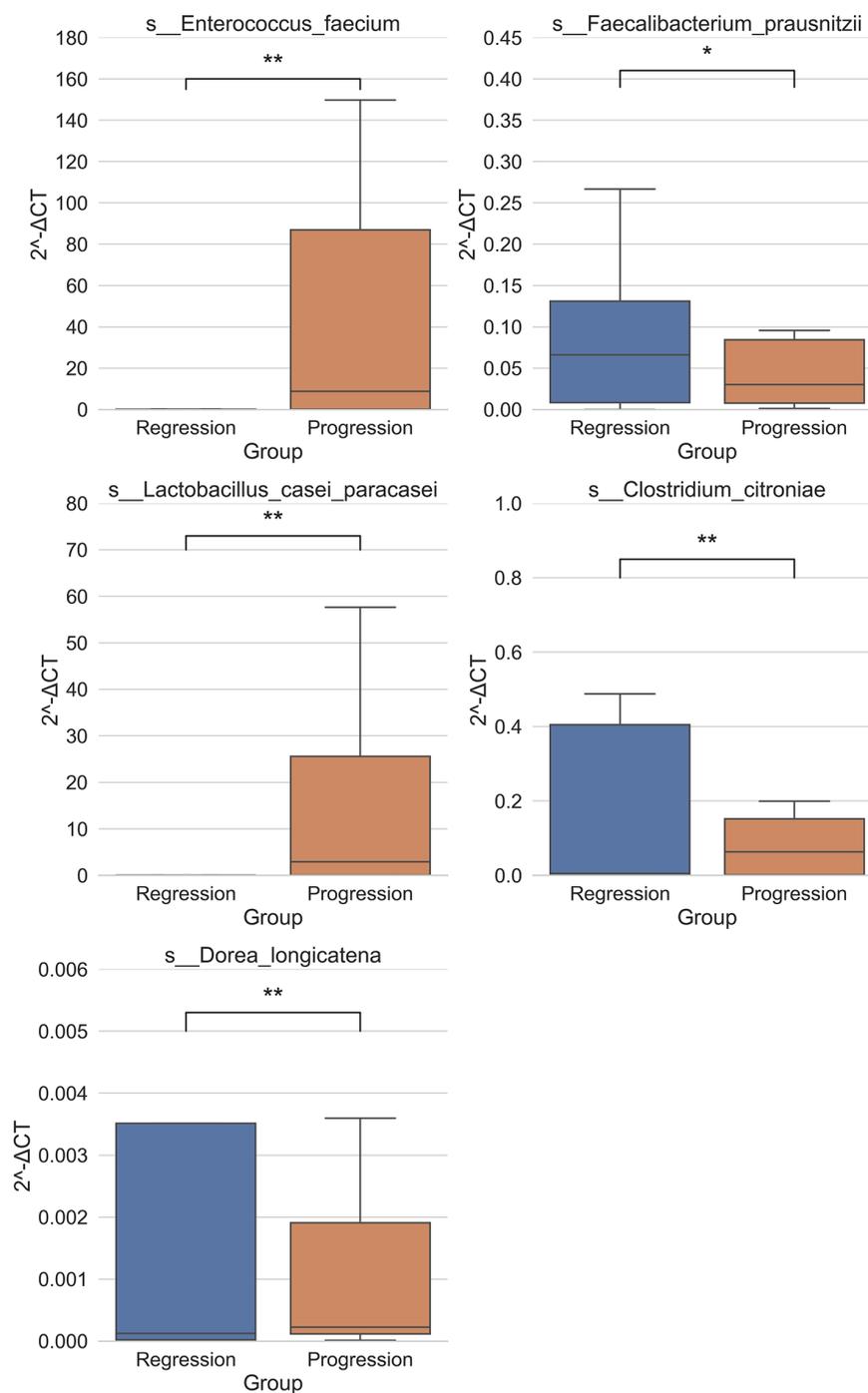


Figure 6. The key species of different gut microbiota were further validated by qPCR. qPCR validation of the relative abundance of *Enterococcus faecium*, *Faecalibacterium prausnitzii*, *Lactobacillus casei paracasei*, *Clostridium citroniae* and *Dorea longicatena* between progression and regression group of HBV-ACLF. **P < .01.

Further analysis revealed that *Enterococcus faecium* exhibited a rapid increase during the disease progression of HBV-ACLF. Taking together, these findings highlighted an important role for the composition of gut microbiota in the progression of HBV-ACLF which has important clinical implications.

Consistent with a previous report,¹⁷ our results demonstrated that gut microbiota diversity and richness were different among the HBV-ACLF group, HBV-Other group and the healthy group. Adonis analysis showed that multiple clinical/demographic variables may contribute to the differences of gut microbiota among the 3 groups,

suggesting that the composition of gut microbiota was affected by multiple factors. Nevertheless, how individual factors contribute to the composition of the gut microbiota warrant further investigation.

Enterococcus is an intestinal symbiotic bacterium in healthy individuals and is emerging as an infectious drug-resistant pathogen.³¹ It has been shown that the levels of *Enterococcus* were elevated in CHB and liver cirrhosis patients.³² Our 16S rRNA sequencing showed that the ACLF progression group had a higher relative abundance of *Enterococcus* than the regression group, indicating *Enterococcus* may contribute to the progression of HBV-ACLF. Moreover, in the dynamic series of samples analysis, it was found that a small increase in the richness of *Enterococcus faecium* was associated with the regression of HBV-ACLF, while a marked increase was associated with the progression of HBV-ACLF, supporting a crucial role for *Enterococcus* richness in the disease progression of HBV-ACLF.

Faecalibacterium prausnitzii accounts for approximately 5% of total fecal microbiota in healthy adults.³³ *Faecalibacterium prausnitzii* depletion has been associated with several intestinal disorders including inflammatory bowel diseases,³⁴ chronic intestinal inflammatory disorder,³⁵ and colorectal cancer.³⁶ Lu *et al.* showed that the abundance of *Faecalibacterium prausnitzii* decreased in HBV-LC patients.³⁷ Our metagenomic sequencing showed that the abundance of *Faecalibacterium prausnitzii* was significantly increased in the regression group of HBV-ACLF patients, indicating that *Faecalibacterium prausnitzii* may be a beneficial factor of HBV-ACLF. Consistently, *Enterococcus* was increased in the progression group and *Faecalibacterium* was increased in the regression group, confirming the data generated by 16S rRNA sequencing and metagenomic sequencing were highly convincing.

Clinically, the *cocci* to *bacilli* ratio of the fecal sample is often tested in HBV-ACLF patients. Most of the them showed the imbalance of *cocci* and *bacilli*, suggesting intestinal infection or gut microbiota disorder.^{27,38} Likewise, in this study, the *cocci* to *bacilli* ratio was significantly elevated in the HBV-ACLF group, suggesting that the HBV-ACLF patients may have *cocci* infection. Furthermore, this study detected an increase of

Enterococcus but a decrease of *Faecalibacterium* in the progression HBV-ACLF group, consistent with the increased *cocci* to *bacilli* ratio in clinical findings.

Lactobacillus casei paracasei is one of the most studied and applied probiotic species of *Lactobacilli*.³⁹ Nevertheless, we found that the progression group showed a higher relative abundance of *Lactobacillus casei paracasei* compared with the regression group, suggesting that *Lactobacillus casei paracasei* was associated with disease progression in HBV-ACLF and seemed contradictory to its probiotic function. Since the composition of gut microbiota is influenced by multiple factors and context dependent, the same bacterial species may play distinctive roles in different intestinal states. Therefore, the exact role of *Lactobacillus casei paracasei* in the progression of HBV-ACLF requires further characterization.

In the analyses of the correlation between the blood biochemical indicators and gut microbiota, we found 5 genera were associated with ALT and AST (liver inflammation); 3 genera were associated with TBIL, INR, and MELD (liver disease severity); and 2 genera were associated with WBC, NEUT% and PCT (degree of infection). These results have the potential to inform the use of intestinal microbial intervention to alleviate or prevent the progression of liver disease. Nevertheless, the precise causal relationships between these intestinal bacteria and biochemical parameters still require further investigation. Likewise, in our Bayes network analysis, 7 species elevated in the regression group and 3 species enriched in the progression group and the roles of these intestinal bacteria and how they contribute to disease progression remain to be investigated.

There are still some limitations to this study. First, the differences in patients' antibiotic used before and after admission, personal alcohol drinking history, may have an impact on the results of the microbiota.⁴⁰ Secondly, the immune function and severity of liver failure at the time of admission were not consistent among the groups. Thirdly, considering the gastrointestinal symptoms (proton pump inhibitors used) and possible hepatic encephalopathy of the patients, the diet during the hospitalization was mainly based on digestible low-protein and low-fat carbohydrates, which may affect the results of microbiota.⁴¹ Therefore, a well-

designed prospective study should be conducted to validate our findings.

Our study demonstrated that the composition of gut microbiota changed at different phases of HBV-ACLF. High abundance of *Enterococcus* is associated with progression while high abundance of *Faecalibacterium* is associated with regression of HBV-ACLF, which is consistent with the high ratio of *cocci* to *bacilli* in HBV-ACLF patients and clinical imaging findings. The gut microbiome in HBV-ACLF patients may provide a useful prognosis marker for disease progression. Further studies should be conducted to characterize the exact roles of these gut microbiota in the progression of HBV-ACLF.

Materials and methods

Study subject

One thousand five hundred and three participants admitted to the Third Affiliated Hospital of Sun Yat-sen University were recruited for this study between October 2017 and November 2018 including patients with CHB (n = 252), HBV-LC (n = 162) and HBV-ACLF (n = 212) and healthy individuals (n = 877, from the physical examination center of the hospital). To characterize the gut microbiota, CHB and HBV-LC patients were combined and defined as the HBV-Other group. Comparative analysis was conducted among the HBV-ACLF (progression + regression) group, HBV-Other group and

the healthy group. This study was approved by the institutional review board of our hospital. Written informed consent was obtained from the participants. The Medical Ethics Committee, Third Affiliated Hospital of Sun Yat-sen University (ID[2018]02-018-01).

All enrolled patients were hospitalized with HBsAg positive for > 6 months. For CHB patients, the inclusion criteria were: alanine transaminase (ALT) \geq 5 upper limit of the normal (ULN), total bilirubin (TBIL) \geq 2 ULN, international normalized ratio (INR) < 1.5, imaging findings (abdominal ultrasound, CT or abdominal MRI) did not support cirrhotic change. For HBV-LC patients, the inclusion criteria were: ALT \geq 2 ULN, TBIL \geq 2 ULN, INR < 1.5, imaging findings supported cirrhotic changes. HBV-ACLF was diagnosed according to the 2014 APASL diagnostic guidelines (TBIL > 5 ULN, INR > 1.5, with ascites or hepatic encephalopathy symptoms within 2 weeks). The model for end-stage liver disease (MELD) score was used to judge whether the patients with HBV-ACLF were improved or deteriorated, named regression group and progression group, respectively.^{42,43}

Participants' demographic and clinical characteristics are summarized in Table 4. Age, white blood cell count, neutrophil percentage, aspartate aminotransferase, alanine aminotransferase, total bilirubin, international normalized ratio (coagulation function), procalcitonin and end-stage liver disease model were significantly different among groups.

For ethical reasons, we did not distinguish patients whether they had received antibiotics, anti-

Table 4. Demographic and clinical characteristics participants.

Parameter	Healthy	HBV-Other		HBV-ACLF		P value
		CHB	HBV-LC	Progression	Regression	
Case number	877	252	162	47	165	
Age (years)	27.75 \pm 0.51	38.02 \pm 0.66	49.02 \pm 0.75	44.55 \pm 1.55	44.22 \pm 0.82	<0.001
Gender (Male/Female)	474/403	209/43	130/32	Mar-44	143/22	0.199
WBC($\times 10^9$ /L)	5.57 \pm 0.02	6.05 \pm 0.14	4.47 \pm 0.18	6.88 \pm 0.4	6.94 \pm 0.25	<0.001
NEUT% (No.)	54.15 \pm 0.16	58.47 \pm 0.8	61.7 \pm 0.95	68.66 \pm 1.54	67.48 \pm 0.84	<0.001
AST (IU/L)	22.3 \pm 0.13	348.83 \pm 23.14	104.48 \pm 14.68	371.23 \pm 73.55	385.57 \pm 39.06	<0.001
ALT (IU/L)	22.6 \pm 0.12	664.27 \pm 47.09	110.32 \pm 22.23	400.34 \pm 87.79	562.04 \pm 56.15	<0.001
TBIL (μ mol/L)	13.18 \pm 0.07	99.52 \pm 7.94	57.88 \pm 7.33	416.36 \pm 23.42	313.21 \pm 11.37	<0.001
INR (No.)	-	1.19 \pm 0.01	1.44 \pm 0.03	2.73 \pm 0.13	2.25 \pm 0.08	<0.001
PCT (ng/ml)	-	0.24 \pm 0.03	0.41 \pm 1.83	1.33 \pm 0.37	0.98 \pm 0.1	<0.001
MELD	-	12.86 \pm 0.33	13.3 \pm 0.36	29.3 \pm 0.63	25.99 \pm 0.34	<0.001

CHB, chronic hepatitis B; HBV-LC, HBV-associated cirrhosis; HBV-ACLF, hepatitis B virus related acute-on-chronic liver failure; WBC, white blood cell count; NEUT %, neutrophil percentage; AST, aspartate aminotransferase; ALT, alanine transaminase; TBIL, total bilirubin; INR, international normalized ratio; PCT, procalcitonin; MELD, the model for end-stage liver disease.

hepatitis B virus and other treatments before admission. The attending doctor was free to conduct relevant medical treatment based on clinical diagnosis post-admission. HBV-ACLF patients after admission have been routinely supplied with low-protein, low-fat diets and easily digestible carbohydrates. The use of antibiotics was only provided with symptoms including fever, abdominal pain, diarrhea, imaging based biliary infections as well as the level and ratio of white blood cells and neutrophils and procalcitonin (PCT).

16S rRNA sequencing

To analyze the gut microbiota, fecal samples of the participants were collected for 16S rRNA sequencing. The genomic bacterial DNA was extracted using Fecal Microbial Genomic DNA Extraction Kit (LS-R-N-015, Longsee biomedical corporation, China). The forward primer: 338 F (5'-ACTCCTACGGGAGGCAGCA-3') and reverse primer: 806 R (5'-GGACTACHVGGGTWTCTAAT-3') and sample-specific barcode sequence were used to amplify the V3-V4 highly variable region of the 16S rRNA gene (around 480 bp). The 16S rRNA was PCR-amplified by using Q5[®] High-Fidelity DNA Polymerase (M0491, NEB, USA) according to manufacturer's protocol. Sequencing was performed by MiSeq Reagent Kit V3 (MS-102-3003, Illumina Inc., USA) using a MiSeq-PE250 sequencer (Illumina).

Bioinformatic analysis of the bacterial 16S rRNA amplicon data was conducted using a custom QIIME2 software pipeline (<https://qiime2.org>). Sequence quality control and filtering were conducted by FastQC v.0.11.2 and Trimmomatic v.0.32, followed by feature table construction by dada2 (QIIME2). The taxonomy of each 16S rRNA gene sequence was assigned by q2-feature-classifier (QIIME2). Pre-trained Naive Bayes taxonomy classifier gg-13-8-99-515-806-nb-classifier was used in the classification.

Metagenomic sequencing

Genomic bacterial DNA was extracted using Fecal Microbial Genomic DNA Extraction Kit (LS-R-N-015, Longsee biomedical corporation, China). PCR-amplification was performed using KAPA Hyper Plus Kit (KK8510, Kapa

Biosystems, USA) and KAPA Dual-Indexed Adapter Kit (KK8722, Kapa Biosystems) followed by sequencing using NextSeq 500/550 High Output Kit v2.5 (Illumina). All procedures were performed according to the manufacturer's protocol.

For Tagenomic Sequencing Bioinformatics Analysis, sequence quality control and filtering were conducted by fastp v.0.20.0. Human genome (hg38) sequence was filtered by bowtie2. Taxonomy analysis was performed by using MetaPhlan2 (<http://huttenhower.sph.harvard.edu/metaphlan2>). To identify specific species contributing to the differential genera between groups, we included 8 patients with complete HBV-ACLF (including 5 cases of regression and 3 cases of progression) for metagenomic sequencing. Fecal samples were collected at day 1 (Day-1), day 7 (Day-7) and day 14 (Day-14) after admission.

qPCR validation and cross validation

Quantitative real-time PCR was used to quantify the species to validate the sequencing results. Primers were presented in Supplemental Table 3. The qPCR was performed according to the PrimeScript[™] RT Reagent Kit (TAKAA). Reactions were performed on a LightCycler[®] System (Roche, Germany) as follows: 95°C for 3 min, followed by 40 cycles of 95°C for 5 s and 60°C for 15 s. The relative mRNA levels of target samples to control samples were calculated according to $2^{-\Delta\Delta Ct}$ method, in which the difference in Ct values (ΔCt) between the target gene and the reference gene (16S rDNA) was calculated for normalization and the ΔCt of the different samples was compared directly ($\Delta\Delta Ct$). And data were expressed as least square means \pm standard error of the mean (S.E.M.).

Cross validation was used for further internal validation. We sorted out the current 16S rDNA sample collection time, which were regression group (n = 165 patients) and progression group (n = 47 patients). The samples received earlier than February 1, 2018 (n = 84, regression group = 63, progression group = 21) were used as the discovery subset, and the samples received later than February 1, 2018 (n = 128, regression group = 102, progression group = 26) were used as the validation subset.

Statistical analysis

Mann–Whitney U test, Kruskal–Wallis test by ranks and LEfSe (Linear discriminant analysis Effect Size) analysis were conducted to identify different genus between groups. A Random Forest regressor was used to figure the genus related to certain clinical indicators by regression model's feature importance. Meanwhile, a classification model was adopted to identify a small genus set with good discriminatory power. A classification model for the healthy, CHB, HBV-LC and HBV-ACLF groups was established by using the Random Forest classifier according to the relative abundance of each genus of gut microbiota. In the model tuning process, a grid search was adopted for hyperparameter tuning, and the best score was used. Bayes network analysis was performed to figure out interaction between each species and the source of turbulence of the microbe community.⁴⁴ Adonis (Multivariate Analysis Of Variance Using Distance Matrices) was conducted to figure the correlation between the clinical indicators and the richness of the gut microbe. Cross validation was conducted for the internal validation test. A *P* value < .05 was considered significantly different between groups. In the figures * denotes *p* < .05, **denotes *p* < .01, ***denotes *p* < .001, n.s. denotes non-significant.

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Abbreviations

ACLF: Acute-on-chronic liver failure; HBV: Hepatitis B Virus; HBV-ACLF: HBV-associated ACLF; CHB: chronic hepatitis B; HBV-LC: HBV-associated cirrhosis; ALT: alanine transaminase; ULN: upper limit of the normal; TBIL: total bilirubin; INR: international normalized ratio; PCT: procalcitonin; MELD: the Model for End-Stage Liver Disease; AST: aspartate

aminotransferase; WBC: white blood cell count; NEUT%: neutrophil percentage.

Disclosure of potential conflict of interest

No potential conflicts of interest were disclosed.

Authors' contributions

Ke Wang, Zhao Zhang, Tao Chen and Zhi-Liang Gao designed the experiments, Ke Wang, Zhao Zhang, Zhi-Shuo Mo and Xiao-Hua Yang performed them. Ke Wang, Zhao Zhang, Zhi-Shuo Mo, Yang Xu, Ling Lv, Chun-Yan Lei and Xiao-Hua Yang collected and analyzed the data. The drafting of the manuscript was write by Ke Wang and Zhao Zhang, which was further Modification by Rui-Fu Yang and Zhi-Liang Gao. The clinical sample and information were obtained by Zhi-Shuo Mo, Xiao-Hua Yang, Bing-Liang Lin, Liang Peng. The technical, material and financial support were provided by Ke Wang, Zhao Zhang, Tao Chen, Xiao-Dong Zhuang and Zhi-Liang Gao.

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