Foxa2 and Cdx2 cooperate with Nkx2-1 to inhibit lung adenocarcinoma metastasis

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Despite the fact that the majority of lung cancer deaths are due to metastasis, the molecular mechanisms driving metastatic progression are poorly understood. Here, we present evidence that loss of Foxa2 and Cdx2 synergizes with loss of Nkx2-1 to fully activate the metastatic program. These three lineage-specific transcription factors are consistently down-regulated in metastatic cells compared with nonmetastatic cells. Knockdown of these three factors acts synergistically and is sufficient to promote the metastatic potential of nonmetastatic cells to that of naturally arising metastatic cells in vivo. Furthermore, silencing of these three transcription factors is sufficient to account for a significant fraction of the gene expression differences between the nonmetastatic and metastatic states in lung adenocarcinoma, including up-regulated expression of the invadopodia component Tks5_{long}, the embryonal proto-oncogene Hmga2, and the epithelial-to-mesenchymal mediator Snail. Finally, analyses of tumors from a genetically engineered mouse model and patients show that low expression of Nkx2-1, Foxa2, and Cdx2 strongly correlates with more advanced tumors and worse survival. Our findings reveal that a large part of the complex transcriptional network in metastasis can be controlled by a small number of regulatory nodes that function redundantly, and loss of multiple nodes is required to fully activate the metastatic program.

[Keywords: lung adenocarcinoma; metastasis; Nkx2-1; Foxa2; Cdx2; genetically engineered mouse model of cancer]

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During tumor progression, cancer cells undergo global gene expression alterations through which they acquire the traits that allow them to successfully advance through the multiple steps of the metastatic cascade. These steps include the ability to invade and migrate through surrounding tissues, intravasate into blood vessels, survive in circulation, extravasate at secondary sites, and colonize distant organs (Steeg 2006). A comprehensive understanding of the upstream regulators that orchestrate this metastasis program is lacking.

To understand more fully the molecular mechanisms of tumor progression and metastasis in a well-defined genetic context, our laboratory developed a genetically engineered mouse model of lung adenocarcinoma, a major subtype of lung cancer that is a leading cause of cancer death worldwide. Conditional activation of oncogenic *Kras* and inactivation of *p53* in *Kras*^{LSL-G12D/+}; *p53*^{fl/fl} (KP) mice by viral delivery of Cre recombinase to lung epithelial cells initiates the development of lung adenocarcinomas that closely resemble the pathophysiological features of the human disease, including the capability to metastasize to distant organs (Jackson et al. 2001, 2005). Previously, we found that progression to metastasis in this model was closely associated with decreased expression of the lung lineage transcription factor Nkx2-1, and knockdown of Nkx2-1 in nonmetastatic tumor cells was sufficient to increase their tumor-seeding ability in transplantation experiments (Winslow et al. 2011). Nonetheless, two major lines of evidence indicate that loss of Nkx2-1 alone may not be sufficient for full progression to metastasis. First, knockdown of Nkx2-1 in nonmetastatic lung adenocarcinoma cells does not recapitulate all of the gene expression changes that occur during the transition from a nonmetastatic to a metastatic state (Winslow et al. 2011). Moreover, Nkx2-1 deletion in KP lung adenocarcinomas was not sufficient to induce the

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metastasis program but instead unmasked a latent gastric differentiation state of the tumor cells (Snyder et al. 2013). These observations indicate that, in addition to Nkx2-1, additional regulatory factors likely exist that govern the program necessary for full acquisition of metastatic potential.

To investigate additional regulators of metastasis, we elected to examine the transcription factors that control the expression of the metastasis mediator Tks5 $_{long}$. A critical component of the proteolytic cellular protrusions, invadopodia, Tks510ng promotes metastasis in a wide variety of cancer types, including lung adenocarcinoma, and its expression is consistently up-regulated in metastatic cells compared with nonmetastatic cells in the KP model (Murphy and Courtneidge 2011; Li et al. 2013). We showed previously that Tks5_{long} is critical for promoting invadopodia formation and metastatic progression in transplant and autochthonous mouse models. Moreover, Tks5_{long} expression correlates with a more advanced disease stage and poor survival of lung adenocarcinoma patients. Importantly, Tks5 $_{\rm long}$ is distinct from an invadopodia-inhibiting isoform, Tks5_{short}, by the presence of the membranebinding Phox homology domain and the use of an independent promoter for transcription (Li et al. 2013). A previous study has demonstrated that the protein level of Tks5_{long} can be regulated by Src (Cejudo-Martin et al. 2014). However, the transcriptional regulation of Tks5_{long} is not well understood.

Here, we explored the transcriptional regulation of Tks5_{long} to uncover key regulators of metastasis in lung adenocarcinoma. We identified three transcriptional repressors of Tks5_{long}—Nkx2-1, Foxa2, and Cdx2—and subsequently showed that they function collectively as important regulators of a metastasis program in lung adenocarcinoma. While Nkx2-1 and Foxa2 are known for lineage specification and maintenance of the lungs (among other organs), Cdx2 expression is limited to the intestines in normal adult tissues, and its role in lung adenocarcinoma has not been previously explored. Here, we provide evidence that these three transcription factors function cooperatively as critical regulators in suppressing lung adenocarcinoma metastasis.

Results

*Nkx2-1, Foxa2, and Cdx2 synergistically suppress the expression of Tks5*_{long} *in nonmetastatic lung adenocarcinoma cells*

To identify novel mediators of the metastatic program in lung adenocarcinoma, we focused on the transcriptional regulation of $Tks5_{long}$. Tks5_{long} has a mechanistically characterized function in promoting metastasis across a wide variety of cancer types, as it mediates the formation of invadopodia, which are proteolytic membrane protrusions that facilitate cellular invasion (Paz et al. 2014). In lung adenocarcinoma, Tks5_{long} is critical for promoting metastasis, and increased Tks5_{long} expression correlates with poor patient outcomes (Li et al. 2013). Furthermore, Tks5_{long} is dramatically and consistently up-regulated in our collection of metastatic lung adenocarcinoma cells compared with the nonmetastatic cells derived from the KP model (Li et al. 2013), suggesting that its expression is under tight regulation. Importantly, H3K4me3 chromatin immunoprecipitation (ChIP) sequencing (ChIPseq) analysis showed that Tks5_{long} is transcribed from its own promoter independently of the other Tks5 isoform, Tks5_{short} (data not shown), suggesting that its increased expression is likely a result of transcriptional regulation and not alternative splicing. Given these data, we hypothesized that the transcriptional regulatory mechanism for Tks5_{long} functions as a key switch in regulating a broader metastasis program, which includes many more metastasis-related genes.

To identify potential transcriptional regulators of Tks5_{long}, we used an existing gene expression profile of a panel of cell lines derived from nonmetastatic and metastatic primary lung adenocarcinomas as well as metastases in the KP model (termed T_{nonMet} , T_{Met} , and Met cells, respectively) (Winslow et al. 2011). From this data set, we generated a list of transcription factors that (1) were differentially expressed between the collection of T_{nonMet} and T_{Met} /Met cells and (2) had predicted binding sites in the *Tks5_{long}* locus based on genomic sequence analysis.

This approach neglects potential nontranscriptional regulatory mechanisms of $Tks5_{long}$ expression, but we wanted to start by first focusing strictly on transcription. Among the transcription factors that are differentially expressed between T_{nonMet} and T_{Met}/Met cells, we identified three that meet these criteria: Nkx2-1, Foxa2, and Cdx2. Microarray gene expression profiling and quantitative RT–PCR (qRT–PCR) validation confirmed that Nkx2-1, Foxa2, and Cdx2 are highly expressed in T_{nonMet} cells but are partially or completely lost in T_{Met}/Met cells (Fig. 1A; Supplemental Fig. S1A,B). The expression pattern of each transcription factor is inversely proportional to Tks5_{long}, suggesting that these three factors may suppress Tks5_{long} expression (Fig. 1A).

In order to determine whether Nkx2-1, Foxa2, and Cdx2 inhibit Tks510ng expression, we knocked down the three transcription factors in T_{nonMet} cells using shRNAs (referred to here as T_{nonMet}-shNFC cells) either singly or in combination and examined the effect on Tks5_{long} expression compared with control knockdown using shRNAs against firefly and renilla luciferases. Interestingly, while single or double knockdown of these transcription factors in T_{nonMet} cells only moderately affected Tks5_{long} expression, triple knockdown of all three transcription factors in T_{nonMet} -shNFC cells led to a dramatic increase in Tks5_{long} mRNA and protein levels such that the levels were comparable with that of T_{Met} cells with the highest Tks5_{long} expression (Fig. 1B,C). The effect of the triple knockdown on Tks5_{long} expression was synergistic, as the expression levels of Tks5_{long} far exceeded that predicted by the additive effects of single knockdown. Importantly, the effect of Nkx2-1, Foxa2, and Cdx2 knockdown was specific to Tks510ng and did not affect expression of the other Tks5 isoform, Tks5_{short} (Fig. 1B,C). We validated these results in an independent T_{nonMet} cell line using different shRNAs (Supplemental Fig. S1C). These data suggest





Figure 1. Nkx2-1, Foxa2, and Cdx2 synergistically suppress the expression of Tks5long in nonmetastatic lung adenocarcinoma cells. (A) mRNA levels of Nkx2-1, Foxa2, and Cdx2 in $T_{\rm nonMet}$ (368T1, 394T4, and 802T4) and T_{Met} (373T1, 393T3, 393T5, and 482T1) lung adenocarcinoma cell lines anti-correlate with Tks5_{long} expression as measured by qRT–PCR. (r) Spearman's correlation coefficient; (p) P-value. (B, C) Knockdown of Nkx2-1, Foxa2, and Cdx2 in T_{nonMet} cells (394T4) derepresses Tks5long expression but not $Tks5_{short}$, as measured by qRT–PCR (B) and immunoblotting (C). Lines (--) indicate control hairpins against firefly or renilla luciferase. Data are represented as mean ± SD. The P-value was calculated by Student's t-test. (D-F) Overexpression of Nkx2-1, Foxa2, and Cdx2 in T_{Met} cells (393T5) represses Tks5_{long} expression but not Tks5_{short}, as measured by qRT-PCR. Data are represented as mean ± SD. The *P*-values were calculated by Student's t-test. (G) ChIP-qPCR analysis of the enrichment of Nkx2-1, Foxa2, and Cdx2 binding at the Tks5long genomic locus. Data are represented as mean ± SEM of at least three independent experiments. SftpA, Hnf4a, and Wnt5a served as positive controls for Nkx2-1, Foxa2, and Cdx2 binding, respectively. (GD8) Negative control mapping to a gene desert region on murine chromosome 8. For each enhancer versus GD8, P < 0.05 by Student's t-test.

that Nkx2-1, Foxa2, and Cdx2 may suppress $Tks5_{long}$ expression in a synergistic manner.

To examine whether these transcription factors are sufficient to suppress Tks5_{long} expression in metastatic cells, we overexpressed each transcription factor in a doxycy-cline-inducible manner in T_{Met} cells. Increasing the levels of each transcription factor inhibited Tks5_{long} expression in a dosage-dependent manner without affecting Tks5_{short} mRNA levels (Fig. 1D–F). Furthermore, this suppressive effect on Tks5_{long} expression was additive, as combined overexpression of Nkx2-1 and Foxa2 (or of Nkx2-1 and Cdx2) reduced the mRNA levels of Tks5_{long} more significantly than one transcription factor alone (Supplemental Fig. S1D).

To determine whether these transcription factors suppress Tks5_{long} expression by directly binding to its genetic locus, we performed ChIP-qPCR analysis on potential enhancer regions in the $Tks5_{long}$ locus. We observed binding

of Nkx2-1, Foxa2, and Cdx2 to multiple enhancers in the $Tks5_{long}$ locus (Fig. 1G), consistent with a direct role in down-regulating gene expression at the genetic locus.

Nkx2-1, Foxa2, and Cdx2 cooperate to inhibit metastasis in vivo

To test whether Nkx2-1, Foxa2, and Cdx2 suppress metastasis, we transplanted T_{nonMet} -shNFC cells subcutaneously into nude mice and examined their ability to metastasize from the subcutaneous tumor to the lungs over a period of 6 wk. This assay tests a full range of metastatic properties, as it requires that tumor cells invade and intravasate into circulation at the primary site and then extravasate and colonize a distant organ at the metastatic site. Notably, while T_{nonMet} cells with Nkx2-1 knockdown (T_{nonMet} -shN cells) formed more lung nodules than control T_{nonMet} cells, T_{nonMet} -shNFC cells were strikingly more metastatic (Fig. 2A,B). The increase in metastatic potential in T_{nonMet} -shNFC cells was more than the additive effect induced by single or double knockdown. Importantly, the knockdown of these transcription factors did not significantly affect the size of the primary tumors in the subcutaneous site (Supplemental Fig. S2). These data suggest that loss of Nkx2-1, Foxa2, and Cdx2 functions cooperatively to promote metastasis in lung adenocarcinoma.

The increased number of lung metastases seen with T_{nonMet} -shNFC cells could be explained by changes in their ability to complete different steps along the metastatic cascade. We first examined how knockdown of Foxa2, Cdx2, and Nkx2-1 affected cell morphology and motility in vivo. We observed that T_{nonMet} -shNFC subcutaneous tumors adopted a mesenchymal morphology similar to that of T_{Met} tumors, in contrast to the predominantly epithelial morphology in tumors formed by T_{nonMet} cells and the epithelial/slight mesenchymal



Figure 2. Foxa2 and Cdx2 synergize with Nkx2-1 in inhibiting metastasis in vivo. (*A*) Triple knockdown of Nkx2-1, Foxa2, and Cdx2 in a subcutaneous transplantation assay increases the metastatic potential of T_{nonMet} cells (394T4)—in comparison with control T_{nonMet} cells and T_{nonMet} cells with single/double knockdown—to a level similar to that of T_{Met} cells (373T1). Representative images of lung metastases are shown. Lines (—) indicate control hairpins against firefly or renilla luciferase. (*B*) Quantification of metastasis frequencies as determined by numbers of tumor nodules visible on the lung surface. Each circle represents an individual mouse. Data are represented as mean ± SEM. The *P*-values were calculated by Student's *t*-test. (*) *P* < 0.05; (***) *P* < 0.001.

morphology in T_{nonMet}-shN tumors (Fig. 3A,B). This change to mesenchymal morphology for T_{nonMet}-shNFC cells occurred only in vivo but not in vitro (Supplemental Fig. S3A), suggesting that it is at least in part induced by non-cell-autonomous factors present in the tumor microenvironment. Consistent with this change to a mesenchymal morphology, qRT-PCR analysis of T_{nonMet}shNFC tumors showed loss of the epithelial marker Krt19 and a small increase in expression of mesenchymal markers Twist, Snail, Zeb1, and N-cadherin compared with T_{nonMet} tumors but no change in Slug, Vimentin, and E-cadherin (Supplemental Fig. S3B; data not shown). These data suggest a partial epithelial-to-mesenchymal transition (EMT), an important step in the metastatic process (Sato et al. 2012; Tsai and Yang 2013), of T_{nonMet}shNFC cells in vivo.

Because mesenchymal morphology is associated with increased motility (Thiery et al. 2009; Tsai and Yang 2013), we performed intravital imaging to monitor migration of GFP-positive cancer cells within subcutaneous tumors. T_{nonMet}-shNFC tumors contained significantly more migratory cells than T_{nonMet} and T_{nonMet} -shN cells (Fig. 3C; Supplemental Movies S1-S6). Furthermore, when we measured the chemotactic ability of the tumor cells by performing in vivo fine-needle collection assay using 10% fetal bovine serum (FBS) as a chemoattractant (Wyckoff et al. 2000), we collected a higher number of GFP-positive cancer cells from T_{nonMet}-shNFC subcutaneous tumors than from $T_{\rm nonMet}$ and $T_{\rm nonMet}\mbox{-shN}$ tumors (Fig. 3D). Taken together, these data suggest that T_{nonMet}shNFC cells are more motile in vivo than T_{nonMet} and T_{nonMet}-shN cells.

To test whether the enhanced metastatic ability of T_{nonMet} -shNFC cells can also be explained by differences in the colonization of secondary sites during the metastatic cascade, we injected tumor cells intravenously into immunocompromised mice to test their ability to establish tumor nodules upon arriving at the lung capillaries. We observed that T_{nonMet} -shNFC cells and T_{nonMet} -shN cells had equally high colonization capacities compared with T_{nonMet} control (Fig. 3E,F), suggesting that while knockdown of Nkx2-1 enhances metastatic colonization, additional inhibition of Foxa2 and Cdx2 does not further contribute to this property.

Taken together, our data support a model in which Nkx2-1, Foxa2, and Cdx2 inhibit metastasis by acting on different steps of the metastatic cascade. While Nkx2-1 inhibits the extravasation/colonization step towards the end of the metastatic cascade, Nkx2-1, Foxa2, and Cdx2 together inhibit migration and invasion in the early steps of metastasis (Fig. 3G). The gain of metastatic ability in T_{nonMet} -shNFC cells compared with T_{nonMet} -shN cells is correlated with an increase in invasion and migration in the primary tumor.

Nkx2-1, Foxa2, and Cdx2 collectively repress a program of metastasis genes

Given the increased metastatic ability of T_{nonMet} -shNFC cells compared with T_{nonMet} and T_{nonMet} -shN cells, we



Figure 3. Foxa2 and Cdx2 synergize with Nkx2-1 in inhibiting migration in vivo. (A,B) Triple knockdown of Nkx2-1, Foxa2, and Cdx2 in T_{nonMet} (394T4) subcutaneous tumors induces a mesenchymal morphology similar to T_{Met} (373T1) tumors, in contrast to the epithelial T_{nonMet} -shCtrl tumors. (A) Representative H&E staining of subcutaneous tumors. Bar, 50 µm. (B) Quantification of epithelial and mesenchymal morphology by a pathologist (RT Bronson). Lines (---) indicate control hairpins against firefly or renilla luciferase. (C,D) Knockdown of Nkx2-1, Foxa2, and Cdx2 in T_{nonMet} (394T4) subcutaneous tumors enhances migration in vivo compared with T_{nonMet}-shCtrl and T_{nonMet}-shN tumors, as measured by intravital imaging (C) and fine-needle collection assay (D). Data are represented as mean ± SEM. The P-values were calculated by Student's *t*-test. (**) P < 0.01; (***) P < 0.001; (****) P < 0.001; (*****) P < 0.001; (*****) P < 0.0010.0001. (E,F) TnonMet-shNFC and TnonMetshN (394T4) cells show similar colonization ability in the lungs after intravenous transplantation. (E) Representative images of lungs with tumor nodules. (F) Quantification of lung tumor burden. Each circle

represents an individual mouse. Data are represented as mean \pm SEM. The *P*-values were calculated by Student's *t*-test. (*) *P* < 0.05; (***) *P* < 0.001. (*G*) Model for distinct roles of Nkx2-1, Foxa2, and Cdx2 in inhibiting metastatic progression.

next investigated whether a network of metastasis-related genes might be differentially regulated upon knockdown of Nkx2-1, Foxa2, and Cdx2. Transcription factors generally regulate a broad network of target genes, often genes with similar functions. Therefore, we hypothesized that, in addition to suppressing the expression of Tks5_{long} (a critical mediator of metastasis), Nkx2-1, Foxa2, and Cdx2 may also regulate the expression of other metastasis-related genes. Thus, we performed RNA sequencing (RNA-seq) on T_{nonMet}, T_{nonMet}-shN, T_{nonMet}-shNFC, and T_{Met} cells and used an unsupervised blind source separation strategy using independent component analysis (ICA) (see the Supplemental Material; data not shown), to elucidate statistically independent gene expression signatures that characterize the transcriptomes of these cells. This high-resolution approach allowed us to identify two statistically significant and biologically relevant signatures that are separate from a "clonal signature" that embodies the background identity of T_{Met}- versus T_{nonMet} -derived cells (Fig. 4A): (1) a signature differentiating Nkx2-1-high samples (T_{nonMet}) from all Nkx2-1-low samples (T_{nonMet}-shN, T_{nonMet}-shNFC, and T_{Met} cells), which we termed an "shN signature," and (2) a signature clustering $T_{\rm nonMet}\mbox{-shNFC}/T_{\rm Met}$ cells away from T_{nonMet}/T_{nonMet}-shN cells, which we designated as the "shNFC signature."

Our analysis provides several lines of evidence that $T_{nonMet}\$ shNFC cells significantly recapitulated the major metastasis-related gene expression patterns associated with T_{Met} cells. First, hierarchical clustering based on the top and bottom second percentile of genes identi-

fied in the shNFC signature showed clustering of T_{nonMet}-shNFC cells with T_{Met} cells, and this cluster segregated away from T_{nonMet} and T_{nonMet}-shN cells (Fig. 4B,C), indicating that the identified gene expression pattern robustly supports the signature. Highly similar clustering patterns were also obtained in analysis of the top and bottom first percentile and fifth percentile of genes in the shNFC signature (data not shown). Second, clustering based on global gene expression profiles after depletion of the background clonal signature showed that T_{nonMet}-shNFC cells were substantially more closely related to T_{Met} cells than T_{nonMet} -shN and T_{nonMet} cells (Supplemental Fig. S4A). Finally, the shNFC signature was highly enriched for the "Tmet/Met" gene set and depleted for the "TnonMet" gene set (Winslow et al. 2011) when analyzed using gene set enrichment analysis (GSEA) (Fig. 4D; Subramanian et al. 2005). In contrast, the shN signature did not show a similar enrichment or depletion pattern of T_{Met}/Met or T_{nonMet} gene sets (Fig. 4D).

Of the 388 genes identified by the shNFC signature, 169 genes were up-regulated (among which is $Tks5_{long}$), and 219 genes were down-regulated in the T_{nonMet} -shNFC/ T_{Met} cells compared with the T_{nonMet} -shN cells (Fig. 4C). Interestingly, GSEA analyses using publicly available gene sets in the Molecular Signatures Database (Subramanian et al. 2005) revealed that the shNFC signature is significantly enriched for gene sets that represent poor patient prognosis, metastasis/EMT, and TGF β targets (Fig. 4E). Furthermore, the shNFC signature is significantly depleted for gene sets related to gastrointestinal/



Figure 4. Nkx2-1, Foxa2, and Cdx2 together repress a program of metastasis genes. (*A*) RNA-seq gene expression analysis of 394T4 T_{nonMet} , T_{nonMet} -shNFC, and 373T1 T_{Met} cells reveals two statistically significant and biologically relevant signatures: an shN signature and an shNFC signature, both separate from a clonal signature that embodies the background identity of T_{Met} - versus T_{nonMet} -derived cells. (*B*) Dendrogram showing sample relationships via clustering based on the top and bottom second percentile of genes in the shNFC signature. (*C*) Differentially expressed genes that drive the shNFC signature distinguishing T_{nonMet} -shNFC/ T_{Met} cells from T_{nonMet} -shN cells. (*D*) GSEA (gene set enrichment analysis) reveals that the shNFC signature is highly enriched for T_{Met} /Met genes and depleted for T_{nonMet} genes, whereas the shN signature does not show similar enrichment. (ns) Not significant. (*E*) GSEA using the Molecular Signatures Database (MSigDB) curated gene set collection shows that the shNFC signature is enriched for gene sets associated with metastasis and poor prognosis and depleted for gene sets associated with Hnf4a-related gastrointestinal/hepatic differentiation. (*F*) Examples of prometastatic ($Tks5_{long}$ and $TGF\beta$) and anti-metastatic (Mtus1) genes that were identified in the shNFC signature by RNA-seq. Data are represented as mean ± SEM. The *P*-values were calculated by Student's *t*-test. (*G*) Examples of gastrointestinal differentiation genes (Hnf4g, Lgals4, and Lgals6) that were identified in the shNFC signature by RNA-seq. Data are represented as mean ± SEM.

liver-related genes, reflecting the gene expression changes induced upon knockdown of Foxa2 and Cdx2 (Fig. 4E). Many of the gene expression changes identified in the shNFC signature that were relevant for metastasis or gastrointestinal differentiation were validated by qRT–PCR and immunoblotting (Fig. 4F,G; Supplemental Fig. S4B; data not shown).

To directly answer the question of what fraction of the gene expression differences between our collection of T_{nonMet} and T_{Met}/Met cells was recapitulated by combined knockdown of Nkx2-1, Foxa2, and Cdx2 in T_{nonMet} 394T4 cells, we performed targeted pairwise differential analysis. We found that a large fraction (32%) of the genes that were differentially expressed between T_{nonMet} and T_{Met}/Met cells also showed significant gene expression alterations by twofold or more in comparing 394T4 T_{nonMet}

versus T_{nonMet} -shNFC cells ($P = 2.22 \times 10^{-16}$, hypergeometric test), suggesting that reduced expression of the three transcription factors can explain about one-third of the gene expression changes in metastatic progression. In contrast, only 9% of the genes that were differentially expressed between T_{nonMet} and T_{Met} /Met cells were found to be altered when comparing 394T4 T_{nonMet} versus T_{nonMet} -shN cells ($P = 2.22 \times 10^{-16}$, hypergeometric test).

Taken together, these data argue that the T_{nonMet} -shNFC gene expression program driven by loss of Nkx2-1, Foxa2, and Cdx2 significantly recapitulated the characteristics of T_{Met} cells. These findings are consistent with data from our in vivo metastasis assays that T_{nonMet} -shNFC cells are more metastatic than T_{nonMet} -shN cells and further support that Foxa2 and Cdx2 cooperate with Nkx2-1 to regulate a network of metastasis-related genes.

The inhibitory effect of Nkx2-1, Foxa2, and Cdx2 on metastasis depends on the activation of Tks5_{long}, Hmga2, and Snail expression

Given the large number of genes activated in the shNFC signature, we then examined whether some of these genes might be functionally required for promoting the metastatic capacity of T_{nonMet}-shNFC cells. In addition to Tks5_{long}, we elected to examine the embryonal proto-oncogene Hmga2 and the EMT transcription factor Snail, as these metastasis-promoting genes are also significantly up-regulated in T_{Met}/Met cells compared with T_{nonMet} cells in microarray-based gene expression analysis (Supplemental Fig. S5A; Winslow et al. 2011). T_{nonMet}-shNFC cells exhibited a significant increase in Hmga2 and Snail expression at the levels of both mRNA and protein, exceeding the levels in T_{nonMet} and T_{nonMet} single/doubleknockdown cells (Fig. 5A,B). The activation effect was synergistic, as the expression levels of Hmga2 and Snail were much higher than predicted by the additive effects of single knockdown. To test whether increased expression of Tks510ng, Hmga2, and Snail are required for increased metastatic ability of T_{nonMet}-shNFC cells, we knocked down these three genes by shRNAs or CRISPR/ Cas sgRNAs (Fig. 5C). Decreased expression of Tks510ng/ Hmga2, or Snail individually impaired the metastatic ability of T_{nonMet}-shNFC cells without affecting the size of the primary subcutaneous tumors (Fig. 5D,E; Supplemental Fig. S5E). Importantly, Hmga2 and Snail knockdown did not affect the expression of Tks510ng or of each other (Supplemental Fig. S5C,D), suggesting that they each contributed individually to increasing metastatic potential. These data support our hypothesis that Nkx2-1, Foxa2, and Cdx2 may function as key regulators for a net-



work of metastasis-related genes that include Tks5_{long}, Hmga2, and Snail.

The endogenous expression pattern of Nkx2-1, Foxa2, and Cdx2 correlates with tumor progression in vivo

To further characterize the suppressive roles of Nkx2-1, Foxa2, and Cdx2 during tumor progression, we examined their endogenous expression in the KP model of lung adenocarcinoma. This animal model provides a well-defined genetic context and a stereotypic temporal pattern of histologic progression, which facilitate the identification of patterns of gene expression alterations that accompany tumor progression. We analyzed 195 tumor regions from mice ranging from 17 to 33 wk post-initiation and scored them as low grade (grades 1-3) or high grade (grade 4, poorly differentiated) based on nucleocytoplasmic morphology, tumor architecture, and the presence of stromal invasion. Consistent with previous findings (Winslow et al. 2011), expression of Nkx2-1 and Hmga2 anti-correlated with each other in these tumors (Fig. 6A), and Nkx2-1^{low} and Hmga2^{high} tumors were mostly high grade (Fig. 6D,E).

The pattern of Foxa2 expression was highly similar to that of Nkx2-1 and was anti-correlated with Hmga2 (Fig. 6B,C). The inverse correlation of Foxa2 expression to tumor grade was more striking than Nkx2-1: Foxa2^{high} expression was invariably associated with low-grade tumors (117 of 117; 100%), while Foxa2^{low} tumors were consistently high grade (21 of 21; 100%) (Fig. 6F). These data suggest that Foxa2, similar to Nkx2-1, marks an early state of tumor progression, and loss of Foxa2 expression is a stringent diagnostic marker of high-grade tumors.

> Figure 5. The inhibitory effect of Nkx2-1, Foxa2, and Cdx2 on metastasis depends on the activation of Tks510ng, Hmga2, and Snail expression. (A,B) Combined knockdown of Nkx2-1, Foxa2, and Cdx2 in T_{nonMet} cells (394T4) derepresses the expression of Hmga2 and Snail, as analyzed by qRT-PCR (A) and immunoblotting (B). Lines (---) indicate control hairpins against firefly or renilla luciferase. Data are represented as mean ± SD. The P-values were calculated by Student's t-test. (C-E) Knockdown of Tks5long, Hgma2, or Snail dampens the metastatic ability of $394T4 T_{nonMet}$ -shNFC cells after subcutaneous transplantation. (C) Validation of knockdown by immunoblotting. (D) Representative images of lungs with tumor nodules. (E) Quantification of lung tumor nodules. Each circle represents an individual mouse. Control includes T_{nonMet} -shNFC cells (*n* = 10 mice) and T_{nonMet} -shNFC-sgRosa cells (n = 4 mice); Tks5long knockdown was generated by hairpins $hTks5_{long}#1$ (*n* = 5 mice) and $hTks5_{long}#2$ (*n* = 4 mice), Hmga2 knockdown was generated by hairpin shHmga2#1 (n = 5 mice) and sgRNA sgHmga2#2 (n= 4 mice), and Snail knockdown was generated by sgRNAs sgSnail#1 (n = 5 mice) and sgSnail#2 (n = 4mice). Data are represented as mean ± SEM. The Pvalues were calculated by Student's t-test. (*) P < 0.05; (**) P < 0.01.



Figure 6. The endogenous expression pattern of Nkx2-1, Foxa2, Cdx2, and Hmga2 correlates with tumor progression in an autochthonous model of lung adenocarcinoma. (A–C) Pairwise correlation of Nkx2-1, Foxa2, and Hmga2 expression in KP lung adenocarcinomas. (D–F) Correlation of Nkx2-1, Foxa2, and Hmga2 expression in KP lung adenocarcinomas with tumor grades. Tumor regions were scored as low grade (grades 1–3) or high grade (grade 4, poorly differentiated) based on nucleo–cytoplasmic morphology, tumor architecture, and the presence of stromal invasion. (G) High expression of Cdx2 in KP lung adenocarcinomas is frequently associated with low expression of Nkx2-1, high expression of Foxa2, low–medium expression of Hmga2, and low-grade histology. (H) Representative H&E and immunohistochemical stainings of KP lung adenocarcinomas. Bar, 150 µm. The *insets* show the nucleo–cytoplasmic morphology of the tumor cells. (I) Model summarizing expression changes of Nkx2-1, Foxa2, Cdx2, and Hmga2 in lung adenocarcinomas.

Interestingly, loss of Foxa2 expression in high-grade tumors often lagged behind loss of Nkx2-1 (see examples in Fig. 6H, third column; Supplemental Fig. S6A). These observations indicate that loss of Foxa2 expression in tumor progression does not occur concurrently with loss of Nkx2-1 but happens after Nkx2-1 expression is lost (Fig. 6I).

Cdx2 staining was detected in a significant fraction of these lung adenocarcinomas (35 of 195; ~20%), albeit at a lower frequency than the staining of Nkx2-1 (139 of 195; ~70%) and Foxa2 (174 of 195; ~90%). This lower frequency of Cdx2 staining suggests that Cdx2 expression may represent a transient state during tumor progression that is only detectible when a large number of tumors are analyzed. Importantly, the Cdx2^{high} tumors showed a consistent pattern that argues that Cdx2 marks an intermediate state of tumor progression that is temporally

situated after loss of Nkx2-1 but before loss of Foxa2 and full activation of Hmga2 (Fig. 6G). First, the vast majority of Cdx2^{high} tumor areas were Nkx2-1^{low} (eight of nine, 89%), indicating a strong anti-correlation between Cdx2 and Nkx2-1 expression. Second, tumor sections with high Cdx2 levels were typically Foxa2^{high} (seven of nine, 87%). In fact, all of the Nkx2-1^{low} Foxa2^{high} tumor regions were invariably Cdx2^{high} (six of six, 100%), and we did not observe any Nkx2-1^{low}, Foxa2^{low}, and Cdx2^{high} regions. Finally, the majority of Cdx2^{high} tumor regions were Hmga2^{mixed} (five of nine, 56%), whereas only a small fraction was Hmga2^{high} (two of nine; 22%) or Hmga2^{low} (two of nine; 22%). Even though some of these Cdx2^{high} regions were found adjacent to Hmga2^{high}, high-grade, and poorly differentiated areas, Cdx2^{high} regions themselves were invariably well/moderately differentiated and never part of the poorly differentiated regions (Fig. 6H, third column; Supplemental Fig. S6A). Collectively, these data strongly suggest that Cdx2 marks an intermediate state of tumor progression (Fig. 6I).

An alternative model that could explain these observations is that Cdx2-expressing tumors represent a "dead end" differentiation state that will never progress to advanced metastatic tumors. However, multiple lines of evidence argue against this model. First, within a single tumor, well/moderately differentiated tumor regions with strong Cdx2 expression and weak Hmga2 expression were frequently associated with adjacent poorly differentiated regions that exhibit a reciprocal expression pattern of low Cdx2 staining and intense Hmga2 staining (Fig. 6H, third column; Supplemental Fig. S6A). Second, cell linebased experiments showed that knockdown of Nkx2-1 in T_{nonMet} cells derepressed Cdx2 mRNA and protein levels, while knockdown of Foxa2 in T_{nonMet} -shN cells reduced Cdx2 levels (Supplemental Fig. S6B,C), suggesting that Cdx2 expression in these tumor cells is plastic and can be regulated by changes in expression of Nkx2-1 and Foxa2. Furthermore, ChIP-qPCR analysis detected binding of Nkx2-1 and Foxa2 to an enhancer downstream from the genomic locus of Cdx^2 (Supplemental Fig. S6D). Based on these findings, we propose a model for the regulation of Cdx2 expression in lung adenocarcinoma in which transcription of Cdx2 is inhibited by binding of Nkx2-1 to a nearby enhancer region. Upon loss of Nkx2-1, expression of Cdx2 is derepressed in a manner dependent on Foxa2 binding to the same enhancer (Supplemental Fig. S6E).

Taken together, these observations suggest that lung adenocarcinoma progress from early tumors that express Nkx2-1 and Foxa2 (Fig. 6I). Over time, Nkx2-1 is silenced, leading to activation of Cdx2 and partial activation of metastasis-promoting genes such as Hmga2 in at least a subset of tumors. Finally, suppression of Foxa2 leads to reduced Cdx2 expression, and the combined loss of Nkx2-1, Foxa2, and Cdx2 leads to complete derepression of Hmga2 and other metastasis-promoting genes, resulting in full acquisition of metastatic potential.

Nkx2-1, Foxa2, and Cdx2 gene expression signatures predict clinical outcomes of lung adenocarcinoma patients

We next asked whether our observations could provide prognostic information relevant to human lung adenocarcinomas. To this end, we analyzed RNA-seq expression data for 488 lung adenocarcinoma primary tumors from patients with stage I–IV disease from The Cancer Genome Atlas (TCGA, http://cancergenome.nih.gov). Unsupervised signature analysis (see the Supplemental Material) of the expression patterns of NKX2-1, FOXA2, CDX2, and HMGA2 in these tumors revealed three gene expression signatures (Fig. 7A,B). Interestingly, these signatures closely correlated with the expression patterns of Nkx2-1, Foxa2, Cdx2, and Hmga2 in the KP mouse model of lung adenocarcinoma. The first signature is driven by high expression of NKX2-1 and FOXA2 as well as low expression of HMGA2, similar to the early tumors in mice. The second signature is characterized by high expression of CDX2, similar to tumors in the intermediate state. Finally, the third signature is characterized by high expression of HMGA2, similar to advanced tumors in our mouse model. While the gene expression patterns in these signatures are consistent with our observations in the KP model, we note that there is a small increase of FOXA2 levels in the third signature compared with the second signature. This difference from our KP model is not surprising given the larger heterogeneity and complexity that exist in human lung adenocarcinomas compared with the genetically engineered model.

Importantly, these signatures also strongly correlated with clinical outcomes (Fig. 7C,D). The NKX2-1/





FOXA2-driven signature and the CDX2-driven signature were associated with favorable and intermediate disease stage and patient survival, respectively. In contrast, the HMGA2-driven signature was associated with the worst stage and survival. Furthermore, the prognostic values of these four gene pattern-derived signatures were more powerful than analysis using a single gene alone (data not shown). Finally, a multivariate Cox proportional hazard regression analysis showed that these signatures of gene expression pattern were significant prognostic factors independent of gender, age, and disease stage (Fig. 7E; Supplemental Fig. S7A). These results provide further support to our proposed model that early-stage tumors are marked by NKX2-1 and FOXA2 expression, while intermediate tumors acquire expression of CDX2, and most advanced tumors acquire expression of HMGA2. Interestingly, these signatures are prognostic for survival outcome even for patients with early-stage disease (i.e., stages I and II), suggesting that the signatures could be informative for identifying high-risk patients with micrometastatic disease who may benefit from adjuvant treatment after surgical tumor resection (Supplemental Fig. S7B). Taken together, these data argue that our proposed model for lung adenocarcinoma progression is highly relevant for human cancer. Our findings provide important information for the prognosis of lung adenocarcinoma patients and may inform the future development of therapeutic strategies for this highly metastatic disease.

Discussion

In this study, we present evidence from the autochthonous KP model of lung adenocarcinoma, derivative cell lines, and human patients that together demonstrate that Nkx2-1, Foxa2, and Cdx2 function collectively to suppress metastatic progression of lung adenocarcinoma. We found that loss of Nkx2-1, Foxa2, and Cdx2 profoundly enhanced metastasis in a subcutaneous transplantation model compared with loss of a single factor alone. In particular, these three factors regulated metastasis by acting on multiple steps of the metastatic cascade: Loss of Nkx2-1 alone promoted colonization at distant sites, whereas combined loss of Nkx2-1, Foxa2, and Cdx2 cooperated to promote tumor cell migration. The observed increase in metastatic ability upon loss of Nkx2-1, Foxa2, and Cdx2 can be explained by activation of a network of transcriptional targets that account for a large and significant fraction of the gene expression alterations between T_{nonMet} and T_{Met}/Met cells. These target genes include the invadopodia component Tks5_{long}, the embryonal proto-oncogene Hmga2, and the EMT mediator Snail, all of which functionally contribute to metastasis. Furthermore, analysis of autochthonous lung tumors in the KP model revealed a strong correlation between loss of expression of these three factors and tumor progression to an advanced, poorly differentiated, and metastatic state. Finally, in human lung adenocarcinoma, the expression patterns of NKX2-1, FOXA2, CDX2, and HMGA2 predicted tumor differentiation states that significantly correlated with disease stage and survival outcome. Thus, our results are highly relevant for the human disease and provide prognostic information for lung adenocarcinoma patients.

Combining these data, we propose a model for lung adenocarcinoma progression (Fig. 6I). This model starts with high Nkx2-1 and Foxa2 expression that restrains tumors in a well-differentiated, nonmetastatic state. Subsequent loss of Nkx2-1 expression, presumably as a result of extracellular stimulatory signals or intracellular stochastic alterations in gene expression, leads to activation of Cdx2, shifting the cells to an aberrant, albeit still differentiated, state. Finally, suppression of Foxa2 leads to loss of Cdx2, and these alterations synergize with silencing of Nkx2-1 to induce a dedifferentiated stem-like state in the tumor cells, leading to activation of a metastasis program.

Our findings provide two important conclusions. First, Nkx2-1, Foxa2, and Cdx2 can function as key regulatory nodes in transcriptional regulation of the metastasis program. Our data strongly suggest that a relatively small number of factors could be responsible for the vast amount of gene expression alterations in metastasis. It is very likely that only a subset of the gene expression changes induced by loss of Nkx2-1, Foxa2, and Cdx2 is a direct target of these three factors, while other targets may be indirectly controlled via secondary transcription factors downstream from Nkx2-1, Foxa2, and Cdx2. The fact that suppression of Nkx2-1, Foxa2, and Cdx2 is sufficient to derepress this network of metastasis-related genes also suggests that the transcriptional activators for a subset of these target genes may be expressed in tumors and therefore available to act upon loss of these three transcriptional suppressors. As such, while there are multiple pathways regulating the metastasis program, Nkx2-1, Foxa2, and Cdx2 function as three central regulatory nodes in governing transcriptional programs that together restrain tumor metastasis.

Second, the roles of Nkx2-1, Foxa2, and Cdx2 in regulating metastasis underscore the intricate links between dysregulated differentiation and metastasis progression. Striking overlaps exist between genes involved in development and cancer (Kho et al. 2004; Liu et al. 2006; Ben-Porath et al. 2008; Kopantzev et al. 2008). All three transcription factors in this study are well-established developmental regulators. Nkx2-1 and Foxa2 are important developmental regulators of the lungs as well as other endoderm-derived organs (Kimura et al. 1996; Zhou et al. 1997; Minoo et al. 1999; Wan et al. 2005). Nkx2-1 is a homeodomain-containing transcription factor essential for differentiation of the lungs during early embryogenesis (Kimura et al. 1996). In the lungs, Nkx2-1 is expressed in all epithelial cells in early pulmonary development but becomes progressively restricted to alveolar type II and Club cells in adults (Minoo et al. 1999), where Nkx2-1 activates expression of pulmonary-specific genes, including SftpA, SftpB, SftpC, and CCSP (Minoo et al. 1999). Foxa2 is a forkhead transcription factor that is expressed in the endoderm and cooperates with its paralog, Foxa1, in mediating organogenesis of the lungs as well as the stomach, intestine, liver, pancreas, and other organs (Kaestner 2010). Foxa2 is important for alveolarization of the lungs during development (Wan et al. 2004). Adult lungs express Foxa2 in the bronchiolar epithelium and alveolar type II cells (Besnard et al. 2004). Interestingly, while Foxa2 expression and function overlap largely with Foxa1, we did not see differential expression of Foxa1 between TnonMet and T_{Met}/Met cells (Supplemental Fig. S8). In contrast to Nkx2-1 and Foxa2, the expression of Cdx2 is not appreciably expressed in normal embryonic or adult lungs. Instead, as a member of the Caudal-type homeobox protein, Cdx2 is required for intestine morphogenesis during embryonic development and is expressed in the small and large intestines in adults (Beck and Stringer 2010). Cdx2 also functions in an earlier stage in development for trophoblast formation and axial patterning (Beck and Stringer 2010). While detection of Cdx2 expression in the KP lung adenocarcinoma is perhaps surprising, it is unlikely to be an artifact of the mouse model, as other studies on human lung adenocarcinomas have reported expression of Cdx2 in a subset of patients, although the role of Cdx2 in this context was not previously understood (Yatabe et al. 2004; Grimminger et al. 2009).

Our findings reflect the redundant nature of the cellular differentiation program in impeding tumor progression. The lungs and the intestines are developmentally related, as they are both derived from the developing gut tube. We showed that, during progression of lung adenocarcinoma, partial loss of the lung differentiation program by silencing of Nkx2-1 can lead to aberrant activation of an alternative differentiation program of the intestine that is driven by Cdx2 in at least a subset of these tumors. The activation of the latent intestinal program may serve as a redundant mechanism in the cells to restrain tumor dedifferentiation and metastatic progression. Subsequent loss of both the pulmonary and intestinal differentiation programs upon silencing of Nkx2-1, Foxa2, and Cdx2 expression is required for full progression to a cellular state of more primitive differentiation and higher metastatic potential. This hypothesis about redundancy is further corroborated by the results from a previous study in our laboratory, which showed that deletion of Nkx2-1 alone in the KP model of lung adenocarcinoma was not sufficient to promote metastasis (Snyder et al. 2013). In fact, loss of Nkx2-1 expression early at tumor initiation led to activation of a gastric differentiation program driven by Hnf4 α , Foxa1, and Foxa2 in these cancer cells. In this study, loss of Nkx2-1 at a later stage of tumor progression, as opposed to at tumor initiation, activated the expression of Cdx2 instead of Hnf4a. This difference may reflect the different cellular states of early and late tumors and the existence of multiple possible alternative differentiation states in the progression of the same tumor type. Interestingly, the aberrant activation of Cdx2 has also been identified to induce intestinal differentiation in other tumor types, including gastric cancer, esophageal cancer, nasal adenocarcinoma, pancreatic cancer, and ovarian cancer, and in some cases has been shown to associate with favorable prognosis (Mizoshita et al. 2003; Yuasa 2003; Guo et al. 2004; Matsumoto et al. 2004). These studies, together with our findings, strongly suggest that the activation

of a Cdx2-driven alternative differentiation program in tumors may be a common phenomenon in the evolution of cancer development and may serve as a mechanism to restrain malignant progression.

Our study has shown that the developmental transcription factors Foxa2 and Cdx2 function cooperatively with Nkx2-1 as important regulators in inhibiting metastasis of lung adenocarcinoma. These data provide strong evidence for the important roles of active and latent developmental regulators in restraining the programs of tumor dedifferentiation and metastatic progression. Our findings also demonstrate that while the pathways in the metastasis program are diverse and complex, they can be controlled by a small number of transcription factors that together govern a network of downstream targets to regulate metastasis.

Materials and methods

Autochthonous K-ras^{G12D/WT}; p53^{-/-} lung tumors and derivative cell lines

Lung tumors were initiated via intratracheal delivery of Lenti-Cre or Adeno-Cre in *K*-ras^{LSL-G12D/WT}; $p53^{flox/flox}$ mice as described previously (DuPage et al. 2009). The Massachusetts Institute of Technology Institutional Animal Care and Use Committee approved all animal studies and procedures.

 $\rm T_{nonMet}, \rm T_{Met},$ and Met cell lines were generated previously using Lenti-Cre-initiated primary tumors and metastases harvested at 6–14 mo post-infection (Winslow et al. 2011). All cell lines were cultured in complete medium (DMEM with 10% FBS, 50 U/mL penicillin, 50 mg/mL streptomycin). Five $\rm T_{nonMet}$ cell lines (368T1, 393T1, 394T4, 802T4, and 2557T1), six $\rm T_{Met}$ cell lines (373T1, 373T2, 389T2, 393T3, 393T5, and 482T1) and five Met cell lines (373N1, 393N1, 393M1, 482N1, and 482M1) were used for subsequent gene expression analysis and/or functional experiments in this study.

Transplantation assays for metastasis

For subcutaneous transplantation, 5×10^4 cells resuspended in 100 µL of PBS were injected under the skin on the hind flank of nude mice. Mice were analyzed 6.5 wk after injection. For intravenous transplantation, 5×10^4 cells resuspended in 100 µL of PBS were injected into the lateral tail vein. Animals were analyzed 2.5 wk after injection.

Intravital imaging

Multiphoton imaging of GFP-labeled tumors was performed as described previously (Wyckoff et al. 2011). Briefly, subcutaneous tumors at 5–6 wk after injection were exposed by skin flap surgery performed on anesthetized animals. Tumors were imaged with an Olympus FV1000 multiphoton microscope using a 25×, 1.05 NA water immersion objective with correction lens. Thirtyminute time-lapse movies were analyzed for numbers of migratory GFP-positive tumor cells using ImageJ. Three mice were used per condition, with four to seven fields imaged per mouse.

In vivo fine-needle collection assay

The in vivo invasion assay was performed as previously described (Wyckoff et al. 2000). In brief, four to six catheterized microneedles held in place by micromanipulators were inserted into the primary tumor of an anesthetized mouse. Needles contained a mixture of 10% Matrigel and 0.01 mM EDTA with L-15 medium \pm 10% FBS. After 4 h, the contents of the needle were extruded, and the total number of tumor cells that migrated into each needle was quantified using DAPI. Three mice were used per condition.

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