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Inflammation-nutritional markers of peripheral blood could predict survival in advanced non-small-cell lung cancer patients treated with PD-1 inhibitors

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Abstract

Background: Inflammation-nutritional markers of peripheral blood are easily assessed and can predict survival. The aim of this study was to investigate the association between inflammation-nutritional parameters and survival of anti-programmed death-1 (PD-1) therapy in non-small-cell lung cancer (NSCLC) patients.

Methods: We performed a retrospective study from March 2017 to April 2020 in advanced NSCLC patients treated with PD-1 inhibitors. Univariable and multivariable analyses were conducted to evaluate the relationship between peripheral blood parameters (absolute lymphocyte count [ALC], absolute neutrophil count [ANC], absolute monocyte count [AMC], absolute eosinocyte count [AEC], lactic dehydrogenase [LDH], plasma-albumin [ALB], neutrophil/lymphocyte ratio [NLR], and platelet/lymphocyte ratio [PLR]) measured before therapy initiation and prognosis.

Results: Among 184 evaluable patients, 134 (72.8%) were male and the median age was 58 years (range 33–87) with 31 (16.8%) \geq 70 years. An elevated ANC (\geq 7500/ul), NLR (\geq 5), and PLR (\geq 200) was significantly associated with worse objective response rate (ORR), progression-free survival (PFS), and overall survival (OS), while increased ALC (\geq 1000/ul) and ALB (\geq 3.5 g/dl) could significantly improve survival in terms of ORR, PFS, and OS. In multivariate analyses, higher AEC (\geq 150/ul) and AMC (\geq 650/ul) could significantly decrease the risk of death (hazard ratio [HR] 0.363, 95% confidence interval [CI] 0.141–0.931, *p* = 0.035; HR 0.370, 95% CI 0.203–0.675, *p* = 0.001). A higher NLR and PLR, and lower ALB were independent predictors of poor prognosis for OS (HR 1.964, 95% CI 1.027–3.755, *p* = 0.041; HR 4.255, 95% CI 2.364–7.658, *p* = 0.000; HR 1.962, 95% CI 1.213–3.174, *p* = 0.006, respectively).

Conclusion: Our research illustrated that pretreatment AEC, AMC, ALB, NLR, and PLR are independent predictors for survival in advanced NSCLC patients treated with PD-1 inhibitors.

KEYWORDS

inflammation-nutritional markers, lung cancer, PD-1 inhibitors, predictive parameters, survival

[†]Dan Pu, Qian Xu, and Lai-Yan Zhou contributed equally to this study.

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INTRODUCTION

Non-small-cell lung cancer (NSCLC) is the leading cause of cancer-related deaths worldwide.¹ Although the genetic pathogenesis, like the epidermal growth factor mutations or anaplastic lymphoma kinase rearrangements, in some cases were associated with NSCLC therapy development, the prognosis remains poor.² Recently, the therapeutic results for advanced NSCLC have been significantly improved with the use of immune checkpoint inhibitors (ICIs) targeting programmed death-1 (PD-1) and programmed death-ligand 1 (PD-L1) in various clinical research studies.^{3–5} Despite the unprecedented response rates and survival of ICIs, these outcomes only occur in a small number of patients with a rate ranging from 15% to 40%.^{6,7}

The identification of reliable biomarkers is therefore the optimal selection of candidates for ICIs. Previous reports have suggested that several markers might be potential predictive factors for ICIs in NSCLC, including PD-L1 expression of tumor cells, tumor mutation burden (TMB) in blood, TMB in tumor tissue, and blood-based immune biomarkers like neutrophil-lymphocyte ratio (NLR), platelet-lymphocyte ratio (PLR), C-reactive protein (CRP), etc.⁸⁻¹² Among these candidates, serum inflammation-nutritional markers are measurable variables from peripheral laboratory obtained in routine clinical settings that are easy to evaluate. Many inflammatory markers are also demonstrated to have a relationship with treatment response and survival in NSCLC patients treated with anti-PD-1 therapy.¹³⁻¹⁶ However, the precise inflammationnutritional markers predicting the response to PD-1 inhibitors are still unknown. The purpose of this study was to investigate the association between immune-inflammation-nutritional parameters and the clinical outcome of anti PD-1 therapy in advanced NSCLC patients.

METHODS

Patients

The study was approved by the Medical Ethical Committee of the Ethics Administration Office of West China Hospital, Sichuan University. From March 2017 to April 2020, we retrospectively analyzed NSCLC patients treated with anti-PD-1 therapy in our hospital. The following clinical features were included: age, sex, smoking status, performance status (PS), histological type, metastatic sites, treatment line, and PD-L1 expression by immunohistochemistry.

Patients were enrolled in analysis if they met the following criteria: pathological diagnostic NSCLC, inoperable stage IIIB or IV based on the American Joint Committee on Cancer (AJCC) TNM classification (8th edition),¹⁷ no less than four doses of treatments, measurable mass, and more than one therapeutic efficacy evaluation based on computerized tomography (CT). Patients were eliminated from the study if they lacked complete clinical data or had undergone radiotherapy on measurable lesions before the initial evaluation. Ultimately, 184 cases were selected in our study.

Immune-inflammation-nutritional parameters

Patients with available baseline laboratory tests like absolute lymphocyte count (ALC), absolute neutrophil count (ANC), absolute eosinocyte count (AEC), absolute monocyte count (AMC), lactic dehydrogenase (LDH), or plasma-albumin (ALB) within 1 week preceding the initiation of PD-1 inhibitors (i.e. nivolumab, pembrolizumab). NLR and PLR were calculated by dividing absolute neutrophil counts and thrombocyte counts by ALC. Patients were classified based on the prespecified cut-off values of NLR \geq 5 versus <5 and PLR \geq 200 versus <200, which have been previously validated.^{10,13,18–20} Referring to the previous articles, the cut-off values of blood cell counts were 1000/ul for ALC,^{21,22} 7500/ul for ANC,^{23,24} 150/ul for AEC,²⁵ and 650/ul for AMC.²⁶ The cut-off value of ALB was 3.5 g/dl.¹⁶ LDH levels exceeding the upper limit of normal (240 U/L) were considered high.^{15,27}

Statistical analysis

Categorical variables were recorded as numbers/percentages and were compared by a Fisher's exact or chi-square test. Overall survival (OS) was defined as the interval between the date of anti-PD-1 therapy initiation and any cause related death. Progression-free survival (PFS) was defined as the time between the date of PD-1 inhibitors starting and the date of disease progression. The therapeutic evaluation was based on the RECIST criteria version 1.1. Objective response rate (ORR) was defined as the proportion of patients who achieved a complete response (CR) or partial response (PR) in all treated patients, while the disease control rate (DCR) was defined as the proportion of patients who obtained a CR or PR or stable disease (SD) in all evaluated patients. The OS and PFS were calculated by the Kaplan-Meier method, and differences were compared via log-rank test. Hazard ratios (HRs) and their 95% confidence intervals (CIs) were estimated using the Cox proportional hazards model in both the univariate analyses and multivariate analyses. A p value of <0.05 was considered as statistical significance. All statistical analyses were performed using the SPSS (version 25) statistics software (IBM).

RESULTS

The clinical characteristics of patients

The baseline features of 184 enrolled advanced NSCLC patients are summarized in Table 1. The median age was 58 years (range 33–87 years); most patients were male (72.8%) and smokers (66.8%). Approximately half the patients had a good performance status (49.5%), and their main pathologic types was adenocarcinoma (54.3%). The most common meta-static site was lung (63.6%) followed by lymph node (52.7%), bone (42.9%), liver (32.6%), adrenal gland (27.7%), and brain (26.6%). With regarding to PD-L1 expression, 26.6% of cases showed low expression, 16.8% showed moderate expression,

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TABLE 1 Clinical characteristics of patients at baseline

Characteristics	N (%) ($n = 184$)
Age(years)	
Median (range)	58 (33-87)
<70	153 (83.2%)
≥70	31 (16.8%)
Sex	
Male	134 (72.8%)
Female	50 (27.2%)
Smoking status	
Smoker	123 (66.8%)
Never smoked	61 (33.2%)
Performance status	
0	91 (49.5%)
1	83 (45.1%)
2	10 (5.4%)
- Histological type	10 (011/0)
Adenocarcinoma	100 (54.3%)
Squamous cell carcinoma	68 (37.0%)
Other	16 (8.7%)
Metastatic sites	10 (0.770)
Lung	117 (63.6%)
Brain	49 (26.6%)
	49 (20.0%) 51 (27.7%)
Adrenal grand Liver	60 (32.6%)
Bone	79 (42.9%)
	97 (42.9%) 97 (52.7%)
Lymph node	97 (32.770)
PD-L1 expression <1%	49 (26.6%)
1-49%	31 (16.8%)
≥50%	50 (27.2%)
Unknown Treatment line	54 (29.3%)
Treatment line	(0 (22 (0/)
1st	60 (32.6%)
2nd	73 (39.7%)
3rd	29 (15.8%)
≥4th	22 (12.0%)
Previous radiotherapy	
Yes	87 (47.3%)
No	97 (52.7%)
PD-1 inhibitors	
Pembrolizumab	98 (53.3%)
Nivolumab	86 (46.7%)
ANC	
<7500/ul	141 (76.6%)
≥7500/ul	43 (23.4)
ALC	
<1000/ul	74 (40.2%)
≥1000/ul	110 (59.8%)
	(Continues)

PU et al.

Characteristics	N (%) ($n = 184$)		
AEC			
<150/ul	15 (8.2%)		
≥150/ul	169 (91.8%)		
AMC			
<650/ul	121 (65.8%)		
≥650/ul	63 (34.2%)		
NLR			
<5	115 (62.5%)		
≥5	69 (37.5%)		
PLR			
<200	99 (53.8%)		
≥200	85 (46.2%)		
LDH			
<240 U/L	140 (76.1%)		
≥240 U/L	44 (23.9%)		
ALB			
<3.5 g/dl	79 (42.9%)		
≥3.5 g/dl	105 (57.1%)		

TABLE 1 (Continued)

Abbreviations: AEC, absolute eosinophil count; ALB, albumin; ALC, absolute lymphocyte count; AMC, absolute monocyte count; ANC, absolute neutrophil count; LDH, lactate dehydrogenase; NLR, neutrophil/lymphocyte ratio; PD-L1, programmed death-ligand 1; PLR, platelet/lymphocyte ratio.

and 27.2% showed high expression. The majority of cases (72.3%) received first- or second-line therapy. Ninety-eight (53.3%) patients were treated with pembrolizumab and 86 (46.7%) patients were treated with nivolumab. Each immune-inflammation-nutritional parameter (ANC, ALC, AEC, AMC, NLR, PLR, LDH, ALB) was calculated and their proportions are shown in Table 1.

The tumor response and survival outcomes

In the overall population of 184 cases, one patient achieved CR (0.5%), 64 patients (34.8%) achieved PR, 60 patients (32.6%) experienced SD, and 59 patients (32.1%) had PD as the best radiological response. The ORR was 35.3% and the DCR was 67.9%. (Table 2) The ORR for patients with ANC < 7500/ul was 40.4%, whereas those with ANC \geq 7500/ ul was only 18.6%, (p = 0.009). The ORR for patients with ALC < 1000/ul was 24.3%, whereas those with ALC \geq 1000/ ul was 42.7% (p = 0.010). The ORR for patients with NLR < 5 (40.9%) was significantly higher than for those with NLR \geq 5 (26.1%) (*p* = 0.042). In terms of DCR, 73.0% of patients had ANC < 7500/ul, whereas 51.2% had ANC \geq 7500/ul (*p* = 0.007). The percentage of patients with ALC < 1000/ul was 55.4%, whereas for those with ALC \geq 1000/ul it was 76.4% (p = 0.003). The number of patients with NLR < 5 and PLR < 200 was significantly higher than for those with NLR \geq 5 and PLR \geq 200 (74.8%) vs. 56.5%, p = 0.010; 79.8% vs. 54.1%, p < 0.001). In terms

TABLE 2 PD-1 inhibitors activity in enrolled population

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Type of response	N (%) ($n = 184$)
Complete response	1 (0.5%)
Partial response	64 (34.8%)
Stable disease	60 (32.6%)
Progressive disease	59 (32.1%)
Objective response rate	35.3%
Disease control rate (%)	67.9%
Progression-free survival, months	
Median	6.6
95% CI	5.9-7.3
Overall survival, months	
Median	14.0
95% CI	12.4–15.5

of AEC, AMC, LDH, and ALB, these indexes seemed to have no significant effect on ORR and DCR (Table 3).

At a median follow-up of 9.2 months (range 1.9-27.6), the median PFS was 6.6 months (5.9-7.3) and the median OS was 14.0 months (12.4-15.5) (Table 2). The PFS and OS were significantly worse in patients with ANC \geq 7500/ul (median PFS 5.6 vs. 6.7 months, p = 0.039, Figure 1(a); median OS 10.6 vs. 14.9 months, p = 0.015, Figure 1(b)). The low-ALC group (<1000/ul) had a significantly worse PFS (5.6 vs. 7.6 months, p < 0.001; Figure 1(c)) and OS (10.5 vs. 15.6 months, p < 0.001; Figure 1(d)) compared with the high-ALC ($\geq 1000/ul$) group. Patients with AEC < 150/ul or AMC< 650/ul had comparable PFS with those with AEC \ge 150/ul (4.2 vs. 6.6 months, p = 0.511; Figure 1(e)) or AMC \ge 650/ul (5.9 vs. 6.8 months, p = 0.516; Figure 1(g)). A similar trend was also observed for OS (Figure 1f,h). The expression of PD-L1 was independent of PFS and OS (Supporting Information Figure S1).

Patients with low NLR levels (<5) had better survival in terms of PFS (7.5 vs. 5.6 months, p < 0.001; Figure 2a) and OS (15.6 vs. 10.5 months, p < 0.001; Figure 2b). Similarly, patients with low PLR levels (<200) had a significant improvement in PFS (8.3 vs. 5.2 months, p < 0.001; Figure 2c) and OS (15.9 vs. 10.2 months, p < 0.001; Figure 2d). Reduced pretreatment ALB level (<3.5 g/dl) was associated with inferior PFS (5.5 vs. 7.5 months, p = 0.002; Figure 2e) and OS (10.6 vs. 15.4 months, p = 0.022; Figure 2f). LDH levels were not significantly related to improved PFS (6.0 vs. 6.6 months, p = 0.566; Figure 2g) or OS (12.7 vs. 14.3 months, p = 0.270; Figure 2h).

Univariable and multivariable analysis of immune-inflammation-nutritional parameters for survival outcomes

In univariate analyses, we found that a relatively higher ANC (\geq 7500/ul), NLR (\geq 5), and PLR (\geq 200) was associated with a significantly worse OS, while a relatively lower ALC

TABLE 3 Overall response rate and disease control rate according to inflammation-nutritional index

	Therapeutic response					
Factor	ORR (%)	<i>p</i> value	DCR (%)	<i>p</i> value		
ANC						
<7500/ul	40.4	0.009	73.0	0.007		
≥7500/ul	18.6		51.2			
ALC						
<1000/ul	24.3	0.010	55.4	0.003		
≥1000/ul	42.7		76.4			
AEC						
<150/ul	33.3	0.866	46.7	0.084		
≥150/ul	35.5		69.8			
AMC						
<650/ul	34.7	0.809	66.9	0.689		
≥650/ul	36.5		69.8			
NLR						
<5	40.9	0.042	74.8	0.010		
≥5	26.1		56.5			
PLR						
<200	41.4	0.062	79.8	0.000		
≥200	28.2		54.1			
LDH						
<240 U/L	36.4	0.577	69.3	0.484		
≥240 U/L	31.8		63.6			
ALB						
<3.5 g/dl	35.4	0.977	63.0.3	0.242		
≥3.5 g/dl	35.2		71.4			

Note: Bold values indicate that p < 0.05.

Abbreviations: AEC, absolute eosinophil count; ALB, albumin; ALC, absolute lymphocyte count; AMC, absolute monocyte count; ANC, absolute neutrophil count; DCR, disease control rate; LDH, lactate dehydrogenase; NLR, neutrophil/lymphocyte ratio; ORR, overall response rate; PLR, platelet/lymphocyte ratio.

(<1000/ul) and ALB (<3.5 g/dl) tended to be associated with poor OS (Table 4). In multivariable analyses, a relatively higher AEC (\geq 150/ul) and higher AMC (\geq 650/ul) could significantly decrease the risk of death for advanced NSCLC patients. Consistent with univariate analyses, a higher NLR and PLR, and lower ALB were independent predictors of poor prognosis for OS (Figure 3). Other indexes, such as age, sex, smoking status, histological type, and previous radiotherapy, were not significantly associated with OS in both univariable and multivariable analysis (Table 4).

DISCUSSION

ICIs as novel therapeutic strategies have achieved unprecedented benefit in advanced NSCLC patients. Nutritional status and inflammatory markers are reported as predictive factors of response to ICIs.^{28,29} Peripheral blood parameters like ALC, AEC, ANV, LDH, and NLR before therapy have also been

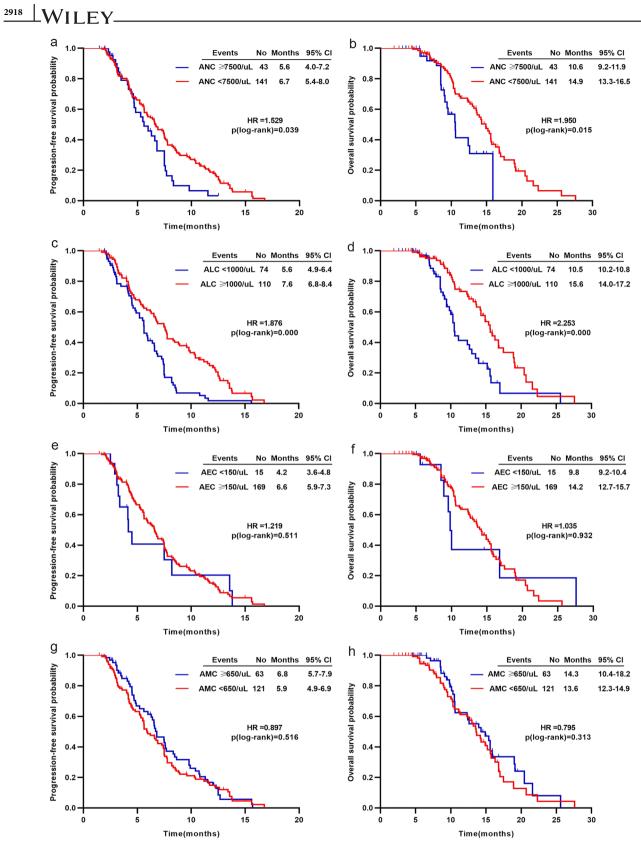


FIGURE 1 Kaplan-Meier analysis for PFS and OS based on (a) and (b) ANC, (c) and (d) ALC, (e) and (f) AEC, (g) and (h) AMC

found to be associated with outcome in other types of cancer patients treated with pembrolizumab²⁵ or ipilimumab.^{23,30–32} However, peripheral blood biomarkers for predicting response

to PD-1 inhibitors in advanced NSCLC remain unclear. This study comprehensively illustrates for the first time that baseline counts of inflammation-nutritional markers such as ANC,

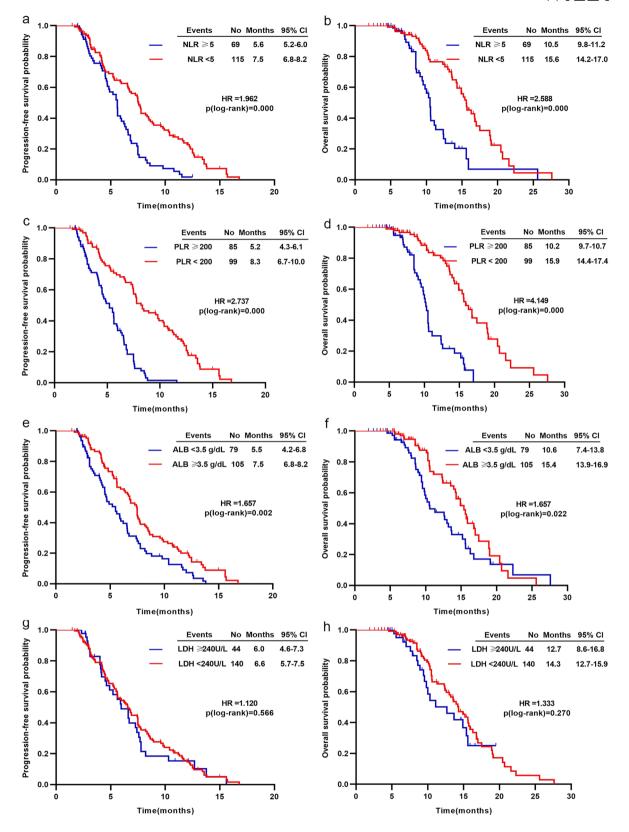


FIGURE 2 Kaplan-Meier analysis for PFS and OS based on (a) and (b) NLR, (c) and (d) PLR, (e) and (f) ALB, (g) and (h) LDH

ALC, AEC, AMC, NLR, PLR, LDH, and ALB are associated with ORR, DCR, PFS, and OS in advanced NSCLC patients treated with anti-PD-1 therapy. Our data revealed that an elevated AEC (\geq 150/ul), AMC (\geq 650/ul), and ALB (\geq 3.5 g/dl), and a low NLR (<5) and PLR (<200) were independently positive predictors of PD-1 inhibitors.

TABLE 4 Univariate and multivariate cox proportional hazards regression analysis for overall survival

	Category	Overall survival					
Variates		Univariate			Multivariate		
		HR	95% Cl	р	HR	95% Cl	p
Age	<70	1.016	0.541-1.910	0.961	1.360	0.673-2.751	0.392
Sex	Male	1.211	0.706-2.079	0.454	1.068	0.404-2.823	0.895
Smoking	Yes	1.035	0.651-1.647	0.884	1.485	0.591-3.735	0.400
Histological type	AC	1.234	0.872-1.746	0.235	1.108	0.763-1.609	0.590
Previous radiotherapy	Yes	0.890	0.566-1.400	0.615	0.577	0.331-1.005	0.052
ANC	≥7500/ul	1.950	1.142-3.330	0.015	1.787	0.898-3.559	0.098
ALC	<1000/ul	2.253	1.443-3.518	0.000	1.153	0.611-2.176	0.661
AEC	≥150/ul	0.971	0.464-2.030	0.931	0.363	0.141-0.931	0.035
AMC	≥650/ul	0.795	0.509-1.241	0.313	0.370	0.203-0.675	0.001
NLR	≥5	2.588	1.641-4.080	0.000	1.964	1.027-3.755	0.041
PLR	≥200	4.149	2.553-6.741	0.000	4.255	2.364-7.658	0.000
LDH	≥240 U/L	1.333	0.800-2.221	0.270	1.103	0.602-2.022	0.751
ALB	<3.5 g/dl	1.657	1.075-2.556	0.022	1.962	1.213-3.174	0.006

Note: Bold values indicate that p < 0.05.

Abbreviations: AC, adenocarcinoma; AEC, absolute eosinophil count; ALB, albumin; ALC, absolute lymphocyte count; AMC, absolute monocyte count; ANC, absolute neutrophil count; LDH, lactate dehydrogenase; NLR, neutrophil/lymphocyte ratio; PLR, platelet/lymphocyte ratio; SCC, squamous cell carcinoma.

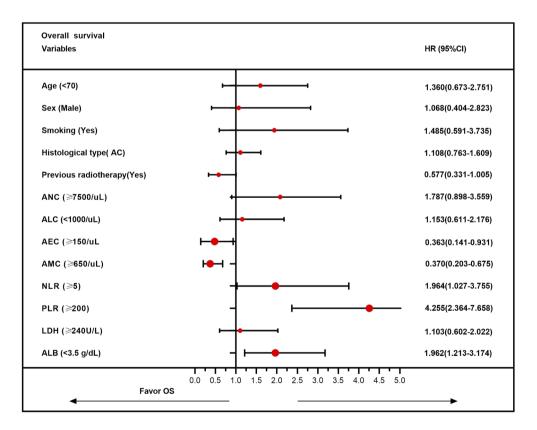


FIGURE 3 Multivariate analysis of overall survival to identify independent prognostic factors

These outcomes may be attributed to the following explanations. Neutrophils are correlated to tumor progression and metastasis by directly affecting tumor cells or indirectly affecting the tumor microenvironment via chemokines and cytokine secretion.^{33–35} One study has indicated that higher ANC could reduce CD8+ T cells in NSCLC,³⁶ thus suppressing the antitumor immune response.³⁷ On the contrary, lymphocytes have an important role in antitumor immunity and lymphocytes infiltration increasement in tumor region has been demonstrated to have better response to therapy and survival in

solid tumors.³⁸ Lymphopenia reflects the impairment of the cell-mediated immune response. Therefore, an elevated NLR, either neutrophils increasing or lymphocytes decreasing, would result in a poor response to PD-1 inhibitors in NSCLC patients. In the univariate analysis of our study, both neutrophilia (\geq 7500/ul) and lymphopenia (<1000/ul) had worse ORR, PFS, and OS, despite these negative results in multivariate analysis or multivariate analysis (HR 1.964, 95% CI 1.027–3.755, p = 0.041), could significantly reduce the risk of death for advanced NSCLC patients receiving PD-1 inhibitors as therapy.

Eosinophils have effects on tumor progression: activated eosinophils have a crucial role in tumor rejection by promoting tumor-specific CD8+ T-cell infiltration and modulating the tumor microenvironment.³⁹ Tanizaki et al.¹⁵ demonstrated that a high AEC had improved PFS and OS. Similar to this research, an elevated AEC as an independent positive predictive factor for anti-PD-1 therapy was also obtained in our study. The prognostic nutrition index (PNI), a factor based on serum albumin level and lymphocyte count, can predict chronic inflammation and the nutritional status of patients.⁴⁰ Several reports have found a significant relationship between PNI and the survival of patients with a variety of malignant tumors. Peng et al. indicated that a baseline PNI \geq 45 was associated with better PFS and OS.⁴¹ The present study reveals that ALB \geq 3.5 g/dl is a positive prognostic indicator of PD-1 inhibitors. In addition, LDH is primarily produced by rapidly growing tumors and thus can reflect the tumor burden.⁴¹ LDH was found to eb related to bad prognosis in melanoma patients treated with ICIs.^{42,43} In patients with NSCLC, Taniguchi et al. utilized LDH to forecast the efficacy of nivolumab and discovered that patients with baseline LDH > 240 U/L was associated shorter PFS.44 Similarly, patients with pretreatment LDH≥ 240 U/L related to a relatively shorter PFS and OS were also observed in our study.

As for baseline PLR levels, the findings are controversial in previously published papers: adopting different cut-off values (PLR \geq 200 and \geq 160, respectively), in terms of ORR or OS, no statistically significant difference was obtained between NSCLC patients treated with nivolumab with high PLR values and those with low levels.^{20,45} By contrast, Russo et al.¹³ demonstrated that a baseline PLR (\geq 200) was related to statistically significant worse ORR (p = 0.04), DCR (p = 0.001), PFS (p = 0.03), and OS (p = 0.05) in NSCLC patients treated with nivolumab. Our data analysis shows that a similar trend is obtained in DCR, PFS, and OS, but not in ORR.

The study has several limitations. First, the retrospective nature of the current research and the small number of patients may have introduced confounding factors and potential bias. Thus, these preliminary outcomes warrant further research and confirmation. Second, a control group in which patients did not receive PD-1 inhibitors was lacking. Third, the cut-off value of immune-inflammationnutritional parameters referred to most published studies while other studies utilizing different cut-off values might have a potential impact on the outcomes.

CONCLUSIONS

In conclusion, our research suggests that pretreatment AEC, AMC, ALB, NLR, and PLR were independently positive predictors of PD-1 inhibitors in advanced NSCLC patients. These inflammation-nutritional parameters could help clinicians identify subpopulations who were more likely to benefit from anti-PD-1 therapy before its initiation. Furthermore, considering the routine nature of assessment and the accessibility of these indexes at baseline, these results could be easily integrated into clinical practice.

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None.

CONFLICT OF INTEREST STATEMENT

The authors declare that they have no competing interests.

ETHICS APPROVAL

This study was approved by the Medical Ethical Committee of the West China Hospital of Sichuan University (Chengdu, China) and all patients in this research gave informed consent.

AUTHOR CONTRIBUTIONS

Y.Z. collected and reviewed the literature and wrote the manuscript. R.X. wrote and revised the manuscript. L.Z. and D.P. reviewed the manuscript and put forward meaningful comments on it. L.Z. assisted in drawing the figures. X.M. and J.L. designed the study and revised the manuscript. All authors read and approved the final manuscript.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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SUPPORTING INFORMATION

Additional supporting information may be found in the online version of the article at the publisher's website.

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