



Comparative proteomics analysis for identifying the lipid metabolism related pathways in patients with Klippel-Feil syndrome

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Background: Klippel-Feil syndrome (KFS) represents the rare and complex deformity characterized by congenital defects in the formation or segmentation of the cervical vertebrae. There is a wide gap in understanding the detailed mechanisms of KFS because of its rarity, heterogeneity, small pedigrees, and the broad spectrum of anomalies.

Methods: We recruited eight patients of Chinese Han ethnicity with KFS, five patients with congenital scoliosis (CS) who presented with congenital fusion of the thoracic or lumbar spine and without known syndrome or cervical deformity, and seven healthy controls. Proteomic analysis by data-independent acquisition (DIA) was performed to identify the differential proteome among the three matched groups and the data were analyzed by bioinformatics tools including Gene Ontology (GO) categories and Ingenuity Pathway Analysis (IPA) database, to explore differentially abundant proteins (DAPs) and canonical pathways involved in the pathogenesis of KFS.

Results: A total of 49 DAPs were detected between KFS patients and the controls, and moreover, 192 DAPs were identified between patients with KFS and patients with CS. Fifteen DAPs that were common in both comparisons were considered as candidate biomarkers for KFS, including membrane primary amine oxidase, noelin, galectin-3-binding protein, cadherin-5, glyceraldehyde-3-phosphate dehydrogenase, peroxiredoxin-1, CD109 antigen, and eight immunoglobulins. Furthermore, the same significant canonical pathways of LXR/RXR activation and FXR/RXR activation were observed in both comparisons. Seven of DAPs were apolipoproteins related to these pathways that are involved in lipid metabolism.

Conclusions: This study provides the first proteomic profile for understanding the pathogenesis and identifying predictive biomarkers of KFS. We detected 15 DAPs that were common in both comparisons as candidate predictive biomarkers of KFS. The lipid metabolism-related canonical pathways of LXR/RXR and

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FXR/RXR activation together with seven differentially abundant apolipoproteins may play significant roles in the etiology of KFS and provide possible pathogenesis correlation between KFS and CS.

Keywords: Klippel-Feil syndrome (KFS); lipid metabolism; congenital scoliosis (CS); differentially abundant proteins (DAPs); LXR/RXR activation; FXR/RXR activation

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Introduction

Klippel-Feil syndrome (KFS) is a relatively rare and complicated condition that is characterized as congenital fusion of two or more cervical vertebrae with or without additional spinal or extraspinal manifestations (1,2). It is estimated to occur one in every 40,000 to 42,000 births (3). However, the prevalence of KFS may be much higher because its diagnosis can be missed due to heterogeneity in phenotypic expression between patients (4-6). Patients with KFS show the clinical triad of short neck, low posterior hairline, and limited cervical range of motion (7). Congenital cervical fusion deformity often alters the kinematics of the cervical spine, leading to acceleration of degenerative manifestations, hypermobility and instability, neurologic symptoms, and the potential for neurological spinal injury after major or even minor trauma (8,9). As a consequence, KFS may result in serious physical and mental problems in patients. Studies have shown that, in KFS, the congenital fusion of the cervical spine is associated with failure of formation and segmentation embryologically (8). Mutated genes such as *GDF6*, *MEOX1*, *GDF3*, *MYO18B*, and *RIPPLY2* encode proteins involved in somite development via transcription regulation and signaling pathways (10-14). Although significant progress has been made in understanding the process of cervical vertebra fusion, there is a wide gap in understanding of the detailed mechanisms of KFS because of its rarity, heterogeneity, small pedigrees, and the broad spectrum of anomalies (15).

Thus, it is essential to explore early specific biomarkers and design measures to prevent potentially fatal outcomes in KFS patients. Data-independent acquisition (DIA) based quantitative proteomics analysis is a powerful mass spectrometric (MS) technique to perform both protein identification and quantification of complex protein samples (16,17). Furthermore, DIA method has been performed in biomarker studies to understand the pathogenesis and underlying mechanisms of congenital diseases (18-20).

Therefore, proteomics by DIA coupled with Q-Exactive mass spectrometry was used to compare the serum protein profiles of patients with KFS and healthy controls as well as patients with KFS and patients with congenital scoliosis (CS; patients with known syndromes and cervical deformity were excluded).

We present the following article in accordance with the MDAR checklist (available at <http://dx.doi.org/10.21037/atm-20-5155>).

Methods

Patients and blood collection

We consecutively recruited eight patients of Chinese Han ethnicity with KFS, who had fulfilled inclusion criteria of the congenital fusion of at least two cervical vertebrae, in the Peking Union Medical College Hospital from October 2018 to May 2019. Four were male and four were female, and the mean age at diagnosis was 21.0 ± 13.4 years. Seven healthy control participants and five patients with CS were recruited with the sex and age matched. The patients with CS were presented with congenital fusion of the thoracic or lumbar spine and had no known syndrome and cervical deformity. The healthy controls had no congenital skeletal malformations which were confirmed by spine X-ray.

Blood samples were drawn from all the participants and centrifuged at $16,000 \times g$ (4°C) for 10 min. The serum from each sample was transferred into new Eppendorf tubes and stored at -80°C until used. Demographic information, clinical symptoms, detailed comorbidities, and radiological assessments of all the participants also were obtained (Table S1).

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics board of the Peking Union Medical College Hospital (No. JS-098) and informed consent was taken from all individual participants.

Sample preparation

ProteoPrep Blue Albumin and an IgG Depletion kit (PROTBA, Sigma-Aldrich Company, Darmstadt, Germany) were used to deplete serum IgG and albumin. We used 10 μ L serums for the depletion, and 1 mL was eluted at the end of the procedure. The Bradford method was used to measure the protein concentration after depletion and vacuum concentration according to the manufacturer's instructions. Next, 40 μ g protein lysate was reduced with 25 mM DTT at 60 °C for 60 min and alkylated with 50 mM iodoacetamide in the dark for 30 min. After alkylation, FASP digestion was performed for each sample using an ultrafiltration filter (10 kDa cutoff, Sartorius, German). Trypsin was added in a 1:100 ratio (enzyme:protein) at 37 °C for 14–16 h, after which the samples were centrifuged at 20,000 \times g (4 °C) for 10 min. The peptides were desalted using Ziptip C18 pipette tips (Merck KGaA, Darmstadt, Germany). After drying, the peptides were resuspended in 0.1% formic acid. Then, 20 μ g protein lysate was taken out of each sample and used to build the DIA Spectral Library. The Biognosys' iRT kit was added to the rest of the samples according to the manufacturer's instructions (required for DIA analysis using Biognosys' Spectronaut).

High pH reversed-phase fractionation

High pH reversed-phase chromatography was applied to further fractionate the digests and 30 μ g of the digest was combined. The RIGOL L-3000 system (RIGOL, Beijing, China) was applied to the reverse-phase chromatography column to separate the peptides. The peptide mixtures were dissolved in 100 μ L mobile phase A (2% (v/v) acetonitrile, 98% (v/v) ddH₂O; pH 10) and then centrifuged at 14,000 \times g for 20 min. The supernatant was loaded into the column and eluted by continuously injecting mobile B (98% (v/v) acetonitrile, 2% (v/v) ddH₂O; pH 10) at a flow rate of 700 μ L/min. A step gradient (1.5 min per step) of mobile phase B was used to elute and collect the fractions.

Mass spectrometric acquisition

Each sample was analyzed using the analytical column (75 μ m \times 250 mm; 2 μ m) in a nanoliquid chromatography system (EASY-nLC 1000 System, Thermo Scientific, Waltham, MA, USA) connected to a Q Exactive HF mass spectrometer (Thermo Scientific). A binary solvent system with 99.9% H₂O, 0.1% formic acid (phase A) and 80% ACN,

19.9% H₂O, 0.1% formic acid (phase B) was applied to elute the peptide mixtures. The following linear gradient was applied: 13–28% B in 93 min, 28–38% B in 11 min, 38–100% B in 4 min, and washed at 100% B for 8 min. The eluent was added directly into the Q-Exactive HF mass spectrometer via an EASY-Spray ion source. Source ionization parameters were as follows: spray voltage 2.2 kV, capillary temperature 320 °C, and declustering potential 100 V. One full-scan MS from 300 to 1,600 m/z, then 20 MS/MS scans were continuously acquired for data-dependent acquisition (DDA) LC-MS/MS analysis. The resolution was set to 60,000 for MS and 30,000 for MS/MS. For high-energy collisional dissociation, the isolation window was set to 2 m/z and the normalized collision energy was applied as 28%.

The DIA LC-MS/MS method relied on the MS1 scan from 300 to 1,100 m/z (AGC target of 36 or 80 ms injection time). DIA segments were collected at 30,000 resolutions (AGC target 2e5 and auto for injection time). The spectra were recorded in profile mode with collision energy 28%. The default charge state for the MS2 was set to 3. The raw mass spectrometric data, the spectral libraries, and the quantitative data tables have been deposited to the ProteomeXchange Consortium via the PRIDE partner repository.

Mass spectrometric data analysis and protein identification

DIA analysis was performed on Spectronaut Pulsar, the mass spectrometer vendor-independent software from Biognosys. The false discovery rate was evaluated using the mProphet approach and was set to 1% at the peptide level. Protein inference was performed on the principle of parsimony using the ID picker algorithm implemented in Spectronaut. For the DIA analysis, the RAW files were converted to the Spectronaut file format and calibrated in the retention time dimension based on the global spectral library. The recalibrated files were used for the targeted data analysis without new recalibration of the retention time dimension.

Proteome Discoverer 2.1 analysis software with default settings (Trypsin/P, two missed cleavages) was applied for the DDA spectra. Search criteria included carbamidomethylation of cysteine as a fixed modification and oxidation of methionine and acetyl (protein N terminus) as variable modifications. The parent ion tolerance was 10 ppm and the fragment ion mass tolerance was 0.02 Da. The DDA files were searched against the human Swiss-

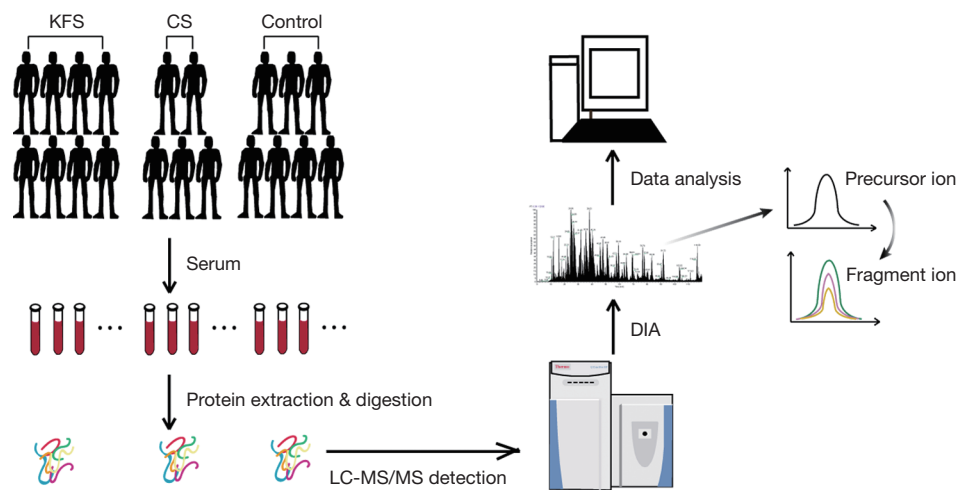


Figure 1 Flowchart of the study design.

Prot fasta database (state 15.03.2018, 20,240 entries) and the Biognosys iRT peptides fasta database (uploaded to the public repository).

Procedures for sample preparation, high pH reversed-phase fractionation, LC-MS/MS and data analysis were shown in *Figure 1*.

Bioinformatics and statistical analyses

The Perseus software was used to analyze the differences among the proteomic inventories in the two comparisons and the data were subjected to an analysis of variance test (two-sample *t*-tests). The cutoff values were set as 1.4-fold for increased and 0.71-fold for decreased abundance and the differential changes were considered significant at $P \leq 0.05$. The three main Gene Ontology (GO) categories, biological process, molecular function, and cellular component, were analyzed with OmicsBox (1.2.4) and pathway analysis was performed using the Ingenuity Pathway Analysis (IPA) database.

Results

Identification and functional analysis of DAPs between patients with KFS and healthy controls

We performed proteomic profiling of eight patients with KFS and seven healthy controls. A total of 493 proteins were identified and 49 of them were differentially abundant in the patients with KFS compared with the controls (ratio ≥ 1.42 or ≤ 0.70 ; $P < 0.05$). Among the differentially abundant

proteins (DAPs), 27 were significantly decreased and 22 were significantly increased. Details of the 49 DAPs are presented in *Table S2* and their distribution is shown in the volcano plot in *Figure 2A*. A protein-protein interaction network was constructed using the DAPs that exhibited specific and extensive interactions and a score was calculated to assess the probability of protein-protein interactions that occurred by random chance (*Figure 2B*). The highest scoring network (score =18) included 10 target proteins related to cellular development, cellular growth and proliferation, and cellular movement. The DAPs were functionally annotated with GO terms under the three main categories: biological process, molecular function, and cellular component. Under biological process, the most enriched terms were related to regulation of cellular process, cellular response to stimulus, establishment of localization, and immune response. Under molecular function, the most enriched terms were protein binding, antigen binding, and ion binding. Under cellular components, the most enriched terms were extracellular space, plasma membrane, and cell periphery (*Figure 2C*).

Identification and functional analysis of DAPs between patients with KFS and patients with CS

To further explore the correlated pathogenesis between KFS and CS and provide possible reasons for the deformity located at cervical segments, we performed proteomic profiling of patients with KFS and patients with CS. A total of 462 proteins were identified and 192 DAPs were differentially abundant in patients with KFS compared with patients with CS. Among them, 118 decreased and

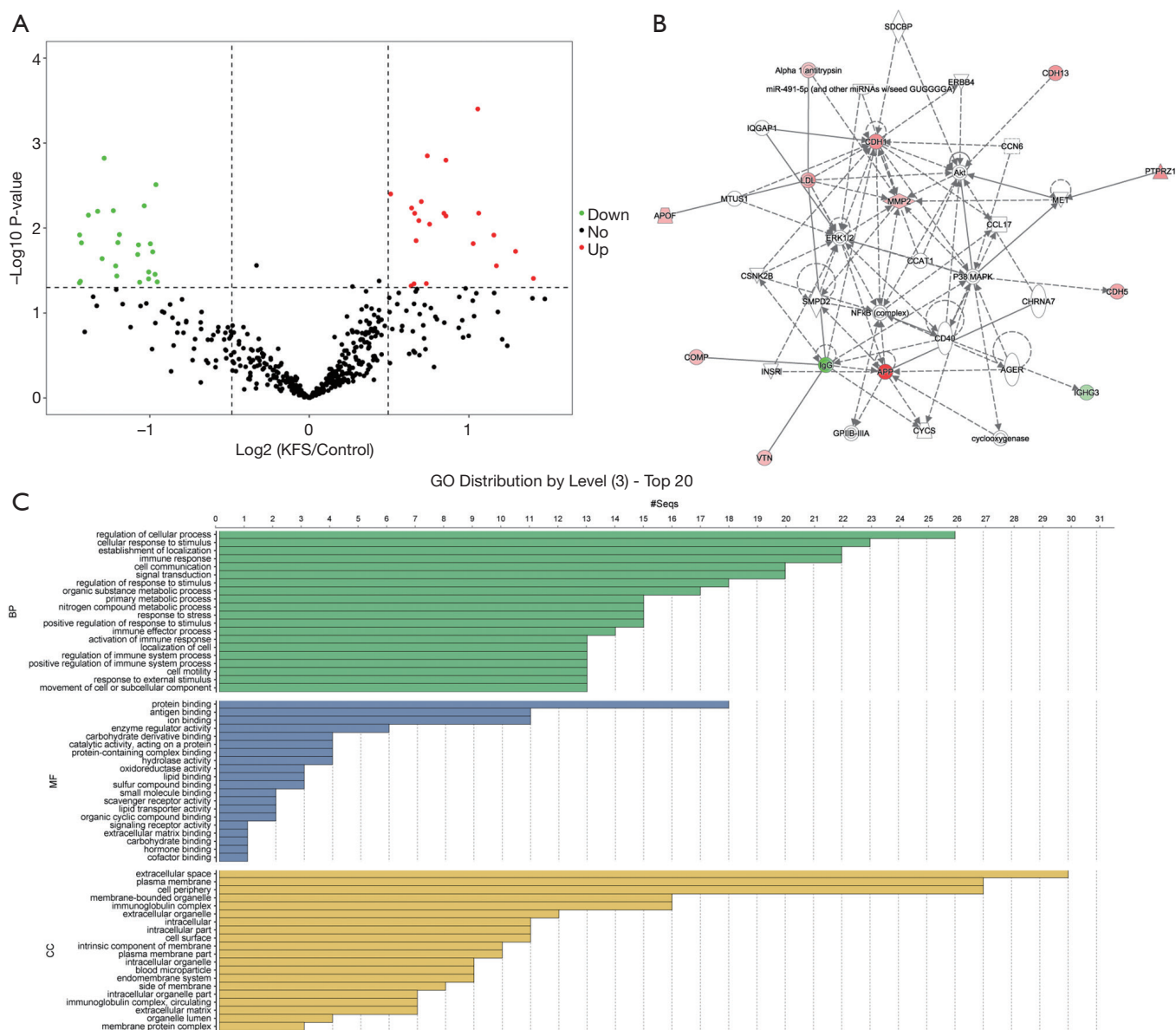


Figure 2 Visualization and functional analysis of differentially abundant proteins (DAPs) in patients with Klippel-Feil syndrome (KFS) compared with healthy controls. (A) Volcano plot showing the 49 significant DAPs; 22 were increased and 27 were decreased. All the identified proteins (493) are plotted on the x-axis; the P values are plotted on the y-axis. Green, red, and gray dots indicate proteins with decreased, increased, and no change in abundance, respectively. (B) Protein-protein interaction network of the 49 DAPs. Solid lines indicate direct molecular interactions that have experimental confirmation; dashed lines indicate indirect interactions. Nodes in green and red indicate proteins with decreased and increased abundance, respectively. Uncolored nodes indicate potential target proteins that are functionally related to the DAPs. (C) Gene ontology (GO) functional annotation of the DAPs under the biological process (BP), molecular function (MF), and cellular component (CC) categories.

74 increased in abundance. Details of the 192 DAPs are presented in [Table S3](#). The DAPs were functionally annotated with GO terms. Under biological process, the most enriched term was regulation of cellular process, followed by organic substance metabolic process, primary metabolic process, cellular metabolic process, and nitrogen compound metabolic process. Under molecular function, the most enriched terms were protein binding and ion binding. Under cellular component, the most enriched terms were extracellular space and membrane-bounded organelle. The protein-protein interaction network with the highest score (score =37) included 25 target molecules associated with cancer, hematological disease, and inflammatory disease. The volcano plot, GO functional annotation, and protein-protein interaction network of these DAPs are shown in [Figure 3](#).

Common DAPs in the two comparisons

We selected 15 DAPs that were detected in both comparisons as candidate markers of KFS ([Table 1](#)). They included glyceraldehyde-3-phosphate dehydrogenase, CD109 antigen, cadherin-5, peroxiredoxin-1, galectin-3-binding protein, membrane primary amine oxidase, noelin, and eight immunoglobulins. A cluster analysis of the abundance levels of the 15 DAPs in patients with KFS, controls, and patients with CS showed that the abundance levels of eight immunoglobulins decreased significantly in patients with KFS or CS compared with the controls. Moreover, the decline in the abundance levels of immunoglobulins in patients with CS was more significantly than that in patients with KFS ([Figure 4](#)).

Common canonical pathways in the two comparisons

The distribution of the DAPs in the canonical pathways was calculated using the IPA software package. Pathways were considered to be significantly enriched for $P < 0.05$. The DAPs detected in the patients with KFS *vs.* controls comparison were involved in primary immunodeficiency signaling, hematopoiesis from pluripotent stem cells, communication between innate and adaptive immune cells, autoimmune thyroid disease signaling, phagosome formation, and LXR/RXR and FXR/RXR activation. The DAPs detected in the patients with KFS *vs.* patients with CS comparison were involved in crucial lipid metabolism-related pathways of LXR/RXR and FXR/RXR activation ([Figure 5](#)). The abundance levels of three key differentially

abundant lipoproteins, apolipoprotein C-III (APOC3), apolipoprotein A-IV (APOA4), and apolipoprotein F (APOF), were highly elevated in patients with KFS *vs.* controls, whereas the abundance levels of four other key lipoproteins, apolipoprotein A-II (APOA2), apolipoprotein E (APOE), apolipoprotein H (APOH: beta-2-glycoprotein I), and apolipoprotein D (APOD) were differentially abundant in the patients with KFS *vs.* patients with CS comparison; APOA2, APOE, and APOH were significantly reduced and APOD was highly elevated. All the DAPs involved in the LXR/RXR and FXR/RXR activation pathways, including the seven differentially abundant apolipoproteins, are shown in [Table 2](#).

Discussion

KFS is a congenital cervical fusion malformation caused by segmentation defects in mesodermal somites (8,21,22). In this study, we employed a DIA method to explore 49 DAPs in patients with KFS compared with healthy controls. CS is a complex deformity of the spine caused by vertebral malformations, including defects of vertebral formation (hemivertebra or wedge vertebra) and defects of vertebral segmentation (vertebral bar or block vertebra) (23,24). Although CS and KFS both present with congenital vertebral malformation, whether there are potential pathogenic mechanisms between CS and KFS is largely unknown (25,26). Therefore, we performed a proteomic analysis of patients with KFS and patients with CS to detect predictive protein biomarkers of KFS and to explore the underlying mechanism between KFS and CS in somitogenesis. Overall, eight different immunoglobulins were detected among the 15 common DAPs in the two comparisons.

Immunoglobulins are glycoproteins that play roles in the immune system by specifically recognizing and binding to particular pathogens. Immunoglobulin kappa chain constant region (*IGKC*) was identified as a candidate gene that encodes a protein that may play a pivotal role in the autoimmune mechanism associated with the etiology of abdominal aortic aneurysm formation (27). In addition, *IGKC* was described as the B cell-related gene signature in human solid tumors, including breast, lung, and colorectal adenocarcinomas. *IGKC* is a novel diagnostic marker for risk stratification and the encoded protein may promote the humoral immune response in anticancer therapy (28). Moreover, immunogenetic studies suggested that *IGKC* and immunoglobulin heavy chain G (*IGHG*) contributed

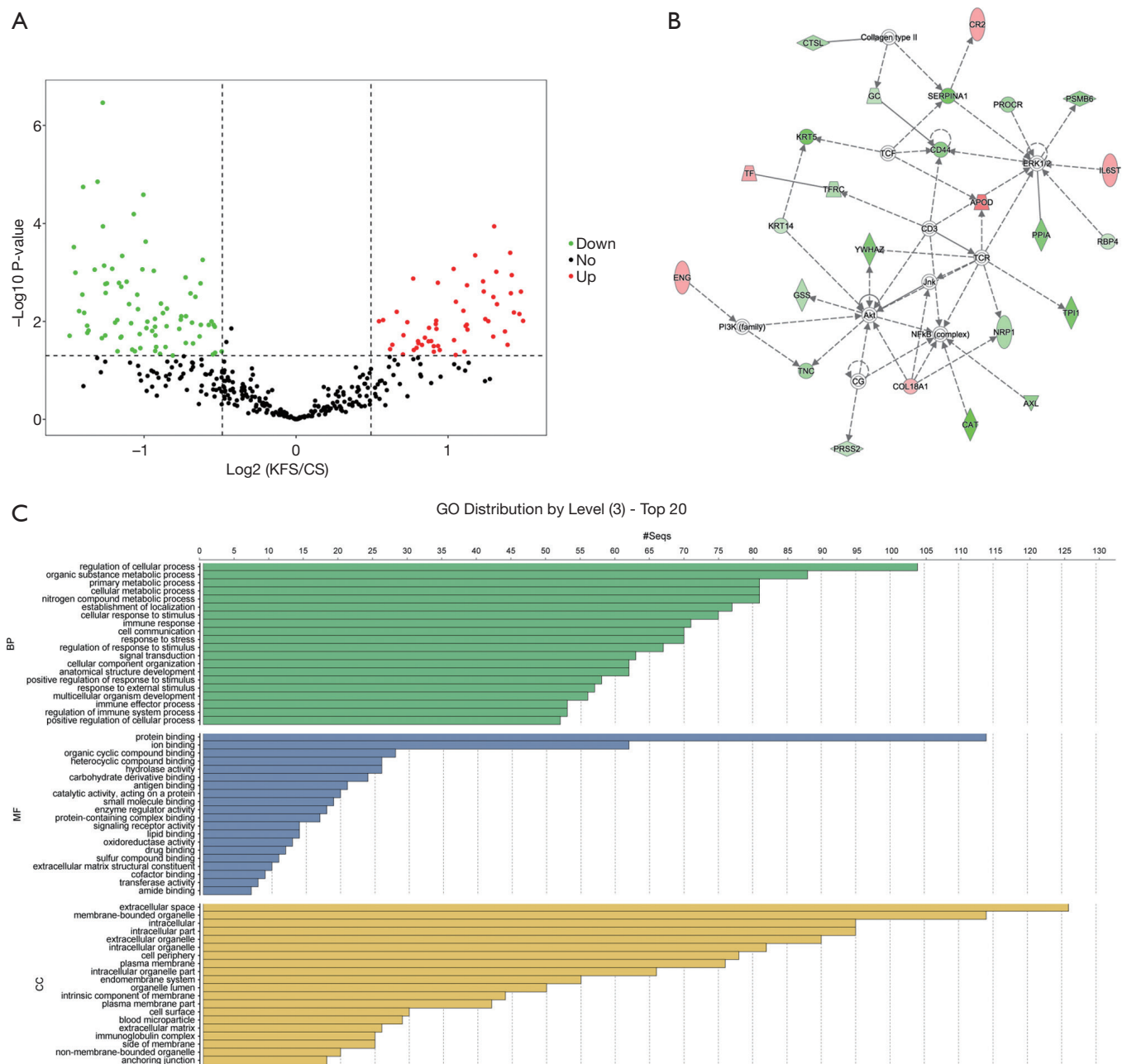


Figure 3 Visualization and functional analysis of differentially abundant proteins (DAPs) in patients with Klippel-Feil syndrome (KFS) compared with patients with congenital scoliosis (CS). (A) Volcano plot showing the 192 significant DAPs; 74 were increased and 118 were decreased. All the identified proteins (462) are plotted on the x-axis; the P-values are plotted on the y-axis. Green, red, and gray dots indicate proteins with decreased, increased, and no change in abundance, respectively. (B) The most significant protein-protein interaction network of the 192 DAPs. Solid lines indicate direct molecular interactions that have experimental confirmation; dashed lines indicate indirect interactions. Nodes in green and red indicate proteins with decreased and increased abundance, respectively. Uncolored nodes indicate potential target proteins that are functionally related to the DAPs. (C) Gene ontology (GO) functional annotation of the DAPs under the biological process, molecular function, and cellular component categories.

Table 1 Differentially expressed proteins involved in both comparisons (KFS patients compared with control participants and CS patients)

Swiss-Prot accession no.	Swiss-Prot entry name	Protein Name	KFS patients/CS patients			KFS patients/control participants		
			P value	Fold change	Regulation	P value	Fold change	Regulation
P01834	IGKC_HUMAN	Immunoglobulin kappa constant	0.00039	3.73427	Up	0.01193	0.43808	Down
B9A064	IPLL5_HUMAN	Immunoglobulin lambda-like polypeptide 5	0.00012	2.46926	Up	0.02048	0.47431	Down
P0DOY2	IGLC2_HUMAN	Immunoglobulin lambda constant 2	0.00163	1.90980	Up	0.01578	0.47591	Down
A0A2Q2TTZ9	A0A2Q2TTZ9_HUMAN	Immunoglobulin kappa variable 1-33	0.00045	2.26433	Up	0.02794	0.43106	Down
P01857	IGHG1_HUMAN	Immunoglobulin heavy constant gamma 1	0.00015	3.06671	Up	0.03517	0.51085	Down
P01860	IGHG3_HUMAN	Immunoglobulin heavy constant gamma 3	0.00040	2.65677	Up	0.04317	0.51696	Down
P01599	KV117_HUMAN	Immunoglobulin kappa variable 1-17	0.03687	1.53585	Up	0.01533	0.50120	Down
P01718	LV327_HUMAN	Immunoglobulin lambda variable 3-27	0.04720	1.62870	Up	0.03967	0.49733	Down
Q06830	PRDX1_HUMAN	Peroxiredoxin-1	0.00115	0.24445	Down	0.01905	0.50629	Down
P04406	G3P_HUMAN	Glyceraldehyde-3-phosphate dehydrogenase	0.00073	0.41659	Down	0.00670	1.58071	Up
P33151	CADH5_HUMAN	Cadherin-5	0.01285	0.69025	Down	0.00159	1.81037	Up
Q6YHK3	CD109_HUMAN	CD109 antigen	0.02784	1.74779	Up	0.00721	1.81076	Up
Q08380	LG3BP_HUMAN	Galectin-3-binding protein	0.00705	2.77056	Up	0.00668	2.08792	Up
Q16853	AOC3_HUMAN	Membrane primary amine oxidase	0.00638	1.58299	Up	0.00141	1.66984	Up
Q99784	NOE1_HUMAN	Noelin	0.00085	2.05215	Up	0.00488	1.62694	Up

KFS, Klippel-Feil syndrome; CS, congenital scoliosis.

to the prognosis in breast cancer and to breast tumor-associated antigens in a racially restricted manner (29-31). However, our results are the first to account for the correlation between immunoglobulins and congenital spine deformities. The cluster analysis revealed a significant decline in the abundance levels of immunoglobulins, including IGHG1, IGHG3 and IGKC, in both patients with KFS and patients with CS compared with the healthy controls. These findings indicated that the low abundance of immunoglobulins may contribute to congenital vertebral malformation. We also found that immunoglobulins were

more abundant in serum samples of patients with KFS compared with those of patients with CS, which indicated that immunoglobulins may be valuable biomarkers for KFS. We deduced that dysfunction of the immune system may be the underlying pathogenic mechanism of somitogenesis defects in the cervical spine.

One of the 15 common DAPs, membrane primary amine oxidase, showed a more than 1.6-fold increase in patients with KFS compared with the controls. Membrane primary amine oxidase is highly abundant in endothelial cells, smooth muscle cells, and adipocytes (32) and is associated with

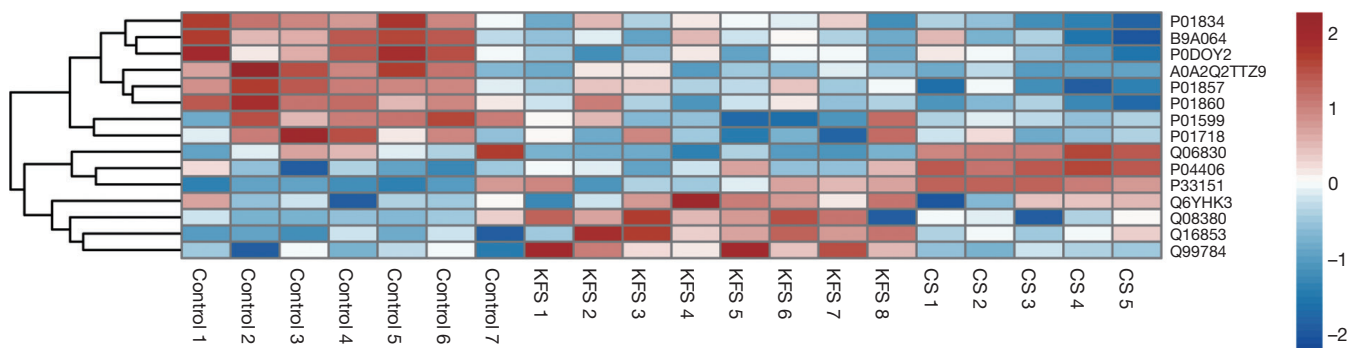


Figure 4 Cluster analyses of differentially abundant proteins (DAPs) that were common in the patients with Klippel-Feil syndrome (KFS) *vs.* healthy controls and patients with KFS *vs.* patients with congenital scoliosis (CS) comparisons. The abundance levels of the 15 candidate DAPs in patients with KFS, patients with CS, and healthy controls are shown. Rows represent individual proteins; columns indicate individual participants. Blue indicates decreased protein abundance; red indicates increased protein abundance.

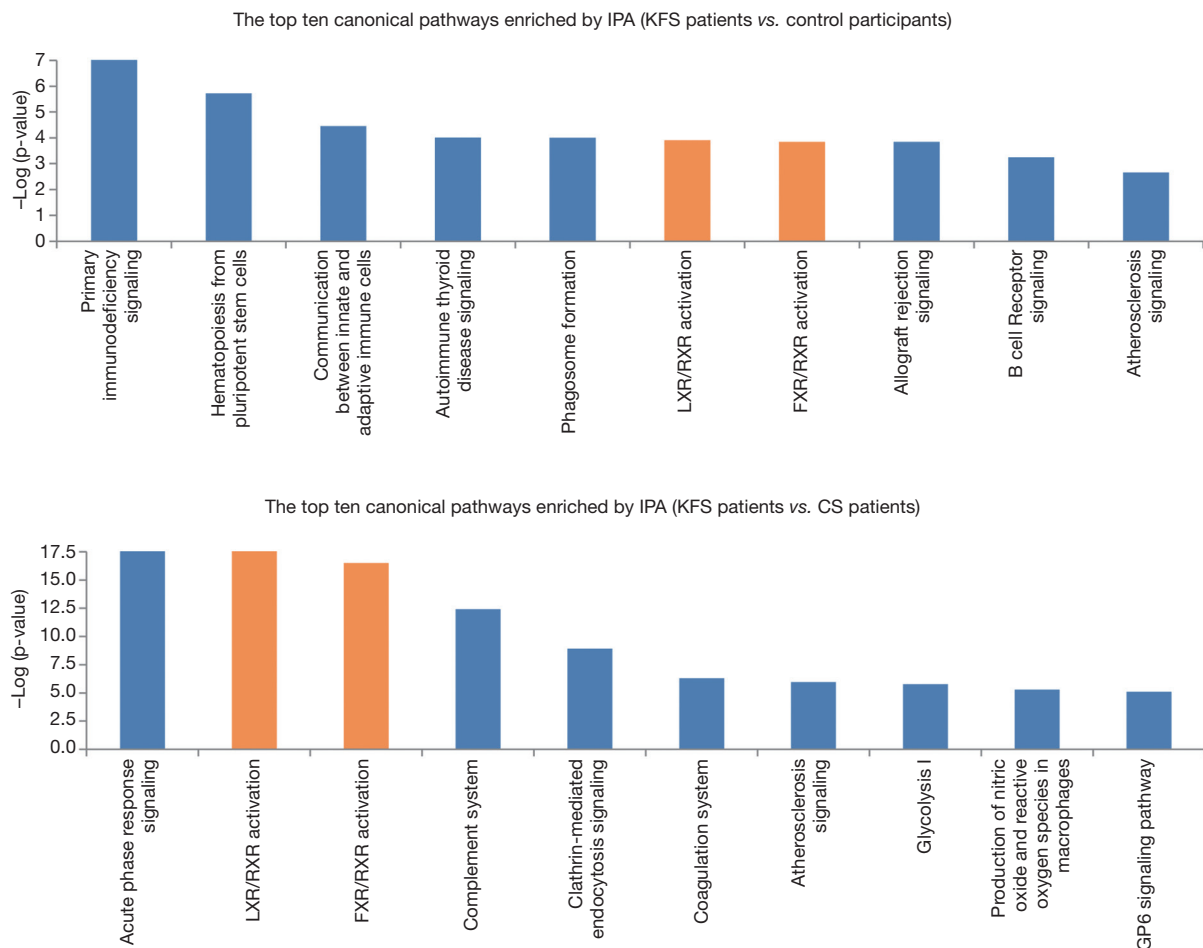


Figure 5 Top ten canonical pathways that were common in the patients with Klippel-Feil syndrome (KFS) *vs.* healthy controls and patients with KFS *vs.* patients with congenital scoliosis (CS) comparisons. Differentially abundant proteins (DAPs) from the two comparisons were imported to the IPA software. The cellular signaling pathway histogram depicts the most relevant canonical pathways ranked by $-\log(P)$ value). The LXR/RXR and FXR/RXR activation pathways were identified as common significant canonical pathways in both comparisons.

Table 2 Differentially expressed proteins involved in LXR/RXR activation and FXR/RXR activation pathways

Swiss-Prot accession no.	Swiss-Prot entry name	Protein name	P value	Fold change
P05090	APOD_HUMAN	Apolipoprotein D	0.00576	3.589 (KFS/CS)
Q15166	PON3_HUMAN	Serum paraoxonase/lactonase 3	0.00097	2.493 (KFS/CS)
P02787	TRFE_HUMAN	Serotransferrin	0.04135	2.156 (KFS/CS)
P27169	PON1_HUMAN	Serum paraoxonase/arylesterase 1	0.00397	2.074 (KFS/CS)
P02765	FETUA_HUMAN	Alpha-2-HS-glycoprotein	0.00965	1.895 (KFS/CS)
P43652	AFAM_HUMAN	Afamin	0.02521	1.853 (KFS/CS)
Q9NPH3	IL1AP_HUMAN	Interleukin-1 receptor accessory protein	0.00938	1.488 (KFS/CS)
P02753	RET4_HUMAN	Retinol-binding protein 4	0.04721	0.684 (KFS/CS)
P02649	APOE_HUMAN	Apolipoprotein E	0.01181	0.671 (KFS/CS)
P02774	VTDB_HUMAN	Vitamin D-binding protein	0.00167	0.647 (KFS/CS)
P02652	APOA2_HUMAN	Apolipoprotein A-II	0.02753	0.605 (KFS/CS)
Q9UHG3	PCYOX_HUMAN	Prenylcysteine oxidase 1	0.00885	0.525 (KFS/CS)
P02671	FIBA_HUMAN	Fibrinogen alpha chain	0.00167	0.422 (KFS/CS)
P02749	APOH_HUMAN	Beta-2-glycoprotein 1	3.44E-07	0.414 (KFS/CS)
Q14624	ITIH4_HUMAN	Inter-alpha-trypsin inhibitor heavy chain H4	0.02197	0.412 (KFS/CS)
P08571	CD14_HUMAN	Monocyte differentiation antigen CD14	0.00220	0.321 (KFS/CS)
P01009	A1AT_HUMAN	Alpha-1-antitrypsin	0.00080	0.265 (KFS/CS)
P0DJ18	SAA1_HUMAN	Serum amyloid A-1 protein	0.00575	0.168 (KFS/CS)
P0DJ19	SAA2_HUMAN	Serum amyloid A-2 protein	0.01124	0.168 (KFS/CS)
P06727	APOA4_HUMAN	Apolipoprotein A-IV	0.01882	2.450 (KFS/Control)
P02656	APOC3_HUMAN	Apolipoprotein C-III	0.00670	1.795 (KFS/Control)
Q13790	APOF_HUMAN	Apolipoprotein F	0.04506	1.663 (KFS/Control)
P04004	VTNC_HUMAN	Vitronectin	0.04533	1.576 (KFS/Control)

KFS, Klippel-Feil syndrome; CS, congenital scoliosis.

several amino acid metabolism pathways by catalyzing the oxidative deamination of primary amines to aldehydes (33). Amino acid deficiency in the amniotic fluid was related to the pathogenesis of skeletal dysplasia in pregnant women with skeletal dysplasia fetuses (34). Further, protein tyrosine phosphatases were shown to play important roles in gastrulation and somitogenesis during early embryonic development, osteogenesis, and angiogenesis (35). Therefore, we propose that membrane primary amine oxidase could be a candidate biomarker related to amino acid metabolism in the pathogenesis of KFS. The other common DAPs, galectin-3-binding protein, glyceraldehyde-3-phosphate dehydrogenase, peroxiredoxin-1, CD109 antigen, cadherin-5, and noelin, have not previously

been reported to be related to KFS. They require further validation to establish their possible use as biomarkers for the etiological elucidation in KFS.

The IPA illustrated that the LXR/RXR and FXR/RXR activation pathways were common significant canonical pathways in the patients with KFS *vs.* controls and patients with KFS *vs.* patients with CS comparisons. All seven of the differentially abundant apolipoproteins (APOC3, APOA4, APOF, APOA2, APOE, APOH, and APOD) were involved these pathways. Retinoid X receptors (RXRs) are nuclear receptors that regulate a variety of physiological processes, including lipid metabolism, osteoclastogenesis, inflammatory response, and cell differentiation (36-38). Liver X receptors (LXRs) are ligand-activated transcription

factors that form a functional heterodimer with RXR through the LXR reaction element (39,40). LXR/RXR is considered to be involved in lipid metabolism and bile acid metabolism (41,42) and the formation of LXR/RXR heterodimers was shown to inhibit the transcriptional program of osteoclast differentiation (37). Farnesoid X receptors (FXRs) are members of the nuclear receptor family, which is involved in many metabolic pathways (43). FXR/RXR and LXR/RXR activation are involved in regulating osteogenic differentiation of adipose stem cells (44). These biological pathways detected in patients with KFS are consistent with the results of a previous comparative proteomics study by iTRAQ that explored the differential serum protein abundance levels of nine patients with CS who had *TBX6* haploinsufficiency and nine healthy controls (45). Several studies have shown that lipid metabolism is related to osteogenesis and musculoskeletal disorders. Nogami *et al.* reported that increased numbers of lipid droplets were detected in biopsied muscle fibers of two patients with congenital skeletal deformities such as scoliosis (46). van Gastel *et al.* revealed a role for forkhead box O transcription factors during lipid starvation and defined lipid scarcity as an important determinant of chondrogenic commitment (47). Rendina-Ruedy *et al.* suggested that lipids in the bone marrow are essential for proper bone remodeling (48). Vasiljevski *et al.* summarized neurofibromatosis type 1 and autoimmune myopathies (polymyositis, dermatomyositis, and inclusion body myositis) are involved in dysfunction of lipid metabolism (49). As such, our results and other evidence strongly point to disrupted lipid metabolism in KFS.

Apolipoprotein is plasma lipoprotein that is synthesized mainly in the liver (and partially in the small intestine) and transports lipids and stabilizes lipoproteins (50). APOE and APOA1 were shown to be highly abundant in the embryonic yolk syncytial layer and distributed in the form of cell clusters along the spinal cord, an extraembryonic structure implicated in embryonic and larval nutrition (51). It has been demonstrated that *APOE* was up-regulated by bone morphogenetic protein-2 in the murine mesenchymal progenitor cell and *APOE*^{-/-} mice had significantly reduced levels of the osteoblastic (*RUNX2* and *Osterix*) and lipoblastic (*PPAR γ* and *CEBP α*) indicators (52-54). These findings suggested that *APOE* played significant roles in embryonic differentiation as well as osteoblastic and lipoblastic differentiation and activity. The pathogenesis of congenital cervical fusion malformations have been

attributed to the paraxial mesoderm, somites, or central axis at the embryonic stage, possibly because of disruptions in differentiation and segmentation (55). Therefore, differentially abundant apolipoproteins and lipid metabolism-related molecules may play important roles in the development of paraxial mesoderm, somites, and the central axis, and may be involved in the pathogenesis of KFS and related vertebral segmentation defects.

The differentially abundant apolipoproteins and LXR/RXR and FXR/RXR activation pathways related to lipid metabolism led us to strongly speculate that lipid metabolism was involved in the underlying pathogenesis of KFS. Because somitogenesis generates vertebral structures in a rostral-to-caudal direction, we predict that the differentially abundant apolipoproteins detected in patients with KFS and patients with CS (e.g. *APOA2*, *APOD*, *APOE*, and *APOH*) could be significant biomarkers to investigate the possible mechanism associated with the vertebral segmental abnormalities located at cervical segments.

Conclusions

We detected 15 DAPs that were common in both comparisons as candidate predictive biomarkers of KFS. The lipid metabolism-related canonical pathways of LXR/RXR and FXR/RXR activation together with seven differentially abundant apolipoproteins may play significant roles in the etiology of KFS and provide possible pathogenesis correlation between KFS and CS. The underlying interactions among the 15 DAPs and the progression of KFS require further investigation.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics board of the Peking Union Medical College Hospital (NO. JS-098) and informed consent was taken from all individual participants.

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