#### REVIEW

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# Combining the best of two worlds: highly flexible chimeric antigen receptor adaptor molecules (CAR-adaptors) for the recruitment of chimeric antigen receptor T cells

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#### ABSTRACT

Chimeric antigen receptor (CAR)-engineered T cells have a proven efficacy for the treatment of refractory hematological B cell malignancies. While often accompanied by side effects, CAR-T technology is getting more mature and will become an important treatment option for various tumor indications. In this review, we summarize emerging approaches that aim to further evolve CAR-T cell therapy based on combinations of so-called universal or modular CAR-(modCAR-)T cells, and their respective adaptor molecules (CAR-adaptors), which mediate the crosslinking between target and effector cells. The activity of such modCAR-T cells is entirely dependent on binding of the respective CAR-adaptor to both a tumor antigen and to the CAR-expressing T cell. Contrary to conventional CAR-T cells, where the immunological synapse is established by direct interaction of CAR and membrane-bound target, modCAR-T cells provide a highly flexible and customizable development of the CAR-T cell concept and offer an additional possibility to control T cell activity.

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#### Introduction

Beyond chemotherapy and targeted therapies such as kinase inhibitors, therapeutic antibodies, bispecific antibodies, and antibody-drug conjugates, engineered CAR-T cells have shown promising results in clinical trials, particularly in patients with B cell malignancies refractory to established therapies. Based on these data, Kymriah<sup>™</sup> (tisagenlecleucel)<sup>1</sup> and Yescarta<sup>®</sup> (axicabtagene ciloleucel),<sup>2</sup> two anti-CD19 CAR-T cell-based therapies for patients with CD19<sup>+</sup> hematological malignancies, including B cell acute lymphoblastic leukemia (ALL) and large B cell lymphoma, have been approved by the US Food and Drug Administration (FDA) and European Medicines Agency.<sup>3-5</sup> These breakthrough therapies illustrate the progress in the development of these novel cell and gene therapies. Given the progress in the field, the focus of research is now shifting to approaches addressing the ease of use, robustness, and cost of manufacturing, safety, and achieving efficacy in solid tumors.

One of the challenges associated with CAR-T cell therapies is cytokine release syndrome (CRS). CRS is a systemic inflammatory response caused by the rapid activation and expansion of CAR-T cells upon encountering the antigen, which initiates a vicious circle with accessory immune cells that can culminate in the life-threatening release of inflammatory cytokines.<sup>6,7</sup> Another challenge of CAR-T cell therapy is the on-target off-tumor toxicity caused by targeting antigen expression on healthy tissue.<sup>8,9</sup> As a consequence of the high potency of CAR-T cells, the tumor-antigen loss can be observed as an evasion strategy.<sup>9</sup> The majority of current CAR-T cell approaches target a single-

specific tumor antigen, entailing the possibility of tumor escape caused by antigen loss due to mutations, downregulation or deletion of the target antigen. Additionally, in response to treatment, heterogeneous expression levels of tumor antigens may enable the selective survival of tumor subpopulations expressing low amounts of antigen, potentially favoring tumor relapse.<sup>10–12</sup> To circumvent the relapse of such tumor variants, and to address the other hurdles mentioned above, novel approaches have been described that aim to build a controllable and diversified redirected anti-tumor immune response.

To address side effects of CAR-T therapy, methods that are very specific to cellular therapy, like the integration of a "suicide gene" to eliminate the CAR-T cells at a given time, have been developed. Obviously, these strategies result in the irreversible depletion of the engineered T cells, and so far have not solved the intrinsic inability to steer and control CAR-T cell activity.<sup>13,14</sup> Furthermore, the high degree of flex-ibility required to target the right antigen at the right time – ultimately indispensable to provide durable antitumor effects and to fully benefit from targeted immunotherapy – is limited for most CAR-T cell approaches, as they require personalized preparation prior to treatment.

In this review, we summarize emerging approaches intended to further evolve CAR-T cell therapy based on combinations of so-called universal or modular CAR-(modCAR-)-T cells and their respective adaptor molecules, termed in this review CAR-adaptors, which mediate the crosslinking between target and CAR-T effector cells. While

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engineering and production of these CAR-adaptors has its own limitations, the combination of modCAR-T cells with such bi- or multi-specific CAR-adaptors provide the opportunity to control the activity of CAR-T cells and to target a variety of antigens simultaneously without the need for reengineering T cells. Such a combinatorial approach promises to facilitate a modular platform for adoptive cell therapy. In the following, we describe various approaches that make use of orthogonal CAR-adaptors designed specifically to work with modCAR-T cells to tackle the issues mentioned above.

#### Concept and mechanism of modCAR-T cells

Typically, the term CAR-T cell is used as a synonym for second- or third-generation CAR-engineered T cells, and we refer to these as conventional CAR-T cells in this review. However, literature reveals that, due to rapid development and the constant engineering of chimeric receptors, a large "zoo" of CAR-T designs and different concepts exists that are summarized under the umbrella term "CAR". The majority of these concepts follows the design of the conventional CARs and consists of three major domains: 1) an intracellular signaling domain (ICD) linked with 2) a transmembrane domain (TM) to 3) an extracellular antigen recognition domain (ECD) expressed on the cell surface (Figure 1). Depending on the CAR concept, these domains can be fused or split into different



**Figure 1.** Schematic representation of a second generation, conventional CAR-T cell. CAR-T cells target surface antigens directly in a major histocompatibility class-independent manner. They consist of an extracellular antigen recognition domain (ECD), conventionally a single chain variable fragment (scFv), which is linked via hinge region (hinge) to a transmembrane domain (TM), followed by an intracellular costimulatory domain (ICD) and a CD3 $\zeta$  signaling domain.

parts; examples include inhibitory CARs, affinity tuned CARs, switch CARs and many more as recently described.<sup>15–17</sup>

Conceptually, alterations within the CAR design can further be made at the level of the ECD. Historically, the ECD in conventional CARs is a single-chain variable fragment (scFv) obtained by the fusion of the variable heavy and variable light chain sequences of monoclonal antibodies (mAbs) through a flexible linker. In most cases, the scFv is directed towards one particular (tumor) antigen. Recently, bispecific CARs, developed as a precaution to avoid antigenic escape, have been described, such as those targeting CD19 and CD20<sup>18,19</sup> or CD19 and CD22,<sup>20</sup> and some of them are currently being tested in clinical trials (ClinicalTrials.gov Identifier: NCT03241940).<sup>16</sup> Recent approaches have illustrated the possibilities of substituting the scFv with other binding moieties. These include designed ankyrin repeat proteins (DARPins)<sup>21</sup> or nanobodies<sup>22</sup> that can be selected to have IgG-like affinities, which have been described to possess advantages over immunoglobulin-based molecules in terms of expression and stability.<sup>21,23</sup>

# Definition and properties of modCAR-T cells controllable through CAR-adaptors

Comprehensively, universal or modCARs describe chimeric receptors that do not directly recognize the target antigen, but instead make contact to adaptor molecules (CAR-adaptors), that in turn bind to the target antigen. Thus, the activity of modCAR-T cells is strictly dependent on the presence of the CAR-adaptors enabling the formation of an immunological synapse. Consequently, the formation of the synapse depends on the respective characteristics of the CAR-adaptors; the affinity of the CAR-adaptors for the tumor antigens, the affinity towards the chosen ECD of the modCAR, its halflife, as well as its bio-distribution, are all important.<sup>24</sup> ModCARs themselves follow the design of conventional CARs in terms of co-stimulatory and signaling domains. The ECD must not necessarily be an scFv-scaffold but can be interchangeable with any other structure capable of recognizing an antigen. Thereby, it is conceivable to choose from different ECDs and CAR-adaptors to facilitate a modular design that aims towards optimal antigen targeting.

By choosing suitable CAR-adaptors with respect to their half-life, bio-distribution, and target antigen, it is possible to tightly regulate and adapt modCAR-T cell activity. With regard to future clinical applications, the possibility for titration of CAR-adaptors allows the activity of modCAR-T cells to be "turned on or off" individually without the need to eliminate the T cells (Table 1). Thus, side effects could be mitigated more rapidly and therapy might be continued with adapted dosing at a later time point. Depending on the application, CAR-adaptors can be mAbs, antibody fragments, small molecules or any conceivable structure that is able to target at least one desired antigen, and that can simultaneously be recognized by the modCAR-T cells. Depending on its pharmacokinetic properties, the activity of modCAR-T cells in the organism is entirely dependent on the presence of the respective CAR-adaptors at sufficient levels.<sup>25</sup> The majority of CARadaptors reported in the literature are derived from the large

Table 1. Comprehensive overview of current CARs and their respective adaptor molecules.

· · · · · · · · · · · · · · · · · · ·	CAR-adaptor-	·········	
Target (clone)	tag	CAR-construct	Reference
Antibody derivative CAR-			
adaptors			
scFv-based CAR-adaptors	5P0	anti La 580 (DagTM/CD20/CD27	39,76
Sialvl-Tn (based on mAb L2A5)	E5B9	anti-La E5B9-CD28TM/CD28/CD3C	40
Fab-based CAR-adaptors		· · · · · · · · · · · · · · · · · · ·	27
CD19 (FMC63)	GCN4	anti-GCN4 (52SR4)-CD8TM/4–1BB/CD3ζ	27
CD20 (Ofatumumab) CD19 (EMC63)	FITC	anti-EITC-E2-CD8TM/4-188/CD37	25,27
CD22 (M971)	FITC	anti-FITC-E2-CD81Wi/4-100/CD3C	
Her2 (Trastuzumab, 4D5)	FITC	anti-FITC-E2-CD8TM/4-1BB/CD3ζ	26
Murine CD19 (1D3)	GCN4	anti-GCN4 (52SR4)-mCD28TM/mCD28TM/	44
		anti-GCN4 (52SR4)-IgG4mTM/m4-TBB/CD3C anti-GCN4 (52SR4)-IgG4mTM/mCD28/CD37	
		anti-GCN4 (52SR4)-lgG4mTM/mCD28/m4-	
		1BB/CD3ζ	
		anti-GCN4 (52SR4)-mCD8TM/m4-1BB/CD3ζ	
		anti-GCN4 (52SR4)-mCD8TM/mCD28/CD3ζ	
mAb-based CAR-adaptors			
EGFR (Cetuximab),	FITC	anti-FITC-CD28 TM/4-1BB/CD3ζ	77
HER2 (Trastuzumab),			
CD20 (RITUXIMAD) Nanobody-based CAR-adaptors			
EGFR (camelid nanobody	E5B9	anti-E5B9-CD28/CD3ζ	37
7C12 <sup>36</sup> )			24
EGFR (bivalent (camelid	E5B9	anti-E5B9-CD28/CD3ζ	24
nanobody /C12 <sup>56</sup> )			
adaptors			
FolR1 (EC-17 <sup>78</sup> )	FITC	anti-FITC 4M5.3–4-1BB/CD3ζ	31
FolR1 (EC-17)	FITC	anti-FITC <sup>25</sup> -4-1BB/CD3ζ	32
Substituted ECD + CAR-adaptors	scEv-	anti-hiotin-dimeric avidin-CD28/CD37	23
EpCAM	biotinvlated		
	lgG-biotinylated		
CD19 (Rituximab),	lgG-biotinylated	anti-biotin (mSA2 affinity enhanced)	58
CD20 (Cetuximab)	lgG -	CD28TM/CD28-CD3ζ	
CD20 (Bituximab)	biotinylated		55,56
Her2 (Trasutuzumab),		CD3ζ	
CCR4 (Mogamulizumab)		·	52
CD20 (Rituximab),		CD16 (FCGR3A) V158 variant-CD8a/41BB/	دد
G2D (hu14 18K322A)		CD3Ç	
CD20 (Obinutuzumab),		CD16 (FCGR3A) 48H and V158 variant-CD	57
CD20 (Rituximab),		28TM/CD28ICD/CD3ζ	
EGFR (Cetuximab),		CD16 (FCGR3A) 48L and F158 variant-CD	
EGFR (Panitumumab),		281M/CD28ICD/CD3C	
MCSP (ICO07 M4-3 MI22), MCSP (ICO07 M4-3 MI2 MI2-		28TM/CD28ICD/CD37	
g2)		20, 02.20.00, 000,	
CD20 (Rituximab),		CD16A (V158) CD8TM/CD28-CD3ζ	63
ErbB2 (Herceptin)			Clinical Trials new Identifian NCT0277(012 Clinical Trials new
CD20 (KILUXIMAD), SEA-BCMA <sup>65</sup>		CD10A-41BB/CD3ς	Cimical Mais.gov Identifier: NCT027/6813 CIMICal Mais.gov
MSLN	scFv-leucine	Leucine zipper-CD28/41BB/CD3ζ	59
Her2	zipper		
AxI	(SYN5, SYN 3,		
	and EE)		

repertoire of IgG antibodies or derivatives thereof, such as antigen-binding fragments (Fabs) or scFvs. These immunoglobulin-based CAR-adaptors can be customized by either chemically or genetically attaching a tag. The modification of CAR-adaptors can be either untargeted by coupling to primary amine residues and comprise commonly available tags like biotin, fluorescein isothiocyanate (FITC), or in a directed fashion via genetic fusion of peptide tags.<sup>23,25-28</sup> This allows for the creation of CAR-adaptors that vary in size and design, enabling the built-up of a flexible platform.

To date, more than 74 antibodies and Fc fusion proteins have been approved by the FDA for the treatment of diseases.<sup>29</sup> In addition, ca. 500 IgGs or antibody fragments are known to be in Phase 1 or 2 clinical studies,<sup>29</sup> prospectively providing a large pipeline for potential future CAR-adaptors. Recently, Townsend et al. identified antibodies of which several have

shown promising results when converted into CAR formats targeting antigens such as CD38, CD33, CD123 or B cell maturation antigen (BCMA) for hematological malignancies or mucin (MUC1), Glypican-3 (GPC3) or prostate-specific membrane antigen (PSMA) for solid tumors.<sup>30</sup> As the availability of newly discovered antibodies and amenable targets expands, modCARs represent an approach to combine the worlds of therapeutic antibodies and CAR-T cells. A comprehensive review of modCAR approaches described in the literature is presented below.

# Redirection of modCAR-T cells through small molecule-based CAR-adaptors

The redirection of modCAR-T cells by small molecules has been presented by two groups who made use of the small molecule folate conjugated with FITC as a CAR-adaptor.<sup>31,32</sup> Small molecules like folate benefit from advantageous properties, like rapid diffusion out of blood vessels, deep tissue penetration, and the possibility for modifications to obtain the desired biophysical and pharmacokinetic properties while exhibiting short half-lives and rapid elimination.<sup>31,33</sup> It has been shown that folate-FITC-based CAR-adaptors function in a highly selective manner and show dose-dependent cytotoxicity on target cells in vitro. Kim et al. demonstrated that the potency of the small molecule-based CAR-adaptors was not impaired by the presence of physiologically relevant folate concentrations. At the same time, the administration of high doses of free folate might be used to attenuate modCAR-T cell activity through competition.<sup>31</sup> Chu et al. showed that, besides redirecting modCAR-T cells against non-small-cell lung cancer (NSCLC) cells, it was also possible to target and efficiently lyse tumor-associated macrophages (TAMs) in samples from NSCLC patients in vitro.<sup>32</sup> TAMs play an important role in the development and progression of tumors and are often contributed to a tumor-favorable microenvironment.<sup>34</sup> Taking advantage of targeting both cancer cells as well as

TAMs with one modCAR-T cell approach, it might be feasible to re-evaluate the immunosuppressive tumor microenvironment and achieve a faster clearance of the tumor. Finally, Lee et al. showed that small molecule-based adaptors based on FITC fusions to folate, DUPA, and AZA for the targeting of FOLR1<sup>+</sup>, PSMA<sup>+</sup> or CAIX<sup>+</sup> tumors, respectively, with FITCspecific modCAR-T cells, are able to control multiple antigenically different xenograft tumors.<sup>35</sup> Notably, they also showed that antigen escape could be prevented through the use of cocktails of bispecific small molecule-based CARadaptors.<sup>35</sup>

# Monovalent and bivalent nanobody-based CAR-adaptors

Using a single-domain camelid-derived nanobody-based CAR-adaptor directed against epidermal growth factor receptor (EGFR),<sup>36</sup> Albert et al. showed effective retargeting of modCAR-T cells towards EGFR-positive tumor cells both in vitro, as well as in a mouse-tumor xenograft model.<sup>37</sup> The modCAR-T cells were engineered to recognize the E5B9-tag, <sup>38,39</sup> derived from La/SS-B, a nuclear protein that is not accessible on the surface of intact cells. The tag was fused C-terminal to nanobody-based CAR-adaptors (Figure 2(g)) that had either been expressed in eukaryotic (Chinese hamster ovary) or prokaryotic (E. coli) cells. Interestingly, in this case, the CAR-adaptors produced in the prokaryotic system performed distinctly better in terms of killing than the similar CAR-adaptors produced in the eukaryotic system, which most likely reflects the stronger binding of the former that the authors had assessed by means of flow cytometry. This slight difference between CAR-adaptors being produced in pro- vs. eukaryotic expression systems can, however, not serve as a general rule, but is rather linked to that particular report. The group also addressed the question of bio-distribution of CAR-adaptors in high EGFR tumor-bearing mice monitoring nanobody-based CAR-adaptors labeled with <sup>64</sup>Cu, and found



Figure 2. Indirect and flexible antigen targeting through CAR-adaptor molecules (CAR-adaptors). Depicted are the different designs of antigen-targeting CARadaptors that are used with a modular CAR (modCAR)-engineered effector cell. (a) IgG-tag-based CAR-adaptor | (b) IgG CAR-adaptor | (c) small molecule-based CARadaptor | (d) Fab-based CAR-adaptor | (e) scFv-based CAR-adaptor | (f) bispecific-based CAR-adaptor | (g) nanobody-based CAR-adaptor | (h) bivalent nanobody-based CAR-adaptor.

enrichment at the tumor site, as well as a fast clearance of the molecules through the kidneys with a half-life of about 4–20 min.<sup>37</sup> As a basis for modCAR-T cell therapy, their findings suggested the requirement for continuous infusion of the CAR-adaptor, which would allow for a tight regulation of modCAR-T cell activity in case of undesired side effects.

To further characterize the effectiveness of nanobody-based CAR-adaptors, Albert et al. designed a bivalent CAR-adaptor targeting EGFR. Two a-EGFR nanobodies were fused together via a linker including an E5B9-tag,<sup>24</sup> a short peptide-motif of 10 amino acids (Figure 2(h)). Expressed in the eukaryotic system, the bivalent nanobody-based CAR-adaptors exhibited superior binding avidity on tumor cells, thus not only improving the enrichment of the CAR-adaptors at the tumor sites but also enhancing anti-tumor efficacy. Even in the presence of low-level target antigen, bivalent CAR-adaptors were able to redirect modCAR-T cells and induce killing successfully, which was not the case for monovalent CAR-adaptors. In general, the maximal anti-tumor activity of the bivalent CAR-adaptors was observed at concentrations of 0.05 nM, whereas for the monovalent CAR-adaptor, a 100-fold higher concentration was needed for equivalent efficacy. The clearance of the bivalent-CAR-adaptor occurred through glomerular filtration into the urine, as well as through the hepatobiliary system. As a side note, under the tested circumstances, neither the monovalent nor the bivalent CAR-adaptors interfered with EGFR-mediated signaling.<sup>39</sup> The benefit of avidity-gain and the use of CARadaptors with low affinity might provide a solution to achieve discrimination between tumor cells with high target expression and normal cells that only express low levels of the target.

# scFv-based CAR-adaptors targeting one antigen or two variable antigens simultaneously

In another approach, T cells were equipped with modCARs, designed as above to recognize the E5B9-tag. The E5B9-tag was fused to a series of different scFv-based CAR-adaptors (Figure 2(e)) targeting the Sialyl-Tn antigen, EGFR, PSMA or prostate stem cell antigen, respectively. All approaches resulted in effective recruitment of modCAR-T cells and potent anti-tumor activity in vitro as well as in vivo.<sup>24,40,41</sup> Subsequently, Cartellieri et al. designed a novel bispecific scFv-based CAR-adaptor (Figure 2(f)), which consists of two different scFvs, one targeting CD123 and the other targeting CD33.<sup>39</sup> Both scFvs are connected via a linker-embedded 5B9tag that can be targeted by modCAR-T cells engineered, respectively.<sup>39</sup> Since about 26% of AMLs are negative for either CD123 or CD33, monotherapies cannot cover all AML patients or deal with intratumoral heterogeneity, something that might be overcome by using this bispecific scFv-CAR-adaptor targeting based of both antigens simultaneously.<sup>42</sup> Redirected by the designed bispecific scFvbased CAR-adaptor, modCAR-T cells were able to effectively lyse CD33<sup>+</sup> and CD123<sup>+</sup> AML blasts. Notably, bispecific CAR-adaptors proved to be more efficient compared to the simultaneous application of the monospecific scFv-based CAR-adaptors supplemented at equal molar ratios.<sup>39</sup> Such bispecific scFv-based CAR-adaptors can be designed to be more selective for tumor cells expressing both antigens

through avidity, thereby curtailing the risk of off-target toxicities. Moreover, bispecific CAR-adaptors of high affinity for both targets are able to redirect modCAR-T cells in the presence of only one displayed antigen, minimizing the chance of tumor escape upon antigen loss under treatment. To secure a safe treatment option and gain full potential of bispecific CAR-adaptors, careful selection of the right combination of tumor antigens also with regard to their expression level on healthy tissue is mandatory.

# Fab-based CAR-adaptors effectively redirect modCAR-T cells

Rodgers et al. designed Fab-based CAR-adaptors (Figure 2(d)) comprising a 14 amino acid peptide tag derived from yeast transcription factor GCN4 to secure the interaction of CAR-adaptors and anti-GCN4 modCAR-T cells.<sup>27</sup> Due to the smaller size and shorter half-life of Fabs compared to IgGs, the authors speculated that Fab-based CAR-adaptors may be more effective in tumor penetration and display a lower immunogenicity risk.<sup>43</sup> Furthermore, tumor uptake may be hindered as a consequence of the rapid elimination of Fabs from the circulation. However, the short half-life of the Fab-based CAR-adaptors might give an advantage to control modCAR-T cell activation more precisely compared to conventional CAR-T cells.

Rodgers et al. varied the stoichiometry and the location at which the tag was attached to the Fab-based CAR-adaptor. Hence, it was possible to systematically manipulate the orientation of the antigen-binding region of the CAR-adaptor relative to the modCAR-T cell. At all tested sites, the addition of the tag had minimal impact on protein stability, as well as on antigen binding. This finding might be attributed to the very high, single-digit picomolar affinity of the anti-GCN4 ECD for the CAR-adaptor GCN4-tag.<sup>27</sup> Dose-dependent cytotoxicity was observed for all tested Fab-based CAR-adaptors, whereby CARadaptors with tags proximal to the antigen binding site displayed the highest potency. This approach resulted in specific targeting and killing of CD19<sup>+</sup> tumor cells with comparable efficacy to conventional CAR-T cell therapy in an in vivo Nalm-6 xenograft NSG mouse model. In a syngeneic murine model, Viaud et al. investigated modCAR-T cells possessing variable hinge domain or ICDs in combination with Fab-CAR-adaptors possessing the tag N-terminally attached.<sup>44</sup> Similar to the human system,<sup>27</sup> these Fab-based CAR-adaptors showed superior in vitro cytotoxicity, and, when combined with modCAR-T cells harboring an IgG4m hinge domain, an increased in vivo persistence.44 Similarly to Fab-based CAR-adaptors with GCN4-tag, the study of Ma et al. confirmed the effectiveness of anti-CD19 and anti-CD22 FITC-labeled Fab-based CAR-adaptors as a combinatorial approach, demonstrating that successive targeting of two different antigens with a single modCAR approach enables the possibility to overcome tumor escape variants.<sup>25</sup> This approach of using Fab-based CAR-adaptors with engrafted GCN4- or FITC-tags was extended by Cao et al. to solid tumors. The group used trastuzumab Fab-based CAR-adaptors to target Her2<sup>+</sup> breast cancer, and demonstrated complete eradication of the tumor in a xenograft NSG mouse model. No tumor relapse was observed until the end of the study (20-day post complete tumor clearance).<sup>26</sup>

Taken together, for the mentioned approaches, nanobody-, scFv- or Fab-based CAR-adaptors, a short half-life has to be taken into account requiring frequent if not continuous dosing when safety is established.

### ModCAR-T cells approaches with substituted ECD

More than two decades ago, Eshhar et al. designed CARs that comprised an scFv as ECD.<sup>45</sup> So far, most of the created CARs have followed that design, but some limitations, such as immunogenicity towards xenogenic regions of murinederived scFv, poor expression or instability, are associated with scFv-ECDs.<sup>46</sup> Several approaches have illustrated the possibility to substitute the scFv with other binding moieties like DARPins,<sup>21</sup> nanobodies,<sup>22</sup> adenectins,<sup>47</sup> peptide ligands like T1E or receptor ligands like IL-13-zetakine, NKG2D or CD70.<sup>48-51</sup> Preclinical experiments using scFv-substituted alternative CAR-ECDs show encouraging results, and, for example, IL-13zetakine CARs have already been tested in a first-in-human pilot safety and feasibility trial targeting IL13Ra2 for the treatment of recurrent glioblastoma.<sup>52</sup>

Regarding the substitution of the scFv with an ECD targeted against an epitope suitable for the modCAR approach, ECDs like Fc $\gamma$ RIII,<sup>53–57</sup> modified avidin<sup>58</sup> or leucine zipper<sup>59</sup> have been described (Figure 3). In the following, we highlight modCAR approaches with a focus on modCARs possessing ECDs different than scFv, but still making use of CARadaptors to establish T cell activation.

# Redirection of modCAR-T cells through antibodies already used in the clinic

Besides using tagged CAR-adaptors, some modCAR approaches use therapeutic IgGs that are already clinically approved. For this purpose, the scFv-ECD of CARs can be substituted by the ECD of FcyRIIIa (Figure 3(i)). For mAbs like rituximab, trastuzumab or mogamulizumab, it has been shown that the efficacy of cancer treatment can be impeded by chemotherapy-induced leukopenia and exhaustion of natural killer (NK) cells resulting from antibody-dependent cell-mediated cytotoxicity (ADCC).<sup>60-62</sup> Several groups tried to overcome this drawback by engineering T cells to express a CAR in which the scFv-ECD is substituted by the ECD of the FcyRIII (CD16), resulting in a so-called "CD16-CAR". For this approach, the clinically approved mAbs function as CAR-adaptors that can be bound by the high-affinity FcyRIIIa (with a 158V/V polymorphism), leading to T cell activation upon induced crosslinking in the presence of target cells.<sup>53–57,63</sup> D'Aloia et al. demonstrated cross-linking of FcyRIII-transduced murine hybridoma T cells leading to IL-2 secretion and FasL-mediated lysis of mAbopsonized Fas<sup>+</sup> tumor cells in vitro.<sup>63</sup> Along the same lines, the study conducted by Ochi et al. demonstrated proliferative potential and the differentiation of those FcyRIII modCAR-T cells into effector memory T cells. Furthermore, it has been shown that FcyRIII modCAR-T cells were capable of inhibiting the growth of CD20<sup>+</sup> Raji cells in immunodeficient mice when concomitantly infused with rituximab.55

Currently, Unum Therapeutics Inc. is evaluating FcyRIII modCAR-T cells in combination with rituximab to treat relapsed refractory B cell lymphoma (ClinicalTrials.gov



Figure 3. Depicted is a modular CAR (modCAR) engineered effector cell with diverse ECDs able to target a CAR adaptor molecule (CAR-adaptor), here represented by an IgG. (i) scFv-ECD | (j): FcR-ECD | (k) and (l) monomeric and dimeric avidin-ECD require a biotinylated CAR-AM to enable antigen targeting | (m) leucine zipper.

Identifier: NCT03189836, NCT02776813), and so far, no serious adverse events related to the modCAR-T cells and no CRS have been observed (ClinicalTrials.gov Identifier. NCT02776813).<sup>64</sup> Furthermore, FcγRIII modCAR-T cells are being evaluated in Phase 1 clinical trials in combination with a humanized, afucosylated anti-BCMA antibody for a wide range of BCMA expressing myeloma cells (for example, see ClinicalTrials.gov Identifier: NCT03266692).<sup>65</sup> Further, another combination therapy using modCAR-T cells in combination with trastuzumab is currently in preclinical development, with an initiation of a Phase 1 trial in 2019 expected.<sup>66</sup>

However, unrelated circulating antibodies in the blood are also recognized by the CD16 domain and, in the presence of autoimmune antibodies, could trigger an autoimmune response. Fc-engineered and/or glycoengineered antibodies with an enhanced affinity towards the CD16 modCAR may help to induce a preferential interaction of the CD16-CAR with the therapeutic antibody as CAR-adaptor. Rataj et al. tested activation and killing efficiency of modCAR-T cells in combination with glycoengineered antibodies compared to the respective unmodified antibodies with wildtype glycosylation, including the glycoengineered antibody obinutuzumab, which has about 10-fold enhanced affinity for the FcgRIII ECD.<sup>67</sup> Overall, the study showed the benefit of using glycoengineered antibodies in combination with high affinity CD16-modCAR-T cells, and proved that glycoengineering can help to better discriminate between endogenous and therapeutically applied antibodies for enhanced efficacy and safety.<sup>57</sup> In an analogous manner, glycoengineering (defucosylation) of mogamulizumab, a humanized anti-CCR4 IgG1 mAb, improved the binding to FcyRIIIa on activated effector NK cells in the presence of low antigen density.<sup>68</sup>

Taken together, these studies demonstrate that  $Fc\gamma RIII$  modCAR-T cells are able to reliably induce killing *in vitro* as well as *in vivo*, in the presence of therapeutic mAbs directed towards the selected tumor antigens. Maintaining the biological and clinically relevant properties of the administered therapeutic antibodies,  $Fc\gamma RIII$  modCAR-T cells provide the additional benefit through enhanced ADCC.

## Redirection of modCAR-T cells expressing a biotin-binding ECD

Avidin and streptavidin are both naturally occurring biotinbinding proteins with high affinities, but most of the monomeric or dimeric constructs reported so far are unstable, prone to aggregation, and reveal low biotin affinity. Several approaches have been undertaken to design monomeric or dimeric variants of avidin or streptavidin, respectively.<sup>69,70</sup> Making use of modified avidin as ECD incorporated into a CAR, Urbanska et al. designed biotin-binding modCAR-T cells. The group showed that only modCAR-T cells expressing dimeric avidin were able to redirect biotinylated mAb- or scFv-CAR-adaptors. ModCAR-T cells expressing monomeric avidin did not show specific retargeting or activation of T cells. Since biotin is present in human plasma, the group demonstrated that, even at supra-physiological levels, soluble biotin did not cause antigen-independent activation of dimeric avidin engineered modCAR-T cells and did not inhibit the modCAR activity by acting as a competitor molecule.<sup>23</sup>

Another approach making use of biotinylated mAb-CARadaptors has been described by Lohmueller et al.<sup>58</sup> The group engineered modCAR-T cells expressing an affinity-enhanced monomeric streptavidin 2 (mSA2) as CAR-ECD to bind to biotinylated mAb-CAR-adaptors. mSA2 has been shown to have a high affinity for biotin, comparable to dimeric avidin.<sup>69</sup> The authors showed mSA2 modCAR-T cell activation and lysis of target cells *in vitro* in a dose-dependent manner when using biotinylated rituximab as CAR-adaptor.<sup>58</sup> Although these variants of streptavidin and avidin represent proper tools to target biotinylated CAR-adaptors, there is the potential for immunogenicity towards those non-human proteins, which puts the therapeutic applications in human in question.

# Redirection of modCAR-T cells expressing a leucine zipper as ECD

Another example of modCAR-T cells, in this case with the ECD of the CAR substituted through a leucine zipper, has been published by Cho et al. In this approach, modCARs are capable of binding to a cognate leucine zipper fused to scFv-based CARadaptors (zip-scFv-CAR-adaptor) (Figure 3(l)). Making use of these modCAR-T cells, several questions could be addressed. Firstly, specific killing of target cells, including solid and hematological tumors (e.g., anti-Her2, anti-Axl, anti-mesothelin), could be demonstrated. Secondly, aiming to counteract potential side effects by preventing unwanted modCAR-T cell activation, Cho et al. developed antigen-targeting scFv-CAR-adaptors with different affinities complementary to zip-scFv-CAR-adaptors. The addition of such complementary CAR-adaptors exhibiting a high affinity towards the actual antigen-targeting CARadaptors showed a total impairment of target cell killing. Accordingly, adding competitive CAR-adaptors with weaker affinities led to tuned activation levels of modCAR-T cells. The approach was further developed to control different signaling domains (e.g., CD3ζ, CD28 or 4-1BB) within one modCAR-T cell, enabling independent control of different signaling pathways regulating modCAR-T cell potency. Pairing such modCAR-T cells with different zip-scFv-CAR-adaptors directed against one or multiple tumor antigens, with probably different leucine zipper-based CAR-adaptor affinities, enabled adjustable, combinatorial antigen detection. In an in vivo mouse model, zipscFv-based CAR-adaptors dosage correlated with cytokine release in a dose-dependent manner, thus offering the possibility of adjusting cytokine release of modCAR-T cells. Furthermore, modCAR-T cell activity could be inhibited in vivo with complementary untargeted CAR-adaptors that could bind to the activating zip-scFv-CAR-adaptors and inactive it, reducing cytokine production to the level of the no-zip-scFv control. The unique feature of this modCAR approach is that the potency of the T cells can be regulated by using CAR-adaptors, not only with different affinities towards the tumor antigen but also towards the modCAR itself. This provides even more flexibility to modulate and adjust the level of modCAR-T cell activation. Taken together, it enables a more precise fine-tuning of the activation of the engineered T cells, thereby mitigating the potential for side effects.59

### **Outlook and future perspectives**

CAR-T cell therapies illustrate the progress being made in the field of cancer immunotherapy. However, conventional CAR-T cell therapy also suffers from drawbacks such as on-target off-tumor toxicity, severe side effects or tumor escape due to antigen loss. Here, we highlighted the development of modCAR-T cell designs that make use of adaptable CAR-adaptors to address some of the mentioned drawbacks. The different modCAR-approaches, with their various designs of modCAR-T cells and the corresponding CAR-adaptors, represent a flexible platform that allows selective and individually adaptable antigen targeting in a potentially safer manner than many conventional CAR-approaches, while preclinical studies have shown that modCAR-T cells and conventional CAR-T cells are able to kill target cells at comparable rates.<sup>25,41</sup>

The specific activation in the presence of CAR-adaptors only is a major advantage of modCAR-engineered cells over conventional CAR-T cell therapy. The titration of CARadaptors introduces the possibility of counteracting potential side effects by customized CAR-adaptor-dosing. Even unbound CAR-adaptors can be captured by administering complex-forming counter-CAR-adaptors that inhibit binding to the modCAR-T cells and prevent their activation.<sup>59</sup> This option, which is not feasible in conventional CAR-T approaches, could control CAR-T cell responses and minimize side effects without the loss of engineered T cells.

Interestingly, all of the highlighted modCAR-formats discussed here allow for an efficient formation of the immunological synapse and result in T cell activation (Table 1). This demonstrates the flexibility that comes with the modular design and implies that the distance and molecular geometry between modCAR-T-ECD and the target antigen can be more variable than anticipated. Considering this prerequisite in conjunction with the presented proof-of-concept studies, there is room for a virtually unlimited capacity of different combinations of modCARs and respective CAR-adaptors adjustable to individual needs.

While conventional CAR-T approaches often come with fixed affinities and CAR-expression levels that limit their effectivity, modCAR-T cells and the CAR-adaptors allow for the regulation of T-cell responses on mainly two levels. On one level, the activity of modCAR-T cells can be tuned through the affinity of the CAR-adaptor towards the modCAR, while on the other level, tuning can occur through the affinity of the CARadaptor to the tumor antigen. Use of CAR-adaptors with different affinities thus offers a degree of flexibility by, for example, allowing for the selection of tumor targets depending on their often heterogeneous expression levels.

Indispensable for the success of such modCAR-T approaches is the further development of CAR-adaptors specifically designed for use in CAR-T cell therapy aiming towards optimized antigen targeting, adapted half-life, as well as ideal recognition through modCARs. Conceptually, CAR-adaptors can be constructed to be multivalent, making it possible to target rather rarely presented antigens by making use of an avidity gain. Furthermore, variable glycosylation patterns improve effector function of IgG-based CAR-adaptors, as recently reviewed by Fonseca et al.<sup>71</sup> FcyRIII-based modCAR are the most developed concept that is already being tested in clinical trials and possess great potential to support mAb therapy by improving their clinical efficacy (ClinicalTrials.gov Identifier NCT02776813, NCT03266692).

Circumventing tumor escape and the outgrowth of tumor variants might be addressed by sequential or simultaneous targeting of multiple tumor antigens by using monovalent or multivalent CAR-adaptors. An example of this is the Fc-optimized anti-CD19 MOR208, which demonstrates increased binding to FcγIIIa and has been designed as a therapeutic drug for CLL, NHL and ALL.<sup>72</sup> In clinical trials, MOR208 showed promising efficacy in patients progressing with relapsed or refractory NHL that previously had received a rituximab-containing therapy (ClinicalTrials.gov Identifier NCT01685008).<sup>72</sup> Using the approach of FcγRIII-based modCAR-T cells, it is theoretically possible to administer rituximab or obinutuzumab as well as MOR208 simultaneously to make use of T cell-mediated killing and thereby prevent tumor escape, thus exploiting the benefits of both worlds.

While the standard procedure is to introduce CARs into a mixture of either isolated T cells or peripheral blood mononuclear cells, recent approaches to introduce CARs into precisely isolated subsets of immune cells such as CD4<sup>+</sup>, CD8<sup>+</sup>, regulatory T cells (Treg), or NK cells have been described.<sup>59,73,74</sup> Hypothetically, equipping different immune subsets with different receptors (e.g., inhibitory intercellular domains) raises the possibility of precisely silencing subsets of immune cells upon antigen encounter or even regulating different signaling pathways in different subsets of immune cells, allowing the intervention and fine-tuning of the immune response in a more advanced and probably less risky manner.

Currently approved CAR-T cell therapies are based on autologous T cells and must be generated patient-specifically. Dedicated facilities and a well-planned infrastructure are needed to deliver the customized therapy in a timely fashion. The combination of autologous universal CAR-T cells and CARadaptors, while providing advantages, for example, in terms of CAR-T cell control, will not necessarily provide benefit from a cost perspective. Generally, the production of clinical-grade CAR-adaptors can be based on established and cost-efficient processes for biologic agents such as therapeutic antibodies; however, the use of advanced and GMP compliant manufacturing facilities is required. While the cost of manufacturing biologics that serve as adaptors has decreased considerably during the past years, the combination of adoptive T cell therapy and CARadaptors will primarily be of interest when combined with allogenic universal CAR-T cells. This may allow the straightforward use of one single universal allogenic CAR-T cell line with diverse CAR-adaptors, for example, targeting different tumor targets, which in this case may decrease the burden of the production of diverse cell products. Recently, a novel promising approach to generate "off the shelf", gene-modified cell products by knocking out MHCI and II and introducing CD47 has been described.<sup>75</sup> Thus, introducing modCARs into allogenic immune cells would enable the creation of a platform with high versatility. Such modCAR cells might persist in patients and could be reactivated if needed. In the future, it might be possible to combine allogenic modCAR-T cells from healthy donors with CAR-adaptors to guarantee an "off the shelf"

approach. Taking allogenic modCAR-T cells and CAR-adaptor from storage would probably decrease the costs of CAR-T cell therapies. Individualized manufacturing processes, including safety testing and logistics, could be reduced drastically, and a readily available therapy for patients in urgent need would be possible. Depending on the application, the combination of different biologics might not only be restricted to cancer but could also function to address other diseases such as autoimmunity, infection or inflammation, paving the way towards highly personalized-treatment options.

In summary, modCAR-T cell approaches have the potential to advance the field of CAR-T therapy in the near future. The possibility of controlling the formation of the immunological synapse by simple titration of CAR-adaptors allows for rapid optimization of effector-cell-activity. Compared to conventional CAR-T approaches, modCAR-T cells possess improved safety without compromising the efficacy of CAR-T activity, allowing advanced treatment options and intelligent antigen targeting.

### **Disclosure of Potential Conflicts of Interest**

DD, CJ, and CK declare employment and patents with Roche, CK declares ownership of Roche stock.

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