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COPD and the risk of poor outcomes in COVID-19: A systematic review and meta-analysis

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ABSTRACT

Background: Patients with chronic obstructive pulmonary disease (COPD) are highly susceptible from respiratory exacerbations from viral respiratory tract infections. However, it is unclear whether they are at increased risk of COVID-19 pneumonia or COVID-19-related mortality. We aimed to determine whether COPD is a risk factor for adverse COVID-19 outcomes including hospitalization, severe COVID-19, or death. Methods: Following the PRISMA guidelines, we performed a systematic review of COVID-19 clinical studies published between November 1st, 2019 and January 28th, 2021 (PROSPERO ID: CRD42020191491). We included studies that quantified the number of COPD patients, and reported at least one of the following outcomes stratified by COPD status: hospitalization; severe COVID-19; ICU admission; mechanical ventilation; acute respiratory distress syndrome; or mortality. We meta-analyzed the results of individual studies to determine the odds ratio (OR) of these outcomes in patients with COPD compared to those without COPD. Findings: Fifty-nine studies met the inclusion criteria, and underwent data extraction. Most studies were retrospective cohort studies/case series of hospitalized patients. Only four studies examined the effects of COPD on COVID-19 outcomes as their primary endpoint. In aggregate, COPD was associated with increased odds of hospitalization (OR 4.23, 95% confidence interval [CI] 3.65–4.90), ICU admission (OR 1.35, 95% CI 1.02–1.78), and mortality (OR 2.47, 95% CI 2.18–2.79).

Interpretation: Having a clinical diagnosis of COPD significantly increases the odds of poor clinical outcomes in patients with COVID-19. COPD patients should thus be considered a high-risk group, and targeted for preventative measures and aggressive treatment for COVID-19 including vaccination.

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1. Introduction

Coronavirus disease 2019 (COVID-19) is an infectious disease caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [1–3], which is responsible for the current global health crisis. The clinical presentation of COVID-19 is diverse. Some patients are asymptomatic or mildly symptomatic; while others progress rapidly to severe pneumonia, respiratory failure, multiorgan disease and even death [2,4]. To date, over 110 million individuals have contracted SARS-CoV-2 worldwide, of which more than 2.5 million have succumbed to the disease [5].

Chronic obstructive pulmonary disease (COPD) is a progressive disorder that is characterized by persistent low-grade lung

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inflammation and airflow obstruction [6]. There is no clear evidence that COPD increases COVID-19 susceptibility independent of other established risk factors. However, there is a growing concern that COPD may be a risk factor for poor clinical outcomes in established COVID-19. While the mechanisms for this are not well known, it is now well established that angiotensin converting enzyme-2 (ACE-2), which is the receptor responsible for SARS-CoV-2 entry into cells [2,7,8], is up-regulated in the small airway epithelium and alveoli of individuals with COPD [9,10]. Patients with COPD are also known to have impaired innate and adaptative immune responses and demonstrate delayed clearance of respiratory viruses [11,12]. Together, these factors may facilitate the propagation of SARS-CoV-2 in the lungs of COPD patients, leading to rapid clinical deterioration and progression to severe COVID-19.

A number of investigators have conducted reviews on the relationship between COPD and COVID-19 outcomes [13–16]. However, these reviews included small numbers of studies that were

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Research in context

Evidence before this study

Some studies have suggested that patients with chronic obstructive pulmonary disease (COPD) are at increased risk of poor outcomes in COVID-19, including mortality. Previous systematic reviews on this topic included small numbers of studies mainly from a single region, with heterogeneous outcome definitions and potentially overlapping patient populations. We conducted a systematic review of the literature published between November 1st 2019 and January 28th 2021, using search terms [(chronic obstructive pulmonary disease OR COPD) AND (COVID-19 outcomes)].

Added value of this study

This is the largest systematic review to date on the effects of COPD on the risk of poor COVID-19 outcomes, covering a large number of studies across multiple continents. COPD significantly increases the risk of hospitalization, ICU admissions, and mortality.

Implications of all the available evidence

Patients with COPD have a higher risk of poor outcomes from COVID-19 than those without COPD; they should be strongly encouraged to take aggressive preventative measures and should be prioritized for COVID-19 vaccination.

overwhelmingly from China and published in the early phase of the pandemic. Regional differences in populations, health care resources, and local protocols may limit the generalisability of these results to the rest of the world. Many of the reviewed studies did not differentiate COPD from other chronic lung diseases, and hospitalisation was not considered as an outcome. Critically, many of the studies included in the meta-analyses reported on patients from the same hospitals and time periods, meaning that some patients may have been inadvertently analysed more than once, thus biasing the results.

We therefore conducted a comprehensive, up-to-date systematic review and a meta-analysis of published literature to determine whether COPD is a risk factor for poor outcomes in COVID-19, focusing on the specific endpoints of hospitalization, severe disease, and mortality.

2. Methods

2.1. Search strategy and selection criteria

This systematic review was performed according to the recommendations of the Preferred Reporting in the Systematic Reviews and Meta-Analyses (PRISMA) guidelines [17] and has been prospectively registered with Prospective Register of Systematic Reviews (PROSPERO) (registration number: CRD42020191491).

We performed an initial digital search of MedRxiv, Google Scholar, Pubmed and Ovid Medline of all COVID-19 clinical studies that were published between November 1st, 2019 and August 31st, 2020. A broad search strategy was adopted using the keywords: (chronic obstructive pulmonary disease or COPD) and (COVID-19 outcomes). We also searched the bibliographies of any relevant reviews. We included any clinical study (randomized clinical trials, cohort studies, cross sectional studies, case reports and series, and case-control studies) that reported the number of patients with COPD (as deemed by the investigators), and specified at least one of the following clinical outcomes stratified by COPD status: hospitalization status; severe

COVID-19 (by any definition, differentiated from non-severe disease); intensive care unit (ICU) admission status; invasive mechanical ventilation; acute respiratory distress syndrome (ARDS); or mortality. We excluded studies that did not specify the number of patients with COPD as a comorbidity, that reported only COPD patients (and no comparator group), or that did not enumerate the clinical outcomes according to COPD status. Three reviewers (FVG, LHC and AB) independently assessed full text articles for inclusion. On January 28th, 2021, we updated the search to identify any recently-published studies and replaced any pre-print studies with the published versions; pre-print studies that had not been published in a peer-reviewed journal by this date were subsequently excluded.

We assessed the risk of bias for each of the included studies using the Clinical Advances through Research and Information Translation (CLARITY) group tool to assess risk of bias in cohort studies [18]. This eight-item tool was chosen on the assumption that most studies would be observational (non-randomised) and that, regardless of the study's original intent, our desired analysis would conform to a cohort study design with COPD as the "exposure". Two reviewers (FVG and SM) performed the assessments independently, with any disagreement resolved by discussion. The bias assessment was made at an outcome level where appropriate. Three reviewers (FVG, LHC and AB) independently extracted data on patient characteristics and clinical outcomes, with any discrepancies resolved by iteration. Where this was not possible or the discrepancy could not be resolved (five studies), an independent referee (CC, blinded to the other reviewers' assessments) reviewed the paper in question and extracted the relevant data. In instances where the selected publication reported COPD patients only as a percentage of the total population, we estimated the number based on the given percentage (three studies). In instances where the age of the total study population was not reported, the mean age was estimated based on the reported means of subgroups.

2.2. Data analysis

We performed a meta-analysis, using the metafor (2.1-0) [19] package in R (3.6.0) programming language, to determine the risk of hospitalization for COVID-19, risk of severe COVID-19, and risk of mortality in COPD patients compared to non-COPD patients. In each of the meta-analyses, we fitted a random effects model using the Restricted Maximum Likelihood (REML) estimator with measure "OR" (odds ratio) and all default values, which was calculated based on the data provided in the selected studies. We assessed for evidence of publication bias using funnel plots, and for heterogeneity of data across the included studies using Cochran's Q test and I² value. Where there was significant heterogeneity, a stepwise removal of the studies was employed until the heterogeneity p-value of > 0.05 was achieved. Since we only had access to summary-level data, we were unable to adjust our models for potential confounders. However, to assess whether age or male sex (both established risk factors for poor outcomes in COVID-19) accounted for the observed between-study heterogeneity, we performed meta-regressions of the ORs with reported mean (or median) age and percentage of male participants as factors.

2.3. Role of the funding source

There was no direct funding source for this work. All authors had full access to the data. CWTY, FVG and DDS verified the underlying data, and all authors take responsibility for the submission.

3. Results

From an initial screening of 1,292 papers, we carefully reviewed the full text of 295 papers for eligibility (Fig. 1). We excluded 236

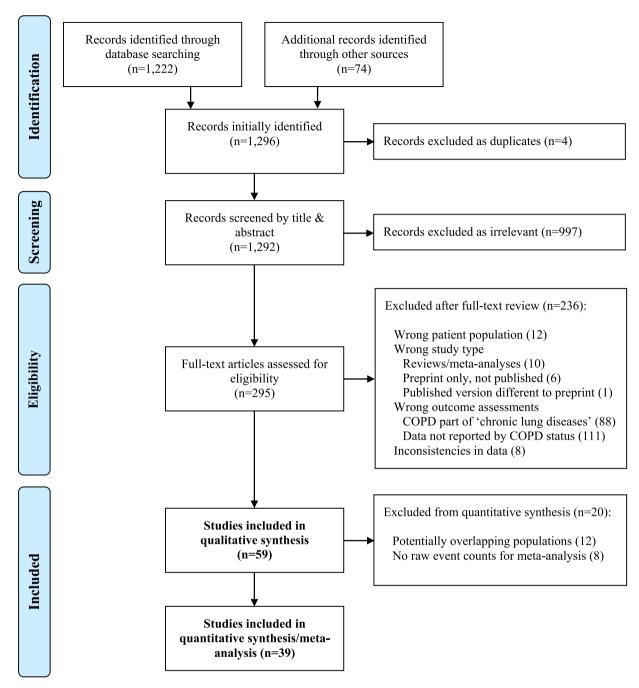


Fig. 1. PRISMA flow chart for the systematic review.

We screened 1,292 records identified by digital search of MedRxiv, Google Scholar, Pubmed and Ovid Medline for COVID-19 clinical studies that were published between November 1st, 2019 and January 28th, 2021. We excluded any preprint papers that were initially identified but not peer-reviewed and published by 28th January, 2021. In total, 59 studies were selected for the qualitative synthesis, of which 39 were utilized for quantitative synthesis (meta-analysis).

papers, due mainly to the fact that they did not report at least one of the prespecified clinical outcomes for COPD patients (n = 111) or that COPD was combined with other lung diseases (n = 88). Six studies initially identified in preprint were subsequently excluded since they had not been peer-reviewed and published by 28th January 2021; additionally, one study that was initially included based on preprint was subsequently removed since the peer-reviewed version did not meet our study's inclusion criteria. In total, 59 studies [20–78] were selected for the qualitative synthesis, of which 39 [23–26,30–34,37–42,44,45,47–54,57,59-68] were utilized for the quantitative synthesis (meta-analysis).

The selected studies represented reports from 16 different countries with the highest representation from China and the United

States. A summary of the included studies is presented in Table 1. The majority of the included studies were retrospective. Many were described by their respective authors as cohort studies, although these were often better described as case series. We determined that three studies had a case-control design [22,28,73]. Six studies [32,41,43,54,69,70] appeared to be prospective in nature, although the time course of enrolment and data collection was ambiguous for at least three of these studies [32,41,69]. The study settings and data sources varied from large community-based registries, general hospital wards, dedicated COVID-19 units, and ICUs. The number of COVID-19 patients included in each study ranged from 32 (a single-centre case series from India) [20] to 331,298 (a nationwide COVID-19 registry from Mexico) [60]. Of the total 698,042 confirmed COVID-

Table 1Data extracted from the included studies.

Authors	Country	Study type	Study setting	Study period	Total partici-pants, n (% male)	Age, years†	COPD patients, n	COPD status determination	Main reported outcomes/analyses	Outcomes used for meta-analysis‡
Aggarwal et al [20]	India	Retrospective case series	Hospital inpatients – designated COVID-19 facility (single centre)	April 10–30, 2020	32 (59)	54 (46, 60)	5	Extracted from medical record	Primary composite endpoint of admission to ICU/ mechanical venti- lation/death	none
Argenziano et.al [21]	USA	Retrospective cohort study	Hospital inpatients (multi-centre) & ERs	March 1 and April 6 2020 (followed til April 30 2020)	1000 (59.6)	63	66	Extracted from medical record	Clinical course of the COVID-19 patients across the emergency department, hos- pital wards, and intensive care units.	H,S
Atkins et al [22]	England	Case-control study	Community based registry (UK biobank)	March 16—April 26, 2020	507 (61)	74±5	62	Participant reports of doctor-diag- nosed disease	Predictors of COVID- 19 PCR positive	none
Attaway et.al [23]	USA	Retrospective cohort study	Hospital system database (multi- centre)	March 8 and May 13, 2020	2527 (48)	61	164	Self-report, then confirmed by physician diagno- sis in medical record	Effect of COPD on hospitalization and COVID-19 outcomes	H,S,M
Auld et al [24]	USA	Retrospective cohort study	ICU patients (multi- centre)	March 6–April 17, 2020	217 (55)	64 (54, 73)	21	Extracted from medical record	Descriptive, with focus on mortality rate	M
Azoulay et al [25]	France	Retrospective cohort study	ICU patients (multi- centre)	February 21—April 24, 2020 (status recorded May 15)	376 (77)	66 (53, 68)	20	Extracted from medical record	Predictors of 28-day mortality	M
arman et.al [26]	Turkey	Retrospective cohort study	Hospital inpatients (multi-centre)	March 20 and April 20, 2020	607 (55)	62.5 ± 14.3	73	Extracted from medical record	Prognostic impor- tance of myocar- dial damage in patients hospital- ized with COVID- 19	M
ello-Chavolla et al [27]	Mexico	Cross-sectional study	Nationwide COVID- 19 case registry	All PCR-positive cases up to June 3, 2020	101,238 (56)	Data not reported	1,990	From health infor- mation uploaded to the registry by personnel from healthcare facilities	Predictors of mortality	none ^b
ravi et al [28]	Italy	Retrospective case- control study	Laboratory database of PCR positive cases across two provinces	PCR-positive cases at April 2 (Ferrara province)/April 24 (Pescara province)	1,603 (47)	58±21	97	Extracted from linked hospital discharge abstracts, queried from January 1, 2015 to date of COVID-19 diagnosis	Predictors of severe/ lethal COVID-19, including the treatment with ACEI/ARB medications	Н,М
Buckner et al [29]	USA	Retrospective case series	Hospital inpatients (multi-centre)	March 2–March 26, 2020	105 (50)	69 (23, 97)	11	Extracted from medical record	Severe COVID-19 composite end- point of ICU admission or death	none

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Table 1 (Continued)

Authors	Country	Study type	Study setting	Study period	Total partici-pants, n (% male)	Age, years†	COPD patients, n	COPD status determination	Main reported outcomes/analyses	Outcomes used for meta-analysis‡
Calmes et.al [30]	Belgium	Retrospective cohort study	Hospital inpatients (single centre)	March 18 and April 17, 2020	596 (49) (calculated)	59	46	Extracted from medical record	Understanding if obstructive dis- eases increase the chance of ICU admission and death among COVID-19 patients.	S,M
araballo et al [31]	USA	Cross-sectional study	Patients in Yale Heart Failure Registry	Data queried up to April 16, 2020	206 (45)	78 (65, 87)	67	Documented as a comorbidity in the registry	Prevalence and pre- dictors of COVID- 19; predictors of early outcome (ICU/intubation/ death)	
en et al [32]	China	(Prospective?) cohort study	Hospital inpatients (multi-centre) with mild disease at admission	From February 10, 2020 (followed for 28 days after admission)	1,007 (49)	61 (49, 68)	46	Extracted from medical record	Predictors of disease progression (con- version from mild/moderate to severe or critical stage, or death)	M
iardullo et al [33]	Italy	Retrospective cohort study	Hospital inpatient (single centre)	February 22–May 15, 2020	373 (65)	72±14	39	Extracted from medical record	In-hospital mortal- ity, including dia- betes as a predictor	М
ui et.al [34]	China	Retrospective cohort study	Hospital inpatients (single centre)	January 14 and March 9, 2020	836 (52.5)	64	48	Extracted from medical record	Predictors of mortality	M ^d
eng Y et al [35]	China	Retrospective cohort study	Hospital inpatients (multi-centre)	January 1–February 15, 2020 (fol- lowed til 21 March)	476 (57)	53 (40, 64)	22	Extracted from medical record	Discharge from hos- pital, or death	
iannouchos T et al [36]	Mexico	Cross-sectional study	COVID-19 case reg- istry (Mexican Ministry of Health)	All registry patients up to May 31, 2020	89,756 (56)	46±16	1,773	From health infor- mation uploaded to the registry by personnel from healthcare facilities	Positive cases among suspected cases; hospitaliza- tion; "adverse outcome" (intuba- tion/ICU/death)	none ^b
Goyal et al [37]	USA	Retrospective case series	Hospital inpatients (multi-centre)	March 3–27, 2020	393 (61)	62 (49, 74)	20	Extracted from medical record	Descriptive, with focus on rate of invasive mechanical ventilation	S ^c
rasselli.G et al [38]	Italy	Retrospective case series	ICU patients (single centre)	February 20–April 22, 2020 (fol- lowed til May 30, 2020)	3,988 (80)	63 (56, 69)	93	Extracted from medical record	Predictors of mortality	M
uan W J et al [39]	China	Retrospective case series	Hospital inpatients (multi-centre)	December 11, 2019 – January 31, 2020	1,590 (57)	49±16	24	Patient self-report upon admission	Predictors of a com- posite endpoint of ICU admission/ invasive ventila- tion/death	S,M ^a
Gupta R et.al [40]	USA	Retrospective cohort study	Hospital inpatients (single centre)	March 2–April 23, 2020	529 (54)	70	36	Extracted from medical record	Predictors of mortality	M
Gupta S et.al [41]	USA	Prospective cohort study	ICU patients (multi- centre)	March 4-April 4, 2020 (followed til June 4 2020)	2215 (64.8)	60.5	173	Extracted from medical record	Predictors of mortality	M

Table 1 (Continued)

Authors	Country	Study type	Study setting	Study period	Total partici-pants, n (% male)	Age, years†	COPD patients, n	COPD status determination	Main reported outcomes/analyses	Outcomes used for meta-analysis‡
Hansen et.al [42]	Denmark	Retrospective cohort	Hospital inpatients (multi- centre) and outpatient	February 1-July 10, 2020	5104 (47)	54.6	432	Extracted from health registry.	Effect of COPD and asthma on com- posite outcome of severe COVID-19, ICU, death	S,M
Huang C et al [43]	China	Prospective case series	Hospital inpatients – designated COVID-19 facility (single centre)	December 16, 2019 – January 2, 2020	41 (73)	49 (41, 58)	1	Extracted from medical record, hospital admis- sion report, patients or fami- lies if required	Descriptive, focus on rate of ICU care	none ^a
Islam et.al [44]	Bangladesh	Retrospective cohort study	Hospital inpatients (single centre)	May 2020	1016 (64.1)	37 (28,49)	85	Extracted from medical record	Predictors of mor- bidity and mortal- ity among COVID- 19 patients	M
Israelsen et al [45]	Denmark	Retrospective case series	Hospital inpatients (single centre)	March 10-April 23, 2020	175 (49)	71 (55, 81)	11	Extracted from medical record	Descriptive, focus on rate of ICU care	S
Itelman et al [46]	Israel	Retrospective cohort study	Hospital inpatients -coronavirus unit and ICU (single centre)	February—April 10 2020	162 (65)	52±20	2	Extracted from medical record	Descriptive, focus on COVID-19 severity	none
Jalili et al [47]	Iran	Retrospective cohort study		February 20-April 20, 2020	28,981 (56)	57±18	683	From health infor- mation uploaded to the registry by individual hospitals	Descriptive, focus on mortality rate	М
Javanian et al [48]	Iran	Retrospective cohort study	Hospital inpatients (multi-centre)	February 25—March 12, 2020 (fol- lowed til March 17, 2020)	100 (51)	60±14	12	Extracted from medical record	Predictors of in-hos- pital mortality	M
Jiang et.al [49]	China	Retrospective cohort study	ICU patients (single centre)	January 30 to March 8, 2020 (followed til April 10 2020)	281 (51) (calculate)	70	38	Extracted from medical record	Predictors of 28-day mortality	M ^e
Jimenez et.al [50]	Spain	Retrospective case series	Hospital inpatients (single centre)	March 1 to May 28, 2020	1549 (57.5)	69	211	Extracted from medical record	Predominantly descriptive; pre- dictors of COVID- 19 complications	S,M
Kalyanaraman Mar- cello et.al [51]	USA	Retrospective cohort study	All patients tested in a public health system	March 5 and April 9, 2020 (followed til April 16, 2020)	13442 (56)	52.7	284	Extracted from medical record	Understanding disparities in COVID- 19 outcomes	H,M
Kim et.al [52]	South Korea	Retrospective cohort study	Nationwide COVID- 19 case registry	Data queried up to April 30, 2020	2959 (40)	Data not reported	28	From national based data base	Risk factors for severe COVID-19	S
Lagi et al [53]	Italy	Retrospective cohort study	0 3	February 25–March 26, 2020	84 (65)	62 (51,72)	5	Extracted from medical record	Descriptive, focus on need for ICU, and impact of an on-ward intervention	S
Lanini et.al [54]	Italy	Longitudinal cohort study	Hospital inpatients (single centre)	January 29 to March 28, 2020	379 (72.03)	61.67	49	Extracted based on standardised forms provided at the point of care to patients	Identify prognostic clinical bio- markers associ- ated with mortality/survival of patients with COVID-19	M^f

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Table 1 (Continued)

Authors	Country	Study type	Study setting	Study period	Total partici-pants, n (% male)	Age, years†	COPD patients, n	COPD status determination	Main reported outcomes/analyses	Outcomes used fo meta-analysis‡
i K. et al [55]	China	Retrospective cohort study	Hospital inpatients – quarantine unit (single centre)	January 31–March 5, 2020 (followed til March 25, 2020)	102 (58)	57 (45,70)	2	Extracted from medical record	Early radiographic change as a pre- dictor of mortality	none ^d
iu W et al [56]	China	Retrospective case series	Hospital inpatients (multi-centre)	December 30, 2019—January 15, 2020 (follow til 2 weeks post- hospitalization)	78 (50)	38 (33, 57)	2	Not specified	Predictors of disease progression	none
udwig et.al [57]	Germany	Retrospective obser- vational study	Anonymized national health- care claims data	Feb 17-July 21, 2020.	2343 (54)	62	306	Extracted based on the statutory health insurance claims data	Descriptive, with focus on ICU admission com- pared to influenza	S
Mancilla-Galindo et. al [58]	Mexico	Retrospective cohort study	COVID-19 case reg- istry (Mexican Ministry of Health)	February 28-May 30, 2020	83779 (56.6)	46.3	1695	Extracted from medical record available through the data platform of the Federal Government of Mexico	Predictors of mortality	none ^b
Mohamed et.al [59]	USA	Retrospective case series	Hospital inpatients (multi-centre)	March 28-April 16, 2020	7624 (54.6)	Data not reported	198	Extracted from the Mount Sinai Data Warehouse	Descriptive only, focus on chronic kidney disease and mortality	M ^c
Parra-Bracamonte et.al [60]	Mexico	Retrospective cohort study	National based reg- istry (Epidemio- logic Surveillance Source of Respira- tory Viral Diseases)	January 13–July 17, 2020	331,298 (53.8)	44	5458	Extracted from national based registry	Risk factors for mortality	H,M ^b
Rica R et al [61]	Spain	Retrospective cohort study	Hospital inpatients (single centre)	March 15–31, 2020	48 (67)	66±14	5	Self-reported by patients at hospi- tal triage	Predictors of need for ICU care	S
Salacup G et al [62]	USA	Retrospective case series	Hospital inpatients (single centre)	March 1-April 24, 2020	242 (51)	66±15	30	Extracted from medical record	Predictors of in-hos- pital mortality	M
hah et.al [63]	USA	Retrospective cohort study	Community based registry	March 2–May 6, 2020	522 (41.8)	63	47	Extracted from medical record	Predictors of mortality	M
mith A et al [64]	USA	Retrospective study	Hospital inpatients (multi-centre)	March 1—April 22, 2020	346 (56)	Mean 67	58	Extracted from medical record	Predictors of in-hos- pital mortality	M
Gong.J et al [65]	China	Retrospective cohort study	Hospital inpatients – designated COVID-19 facility (single centre)	February 1–March 6, 2020	961 (52)	63 (49-70)	21	Extracted from medical record	Predictors of mor- tality in patients with COPD and COVID-19	М
Guleyman G et al [66]	USA	Retrospective case series	Cases from hospi- tals/emergency departments (multi-centre)	March 9–27, 2020 (clinical outcomes followed for 30 days)	463 (44)	58±17	49	Extracted from medical record	Predictors of need for ICU care and 30-day mortality	H,S
Tomlins J et al [67]	England	Retrospective case series	Hospital inpatients (single centre)	March 10–30 (final day of follow up on April 6th)	95 (63)	75 (59, 82)	10	Not clear	Descriptive, focus on in-hospital mortality	M
van Gerwen et.al [68]	USA	Retrospective cohort study	State based registry	March 1 – April 1, 2020 (followed til May 13, 2020)	3703 (55.3)	56.8 ± 18.2	172	Extracted from medical record	Predictors of need for hospitaliza- tion, mechanical ventilation and all-cause mortality	H ^c

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Table 1 (Continued)

Authors	Country	Study type	Study setting	Study period	Total partici-pants, n (% male)	Age, years†	COPD patients, n	COPD status determination	Main reported outcomes/analyses	Outcomes used for meta-analysis‡
Violi F et al [69]	Italy	(Prospective?) cohort study	Hospital inpatients – designated COVID-19 facilities (multi-centre)	March–April 2020	319 (61)	Survivors, 66±18; non-survivors, 77±11	41	Not clear	Predictors of mor- tality, focus on serum albumin level as a predictor	none ^f
Wang D et al [70]	China	Prospective case series	Hospital inpatients – designated COVID-19 facility (single centre)	January 1–28, 2020 (follow-up til Feb- ruary 3, 2020)	138 (54)	56 (42, 68)	4	Extracted from medical record	Descriptive, focus on need for ICU care	none
Wang L et.al [71]	China	Retrospective cohort study	Hospital inpatients (single centre)	January 31–February 5, 2020	213 (44.6)	62 (51-69)	10	Extracted from medical record	Predictors of death, with focus on coagulation labo- ratory parameters	none ^e
Wang Y et al [72]	China	Retrospective case series	ICU patients	January 1—February 10, 2020	110 (44)	Data not reported	6	Extracted from medical record	Predictors of severe COVID-19 pneumonia	none ^a
Wu.F et al [73]	China	Retrospective case- control study	Inpatient and outpa- tient COVID-19 cases (multi- centre)	December 11, 2019—February 20, 2020	443 (60)	Data not reported	38	Previously diag- nosed by a respi- ratory physician based on post- bronchodilator FEV1/FVC < 0.7 and symptoms	COPD as a predictor of a composite endpoint of ICU admission/inva- sive ventilation/ death	S,M
Yang X et al [74]	China	Retrospective cohort study	Critically ill COVID- 19 inpatients (sin- gle centre)	December 24, 2019—January 26, 2020 (follow-up til February 9, 2020)	52 (67)	60±13	4	Extracted from medical record	Predictors of 28-day mortality after ICU admission	none ^a
Zhang G et al [75]	China	Retrospective case series	Hospital inpatients (single centre)	January 2–February 10, 2020	221 (49)	55 (39, 66.6)	6	Extracted from medical record	Descriptive, focus on severe COVID- 19	none
Zhang J et al [76]	China	Retrospective cohort study	Hospital inpatients – designated COVID-19 facility (single centre)	January 16–February 3, 2020	140 (51)	57 (25, 87)	2	Extracted from medical record	Descriptive, focus on severe COVID- 19	none
Zheng F et al [77]	China	Retrospective cohort study		January 17–February 7, 2020	161 (50)	45 (34, 57)	6	Not clear	Descriptive, focus on severe COVID- 19	none
Zhou F et al [78]	China	Retrospective cohort study	Hospital inpatients (multi-centre)	December 29, 2019–January 31, 2020	191 (62)	56 (46, 67)	6	Extracted from medical record	Predictors of in-hos- pital mortality	none ^a
Totals					698,042		14,913			

Abbreviations: PCR, polymerase chain reaction; ICU, intensive care unit; ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin-II receptor blocker; ARDS, acute respiratory distress syndrome; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity. †age presented as mean±SD or median (interquartile range) unless otherwise specified. ‡outcomes analyzed were hospitalization (H), severe COVID-19 (S), and mortality (M). Superscript letters represents groups of potentially overlapping populations, with only the largest study in each group analyzed

a Guan et al

b Parra-Bracamonte et al

c each study used for separate outcomes

d Cui et al

e Jiang et al

f Lanini et al.

19 patients reported by the studies, 14,913 (2.1%) had COPD as a comorbidity. Not surprisingly, only one study used a standard definition of COPD (a case-control study from China specifically investigating COPD as a risk factor for severe COVID-19) [73]: in almost all studies, COPD status was determined from the medical record (with or without confirmed respiratory physician diagnosis), and no studies confirmed the diagnosis by spirometry. The effect of using a clinical definition of COPD, compared to more objective criteria, is difficult to know since it would include both over- and underdiagnosis. Nevertheless, we made sure to include only studies specifying COPD as opposed to undifferentiated chronic respiratory disease.

There was significant variation in the COVID-19 outcomes reported in the studies (Figure S1). There were no true general population studies that quantified whether COPD confers increased susceptibility to COVID-19 or poor clinical outcomes; the closest was a case-control study from the UK Biobank which determined the predictors of test positivity among COVID-19 PCR tests [22]. Most studies were conducted in a hospital setting, with only eight studies reporting on hospitalization versus outpatient cases. [21,23,28,36,51,60,66,68] For severe COVID-19, we noted marked variation in what the authors of those studies considered to be "severe" disease. For example, some authors defined severe COVID-19 as simply the need for supplemental oxygen therapy [46,53] whilst others used strict definitions based on clinical practice guidelines (e.g. National Health Commission of China Interim Guidelines on the Diagnosis and Treatment of COVID-19, various versions available at http://en.nhc.gov.cn/publications.html; WHO Clinical Management of COVID-19 Interim Guidance, available at https://www. who.int/publications/i/item/clinical-management-of-covid-19)

[32,35,43,55,56,73,76,78]. Other studies used surrogate measures of severity, such as the need for ICU admission or mechanical ventilation. Two studies reported on the presence of ARDS [26,63]. We noted that, even when predefined criteria were used, how and when these determinations were made was often not specified by the authors.

Of note, even though all the studies reported their enrolment periods, a majority of them failed to specify when clinical data were collected from their enrolled patients. Critically, amongst the selected articles that were published at the beginning of the pandemic, there appeared to be some overlap in study locations and enrolment periods (Table S1). This is perhaps not surprising given the urgency with which data were being collected, reported and updated at that time. Subsequent comparison of the authors, site of the study and the date of recruitment and follow-up identified 22 studies with potentially overlapping populations [27,34-37,39,43,49,54,55,58–60,68,69,71,72,74,78]. To avoid the double counting of patients we included only the largest (by participant numbers) of the potentially overlapping studies in the quantitative analysis.

We assessed the risk of bias in each study using the CLARITY group tool [18]. Heterogeneity in study design meant that some items on the tool were not necessarily appropriate for all studies, which likely contributed to an overall impression of high risk of bias (Table S2). Nevertheless, there were some consistent themes that suggested higher risk of bias in the included studies. For example, COPD status (Q2: "Can we be confident in the assessment of exposure?") was most often extracted from the medical record, without specifying by whom and without a standardized data collection method. Prognostic factors (Q5: "Can we be confident in the assessment of the presence or absence of prognostic factors?") such as age and sex were routinely recorded, but the determination of other important factors such as comorbidities was generally taken from the medical record without confirmation. Not surprisingly, co-interventions for COPD and non-COPD groups (Q8: "Were co-interventions similar between groups?") were rarely specified. Of note, it was often not clear who determined disease severity (investigators, treating physicians, etc) (Q6: "Can we be confident in the assessment of outcome?"). Finally, a prominent potential source of bias for both severe COVID-19 and mortality outcomes was inadequate follow-up (Q7: "Was the follow up of cohorts adequate?"), since many studies included patients who were still in hospital at the time of ascertainment, without having met a definitive outcome (e.g. survival to discharge, or death).

We quantified the association between a diagnosis of COPD and each of the three prespecified COVID-19 outcomes. For hospitalization, we evaluated only those studies that reported data on both outpatient and patient's hospital admissions. Among the seven studies identified, there was some asymmetry to the funnel plot, raising the possibility of publication bias (Figure S2). By far the largest of these studies was by Parra-Bracamonte et al [60], which was from a large healthcare registry in Mexico and included 331,298 patients with COVID-19 confirmed by a positive PCR test. COPD patients had increased odds of hospital admission (pooled odds ratio [OR] 3.12; 95% confidence interval [CI], 2.05–4.72; p < 0.0001), but there was severe heterogeneity (Cochran's Q 32.84, p < 0.0001; and l^2 91.66%) (Figure S3). Following stepwise removal of the two studies [21,23] contributing the greatest to heterogeneity, the final pooled OR was 4.23 (95% CI 3.65-4.90, p < 0.0001) without evidence of residual heterogeneity (Fig. 2).

Since there was significant variation in how the studies described or defined severe COVID-19, the reviewers felt that it was inappropriate to include all studies in a meta-analysis for this outcome. For consistency, we chose ICU admission as a relatively objective surrogate marker of severe COVID-19. We identified 14 non-overlapping studies that reported on ICU admission of COVID-19 patients stratified by COPD status. There was no evidence of a significant publication bias (Figure S4). COPD as a comorbidity increased the odds of severe COVID-19 (pooled OR 1.78; 95% CI, 1.20–2.64; p = 0.004) with moderate heterogeneity of results across studies (Cochran's Q 45.03, p < 0.0001; and I^2 79.52%) (Fig. S5). Following stepwise removal of the three studies [23,39,73] contributing the greatest to heterogeneity, the final pooled OR was 1.35 (95% CI, 1.02–1.78; p = 0.03; Fig. 3) without evidence of residual heterogeneity.

For mortality, this outcome was reported separately in 37 of the 59 included studies. Most of these did not specifically model the effects of COPD on mortality. 30 non-overlapping studies reported the vital status of patients according to COPD status and were therefore used in the meta-analysis. There was no suggestion of publication bias (Figure S6). COPD increased the odds of mortality (pooled OR 2.85; 95% CI 2.37–3.42; p < 0.0001; Fig. S7). However, there was severe heterogeneity of results across the studies (Cochran's Q 189.01, p < 0.0001; and I^2 82.53%). Following stepwise removal of six papers [39,42,44,47,60,73] contributing the greatest amount to the heterogeneity, the pooled OR was 2.47 (95% CI, 2.18–2.79; p < 0.0001; Fig. 4) with no evidence of residual heterogeneity.

Finally, we examined whether age and sex of the study participants could explain the heterogeneity of results across studies, using meta-regression. Three studies [52,59,73] were not included in this analysis due to missing population level age statistics. The mean/median age and percentage of males of studies accounted for 0%, 56.38% and 21.34% of the heterogeneity for hospitalisation, severe COVID-19 and mortality, respectively (Table 2). Age alone accounted for 19.79%, 63.06%, and 28.21% of the heterogeneity for hospitalisation, severe COVID-19, and mortality, respectively (Figs. S8-S10). Increasing mean/median age of the study populations was associated with lower OR for each of the COVID-19 outcomes; these trends were significant for severe COVID-19 (p = 0.0024) and mortality (p = 0.0046) but not for hospitalisation (p = 0.11).

4. Discussion

The most important finding in this systematic review was that COPD is a significant risk factor for hospitalization, ICU stay, as well as for mortality in patients with COVID-19, increasing the odds by up to 4-fold in a series of meta-analyses. The heterogeneity in the effects of COPD on these outcomes was not fully explained by differences in

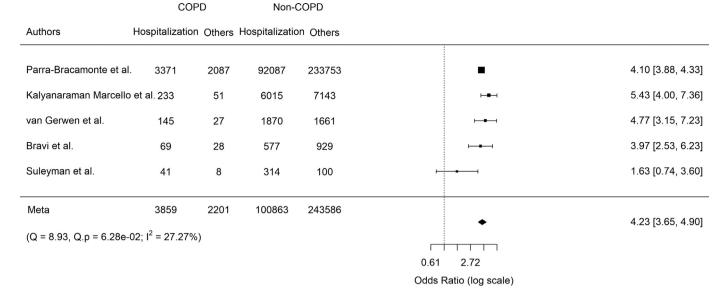


Fig. 2. Pooled odds ratio of COVID-19-related hospitalization in COPD patients:
Only studies with no overlapping study populations were analyzed. Odds ratios [95% confidence intervals] for individual studies (squares and bars) and the pooled odds ratio [95% CI] (diamond). Results are following stepwise removal of two studies contributing to heterogeneity (Cochran's Q and I² tests).

	CO	PD	Non-0	COPD		
Authors	Severe	Others	Severe	Others		
Hansen et al.	47	385	269	4403	⊬ ≡ ⊣	2.00 [1.44, 2.77]
Kim et al.	2	26	131	2800	-	1.64 [0.39, 7.00]
Ludwig et al.	85	221	402	1635	⊦ ⊞ ⊣	1.56 [1.19, 2.06]
Jiménez et al.	7	180	74	1124	⊢	0.59 [0.27, 1.30]
Argenziano et al.	14	52	222	712	⊢	0.86 [0.47, 1.59]
Calmes et al.	9	37	79	471	⊢	1.45 [0.67, 3.12]
Suleyman et al.	18	31	123	291	⊢	1.37 [0.74, 2.55]
Goyal et al.	7	13	123	250	<u> </u>	1.09 [0.43, 2.81]
Israelsen et al.	2	9	25	139		1.24 [0.25, 6.06]
Lagi et al.	3	2	13	66		7.62 [1.16, 50.18]
Rica et al.	1	4	19	23 +	-	0.30 [0.03, 2.94]
Meta	195	960	1480	11914	_	4 25 [4 02 4 79]
(Q = 16.87, Q.p = 7.72	$2e-02$; $I^2 = 41.00$	0%)				1.35 [1.02, 1.78]
					i i	
				0.02	0.14 1 7.39 54.6	
					Odds Ratio (log scale)	

Fig.3. Pooled odds ratio of severe COVID-19 (ICU admission) in COPD patients:

Only studies with no overlapping study populations were analyzed. Odds ratios [95% confidence intervals] for individual studies (squares and bars) and the pooled odds ratio [95% CI] (diamond). Results are following stepwise removal of three studies contributing to heterogeneity (Cochran's Q and I² tests).

the average age or sex distribution of the study participants. Our results were derived from patients who already had COVID-19, and thus we cannot comment on whether COPD confers an increased risk of contracting SARS-CoV-2 infection or asymptomatic COVID-19. Indeed, it is quite probable that COPD patients are underrepresented among COVID-19 cases due to increased social distancing during the pandemic [79]. However, our results confirm that, after contracting SARS-CoV-2, COPD patients are at a high risk of progression to a poor clinical outcome.

To our knowledge, this is the largest and most comprehensive systematic review of the association between COPD and severe COVID-19 to date. Previous systematic reviews on this and similar topics [13–16] did not include hospitalisation as an outcome, which is particularly important as health care resources become stretched during

the pandemic. The maintenance of essential health services is a key strategic priority of the WHO COVID-19 response [80]. The previous systematic reviews were also overwhelmingly influenced by the early reports from China; this is important not only due to regional differences in patient populations, but also since the clinical management of COVID-19 has evolved rapidly to include treatments that were not recommended in the early stages. Our systematic review, of which more than half the included studies were from outside China and across four continents, confirm those early reports but are arguably more generalizable to the global community. A particular strength of our review is that we made sure not to meta-analyse the results from studies where there was a possibility of overlapping patient populations. We identified 22 such studies based on the authors, sites, and recruitment periods. Whilst not confirmed, it is

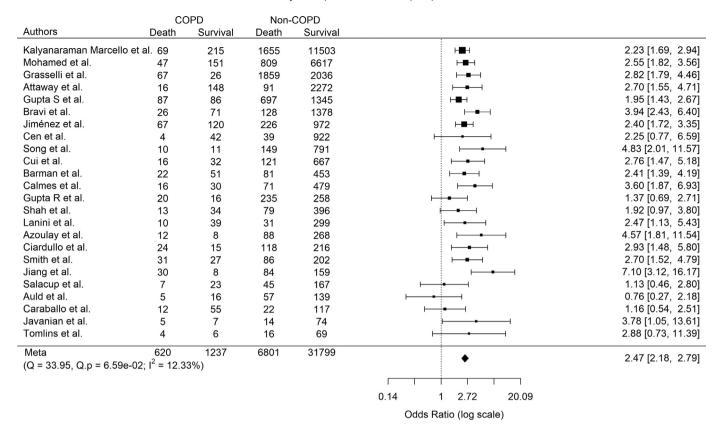


Fig. 4. Pooled odds ratio of COVID-19-related mortality in COPD patients:

Only studies with no overlapping study populations were analyzed. Odds ratios [95% confidence intervals] for individual studies (squares and bars) and the pooled odds ratio [95% CI] (diamond). Results are following stepwise removal of six studies contributing greatest to the heterogeneity (Cochran's Q and I² tests).

Table 2Meta-regression to evaluate the relationship of age and sex with COVID-19 outcomes.

Variable	OR	95% CI	P-value	Heterogeneity accounted (R ²)
Hospitalization				
Mean/median age	0.95	[0.88, 1.02]	0.14	NA
Male %	0.99	[0.91, 1.09]	0.89	NA
Model	3.02	[1.97, 4.63]	NA	0%
Severe COVID-19				
Mean/median age	0.92	[0.86, 0.97]	0.0053	NA
Male %	1.001	[0.95, 1.05]	0.97	NA
Model	1.47	[1.07, 2.01]	NA	56.38%
Mortality				
Mean/median age	0.97	[0.95, 0.99]	0.0052	NA
Male %	1.004	[0.98, 1.02]	0.68	NA
Model	2.70	[2.26, 3.21]	NA	21.34%

Abbreviations: OR, odds ratio; CI, confidence interval

plausible that duplicate reporting of study populations exists due to the rapid release and updating of clinical studies early in the pandemic. Excluding these potentially overlapping studies from the quantitative analysis avoided any double-counting of patients.

It was beyond the purview of the current study to explore the mechanisms by which COPD confers increased risk of poor COVID-19 outcomes. However, several possibilities exist. For example, poor lung function reserves in patients with COPD means that superimposed COVID-19 pneumonia, acute respiratory distress syndrome (ARDS), or pulmonary vascular thrombo-embolic events that are observed in COVID-19 [81,82] may easily precipitate respiratory failure. Indeed, COPD patients are at a high risk of mortality from other respiratory infections such as influenza [83] and community-

acquired pneumonia [84]. Another possibility is upregulation of the SARS-CoV-2 receptor, angiotensin converting enzyme-2 (ACE-2), in the airways [9] and lungs [10] of individuals with COPD. Over-expression of the virus receptor could allow faster spread of the virus into the distal airways and alveoli, facilitating progression from a relatively mild bronchitis or an upper respiratory tract infection to severe COVID-19 pneumonia. Additionally, COPD is associated with impaired innate immune responses to viruses [12]; defective interferon responses to SARS-CoV-2 have been associated with increased risk of severe COVID-19 [85]. although this has not yet been demonstrated in COPD patients. Finally, it is possible that the association is confounded by the presence of other risk factors for severe COVID-19 that are common in people with COPD, such as older age, cardiovascular diseases, hypertension and diabetes [86]. Few of the studies we reviewed analyzed the effects of COPD independent of these other known risk factors, and other important determinants of health outcomes such as race, socioeconomic status and access to healthcare were rarely considered.

There were limitations to this study. First, the diagnosis of COPD was based largely on self-report or physician diagnosis and not on spirometry or imaging measures, and the results from these studies cannot be seen as equivalent to those derived from more objective definitions of COPD. Given that COPD is grossly under-recognized and under-diagnosed across the world (especially in developing countries), there could have been significant misclassification of patients [87]. Any non-differential bias arising from diagnostic misclassification would have diluted the results toward the null value. Second, we did not have data on the treatment for COPD or COVID-19. As the standard treatment for COPD exacerbation is systemic corticosteroids [6], it is possible that individuals with COPD could have been preferentially given these medications. However, in view of the

RECOVERY trial which demonstrated the unequivocal benefits of systemic dexamethasone in reducing mortality in patients receiving invasive mechanical ventilation by ~36% and among those receiving oxygen without invasive mechanical ventilation by \sim 18% [88], such preferential treatment of COPD patients with steroids would have reduced the point estimates. Thus, our findings may be an underestimate of the true impact of COPD on severe COVID-19 outcomes. Third, we did not have access to individual patient-level data and as such we could not adjust for important potential confounders such as age, sex, and smoking status. We therefore cannot conclude that COPD is a risk factor for poor COVID-19 outcomes independent of such factors, which is particularly relevant since both COPD and COVID-19 are highly associated with increased age and multi-comorbidity. Indeed, some studies that specifically enrolled COPD patients have found no effect of COPD on severe COVID-19 and related mortality after adjusting for age and sex [30,42]. We attempted to explore the effects of age and male sex on our findings using meta-regression; although this is an imprecise method for evaluating potential confounders, it suggested that the effects of COPD on these COVID-19 outcomes became less pronounced as the mean/median age of the study populations increased. COPD may therefore be a particularly relevant risk factor in a younger age group, but less so in the older age group where other age-associated risk factors are more important determinants of COVID-19 outcomes. The relationship between smoking and COVID-19 outcomes is currently being debated with some studies showing a positive relationship [13,16], while others suggesting a protective association [89].

In conclusion, COVID-19 patients with COPD demonstrate increased odds of being hospitalized, requiring ICU admission, and mortality compared to COVID-19 patients who do not have COPD. These data highlight the importance of public and personal health measures to protect patients with COPD from becoming infected with SARS-CoV-2 (e.g. with the use of well-fitting masks, social distancing and hand hygiene measures) and managing these patients (should they develop COVID-19) with aggressive systemic corticosteroids and other strategies to mitigate their excess risk for morbidity and mortality. These data also demonstrate COPD patients should be prioritized for immunization with COVID-19 vaccine(s).

Declaration of Competing Interest

SM reports personal fees from Novartis and Boehringer-Ingelheim, outside the submitted work. DDS reports grants and personal fees from AstraZeneca, personal fees from Boehringer Ingelheim, and personal fees from Grifols outside the submitted work. FVG, CC, XL, CWTY, AT, LC, and AB have nothing to disclose.

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Contributors

FVG, SM, LHC and AB collected the data; FVG, SM and XL analysed the data; CC, CWTY, AT and DDS reviewed and interpreted the results; CWTY managed the project; FVG wrote the first draft of the manuscript; all authors reviewed and edited the manuscript and approved the final draft; all authors had full access to the data; CWTY, FVG and DDS verified the underlying data.

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Data sharing statement

All underlying data analysed in this work were extracted from published materials. Full summary data will be made available immediately following publication to any investigators and for any purpose, upon reasonable request. Proposals should be made directly to the Principal Investigator, Dr. Don Sin (don.sin@hli.ubc.ca).

Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j.eclinm.2021.100789.

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