# Review Article Neuroglobin Expression Models as a Tool to Study Its Function

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Neuroglobin (Ngb) is an evolutionary conserved member of the globin family with a primary expression in neurons of which the exact functions remain elusive. A plethora of *in vivo* and *in vitro* model systems has been generated to this day to determine the functional biological roles of Ngb. Here, we provide a comprehensive overview and discussion of the different Ngb models, covering animal and cellular models of both overexpression and knockout strategies. Intriguingly, an in-depth literature search of available Ngb expression models revealed crucial discrepancies in the outcomes observed in different models. Not only does the level of Ngb expression—either physiologically, overexpressed, or downregulated—alter its functional properties, the experimental setup, being *in vitro* or *in vivo*, does impact the functional outcome as well and, hence, whether or not a physiological and/or therapeutic role is ascribed to Ngb. These differences could highlight either technical or biological adaptations and should be considered until elucidation of the Ngb biology.

# 1. Introduction

Gaining insight into the homeostatic mechanisms in the brain that support the maintenance of pivotal cell survivalpromoting mechanisms has been very valuable for the development of therapeutic strategies for the treatment of nervous system-related injuries and diseases. An essential factor in the cellular metabolism of this highly metabolically active tissue is oxygen ( $O_2$ ), a key player in cell growth and survival. Both the up- and downregulation of  $O_2$  tension has a pronounced effect on brain function [1]. While the former is responsible for the production of reactive oxygen species (ROS), which are key players in oxidative stress metabolism, reduced  $O_2$  tension can also be life-threatening. Depending on the  $O_2$  tension, the brain adapts its approach to endogenous protective mechanisms and neuroplasticity to support cell survival and homeostasis.

The tight regulation of  $O_2$  tension and levels of harmful  $O_2$  derivatives is partially controlled by a phylogenetically widespread family of haem-containing proteins called "globins". The presence of the haem allows globins to bind diatomic gases ( $O_2$ , CO, and NO), which enables them to perform a variety of functions, including  $O_2$  sensing,

transport, and storage, haem-based catalysis, and the scavenging of reactive oxygen and nitrogen species (ROS/RNS) [2, 3]. Globins associated with or expressed in nervous tissue are referred to as "nerve globins" and have been reported in both vertebrates and invertebrates [4–7]. In 1872, Lankester was the first to report a nerve globin in the nerve cord of the polychaetes annelid *Aphrodite aculeate* [6]. Cytoglobin, haemoglobin (Hb), and myoglobin (Mb) had been reported to be coexpressed in the mammalian nervous system in addition to their primary tissue-specific expression patterns. However, in 2000, Burmester and colleagues were the first to discover a globin type that is predominantly expressed in human and mouse brains: neuroglobin (Ngb) [4, 8–12].

#### 2. Ngb: A Conserved Cytoprotective Protein

2.1. Structure and Reactivity of Ngb. Ngb is an aberrant member of the globin family, featuring only 20 to 25% protein sequence identity to Hb and Mb [4]. Despite its sequence differences, Ngb has a monomeric structure and exhibits the classical three-over-three  $\alpha$ -helical globin fold that forms a hydrophobic pocket around the haem [13]. Given the high sequence identity (94%) between human Ngb [13] and mouse Ngb [14], it may be of no surprise that they are structurally alike. In addition, with the high 1.5 Å resolution obtained with mouse ferric Ngb crystals, Ngb could be modelled as a molecular fossil with various binding and entry options to the central haem iron [14]. Intriguingly, in contrast to the classical pentacoordinated globins, the Fe<sup>2+</sup> deoxy and Fe<sup>3+</sup> state of the Fe atom of Ngb's haem are able to be hexacoordinated. In the absence of an external ligand, the sixth distal position of the haem Fe is bound to the histidine at position 7 of the E-helix (HisE7). Due to hexacoordination of the haem Fe, external ligands (O<sub>2</sub>, CO, and NO) are subjected to an intrinsic binding competition with HisE7, of which the functional significance is not yet fully understood [13, 15–17].

Hb and Mb are structurally constructed to support  $O_2$ transport and storage, whereas Ngb is characterised by only a moderate O<sub>2</sub>-binding affinity under physiological conditions ( $P_{50} = 7.5$  Torr at 37°C and neutral pH) [18]. Given their high metabolic rate, neurons are required to experience cellular P<sub>50</sub> levels close to zero which means that, under in vivo conditions, the fractional O<sub>2</sub> saturation of Ngb in vertebrates would be too low to play a role in O<sub>2</sub> transport or storage [18]. Furthermore, the relatively low Ngb concentration in the brain  $(\pm 1 \,\mu M)$  provides only limited Ngb capacity to bind, transport, or store  $O_2$  molecules [4]. Therefore, the physiological functions of Ngb might not be primarily related to oxygen level preservation but rather to the scavenging of noxious ROS and RNS, which accumulate in the cell after hypoxic or ischemic insults and subsequent reperfusion of the tissue [19].

Ngb's sequence has been well-conserved during mammalian evolution. It has a threefold slower evolutionary rate compared to Hb and Mb, and its resemblance to some invertebrate nerve globins suggests a link to a very old globin lineage. Hence, these data support a strongly selected, important functional role of Ngb [4, 20].

2.2. Ngb's Expression Patterns and Mode of Neuroprotection. As its name suggests, Ngb is predominantly expressed in neurons of the central and peripheral nervous systems. Recent reports have shown that the highest Ngb levels are found in the hypothalamus, confirmed at both the transcript and protein levels, where Ngb mRNA expression is even up to 100-fold higher compared to the cerebral cortex, cerebellum, and hippocampus, which were initially considered to be primary Ngb expression sites. This regional peak of Ngb expression has also been shown to be conserved in humans and other mammals [21, 22]. Additionally, high Ngb concentrations have also been reported in other nonneural high metabolically active or specialised tissues, including the retina and several endocrine tissues such as the adrenal and pituitary glands. However, Ngb mRNA expression levels in the retina and testes are low, according to a study by Fabrizius et al. [4, 22-24]. An important concern in the debate of Ngb expression sites is the potential discrepancy between Ngb mRNA and protein expression levels that has to be considered. Furthermore, Ngb has been found to undergo several transcriptional and translational modifications, such as epigenetic and posttranslational modifications which may regulate final Ngb expression levels [25, 26]. Subcellular cytoplasmic localisation of Ngb was undisputed for a long time, as Ngb mRNA and protein signals were consistently detected in perikarya, axonal processes, axonal varicosities, and terminal synapses [23, 27–30]. However, recently, Ngb has also been reported to be expressed in the nuclei of neurons and in the inner wall of mitochondria under certain cellular conditions [31, 32].

As Ngb expression sites may vary, recent studies point to distinct Ngb functions for high- and low-expressing cells and tissues [22]. To date, Ngb is considered to exert neuroprotective actions through different molecular mechanisms, of which the major aim is to promote cellular homeostasis and survival [4, 15, 33, 34] (Figure 1). It is unlikely that Ngb acts as a classical globin to enhance O<sub>2</sub> supply to the mitochondria of metabolically active neurons to enhance the respiratory system. Although Ngb is predominantly expressed in metabolically active cells, cellular Ngb concentrations and Ngb oxygen affinity are too low, thus being unsuitable to support this hypothesis as previously described [4, 18]. Secondly, Ngb is believed to act as a detoxifier of harmful excesses of NO and as a scavenger of ROS and RNS, thereby reducing oxidative stress [19, 35] (Figure 1). The interaction of Ngb with cytochrome  $c_1$  (Cyt  $c_1$ ), a subunit of the mitochondrial complex III, might be of great importance in the latter, as complex III is a component of the respiratory chain and a major source of ROS and RNS [36]. Furthermore, Ngb might affect the intrinsic apoptotic pathway at several stages (Figure 1). Ngb has been reported to inhibit the opening of mitochondrial permeability transition pores (mPTP) by binding to one of its key components, namely, the voltagedependent anion channel 1 (VDAC), and inhibiting subsequent proapoptotic cytochrome c (Cyt c) (Fe<sup>3+</sup>) release [36, 37]. In addition, by sequestering Cyt c (Fe<sup>3+</sup>) and forming a Ngb (Fe<sup>2+</sup>)-Cyt c (Fe<sup>3+</sup>) complex, Ngb (Fe<sup>2+</sup>) reduces Cyt c  $(Fe^{3+})$  to Cyt c  $(Fe^{2+})$  through a redox reaction and decreases the release of proapoptotic Cyt c ( $Fe^{3+}$ ), thereby supporting cell survival [38]. This process requires high levels of Ngb and its translocation close to the mitochondria [32, 39, 40]. An important mediator that was reported for Ngb trafficking from the cytosol to the mitochondria is the association of Ngb with huntingtin (HTT) [41, 42]. Thus, the involvement of Ngb in respiratory chain functions and the regulation of the intrinsic apoptosis pathway may be important for its neuroprotective function. It is to be noted that for Ngb to function as a radical scavenger or cytochrome c reductase, the cell is to provide in an electron donor for Ngb that can reduce it again into its ferrous form (Fe<sup>2+</sup>). However, such an electron donor is yet to be discovered [34]. Hence, the exact mechanisms or implications of Ngb's interaction with Cyt c remain elusive.

In addition, several interactions of Ngb with signalling proteins have been described, suggesting a potential regulatory role of Ngb in the modulation of cell signalling [43]. Firstly, Ngb might act as a heterotrimeric G $\alpha$  protein guanosine nucleotide dissociation inhibitor (GDI). The interaction of Ngb (Fe<sup>3+</sup>) with guanosine diphosphate (GDP)-bound G $\alpha$ could then protect against neuronal death, as the interaction of Ngb (Fe<sup>3+</sup>) with the GDP-bound G $\alpha$  subunit inhibits G $\alpha$ activity and prevents G $\beta\gamma$  rebinding to G $\alpha$ , thereby

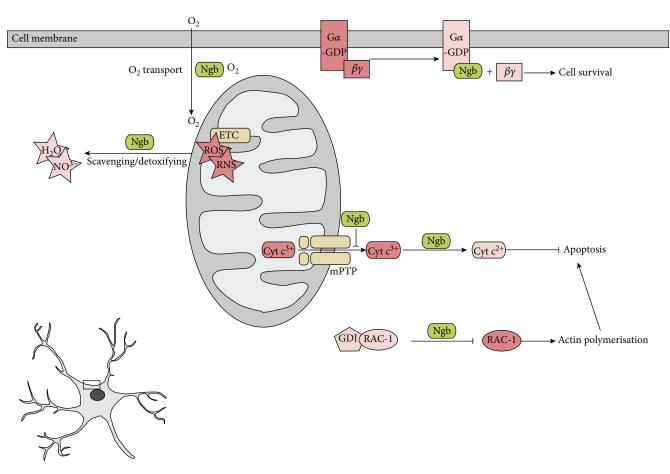


FIGURE 1: Overview of the potential Ngb neuroprotective mechanisms in neurons. The schematic presentation is a magnification of the boxed area in a neuron. Ngb is detected to detoxify harmful excesses of NO and to scavenge ROS and RNS amongst others by its association witch Cyt  $c_1$ . Mitochondrial translocation suggested by the latter is exerted by HTT. Ngb has a guanosine nucleotide dissociation inhibitor (GDI) activity and can prevent G $\alpha$  from binding to the G $\beta\gamma$  complex, which promotes neuronal survival. Ngb also may inhibit the dissociation of RAC-1 from its endogenous GDI, preventing actin polymerisation and microdomain aggregation. Furthermore, Ngb might inhibit opening of mPTP pores of mitochondria and subsequent Cyt c (Fe<sup>3+</sup>) release. Ngb also converts apoptotic Cyt c (Fe<sup>3+</sup>) to Cyt c (Fe<sup>2+</sup>). Furthermore, Ngb might modulate the AKT/IP3 signalling pathway and associate with Na<sup>+</sup>/K<sup>+</sup> ATPase in order to promote neuroprotection. Lastly, while Ngb is able to bind oxygen, its oxygen affinity value only attains 7.5 Torr. Given this value to be lower than the O<sub>2</sub> tension within neurons, it is unlikely that Ngb exerts a respiratory function under basal conditions. Potentially harmful species are indicated in dark pink and converted nonharmful species in light pink. Ngb: neuroglobin; ROS: reactive oxygen species; RNS: reactive nitrogen species; ETC: electron transport chain; GDI: guanosine nucleotide dissociation inhibitor; Cyt c: cytochrome c; mPTP: mitochondrial permeability transition pore; GDP: guanosine diphosphate; RAC-1: ras-related C3 botulinum toxin substrate 1; Cyt c<sub>1</sub>: cytochrome c<sub>1</sub>; HTT: huntingtin; VDAC: voltage-dependent anion channel 1; IP3: inositol triphosphate; PIP3: phosphatidylinositol (3,4,5)-trisphosphate.

enhancing the survival-promoting  $G\beta\gamma$ -dependent pathway that acts through activation of phosphatidylinositol 3kinase (PI3K) [44, 45] (Figure 1). Furthermore, it has been reported that the activity of Ngb is not limited to interactions with heterotrimeric G proteins. Ngb might also be important for the regulation of small GTP-binding proteins of the Rho family. It has been reported that Ngb inhibits PAK1 kinase and interacts with members of the RhoGTPase family and with the Rho GDP dissociation inhibitor (Figure 1). As such, Ngb inhibits hypoxia or N-methyl-D-aspartate- (NMDA-) induced death signals that trigger reorganisation of the cytoskeleton and polarisation of lipid raft membrane microdomains, as well as associated mitochondrial aggregation [46, 47]. The interest in the mitochondrial localisation of Ngb has grown in recent years as it may play a role in the neuroprotective action of Ngb [36, 48, 49]. Reallocation of Ngb to

the mitochondria and its subsequent neuroprotective effects have also been observed after treatment with 1-methyl-4phenylpyridinium ion in Ngb-overexpressing SK-N-BE2 cells, where its neuroprotective effect was linked to mitochondrial lipid raft-associated complexes [50]. However, the exact mechanism regulating this reallocation remains unclear, as Ngb lacks a mitochondrial signalling sequence. Another important modulation of intracellular signalling by Ngb is the activation of the serine/threonine kinase (AKT) pathway, which has been reported to have several neuroprotective actions in several life-threatening insults [51–54]. In addition, interactions of Ngb with AKT and its upstream regulator PTEN have been reported in neuritogenesis, suggesting a role for Ngb as an upstream regulator of the PI3K/AKT pathway [55]. Furthermore, the recently described interaction of Ngb with the Na<sup>+</sup>/K<sup>+</sup> ATPase  $\beta$ 1

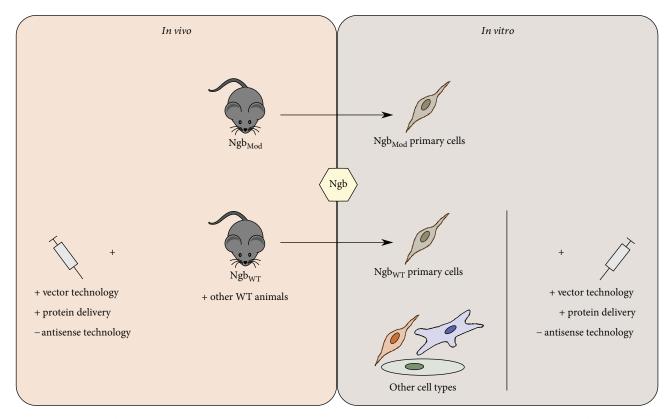


FIGURE 2: Overview of the different types of Ngb expression models. The *in vivo* models comprise animal models of modulated Ngb expression (Ngb<sub>Mod</sub>) on the one hand (i.e., Ngb-overexpressing and Ngb-deficient mouse models) and WT animals on the other hand. Ngb overexpression in WT animals can be obtained by injection of Ngb-expressing vectors or protein delivery. Ngb knockdown can be established by injection of *anti-Ngb* RNAs. The *in vitro* models comprise primary cells derived of the Ngb-modulated mouse models, primary cells from WT animals, and other cell types. To create Ngb overexpression or Ngb deficiency, similar techniques can be used as with the *in vivo* models.

subunit also holds great promise, as Ngb preserves its activity [56]. Other Ngb-associated prosurvival mechanisms are still being elucidated to explain Ngb's neuroprotective function by modulating different pivotal cellular processes [57, 58].

Since its discovery, research groups have been investigating the structure, reactivity, expression patterns, localisation, and functional significance of Ngb. Despite the fact that more than 500 studies have been published on Ngb, its exact mechanisms of action that underlie its neuroprotective actions remain to be elucidated.

#### 3. Animal Models of Ngb Modulation

As endogenous Ngb levels are low, many *in vitro* and *in vivo* Ngb expression models have been created to provoke essential stimuli to investigate Ngb's response mechanisms upon different life-threatening insults. The development of Ngb-overexpressing or Ngb-deficient models (Figure 2) has already enabled researchers to gain more insight into the significance of Ngb in wild type (WT) *in vivo* and *in vitro* systems. In addition, this has also led to a better understanding of the neuroprotective role of Ngb in several central nervous system pathologies, such as Alzheimer's disease, Parkinson's disease, and Huntington's disease, brain ischemia and hypoxia, neurodegeneration, traumatic brain injury, and cancer models [34].

3.1. Transgenic Ngb Overexpression Models. Approximately ten years ago, Khan and colleagues were the first to report the development of a Ngb-overexpressing transgenic mouse line, referred to as mNgb-Tg-1 (Figure 3). Full-length murine Ngb cDNA was cloned in a pTR-UF12d vector downstream of the chicken  $\beta$ -actin promoter and cytomegalovirus (CMV) enhancer and upstream of Renilla reniformis green fluorescent protein (GFP). The final construct was digested and microinjected into fertilised eggs of BDF x CD1 mice. As the chicken  $\beta$ -actin promoter is tissue nonspecific, enhanced Ngb expression in homozygotes is widespread. It covers multiple cell types and tissues, including the heart and brain-specific cells such as neurons, astrocytes, and endothelial cells in the cerebral cortex [59, 60]. Furthermore, mNgb-Tg-1 mice are viable and do not show any physical or behavioural abnormalities. As western blot analyses demonstrated increased Ngb protein levels in the heart and brain of homozygotes, mNgb-Tg-1 mice are frequently used in studies of cerebral and myocardial ischemia, stroke, and neurodegenerative diseases [46, 59, 60]. Ischemic studies on mNgb-Tg-1 mice revealed that cerebral infarct volumes after occlusion of the middle cerebral artery (MCAO) were reduced by 30%, compared to the WT. The volume of myocardial infarcts, produced by occlusion of the left anterior descending coronary artery (LADCAO), was reduced by 25% ([59], Figure 4). Furthermore, studies on retinal

Mouse ID	mNgb-Tg-1	mNgb-Tg-1*	mNgb-Tg-2	hNgb-Tg	rNgb-Tg
cDNA					
Promoter	Chicken $\beta$ -actin	Chicken $\beta$ -actin	CMV promoter	Human	Rat synapsin I
	CMV enhancer	CMV enhancer		ubiquitin C	
Tag	GFP	GFP	Hemagglutinin		
Background	BDF x CD1	C57BL/6	C57BL/6	C57BL/6	C57BL/6
References	(46, 59-61)	(58, 62-64, 69)	(67, 68)	(70)	(71, 72)

FIGURE 3: Overview of transgenic Ngb-overexpressing mouse models. CMV: cytomegalovirus; GFP: green fluorescent protein. \*Indicating that this line was made congenic. Murine Ngb models are presented in green, human Ngb models in orange, and rat Ngb models in purple.

ischemia revealed that Ngb overexpression was beneficial against retinal ischemia-reperfusion injury, by decreasing mitochondrial oxidative stress-mediated apoptosis. This effect is likely due to an 11.3-fold higher Ngb mRNA expression in mNgb-Tg-1 mice than in WT controls ([61], Figure 4). Ngb expression was found to be localised within the mitochondria of the ganglion cells, outer and inner plexiform layers, and photoreceptor inner segments, which supports the evidence of widespread Ngb expression caused by the chicken  $\beta$ -actin promoter [61]. Alzheimer's disease research on mNgb-Tg-1 x APP (Sw, Ind) (amyloid precursor protein) double transgenic mice showed that increased Ngb levels reduce amyloid beta (A $\beta$ ) deposits, decrease levels of  $A\beta(1-40)$  and  $A\beta(1-42)$ , and improve behavioural performance, thereby abating the Alzheimer's disease phenotype ([46], Figure 4).

Subsequently, The Jackson Laboratory backcrossed these mice to a C57BL/6J background for at least five generations to generate the congenic commercially available strain: B6.Cg-Tg(CAG-Ngb,-EGFP)1Dgrn/J (007575, The Jackson Laboratory) or mNgb-Tg-1\* ([62], Figure 3). By analogy with the original mNgb-Tg-1 mouse model, the derived congenic strain was used in different ischemic and hypoxic setups [62–64]. Although Ngb's cytoprotective function was confirmed during acute myocardial infarction, this effect was less pronounced in a mNgb-Tg-1\* atherosclerosis model, where Ngb overexpression did not affect survival nor occurrence of myocardial infarcts ([64], Figure 4). Intriguingly, for cerebral ischemia, a significant reduction in brain infarct volume was observed 24 hours after ischemia in mNgb-Tg-1\* mice, but the infarct volume was found to be specific to the genetic background of the mice [62]. Thus, care must be taken when comparing different studies using mNgb-Tg-1 mice of either the original or congenic line, as different experimental outcomes could be achieved. Furthermore, caution must be taken when crossbreeding these specific mouse lines with transgenic Alzheimer mice or atherosclerotic mice, as it is known that one transgene may produce a severe phenotype in one strain and a milder one in another strain. Therefore, moving alleles from one background (BDF x CD1) to another (C57BL/6) or combining different transgenes could complicate the interpretation and comparison of studies. Hence, we suggest that researchers pay close attention to the mouse model's genetic background, as is already routinely done for their homozygous and heterozygous states [62, 65, 66].

Wang and colleagues have also produced a transgenic mouse line, referred to as mNgb-Tg-2, which overexpresses

murine Ngb fused to the N-terminal hemagglutinin epitope tag under the control of a CMV promoter. It was initially created in a B6C3F1 background and subsequently crossed with C57BL/6 mice ([67], Figure 3). Analogous to the chicken  $\beta$ actin promoter, the nontissue-specific CMV promoter in mNgb-Tg-2 mice provides enhanced Ngb expression in neurons and other cell types, such as astrocytes [67]. Although transient focal cerebral ischemia led to reduced brain infarction volumes in mNgb-Tg-2 mice, as expected from similar results in mNgb-Tg-1 mice, the response for traumatic brain injury (TBI) was different ([67, 68], Figure 4). While Ngb overexpression in mNgb-Tg-1 mice improved sensorimotor outcomes, the recovery of sensorimotor and spatial memory functional deficits was not improved in mNgb-Tg-2 mice. Nonetheless, traumatic lesion volume was also reduced in mNgb-Tg-2 mice [68, 69]. This observation suggests that despite using tissue nonspecific promoters, studies on mNgb-Tg-1 versus mNgb-Tg-2 mice might have a different outcome based on the difference in transgenic construct. Furthermore, Wang et al. observed Ngb protein levels to be 1.5fold higher in mNgb-Tg-2 mice as compared to WT controls. Of note, the mNgb-Tg-2 Ngb level was relatively lower than when the mouse line was first generated five years ago [67]. This decline in Ngb expression levels may be caused by endogenous depletion of inserted exogenous DNA fragments or by inactivation of the CMV promoter [68]. Therefore, it is crucial to carefully assess Ngb protein levels in transgenic models on a regular basis to assure the reproducibility of the model. Furthermore, Zhao et al. used a 10-point neurological severity score to assess post-TBI neurological dysfunction, while Taylor et al. used the grid walk test. The neurological severity score evaluates the ability to walk rather than the accuracy of locomotion. As it does not take foot faults into account, this test is less sensitive and might discount this important impairment [69]. In addition, both studies used different controlled cortical impact injury sites and impact parameters, which might influence the severity of the TBI lesion.

Li and colleagues produced a third *in vivo* Ngboverexpression mouse model. Their hNgb-Tg mouse line overexpresses human Ngb under the control of the human ubiquitin C promoter, expressing Ngb ubiquitously in neural and nonneural tissues such as the brain, heart, and kidneys ([70], Figure 3). Ngb's protein levels are 3-fold higher than that in WT mice, providing neuroprotection. It was confirmed in this mouse model that CA1 neuronal injury after hippocampal ischemia-reperfusion was significantly reduced as compared to WT mice, and there was a decrease in CA1

		In vivo modulation				<i>In vitro</i> modulation	
	Brain	<ul> <li>(i) Reduced MCAO lesion <ul> <li>(a) mNgb-Tg-1</li> <li>(b) mNgb-Tg-1*</li> <li>(c) mNgb-Tg-2</li> <li>(d) Other</li> </ul> </li> <li>(ii) Reduced AD phenotype <ul> <li>(a) mNgb-Tg-1</li> <li>(d) Other</li> </ul> </li> <li>(iii) Ambiguous outcome after TBI <ul> <li>(a) mNgb-Tg-1*</li> <li>(b) mNgb-Tg-2</li> <li>(c) Other</li> </ul> </li> <li>(iv) Less oxidative stress <ul> <li>(a) rNgb-Tg</li> </ul> </li> </ul>	[59] [62] [67] [75, 84, 8 [46] [54] [ [69] [67, 68] [73] [71, 72]	-	Neuronal	<ul> <li>(i) Protective agains thypoxia <ul> <li>(a) mNgb-Tg-1-derived neurons</li> <li>(b) TAT PTD-rNgb transduced</li> </ul> </li> <li>(ii) Reduction of AD pathology <ul> <li>(a) mNgb-Tg-1-derived neurons</li> <li>(iii) Protective agains OGD</li> <li>(a) mNgb-Tg-1-derived neurons</li> </ul> </li> <li>(iv) Promoting neuronal development <ul> <li>(a) Ngb-EGFP transfected</li> </ul> </li> </ul>	[47, 100 [122] [46] [101, 102 [55]
1 Mar aver expression	Retina/eye	<ul> <li>(i) Reduced apoptosis/ROS after ischemia <ul> <li>(a) mNgb-Tg-1</li> <li>(b) Other</li> </ul> </li> <li>(ii) Protection against eye pathologies <ul> <li>(a) Other</li> </ul> </li> </ul>	[61] [56] [76-78, 8	Cell lines	Neuronal	<ul> <li>(i) Protective against hypoxia <ul> <li>(a) hNgb transfected</li> <li>(b) hNgb protein transduction</li> <li>(c) mNgb transfected</li> </ul> </li> <li>(ii) Reduction of AD/PD pathology <ul> <li>(a) hNgb transfected</li> <li>(b) hNgb-VA transfected</li> </ul> </li> <li>(iii) Protective against OGD <ul> <li>(a) hNgb-GFP transfected</li> </ul> </li> <li>(iv) Protective against oxidative stress</li> <li>(a) hNgb-V5 transfected</li> <li>(b) hNgb-GFP transfected</li> <li>(c) mNgb transfected</li> <li>(b) hNgb-GFP transfected</li> <li>(c) mNgb transfected</li> <li>(v) Promoting neuronal development <ul> <li>(a) Ngb-EGFP transfected</li> </ul> </li> <li>(vi) Protective against apoptosis <ul> <li>(a) hNgb transfected</li> </ul> </li> <li>(vii) Tumour promoting effect <ul> <li>(a) hNgb transduced</li> </ul> </li> </ul>	[106] [120] [107] [52] [104] [51] [103] [108] [55] [105] [110]
	Heart	<ul> <li>(i) Reduced LADCAO lesion <ul> <li>(a) mNgb-Tg-1</li> <li>(b) mNgb-Tg-1*</li> </ul> </li> <li>(ii) No effect on atherosclerosis <ul> <li>(a) mNgb-Tg-1*</li> </ul> </li> </ul>	[59] [64] [64]	Cell lines	Nonneuronal	<ul> <li>(i) Reduction of AD pathology <ul> <li>(a) Ngb-EGFP transfected</li> </ul> </li> <li>(ii) Protective against oxidative stress <ul> <li>(a) TAT-hNgb transduced</li> </ul> </li> <li>(iii) Protective against apoptosis <ul> <li>(a) hNgb-EGFP transfected</li> </ul> </li> <li>(iv) Tumour suppressing effect <ul> <li>(a) Ngb-EGFP transfected</li> </ul> </li> </ul>	[113] [123] [111] [112]
	Spinal cord	(i) Improved outcome after injury (a) Other	[79]	Mitochondria		(i) Protective against OGD (a) mNgb-Tg-1derived	[102]
I/ VIUCKUUI	Brain	<ul> <li>(i) No major effects after hypoxia</li> <li>(a) mNgb-KO-1</li> <li>(ii) Increased MCAO lesion</li> <li>(a) Other</li> </ul>	[86] [75]	Primary cells	Neuronal	<ul> <li>(i) Increased susceptibility for OGD <ul> <li>(a) siRNA transfection</li> </ul> </li> <li>(ii) Increased susceptibility for oxidative stress <ul> <li>(a) siRNA transfection</li> <li>(iii) Suppression of neuronal developm</li> <li>(a) Overexpression shRNA</li> <li>(b) mRNA lipofection to excise Ngb-floxed locus</li> </ul> </li> </ul>	[48] [126] nent [55] [128]
Ngb knockdown/knockout	Eye	<ul> <li>(i) No major effects <ul> <li>(a) mNgb-KO-1</li> </ul> </li> <li>(ii) Impairment of retinal integrity <ul> <li>(a) Other</li> </ul> </li> </ul>	[88-90] [48]	Cell lines	Neuronal	<ul> <li>(i) Increased susceptibility for oxidative stress</li> <li>(a) siRNA transfection</li> <li>(ii) Suppression of neuronal development</li> <li>(a) Overexpression shRNA</li> </ul>	[115, 127
	Ear	(i) No major effects (a) mNgb-KO-2	[93]	Cell lines	Nonneuronal	<ul> <li>(i) Decreased protection against apoptosis <ul> <li>(a) shRNA transfection</li> <li>(ii) Ambiguous effect on cancer cells</li> <li>(a) shRNA transfection</li> </ul> </li> </ul>	[111]

FIGURE 4: Overview of in vivo and in vitro modulation of Ngb expression and their tissue-specific outcome.

Mouse ID	mNgb-KO-1	mNgb-KO-2
cDNA		
Recombinant system	CRE-Lox	FLP-FRT and CRE-Lox
Target	Exons 2 and 3	Ngbtm1a (EUCOMM) Wtsi
Background	C57BL/6	C57BL/6
References	(86-90)	(93)

FIGURE 5: Overview of Ngb-deficient mouse models. Mouse Ngb models are presented in green.

hippocampal ROS/RNS production and lipid peroxidation ([70], Figure 4). These results are in line with the previously discussed results of the mNgb-Tg mice.

The final reported Ngb overexpression mouse model, called rNgb-Tg, was produced by Lee and colleagues and expresses rat Ngb under the control of a neuronal-specific rat synapsin I promoter ([71], Figure 3). To date, this mouse model has only been used to assess the neuroprotective effects of Ngb on the mouse brain in a model of acute inhalation of combustion smoke, which generates oxidative stress in the brain. The rNgb-Tg mouse model revealed that Ngb overexpression alleviates mitochondrial impairments and oxidative DNA damage formation that is caused by combustion smoke inhalation ([71, 72], Figure 4).

3.2. In Vivo Ngb Overexpression and Delivery. In order to introduce ectopic expression of proteins in various cell types and tissues, viral gene delivery systems based on adenoviruses, adenoassociated viruses, and lentiviruses have been of great value (Figure 2). To determine the functional significance of Ngb, different systems have been used over the past years. An adenoviral construct, pAd-GFP-rNgb, was used to investigate the neuroprotective effect of rNgb overexpression on TBI in rats [73]. By using this adenoviral vector 5, genes can be transferred to both dividing and nondividing cells with a broad range of infectivity, low host specificity, and high tissue transgene levels. Furthermore, this vector remains epichromosomal and thus does not integrate into the host genome. However, its immunogenic character has limited its use in clinical applications [74]. To overcome this immunogenic effect, adenoassociated vectors could be used, providing a high and long-term expression level in vivo and evoking a very low immune response. Adenoassociated vectors resemble adenoviral vectors in their remaining features but provide a limited transgene capacity. Particles can contain up to 4.8 kb compared to 7.5 kb in adenoviral vectors [74]. Sun et al. intracerebrally injected a pTR-UF12dmNgb-GFP vector with a CMV enhancer and  $\beta$ -actin promoter and with GFP as a reporter gene into the cerebral cortex and striatum of mice, successfully resulting in increased expression of Ngb in cerebral cortical neurons. This Ngb overexpression reduced infarct size and improved functional outcomes after an ischemic insult caused by MCAO ([75], Figure 4). Additionally, different studies on eye pathologies applied variations on an adenoassociated-2/2-mNgb vector to administer Ngb overexpression by subretinal or intravitreous injection. Ocular Ngb levels were shown to be critical in retinal homeostasis and cellular preservation ([76-78], Figure 4). In a rabbit model of spinal cord injury, lentivirus-mediated Ngb overexpression was successfully obtained by injection of Lv-rbNgb-EGFP into the spinal

cord. Ngb overexpression mediated improvements in spinal cord injury outcomes and reduced secondary damage ([79], Figure 4). Furthermore, Wen et al. showed that a Ngb lentiviral vector effectively ameliorated postischemic neuronal death in CA1 in the rat hippocampus [56]. Lentiviral vectors are an interesting vehicle for gene transfer due to their ability to integrate into the genome of nondividing or dividing host cells and to deliver up to 8kb of content. Due to their low immunogenicity, high-efficiency infection, long-term stable expression, and neural stem cell- (NSC-) infecting preference, they are a valuable tool in neurorelated research [79]. Another alternative method for achieving Ngb overexpression with vector technology was described by Li and colleagues, who used an intracerebroventricular injection of a pcDNA3.1-mNgb construct in APP/PS1 (presenilin-1) transgenic Alzheimer mice. Although A $\beta$  deposition and production were attenuated after injection of this simple mammalian expression vector, no data were reported about the efficiency of transfection and level of Ngb overexpression. Therefore, these data should be interpreted with caution ([54], Figure 4).

Alternatively, Ngb delivery can be obtained by engineering Ngb at the protein level. Sugitani et al. designed a recombinant chimeric Ngb consisting of four modules encoded by four exons. The first exon of human Ngb (HHHH, H = human module) was replaced with zebrafish Ngb (ZZZZ, Z=zebrafish module) as the latter is known to be a cell membrane-penetrating module, creating the chimeric ZHHH Ngb [80, 81]. Intraocular injection of this recombinant cell membrane-penetrating human Ngb protein into the mouse eye led to a 2-fold increase in Ngb expression in retinal ganglion cells, promoting retinal ganglion cell survival and optic nerve regeneration after optic nerve injury ([82], Figure 4). Another technology to cross membranes, including the blood-brain barrier, consists of using cellpenetrating peptide (CPP) delivery. Fusion proteins with the 11-amino-acid human immunodeficiency virus transactivator of transcription (TAT) protein transduction domain showed successful delivery of macromolecules into the brain [83]. Systemic injection of TAT-mNgb successfully resulted in increased Ngb levels in neurons of the mouse brain and increased neuronal survival after MCAO ([84, 85], Figure 4).

3.3. Ngb-Deficient Models. Hundahl and colleagues were the first to describe a Ngb knockout mouse model, mNgb-KO-1. It was generated by crossbreeding a Ngb-floxed (Ngb<sub>fl</sub>) mouse, in which *loxP* sites were introduced into the introns flanking exons 2 and 3 of the *Ngb* locus, with a mouse model expressing CRE recombinase under the CMV promoter ([86], Figure 5). Loss of exons 2 and 3 of the *Ngb* locus prevents Ngb expression [86]. With this mouse model, Hundahl et al. reported that Ngb deficiency provokes Hif1A and c-Fos responses and thus lowers the threshold for hypoxia-induced gene expression. However, it had no effect on neuronal survival following acute and prolonged hypoxia in mNgb-KO-1 mice ([86], Figure 4). Intriguingly, although Ngb overexpression studies mainly describe the neuroprotective effect of Ngb, ambiguous results have been reported in Ngb-deficient models, suggesting that Ngb expressed at endogenous levels does not have a neuroprotective function in ischemia in vivo [86, 87]. Furthermore, the functional significance of endogenous Ngb in the retina remains unclear. Endogenous Ngb is not thought to play a major role in retinal oxygen homeostasis and only has a minor effect on lightdependent gene expression ([88], Figure 4). These observations suggest only a subtle systemic role for Ngb. In addition, Ngb is not thought to affect general circadian

with increased *Per1* gene expression ([88–90], Figure 4). As several studies already suggested the presence of Ngb in the peripheral and central structures of the auditory systems of rats, mice, and humans, Nowotny and colleagues recently explored a new Ngb knockout model to determine the role of Ngb in the auditory system [91–93] (Figure 5). This mNgb-KO-2 mouse model was designed by using C57BL/6N-derived embryonic stem cell technology, comprising of a promoterdriven gene targeting cassette: Ngbtm1a(EUCOMM)Wtsi. Crossbreeding of mice carrying this gene targeting cassette with FLP- and CRE-deleter strains resulted in a Ngb knockout mouse referred to as mNgb-KO-2 [93, 94]. The lack of Ngb in this mouse model resulted only in small deficits in hearing ability ([93], Figure 4).

behaviour but it evokes an increased behavioural response to light in the suprachiasmatic nucleus, in conjunction

3.4. In Vivo Ngb Silencing through Antisense Technology. To knock down gene expression, synthetic nucleic acids have been widely used in in vitro and in vivo setups over the past decades (Figure 2). The most common antisense gene silencing strategies are based on single-stranded antisense oligonucleotides or RNA interference (RNAi) and have a common aim: hybridisation with a unique target RNA sequence to block translation. In 2003, Sun et al. described the use of a phosphorothioate antisense oligodeoxynucleotide (PS-ODN), labelled with fluorescein isothiocyanate (FITC) at the 5' end and directed against a part of the initial coding region of mNgb [75]. Intracerebroventricular injection of this anti-mNgb PS-ODN increased the infarct volume and aggravated the functional neurological outcome after focal cerebral ischemia induced by MCAO, which is in contrast with the results in Ngb overexpression models ([75], Figure 4). Furthermore, intraventricular administration of these PS-ODN sequences in a study by Wen et al. in 2018 showed that the neuronal damage after transient global cerebral ischemia was markedly aggravated in the CA1 of hypoxic postconditioned rats [56]. PS-ODNs are the majorly studied ODNs because of their relative ease of synthesis and nuclease stability. To obtain the latter, PS-ODNs have an S atom replacing the nonbridging O<sub>2</sub> atom of the sugar phosphate backbone. This chemical modification greatly improves stability towards nuclease digestion and improves binding to serum proteins *in vivo*. Hence, an increased half-life and greater delivery are created. Transfection efficiency and antisense activity remain moderate. High levels of PS-ODNs are needed due to inadequate affinity for the target sequence, leading to increased nonspecific hybridisations. Apart from its ability to activate RNase H for degradation of mRNA, the phosphorothioate backbone is also known to cause cytotoxicity due to its high affinity to several proteins on the cell surface or in serum. The presence of nonspecific effects resulting from intrinsic activities of the backbone may complicate the elucidation of the biological effects of silencing the Ngb gene [95–97].

In recent years, RNAi has become an important player in the sequence-specific degradation of host mRNA. This technology is based on cytoplasmic delivery of dsRNA, such as short hairpin RNAs (shRNAs) identical to the target sequence, which can be degraded through an enzymatic pathway involving the endogenous RNA-induced silencing complex [98]. Transfection of shRNAs can be lipid-based through a plasmid vector encoding shRNAs transcribed by an RNA polymerase III or modified polymerase II promoter or through infection with virally produced vectors. In case of the latter, high and stable long-term expression can be obtained, as shRNAs are integrated into the host DNA. After transcription, shRNA is transported to the cytosol and interacts with the DICER enzyme to modify the molecule to be recognized by the RNA-induced silencing complex. Lechauve et al. injected anti-Ngb shRNA into the vitreous body of rats, which led to reduced activities of respiratory chain complexes I and III, degeneration of retinal ganglion cells, and impairment of visual function ([48], Figure 4). However, as the authors did not mention the exact shRNA system, these results should be evaluated with caution, keeping in mind that adenoviral delivery of shRNA can have toxic effects in mice [99]. For this reason, it is of great value that Lechauve et al. included a scrambled shRNA control in order to overcome ambiguous results [48].

#### 4. In Vitro Models of Ngb Expression

4.1. Cell Lines Derived from Ngb Overexpression Mouse Models. A first method of obtaining Ngb-overexpressing cell lines is by culturing cells from Ngb genetically modified mouse models (Figure 2). Primary cortical neurons were prepared from 16-day-old mouse embryos of mNgb-Tg-1 and mNgb-Tg-2 mouse lines [46, 47, 100, 101]. This strategy allows the exploration of in vivo observations on a molecular basis in vitro. Khan et al. already reported the beneficial effects of Ngb overexpression in an in vivo model of Alzheimer's disease and subsequently demonstrated that Ngb overexpression showed resistance to the toxic effects of NMDA and A $\beta$ (25-35) by preservation of several cellular processes ([46], Figure 4). Furthermore, they explored the regulation of the mechanism underlying the previously reported neuroprotective capacities of Ngb in hypoxic conditions ([47], Figure 4). Analogously, Wang et al. determined the effects

of oxygen deprivation in the mNgb-Tg-2 mouse model and subsequently linked hypoxia-responsive genes to neuronal homeostasis and mitochondrial function ([100, 101], Figure 4). Another advantage of mouse model-derived cells consists of the nature of modification. Primary cortical neurons derived from transgenic mice were subjected to the transgenic modification during all the developmental stages while *in vitro* modifications only affect cells in the latest stadia, in an artificial manner.

4.2. Vector Technology in Ngb Overexpression Systems In Vitro. As many studies focus on the role of Ngb in neuralderived tissues in vivo, Ngb overexpression was mainly assessed on a plethora of equivalent neural-like cell lines in vitro: primary cortical neurons [55, 102], SH-SY5Y and N2a neuroblastoma cells [51, 55, 103-106], HN33 mouse hippocampal neuronal cells x neuroblastoma cells [107, 108], PC12 pheochromocytoma cells [52], human H4 neuroglioma cells [109], human U87 and U251 glioblastoma cells [110], and mouse HT22 hippocampal neuronal cells [58] (Figure 2). Moreover, as Ngb has been linked to cytoprotection and the hypoxia response in general, nonneural-like cell lines were successfully transfected to also overexpress Ngb, including rat H9c2 cardiomyocytes [111], HepG2 human liver cancer cells [112], and the well-characterised human embryonic kidney HEK293 cells [113].

In a study to determine the role of Ngb in oxygen and glucose deprivation (OGD), Yu et al. created murine Ngboverexpressing primary mouse cortical neurons using the adenoassociated vector pACP. Adenoassociated vectors are widely used (described in Section 3.2) as they are highly effective in transducing dividing and nondividing cells. They provide stable gene expression, although they usually do not integrate into the genome [114]. Transduced mouse primary cortical neurons showed a 4.6-fold increase in Ngb, resulting in significantly reduced OGD-induced neuron death that could, at least in part, be ascribed to mitochondrial mechanisms ([102], Figure 4). Another viral vector-based transduction was carried out by Zhang et al. using the retroviral pMMP vector to overexpress human Ngb in human U87 glioblastoma cells. An increased cell proliferation and apoptosis resistance was reported, which could be attributed to about 2.7-fold increased Ngb expression levels ([110], Figure 4). Retroviral vectors are also widely used because they have the same advantages as the adenoassociated vectors plus a higher transduction efficiency. However, they randomly integrate into the host genome, which may lead to interruption of essential host genes [114]. Interpretation of data could become ambiguous as it is difficult to determine whether the resulting biological phenotype is caused by Ngb overexpression or by gene interruption in the host.

Besides the use of viral vector technology, high levels of stable and transient Ngb overexpression can be obtained in mammalian host cells using CMV promoter-driven overexpression vectors such as pEGFP-N1 and pcDNA3.1 [52, 55, 103–105, 107–109, 111–113]. Transient transfection can be used to generate and investigate the relatively short-term impact of Ngb overexpression. Li et al. created an Alzheimer's disease model where PC12 cells were pretreated with A $\beta$ . This cell line was transiently transfected using pcDNA3.1-hNgb, and a decrease in the levels of A $\beta$ -induced ROS and lipid peroxidation was reported, supporting the effectiveness of transient transfections ([115], Figure 4). They showed that the higher the pcDNA3.1-hNgb concentrations used (0.5, 1.0, and 2.0  $\mu$ g per 10<sup>6</sup> cells), the higher the Ngb mRNA and protein expression levels (±4-fold, ±8-fold, and ±16-fold, respectively, compared to the empty vector). However, repeated transfections might be needed to maintain the level of Ngb overexpression [52]. To assure stable Ngb overexpression levels, providing long-term expression of the exogenous genetic material, the transfected genetic material is integrated into the host cell genome under antibiotic selection.

An added value of the use of the pEGFP-N1 vector is the presence of (E)GFP to evaluate the transfection efficiency. In line with the latter, the pcDEST40 expression vector assesses high overexpression levels of Ngb in a transient way, tagged by a His-tag and V5 epitope-tag [51, 106]. Additionally, validated antibodies are available for reporter molecules such as EGFP, His-tag, and V5-tag, providing an extra control for ambiguous Ngb specific antibodies. Indeed, a critical point already known in the field encompasses a low antigenicity of Ngb, resulting in antibodies to be generally generated in low titres and with aspecific cross-reactivity (e.g., against triose-phosphate isomerase) [116]. As such, when validated on brain sections of Ngb-null mice, some antibodies were even shown to display a widespread staining pattern [117]. Mention has also been made of noncorrelating values between Ngb transcript and protein levels [118]. Together, these results should prompt us to better characterise Ngbspecific antibodies and probes or to use alternative detection methods. As discussed previously in the in vivo model section of this review, an alternate adenoassociated expression vector exists that provides stable overexpression of Ngb under the strong chicken  $\beta$ -actin promoter and CMV enhancer: the pTR-UF12d expression vector. Incorporation of a GFP sequence provides an extra validation of the overexpression of Ngb and GFP and can analogously be used for the determination of transfection efficiency [58].

The majority of studies report the Ngb overexpression level in comparison to empty vector transfected cells or relative to endogenous mRNA and/or protein Ngb levels in a specific cell type [52, 58, 103-108, 111, 112]. Antao et al. explored the effect of Ngb overexpression in SH-SY5Y cells after H<sub>2</sub>O<sub>2</sub> insult and reported these results in a different manner. They referred to the in vivo situation in the brain by calculating the Ngb concentration in the SH-SY5Y model and comparing it to brain Ngb concentrations. In this manner, Antao et al. reported neuroprotective effects of Ngb after H<sub>2</sub>O<sub>2</sub> insult by reducing oxidative stress and increasing intracellular ATP concentrations. The concentration of the Ngb fusion protein was 13 mg/200 ml cell lysate corresponding to  $3.7 \mu \text{M}$ , which is approximately 4-fold greater than the estimated level in the brain [29, 51].

*4.3. Alternative Ways of Ngb Delivery.* As already described in Section 3.2, CPPs have been considered to be of great value

for delivering proteins across cell membranes and across the blood-brain barrier [83]. Two CPPs have been reported in the Ngb research field, TAT [83] and Chariot/Pep-1 [119]. They have been used to transduce neural-like cells such as PC12 pheochromocytoma cells [120], retinal ganglion cell line RGC-5 cells [121], SH-SY5Y neuroblastoma cells [121], and primary rat cortical neurons [122], as well as nonneural-like cells such as human pancreatic islets [123]. In contrast to gene delivery by viral or nonviral vectors, the intracellular Ngb delivery is not expressed as increased fold change of mRNA or protein expression level. It is rather reported as a specific concentration of CPP-Ngb administered to the cells, generally about  $0.2-2\,\mu\text{M}$  CPP-Ngb. In addition, most of the studies evaluate the uptake of the construct by screening for the FITC label attached to CPP-Ngb by immunocytochemistry, flow cytometry, or western blot [120–123]. In general, studies using CPP-Ngb delivery are focussed on the role of Ngb in hypoxia and oxidative stress. An important note should be considered from the study by Zhou et al. in which 48 hours after TAT-Ngb delivery, no FITC signal was detected, suggesting a transient Ngb presence. The relatively fast decrease in Ngb levels should be taken into consideration during time-dependent hypoxic insults or oxidative stress on cells [122]. While Peroni et al. did not report neuroprotective effects of Ngb in RGC-5 and SH-SY5Y cells 12 hours or 18 hours under OGD [121], Zhou et al. and Mendoza et al. reported beneficial effects of Ngb after 24 hours of hypoxia and oxidative stress ([123], Figure 4). As different concentrations of CPP-Ngb and different periods of hypoxia and OGD were used to induce the Ngb neuroprotective effects, it is difficult to compare the different studies [120-123].

Another way to mimic and assess the effects of intracellular overexpression of Ngb in neurons is to incubate Ngb with purified mouse neuronal mitochondria ([102], Figure 4). Yu et al. reported inhibition of NAD<sup>+</sup> release and Cyt c release due to excesses of Ngb [102]. However, this is an artificial setup, which should only really be used to explore some of the mechanistic details but not to form first conclusions. In addition, the recombinant chimeric ZHHH Ngb can be used *in vitro* as well as *in vivo*, making direct correlations achievable (Section 3.2) [80, 81, 124].

4.4. Vector Technology in Ngb Knockdown Experiments In Vitro. Analogous to in vivo Ngb silencing, the use of RNAi has been shown to be a powerful tool to investigate the functional significance of Ngb in vitro (Figure 2). In general, RNAi is based on the sequence-specific degradation of host mRNA after the recognition by double-stranded RNA that is identical to the target sequence, as described in Section 3.4 [125]. In the Ngb research field, short interfering RNA (siRNA) and shRNA are mainly used [48, 55, 102, 110-112, 115, 126, 127]. The simplest approach for RNAi is to directly transfect siRNA into the cytosol [46, 48, 115, 126]. However, this technique has limitations as not every cell type can be transfected as easily as another, leading to variations in transfection efficiency [98]. Nayak et al. transfected primary turtle neuronal cultures with EGFP constructs to evaluate their setup and to determine the probable transfection efficiency

rate of their anti-turtle-Ngb siRNA [126]. This method is considered reliable as it is widely used in similar Ngb knockdown studies [55, 102, 126]. On the other hand, shRNA is widely used to silence Ngb expression [55, 102, 111, 112]. Several studies use the GFP-expressing p-Genesil-1 expression plasmid vector encoding shRNA of *Ngb* transcribed by the RNA polymerase III hU6 promoter [102, 111, 112]. Yu et al. inserted the shRNA against mouse Ngb in the retroviral plasmid pGFP-VRS to transduce primary mouse cortical neurons [102]. In general, the use of shRNA is favoured over siRNA. The latter requires high concentrations of direct delivery, leading to more off-site nonspecific effects. Furthermore, whereas shRNA provides a stable knockdown mechanism, siRNA is only stable for 48 hours, making repeated transfections necessary to overcome its transient nature. Also, selection by drug resistance can be time-consuming. Therefore, evaluation of Ngb expression on transcript and protein levels is necessary to determine the reliability of shRNA and siRNA models. In general, scrambled siRNA sequences or empty shRNA vectors (e.g., p-Genesil-1) are used as a control [48, 55, 102, 110-112, 115, 126, 127]. RNAi methodologies created Ngb-deficient cellular models that showed increased susceptibility for OGD, oxidative stress and apoptosis [48, 111, 115, 126, 127], suppression of neuronal development [55], reduction of retinal homeostasis [48], and ambiguous effects on cancer cells [110, 112].

Another way to create an *in vitro* cell line lacking Ngb is by using CRE-Lox recombination. Ngb has already been suggested to affect neuronal development, an observation which is supported by relatively low Ngb expression levels in the early stages of mouse brain development, which then increase during the later developmental stages and even increase further up to the young adult stage. Hence, it is of great value to investigate the functional significance of Ngb in this process using NSCs. Luyckx et al. generated a Ngb<sub>fl</sub> mouse model targeting exons 2 and 3 of the *Ngb* locus by inserting *loxP* sites. Successful *in vitro* CRE-Lox recombination allowed the investigation of the characteristics of these Ngb knockout NSCs and unravelled CDKN1A/CDK6dependent increased proliferation of NSCs due to the loss of Ngb [128].

In contrast to unanimous results of Ngb in overexpression models, supporting the neuroprotective hypothesis, *in vivo* studies on Ngb knockout models do not support this hypothesis for endogenously expressed Ngb ([67-69, 73], Figure 4). In addition, in contrast to these in vivo Ngb knockout studies, in vitro studies on Ngb knockdown cells do claim a role of endogenous Ngb in protection against oxidative stress, oxygen deprivation [102, 115, 126], and apoptosis [111], supporting retinal homeostasis [48] and neuronal development ([55], Figure 4). Concerning the latter, Luyckx et al. reported increased growth proliferation in NSCs of which the role in neuronal development is still unknown [128]. Intriguingly, ambiguous results were found in cancer research. Although Zhang et al. reported that Ngb knockdown promoted human HCC cell line growth and proliferation and tumour growth in vivo through the RAF/MEK/ERK pathway, another research group of Zhang and colleagues stated that Ngb knockdown retained U251 glioma cell

Modulators	mRNA	Protein	References
Hemin	+*	+*	[133]
Deferoxamine	+*	+*	[107, 134]
Short-chain fatty acids	+*	+*	[134]
Ibuprofen and R-α-lipoic acid conjugate	ND	+#	[53]
$17\beta$ -Estradiol	+*	+*	[26, 32, 139, 141, 142]
EPO	+#	+#	[138]
TH	+#	+#	[118]

TABLE 1: Positive in vitro and/or in vivo modulators of human and rodent Ngb levels.

EPO: erythropoietin; TH: thyroid hormone; ND: not determined. \* and # indicate in vitro and in vivo studies, respectively. Adapted from Ascenzi et al. [129]..

growth and facilitated apoptosis ([110, 112], Figure 4). However, the study of Zhang et al. in 2013 showed downregulation of endogenous Ngb in hepatocellular carcinoma while Ngb is reported to be upregulated in glioma in the study of Zhang et al. in 2017 [110, 112]. These observations support the plethora of functions which Ngb may exert. On the one hand, Ngb can support hypoxia-mediated defences to allow cancer cells to adapt to the tumour microenvironment, and on the other, it may enable tumour suppressor capacities in other malignant cells [33, 110, 112, 129, 130].

#### 5. Other Regulators of Ngb Gene Expression

Ngb's overexpression or ectopic expression elicits survivalpromoting cytoprotective effects in different pathologies in nervous and nonnervous tissues. Thus, injured tissues might benefit from therapeutic administration or induction of Ngb expression (Table 1). Targeted intracellular Ngb delivery or upregulation would be of great value as Ngb, except for zebrafish Ngb, is membrane impermeable [80, 124].

Hemin, the ferric chloride salt of haem, which is used for the treatment of porphyria attacks, has already been described to stimulate expression of Hb and Mb [131, 132]. In addition, Zhu et al. demonstrated that Ngb is a hemininducible gene in neural cells through the sGC-PKG pathway [133]. Furthermore, deferoxamine, a cobalt and iron chelator that is used to treat iron poisoning, appeared capable of inducing Ngb protein expression in cultured neurons [107]. In addition, Jin et al. described that HN33 cells showed increased Ngb protein levels when they were cultured in the presence of the short-chain fatty acids cinnamic acid and valproic acid [134]. Cinnamic acid derivatives have been reported to have antioxidant and antimicrobial properties, making them promising therapeutic compounds [135]. Valproic acid is an anticonvulsant drug used in the treatment of patients with seizure disorders [136]. Zara et al. showed promising results with the administration of an ibuprofen and lipoic acid conjugate to rats suffering from Alzheimer's disease, promoting the maintenance of Ngb levels that were similar to the control group, enabling Ngb to perform neuroprotective and survival-promoting actions [53]. Furthermore, Ngb should also be considered to be a hormoneinducible protein that promotes cytoprotection after upregulation. It has been linked to several hormones such as a glycoproteic hormone erythropoietin [137, 138], thyroid

hormones (THs) [118], and  $17\beta$ -estradiol (E2), an oestrogen steroid hormone. Recently, the modulation of Ngb expression levels by the latter has gained a lot of interest, as elevation of Ngb levels by E2 has been reported in several in vitro models such as in human neuroblastoma cell lines [26, 39, 42], in mouse primary hippocampal neurons [139], and in astrocytes [140, 141]. The functional significance of this E2-induced upregulation of Ngb has been reported to play a role in the neuroprotective effect reflected by, for example, the protection against H<sub>2</sub>O<sub>2</sub>-induced apoptosis [42, 139, 140] and the anti-inflammatory effect in astrocytes [141]. Moreover, recently, Ngb relocalisation to the mitochondria was reported after the effect of E2 stimulation and H<sub>2</sub>O<sub>2</sub> exposure enabling Ngb to interact with cytochrome c in the mitochondria, preventing release into the cytosol [32, 39].

Nevertheless, compounds that can pharmacologically or biologically raise Ngb levels must induce subtoxic Ngb levels to preserve cellular homeostasis and, in addition, still manage to achieve sufficient doses to promote cell protection and survival.

# 6. The Future of Ngb Expression Models

Eighteen years after the discovery of Ngb, it is clear that many questions remain unanswered about the biological significance of this protein. Numerous technologies have been used to achieve a plethora of Ngb in vivo and in vitro expression systems (Figure 2). Although concrete modes of action and major functionalities of Ngb remain elusive, a toolbox of models has been composed. As the level of endogenous Ngb expression and overexpression differs between models, interpretation of a comparative study is ambitious and limited, though not always essential. Moreover, Ngb-inducible insults such as hypoxia, ischemia, and oxidative stress in vivo markedly differ from the *in vitro* setups. Furthermore, these models highly differ from specific neurological injury and neurodegenerative disease models. Different secondary survival-promoting mechanisms may be affected, depending on the composition of the microenvironment of the tissues or cells. Furthermore, especially for inborn Ngb knockout animal models, compensatory mechanisms may have been induced during development, masking the effect of Ngb deficiency [86].

Remarkably, Ngb overexpression model systems all point to a role for Ngb in neuroprotection and cytoprotection in general. However, Ngb-deficient models show ambiguous results and hence do not indicate a specific endogenous function for Ngb. In the light of these variations, it is thought that Ngb has widespread biological activities that need to be evaluated thoroughly with specific validated controls relevant to the specific experimental conditions.

As Ngb is a multifunctional protein, which affects various signalling pathways, Ngb research will remain challenging. In that view, the present toolbox of diverse expression models will be of great value to the Ngb research community.

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#### Abbreviations

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Αβ:	Amyloid beta
APP:	Amyloid precursor protein
CMV:	Cytomegalovirus
CPP:	Cell-penetrating peptide
Cyt c:	Cytochrome c
$Cyt c_1$ :	Cytochrome c <sub>1</sub>
EPO:	Erythropoietin
ETC:	Electron transport chain
E2:	$17\beta$ -Estradiol
FITC:	Fluorescein isothiocyanate
GDI:	Guanosine nucleotide dissociation inhibitor
GDP:	Guanosine diphosphate
(E)GFP:	Enhanced green fluorescent protein
Hb:	Haemoglobin
HisE7:	Histidine at position 7 of the E-helix
HTT:	Huntingtin
LADCAO:	Left anterior descending coronary artery
	occlusion
Mb:	Myoglobin
MCAO:	Middle cerebral artery occlusion
mPTP:	Mitochondrial permeability transition pores
ND:	Not determined
Ngb:	Neuroglobin
m, h, r, rbNgb:	Mouse, human, rat, rabbit neuroglobin
Ngb <sub>fl</sub> :	Neuroglobin-floxed
	Ineurogiobili-moxed
NMDA:	
	Methyl-D-aspartate Neural stem cell
NMDA: NSC:	Methyl-D-aspartate Neural stem cell
NMDA:	Methyl-D-aspartate Neural stem cell Oxygen
NMDA: NSC: O <sub>2</sub> :	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation
NMDA: NSC: $O_2$ : OGD: PI3K:	Methyl-D-aspartate Neural stem cell Oxygen
NMDA: NSC: O <sub>2</sub> : OGD:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs: siRNA:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA Small interfering RNA RNA interference
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs: siRNA: RNAi:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA Small interfering RNA RNA interference Reactive nitrogen species
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs: siRNA: RNAi: RNAi: RNS:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA Small interfering RNA RNA interference Reactive nitrogen species Reactive oxygen species
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs: siRNA: RNAi: RNAi: RNS: ROS:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA Small interfering RNA RNA interference Reactive nitrogen species Reactive oxygen species 11-Amino-acid human immunodeficiency
NMDA: NSC: O <sub>2</sub> : OGD: PI3K: PD: PS-ODN: PS1: RAC-1: shRNAs: siRNA: RNAi: RNAi: RNS: ROS:	Methyl-D-aspartate Neural stem cell Oxygen Oxygen and glucose deprivation Phosphatidylinositol 3-kinase Parkinson's disease Phosphorothioate antisense oligodeoxynucleotide Presenilin-1 Ras-related C3 botulinum toxin substrate 1 Short hairpin RNA Small interfering RNA RNA interference Reactive nitrogen species Reactive oxygen species

TH:	Thyroid hormone
VDAC:	Voltage-dependent anion channel
WT:	Wild type.

# **Conflicts of Interest**

The authors declare that there is no conflict of interest regarding the publication of this article.

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