



Review

Structural Biology of the TNF α Antagonists Used in the Treatment of Rheumatoid Arthritis

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Abstract: The binding of the tumor necrosis factor α (TNF α) to its cognate receptor initiates many immune and inflammatory processes. The drugs, etanercept (Enbrel[®]), infliximab (Remicade[®]), adalimumab (Humira[®]), certolizumab-pegol (Cimzia[®]), and golimumab (Simponi[®]), are anti-TNF α agents. These drugs block TNF α from interacting with its receptors and have enabled the development of breakthrough therapies for the treatment of several autoimmune inflammatory diseases, including rheumatoid arthritis, Crohn's disease, and psoriatic arthritis. In this review, we describe the latest works on the structural characterization of TNF α -TNF α antagonist interactions related to their therapeutic efficacy at the atomic level. A comprehensive comparison of the interactions of the TNF α blockers would provide a better understanding of the molecular mechanisms by which they neutralize TNF α . In addition, an enhanced understanding of the higher order complex structures and quinary structures of the TNF α antagonists can support the development of better biologics with the improved pharmacokinetic properties. Accumulation of these structural studies can provide a basis for the improvement of therapeutic agents against TNF α for the treatment of rheumatoid arthritis and other autoimmune inflammatory diseases in which TNF α plays an important role in pathogenesis.

Keywords: TNF α ; etanercept; infliximab; adalimumab; certolizumab pegol; golimumab; rheumatoid arthritis; therapeutic antibody; structure

1. Introduction

Tumor necrosis factor superfamily (TNFSF) proteins and their receptors (TNFRSF) play critical roles in mammalian biology, including cell growth, survival, and apoptosis, immune responses, and organogenesis of the immune, ectodermal, and nervous systems [1]. It has been known that there are more than 35 specific ligand-receptor pairs between TNFSF and TNFRSF [2]. Among them, TNF α is a major inflammatory cytokine that exerts pleiotropic effects on various cell types by activating intracellular signaling through interactions with its cognate receptors. Therefore, TNF α plays a crucial role in the pathogenesis of inflammatory autoimmune diseases [3]. TNF α is mainly expressed in activated macrophages and natural killer cells as a 26 kDa transmembrane precursor, which is cleaved by a metalloproteinase, TNF α -converting enzyme (TACE), into a soluble form of 175 amino acid residues. Both soluble and transmembrane TNF α exist as homotrimers and bind to type 1 and 2 TNF receptors (TNFR1 and TNFR2) in order to mediate the signaling processes of apoptosis, cell proliferation, and cytokine production [4–10].

TNF α antagonists have been developed for the treatment of rheumatoid arthritis (RA), psoriatic arthritis, juvenile idiopathic arthritis, ankylosing spondylitis, Crohn's disease, and ulcerative colitis [11–14]. It is well known that the elevated concentration of TNF α at the site of inflammation is driving pathology

of these inflammatory autoimmune diseases. Therefore, the removal or neutralization of excess TNF α from sites of inflammation was expected to be promising to achieve a therapeutic goal. Among the five FDA-approved TNF α antagonists, infliximab, adalimumab, certolizumab-pegol, and golimumab are antibody-based drugs, and etanercept is an Fc-fusion protein of TNFR2 [15–19]. The crucial mechanism of action of these TNF α antagonists is their neutralizing activities against soluble TNF α are [19–21]. Recent studies have shown that these biologics also act on transmembrane TNF α and Fc γ receptors (Fc γ R) [22–33]. Unfortunately, blocking TNF α -mediated signaling often causes side effects including bacterial or viral infection and the development of lymphoma [34–36]. Therefore, a more thorough investigation of the interactions between TNF α and its receptor or antagonists is essential for the rational design of improved anti-TNF α therapeutics in future.

The crystal structures of lymphotoxin α (LT α)-TNFR1 and TNF α -TNFR2 complexes have established the foundations of our understanding of the cytokine-receptor interactions. These structures have provided invaluable information for understanding the molecular mechanisms of TNF signaling [37,38]. Additionally, the crystal structures of TNF α in complex with anti-TNF α antibodies have aided the elucidation of the precise epitopes that were involved and the structural basis of TNF α neutralization by these antibodies [39–41]. Here, we focus on the structural features of the interactions of the FDA-approved TNF α antagonists related to their clinical efficacies. We also describe the unique quinary structure of infliximab and the recent electron microscopy (EM) study of the higher order complex structures of TNF α with therapeutic antibodies [42–44].

2. TNF α Antagonists for the Treatment of Inflammatory Autoimmune Diseases

Human TNF α is generated as a precursor protein called transmembrane TNF α consisting of 233 amino acid residues, which is expressed on the cell surface of macrophages and lymphocytes as well as other cell types [45–51]. After being cleaved by TACE between residues Ala76 and Val77, soluble TNF α is released and binds to TNFR1 or TNFR2, thereby mediating inflammatory signaling (Figure 1). Transmembrane TNF α also binds to both TNFR1 and TNFR2, but TNFR2 is thought to be the major receptor for mediating the biological activities of transmembrane TNF α [52]. TNFR1 is expressed on almost all the nucleated cells, whereas TNFR2 is mainly expressed on endothelial cells and hematopoietic cells [53,54]. Both receptors are preassembled as homotrimers and are capable of binding to intracellular adaptor proteins to activate the pleiotropic effects of TNF α [55,56].

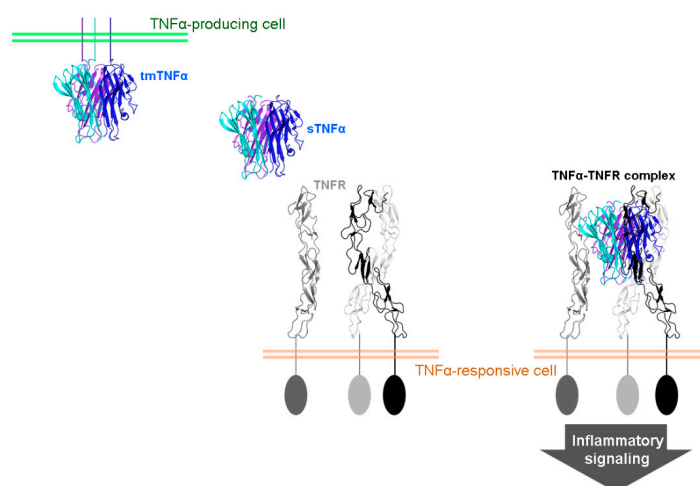


Figure 1. Biology of tumor necrosis factor α (TNF α). A soluble TNF α (sTNF α) trimer is released from its transmembrane form (tmTNF α) and binds to a preassembled trimer of TNF receptor (TNFR), thereby mediating inflammatory signaling. Each protomer of TNF α homotrimer is colored blue, cyan, and purple. The green and pale red bars indicate membranes of a TNF α -producing and TNF α -responsive cells, respectively.

Receptor-mediated effects of TNF α can lead alternatively to activation of nuclear factor kappa-B or to apoptosis, depending on the metabolic state of the cell. Transmembrane TNF α acts as a ligand and as a receptor. Transmembrane TNF α -expressing cells transduce intracellular signaling via direct interaction with TNFR-bearing cells, in which it is referred to as “outside-to-inside signal” or “reverse signal” [21]. This transmembrane TNF α -mediated reverse signal is also thought to contribute to the pleiotropic effects of TNF α [57]. The biology of TNF α gains complexity from the different signaling pathways mediated by TNFR1, TNFR2, soluble TNF α , and transmembrane TNF α .

The FDA has approved five TNF α blockers, including etanercept, infliximab, adalimumab, certolizumab-pegol, and golimumab, for the treatment of inflammatory diseases, including RA, juvenile idiopathic arthritis, psoriatic arthritis, psoriasis, Crohn’s disease (CD), ulcerative colitis (UC), ankylosing spondylitis, and Behçet’s disease (Table 1). Each of these drugs have shown excellent efficacy, with similar rates of response, although the similarity is somewhat controversial owing to the lack of a head-to-head comparative studies [20]. As the patents of etanercept, infliximab, and adalimumab expired, there are several biosimilar (also known as follow-on biologic or subsequent entry biologic) drugs that are available, which are almost identical to the original product of these TNF α antagonists.

Table 1. FDA-approved TNF α antagonists.

TNF α Antagonist	Original Product	Biosimilar Product	Type
Etanercept	Enbrel [®] (1998)	Erelzi [®] (2016)	TNFR2 ectodomain fused to IgG1 Fc
Infliximab	Remicade [®] (1998)	Inflixtra [®] (2016), Ixifi [®] (2017)	Chimeric murine/human IgG1
Adalimumab	Humira [®] (2002)	Amjevita [®] (2016), Cyltezo [®] (2017)	Fully Human IgG1
Certolizumab-pegol	Cimzia [®] (2008)		Humanized, PEGylated Fab’
Golimumab	Simponi [®] (2009)		Fully Human IgG1

Values in parentheses indicate the dates of FDA approval.

Etanercept is a genetically engineered fusion protein that is composed of two identical TNFR2 extracellular region linked to the Fc fragment of human IgG1. Infliximab is a chimeric monoclonal antibody (mAb) consisting of a murine variable region and a human IgG1 constant region. Adalimumab and golimumab are fully human IgG1 isotype anti-TNF α antibodies. Certolizumab-pegol is a monovalent Fab fragment of a humanized anti-TNF α antibody and lacks the Fc region [58]. The hinge region of certolizumab is attached to two cross-linked chains of a 20 kDa polyethylene glycol (PEG) and named the certolizumab-pegol [59]. Despite the lack of the Fc region, PEGylation increases the plasma half-life and solubility and reduces the immunogenicity and protease sensitivity [60]. Although the main mechanism of action of these TNF α antagonists is through the neutralization of soluble TNF α , they also bind to transmembrane TNF α homotrimers, providing additional mechanisms. Additionally, with the exception of the Fc region-lacking certolizumab-pegol, these drugs show potent activities of complement-dependent cytotoxicity (CDC) and antibody-dependent cell-mediated cytotoxicity (ADCC) toward transmembrane TNF α -bearing cells [26,32]. The full-length IgG1 antibodies, including infliximab, adalimumab, and golimumab, can induce apoptosis and cell cycle G0/G1 arrest by forming a 1:2 complex between IgG and the transmembrane TNF α trimer, thereby inhibiting TNF α -producing cells and leading to an anti-inflammatory response [27,61].

3. Interactions between TNF α and FDA-Approved TNF α Antagonists

Recent structural studies have revealed the interactions between TNF α and its antagonists (Table 2). The interactions between TNF α and etanercept can be deduced from the crystal structure of TNF α in complex with the extracellular domain TNFR2. This is possible because etanercept is an Fc-fusion protein of the extracellular domain of TNFR2, implying the pharmacological efficacy of etanercept results from completely occupying the TNF α receptor binding site [38]. The extracellular portion of TNFR2 is composed of cysteine-rich domains (CRDs) with three internal disulfide bonds. In the complex structure of TNF α –TNFR2, one TNFR2 molecule interacts with the two neighboring

TNF α protomers in the homotrimer, and the CRD2 and CRD3 domains of TNFR2 mediated major interactions with TNF α (Figure 2A). The crystal structures of TNF α in complex with the Fab fragments of the therapeutic antibodies, including infliximab, adalimumab, and certolizumab, have also been determined [39–41]. All of the structures contain a 3:3 complex between TNF α and the Fab fragments with a three-fold symmetry (Figure 2). When viewed along the three-fold axis, the trimeric complexes have a shape that resembles a three-bladed propeller, with each protomer representing one blade. The pseudo two-fold axes of the bound Fab fragments relating the heavy and light chains intersected the three-fold axis of the TNF α homotrimer with an approximate angle of 30°–50° downward from a plane perpendicular to the 3-fold axis. When we consider a cell with a transmembrane TNF α precursor attached, this plane represents the cell membrane (Figure 2). In this binding orientation, the antibody drugs can bind both soluble and transmembrane TNF α . This structural feature is consistent with the characteristics of the antibody drugs, which target both soluble TNF α and transmembrane TNF α [62].

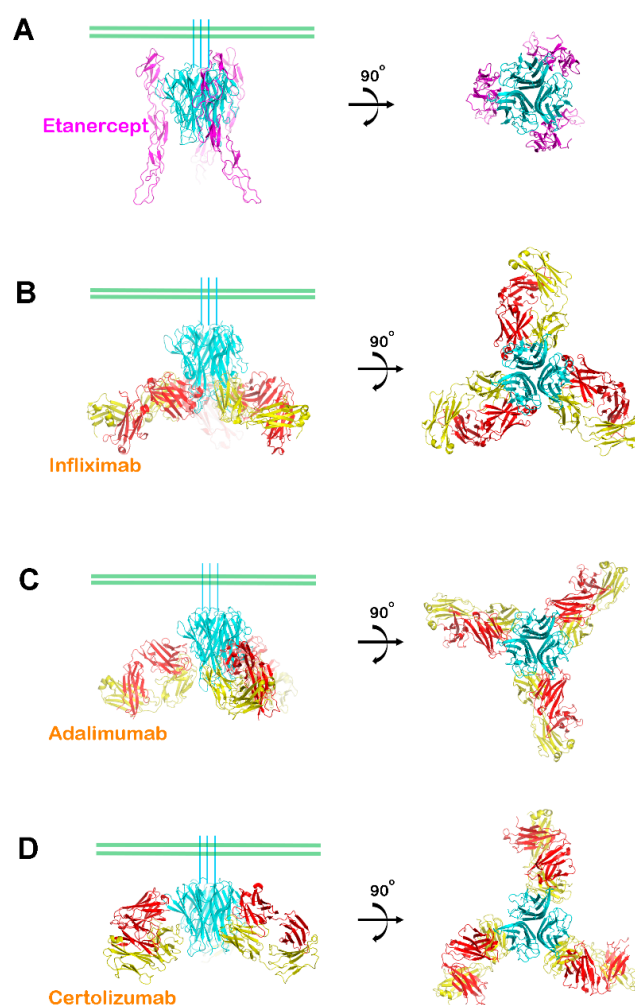


Figure 2. Overall structures of TNF α in complex with antagonists. (A) Ribbon representation of TNF α (cyan) in complex with the extracellular domain of TNFR2 (purple) in two orientations; (B) The structure of the TNF α trimer (cyan) in complex with the infliximab Fab fragment (heavy chain: red; light chain: yellow); (C) The structure of the TNF α trimer (cyan) in complex with the adalimumab Fab fragment (heavy chain: red; light chain: yellow); and, (D) The structure of the TNF α trimer (cyan) in complex with the certolizumab Fab fragment (heavy chain: red; light chain: yellow). The green bars indicate a putative membrane of a TNF α -producing cell if the TNF α trimer is a precursor form of transmembrane TNF α .

Table 2. List of the TNF α antagonists related structures.

TNF α Antagonist	Protein/Complex	Method	PDB ID	References
Etanercept	TNFR2 ectodomain in complex with TNF α	X-ray	3ALQ	[38]
Infliximab	Fab fragment in complex with TNF α	X-ray	4G3Y	[39]
	Fab fragment	X-ray	5VH3	[42]
	Fab fragment	X-ray	5VH4	[42]
	Fc fragment	X-ray	5VH5	[42]
	1:1, 1:2, 2:2, 3:2 complex	Cryo-EM		[44]
Adalimumab	Fab fragment in complex with TNF α	X-ray	3WD5	[40]
	Fab fragment	X-ray	4NYL	to be published
	1:1, 1:2, 2:2, 3:2 complex	Cryo-EM		[44]
Certolizumab-pegol	Fab fragment in complex with TNF α	X-ray	5WUX	[41]
	Fab fragment	X-ray	5WUV	[41]

The epitopes revealed from analysis of the complex structures imply that TNF α neutralization by these antagonists occurs through outcompeting TNFRs for binding to TNF α , through partially or completely occupying the receptor binding site of TNF α due to higher affinity or avidity (Figure 3). However, a comprehensive comparison of the interactions of each TNF α antagonist with TNF α can provide a better understanding of their mechanisms of action. In the complex structure with adalimumab, one Fab fragment of adalimumab interacts with two neighboring protomers of the TNF α homotrimer, like the TNF α -TNFR2 complex [40]. In contrast, the Fab fragments of infliximab and certolizumab interact with only one protomer of the TNF α homotrimer [39]. The E-F loop of TNF α plays a crucial role in the interaction with the adalimumab and infliximab Fab fragments [39,40]. On the other hand, this region is completely unobservable in the complex structures of TNF α with TNFR2 or certolizumab, indicating that the E-F loop is flexible and is not involved in these interactions [38,41]. Interestingly, the interaction of certolizumab induced a conformational change of the D-E loop of TNF α [41]. In the structure of TNF α in complex with TNFR2, the residues of the D-E loop were optimally accommodated into a pocket on the surface of TNFR2, and thereby contributing to the binding energy of the TNF α -TNFR2 interaction [38]. However, the structural change induced by certolizumab binding was incompatible with TNFR2 binding, as this conformational alteration of the D-E loop would cause steric collision with TNFR2. Thus, the conformational change of the D-E loop also appears to contribute to the neutralizing effect of certolizumab.

At physiological concentrations, the TNF α homotrimer slowly dissociates into monomers and trimerizes reversibly [63–65]. It has been reported that etanercept, adalimumab, and infliximab abrogated this monomer exchange reaction of the TNF α homotrimer, while certolizumab and golimumab were unable to prevent it [66]. As adalimumab and etanercept simultaneously interact with two adjacent TNF α protomers, they could stabilize the interactions between the protomers in the TNF α homotrimer [38,40]. Although the interactions that are mediated by the infliximab Fab fragments involved only one protomer of the TNF α homotrimer, the E-F loop provided key interactions through taking on a unique conformation. This may contribute to the stabilization of TNF α homotrimer via the productive communication between the E-F loops of the TNF α homotrimer in the unique conformation [39]. The lack of trimer stabilization by certolizumab can be explained by the structural features of the TNF α -certolizumab interaction, which only involves a single protomer without influencing the conformation of the E-F loop in the TNF α homotrimer [41]. The monomer exchange behavior of golimumab is like that of certolizumab, so golimumab is expected to bind to an epitope composed of only a single protomer without interacting with the E-F loop of TNF α .

that were formed by full-length anti-TNF α IgG form antibodies were not clear. In addition to X-ray crystallography, EM techniques have been successfully used to determine antigen-antibody complex structures. Very recently, the structures of TNF α in complex with the full-length infliximab and adalimumab were described using a cryo-EM technique (Table 2) [44]. Adalimumab-TNF α and infliximab-TNF α formed a variety of higher order structures consisting of 1:1, 1:2, 2:2, and 3:2 complexes between IgG and TNF α trimer molecule (Figure 5). In 1:1 and 1:2 complexes, one or both Fab arms of IgG were bound to one or two TNF α trimers. The 2:2 complexes had a diamond shaped structure through the interactions of the four Fab arms of two IgGs with two TNF α trimers. In 3:2 complexes, the residual one face of 3:2 complex was occupied by a third IgG molecule, retaining the structural features recognized in the 2:2 complexes. Additional analytical ultracentrifugation and size exclusion chromatography showed that the stable complex of about 598 kDa corresponds to the 3:2 complex, suggesting that this 3:2 complex is the major form present upon extended incubation.

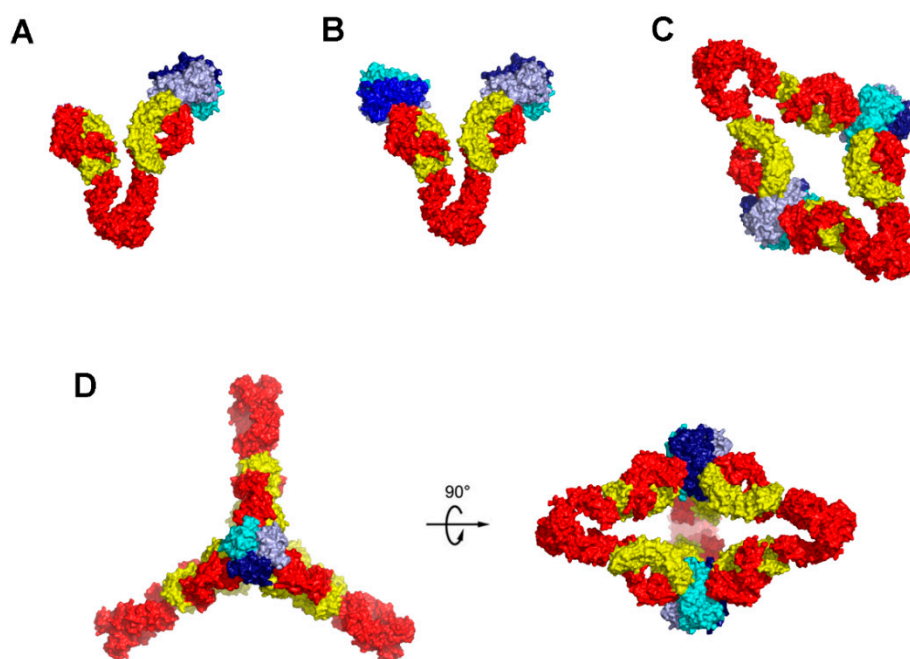


Figure 5. Models of the complexes of full-length adalimumab and TNF α trimers. The models are derived by fitting a TNF α trimer (blue, pale blue, and cyan) and bound Fab fragments (heavy chain: red, light chain: yellow) of PDB ID 3WD5 to the cryo EM electron density. (A) 1:1 complex; (B) 1:2 complex; (C) 2:2 complex; (D) 3:3 complex.

7. The Quinary Structure of Infliximab

Oligomerization and aggregation of therapeutic proteins can lead to inactivity or undesired risk for an immunogenetic response by generating anti-drug antibodies. Although many researchers try to predict and prevent aggregation of biotherapeutics through rational design and diverse formulation, the aggregation mechanisms of many therapeutic proteins remain poorly understood. The corresponding physicochemical properties of a given protein originate from its quinary structure. The quinary structure is defined as the association of quaternary structures, an example of which is the oligomerization of the hemoglobin structure causing sickle cell anemia. Many studies have revealed diverse aggregation mechanisms of monoclonal antibodies [74]. For instance, acid-induced aggregation of nivolumab, an anti-PD1 antibody, is dependent on the Fc fragment of the monoclonal antibody [75]. Several analytical methods, including gel filtration chromatography, multi-angle light scattering, circular dichroism, and NMR, revealed that infliximab was in monomer-oligomer equilibrium and its self-association was dependent on the Fab fragment [42,43]. A recent X-ray crystallographic study

revealed the Fab fragment of infliximab and provided a potential self-association mechanism that is mediated by the infliximab Fab fragment (Table 2) [42]. Crystals of the infliximab Fab fragment belong to two distinct space groups, $I2_12_12_1$ and $C222_1$ (Figure 6). Both crystal forms contain two copies of the Fab fragment in the asymmetric unit. Although details of the packing interactions in the asymmetric unit are distinct between the two crystal forms due to an elbow rotation of $\sim 40^\circ$, the interactions are mediated exclusively via the light chains in a head-to-tail orientation in both crystal structures with contact areas of 1083 \AA^2 and 1066 \AA^2 in the $I2_12_12_1$ and $C222_1$ forms, respectively. When considering the interfaces of heavy chains in the Fc fragment of IgG are $\sim 1000 \text{ \AA}^2$, the interactions by the light chains of infliximab in both crystal forms may mediate putative interfaces of infliximab self-association in solution.

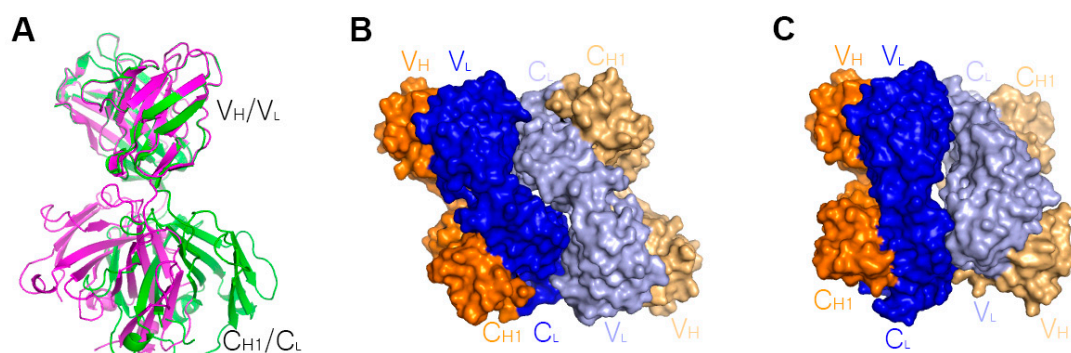


Figure 6. Self-association of infliximab mediated by the light chains. (A) An elbow rotation of Fab structures of $\sim 40^\circ$ in the $I2_12_12_1$ (green) and $C222_1$ (purple) forms indicates the flexibility between the variable (V_H/V_L) and constant (C_{H1}/C_L) regions of the infliximab Fab.; (B) Head-to tail interaction mediated by the light chains of two Fab fragments in the $I2_12_12_1$ form; (C) Head-to tail interaction mediated by the light chains of two Fab fragments in the $C222_1$ form. In (B,C), the heavy chains are colored orange and pale orange, and the light chains are colored blue and pale blue.

The monomer-dimer dissociation constant of infliximab self-association ($21 \mu\text{M}$) was determined by a sedimentation equilibrium analytical ultracentrifugation experiment [42]. In addition, self-association of infliximab is not observed in the $\text{TNF}\alpha$ -infliximab complex because the strong interaction between $\text{TNF}\alpha$ and infliximab precludes the head-to-tail orientation observed in the structures of the infliximab Fab fragment. There has been no known immunogenicity issue associated with infliximab self-association, probably due to the low affinity of the self-association, which does not affect the $\text{TNF}\alpha$ interaction. However, enhanced understanding of the quinary structures of therapeutic antibodies can support the development of better biologics with the improved pharmacokinetic properties.

8. Conclusions

The structures of $\text{TNF}\alpha$ in complex with its antagonists allow for us to elucidate the molecular mechanisms underlying the therapeutic activities of these biologics. The structure of $\text{TNF}\alpha$ - TNFR2 complex revealed the molecular basis of the cytokine-receptor recognition and provides a better understanding of the mechanism of signal initiation by $\text{TNF}\alpha$. The epitopes and binding modes of the FDA-approved anti- $\text{TNF}\alpha$ antibodies can be references for the development of other antibodies in future. Given that the binding affinity of therapeutic antibodies is one of the most important determinants for their development, these structures can aid in improving the surface complementarity of the interface between antibodies and target molecules, and thereby enhancing the binding affinity through altering the paratopes of the antibodies. Moreover, a comprehensive analysis of the complex structures could provide useful information with which to improve the current $\text{TNF}\alpha$ -targeting biological agents for the treatment of inflammatory autoimmune diseases. Different mechanisms of action can lead to different therapeutic results. Therefore, elucidation of the mechanisms of action

therapeutic antibodies through structural studies can provide logic for a design of combination therapy to achieve clinical synergy. Once a new antibody is characterized as being promising in an early stage of development, a structural study to investigate its precise epitope and mechanism of action may be helpful in making decisions before proceeding with costly clinical trials. Structural studies on the interactions between TNF α and its antagonists can provide insight into the design of small molecules targeting TNF α , as their potency can be enhanced by mimicking the diverse interactions of these antagonists. We also believe that the investigation of the higher order complex structures and quinary structures of therapeutic antibodies might be helpful for fine-tuning of their physicochemical properties for maximal therapeutic efficacy. Accumulation of such structural studies will provide invaluable information for developing next-generation therapeutic antibodies, such as antibody drug conjugates (ADCs) and bi-specific antibodies, and for coping with any possible antigen mutational escape of TNF α in future.

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