



# Right Ventricular Function Predicts Adverse Clinical Outcomes in Patients With Chronic Thromboembolic Pulmonary Hypertension: A Three-Dimensional Echocardiographic Study

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Li Y, Liang L, Guo D, Yang Y, Gong J, Zhang X, Zhang D, Jiang Z and Lu X (2021) Right Ventricular Function Predicts Adverse Clinical Outcomes in Patients With Chronic Thromboembolic Pulmonary Hypertension: A Three-Dimensional Echocardiographic Study. Front. Med. 8:697396. doi: 10.3389/fmed.2021.697396 **Background:** Right ventricular (RV) function plays a vital role in the prognosis of patients with chronic thromboembolic pulmonary hypertension (CTEPH). We used new machine learning (ML)-based fully automated software to quantify RV function using three-dimensional echocardiography (3DE) to predict adverse clinical outcomes in CTEPH patients.

**Methods:** A total of 151 consecutive CTEPH patients were registered in this prospective study between April 2015 and July 2019. New ML-based methods were used for data management, and quantitative analysis of RV volume and ejection fraction (RVEF) was performed offline. RV structural and functional parameters were recorded using 3DE. CTEPH was diagnosed using right heart catheterization, and 62 patients underwent cardiac magnetic resonance to assess right heart function. Adverse clinical outcomes were defined as PH-related hospitalization with hemoptysis or increased RV failure, including conditions requiring balloon pulmonary angioplasty or pulmonary endarterectomy, as well as death.

**Results:** The median follow-up time was 19.7 months (interquartile range, 0.5–54 months). Among the 151 CTEPH patients, 72 experienced adverse clinical outcomes. Multivariate Cox proportional-hazard analysis showed that ML-based 3DE analysis of RVEF was a predictor of adverse clinical outcomes (hazard ratio, 1.576; 95% confidence interval (CI), 1.046~2.372; P = 0.030).

**Conclusions:** The new ML-based 3DE algorithm is a promising technique for rapid 3D quantification of RV function in CTEPH patients.

Keywords: three-dimensional echocardiography, machine learning, right ventricular function, pulmonary hypertension, clinical failure, prognosis

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# INTRODUCTION

Chronic thromboembolic pulmonary hypertension (CTEPH) is a subgroup in the classification of PH, which differs from other types of PH with regards to patient characteristics, pathophysiology, and treatment (1). CTEPH is a rare pulmonary vascular disease and usually results from acute pulmonary embolism. CTEPH can cause progressive PH and increase right heart pressure. If not treated appropriately, CTEPH patients will quickly develop right heart failure or even death (2). The overall incidence of CTEPH is 8~37.8 cases per million patients, and the probability of developing CTEPH after acute pulmonary embolism is 0.5~5.1% (3-5). A number of factors, including mechanical obstruction and secondary pulmonary vascular disease, may cause PH and right ventricular (RV) remodeling and dilation, eventually leading to dyspnea, deterioration of exercise tolerance, syncope, and fatigue.

CTEPH disease progression is accompanied by different degrees of myocardial hypertrophy, and right heart failure is the primary cause of death in CTEPH patients. RV fibrosis is a sign of potential maladaptation as a result of increased afterload caused by myocardial PH (6). Cardiac hypertrophy, myocardial fibroblast apoptosis, and RV remodeling result in decreased myocardial contractile function and impaired cardiac pump function, eventually leading to right heart failure.

The right cardiac chambers and ancillary structures have long been neglected in research, but an increasing number of studies have shown that the structure and function of the right heart predicts the prognosis of CTEPH patients. Three-dimensional echocardiography (3DE) allows accurate and repeatable measurements of RV size and function. Most recently, the application of artificial intelligence methods, including machine learning (ML) algorithm techniques, have been developed to automatically detect RV intima boundaries (7-9). The ML-based 3DE algorithm provides fast image editing, thus allowing for the measurement of cardiac cavity volume with good repeatability, offering a promising solution for fast 3D quantification of RV function (10, 11). The objective of this study was to evaluate ML-based 3DE quantification of RV function as a predictor of adverse clinical outcomes in CTEPH patients.

# MATERIALS AND METHODS

#### **Ethics Statement**

This prospective study was conducted according to the principles defined in the Declaration of Helsinki. The study protocol was approved by the Ethics Committee at Beijing Chao-yang Hospital. All participants in this study signed written informed consent forms prior to the initiation of this study.

### **Patient Selection and Study Protocol**

The diagnostic criteria of CTEPH were as follows: at rest, the mean pulmonary arterial pressure measured by the right heart catheter was  $\geq$ 25 mmHg and pulmonary wedge pressure (PAWP) was  $\leq$ 15 mmHg; ventilation/perfusion (V/Q) imaging had at least one large perfusion defect at one segment or two subsections; or the presence of pulmonary vascular lesions identified by computed tomography (CT), magnetic resonance imaging (MRI), and/or pulmonary angiography (12).

Clinical evaluation, echocardiography, 6-minute walk test (6MWT), and laboratory biochemical tests at 48 h intervals (N-terminal pro-B-type natriuretic peptide, NT-proBNP) were collected and recorded from all participants. Sixty-two patients underwent cardiac magnetic resonance (CMR) examination within 72 h to determine RV volume and ejection fraction (RVEF).

Demographic and baseline clinical characteristics of patients were obtained through hospital databases and telephone followup. Exclusion criteria included: incomplete clinical and catheter pressure data, atrial fibrillation, moderate or severe aortic and/or mitral valvular heart disease, and poor quality of echocardiographic images. The study began with the inclusion of 218 CTEPH patients. Among the original cohort, 34 patients did not have complete clinical and catheter pressure recording, 13 had atrial fibrillation, nine had valvular heart disease. There were 11 patients (5.04%) who failed to obtain satisfactory 3D parameters due to poor image quality that could not clearly track the endocardium. After application of the exclusion criteria, 151 patients were finally included in this study between April 2015 and July 2019 (**Figure 1**).

#### **3DE Imaging and Analysis**

Echocardiographic imaging was performed with a Philips EPIQ 7C (Philips Healthcare, MA, USA). An X5-1 transducer (1–5 MHz) was equipped onto a Doppler ultrasound machine. In the breath-holding state, 3DE images were collected over a maximum of 6 beats in an apical four-chamber (4Ch) RV-focused dataset. Throughout the cardiac cycle scanning, the entire RV cavity was within the scan volume. The imaging depth and sector width were optimized for the maximum frame rate and the 3DE dataset was analyzed offline.

Quantitative analysis of RV function was performed using a new ML method (3D Auto RV, Philips Healthcare) for offline data management (QLAB, Philips). According to the operating instructions of the software, a 3D dynamic surface model of the RV was generated, from which the RV enddiastolic volume (RVEDV) and end-systolic volume (RVESV)

Abbreviations: AUC, area under the curve; BSA, body surface area; CI, Cardiac index; CMR, cardiac magnetic resonance; CT, computed tomography; CTEPH, chronic thromboembolic pulmonary hypertension; EI, eccentricity index; ICCs, intraclass correlation coefficients; LVD, left ventricular diameter; LVEF, left ventricular ejection fraction; ML, machine learning; MRI, magnetic resonance imaging; NT-proBNP, N-terminal pro-brain natriuretic peptide; PAP, pulmonary artery pressure; PAWP, pulmonary wedge pressure; PVR, pulmonary vascular resistance; RAP, right arterial pressure; ROC, receiver operating characteristic; RV, right ventricular; RV EDA, RV end-diastolic area; RVEF, right ventricular ejection fraction; RV ESA, RV end-systolic area; RVFAC, RV fractional area change; RIMP, RV index of myocardial performance; 3DE, three-dimensional echocardiography; 2D-STE, two-dimensional speckle tracking strain echocardiography; TAPSE, tricuspid annular plane systolic excursion; WHO, World Health Organization; 6MWT, 6-minute walk test.



were fully-automated. RVEF was calculated according to the formula RVEF = (RVEDV-RVESV)/RVEDV (**Figure 2**).

#### **RV Size and Function**

The following RV structural parameters measured using 3DE were recorded: RVEDV, RVEDV/body surface area (BSA), RVESV, RVESV/BSA, RV basal diameter, RV mid-diameter, RV longitudinal diameter, RV basal diameter/LV basal diameter, and LV end-diastolic eccentricity index. Pericardial effusion was also recorded.

RV function was evaluated according to the 2015 American Society of Echocardiography guidelines for cardiac chamber quantification by echocardiography in adults (13), including RV index of myocardial performance, RV longitudinal strain (Global & Freewall), tricuspid annular plane systolic excursion (TAPSE), RV fractional area change, and Doppler-derived tricuspid lateral annular systolic velocity (S').

# **CMR** Image Analysis

CMR was performed with a 3.0 Tesla magnetic resonance scanner (Magnetom Prisma 3.0-T; Siemens, Erlangen, Germany) using a four-channel cardiac phased-array surface coil for data acquisition. All CMR data were transferred to a workstation (Syngo via, VA30; Siemens, Berlin, Germany) and analyzed with validated software (Cardiac analysis; Siemens Medical Systems, Erlangen, Germany). CMR was performed with the true fast imaging with the steady-state precession sequence (True FISP; repetition time 3.0-3.2 ms, echo time 1.4 ms; flip angle,  $70^{\circ}$ ; field of view  $320 \times 360$  mm, matrix size  $256 \times 256$  mm, phases per cardiac cycle 25; section thickness, 6 mm) using retrospective electrocardiogram triggered during breath holding. The acquisition time per patient was 6–10 min. An experienced radiologist, who identified the endocardial and epicardial boundaries, semi-automatically segmented the right and left ventricles. The RV cavity included endocardial trabeculae. Disk summation was used to calculate RVEDV and RVESV, and RVEF was calculated using the standard formula mentioned above.

#### **Right Heart Catheterization Procedure**

A 7.5-French gauge Swan-Ganz thermodilution catheter (Edwards Lifesciences, Irvine, CA, USA) was inserted into the right inferior pulmonary artery through the internal jugular vein. The following parameters were measured under stable hemodynamics: RA pressure, mean PA pressure, pulmonary vascular resistance, and cardiac index. Cardiac output (CO) undergoing cardiac catheterization was measured using the thermodilution method. PVR in Wood units (WU) was calculated using the equation: PVR = (mean pulmonary arterial pressure (mPAP)-pulmonary artery wedge pressure)/CO. Echocardiography and RHC were performed with an interval of < 72 h for all the patients.



FIGURE 2 | Example of ML-based 3D RVEF analysis using QLAB 13.0 software. RVEF calculation in a 48-year-old female CTEPH patient who was treated with riociguat. End-diastolic and systolic verification and editing of endocardial RV borders, from which RVEDV, RVESV, and RVEF were obtained.

# **Adverse Clinical Outcomes**

The primary end points in this study included the occurrence of adverse clinical outcomes defined as PH-related hospitalization with hemoptysis or increased RV failure, including conditions requiring balloon pulmonary angioplasty or pulmonary endarterectomy, as well as death. The cause of hospitalization or death was decided after reviewing the relevant medical history and documentation. Patients were all followed up until the occurrence of adverse clinical outcomes or the end of the study period.

# **Statistical Analysis**

All statistical analyses were performed using SPSS Version 23 (SPSS Software, Chicago, IL), R version 3.6.3 (R Foundation for Statistical Computing, Vienna, Austria), and MedCalc 16.1 (MedCalc Software, Mariakerke, Belgium). The one-sample Kolmogorov-Smirnov test was used to verify the normal distribution of all data. Abnormal data are expressed as frequency and percentage or mean  $\pm$  standard deviation or median (interquartile range). The independent sample *t*-test was used to determine the significant difference of normally distributed data between groups. Pearson or Spearman correlation coefficients were used to assess the relationship between RVEF and other clinical and echocardiographic variables.

Univariate Cox proportional hazards analysis was used to examine the association between the variables and the combined clinical endpoints, with results expressed as hazard ratios and bilateral 95% confidence intervals (CIs). Multivariate survival analysis included all variables with a *P*-value < 0.10 in univariate

analysis and prognostic parameters described previously. The survival receiver operating characteristic (ROC) curve was constructed to assess the sensitivity and specificity of predictors used to predict adverse clinical outcomes. The optimal survival threshold was predicted using the Youden's index method. Kaplan-Meier analysis was used to divide patients into quartiles based on RVEF. The incremental value of RVEF over baseline clinical models was estimated by the change in C statistic, whereas, the -2 log-likelihood test was used to estimate the relative fit of each model. We analyzed the consistency between CMR and 3DE measurements of RVEDV and RVESV. A bilateral *P*-value < 0.05 was considered statistically significant.

# RESULTS

#### Demographic and Baseline Clinical Characteristics of Participants

A total of 151 CTEPH patients (71.5% females; mean age,  $50.9 \pm 14.1$  years) and 30 age- and sex-matched healthy control subjects (70% females; mean age,  $48.3 \pm 9.4$  years) constituted the study population (**Table 1**). All patients and control subjects underwent echocardiography, and 62 patients also underwent CMR. A large proportion of patients had cardiac function based on the World Health Organization (WHO) functional class II and III. All patients received anticoagulant therapy (rivaroxaban/warfarin), and 97 patients were on riociguat, 38 were on phosphodiesterase-5 (PDE-5) inhibitors, 31 were on endothelin-1 inhibitors, and 19 were on a combined therapy.

	<b>TABLE 1</b> Demographic and baseline	clinical characteristics in	CTEPH patients with and	d without adverse clinical outcomes
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Variable	Study cohort	Adverse cli	P-value		
	(N = 151)	Event free ( <i>n</i> = 79)	With event $(n = 72)$		
Age (y)	50.9 ± 14.1	$51.6 \pm 13.9$	50.2 ± 14.3	0.550	
Male, <i>n</i> (%)	43 (28.5)	21 (26.5)	22 (30.5)	0.589	
Body surface area (m <sup>2</sup> )	$1.7 \pm 0.2$	$1.7 \pm 0.2$	$1.7 \pm 0.2$	0.905	
WHO functional class				0.003	
I	6 (4.0)	6 (7.6)	O (O)		
II	68 (45.0)	46 (58.2)	22 (30.6)		
III	60 (39.7)	27 (34.2)	33 (45.8)		
IV	17 (11.3)	O (O)	17 (23.6)		
Disease duration (mo)	21.8 (2–168)	11.5 (2–34)	33.0 (3–168)	<0.0001	
6-min walk distance (m)	372 (98–630)	412 (196–630)	328 (190-410)	<0.0001	
NT-proBNP (pg/ml)	$941.54 \pm 1075.7$	$646.9 \pm 1039.3$	$1971.1 \pm 1755.6$	<0.0001	
Basic hemodynamics data					
RA pressure (mm Hg)	$5.9 \pm 4.6$	$4.6\pm3.6$	$7.4 \pm 5.3$	<0.0001	
Mean PA pressure (mm Hg)	$47.4 \pm 12.5$	$44.2 \pm 12.3$	$51.0 \pm 11.7$	0.001	
Cardiac index (L/min per m <sup>2</sup> )	$2.5\pm0.8$	$2.8\pm0.9$	$2.2 \pm 0.6$	<0.0001	
Pulmonary vascular resistance (wood units)	$10.3 \pm 5.2$	$8.1 \pm 12.9$	$24.6 \pm 21.9$	0.278	
PH medical treatment				0.203	
Rivaroxaban/Warfarin	151 (100)	79 (100)	72 (100)		
Riociguat	97 (64.2)	51 (64.6)	46 (63.9)		
PDE-5 inhibitors	38 (25.2)	18 (22.8)	20 (27.8)		
Endothelin-1 inhibitors	31 (20.5)	14 (17.7)	17 (23.6)		
Combined therapy	19 (12.6)	6 (7.6)	13 (18.1)		
Follow-up (mo)	19.7 (0.5–54)	27.9 (1–54)	10.7 (0.5–25)	<0.0001	

CTEPH, chronic thromboembolic pulmonary hypertension; NT-proBNP, N-terminal pro-B-natriuretic peptide; RA, right atrium; PA, pulmonary artery; PAH, pulmonary arterial hypertension; PDE-5, phosphodiesterase-5. Data are expressed as mean  $\pm$  SD, number (percentage), or median (interquartile range). P-values indicate significance between patients with and those without adverse clinical outcomes. Bold values indicate statistical significance of the tested parameters.

#### **RV** Function Measured by ML-Based 3DE

The echocardiographic features of participants measured by MLbased 3DE are summarized in **Table 2**. CTEPH patients had significantly lower RVEF values compared to the control subjects ( $36.8 \pm 8.6$  vs.  $52.4 \pm 3.2\%$ , P < 0.0001). We found a correlation between RVEF and basic function parameters. For example, RVEF was positively associated with cardiac index (r = 0.494, P < 0.0001) and 6MWD (r = 0.393, P < 0.0001), but negatively associated with NT-proBNP (r = -0.560, P < 0.0001). In addition, there was a strong negative correlation between RVEF and RV longitudinal strain (free wall) (r = -0.831, P < 0.0001) (**Figure 3**).

In the subset of patients who underwent CMR, ML-based 3DE measurements and CMR reference values had an excellent correlation for all parameters, as reflected by *r*-values of 0.917 for RVEDV, 0.915 for RVESV, and 0.849 for RVEF (all P < 0.001). The 3DE measurements were appreciably accurate as reflected by biases of  $-10.21 \pm 12.66$  ml for RVEDV (95% CI,  $-35.04 \sim 14.61$ ),  $-6.26 \pm 11.66$  ml for RVESV (95% CI,  $-29.11 \sim 16.60$ ), and  $-0.24 \pm 4.69\%$  for RVEF (95% CI,  $-9.43 \sim 8.95$ ), although, the ML-based software algorithm slightly underestimated all three parameters compared to CMR (**Figure 4**).

#### Prognostic Value of RVEF

The mean follow-up time was 19.7 months (interquartile range, 0.5–54 months), and 72 patients (47.7%) experienced adverse clinical outcomes during this follow-up period: 66 patients were hospitalized because of disease aggravation, of which 45 were hospitalized due to decompensated right heart failure, 13 underwent balloon pulmonary angioplasty, and eight had pulmonary endarterectomy. In addition, six patients died of PH-related causes outside the hospital.

The indicators of RV function and adverse clinical outcomes in the 151 patients are shown in **Table 2**. Except for RVESV and longitudinal diameter, there were significant differences in RV function and structural parameters in patients with or without clinical adverse outcomes. Patients with adverse clinical outcomes had higher RA pressure, mean pulmonary artery pressure, and pulmonary vascular resistance index, but had a lower cardiac index.

Univariate Cox proportional hazards analysis revealed that 6MWD and cardiac index were predictors of adverse clinical outcomes, the latter of which included RVEF, RVLS (Free wall), TAPSE, and RV fractional area change. Multivariate Cox proportional hazards analysis showed that ML-based 3DE RVEF and 6MWD had strong value for predicting adverse TABLE 2 | RV indices in CTEPH patients with and without adverse clinical outcomes.

Variable	Study cohort	Adverse clini	P-value	
	(N = 151)	Event free (n = 79)	With event $(n = 72)$	
RV functional parameters				
RVEF (%)	$36.8\pm8.6$	$42.3\pm6.3$	$30.9\pm6.9$	<0.0001
RV longitudinal strain (global) (%)	$-14.9 \pm 4.2$	$-16.8 \pm 3.5$	$-12.7 \pm 3.9$	<0.0001
RV longitudinal strain (Free wall) (%)	$-18.6 \pm 6.2$	$-22.1 \pm 4.8$	$-14.8 \pm 5.3$	<0.0001
TAPSE (mm)	$15.6 \pm 5.4$	$18.1 \pm 4.9$	$13.0 \pm 4.6$	<0.0001
RV fractional area change (%)	$27.9 \pm 9.1$	$32.6\pm8.0$	$22.8\pm7.3$	<0.0001
RV index of myocardial performance	$0.7 \pm 0.2$	$0.6 \pm 0.2$	$0.8 \pm 0.2$	<0.0001
S' (cm/s)	$10.7 \pm 3.1$	$11.8 \pm 3.1$	$9.5 \pm 2.6$	<0.0001
RV structural parameters				
RV end-diastolic volume (ml)	$123.1 \pm 34.8$	$113.7 \pm 28.2$	$133.4 \pm 38.4$	0.001
RV end-diastolic volume indexed to BSA (ml/m <sup>2</sup> )	$72.2 \pm 19.3$	$66.8 \pm 16.0$	$78.1 \pm 20.9$	<0.0001
RV end-systolic volume (ml)	$79.0\pm29.6$	$66.0 \pm 18.9$	$93.3\pm32.6$	0.201
RV end-systolic volume indexed to BSA (ml/m <sup>2</sup> )	$46.3 \pm 16.8$	$38.9 \pm 11.3$	$54.6 \pm 18.0$	<0.0001
RV basal diameter (mm)	$45.7 \pm 6.7$	$43.8\pm6.3$	$47.8\pm6.6$	<0.0001
RV mid-diameter (mm)	$42.4 \pm 7.9$	$40.0\pm5.9$	$45.1 \pm 8.9$	<0.0001
RV longitudinal diameter (mm)	$74.8 \pm 8.2$	$74.3\pm7.3$	$75.5 \pm 9.1$	0.359
RV basal diameter/LV basal diameter	$1.3 \pm 0.3$	$1.2 \pm 0.3$	$1.4 \pm 0.3$	<0.0001
LV end-diastolic eccentricity index	$1.4 \pm 0.3$	$1.3 \pm 0.2$	$1.5 \pm 0.3$	<0.0001
Pericardial effusion	77 (51.0)	29 (36.7)	48 (66.7)	0.002

RVEF, right ventricular ejection fraction; TAPSE, tricuspid annular plane systolic excursion; BSA, body surface area; LV, left ventricular. Data are expressed as mean ± SD. P-values indicate statistical significance between patients with and without adverse clinical outcomes. Bold values indicate statistical significance of the tested parameters.





3DE and CMR. (A) Correlation and Bland-Altman analysis of parameters measured by ML-based 3DE and CMR. (A) Correlation between RVEDV measured by ML-based 3DE and CMR. (D) Bland-Altman analysis of RVEDV measured by ML-based 3DE and CMR. (E) Bland-Altman analysis of RVEDV measured by ML-based 3DE and CMR. (F) Bland-Altman analysis of RVESV measured by ML-based 3DE and CMR. (F) Bland-Altman analysis of RVESV measured by ML-based 3DE and CMR.

clinical outcomes (**Table 3**). Kaplan-Meier survival curves were generated, showing the relationship between the quality of RVEF. We combined the **two** interquartile ranges in the middle of a group (highest quartile > 44.6%, middle quartile 30.3-44.6%, lowest quartile < 30.3%) and then analyzed the correlation with clinical deterioration. We found that a decrease in RVEF increased the risk of clinical deterioration,

and that the prognosis of patients with an RVEF < 30.3% was poorer compared to patients with an RVEF > 44.6% (Supplementary Figure 1).

The best cutoff values for the most important parameters are shown in **Table 4**. ROC analysis revealed that an RVEF [area under the curve = 0.878; (95% CI, 0.825-0.932)] of 31.4% was the best cutoff value, with a sensitivity of 98.7% and a specificity

#### TABLE 3 | Cox regression analysis of adverse clinical outcomes.

Variables	Univariate anal	ysis	Multivariate analysis		
	HR (95% CI)	P value	HR (95% CI)	P-value	
Age	1.002 (0.99–1.002)	0.766			
Sex*	1.049 (0.737–1.494)	0.789			
Body surface area	1.019 (0.978–1.062)	0.357			
WHO functional class*	0.788 (0.573-1.085)	0.144			
6-min walk distance	1.885 (1.346–2.64)	0.0002	1.536 (1.067–2.211)	0.021	
Echocardiographic data					
RVEF	2.035 (1.414-2.929)	0.0001	1.576 (1.046–2.372)	0.030	
RVLS (Free wall)	2.128 (1.489–3.04)	0.0001	1.552 (0.990–2.432)	0.055	
TAPSE	1.611 (1.149–2.258)	0.006			
RV index of myocardial performance	1.393 (0.963–2.015)	0.078			
RV fractional area change	1.827 (1.249–2.673)	0.002	1.332(0.871–2.036)	0.186	
S'	1.37 (0.989–1.897)	0.058			
Hemodynamics data					
Mean PA pressure	1.924 (0.268–13.824)	0.515			
Cardiac index	1.66 (1.172–2.352)	0.004	1.161 (0.783–1.722)	0.457	
Pulmonary vascular resistance	1.16 (0.7–1.922)	0.565			

HR, hazard ratio per unit increase in the parameter measured; \*HR per category in the measured parameter. Bold values indicate statistical significance of the tested parameters.

TABLE 4	Survival ROC	analysis of the	optimal	cutoff values	of tested parameters.
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Variables	Optimal cutoff	AUC	95% CI	P-value	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)
RVEF (%)	31.4	0.878	0.825–0.932	<0.0001	98.7	61.1	97.8	73.6
RVLS (Free wall) (%)	-18.4	0.859	0.802-0.916	< 0.0001	87.5	69.6	72.4	85.9
RV fractional area change (%)	29.6	0.810	0.74-0.879	< 0.0001	69.6	86.1	72.1	84.6
6-min walk distance (m)	388.5	0.838	0.771-0.904	< 0.0001	75.9	84.7	77.2	84.7
Cardiac index (L/min per m <sup>2</sup> )	2.6	0.747	0.668–0.825	<0.0001	63.3	79.2	66.3	76.9

AUC, Area under the curve; NPV, negative predictive value; PPV, positive predictive value.

of 61.1% (**Figure 5**). Patients with an RVEF < 31.4% had a 4.5-fold increased risk of clinical deterioration (log-rank P = 0.034, **Figure 6**). The addition of RVEF resulted in an increase in C statistic (from 0.639 to 0.78, P < 0.0001) (**Table 5**).

#### DISCUSSION

CTEPH patients are prone to have impaired RV function compared with normal subjects. In this prospective study, we introduced ML-based 3DE quantification of RVEF as a clinical means for predicting adverse clinical outcomes in CTEPH patients. We obtained the novel 3DE index, which easily combined information on RV volumes and RV function. We found that ML-based 3DE RVEF was a significant prognostic predictor for CTEPH patients.

Because of the shape of the RV and its physical position in the chest, traditional echocardiography for the evaluation of cardiac remodeling for CTEPH patients remains challenging. To remedy the limitation of conventional echocardiography, 2DE was developed to use multiple views from different transducer locations to quantify RV size and function. Previously, we demonstrated that RT3DE was a viable, non-invasive, and accurate method for assessing RV systolic function in PH patients (14). In this study, we demonstrated that ML-based 3DE RVEF had significant prognostic capability for CTEPH patients and had advantages over those established risk factors.

Measurement of RV size and function is important for the diagnosis and prognosis of CTEPH patients. Currently, CMR is considered the gold standard for assessing RV size and function. However, its limited availability and high cost do not permit routine evaluation and follow-up of these patients. Echocardiography is still valuable for the diagnosis and follow-up of CTEPH patients and can provide functional information that is not available with CMR. ML-based 3DE RVEF, as a simple parameter, may be more useful in routine clinical management of CTEPH patients.

In our CTEPH patient cohort, RVEF showed a correlation with basic clinical parameters, including cardiac index, 6MWD, and NT-proBNP. Our study demonstrated that CTEPH patients had a larger RV volume with a low RVEF and longitudinal strain compared with healthy controls. In addition, we found that RVEF, which was obtained by both echocardiography and CMR,



FIGURE 5 | ROC analysis of RAFi. AUC, area under the curve. Receiver operating characteristic curve analysis demonstrating the ability of RVEF, RVLS, cardiac index, and 6MWD to predictive adverse clinical outcomes of CTEPH patients.



correlated with RV functional parameters. More importantly, RVEF had a reinforced value in assessing disease severity because of the relation to cardiac index, 6MWD, and NT-proBNP. In line with our findings, a previous study reported that an increase in the RV/LV diameter ratio and high B-type natriuretic peptide levels were predictive of CTEPH development (15).

CTEPH is a rare but complex pathophysiological disease characterized by chronic thrombo-mechanical obstruction, RV dysfunction, and secondary pulmonary artery lesions (16). A recent study showed that the 1- and 5-year survival rates of patients with thromboembolic diseases and right heart failure were 71 and 49%, respectively (17). A 10-year prognostic study of CTEPH patients showed that worse final NYHA FC, inoperability, lower 6MWD at follow-up, higher NT-proBNP at follow-up, and reduced TAPSE are prognostic markers of poor **TABLE 5** | Additive value of RV EF (≥31.4%) predicts clinical outcomes.

Variables	C index	Se	P-value
6-min walk distance	0.69	0.028	<0.0001
Cardiac index	0.639	0.026	< 0.0001
RVEF	0.708	0.026	< 0.0001
6-min walk distance + RVEF	0.767	0.027	< 0.0001
Cardiac index + RVEF	0.747	0.027	< 0.0001
6-min walk distance + cardiac index	0.726	0.028	< 0.0001
6-min walk distance + cardiac index + RVEF	0.78	0.027	< 0.0001

outcomes of CTEPH patients (18). In the present study, we found that ML-based 3DE RVEF was a strong predictor of adverse clinical outcomes in CTEPH patients, with strong discriminative capacity as revealed by survival ROC analysis.

Cardiac remodeling is defined as changes in RV shape, size, and function of the heart. RV morphology is more complex than LV morphology. Previously, Addetia et al. (19) partitioned the trabecular section into body and apical components to assess changes in RV deformation at the level of the apex, as the apex appears to remodel distinctively on 2D imaging in pulmonary arterial hypertension (PAH) patients. In the present study, we used ML-based 3DE to assess RV function in CTEPH patients. We found that CTEPH patients with adverse clinical outcomes had greater enlargement of RV, lower RV function, and a higher incidence of pericardial effusion. It is worth noting that RV self-compensatory adaptation can maintain normal RV function for a short period of time, but this adaptation cannot be sustained long-term. Furthermore, progressive remodeling of the RV vascular bed results in its maladaptive remodeling. These pathophysiological changes are manifested as eccentric hypertrophy, RV expansion, decreased RV contractility, diastolic dysfunction, and myocardial fibrosis. RV failure due to the progressive dysfunction is the main cause of death for CTEPH patients (20). Our results showed that decreased RVEF increased the risk of clinical deterioration in CTEPH patients, and that patients with RVEF < 30.3% showed poor prognosis. Therefore, we should routinely measure RVEF in the clinic, pay close attention to decreases in right ventricular function in CTEPH patients, timely adjust the treatment plan when RVEF decreases, and consider the intervention of PEA or BPA as soon as possible when appropriate.

In the subset of our study cohort who underwent CMR, the ML-derived 3DE measurements significantly correlated with CMR reference values for all parameters. Despite association with CMR-derived RVEF, widely used parameters such as TAPSE and S' only assess the lateral tricuspid valve ring. 2D speckle tracking echocardiography has been used to quantitatively determine RV myocardial deformation, but mainly focuses on longitudinal strain. In this study, we demonstrated the feasibility and high value of 3DE analysis to estimate RVEF and volumes in healthy volunteers and CTEPH patients using technical software. Our study showed that RV strain patterns gradually worsened in CTEPH patients and thus held an independent prognostic value. In particular, ML-based 3DE RVEF predicted the adverse clinical outcomes independent of those established prognostic markers, suggesting that it reflected additional information on cardiac physiology that was not available for other functional or imaging parameters. Consistent with our findings, Jone et al. (21) showed the prognostic significance of 3D RV functional indices in pediatric PH patients, and that 3D RVEF was a significant outcome predictor for these patients.

In the present study, we also found that RVLS (Free wall) was an independent predictor of adverse clinical outcomes of CTEPH patients. RVLS indirectly but sensitively reflects RV function and hemodynamic changes in PH patients (22). Mortality in PH patients is affected by RV adverse remodeling, RV dilatation, and reduction in RVEF and/or AS. Moceri et al. (23) reported the independent prognostic role of RV AS. Meanwhile, RV segmental and global strain could help better stratify risk in PH patients. Traditional indicators of RV systolic function, including RVEF, may be maintained within the normal range despite the presence of PH with RV dysfunction. Thus, in PH patients, RV strain can detect resting RV dysfunction early in the course of the disease and may also serve as a marker of recessive RV systolic dysfunction (24). The ultimate challenge is understanding which balloon pulmonary angioplasty (BPA) has the best long-term outcomes for CTEPH patients (25). Patients with a low RVLS receive limited benefit from BPA treatment, and RVLS may be used as a valuable non-invasive parameter to predict the efficacy of BPA in CTEPH patients (26).

#### **Study Limitations**

One major limitation of our study was the number of patients. As a result, this study included a relatively small number of adverse clinical outcomes, which prevented broader statistical analysis, especially for hard endpoints such as death. Also, due to the RV enlargement in CTEPH patients, the software automatic tracking system was not able to accurately identify the endocardial structure of the RV free wall if the RV expansion was severe or the display of the RV free wall was not clear. Nevertheless, we successfully captured quality images at the end of the exhalation period. In addition, because of the mentioned limitation, the RV volume measured by 3DE was smaller than that obtained by CMR. Finally, although, our study had a median follow-up time to ensure that the proportion of patients with poor prognosis due to right heart failure can be distinguished.

# CONCLUSION

ML-based 3DE RVEF is closely associated with RV systolic function and has a greater prognostic value than other recognized

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predictors for CTEPH patients. This new technique can help better stratify the risk of developing adverse clinical outcomes among CTEPH patients. Our study highlights the value of RVEF in risk assessment of CTEPH patients, but multi-center and larger cohort studies are needed to further corroborate our findings.

### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

### ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee of Beijing Chao-yang Hospital. The patients/participants provided their written informed consent to participate in this study.

#### **AUTHOR CONTRIBUTIONS**

YL and LL conceived and designed research. YL, YY, JG, XZ, and ZJ collected data and conducted research. LL and DZ analyzed and interpreted data. YL and DG wrote the initial paper. XL and YY revised the paper. YL had primary responsibility for final content. All authors read and approved the final manuscript.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmed. 2021.697396/full#supplementary-material

**Supplementary Figure 1** | Impact of RVEF on clinical failure of CTEPH patients. Kaplan-Meier survival analysis according to quartiles of RVEF, after combining the two middle quartiles, finally generating three groups.

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